Precalculus



OpenStax College

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PREFACE

Welcome to *Precalculus*, an OpenStax College resource. This textbook has been created with several goals in mind: accessibility, customization, and student engagement—all while encouraging students toward high levels of academic scholarship. Instructors and students alike will find that this textbook offers a strong foundation in precalculus in an accessible format.

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OpenStax College is a non-profit organization committed to improving student access to quality learning materials. Our free textbooks go through a rigorous editorial publishing process. Our texts are developed and peer-reviewed by educators to ensure they are readable, accurate, and meet the scope and sequence requirements of today's college courses. Unlike traditional textbooks, OpenStax College resources live online and are owned by the community of educators using them. Through our partnerships with companies and foundations committed to reducing costs for students, OpenStax College is working to improve access to higher education for all. OpenStax College is an initiative of Rice University and is made possible through the generous support of several philanthropic foundations. OpenStax College textbooks are used at many colleges and universities around the world. Please go to https://openstaxcollege.org/pages/adoptions to see our rapidly expanding number of adoptions.

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Customization

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Precalculus can be easily customized using our online platform (http://cnx.org/content/col11667/latest/). Simply select the content most relevant to your current semester and create a textbook that speaks directly to the needs of your class. Precalculus is organized as a collection of sections that can be rearranged, modified, and enhanced through localized examples or to incorporate a specific theme to your course. This customization feature will ensure that your textbook truly reflects the goals of your course.

Curation

To broaden access and encourage community curation, *Precalculus* is "open source" licensed under a Creative Commons Attribution (CC-BY) license. The mathematics community is invited to submit feedback to enhance and strengthen the material and keep it current and relevant for today's students. Submit your suggestions to info@openstaxcollege.org, and check in on edition status, alternate versions, errata, and news on the StaxDash at http://openstaxcollege.org.

Cost

Our textbooks are available for free online, and in low-cost print and e-book editions.

About Precalculus

Precalculus is intended for college-level precalculus students. Since precalculus courses vary from one institution to the next, we have attempted to meet the needs of as broad an audience as possible, including all of the content that might be covered in any particular course. The result is a comprehensive book that covers more ground than an instructor could likely cover in a typical one- or two-semester course; but instructors should find, almost without fail, that the topics they wish to include in their syllabus are covered in the text.

Many chapters of Openstax College *Precalculus* are suitable for other freshman and sophomore math courses such as College Algebra and Trigonometry; however, instructors of those courses might need to supplement or adjust the material.

Openstax will also be releasing *College Algebra* and *Algebra and Trigonometry* titles tailored to the particular scope, sequence, and pedagogy of those courses.

Coverage and Scope

Precalculus contains twelve chapters, roughly divided into three groups.

Chapters 1-4 discuss various types of functions, providing a foundation for the remainder of the course.

Chapter 1: Functions

Chapter 2: Linear Functions

Chapter 3: Polynomial and Rational Functions

Chapter 4: Exponential and Logarithmic Functions

Chapters 5-8 focus on Trigonometry. In Precalculus, we approach trigonometry by first introducing angles and the unit circle, as opposed to the right triangle approach more commonly used in College Algebra and Trigonometry courses.

Chapter 5: Trigonometric Functions

Chapter 6: Periodic Functions

Chapter 7: Trigonometric Identities and Equations

Chapter 8: Further Applications of Trigonometry

Chapters 9-12 present some advanced Precalculus topics that build on topics introduced in chapters 1-8. Most Precalculus syllabi include some of the topics in these chapters, but few include all. Instructors can select material as needed from this group of chapters, since they are not cumulative.

Chapter 9: Systems of Equations and Inequalities

Chapter 10: Analytic Geometry

Chapter 11: Sequences, Probability and Counting Theory

Chapter 12: Introduction to Calculus

All chapters are broken down into multiple sections, the titles of which can be viewed in the Table of Contents.

Development Overview

Openstax *Precalculus* is the product of a collaborative effort by a group of dedicated authors, editors, and instructors whose collective passion for this project has resulted in a text that is remarkably unified in purpose and voice. Special thanks is due to our Lead Author, Jay Abramson of Arizona State University, who provided the overall vision for the book and oversaw the development of each and every chapter, drawing up the initial blueprint, reading numerous drafts, and assimilating field reviews into actionable revision plans for our authors and editors.

The first eight chapters are a derivative work, built on the foundation of *Precalculus: An Investigation of Functions*, by David Lippman and Melonie Rasmussen. Chapters 9-12 were written and developed from by our expert and highly experienced **author team**. All twelve chapters follow a new and innovative instructional design, and great care has been taken to maintain a consistent voice from cover to cover. New features have been introduced to flesh out the instruction, all of the graphics have been re-done in a more contemporary style, and much of the content has been revised, replaced, or supplemented to bring the text more in line with mainstream approaches to teaching Precalculus.

Accuracy of the Content

We have taken great pains to ensure the validity and accuracy of this text. Each chapter's manuscript underwent at least two rounds of review and revision by a panel of active Precalculus instructors. Then, prior to publication, a separate team of experts checked all text, examples, and graphics for mathematical accuracy; multiple reviewers were assigned to each chapter to minimize the chances of any error escaping notice. A third team of experts was responsible for the accuracy of the Answer Key, dutifully re-working every solution to eradicate any lingering errors. Finally, the editorial team conducted a multi-round post-production review to ensure the integrity of the content in its final form. The Solutions Manual, which was written and developed after the Student Edition, has also been rigorously checked for accuracy following a process similar to that described above. Incidentally, the act of writing out solutions step-by-step served as yet another round of validation for the Answer Key in the back of the Student Edition.

In spite of the efforts described above, we acknowledge the possibility that—as with any textbook—some errata have slipped past the guards. We encourage users to report errors via our **Errata** (https://cnx.org/content/https://openstaxcollege.org/errata/latest/) page.

Pedagogical Foundations and Features

Learning Objectives

Each chapter is divided into multiple sections (or modules), each of which is organized around a set of learning objectives. The learning objectives are listed explicitly at the beginning of each section, and are the focal point of every instructional element

Narrative text

Narrative text is used to introduce key concepts, terms, and definitions, to provide real-world context, and to provide transitions between topics and examples. Throughout this book, we rely on a few basic conventions to highlight the most important ideas:

Key terms are boldfaced, typically when first introduced and/or when formally defined

Key concepts and definitions are called out in a blue box for easy reference.

Key equations, formulas, theorems, identities, etc. are assigned a number, which appears near the right margin. Occasionally the text may refer back to an equation or formula by its number.

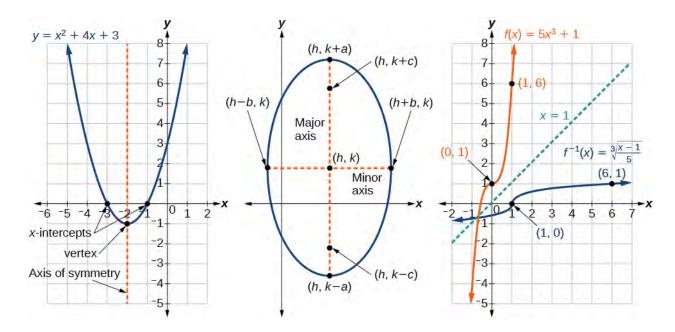
Example

Each learning objective is supported by one or more worked examples, which demonstrate the problem-solving approaches that students must master. Typically, we include multiple Examples for each learning objective in order to model different approaches to the same type of problem, or to introduce similar problems of increasing complexity. All told, there are more than 650 Examples, or an average of about 55 per chapter.

All Examples follow a simple two- or three-part format. First, we pose a problem or question. Next, we demonstrate the Solution, spelling out the steps along the way. Finally (for select Examples), we conclude with an Analysis reflecting on the broader implications of the Solution just shown.

Figures

Openstax *Precalculus* contains more than 2000 figures and illustrations, the vast majority of which are graphs and diagrams. Art throughout the text adheres to a clear, understated style, drawing the eye to the most important information in each figure while minimizing visual distractions. Color contrast is employed with discretion to distinguish between the different functions or features of a graph.



Supporting Features

Four small but important features, each marked by a distinctive icon, serve to support Examples.



A "How To" is a list of steps necessary to solve a certain type of problem. A How To typically precedes an Example that proceeds to demonstrate the steps in action.

A "Try It" exercise immediately follows an Example or a set of related Examples, providing the student with an immediate opportunity to solve a similar problem. In the Online version of the text, students can click an Answer link directly below the question to check their understanding. In other versions, answers to the Try-It exercises are located in the Answer Key.



A Q&A may appear at any point in the narrative, but most often follows an Example. This feature pre-empts misconceptions by posing a commonly asked yes/no question, followed by a detailed answer and explanation.



The "Media" icon appears at the conclusion of each section, just prior to the Section Exercises. This icon marks a list of links to online video tutorials that reinforce the concepts and skills introduced in the section.

Disclaimer: While we have selected tutorials that closely align to our learning objectives, we did not produce these tutorials, nor were they specifically produced or tailored to accompany Openstax *Precalculus*. We are deeply grateful to James Sousa for compiling his incredibly robust and excellent library of video tutorials, which he has made available to the public under a CC-BY-SA license at http://mathispower4u.yolasite.com/. Most or all of the videos to which we link in our "Media" feature (plus many more) are found in the Algebra 2 and Trigonometry video libraries at the above site.

Section Exercises

Each section of every chapter concludes with a well-rounded set of exercises that can be assigned as homework or used selectively for guided practice. With over 5900 exercises across the 12 chapters, instructors should have plenty to choose from^[1].

Section Exercises are organized by question type, and generally appear in the following order:

Verbal questions assess conceptual understanding of key terms and concepts.

Algebraic problems require students to apply algebraic manipulations demonstrated in the section.

Graphical problems assess students' ability to interpret or produce a graph.

Numeric problems require the student perform calculations or computations.

Technology problems encourage exploration through use of a graphing utility, either to visualize or verify algebraic results or to solve problems via an alternative to the methods demonstrated in the section.

Extensions pose problems more challenging than the Examples demonstrated in the section. They require students to synthesize multiple learning objectives or apply critical thinking to solve complex problems.

Real-World Applications present realistic problem scenarios from fields such as physics, geology, biology, finance, and the social sciences.

Chapter Review Features

Each chapter concludes with a review of the most important takeaways, as well as additional practice problems that students can use to prepare for exams.

Key Terms provides a formal definition for each bold-faced term in the chapter.

1. 5,924 total exercises. Includes Chapter Reviews and Practice Tests.

Key Equations presents a compilation of formulas, theorems, and standard-form equations.

Key Concepts summarizes the most important ideas introduced in each section, linking back to the relevant Example(s) in case students need to review.

Chapter Review Exercises include 40-80 practice problems that recall the most important concepts from each section.

Practice Test includes 25-50 problems assessing the most important learning objectives from the chapter. Note that the practice test is not organized by section, and may be more heavily weighted toward cumulative objectives as opposed to the foundational objectives covered in the opening sections.

Answer Key includes the answers to all Try It exercises and every other exercise from the Section Exercises, Chapter Review Exercises, and Practice Test.

Ancillaries

OpenStax projects offer an array of ancillaries for students and instructors. Currently the following resources are available.

Instructor's Solutions Manual

Student's Solutions Manual

PowerPoint Slides

Please visit http://openstaxcollege.org to view an up-to-date list of the Learning Resources for this title and to find information on accessing these resources.

Online Homework



WebAssign is an independent online homework and assessment solution first launched at North Carolina State University in 1997. Today, WebAssign is an employee-owned benefit corporation and participates in the education of over a million students each year. WebAssign empowers faculty to deliver fully customizable assignments and high quality content to their students in an interactive online environment. WebAssign supports Precalculus with hundreds of problems covering every concept in the course, each containing algorithmically-generated values and links directly to the eBook providing a completely integrated online learning experience.

Learningpod is the best place to find high-quality practice and homework questions. Through our partnership with OpenStax College we offer easy-to-use assignment and reporting tools for professors and a beautiful practice experience for students. You can find questions directly from this textbook on Learningpod.com or through the OpenStax mobile app. Look for our links at the end of each chapter!

Practice questions on the Learningpod website: www.learningpod.com

Download the OpenStax Companion Workbooks app (iOS): http://bit.ly/openstaxworkbooks

About Our Team

Lead Author, Senior Content Expert

Jay Abramson has been teaching Precalculus for 33 years, the last 14 at Arizona State University, where he is a principal lecturer in the School of Mathematics and Statistics. His accomplishments at ASU include co-developing the university's first hybrid and online math courses as well as an extensive library of video lectures and tutorials. In addition, he has served as a contributing author for two of Pearson Education's math programs, NovaNet Precalculus and Trigonometry. Prior to coming to ASU, Jay taught at Texas State Technical College and Amarillo College. He received Teacher of the Year awards at both institutions.

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1 FUNCTIONS

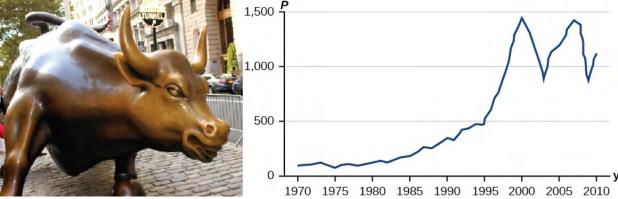


Figure 1.1 Standard and Poor's Index with dividends reinvested (credit "bull": modification of work by Prayitno Hadinata; credit "graph": modification of work by MeasuringWorth)

Chapter Outline

- 1.1 Functions and Function Notation
- 1.2 Domain and Range
- 1.3 Rates of Change and Behavior of Graphs
- 1.4 Composition of Functions
- 1.5 Transformation of Functions
- 1.6 Absolute Value Functions
- 1.7 Inverse Functions

Introduction

Toward the end of the twentieth century, the values of stocks of internet and technology companies rose dramatically. As a result, the Standard and Poor's stock market average rose as well. **Figure 1.1** tracks the value of that initial investment of just under \$100 over the 40 years. It shows that an investment that was worth less than \$500 until about 1995 skyrocketed up to about \$1100 by the beginning of 2000. That five-year period became known as the "dot-com bubble" because so many internet startups were formed. As bubbles tend to do, though, the dot-com bubble eventually burst. Many companies grew too fast and then suddenly went out of business. The result caused the sharp decline represented on the graph beginning at the end of 2000.

Notice, as we consider this example, that there is a definite relationship between the year and stock market average. For any year we choose, we can determine the corresponding value of the stock market average. In this chapter, we will explore these kinds of relationships and their properties.

1.1 | Functions and Function Notation

Learning Objectives

In this section, you will:

- **1.1.1** Determine whether a relation represents a function.
- 1.1.2 Find the value of a function.
- 1.1.3 Determine whether a function is one-to-one.
- **1.1.4** Use the vertical line test to identify functions.
- 1.1.5 Graph the functions listed in the library of functions.

A jetliner changes altitude as its distance from the starting point of a flight increases. The weight of a growing child increases with time. In each case, one quantity depends on another. There is a relationship between the two quantities that we can describe, analyze, and use to make predictions. In this section, we will analyze such relationships.

Determining Whether a Relation Represents a Function

A **relation** is a set of ordered pairs. The set of the first components of each ordered pair is called the **domain** and the set of the second components of each ordered pair is called the **range**. Consider the following set of ordered pairs. The first numbers in each pair are the first five natural numbers. The second number in each pair is twice that of the first.

$$\{(1, 2), (2, 4), (3, 6), (4, 8), (5, 10)\}$$

The domain is {1, 2, 3, 4, 5}. The range is {2, 4, 6, 8, 10}.

Note that each value in the domain is also known as an **input** value, or **independent variable**, and is often labeled with the lowercase letter *x*. Each value in the range is also known as an **output** value, or **dependent variable**, and is often labeled lowercase letter *y*.

A function f is a relation that assigns a single value in the range to each value in the domain. In other words, no x-values are repeated. For our example that relates the first five natural numbers to numbers double their values, this relation is a function because each element in the domain, $\{1, 2, 3, 4, 5\}$, is paired with exactly one element in the range, $\{2, 4, 6, 8, 10\}$.

Now let's consider the set of ordered pairs that relates the terms "even" and "odd" to the first five natural numbers. It would appear as

$$\{(odd, 1), (even, 2), (odd, 3), (even, 4), (odd, 5)\}$$

Notice that each element in the domain, {even, odd} is *not* paired with exactly one element in the range, $\{1, 2, 3, 4, 5\}$. For example, the term "odd" corresponds to three values from the domain, $\{1, 3, 5\}$ and the term "even" corresponds to two values from the range, $\{2, 4\}$. This violates the definition of a function, so this relation is not a function.

Figure 1.2 compares relations that are functions and not functions.

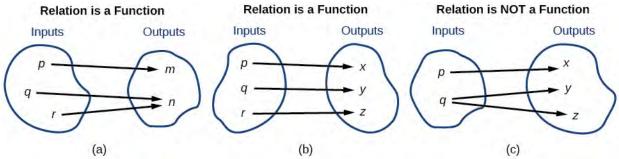


Figure 1.2 (a) This relationship is a function because each input is associated with a single output. Note that input q and r both give output n. (b) This relationship is also a function. In this case, each input is associated with a single output. (c) This relationship is not a function because input q is associated with two different outputs.

Function

A **function** is a relation in which each possible input value leads to exactly one output value. We say "the output is a function of the input."

The **input** values make up the **domain**, and the **output** values make up the **range**.



Given a relationship between two quantities, determine whether the relationship is a function.

- 1. Identify the input values.
- 2. Identify the output values.
- 3. If each input value leads to only one output value, classify the relationship as a function. If any input value leads to two or more outputs, do not classify the relationship as a function.

Example 1.1

Determining If Menu Price Lists Are Functions

The coffee shop menu, shown in **Figure 1.3** consists of items and their prices.

- a. Is price a function of the item?
- b. Is the item a function of the price?

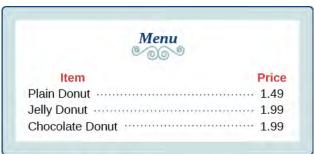


Figure 1.3

Solution

a. Let's begin by considering the input as the items on the menu. The output values are then the prices. See **Figure 1.4**.

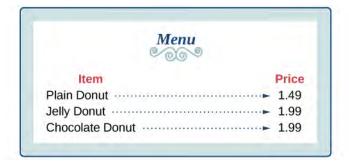


Figure 1.4

Each item on the menu has only one price, so the price is a function of the item.

b. Two items on the menu have the same price. If we consider the prices to be the input values and the items to be the output, then the same input value could have more than one output associated with it. See **Figure 1.5**.

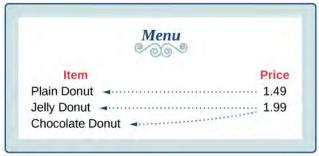


Figure 1.5

Therefore, the item is a not a function of price.

Example 1.2

Determining If Class Grade Rules Are Functions

In a particular math class, the overall percent grade corresponds to a grade point average. Is grade point average a function of the percent grade? Is the percent grade a function of the grade point average? **Table 1.1** shows a possible rule for assigning grade points.

Percent grade	0–56	57–61	62–66	67–71	72–77	78–86	87–91	92–100
Grade point average	0.0	1.0	1.5	2.0	2.5	3.0	3.5	4.0

Table 1.1

Solution

For any percent grade earned, there is an associated grade point average, so the grade point average is a function of the percent grade. In other words, if we input the percent grade, the output is a specific grade point average.

In the grading system given, there is a range of percent grades that correspond to the same grade point average. For example, students who receive a grade point average of 3.0 could have a variety of percent grades ranging from 78 all the way to 86. Thus, percent grade is not a function of grade point average.



1.1 Table 1.2^[1] lists the five greatest baseball players of all time in order of rank.

Player	Rank
Babe Ruth	1
Willie Mays	2
Ty Cobb	3
Walter Johnson	4
Hank Aaron	5

Table 1.2

- a. Is the rank a function of the player name?
- b. Is the player name a function of the rank?

Using Function Notation

Once we determine that a relationship is a function, we need to display and define the functional relationships so that we can understand and use them, and sometimes also so that we can program them into computers. There are various ways of representing functions. A standard function notation is one representation that facilitates working with functions.

To represent "height is a function of age," we start by identifying the descriptive variables h for height and a for age. The letters f, g, and h are often used to represent functions just as we use x, y, and z to represent numbers and A, B, and C to represent sets.

h is f of a We name the function f; height is a function of age. h = f(a) We use parentheses to indicate the function input. f(a) We name the function f; the expression is read as "f of a."

Remember, we can use any letter to name the function; the notation h(a) shows us that h depends on a. The value a must be put into the function h to get a result. The parentheses indicate that age is input into the function; they do not indicate multiplication.

We can also give an algebraic expression as the input to a function. For example f(a + b) means "first add a and b, and the result is the input for the function f." The operations must be performed in this order to obtain the correct result.

Function Notation

The notation y = f(x) defines a function named f. This is read as "y is a function of x." The letter x represents the input value, or independent variable. The letter y, or f(x), represents the output value, or dependent variable.

Example 1.3

Using Function Notation for Days in a Month

Use function notation to represent a function whose input is the name of a month and output is the number of days in that month.

Solution

The number of days in a month is a function of the name of the month, so if we name the function f, we write days = f(month) or d = f(m). The name of the month is the input to a "rule" that associates a specific number (the output) with each input.

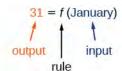


Figure 1.6

For example, f(March) = 31, because March has 31 days. The notation d = f(m) reminds us that the number of days, d (the output), is dependent on the name of the month, m (the input).

Analysis

Note that the inputs to a function do not have to be numbers; function inputs can be names of people, labels of geometric objects, or any other element that determines some kind of output. However, most of the functions we will work with in this book will have numbers as inputs and outputs.

Example 1.4

Interpreting Function Notation

A function N = f(y) gives the number of police officers, N, in a town in year y. What does f(2005) = 300 represent?

Solution

When we read f(2005) = 300, we see that the input year is 2005. The value for the output, the number of police officers (N), is 300. Remember, N = f(y). The statement f(2005) = 300 tells us that in the year 2005 there were 300 police officers in the town.



1.2 Use function notation to express the weight of a pig in pounds as a function of its age in days d.



Instead of a notation such as y = f(x), could we use the same symbol for the output as for the function, such as y = y(x), meaning "y is a function of x?"

Yes, this is often done, especially in applied subjects that use higher math, such as physics and engineering. However, in exploring math itself we like to maintain a distinction between a function such as f, which is a rule or procedure, and the output y we get by applying f to a particular input x. This is why we usually use notation such as y = f(x), P = W(d), and so on.

Representing Functions Using Tables

A common method of representing functions is in the form of a table. The table rows or columns display the corresponding input and output values. In some cases, these values represent all we know about the relationship; other times, the table provides a few select examples from a more complete relationship.

Table 1.3 lists the input number of each month (January = 1, February = 2, and so on) and the output value of the number of days in that month. This information represents all we know about the months and days for a given year (that is not a leap year). Note that, in this table, we define a days-in-a-month function f where D = f(m) identifies months by an integer rather than by name.

Month number, m (input)	1	2	3	4	5	6	7	8	9	10	11	12
Days in month, <i>D</i> (output)	31	28	31	30	31	30	31	31	30	31	30	31

Table 1.3

Table 1.4 defines a function Q = g(n). Remember, this notation tells us that g is the name of the function that takes the input g and gives the output g.

n	1	2	3	4	5
Q	8	6	7	6	8

Table 1.4

Table 1.5 displays the age of children in years and their corresponding heights. This table displays just some of the data available for the heights and ages of children. We can see right away that this table does not represent a function because the same input value, 5 years, has two different output values, 40 in. and 42 in.

Age in years, a (input)	5	5	6	7	8	9	10
Height in inches, h (output)	40	42	44	47	50	52	54

Table 1.5



Given a table of input and output values, determine whether the table represents a function.

- 1. Identify the input and output values.
- 2. Check to see if each input value is paired with only one output value. If so, the table represents a function.

Example 1.5

Identifying Tables that Represent Functions

Which table, **Table 1.6**, **Table 1.7**, or **Table 1.8**, represents a function (if any)?

Input	Output
2	1
5	3
8	6

Table 1.6

Input	Output
-3	5
0	1
4	5

Table 1.7

Input	Output
1	0
5	2
5	4

Table 1.8

Solution

Table 1.6 and **Table 1.7** define functions. In both, each input value corresponds to exactly one output value. **Table 1.8** does not define a function because the input value of 5 corresponds to two different output values.

When a table represents a function, corresponding input and output values can also be specified using function notation.

The function represented by **Table 1.6** can be represented by writing

$$f(2) = 1$$
, $f(5) = 3$, and $f(8) = 6$

Similarly, the statements

$$g(-3) = 5$$
, $g(0) = 1$, and $g(4) = 5$

represent the function in **Table 1.7**.

Table 1.8 cannot be expressed in a similar way because it does not represent a function.



1.3 Does **Table 1.9** represent a function?

Input	Output
1	10
2	100
3	1000

Table 1.9

Finding Input and Output Values of a Function

When we know an input value and want to determine the corresponding output value for a function, we *evaluate* the function. Evaluating will always produce one result because each input value of a function corresponds to exactly one output value.

When we know an output value and want to determine the input values that would produce that output value, we set the output equal to the function's formula and *solve* for the input. Solving can produce more than one solution because different input values can produce the same output value.

Evaluation of Functions in Algebraic Forms

When we have a function in formula form, it is usually a simple matter to evaluate the function. For example, the function $f(x) = 5 - 3x^2$ can be evaluated by squaring the input value, multiplying by 3, and then subtracting the product from 5.



Given the formula for a function, evaluate.

- 1. Replace the input variable in the formula with the value provided.
- 2. Calculate the result.

Example 1.6

Evaluating Functions at Specific Values

Evaluate
$$f(x) = x^2 + 3x - 4$$
 at

- a. 2
- b. α
- c. a+h
- d. $\frac{f(a+h) f(a)}{h}$

Solution

Replace the x in the function with each specified value.

a. Because the input value is a number, 2, we can use simple algebra to simplify.

$$f(2) = 2^{2} + 3(2) - 4$$
$$= 4 + 6 - 4$$
$$= 6$$

b. In this case, the input value is a letter so we cannot simplify the answer any further.

$$f(a) = a^2 + 3a - 4$$

c. With an input value of a + h, we must use the distributive property.

$$f(a+h) = (a+h)^2 + 3(a+h) - 4$$
$$= a^2 + 2ah + h^2 + 3a + 3h - 4$$

d. In this case, we apply the input values to the function more than once, and then perform algebraic operations on the result. We already found that

$$f(a+h) = a^2 + 2ah + h^2 + 3a + 3h - 4$$

and we know that

$$f(a) = a^2 + 3a - 4$$

Now we combine the results and simplify.

$$\frac{f(a+h) - f(a)}{h} = \frac{(a^2 + 2ah + h^2 + 3a + 3h - 4) - (a^2 + 3a - 4)}{h}$$

$$= \frac{2ah + h^2 + 3h}{h}$$

$$= \frac{h(2a+h+3)}{h}$$
 Factor out h .
$$= 2a + h + 3$$
 Simplify.

Example 1.7

Evaluating Functions

Given the function $h(p) = p^2 + 2p$, evaluate h(4).

Solution

To evaluate h(4), we substitute the value 4 for the input variable p in the given function.

$$h(p) = p^{2} + 2p$$

$$h(4) = (4)^{2} + 2(4)$$

$$= 16 + 8$$

$$= 24$$

Therefore, for an input of 4, we have an output of 24.



1.4 Given the function $g(m) = \sqrt{m-4}$, evaluate g(5).

Example 1.8

Solving Functions

Given the function $h(p) = p^2 + 2p$, solve for h(p) = 3.

Solution

$$h(p) = 3$$

 $p^2 + 2p = 3$ Substitute the original function $h(p) = p^2 + 2p$.
 $p^2 + 2p - 3 = 0$ Subtract 3 from each side.
 $(p+3)(p-1) = 0$ Factor.

If (p+3)(p-1)=0, either (p+3)=0 or (p-1)=0 (or both of them equal 0). We will set each factor equal to 0 and solve for p in each case.

$$(p+3) = 0$$
, $p = -3$
 $(p-1) = 0$, $p = 1$

This gives us two solutions. The output h(p) = 3 when the input is either p = 1 or p = -3. We can also verify by graphing as in **Figure 1.7**. The graph verifies that h(1) = h(-3) = 3 and h(4) = 24.

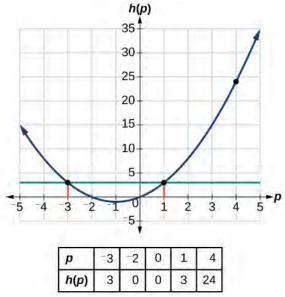


Figure 1.7



1.5 Given the function $g(m) = \sqrt{m-4}$, solve g(m) = 2.

Evaluating Functions Expressed in Formulas

Some functions are defined by mathematical rules or procedures expressed in equation form. If it is possible to express the function output with a formula involving the input quantity, then we can define a function in algebraic form. For example, the equation 2n + 6p = 12 expresses a functional relationship between n and p. We can rewrite it to decide if p is a function of n.



Given a function in equation form, write its algebraic formula.

- 1. Solve the equation to isolate the output variable on one side of the equal sign, with the other side as an expression that involves *only* the input variable.
- 2. Use all the usual algebraic methods for solving equations, such as adding or subtracting the same quantity to or from both sides, or multiplying or dividing both sides of the equation by the same quantity.

Example 1.9

Finding an Equation of a Function

Express the relationship 2n + 6p = 12 as a function p = f(n), if possible.

Solution

To express the relationship in this form, we need to be able to write the relationship where p is a function of n, which means writing it as p = [expression involving n].

$$2n + 6p = 12$$

 $6p = 12 - 2n$ Subtract $2n$ from both sides.
 $p = \frac{12 - 2n}{6}$ Divide both sides by 6 and simplify.
 $p = \frac{12}{6} - \frac{2n}{6}$
 $p = 2 - \frac{1}{3}n$

Therefore, p as a function of n is written as

$$p = f(n) = 2 - \frac{1}{3}n$$

Analysis

It is important to note that not every relationship expressed by an equation can also be expressed as a function with a formula.

Example 1.10

Expressing the Equation of a Circle as a Function

Does the equation $x^2 + y^2 = 1$ represent a function with x as input and y as output? If so, express the relationship as a function y = f(x).

Solution

First we subtract x^2 from both sides.

$$y^2 = 1 - x^2$$

We now try to solve for y in this equation.

$$y = \pm \sqrt{1 - x^2}$$

= $\pm \sqrt{1 - x^2}$ and $-\sqrt{1 - x^2}$

We get two outputs corresponding to the same input, so this relationship cannot be represented as a single function y = f(x).



1.6 If $x - 8y^3 = 0$, express y as a function of x.



Are there relationships expressed by an equation that do represent a function but which still cannot be represented by an algebraic formula?

Yes, this can happen. For example, given the equation $x = y + 2^y$, if we want to express y as a function of x, there is no simple algebraic formula involving only x that equals y. However, each x does determine a unique value for y, and there are mathematical procedures by which y can be found to any desired accuracy. In this case, we say that the equation gives an implicit (implied) rule for y as a function of x, even though the formula cannot be written explicitly.

Evaluating a Function Given in Tabular Form

As we saw above, we can represent functions in tables. Conversely, we can use information in tables to write functions, and we can evaluate functions using the tables. For example, how well do our pets recall the fond memories we share with them? There is an urban legend that a goldfish has a memory of 3 seconds, but this is just a myth. Goldfish can remember up to 3 months, while the beta fish has a memory of up to 5 months. And while a puppy's memory span is no longer than 30 seconds, the adult dog can remember for 5 minutes. This is meager compared to a cat, whose memory span lasts for 16 hours.

The function that relates the type of pet to the duration of its memory span is more easily visualized with the use of a table. See **Table 1.10**. [2]

Pet	Memory span in hours
Puppy	0.008
Adult dog	0.083
Cat	16
Goldfish	2160
Beta fish	3600

Table 1.10

At times, evaluating a function in table form may be more useful than using equations. Here let us call the function P. The domain of the function is the type of pet and the range is a real number representing the number of hours the pet's memory span lasts. We can evaluate the function P at the input value of "goldfish." We would write P(goldfis) = 2160. Notice that, to evaluate the function in table form, we identify the input value and the corresponding output value from the pertinent row of the table. The tabular form for function P seems ideally suited to this function, more so than writing it in paragraph or function form.



Given a function represented by a table, identify specific output and input values.

- 1. Find the given input in the row (or column) of input values.
- 2. Identify the corresponding output value paired with that input value.
- 3. Find the given output values in the row (or column) of output values, noting every time that output value appears.
- 4. Identify the input value(s) corresponding to the given output value.

Example 1.11

Evaluating and Solving a Tabular Function

Using Table 1.11,

- a. Evaluate g(3).
- b. Solve g(n) = 6.

n	1	2	3	4	5
g(n)	8	6	7	6	8

Table 1.11

Solution

a. Evaluating g(3) means determining the output value of the function g for the input value of n = 3. The table output value corresponding to n = 3 is 7, so g(3) = 7.

b. Solving g(n) = 6 means identifying the input values, n, that produce an output value of 6. **Table 1.12** shows two solutions: n = 2 and n = 4.

n	1	2	3	4	5
g(n)	8	6	7	6	8

Table 1.12

When we input 2 into the function g, our output is 6. When we input 4 into the function g, our output is also 6.



1.7 Using **Table 1.12**, evaluate g(1).

Finding Function Values from a Graph

Evaluating a function using a graph also requires finding the corresponding output value for a given input value, only in this case, we find the output value by looking at the graph. Solving a function equation using a graph requires finding all instances of the given output value on the graph and observing the corresponding input value(s).

Example 1.12

Reading Function Values from a Graph

Given the graph in Figure 1.8,

- a. Evaluate f(2).
- b. Solve f(x) = 4.

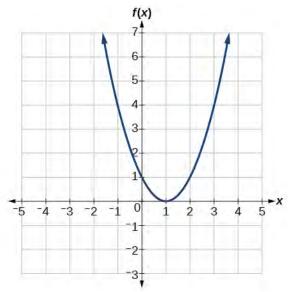


Figure 1.8

Solution

a. To evaluate f(2), locate the point on the curve where x = 2, then read the *y*-coordinate of that point. The point has coordinates (2, 1), so f(2) = 1. See **Figure 1.9**.

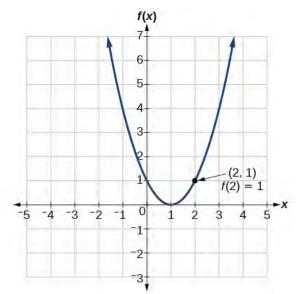


Figure 1.9

b. To solve f(x) = 4, we find the output value 4 on the vertical axis. Moving horizontally along the line y = 4, we locate two points of the curve with output value 4: (-1, 4) and (3, 4). These points represent the two solutions to f(x) = 4: x = -1 or x = 3. This means f(-1) = 4 and f(3) = 4, or when the input is -1 or 3, the output is 4. See **Figure 1.10**.

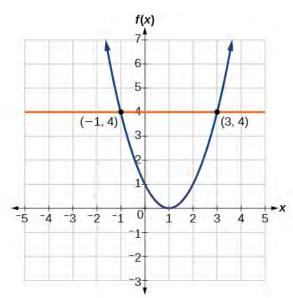


Figure 1.10



1.8 Using **Figure 1.8**, solve f(x) = 1.

Determining Whether a Function is One-to-One

Some functions have a given output value that corresponds to two or more input values. For example, in the stock chart shown in **Figure 1.1** at the beginning of this chapter, the stock price was \$1000 on five different dates, meaning that there were five different input values that all resulted in the same output value of \$1000.

However, some functions have only one input value for each output value, as well as having only one output for each input. We call these functions one-to-one functions. As an example, consider a school that uses only letter grades and decimal equivalents, as listed in **Table 1.13**.

Letter grade	Grade point average		
А	4.0		
В	3.0		
С	2.0		
D	1.0		

Table 1.13

This grading system represents a one-to-one function, because each letter input yields one particular grade point average output and each grade point average corresponds to one input letter.

To visualize this concept, let's look again at the two simple functions sketched in **Figure 1.2(a)** and **Figure 1.2(b)**. The function in part (a) shows a relationship that is not a one-to-one function because inputs q and r both give output n. The function in part (b) shows a relationship that is a one-to-one function because each input is associated with a single output.

One-to-One Function

A one-to-one function is a function in which each output value corresponds to exactly one input value.

Example 1.13

Determining Whether a Relationship Is a One-to-One Function

Is the area of a circle a function of its radius? If yes, is the function one-to-one?

Solution

A circle of radius r has a unique area measure given by $A = \pi r^2$, so for any input, r, there is only one output, A. The area is a function of radius r.

If the function is one-to-one, the output value, the area, must correspond to a unique input value, the radius. Any area measure A is given by the formula $A = \pi r^2$. Because areas and radii are positive numbers, there is exactly one solution: $r = \sqrt{\frac{A}{\pi}}$. So the area of a circle is a one-to-one function of the circle's radius.



- **.9** a. Is a balance a function of the bank account number?
 - b. Is a bank account number a function of the balance?
 - c. Is a balance a one-to-one function of the bank account number?



- **1.10** Evaluate the following:
 - a. If each percent grade earned in a course translates to one letter grade, is the letter grade a function of the percent grade?
 - b. If so, is the function one-to-one?

Using the Vertical Line Test

As we have seen in some examples above, we can represent a function using a graph. Graphs display a great many inputoutput pairs in a small space. The visual information they provide often makes relationships easier to understand. By convention, graphs are typically constructed with the input values along the horizontal axis and the output values along the vertical axis.

The most common graphs name the input value x and the output value y, and we say y is a function of x, or y = f(x) when the function is named f. The graph of the function is the set of all points (x, y) in the plane that satisfies the equation y = f(x). If the function is defined for only a few input values, then the graph of the function is only a few points, where the x-coordinate of each point is an input value and the y-coordinate of each point is the corresponding output value. For example, the black dots on the graph in **Figure 1.11** tell us that f(0) = 2 and f(6) = 1. However, the set of all points (x, y) satisfying y = f(x) is a curve. The curve shown includes (0, 2) and (6, 1) because the curve passes through those points.

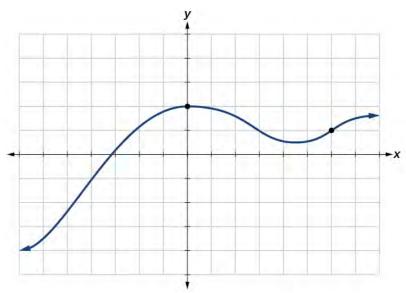


Figure 1.11

The **vertical line test** can be used to determine whether a graph represents a function. If we can draw any vertical line that intersects a graph more than once, then the graph does *not* define a function because a function has only one output value for each input value. See **Figure 1.12**.

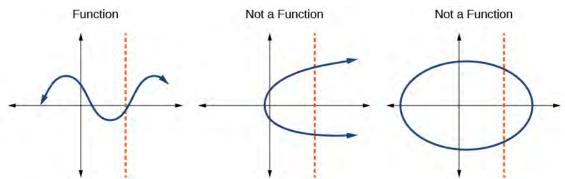


Figure 1.12



Given a graph, use the vertical line test to determine if the graph represents a function.

- 1. Inspect the graph to see if any vertical line drawn would intersect the curve more than once.
- 2. If there is any such line, determine that the graph does not represent a function.

Example 1.14

Applying the Vertical Line Test

Which of the graphs in **Figure 1.13** represent(s) a function y = f(x)?

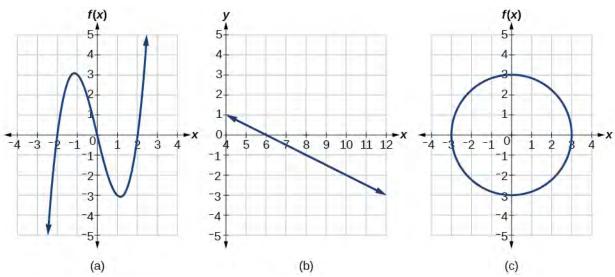


Figure 1.13

Solution

If any vertical line intersects a graph more than once, the relation represented by the graph is not a function. Notice that any vertical line would pass through only one point of the two graphs shown in parts (a) and (b) of **Figure 1.13**. From this we can conclude that these two graphs represent functions. The third graph does not represent a function because, at most *x*-values, a vertical line would intersect the graph at more than one point, as shown in **Figure 1.14**.

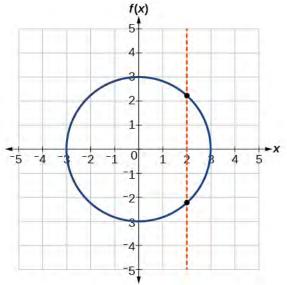


Figure 1.14



1.11 Does the graph in **Figure 1.15** represent a function?

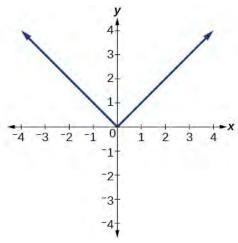


Figure 1.15

Using the Horizontal Line Test

Once we have determined that a graph defines a function, an easy way to determine if it is a one-to-one function is to use the **horizontal line test**. Draw horizontal lines through the graph. If any horizontal line intersects the graph more than once, then the graph does not represent a one-to-one function.



Given a graph of a function, use the horizontal line test to determine if the graph represents a one-to-one To: function.

- 1. Inspect the graph to see if any horizontal line drawn would intersect the curve more than once.
- 2. If there is any such line, determine that the function is not one-to-one.

Example 1.15

Applying the Horizontal Line Test

Consider the functions shown in Figure 1.13(a) and Figure 1.13(b). Are either of the functions one-to-one?

Solution

The function in Figure 1.13(a) is not one-to-one. The horizontal line shown in Figure 1.16 intersects the graph of the function at two points (and we can even find horizontal lines that intersect it at three points.)

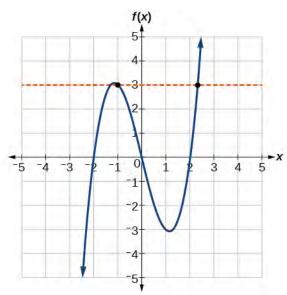


Figure 1.16

The function in **Figure 1.13(b)** is one-to-one. Any horizontal line will intersect a diagonal line at most once.



1.12 Is the graph shown in **Figure 1.14** one-to-one?

Identifying Basic Toolkit Functions

In this text, we will be exploring functions—the shapes of their graphs, their unique characteristics, their algebraic formulas, and how to solve problems with them. When learning to read, we start with the alphabet. When learning to do arithmetic, we start with numbers. When working with functions, it is similarly helpful to have a base set of building-block elements. We call these our "toolkit functions," which form a set of basic named functions for which we know the graph, formula, and special properties. Some of these functions are programmed to individual buttons on many calculators. For these definitions we will use x as the input variable and y = f(x) as the output variable.

We will see these toolkit functions, combinations of toolkit functions, their graphs, and their transformations frequently throughout this book. It will be very helpful if we can recognize these toolkit functions and their features quickly by name, formula, graph, and basic table properties. The graphs and sample table values are included with each function shown in **Table 1.14**.

Name	Function	Graph
Constant	f(x) = c, where c is a constant	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$
Identity	f(x) = x	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$
Absolute value	f(x) = x	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$

Table 1.14

Toolkit Functions				
Name	Function	Graph		
Quadratic	$f(x) = x^2$	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		
Cubic	$f(x) = x^3$	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		
Reciprocal	$f(x) = \frac{1}{x}$	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		

Table 1.14

Name	Function	Graph
		f(x)
		X = f(x)
		2 0.25
Reciprocal	() 1	1+ -1 1
squared	$f(x) = \frac{1}{x^2}$	-4 -3 -2 -1 0 1 2 3 4 -0.5 4
		0.5 4
		-2 -3 2 0.25
		-3- 2 0.25
Square root	$f(x) = \sqrt{x}$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$
Cube root	$f(x) = \sqrt[3]{x}$	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$

Table 1.14



Access the following online resources for additional instruction and practice with functions.

- Determine if a Relation is a Function (http://openstaxcollege.org/l/relationfunction)
- Vertical Line Test (http://openstaxcollege.org/l/vertlinetest)
- Introduction to Functions (http://openstaxcollege.org/l/introtofunction)
- Vertical Line Test on Graph (http://openstaxcollege.org/l/vertlinegraph)
- One-to-one Functions (http://openstaxcollege.org/l/onetoone)
- Graphs as One-to-one Functions (http://openstaxcollege.org/l/graphonetoone)

1.1 EXERCISES

Verbal

1. What is the difference between a relation and a function?

2. What is the difference between the input and the output of a function?

3. Why does the vertical line test tell us whether the graph of a relation represents a function?

4. How can you determine if a relation is a one-to-one function?

5. Why does the horizontal line test tell us whether the graph of a function is one-to-one?

Algebraic

For the following exercises, determine whether the relation represents a function.

6. $\{(a, b), (c, d), (a, c)\}$

7. $\{(a, b), (b, c), (c, c)\}$

For the following exercises, determine whether the relation represents y as a function of x.

8. 5x + 2y = 10

9. $y = x^2$

10. $x = y^2$

11. $3x^2 + y = 14$

12. $2x + y^2 = 6$

13. $y = -2x^2 + 40x$

14. $y = \frac{1}{r}$

15. $x = \frac{3y + 5}{7y - 1}$

16. $x = \sqrt{1 - y^2}$

17. $y = \frac{3x+5}{7x-1}$

18. $x^2 + y^2 = 9$

19.

2xy = 1

20. $x = v^3$

21. $y = x^3$

22. $y = \sqrt{1 - x^2}$

23. $x = \pm \sqrt{1 - y}$

24. $y = \pm \sqrt{1 - x}$

25. $y^2 = x^2$

26. $y^3 = x^2$

For the following exercises, evaluate the function f at the indicated values f(-3), f(2), f(-a), -f(a), f(a+h).

35

27. f(x) = 2x - 5

28. $f(x) = -5x^2 + 2x - 1$

29. $f(x) = \sqrt{2-x} + 5$

30. $f(x) = \frac{6x - 1}{5x + 2}$

31. f(x) = |x - 1| - |x + 1|

32. Given the function $g(x) = 5 - x^2$, evaluate $\frac{g(x+h) - g(x)}{h}$, $h \neq 0$.

33. Given the function $g(x) = x^2 + 2x$, evaluate $\frac{g(x) - g(a)}{x - a}$, $x \neq a$.

34. Given the function k(t) = 2t - 1:

a. Evaluate k(2).

b. Solve k(t) = 7.

35. Given the function f(x) = 8 - 3x:

a. Evaluate f(-2).

b. Solve f(x) = -1.

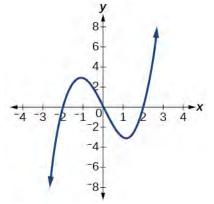
36. Given the function $p(c) = c^2 + c$:

- a. Evaluate p(-3).
- b. Solve p(c) = 2.
- 37. Given the function $f(x) = x^2 3x$:
 - a. Evaluate f(5).
 - b. Solve f(x) = 4.
- 38. Given the function $f(x) = \sqrt{x+2}$:
 - a. Evaluate f(7).
 - b. Solve f(x) = 4.
- 39. Consider the relationship 3r + 2t = 18.
 - a. Write the relationship as a function r = f(t).
 - b. Evaluate f(-3).
 - c. Solve f(t) = 2.

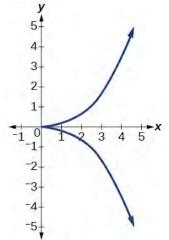
Graphical

For the following exercises, use the vertical line test to determine which graphs show relations that are functions.

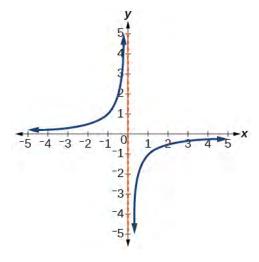
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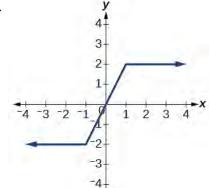
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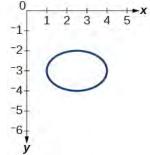
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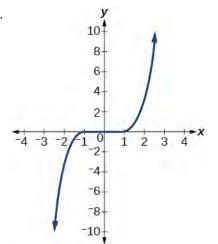


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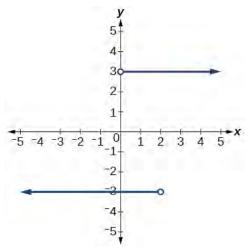


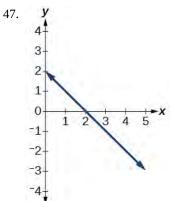
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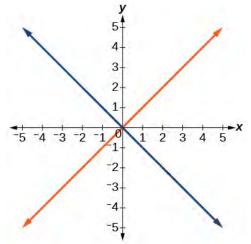


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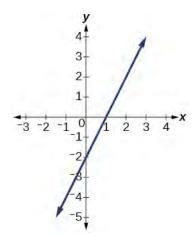




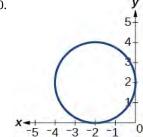
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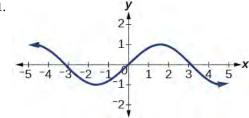


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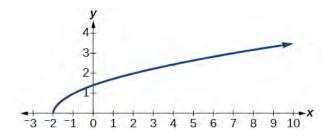


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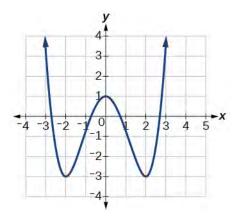




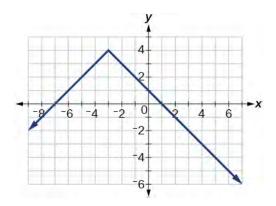
- 52. Given the following graph,
 - Evaluate f(-1).
 - Solve for f(x) = 3.



- 53. Given the following graph,
 - Evaluate f(0).
 - Solve for f(x) = -3.

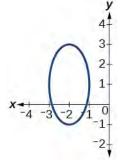


- 54. Given the following graph,
 - Evaluate f(4).
 - Solve for f(x) = 1.

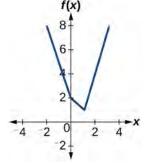


For the following exercises, determine if the given graph is a one-to-one function.

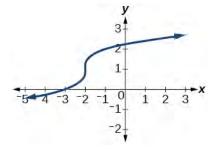
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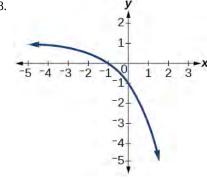
56.



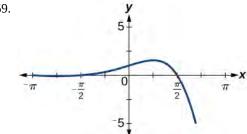
57.



58.



59.



Numeric

For the following exercises, determine whether the relation represents a function.

60.
$$\{(-1, -1), (-2, -2), (-3, -3)\}$$

61. $\{(3, 4), (4, 5), (5, 6)\}$

For the following exercises, determine if the relation represented in table form represents y as a function of x.

63.

x	5	10	15
у	3	8	14

x	5	10	15
y	3	8	8

65.

x	5	10	10
y	3	8	14

For the following exercises, use the function f represented in **Table 1.15**.

x	f(x)
0	74
1	28
2	1
3	53
4	56
5	3
6	36
7	45
8	14
9	47

Table 1.15

- 66. Evaluate f(3).
- 67. Solve f(x) = 1.

For the following exercises, evaluate the function f at the values f(-2), f(-1), f(0), f(1), and f(2).

68.
$$f(x) = 4 - 2x$$

69.
$$f(x) = 8 - 3x$$

70.
$$f(x) = 8x^2 - 7x + 3$$

71.
$$f(x) = 3 + \sqrt{x+3}$$

72.
$$f(x) = \frac{x-2}{x+3}$$

73.
$$f(x) = 3^x$$

For the following exercises, evaluate the expressions, given functions $f,\ g,\$ and h:

•
$$f(x) = 3x - 2$$

•
$$g(x) = 5 - x^2$$

•
$$h(x) = -2x^2 + 3x - 1$$

74.
$$3f(1) - 4g(-2)$$

75.
$$f(\frac{7}{3}) - h(-2)$$

Technology

For the following exercises, graph $y = x^2$ on the given viewing window. Determine the corresponding range for each viewing window. Show each graph.

76.
$$[-0.1, 0.1]$$

77.
$$[-10, 10]$$

78.
$$[-100, 100]$$

For the following exercises, graph $y = x^3$ on the given viewing window. Determine the corresponding range for each viewing window. Show each graph.

79.
$$[-0.1, 0.1]$$

80.
$$[-10, 10]$$

81.
$$[-100, 100]$$

For the following exercises, graph $y = \sqrt{x}$ on the given viewing window. Determine the corresponding range for each viewing window. Show each graph.

- 82. [0, 0.01]
- 83. [0, 100]
- 84. [0, 10,000]

For the following exercises, graph $y = \sqrt[3]{x}$ on the given viewing window. Determine the corresponding range for each viewing window. Show each graph.

- 85. [-0.001, 0.001]
- 86. [-1000, 1000]
- 87. [-1,000,000, 1,000,000]

Real-World Applications

- 88. The amount of garbage, G, produced by a city with population p is given by G = f(p). G is measured in tons per week, and p is measured in thousands of people.
 - a. The town of Tola has a population of 40,000 and produces 13 tons of garbage each week. Express this information in terms of the function f.
 - b. Explain the meaning of the statement f(5) = 2.
- 89. The number of cubic yards of dirt, D, needed to cover a garden with area a square feet is given by D = g(a).
 - a. A garden with area 5000 ft² requires 50 yd³ of dirt. Express this information in terms of the function *g*.
 - b. Explain the meaning of the statement g(100) = 1.
- 90. Let f(t) be the number of ducks in a lake t years after 1990. Explain the meaning of each statement:
 - a. f(5) = 30
 - b. f(10) = 40
- 91. Let h(t) be the height above ground, in feet, of a rocket t seconds after launching. Explain the meaning of each statement:
 - a. h(1) = 200
 - b. h(2) = 350
- 92. Show that the function $f(x) = 3(x-5)^2 + 7$ is <u>not</u> one-to-one.

1.2 | Domain and Range

Learning Objectives

In this section, you will:

- **1.2.1** Find the domain of a function defined by an equation.
- 1.2.2 Graph piecewise-defined functions.

If you're in the mood for a scary movie, you may want to check out one of the five most popular horror movies of all time—I am Legend, Hannibal, The Ring, The Grudge, and The Conjuring. Figure 1.17 shows the amount, in dollars, each of those movies grossed when they were released as well as the ticket sales for horror movies in general by year. Notice that we can use the data to create a function of the amount each movie earned or the total ticket sales for all horror movies by year. In creating various functions using the data, we can identify different independent and dependent variables, and we can analyze the data and the functions to determine the domain and range. In this section, we will investigate methods for determining the domain and range of functions such as these.

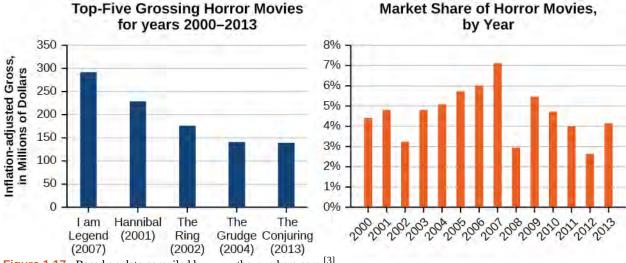


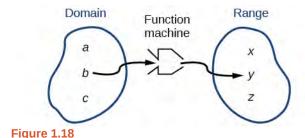
Figure 1.17 Based on data compiled by www.the-numbers.com.^[3]

Finding the Domain of a Function Defined by an Equation

In Functions and Function Notation, we were introduced to the concepts of domain and range. In this section, we will practice determining domains and ranges for specific functions. Keep in mind that, in determining domains and ranges, we need to consider what is physically possible or meaningful in real-world examples, such as tickets sales and year in the horror movie example above. We also need to consider what is mathematically permitted. For example, we cannot include any input value that leads us to take an even root of a negative number if the domain and range consist of real numbers. Or in a function expressed as a formula, we cannot include any input value in the domain that would lead us to divide by 0.

We can visualize the domain as a "holding area" that contains "raw materials" for a "function machine" and the range as another "holding area" for the machine's products. See **Figure 1.18**.

^{3.} The Numbers: Where Data and the Movie Business Meet. "Box Office History for Horror Movies." http://www.thenumbers.com/market/genre/Horror. Accessed 3/24/2014



We can write the domain and range in **interval notation**, which uses values within brackets to describe a set of numbers. In interval notation, we use a square bracket [when the set includes the endpoint and a parenthesis (to indicate that the endpoint is either not included or the interval is unbounded. For example, if a person has \$100 to spend, he or she would need to express the interval that is more than 0 and less than or equal to 100 and write (0, 100]. We will discuss interval notation in greater detail later.

Let's turn our attention to finding the domain of a function whose equation is provided. Oftentimes, finding the domain of such functions involves remembering three different forms. First, if the function has no denominator or an even root, consider whether the domain could be all real numbers. Second, if there is a denominator in the function's equation, exclude values in the domain that force the denominator to be zero. Third, if there is an even root, consider excluding values that would make the radicand negative.

Before we begin, let us review the conventions of interval notation:

- The smallest term from the interval is written first.
- The largest term in the interval is written second, following a comma.
- Parentheses, (or), are used to signify that an endpoint is not included, called exclusive.
- Brackets, [or], are used to indicate that an endpoint is included, called inclusive.

See **Figure 1.19** for a summary of interval notation.

Inequality	Interval Notation	Graph on Number Line	Description
x > a	(a, ∞)	a a	x is greater than a
x <a< td=""><td>(−∞, a)</td><td>a a</td><td>x is less than a</td></a<>	(−∞, a)	a a	x is less than a
x≥a	[a, ∞)	→ L	x is greater than or equal to a
x≤a	(−∞, a]	a	x is less than or equal to a
a < x < b	(a, b)	∢ (x is strictly between a and b
a ≤ x < b	[a, b)	4 [x is between a and b, to include a
a < x ≤ b	(a, b)	4 (x is between a and b, to include b
a≤x≤b	[a, b]	a b	x is between a and b, to include a and b

Figure 1.19

Example 1.16

Finding the Domain of a Function as a Set of Ordered Pairs

Find the domain of the following function: $\{(2, 10), (3, 10), (4, 20), (5, 30), (6, 40)\}$.

Solution

First identify the input values. The input value is the first coordinate in an ordered pair. There are no restrictions, as the ordered pairs are simply listed. The domain is the set of the first coordinates of the ordered pairs.



1.13 Find the domain of the function:

$$\{(-5, 4), (0, 0), (5, -4), (10, -8), (15, -12)\}$$



Given a function written in equation form, find the domain.

- 1. Identify the input values.
- 2. Identify any restrictions on the input and exclude those values from the domain.
- 3. Write the domain in interval form, if possible.

Example 1.17

Finding the Domain of a Function

Find the domain of the function $f(x) = x^2 - 1$.

Solution

The input value, shown by the variable x in the equation, is squared and then the result is lowered by one. Any real number may be squared and then be lowered by one, so there are no restrictions on the domain of this function. The domain is the set of real numbers.

In interval form, the domain of f is $(-\infty, \infty)$.



1.14 Find the domain of the function: $f(x) = 5 - x + x^3$.



Given a function written in an equation form that includes a fraction, find the domain.

- Identify the input values.
 - 2. Identify any restrictions on the input. If there is a denominator in the function's formula, set the denominator equal to zero and solve for *x* . If the function's formula contains an even root, set the radicand greater than or equal to 0, and then solve.
 - 3. Write the domain in interval form, making sure to exclude any restricted values from the domain.

Example 1.18

Finding the Domain of a Function Involving a Denominator

Find the domain of the function $f(x) = \frac{x+1}{2-x}$.

Solution

When there is a denominator, we want to include only values of the input that do not force the denominator to be zero. So, we will set the denominator equal to 0 and solve for x.

$$2 - x = 0 \\
- x = -2 \\
x = 2$$

Now, we will exclude 2 from the domain. The answers are all real numbers where x < 2 or x > 2. We can use a symbol known as the union, \cup , to combine the two sets. In interval notation, we write the solution: $(-\infty, 2) \cup (2, \infty)$.

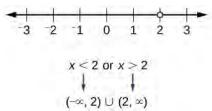


Figure 1.20

In interval form, the domain of f is $(-\infty, 2) \cup (2, \infty)$.



1.15 Find the domain of the function: $f(x) = \frac{1+4x}{2x-1}$.



Given a function written in equation form including an even root, find the domain.

- 1. Identify the input values.
- 2. Since there is an even root, exclude any real numbers that result in a negative number in the radicand. Set the radicand greater than or equal to zero and solve for *x*.
- 3. The solution(s) are the domain of the function. If possible, write the answer in interval form.

Example 1.19

Finding the Domain of a Function with an Even Root

Find the domain of the function $f(x) = \sqrt{7 - x}$.

Solution

When there is an even root in the formula, we exclude any real numbers that result in a negative number in the radicand.

Set the radicand greater than or equal to zero and solve for x.

$$7 - x \ge 0$$
$$-x \ge -7$$
$$x \le 7$$

Now, we will exclude any number greater than 7 from the domain. The answers are all real numbers less than or equal to 7, or $(-\infty, 7]$.



1.16 Find the domain of the function $f(x) = \sqrt{5 + 2x}$.



Can there be functions in which the domain and range do not intersect at all?

Yes. For example, the function $f(x) = -\frac{1}{\sqrt{x}}$ has the set of all positive real numbers as its domain but the set of all negative real numbers as its range. As a more extreme example, a function's inputs and outputs can be completely different categories (for example, names of weekdays as inputs and numbers as outputs, as on an attendance chart), in such cases the domain and range have no elements in common.

Using Notations to Specify Domain and Range

In the previous examples, we used inequalities and lists to describe the domain of functions. We can also use inequalities, or other statements that might define sets of values or data, to describe the behavior of the variable in **set-builder notation**. For example, $\{x|10 \le x < 30\}$ describes the behavior of x in set-builder notation. The braces $\{\}$ are read as "the set of," and the vertical bar | is read as "such that," so we would read $\{x|10 \le x < 30\}$ as "the set of x-values such that 10 is less than or equal to x, and x is less than 30."

Figure 1.21 compares inequality notation, set-builder notation, and interval notation.

	Inequality Notation	Set-builder Notation	Interval Notation
5 10	5 < h ≤ 10	$[h \mid 5 < h \le 10]$	(5, 10]
5 10	5 ≤ <i>h</i> < 10	$[h \mid 5 \le h < 10]$	[5, 10)
 	5 < h < 10	[h 5 < h < 10]	(5, 10)
5 10	h < 10	[h h < 10]	(-∞, 10)
- 	<i>h</i> ≥ 10	[h h ≥ 10]	[10, ∞)
5 10	All real numbers	R	(-∞, ∞)

Figure 1.21

To combine two intervals using inequality notation or set-builder notation, we use the word "or." As we saw in earlier examples, we use the union symbol, \cup , to combine two unconnected intervals. For example, the union of the sets $\{2, 3, 5\}$ and $\{4, 6\}$ is the set $\{2, 3, 4, 5, 6\}$. It is the set of all elements that belong to one *or* the other (or both) of the original two sets. For sets with a finite number of elements like these, the elements do not have to be listed in ascending order of numerical value. If the original two sets have some elements in common, those elements should be listed only once in the union set. For sets of real numbers on intervals, another example of a union is

$${x \mid |x| \ge 3} = (-\infty, -3] \cup [3, \infty)$$

Set-Builder Notation and Interval Notation

Set-builder notation is a method of specifying a set of elements that satisfy a certain condition. It takes the form $\{x \mid \text{ statement about } x\}$ which is read as, "the set of all x such that the statement about x is true." For example,

$$\{x | 4 < x \le 12\}$$

Interval notation is a way of describing sets that include all real numbers between a lower limit that may or may not be included and an upper limit that may or may not be included. The endpoint values are listed between brackets or parentheses. A square bracket indicates inclusion in the set, and a parenthesis indicates exclusion from the set. For example,



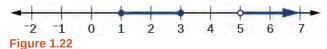
Given a line graph, describe the set of values using interval notation.

- 1. Identify the intervals to be included in the set by determining where the heavy line overlays the real line.
- 2. At the left end of each interval, use [with each end value to be included in the set (solid dot) or (for each excluded end value (open dot).
- 3. At the right end of each interval, use] with each end value to be included in the set (filled dot) or) for each excluded end value (open dot).
- 4. Use the union symbol \cup to combine all intervals into one set.

Example 1.20

Describing Sets on the Real-Number Line

Describe the intervals of values shown in **Figure 1.22** using inequality notation, set-builder notation, and interval notation.



Solution

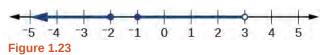
To describe the values, x, included in the intervals shown, we would say, "x is a real number greater than or equal to 1 and less than or equal to 3, or a real number greater than 5."

Inequality	$1 \le x \le 3 \text{ or } x > 5$
Set-builder notation	$\{x 1 \le x \le 3 \text{ or } x > 5\}$
Interval notation	$[1,3]\cup(5,\infty)$

Remember that, when writing or reading interval notation, using a square bracket means the boundary is included in the set. Using a parenthesis means the boundary is not included in the set.



- **1.17** Given **Figure 1.23**, specify the graphed set in
 - a. words
 - b. set-builder notation
 - c. interval notation



Finding Domain and Range from Graphs

Another way to identify the domain and range of functions is by using graphs. Because the domain refers to the set of possible input values, the domain of a graph consists of all the input values shown on the *x*-axis. The range is the set of

possible output values, which are shown on the *y*-axis. Keep in mind that if the graph continues beyond the portion of the graph we can see, the domain and range may be greater than the visible values. See **Figure 1.24**.

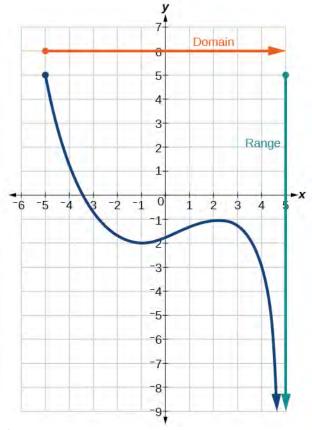


Figure 1.24

We can observe that the graph extends horizontally from -5 to the right without bound, so the domain is $[-5, \infty)$. The vertical extent of the graph is all range values 5 and below, so the range is $(-\infty, 5]$. Note that the domain and range are always written from smaller to larger values, or from left to right for domain, and from the bottom of the graph to the top of the graph for range.

Example 1.21

Finding Domain and Range from a Graph

Find the domain and range of the function f whose graph is shown in **Figure 1.25**.

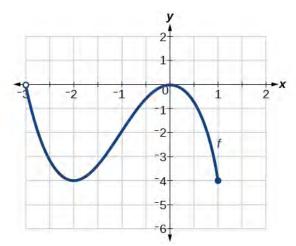


Figure 1.25

Solution

We can observe that the horizontal extent of the graph is -3 to 1, so the domain of f is (-3, 1].

The vertical extent of the graph is 0 to -4, so the range is [-4, 0). See **Figure 1.26**.

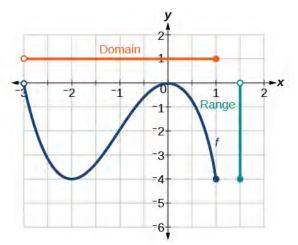


Figure 1.26

Example 1.22

Finding Domain and Range from a Graph of Oil Production

Find the domain and range of the function f whose graph is shown in **Figure 1.27**.

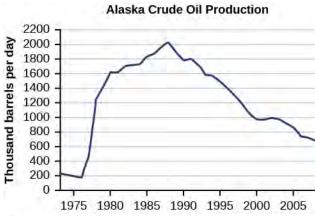


Figure 1.27 (credit: modification of work by the U.S. Energy Information Administration)^[4]

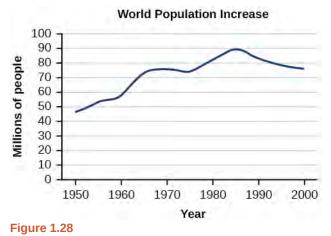
Solution

The input quantity along the horizontal axis is "years," which we represent with the variable t for time. The output quantity is "thousands of barrels of oil per day," which we represent with the variable b for barrels. The graph may continue to the left and right beyond what is viewed, but based on the portion of the graph that is visible, we can determine the domain as $1973 \le t \le 2008$ and the range as approximately $180 \le b \le 2010$.

In interval notation, the domain is [1973, 2008], and the range is about [180, 2010]. For the domain and the range, we approximate the smallest and largest values since they do not fall exactly on the grid lines.



1.18 Given **Figure 1.28**, identify the domain and range using interval notation.



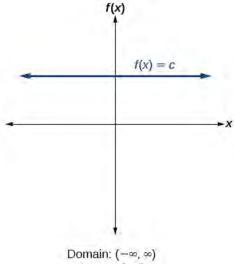


Can a function's domain and range be the same?

Yes. For example, the domain and range of the cube root function are both the set of all real numbers.

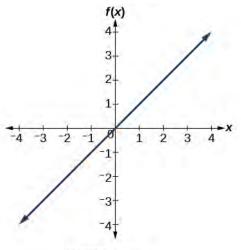
Finding Domains and Ranges of the Toolkit Functions

We will now return to our set of toolkit functions to determine the domain and range of each.



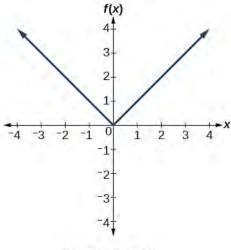
Range: [c,c]

Figure 1.29 For the **constant function** f(x) = c, the domain consists of all real numbers; there are no restrictions on the input. The only output value is the constant c, so the range is the set $\{c\}$ that contains this single element. In interval notation, this is written as [c, c], the interval that both begins and ends with c.



Domain: $(-\infty, \infty)$ Range: $(-\infty, \infty)$

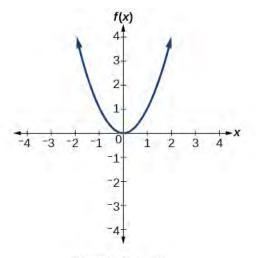
Figure 1.30 For the **identity function** f(x) = x, there is no restriction on x. Both the domain and range are the set of all real numbers.



Domain: $(-\infty, \infty)$ Range: $[0, \infty)$

Figure 1.31 For the **absolute value function** f(x) = |x|, there is no restriction on x. However, because absolute value is defined as a distance from 0, the output can only be greater than

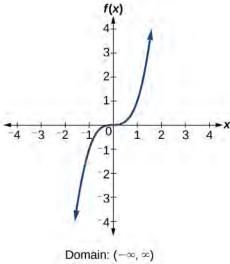
or equal to 0.



Domain: $(-\infty, \infty)$ Range: $[0, \infty)$

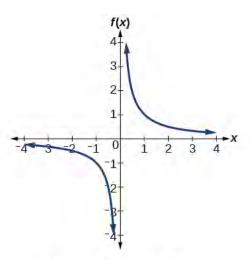
Figure 1.32 For the **quadratic function** $f(x) = x^2$, the

domain is all real numbers since the horizontal extent of the graph is the whole real number line. Because the graph does not include any negative values for the range, the range is only nonnegative real numbers.



Domain: $(-\infty, \infty)$ Range: $(-\infty, \infty)$

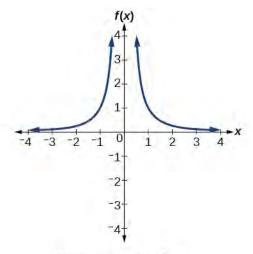
Figure 1.33 For the **cubic function** $f(x) = x^3$, the domain is all real numbers because the horizontal extent of the graph is the whole real number line. The same applies to the vertical extent of the graph, so the domain and range include all real numbers.



Domain: $(-\infty, 0) \cup (0, \infty)$ Range: $(-\infty, 0) \cup (0, \infty)$

Figure 1.34 For the **reciprocal function** $f(x) = \frac{1}{x}$, we

cannot divide by 0, so we must exclude 0 from the domain. Further, 1 divided by any value can never be 0, so the range also will not include 0. In set-builder notation, we could also write $\{x | x \neq 0\}$, the set of all real numbers that are not zero.

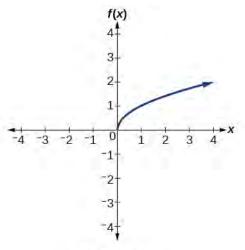


Domain: $(-\infty, 0) \cup (0, \infty)$ Range: $(0, \infty)$

Figure 1.35 For the reciprocal squared function

 $f(x) = \frac{1}{x^2}$, we cannot divide by 0, so we must exclude 0

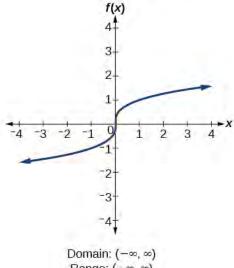
from the domain. There is also no x that can give an output of 0, so 0 is excluded from the range as well. Note that the output of this function is always positive due to the square in the denominator, so the range includes only positive numbers.



Domain: [0, ∞) Range: [0, ∞)

Figure 1.36 For the **square root function** $f(x) = \sqrt{x}$, we

cannot take the square root of a negative real number, so the domain must be 0 or greater. The range also excludes negative numbers because the square root of a positive number x is defined to be positive, even though the square of the negative number $-\sqrt{x}$ also gives us x.



Range: (-∞, ∞)

Figure 1.37 For the **cube root function** $f(x) = \sqrt[3]{x}$, the domain and range include all real numbers. Note that there is no problem taking a cube root, or any odd-integer root, of a negative number, and the resulting output is negative (it is an odd function).

How To:

Given the formula for a function, determine the domain and range.

- 1. Exclude from the domain any input values that result in division by zero.
- 2. Exclude from the domain any input values that have nonreal (or undefined) number outputs.
- 3. Use the valid input values to determine the range of the output values.
- 4. Look at the function graph and table values to confirm the actual function behavior.

Example 1.23

Finding the Domain and Range Using Toolkit Functions

Find the domain and range of $f(x) = 2x^3 - x$.

Solution

There are no restrictions on the domain, as any real number may be cubed and then subtracted from the result.

The domain is $(-\infty, \infty)$ and the range is also $(-\infty, \infty)$.

Example 1.24

Finding the Domain and Range

Find the domain and range of $f(x) = \frac{2}{x+1}$.

Solution

We cannot evaluate the function at -1 because division by zero is undefined. The domain is $(-\infty, -1) \cup (-1, \infty)$. Because the function is never zero, we exclude 0 from the range. The range is $(-\infty, 0) \cup (0, \infty)$.

Example 1.25

Finding the Domain and Range

Find the domain and range of $f(x) = 2\sqrt{x+4}$.

Solution

We cannot take the square root of a negative number, so the value inside the radical must be nonnegative.

$$x + 4 \ge 0$$
 when $x \ge -4$

The domain of f(x) is $[-4, \infty)$.

We then find the range. We know that f(-4) = 0, and the function value increases as x increases without any upper limit. We conclude that the range of f is $[0, \infty)$.

Analysis

Figure 1.38 represents the function f.

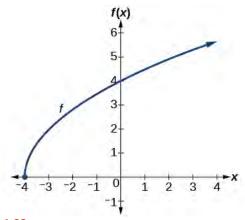


Figure 1.38



1.19 Find the domain and range of $f(x) = -\sqrt{2-x}$.

Graphing Piecewise-Defined Functions

Sometimes, we come across a function that requires more than one formula in order to obtain the given output. For example, in the toolkit functions, we introduced the absolute value function f(x) = |x|. With a domain of all real numbers and a range of values greater than or equal to 0, absolute value can be defined as the magnitude, or modulus, of a real number

value regardless of sign. It is the distance from 0 on the number line. All of these definitions require the output to be greater than or equal to 0.

If we input 0, or a positive value, the output is the same as the input.

$$f(x) = x \text{ if } x \ge 0$$

If we input a negative value, the output is the opposite of the input.

$$f(x) = -x \text{ if } x < 0$$

Because this requires two different processes or pieces, the absolute value function is an example of a piecewise function. A **piecewise function** is a function in which more than one formula is used to define the output over different pieces of the domain.

We use piecewise functions to describe situations in which a rule or relationship changes as the input value crosses certain "boundaries." For example, we often encounter situations in business for which the cost per piece of a certain item is discounted once the number ordered exceeds a certain value. Tax brackets are another real-world example of piecewise functions. For example, consider a simple tax system in which incomes up to \$10,000 are taxed at 10%, and any additional income is taxed at 20%. The tax on a total income S would be 0.1S if $S \le \$10,000$ and \$1000 + 0.2(S - \$10,000) if S > \$10,000.

Piecewise Function

A piecewise function is a function in which more than one formula is used to define the output. Each formula has its own domain, and the domain of the function is the union of all these smaller domains. We notate this idea like this:

$$f(x) = \begin{cases} \text{formula 1} & \text{if } x \text{ is in domain 1} \\ \text{formula 2} & \text{if } x \text{ is in domain 2} \\ \text{formula 3} & \text{if } x \text{ is in domain 3} \end{cases}$$

In piecewise notation, the absolute value function is

$$|x| = \begin{cases} x & \text{if } x \ge 0 \\ -x & \text{if } x < 0 \end{cases}$$



Given a piecewise function, write the formula and identify the domain for each interval.

- 1. Identify the intervals for which different rules apply.
- 2. Determine formulas that describe how to calculate an output from an input in each interval.
- 3. Use braces and if-statements to write the function.

Example 1.26

Writing a Piecewise Function

A museum charges \$5 per person for a guided tour with a group of 1 to 9 people or a fixed \$50 fee for a group of 10 or more people. Write a function relating the number of people, n, to the cost, C.

Solution

Two different formulas will be needed. For *n*-values under 10, C = 5n. For values of *n* that are 10 or greater, C = 50.

$$C(n) = \begin{cases} 5n & \text{if } 0 < n < 10 \\ 50 & \text{if } n \ge 10 \end{cases}$$

Analysis

The function is represented in **Figure 1.39**. The graph is a diagonal line from n = 0 to n = 10 and a constant after that. In this example, the two formulas agree at the meeting point where n = 10, but not all piecewise functions have this property.

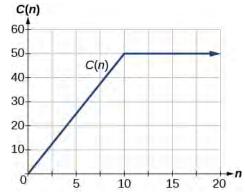


Figure 1.39

Example 1.27

Working with a Piecewise Function

A cell phone company uses the function below to determine the cost, C, in dollars for g gigabytes of data transfer.

$$C(g) = \begin{cases} 25 & \text{if } 0 < g < 2\\ 25 + 10(g - 2) & \text{if } g \ge 2 \end{cases}$$

Find the cost of using 1.5 gigabytes of data and the cost of using 4 gigabytes of data.

Solution

To find the cost of using 1.5 gigabytes of data, C(1.5), we first look to see which part of the domain our input falls in. Because 1.5 is less than 2, we use the first formula.

$$C(1.5) = $25$$

To find the cost of using 4 gigabytes of data, C(4), we see that our input of 4 is greater than 2, so we use the second formula.

$$C(4) = 25 + 10(4 - 2) = $45$$

Analysis

The function is represented in **Figure 1.40**. We can see where the function changes from a constant to a shifted and stretched identity at g = 2. We plot the graphs for the different formulas on a common set of axes, making sure each formula is applied on its proper domain.

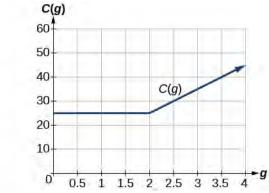


Figure 1.40



Given a piecewise function, sketch a graph.

- 1. Indicate on the *x*-axis the boundaries defined by the intervals on each piece of the domain.
- 2. For each piece of the domain, graph on that interval using the corresponding equation pertaining to that piece. Do not graph two functions over one interval because it would violate the criteria of a function.

Example 1.28

Graphing a Piecewise Function

Sketch a graph of the function.

$$f(x) = \begin{cases} x^2 & \text{if} \quad x \le 1\\ 3 & \text{if} \quad 1 < x \le 2\\ x & \text{if} \quad x > 2 \end{cases}$$

Solution

Each of the component functions is from our library of toolkit functions, so we know their shapes. We can imagine graphing each function and then limiting the graph to the indicated domain. At the endpoints of the domain, we draw open circles to indicate where the endpoint is not included because of a less-than or greater-than inequality; we draw a closed circle where the endpoint is included because of a less-than-or-equal-to or greater-than-or-equal-to inequality.

Figure 1.41 shows the three components of the piecewise function graphed on separate coordinate systems.

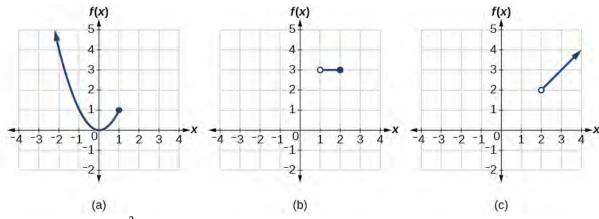


Figure 1.41 (a) $f(x) = x^2$ if $x \le 1$; (b) f(x) = 3 if $1 < x \le 2$; (c) f(x) = x if x > 2

Now that we have sketched each piece individually, we combine them in the same coordinate plane. See **Figure 1.42**.

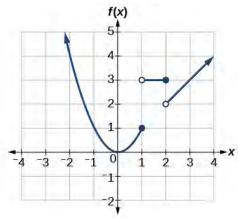


Figure 1.42

Analysis

Note that the graph does pass the vertical line test even at x = 1 and x = 2 because the points (1, 3) and (2, 2) are not part of the graph of the function, though (1, 1) and (2, 3) are.



1.20 Graph the following piecewise function.

$$f(x) = \begin{cases} x^3 & \text{if } x < -1 \\ -2 & \text{if } -1 < x < 4 \\ \sqrt{x} & \text{if } x > 4 \end{cases}$$



Can more than one formula from a piecewise function be applied to a value in the domain?

No. Each value corresponds to one equation in a piecewise formula.



Access these online resources for additional instruction and practice with domain and range.

- Domain and Range of Square Root Functions (http://openstaxcollege.org/l/domainsqroot)
- Determining Domain and Range (http://openstaxcollege.org/l/determinedomain)
- Find Domain and Range Given the Graph (http://openstaxcollege.org/l/drgraph)
- Find Domain and Range Given a Table (http://openstaxcollege.org/l/drtable)
- Find Domain and Range Given Points on a Coordinate Plane (http://openstaxcollege.org/l/drcoordinate)

1.2 EXERCISES

Verbal

93. Why does the domain differ for different functions?

94. How do we determine the domain of a function defined by an equation?

95. Explain why the domain of $f(x) = \sqrt[3]{x}$ is different from the domain of $f(x) = \sqrt{x}$.

96. When describing sets of numbers using interval notation, when do you use a parenthesis and when do you use a bracket?

97. How do you graph a piecewise function?

Algebraic

For the following exercises, find the domain of each function using interval notation.

98.
$$f(x) = -2x(x-1)(x-2)$$

99.
$$f(x) = 5 - 2x^2$$

100.
$$f(x) = 3\sqrt{x-2}$$

101.
$$f(x) = 3 - \sqrt{6 - 2x}$$

102.
$$f(x) = \sqrt{4 - 3x}$$

103.
$$f(x) = \sqrt{x^2 + 4}$$

104.
$$f(x) = \sqrt[3]{1 - 2x}$$

105.
$$f(x) = \sqrt[3]{x-1}$$

106.
$$f(x) = \frac{9}{x-6}$$

107.
$$f(x) = \frac{3x+1}{4x+2}$$

108.
$$f(x) = \frac{\sqrt{x+4}}{x-4}$$

109.
$$f(x) = \frac{x-3}{x^2 + 9x - 22}$$

110.
$$f(x) = \frac{1}{x^2 - x - 6}$$

$$f(x) = \frac{2x^3 - 250}{x^2 - 2x - 15}$$

112.
$$\frac{5}{\sqrt{x-3}}$$

113.
$$\frac{2x+1}{\sqrt{5-x}}$$

114.
$$f(x) = \frac{\sqrt{x-4}}{\sqrt{x-6}}$$

115.
$$f(x) = \frac{\sqrt{x-6}}{\sqrt{x-4}}$$

116.
$$f(x) = \frac{x}{x}$$

117.
$$f(x) = \frac{x^2 - 9x}{x^2 - 81}$$

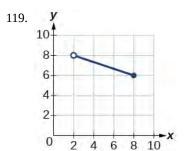
118. Find the domain of the function $f(x) = \sqrt{2x^3 - 50x}$ by:

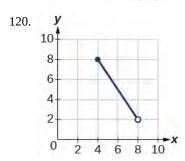
a. using algebra.

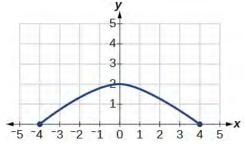
b. graphing the function in the radicand and determining intervals on the *x*-axis for which the radicand is nonnegative.

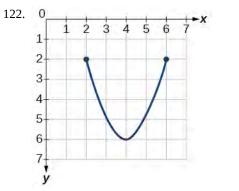
Graphical

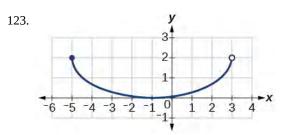
For the following exercises, write the domain and range of each function using interval notation.

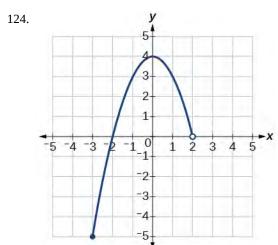


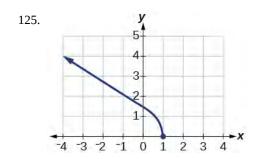


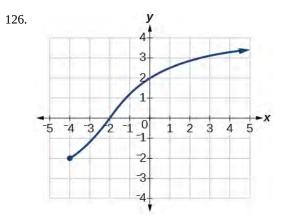


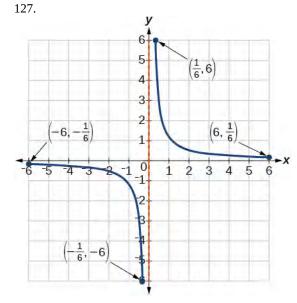


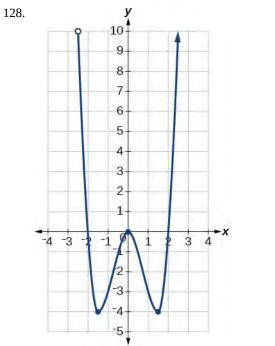


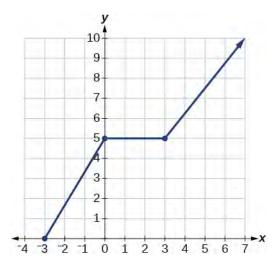












For the following exercises, sketch a graph of the piecewise function. Write the domain in interval notation.

130.
$$f(x) = \begin{cases} x+1 & \text{if } x < -2 \\ -2x-3 & \text{if } x \ge -2 \end{cases}$$

131.
$$f(x) = \begin{cases} 2x - 1 & \text{if } x < 1\\ 1 + x & \text{if } x \ge 1 \end{cases}$$

132.
$$f(x) = \begin{cases} x+1 & \text{if } x < 0 \\ x-1 & \text{if } x > 0 \end{cases}$$

133.
$$f(x) = \begin{cases} 3 & \text{if } x < 0 \\ \sqrt{x} & \text{if } x \ge 0 \end{cases}$$

134.
$$f(x) = \begin{cases} x^2 & \text{if } x < 0 \\ 1 - x & \text{if } x > 0 \end{cases}$$

135.
$$f(x) = \begin{cases} x^2 & \text{if } x < 0 \\ x + 2 & \text{if } x \ge 0 \end{cases}$$

136.
$$f(x) = \begin{cases} x+1 & \text{if } x < 1 \\ x^3 & \text{if } x > 1 \end{cases}$$

137.
$$f(x) = \begin{cases} |x| & \text{if } x < 2 \\ 1 & \text{if } x > 2 \end{cases}$$

Numeric

For the following exercises, given each function f, evaluate f(-3), f(-2), f(-1), and f(0).

138.
$$f(x) = \begin{cases} x+1 & \text{if } x < -2 \\ -2x-3 & \text{if } x \ge -2 \end{cases}$$

139.
$$f(x) = \begin{cases} 1 & \text{if } x \le -3 \\ 0 & \text{if } x > -3 \end{cases}$$

140.
$$f(x) = \begin{cases} -2x^2 + 3 & \text{if } x \le -1 \\ 5x - 7 & \text{if } x > -1 \end{cases}$$

For the following exercises, given each function f, evaluate f(-1), f(0), f(2), and f(4).

141.
$$f(x) = \begin{cases} 7x + 3 & \text{if } x < 0 \\ 7x + 6 & \text{if } x > 0 \end{cases}$$

142.
$$f(x) = \begin{cases} x^2 - 2 & \text{if } x < 2\\ 4 + |x - 5| & \text{if } x \ge 2 \end{cases}$$

143.
$$f(x) = \begin{cases} 5x & \text{if } x < 0 \\ 3 & \text{if } 0 \le x \le 3 \\ x^2 & \text{if } x > 3 \end{cases}$$

For the following exercises, write the domain for the piecewise function in interval notation.

144.
$$f(x) = \begin{cases} x+1 & \text{if } x < -2 \\ -2x - 3 & \text{if } x \ge -2 \end{cases}$$

145.
$$f(x) = \begin{cases} x^2 - 2 & \text{if } x < 1 \\ -x^2 + 2 & \text{if } x > 1 \end{cases}$$

146.
$$f(x) = \begin{cases} 2x - 3 & \text{if } x < 0 \\ -3x^2 & \text{if } x \ge 2 \end{cases}$$

Technology

147. Graph $y = \frac{1}{x^2}$ on the viewing window [-0.5, -0.1] and [0.1, 0.5]. Determine the corresponding range for the viewing window. Show the graphs.

148. Graph $y = \frac{1}{x}$ on the viewing window [-0.5, -0.1] and [0.1, 0.5]. Determine the corresponding range for the viewing window. Show the graphs.

Extension

- 149. Suppose the range of a function f is [-5, 8]. What is the range of |f(x)|?
- 150. Create a function in which the range is all nonnegative real numbers.
- 151. Create a function in which the domain is x > 2.

Real-World Applications

152. The height h of a projectile is a function of the time t it is in the air. The height in feet for t seconds is given by the function $h(t) = -16t^2 + 96t$. What is the domain of the function? What does the domain mean in the context of the problem?

153. The cost in dollars of making x items is given by the function C(x) = 10x + 500.

- a. The fixed cost is determined when zero items are produced. Find the fixed cost for this item.
- b. What is the cost of making 25 items?
- c. Suppose the maximum cost allowed is \$1500. What are the domain and range of the cost function, C(x)?

1.3 | Rates of Change and Behavior of Graphs

Learning Objectives

In this section, you will:

- **1.3.1** Find the average rate of change of a function.
- 1.3.2 Use a graph to determine where a function is increasing, decreasing, or constant.
- 1.3.3 Use a graph to locate local maxima and local minima.
- **1.3.4** Use a graph to locate the absolute maximum and absolute minimum.

Gasoline costs have experienced some wild fluctuations over the last several decades. **Table 1.17**^[5] lists the average cost, in dollars, of a gallon of gasoline for the years 2005–2012. The cost of gasoline can be considered as a function of year.

у	2005	2006	2007	2008	2009	2010	2011	2012
C(y)	2.31	2.62	2.84	3.30	2.41	2.84	3.58	3.68

Table 1.17

If we were interested only in how the gasoline prices changed between 2005 and 2012, we could compute that the cost per gallon had increased from \$2.31 to \$3.68, an increase of \$1.37. While this is interesting, it might be more useful to look at how much the price changed *per year*. In this section, we will investigate changes such as these.

Finding the Average Rate of Change of a Function

The price change per year is a **rate of change** because it describes how an output quantity changes relative to the change in the input quantity. We can see that the price of gasoline in **Table 1.17** did not change by the same amount each year, so the rate of change was not constant. If we use only the beginning and ending data, we would be finding the **average rate of change** over the specified period of time. To find the average rate of change, we divide the change in the output value by the change in the input value.

Average rate of change =
$$\frac{\text{Change in output}}{\text{Change in input}}$$

= $\frac{\Delta y}{\Delta x}$
= $\frac{y_2 - y_1}{x_2 - x_1}$
= $\frac{f(x_2) - f(x_1)}{x_2 - x_1}$

The Greek letter Δ (delta) signifies the change in a quantity; we read the ratio as "delta-y over delta-x" or "the change in y divided by the change in x." Occasionally we write Δf instead of Δy , which still represents the change in the function's output value resulting from a change to its input value. It does not mean we are changing the function into some other function.

In our example, the gasoline price increased by \$1.37 from 2005 to 2012. Over 7 years, the average rate of change was

$$\frac{\Delta y}{\Delta x} = \frac{\$1.37}{7 \text{ years}} \approx 0.196 \text{ dollars per year}$$

On average, the price of gas increased by about 19.6¢ each year.

Other examples of rates of change include:

^{5.} http://www.eia.gov/totalenergy/data/annual/showtext.cfm?t=ptb0524. Accessed 3/5/2014.

- A population of rats increasing by 40 rats per week
- A car traveling 68 miles per hour (distance traveled changes by 68 miles each hour as time passes)
- A car driving 27 miles per gallon (distance traveled changes by 27 miles for each gallon)
- The current through an electrical circuit increasing by 0.125 amperes for every volt of increased voltage
- The amount of money in a college account decreasing by \$4,000 per quarter

Rate of Change

A rate of change describes how an output quantity changes relative to the change in the input quantity. The units on a rate of change are "output units per input units."

The average rate of change between two input values is the total change of the function values (output values) divided by the change in the input values.

$$\frac{\Delta y}{\Delta x} = \frac{f(x_2) - f(x_1)}{x_2 - x_1}$$
 (1.1)



Given the value of a function at different points, calculate the average rate of change of a function for the interval between two values x_1 and x_2 .

- 1. Calculate the difference $y_2 y_1 = \Delta y$.
- 2. Calculate the difference $x_2 x_1 = \Delta x$.
- 3. Find the ratio $\frac{\Delta y}{\Delta x}$.

Example 1.29

Computing an Average Rate of Change

Using the data in **Table 1.17**, find the average rate of change of the price of gasoline between 2007 and 2009.

Solution

In 2007, the price of gasoline was \$2.84. In 2009, the cost was \$2.41. The average rate of change is

$$\frac{\Delta y}{\Delta x} = \frac{y_2 - y_1}{x_2 - x_1}$$

$$= \frac{\$2.41 - \$2.84}{2009 - 2007}$$

$$= \frac{-\$0.43}{2 \text{ years}}$$

$$= -\$0.22 \text{ per year}$$

Analysis

Note that a decrease is expressed by a negative change or "negative increase." A rate of change is negative when the output decreases as the input increases or when the output increases as the input decreases.



1.21 Using the data in **Table 1.17**, find the average rate of change between 2005 and 2010.

Example 1.30

Computing Average Rate of Change from a Graph

Given the function g(t) shown in **Figure 1.43**, find the average rate of change on the interval [-1, 2].

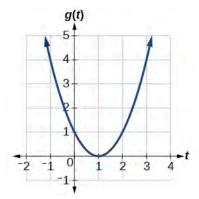


Figure 1.43

Solution

At t = -1, Figure 1.44 shows g(-1) = 4. At t = 2, the graph shows g(2) = 1.

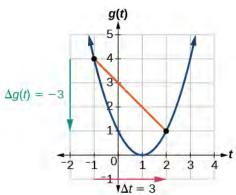


Figure 1.44

The horizontal change $\Delta t = 3$ is shown by the red arrow, and the vertical change $\Delta g(t) = -3$ is shown by the turquoise arrow. The output changes by -3 while the input changes by 3, giving an average rate of change of

$$\frac{1-4}{2-(-1)} = \frac{-3}{3} = -1$$

Analysis

Note that the order we choose is very important. If, for example, we use $\frac{y_2 - y_1}{x_1 - x_2}$, we will not get the correct answer. Decide which point will be 1 and which point will be 2, and keep the coordinates fixed as (x_1, y_1) and (x_2, y_2) .

Example 1.31

Computing Average Rate of Change from a Table

After picking up a friend who lives 10 miles away, Anna records her distance from home over time. The values are shown in **Table 1.18**. Find her average speed over the first 6 hours.

t (hours)	0	1	2	3	4	5	6	7
D(t) (miles)	10	55	90	153	214	240	282	300

Table 1.18

Solution

Here, the average speed is the average rate of change. She traveled 282 miles in 6 hours, for an average speed of

$$\frac{292 - 10}{6 - 0} = \frac{282}{6}$$
$$= 47$$

The average speed is 47 miles per hour.

Analysis

Because the speed is not constant, the average speed depends on the interval chosen. For the interval [2,3], the average speed is 63 miles per hour.

Example 1.32

Computing Average Rate of Change for a Function Expressed as a Formula

Compute the average rate of change of $f(x) = x^2 - \frac{1}{x}$ on the interval [2, 4].

Solution

We can start by computing the function values at each endpoint of the interval.

$$f(2) = 2^{2} - \frac{1}{2} \qquad f(4) = 4^{2} - \frac{1}{4}$$
$$= 4 - \frac{1}{2} \qquad = 16 - \frac{1}{4}$$
$$= \frac{7}{2} \qquad = \frac{63}{4}$$

Now we compute the average rate of change.

Average rate of change
$$= \frac{f(4) - f(2)}{4 - 2}$$
$$= \frac{\frac{63}{4} - \frac{7}{2}}{4 - 2}$$
$$= \frac{\frac{49}{4}}{2}$$
$$= \frac{49}{8}$$



1.22 Find the average rate of change of $f(x) = x - 2\sqrt{x}$ on the interval [1, 9].

Example 1.33

Finding the Average Rate of Change of a Force

The electrostatic force F, measured in newtons, between two charged particles can be related to the distance between the particles d, in centimeters, by the formula $F(d) = \frac{2}{d^2}$. Find the average rate of change of force if the distance between the particles is increased from 2 cm to 6 cm.

Solution

We are computing the average rate of change of $F(d) = \frac{2}{d^2}$ on the interval [2, 6].

Average rate of change
$$= \frac{F(6) - F(2)}{6 - 2}$$

$$= \frac{\frac{2}{6^2} - \frac{2}{2^2}}{6 - 2}$$
 Simplify.
$$= \frac{\frac{2}{36} - \frac{2}{4}}{4}$$

$$= \frac{-\frac{16}{36}}{4}$$
 Combine numerator terms.
$$= -\frac{1}{9}$$
 Simplify

The average rate of change is $-\frac{1}{9}$ newton per centimeter.

Example 1.34

Finding an Average Rate of Change as an Expression

Find the average rate of change of $g(t) = t^2 + 3t + 1$ on the interval [0, a]. The answer will be an expression involving a.

Solution

We use the average rate of change formula.

Average rate of change
$$=$$
 $\frac{g(a)-g(0)}{a-0}$ Evaluate.
 $=$ $\frac{(a^2+3a+1)-(0^2+3(0)+1)}{a-0}$ Simplify.
 $=$ $\frac{a^2+3a+1-1}{a}$ Simplify and factor.
 $=$ $\frac{a(a+3)}{a}$ Divide by the common factor a .
 $=$ $a+3$

This result tells us the average rate of change in terms of a between t = 0 and any other point t = a. For example, on the interval [0, 5], the average rate of change would be 5 + 3 = 8.



1.23 Find the average rate of change of $f(x) = x^2 + 2x - 8$ on the interval [5, *a*].

Using a Graph to Determine Where a Function is Increasing, Decreasing, or Constant

As part of exploring how functions change, we can identify intervals over which the function is changing in specific ways. We say that a function is increasing on an interval if the function values increase as the input values increase within that interval. Similarly, a function is decreasing on an interval if the function values decrease as the input values increase over that interval. The average rate of change of an increasing function is positive, and the average rate of change of a decreasing function is negative. **Figure 1.45** shows examples of increasing and decreasing intervals on a function.

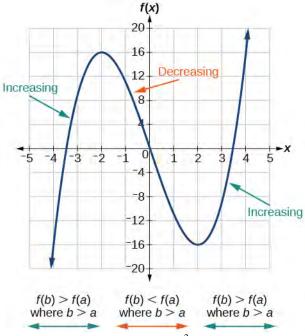


Figure 1.45 The function $f(x) = x^3 - 12x$ is increasing on $(-\infty, -2) \cup (2, \infty)$ and is decreasing on (-2, 2).

While some functions are increasing (or decreasing) over their entire domain, many others are not. A value of the input where a function changes from increasing to decreasing (as we go from left to right, that is, as the input variable increases) is called a **local maximum**. If a function has more than one, we say it has local maxima. Similarly, a value of the input where a function changes from decreasing to increasing as the input variable increases is called a **local minimum**. The plural form is "local minima." Together, local maxima and minima are called **local extrema**, or local extreme values, of the function. (The singular form is "extremum.") Often, the term *local* is replaced by the term *relative*. In this text, we will use the term *local*.

Clearly, a function is neither increasing nor decreasing on an interval where it is constant. A function is also neither increasing nor decreasing at extrema. Note that we have to speak of *local* extrema, because any given local extremum as defined here is not necessarily the highest maximum or lowest minimum in the function's entire domain.

For the function whose graph is shown in **Figure 1.46**, the local maximum is 16, and it occurs at x = -2. The local minimum is -16 and it occurs at x = 2.

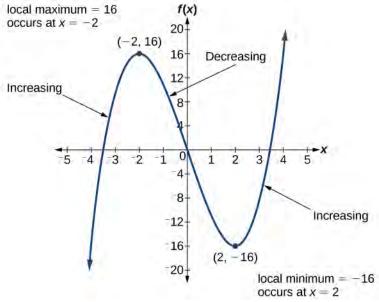


Figure 1.46

To locate the local maxima and minima from a graph, we need to observe the graph to determine where the graph attains its highest and lowest points, respectively, within an open interval. Like the summit of a roller coaster, the graph of a function is higher at a local maximum than at nearby points on both sides. The graph will also be lower at a local minimum than at neighboring points. **Figure 1.47** illustrates these ideas for a local maximum.

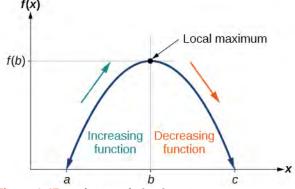


Figure 1.47 Definition of a local maximum

These observations lead us to a formal definition of local extrema.

Local Minima and Local Maxima

A function f is an **increasing function** on an open interval if f(b) > f(a) for any two input values a and b in the given interval where b > a.

A function f is a **decreasing function** on an open interval if f(b) < f(a) for any two input values a and b in the given interval where b > a.

A function f has a local maximum at x = b if there exists an interval (a, c) with a < b < c such that, for any x in the interval (a, c), $f(x) \le f(b)$. Likewise, f has a local minimum at x = b if there exists an interval (a, c) with a < b < c such that, for any x in the interval (a, c), $f(x) \ge f(b)$.

Example 1.35

Finding Increasing and Decreasing Intervals on a Graph

Given the function p(t) in **Figure 1.48**, identify the intervals on which the function appears to be increasing.

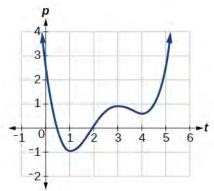


Figure 1.48

Solution

We see that the function is not constant on any interval. The function is increasing where it slants upward as we move to the right and decreasing where it slants downward as we move to the right. The function appears to be increasing from t = 1 to t = 3 and from t = 4 on.

In interval notation, we would say the function appears to be increasing on the interval (1,3) and the interval $(4, \infty)$.

Analysis

Notice in this example that we used open intervals (intervals that do not include the endpoints), because the function is neither increasing nor decreasing at t = 1, t = 3, and t = 4. These points are the local extrema (two minima and a maximum).

Example 1.36

Finding Local Extrema from a Graph

Graph the function $f(x) = \frac{2}{x} + \frac{x}{3}$. Then use the graph to estimate the local extrema of the function and to determine the intervals on which the function is increasing.

Solution

Using technology, we find that the graph of the function looks like that in Figure 1.49. It appears there is a low point, or local minimum, between x = 2 and x = 3, and a mirror-image high point, or local maximum, somewhere between x = -3 and x = -2.

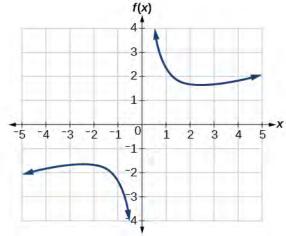


Figure 1.49

Analysis

Most graphing calculators and graphing utilities can estimate the location of maxima and minima. Figure 1.50 provides screen images from two different technologies, showing the estimate for the local maximum and minimum.

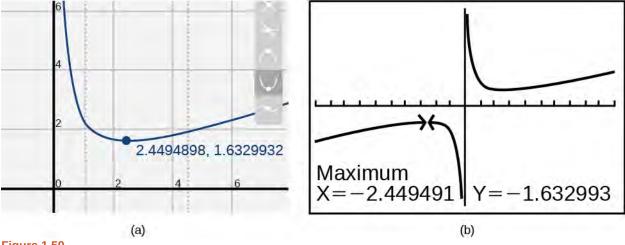


Figure 1.50

Based on these estimates, the function is increasing on the interval $(-\infty, -2.449)$ and $(2.449,\infty)$. Notice that, while we expect the extrema to be symmetric, the two different technologies agree only up to four decimals due to the differing approximation algorithms used by each. (The exact location of the extrema is at $\pm \sqrt{6}$, but determining this requires calculus.)



1.24 Graph the function $f(x) = x^3 - 6x^2 - 15x + 20$ to estimate the local extrema of the function. Use these to determine the intervals on which the function is increasing and decreasing.

Example 1.37

Finding Local Maxima and Minima from a Graph

For the function f whose graph is shown in **Figure 1.51**, find all local maxima and minima.

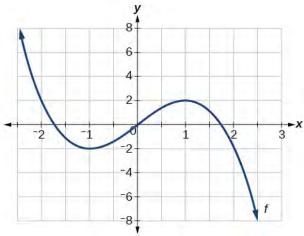


Figure 1.51

Solution

Observe the graph of f. The graph attains a local maximum at x = 1 because it is the highest point in an open interval around x = 1. The local maximum is the y-coordinate at x = 1, which is 2.

The graph attains a local minimum at x = -1 because it is the lowest point in an open interval around x = -1. The local minimum is the *y*-coordinate at x = -1, which is -2.

Analyzing the Toolkit Functions for Increasing or Decreasing Intervals

We will now return to our toolkit functions and discuss their graphical behavior in **Figure 1.52**, **Figure 1.53**, and **Figure 1.54**.

Function	Increasing/Decreasing	Example
Constant Function $f(x) = c$	Neither increasing nor decreasing	<i>y x</i>
Identity Function $f(x) = x$	Increasing	x
Quadratic Function $f(x) = x^2$	Increasing on $(0, \infty)$ Decreasing on $(-\infty, 0)$ Minimum at $x = 0$	- ¥-x

Figure 1.52

Function	Increasing/Decreasing	Example
Cubic Function $f(x) = x^3$	Increasing	<i>y</i>
Reciprocal $f(x) = \frac{1}{x}$	Decreasing $(-\infty, 0) \cup (0, \infty)$, , , x
Reciprocal Squared $f(x) = \frac{1}{x^2}$	Increasing on $(-\infty, 0)$ Decreasing on $(0, \infty)$	y x

Figure 1.53

Function	Increasing/Decreasing	Example
Cube Root $f(x) = \sqrt[3]{x}$	Increasing	×
Square Root $f(x) = \sqrt{x}$	Increasing on (0, ∞)	у
Absolute Value $f(x) = x $	Increasing on (0, ∞) Decreasing on (-∞, 0)	<i>y</i>

Figure 1.54

Use A Graph to Locate the Absolute Maximum and Absolute Minimum

There is a difference between locating the highest and lowest points on a graph in a region around an open interval (locally) and locating the highest and lowest points on the graph for the entire domain. The *y*- coordinates (output) at the highest and lowest points are called the **absolute maximum** and **absolute minimum**, respectively.

To locate absolute maxima and minima from a graph, we need to observe the graph to determine where the graph attains it highest and lowest points on the domain of the function. See **Figure 1.55**.

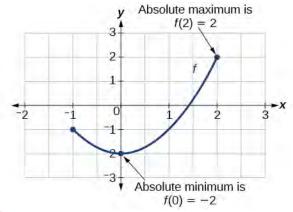


Figure 1.55

Not every function has an absolute maximum or minimum value. The toolkit function $f(x) = x^3$ is one such function.

Absolute Maxima and Minima

The **absolute maximum** of f at x = c is f(c) where $f(c) \ge f(x)$ for all x in the domain of f.

The **absolute minimum** of f at x = d is f(d) where $f(d) \le f(x)$ for all x in the domain of f.

Example 1.38

Finding Absolute Maxima and Minima from a Graph

For the function f shown in **Figure 1.56**, find all absolute maxima and minima.

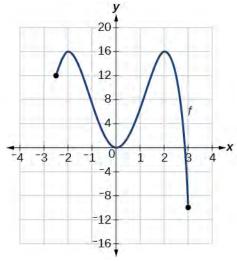


Figure 1.56

Solution

Observe the graph of f. The graph attains an absolute maximum in two locations, x = -2 and x = 2, because at these locations, the graph attains its highest point on the domain of the function. The absolute maximum is the y-coordinate at x = -2 and x = 2, which is 16.

The graph attains an absolute minimum at x = 3, because it is the lowest point on the domain of the function's graph. The absolute minimum is the *y*-coordinate at x = 3, which is -10.



Access this online resource for additional instruction and practice with rates of change.

Average Rate of Change (http://openstaxcollege.org/l/aroc)

1.3 EXERCISES

Verbal

154. Can the average rate of change of a function be constant?

155. If a function f is increasing on (a, b) and decreasing on (b, c), then what can be said about the local extremum of f on (a, c)?

156. How are the absolute maximum and minimum similar to and different from the local extrema?

157. How does the graph of the absolute value function compare to the graph of the quadratic function, $y = x^2$, in terms of increasing and decreasing intervals?

Algebraic

For the following exercises, find the average rate of change of each function on the interval specified for real numbers b or h.

158.
$$f(x) = 4x^2 - 7$$
 on [1, b]

159.
$$g(x) = 2x^2 - 9$$
 on [4, b]

160.
$$p(x) = 3x + 4$$
 on $[2, 2+h]$

161.
$$k(x) = 4x - 2$$
 on [3, 3 + h]

162.
$$f(x) = 2x^2 + 1$$
 on $[x, x + h]$

163.
$$g(x) = 3x^2 - 2$$
 on $[x, x + h]$

164.
$$a(t) = \frac{1}{t+4}$$
 on $[9, 9+h]$

165.
$$b(x) = \frac{1}{x+3}$$
 on $[1, 1+h]$

166.
$$j(x) = 3x^3$$
 on $[1, 1+h]$

167.
$$r(t) = 4t^3$$
 on $[2, 2+h]$

168.
$$\frac{f(x+h) - f(x)}{h}$$
 given $f(x) = 2x^2 - 3x$ on $[x, x+h]$

Graphical

For the following exercises, consider the graph of f shown in **Figure 1.57**.

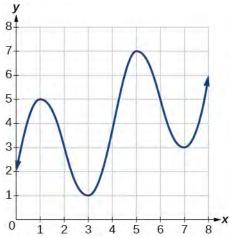
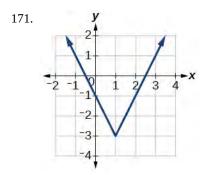


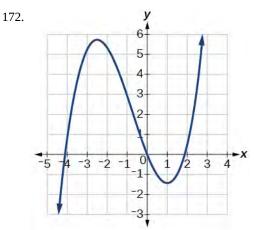
Figure 1.57

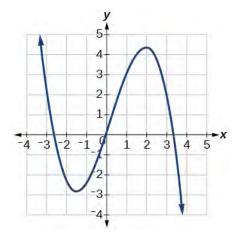
169. Estimate the average rate of change from x = 1 to x = 4

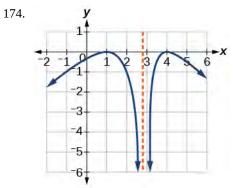
170. Estimate the average rate of change from x = 2 to x = 5.

For the following exercises, use the graph of each function to estimate the intervals on which the function is increasing or decreasing.









For the following exercises, consider the graph shown in **Figure 1.58**.

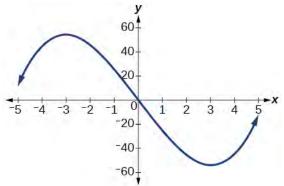


Figure 1.58

175. Estimate the intervals where the function is increasing or decreasing.

176. Estimate the point(s) at which the graph of f has a local maximum or a local minimum.

For the following exercises, consider the graph in **Figure 1.59**.

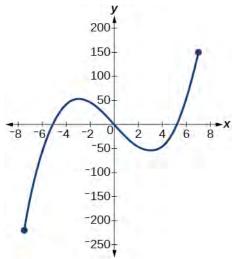


Figure 1.59

177. If the complete graph of the function is shown, estimate the intervals where the function is increasing or decreasing.

178. If the complete graph of the function is shown, estimate the absolute maximum and absolute minimum.

Numeric

179. **Table 1.19** gives the annual sales (in millions of dollars) of a product from 1998 to 2006. What was the average rate of change of annual sales (a) between 2001 and 2002, and (b) between 2001 and 2004?

Year	Sales (millions of dollars)
1998	201
1999	219
2000	233
2001	243
2002	249
2003	251
2004	249
2005	243
2006	233

Table 1.19

180. **Table 1.20** gives the population of a town (in thousands) from 2000 to 2008. What was the average rate of change of population (a) between 2002 and 2004, and (b) between 2002 and 2006?

Year	Population (thousands)
2000	87
2001	84
2002	83
2003	80
2004	77
2005	76
2006	78
2007	81
2008	85

Table 1.20

For the following exercises, find the average rate of change of each function on the interval specified.

181.
$$f(x) = x^2$$
 on [1, 5]

182.
$$h(x) = 5 - 2x^2$$
 on $[-2, 4]$

183.
$$q(x) = x^3$$
 on $[-4, 2]$

184.
$$g(x) = 3x^3 - 1$$
 on $[-3, 3]$

185.
$$y = \frac{1}{x}$$
 on [1, 3]

186.
$$p(t) = \frac{(t^2 - 4)(t + 1)}{t^2 + 3}$$
 on $[-3, 1]$

187.
$$k(t) = 6t^2 + \frac{4}{t^3}$$
 on $[-1, 3]$

Technology

For the following exercises, use a graphing utility to estimate the local extrema of each function and to estimate the intervals on which the function is increasing and decreasing.

188.
$$f(x) = x^4 - 4x^3 + 5$$

189.
$$h(x) = x^5 + 5x^4 + 10x^3 + 10x^2 - 1$$

190.
$$g(t) = t\sqrt{t+3}$$

191.
$$k(t) = 3t^{\frac{2}{3}} - t$$

192.
$$m(x) = x^4 + 2x^3 - 12x^2 - 10x + 4$$

193.
$$n(x) = x^4 - 8x^3 + 18x^2 - 6x + 2$$

Extension

194. The graph of the function f is shown in **Figure 1.60**.

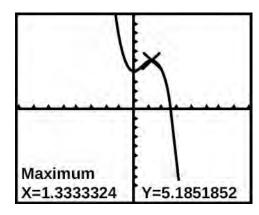


Figure 1.60

Based on the calculator screen shot, the point (1.333, 5.185) is which of the following?

- A. a relative (local) maximum of the function
- B. the vertex of the function
- C. the absolute maximum of the function
- D. a zero of the function

195. Let $f(x) = \frac{1}{x}$. Find a number c such that the average rate of change of the function f on the interval (1, c) is $-\frac{1}{4}$.

196. Let $f(x) = \frac{1}{x}$. Find the number b such that the average rate of change of f on the interval (2, b) is $-\frac{1}{10}$.

Real-World Applications

197. At the start of a trip, the odometer on a car read 21,395. At the end of the trip, 13.5 hours later, the odometer read 22,125. Assume the scale on the odometer is in miles. What is the average speed the car traveled during this trip?

198. A driver of a car stopped at a gas station to fill up his gas tank. He looked at his watch, and the time read exactly 3:40 p.m. At this time, he started pumping gas into the tank. At exactly 3:44, the tank was full and he noticed that he had pumped 10.7 gallons. What is the average rate of flow of the gasoline into the gas tank?

199. Near the surface of the moon, the distance that an object falls is a function of time. It is given by $d(t) = 2.6667t^2$, where t is in seconds and d(t) is in feet. If an object is dropped from a certain height, find the average velocity of the object from t = 1 to t = 2.

200. The graph in **Figure 1.61** illustrates the decay of a radioactive substance over t days.

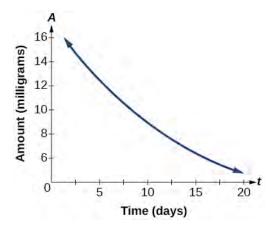


Figure 1.61

Use the graph to estimate the average decay rate from t = 5 to t = 15.

1.4 | Composition of Functions

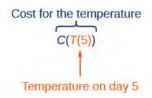
Learning Objectives

In this section, you will:

- **1.4.1** Combine functions using algebraic operations.
- **1.4.2** Create a new function by composition of functions.
- 1.4.3 Evaluate composite functions.
- **1.4.4** Find the domain of a composite function.
- **1.4.5** Decompose a composite function into its component functions.

Suppose we want to calculate how much it costs to heat a house on a particular day of the year. The cost to heat a house will depend on the average daily temperature, and in turn, the average daily temperature depends on the particular day of the year. Notice how we have just defined two relationships: The cost depends on the temperature, and the temperature depends on the day.

Using descriptive variables, we can notate these two functions. The function C(T) gives the cost C of heating a house for a given average daily temperature in T degrees Celsius. The function T(d) gives the average daily temperature on day d of the year. For any given day, $\operatorname{Cost} = C(T(d))$ means that the cost depends on the temperature, which in turns depends on the day of the year. Thus, we can evaluate the cost function at the temperature T(d). For example, we could evaluate T(5) to determine the average daily temperature on the 5th day of the year. Then, we could evaluate the cost function at that temperature. We would write C(T(5)).



By combining these two relationships into one function, we have performed function composition, which is the focus of this section.

Combining Functions Using Algebraic Operations

Function composition is only one way to combine existing functions. Another way is to carry out the usual algebraic operations on functions, such as addition, subtraction, multiplication and division. We do this by performing the operations with the function outputs, defining the result as the output of our new function.

Suppose we need to add two columns of numbers that represent a husband and wife's separate annual incomes over a period of years, with the result being their total household income. We want to do this for every year, adding only that year's incomes and then collecting all the data in a new column. If w(y) is the wife's income and h(y) is the husband's income in year y, and we want T to represent the total income, then we can define a new function.

$$T(y) = h(y) + w(y)$$

If this holds true for every year, then we can focus on the relation between the functions without reference to a year and write

$$T = h + w$$

Just as for this sum of two functions, we can define difference, product, and ratio functions for any pair of functions that have the same kinds of inputs (not necessarily numbers) and also the same kinds of outputs (which do have to be numbers so that the usual operations of algebra can apply to them, and which also must have the same units or no units when we add and subtract). In this way, we can think of adding, subtracting, multiplying, and dividing functions.

For two functions f(x) and g(x) with real number outputs, we define new functions f+g, f-g, fg, and $\frac{f}{g}$ by the relations

$$(f+g)(x) = f(x) + g(x)$$

$$(f-g)(x) = f(x) - g(x)$$

$$(fg)(x) = f(x)g(x)$$

$$\left(\frac{f}{g}\right)(x) = \frac{f(x)}{g(x)}$$

Example 1.39

Performing Algebraic Operations on Functions

Find and simplify the functions (g - f)(x) and $\left(\frac{g}{f}\right)(x)$, given f(x) = x - 1 and $g(x) = x^2 - 1$. Are they the same function?

Solution

Begin by writing the general form, and then substitute the given functions.

$$(g - f)(x) = g(x) - f(x)$$

$$(g - f)(x) = x^{2} - 1 - (x - 1)$$

$$= x^{2} - x$$

$$= x(x - 1)$$

$$\left(\frac{g}{f}\right)(x) = \frac{g(x)}{f(x)}$$

$$\left(\frac{g}{f}\right)(x) = \frac{x^2 - 1}{x - 1}$$

$$= \frac{(x + 1)(x - 1)}{x - 1} \quad \text{where } x \neq 1$$

$$= x + 1$$

No, the functions are not the same.

Note: For $\left(\frac{g}{f}\right)(x)$, the condition $x \neq 1$ is necessary because when x = 1, the denominator is equal to 0, which makes the function undefined.



1.25 Find and simplify the functions (fg)(x) and (f - g)(x).

$$f(x) = x - 1$$
 and $g(x) = x^2 - 1$

Are they the same function?

Create a Function by Composition of Functions

Performing algebraic operations on functions combines them into a new function, but we can also create functions by composing functions. When we wanted to compute a heating cost from a day of the year, we created a new function that takes a day as input and yields a cost as output. The process of combining functions so that the output of one function becomes the input of another is known as a composition of functions. The resulting function is known as a **composite function**. We represent this combination by the following notation:

$$(f \circ g)(x) = f(g(x))$$

We read the left-hand side as "f composed with g at x," and the right-hand side as "f of g of x." The two sides of the equation have the same mathematical meaning and are equal. The open circle symbol • is called the composition operator. We use this operator mainly when we wish to emphasize the relationship between the functions themselves without referring to any particular input value. Composition is a binary operation that takes two functions and forms a new function, much as addition or multiplication takes two numbers and gives a new number. However, it is important not to confuse function composition with multiplication because, as we learned above, in most cases $f(g(x)) \neq f(x)g(x)$.

It is also important to understand the order of operations in evaluating a composite function. We follow the usual convention with parentheses by starting with the innermost parentheses first, and then working to the outside. In the equation above, the function g takes the input x first and yields an output g(x). Then the function f takes g(x) as an input and yields an output f(g(x)).

$$g(x)$$
, the output of g
is the input of f

$$\oint (f \circ g)(x) = f(\overline{g(x)})$$

$$x \text{ is the input of } g$$

In general, $f \circ g$ and $g \circ f$ are different functions. In other words, in many cases $f(g(x)) \neq g(f(x))$ for all x. We will also see that sometimes two functions can be composed only in one specific order.

For example, if $f(x) = x^2$ and g(x) = x + 2, then

$$f(g(x)) = f(x+2)$$

= $(x+2)^2$
= $x^2 + 4x + 4$

but

$$g(f(x)) = g(x^2)$$
$$= x^2 + 2$$

These expressions are not equal for all values of x, so the two functions are not equal. It is irrelevant that the expressions happen to be equal for the single input value $x = -\frac{1}{2}$.

Note that the range of the inside function (the first function to be evaluated) needs to be within the domain of the outside function. Less formally, the composition has to make sense in terms of inputs and outputs.

Composition of Functions

When the output of one function is used as the input of another, we call the entire operation a composition of functions. For any input x and functions f and g, this action defines a **composite function**, which we write as $f \circ g$ such that

$$(f \circ g)(x) = f(g(x)) \tag{1.2}$$

The domain of the composite function $f \circ g$ is all x such that x is in the domain of g and g(x) is in the domain of f.

It is important to realize that the product of functions fg is not the same as the function composition f(g(x)), because, in general, $f(x)g(x) \neq f(g(x))$.

Example 1.40

Determining whether Composition of Functions is Commutative

Using the functions provided, find f(g(x)) and g(f(x)). Determine whether the composition of the functions is commutative.

$$f(x) = 2x + 1 \qquad g(x) = 3 - x$$

Solution

Let's begin by substituting g(x) into f(x).

$$f(g(x)) = 2(3 - x) + 1$$

= 6 - 2x + 1
= 7 - 2x

Now we can substitute f(x) into g(x).

$$g(f(x)) = 3 - (2x + 1)$$

= 3 - 2x - 1
= -2x + 2

We find that $g(f(x)) \neq f(g(x))$, so the operation of function composition is not commutative.

Example 1.41

Interpreting Composite Functions

The function c(s) gives the number of calories burned completing s sit-ups, and s(t) gives the number of sit-ups a person can complete in t minutes. Interpret c(s(3)).

Solution

The inside expression in the composition is s(3). Because the input to the s-function is time, t = 3 represents 3 minutes, and s(3) is the number of sit-ups completed in 3 minutes.

Using s(3) as the input to the function c(s) gives us the number of calories burned during the number of sit-ups that can be completed in 3 minutes, or simply the number of calories burned in 3 minutes (by doing sit-ups).

Example 1.42

Investigating the Order of Function Composition

Suppose f(x) gives miles that can be driven in x hours and g(y) gives the gallons of gas used in driving y miles. Which of these expressions is meaningful: f(g(y)) or g(f(x))?

Solution

The function y = f(x) is a function whose output is the number of miles driven corresponding to the number of hours driven.

number of miles = f (number of hours)

The function g(y) is a function whose output is the number of gallons used corresponding to the number of miles driven. This means:

number of gallons = g (number of miles)

The expression g(y) takes miles as the input and a number of gallons as the output. The function f(x) requires a number of hours as the input. Trying to input a number of gallons does not make sense. The expression f(g(y)) is meaningless.

The expression f(x) takes hours as input and a number of miles driven as the output. The function g(y) requires a number of miles as the input. Using f(x) (miles driven) as an input value for g(y), where gallons of gas depends on miles driven, does make sense. The expression g(f(x)) makes sense, and will yield the number of gallons of gas used, g, driving a certain number of miles, f(x), in x hours.



Are there any situations where f(g(y)) and g(f(x)) would both be meaningful or useful expressions?

Yes. For many pure mathematical functions, both compositions make sense, even though they usually produce different new functions. In real-world problems, functions whose inputs and outputs have the same units also may give compositions that are meaningful in either order.



1.26 The gravitational force on a planet a distance r from the sun is given by the function G(r). The acceleration of a planet subjected to any force F is given by the function a(F). Form a meaningful composition of these two functions, and explain what it means.

Evaluating Composite Functions

Once we compose a new function from two existing functions, we need to be able to evaluate it for any input in its domain. We will do this with specific numerical inputs for functions expressed as tables, graphs, and formulas and with variables as inputs to functions expressed as formulas. In each case, we evaluate the inner function using the starting input and then use the inner function's output as the input for the outer function.

Evaluating Composite Functions Using Tables

When working with functions given as tables, we read input and output values from the table entries and always work from the inside to the outside. We evaluate the inside function first and then use the output of the inside function as the input to the outside function.

Example 1.43

Using a Table to Evaluate a Composite Function

Using **Table 1.21**, evaluate f(g(3)) and g(f(3)).

x	f(x)	g(x)
1	6	3
2	8	5
3	3	2
4	1	7

Table 1.21

Solution

To evaluate f(g(3)), we start from the inside with the input value 3. We then evaluate the inside expression g(3) using the table that defines the function g: g(3) = 2. We can then use that result as the input to the function f, so g(3) is replaced by 2 and we get f(2). Then, using the table that defines the function f, we find that f(2) = 8.

$$g(3) = 2$$

 $f(g(3)) = f(2) = 8$

To evaluate g(f(3)), we first evaluate the inside expression f(3) using the first table: f(3) = 3. Then, using the table for g, we can evaluate

$$g(f(3)) = g(3) = 2$$

Table 1.22 shows the composite functions $f \circ g$ and $g \circ f$ as tables.

х	g(x)	f(g(x))	f(x)	g(f(x))
3	2	8	3	2

Table 1.22



1.27 Using **Table 1.21**, evaluate f(g(1)) and g(f(4)).

Evaluating Composite Functions Using Graphs

When we are given individual functions as graphs, the procedure for evaluating composite functions is similar to the process we use for evaluating tables. We read the input and output values, but this time, from the x- and y- axes of the graphs.



Given a composite function and graphs of its individual functions, evaluate it using the information provided by the graphs.

- 1. Locate the given input to the inner function on the *x* axis of its graph.
- 2. Read off the output of the inner function from the *y* axis of its graph.
- 3. Locate the inner function output on the x- axis of the graph of the outer function.
- **4.** Read the output of the outer function from the *y* axis of its graph. This is the output of the composite function.

Example 1.44

Using a Graph to Evaluate a Composite Function

Using **Figure 1.62**, evaluate f(g(1)).

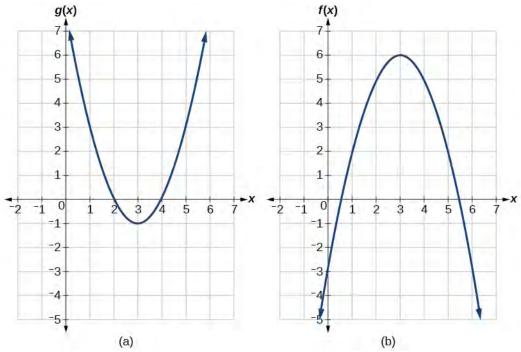


Figure 1.62

Solution

To evaluate f(g(1)), we start with the inside evaluation. See **Figure 1.63**.

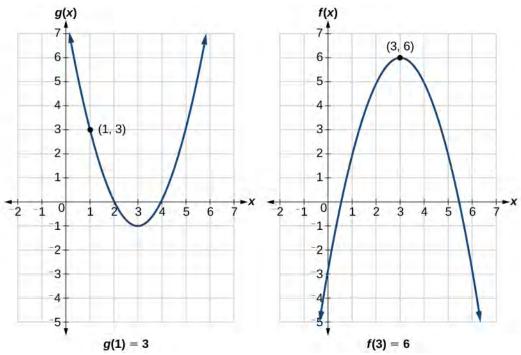


Figure 1.63

We evaluate g(1) using the graph of g(x), finding the input of 1 on the x- axis and finding the output value of the graph at that input. Here, g(1) = 3. We use this value as the input to the function f.

$$f(g(1)) = f(3)$$

We can then evaluate the composite function by looking to the graph of f(x), finding the input of 3 on the x-axis and reading the output value of the graph at this input. Here, f(3) = 6, so f(g(1)) = 6.

Analysis

Figure 1.64 shows how we can mark the graphs with arrows to trace the path from the input value to the output value.

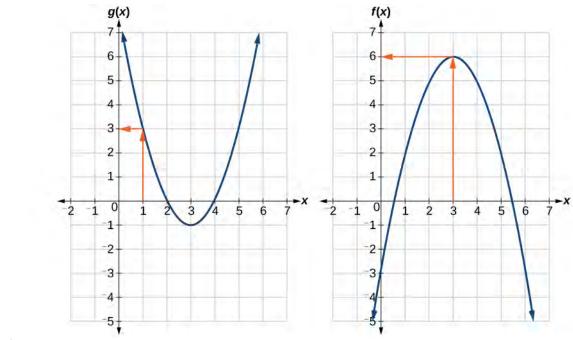


Figure 1.64



1.28 Using **Figure 1.62**, evaluate g(f(2)).

Evaluating Composite Functions Using Formulas

When evaluating a composite function where we have either created or been given formulas, the rule of working from the inside out remains the same. The input value to the outer function will be the output of the inner function, which may be a numerical value, a variable name, or a more complicated expression.

While we can compose the functions for each individual input value, it is sometimes helpful to find a single formula that will calculate the result of a composition f(g(x)). To do this, we will extend our idea of function evaluation. Recall that,

when we evaluate a function like $f(t) = t^2 - t$, we substitute the value inside the parentheses into the formula wherever we see the input variable.



Given a formula for a composite function, evaluate the function.

- 1. Evaluate the inside function using the input value or variable provided.
- 2. Use the resulting output as the input to the outside function.

Example 1.45

Evaluating a Composition of Functions Expressed as Formulas with a Numerical Input

Given $f(t) = t^2 - t$ and h(x) = 3x + 2, evaluate f(h(1)).

Solution

Because the inside expression is h(1), we start by evaluating h(x) at 1.

$$h(1) = 3(1) + 2$$
$$h(1) = 5$$

Then f(h(1)) = f(5), so we evaluate f(t) at an input of 5.

$$f(h(1)) = f(5)$$

$$f(h(1)) = 5^2 - 5$$

$$f(h(1)) = 20$$

Analysis

It makes no difference what the input variables t and x were called in this problem because we evaluated for specific numerical values.



1.29 Given $f(t) = t^2 - t$ and h(x) = 3x + 2, evaluate

- a. h(f(2))
- b. h(f(-2))

Finding the Domain of a Composite Function

As we discussed previously, the domain of a composite function such as $f \circ g$ is dependent on the domain of g and the domain of f. It is important to know when we can apply a composite function and when we cannot, that is, to know the domain of a function such as $f \circ g$. Let us assume we know the domains of the functions f and g separately. If we write the composite function for an input f as f(g(x)), we can see right away that f must be a member of the domain of f in order for the expression to be meaningful, because otherwise we cannot complete the inner function evaluation. However, we also see that f must be a member of the domain of f otherwise the second function evaluation in f(g(x)) cannot be completed, and the expression is still undefined. Thus the domain of $f \circ g$ consists of only those inputs in the domain of f that produce outputs from f belonging to the domain of f. Note that the domain of f composed with f is the set of all f such that f is in the domain of f and f is in the domain of f.

Domain of a Composite Function

The domain of a composite function f(g(x)) is the set of those inputs x in the domain of g for which g(x) is in the domain of f.



Given a function composition f(g(x)), determine its domain.

- 1. Find the domain of g.
- 2. Find the domain of f.
- 3. Find those inputs x in the domain of g for which g(x) is in the domain of f. That is, exclude those inputs x from the domain of g for which g(x) is not in the domain of f. The resulting set is the domain of $f \circ g$.

Example 1.46

Finding the Domain of a Composite Function

Find the domain of

$$(f \circ g)(x)$$
 where $f(x) = \frac{5}{x-1}$ and $g(x) = \frac{4}{3x-2}$

Solution

The domain of g(x) consists of all real numbers except $x = \frac{2}{3}$, since that input value would cause us to divide by 0. Likewise, the domain of f consists of all real numbers except 1. So we need to exclude from the domain of g(x) that value of f for which f for f for which f for f

$$\frac{4}{3x-2} = 1$$

$$4 = 3x - 2$$

$$6 = 3x$$

$$x = 2$$

So the domain of $f \circ g$ is the set of all real numbers except $\frac{2}{3}$ and 2. This means that

$$x \neq \frac{2}{3}$$
 or $x \neq 2$

We can write this in interval notation as

$$\left(-\infty, \frac{2}{3}\right) \cup \left(\frac{2}{3}, 2\right) \cup (2, \infty)$$

Example 1.47

Finding the Domain of a Composite Function Involving Radicals

Find the domain of

$$(f \circ g)(x)$$
 where $f(x) = \sqrt{x+2}$ and $g(x) = \sqrt{3-x}$

Solution

Because we cannot take the square root of a negative number, the domain of g is $(-\infty, 3]$. Now we check the domain of the composite function

$$(f \circ g)(x) = \sqrt{3 - x + 2} \text{ or } (f \circ g)(x) = \sqrt{5 - x}$$

The domain of this function is $(-\infty, 5]$. To find the domain of $f \circ g$, we ask ourselves if there are any further restrictions offered by the domain of the composite function. The answer is no, since $(-\infty, 3]$ is a proper subset of the domain of $f \circ g$. This means the domain of $f \circ g$ is the same as the domain of g, namely, $(-\infty, 3]$.

Analysis

This example shows that knowledge of the range of functions (specifically the inner function) can also be helpful in finding the domain of a composite function. It also shows that the domain of $f \circ g$ can contain values that are not in the domain of f, though they must be in the domain of g.



1.30 Find the domain of

$$(f \circ g)(x)$$
 where $f(x) = \frac{1}{x-2}$ and $g(x) = \sqrt{x+4}$

Decomposing a Composite Function into its Component Functions

In some cases, it is necessary to decompose a complicated function. In other words, we can write it as a composition of two simpler functions. There may be more than one way to decompose a composite function, so we may choose the decomposition that appears to be most expedient.

Example 1.48

Decomposing a Function

Write $f(x) = \sqrt{5 - x^2}$ as the composition of two functions.

Solution

We are looking for two functions, g and h, so f(x) = g(h(x)). To do this, we look for a function inside a function in the formula for f(x). As one possibility, we might notice that the expression $5 - x^2$ is the inside of the square root. We could then decompose the function as

$$h(x) = 5 - x^2$$
 and $g(x) = \sqrt{x}$

We can check our answer by recomposing the functions.

$$g(h(x)) = g(5 - x^2) = \sqrt{5 - x^2}$$



1.31 Write $f(x) = \frac{4}{3 - \sqrt{4 + x^2}}$ as the composition of two functions.



Access these online resources for additional instruction and practice with composite functions.

- Composite Functions (http://openstaxcollege.org/l/compfunction)
- Composite Function Notation Application (http://openstaxcollege.org/l/compfuncnot)
- Composite Functions Using Graphs (http://openstaxcollege.org/l/compfuncgraph)
- Decompose Functions (http://openstaxcollege.org/l/decompfunction)
- Composite Function Values (http://openstaxcollege.org/l/compfuncvalue)

1.4 EXERCISES

Verbal

201. How does one find the domain of the quotient of two functions, $\frac{f}{g}$?

202. What is the composition of two functions, $f \circ g$?

203. If the order is reversed when composing two functions, can the result ever be the same as the answer in the original order of the composition? If yes, give an example. If no, explain why not.

204. How do you find the domain for the composition of two functions, $f \circ g$?

Algebraic

205. Given $f(x) = x^2 + 2x$ and $g(x) = 6 - x^2$, find f + g, f - g, fg, and $\frac{f}{g}$. Determine the domain for each function in interval notation.

206. Given $f(x) = -3x^2 + x$ and g(x) = 5, find f + g, f - g, fg, and $\frac{f}{g}$. Determine the domain for each function in interval notation.

207. Given $f(x) = 2x^2 + 4x$ and $g(x) = \frac{1}{2x}$, find f + g, f - g, fg, and $\frac{f}{g}$. Determine the domain for each function in interval notation.

208. Given $f(x) = \frac{1}{x-4}$ and $g(x) = \frac{1}{6-x}$, find f+g, f-g, fg, and $\frac{f}{g}$. Determine the domain for each function in interval notation.

209. Given $f(x) = 3x^2$ and $g(x) = \sqrt{x-5}$, find f+g, f-g, fg, and $\frac{f}{g}$. Determine the domain for each function in interval notation.

210. Given $f(x) = \sqrt{x}$ and g(x) = |x - 3|, find $\frac{g}{f}$.

Determine the domain of the function in interval notation.

211. Given $f(x) = 2x^2 + 1$ and g(x) = 3x - 5, find the following:

- a. f(g(2))
- b. f(g(x))

c. g(f(x))

d. $(g \circ g)(x)$

e. $(f \circ f)(-2)$

For the following exercises, use each pair of functions to find f(g(x)) and g(f(x)). Simplify your answers.

212. $f(x) = x^2 + 1$, $g(x) = \sqrt{x+2}$

213. $f(x) = \sqrt{x} + 2$, $g(x) = x^2 + 3$

214. f(x) = |x|, g(x) = 5x + 1

215. $f(x) = \sqrt[3]{x}$, $g(x) = \frac{x+1}{x^3}$

216. $f(x) = \frac{1}{x-6}$, $g(x) = \frac{7}{x} + 6$

217. $f(x) = \frac{1}{x-4}$, $g(x) = \frac{2}{x} + 4$

For the following exercises, use each set of functions to find f(g(h(x))). Simplify your answers.

218. $f(x) = x^4 + 6$, g(x) = x - 6, and $h(x) = \sqrt{x}$

219. $f(x) = x^2 + 1$, $g(x) = \frac{1}{x}$, and h(x) = x + 3

220. Given $f(x) = \frac{1}{x}$ and g(x) = x - 3, find the following:

a. $(f \circ g)(x)$

b. the domain of $(f \circ g)(x)$ in interval notation

c. $(g \circ f)(x)$

d. the domain of $(g \circ f)(x)$

e. $\left(\frac{f}{g}\right)x$

221. Given $f(x) = \sqrt{2-4x}$ and $g(x) = -\frac{3}{x}$, find the following:

a. $(g \circ f)(x)$

b. the domain of $(g \circ f)(x)$ in interval notation

222. Given the functions $f(x) = \frac{1-x}{x}$ and $g(x) = \frac{1}{1+x^2}$, find the following:

- a. $(g \circ f)(x)$
- b. $(g \circ f)(2)$

223. Given functions $p(x) = \frac{1}{\sqrt{x}}$ and $m(x) = x^2 - 4$,

state the domain of each of the following functions using interval notation:

- a. $\frac{p(x)}{m(x)}$
- b. p(m(x))
- c. m(p(x))

224. Given functions $q(x) = \frac{1}{\sqrt{x}}$ and $h(x) = x^2 - 9$,

state the domain of each of the following functions using interval notation.

- a. $\frac{q(x)}{h(x)}$
- b. q(h(x))
- c. h(q(x))

225. For $f(x) = \frac{1}{x}$ and $g(x) = \sqrt{x-1}$, write the domain of $(f \circ g)(x)$ in interval notation.

For the following exercises, find functions f(x) and g(x) so the given function can be expressed as h(x) = f(g(x)).

226.
$$h(x) = (x+2)^2$$

227.
$$h(x) = (x-5)^3$$

228.
$$h(x) = \frac{3}{x-5}$$

229.
$$h(x) = \frac{4}{(x+2)^2}$$

230.
$$h(x) = 4 + \sqrt[3]{x}$$

231.
$$h(x) = \sqrt[3]{\frac{1}{2x - 3}}$$

232.
$$h(x) = \frac{1}{(3x^2 - 4)^{-3}}$$

233.
$$h(x) = \sqrt[4]{\frac{3x-2}{x+5}}$$

234.
$$h(x) = \left(\frac{8+x^3}{8-x^3}\right)^4$$

235.
$$h(x) = \sqrt{2x+6}$$

236.
$$h(x) = (5x - 1)^3$$

237.
$$h(x) = \sqrt[3]{x-1}$$

238.
$$h(x) = |x^2 + 7|$$

239.
$$h(x) = \frac{1}{(x-2)^3}$$

240.
$$h(x) = \left(\frac{1}{2x - 3}\right)^2$$

241.
$$h(x) = \sqrt{\frac{2x-1}{3x+4}}$$

Graphical

For the following exercises, use the graphs of f, shown in **Figure 1.65**, and g, shown in **Figure 1.66**, to evaluate the expressions.

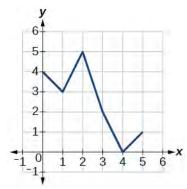


Figure 1.65

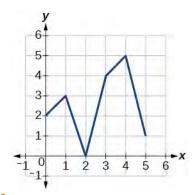


Figure 1.66

- 242. f(g(3))
- 243. f(g(1))
- 244. g(f(1))

245.	g(f(0))
270.	8(1(0))

246.
$$f(f(5))$$

247.
$$f(f(4))$$

248.
$$g(g(2))$$

249.
$$g(g(0))$$

For the following exercises, use graphs of f(x), shown in **Figure 1.67**, g(x), shown in **Figure 1.68**, and h(x), shown in **Figure 1.69**, to evaluate the expressions.

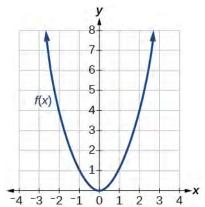


Figure 1.67

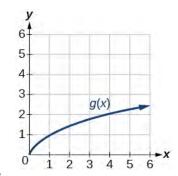


Figure 1.68

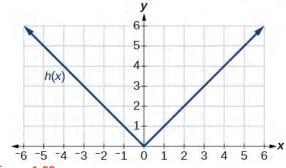


Figure 1.69

250.
$$g(f(1))$$

251.
$$g(f(2))$$

252.
$$f(g(4))$$

253.
$$f(g(1))$$

254.
$$f(h(2))$$

255.
$$h(f(2))$$

256.
$$f(g(h(4)))$$

257.
$$f(g(f(-2)))$$

Numeric

For the following exercises, use the function values for f and g shown in **Table 1.23** to evaluate each expression.

f(x)	g(x)
7	9
6	5
5	6
8	2
4	1
0	8
2	7
1	3
9	4
3	0
	7 6 5 8 4 0 2 1

Table 1.23

258. f(g(8))

259. f(g(5))

260. g(f(5))

- 261. g(f(3))
- 262. f(f(4))
- 263. f(f(1))
- 264. g(g(2))
- 265. g(g(6))

For the following exercises, use the function values for f and g shown in **Table 1.24** to evaluate the expressions.

x	f(x)	g(x)
-3	11	-8
-2	9	-3
-1	7	0
0	5	1
1	3	0
2	1	-3
3	-1	-8

Table 1.24

- 266. $(f \circ g)(1)$
- 267. $(f \circ g)(2)$
- 268. $(g \circ f)(2)$
- 269. $(g \circ f)(3)$
- 270. $(g \circ g)(1)$
- 271. $(f \circ f)(3)$

For the following exercises, use each pair of functions to find f(g(0)) and g(f(0)).

272.
$$f(x) = 4x + 8$$
, $g(x) = 7 - x^2$

273.

$$f(x) = 5x + 7$$
, $g(x) = 4 - 2x^2$

274.
$$f(x) = \sqrt{x+4}$$
, $g(x) = 12 - x^3$

275.
$$f(x) = \frac{1}{x+2}$$
, $g(x) = 4x + 3$

For the following exercises, use the functions $f(x) = 2x^2 + 1$ and g(x) = 3x + 5 to evaluate or find the composite function as indicated.

- 276. f(g(2))
- 277. f(g(x))
- 278. g(f(-3))
- 279. $(g \circ g)(x)$

Extensions

For the following exercises, use $f(x) = x^3 + 1$ and $g(x) = \sqrt[3]{x - 1}$.

- 280. Find $(f \circ g)(x)$ and $(g \circ f)(x)$. Compare the two answers.
- 281. Find $(f \circ g)(2)$ and $(g \circ f)(2)$.
- 282. What is the domain of $(g \circ f)(x)$?
- 283. What is the domain of $(f \circ g)(x)$?
- 284. Let $f(x) = \frac{1}{x}$.
 - a. Find $(f \circ f)(x)$.
 - b. Is $(f \circ f)(x)$ for any function f the same result as the answer to part (a) for any function? Explain.

For the following exercises, let $F(x) = (x + 1)^5$, $f(x) = x^5$, and g(x) = x + 1.

- 285. True or False: $(g \circ f)(x) = F(x)$.
- 286. True or False: $(f \circ g)(x) = F(x)$.

For the following exercises, find the composition when $f(x) = x^2 + 2$ for all $x \ge 0$ and $g(x) = \sqrt{x - 2}$.

- 287. $(f \circ g)(6)$; $(g \circ f)(6)$
- 288. $(g \circ f)(a)$; $(f \circ g)(a)$

289. $(f \circ g)(11)$; $(g \circ f)(11)$

Real-World Applications

290. The function D(p) gives the number of items that will be demanded when the price is p. The production cost C(x) is the cost of producing x items. To determine the cost of production when the price is \$6, you would do which of the following?

- a. Evaluate D(C(6)).
- b. Evaluate C(D(6)).
- c. Solve D(C(x)) = 6.
- d. Solve C(D(p)) = 6.
- 291. The function A(d) gives the pain level on a scale of 0 to 10 experienced by a patient with d milligrams of a pain-reducing drug in her system. The milligrams of the drug in the patient's system after t minutes is modeled by m(t). Which of the following would you do in order to determine
 - a. Evaluate A(m(4)).

when the patient will be at a pain level of 4?

- b. Evaluate m(A(4)).
- c. Solve A(m(t)) = 4.
- d. Solve m(A(d)) = 4.
- 292. A store offers customers a 30% discount on the price x of selected items. Then, the store takes off an additional 15% at the cash register. Write a price function P(x) that computes the final price of the item in terms of the original price x. (Hint: Use function composition to find your answer.)
- 293. A rain drop hitting a lake makes a circular ripple. If the radius, in inches, grows as a function of time in minutes according to $r(t) = 25\sqrt{t+2}$, find the area of the ripple as a function of time. Find the area of the ripple at t=2.
- 294. A forest fire leaves behind an area of grass burned in an expanding circular pattern. If the radius of the circle of burning grass is increasing with time according to the formula r(t) = 2t + 1, express the area burned as a function of time, t (minutes).
- 295. Use the function you found in the previous exercise to find the total area burned after 5 minutes.
- 296. The radius r, in inches, of a spherical balloon is related to the volume, V, by $r(V) = \sqrt[3]{\frac{3V}{4\pi}}$. Air is pumped

into the balloon, so the volume after t seconds is given by V(t) = 10 + 20t.

- a. Find the composite function r(V(t)).
- b. Find the *exact* time when the radius reaches 10 inches.

297. The number of bacteria in a refrigerated food product is given by $N(T) = 23T^2 - 56T + 1$, 3 < T < 33, where T is the temperature of the food. When the food is removed from the refrigerator, the temperature is given by T(t) = 5t + 1.5, where t is the time in hours.

- a. Find the composite function N(T(t)).
- b. Find the time (round to two decimal places) when the bacteria count reaches 6752.

1.5 | Transformation of Functions

Learning Objectives

In this section, you will:

- 1.5.1 Graph functions using vertical and horizontal shifts.
- **1.5.2** Graph functions using reflections about the x-axis and the y-axis.
- 1.5.3 Determine whether a function is even, odd, or neither from its graph.
- **1.5.4** Graph functions using compressions and stretches.
- 1.5.5 Combine transformations.



Figure 1.70 (credit: "Misko"/Flickr)

We all know that a flat mirror enables us to see an accurate image of ourselves and whatever is behind us. When we tilt the mirror, the images we see may shift horizontally or vertically. But what happens when we bend a flexible mirror? Like a carnival funhouse mirror, it presents us with a distorted image of ourselves, stretched or compressed horizontally or vertically. In a similar way, we can distort or transform mathematical functions to better adapt them to describing objects or processes in the real world. In this section, we will take a look at several kinds of transformations.

Graphing Functions Using Vertical and Horizontal Shifts

Often when given a problem, we try to model the scenario using mathematics in the form of words, tables, graphs, and equations. One method we can employ is to adapt the basic graphs of the toolkit functions to build new models for a given scenario. There are systematic ways to alter functions to construct appropriate models for the problems we are trying to solve.

Identifying Vertical Shifts

One simple kind of transformation involves shifting the entire graph of a function up, down, right, or left. The simplest shift is a **vertical shift**, moving the graph up or down, because this transformation involves adding a positive or negative constant to the function. In other words, we add the same constant to the output value of the function regardless of the input. For a function g(x) = f(x) + k, the function f(x) is shifted vertically k units. See **Figure 1.71** for an example.

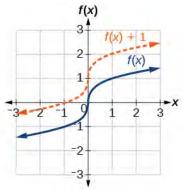


Figure 1.71 Vertical shift by k = 1 of the cube root function $f(x) = \sqrt[3]{x}$.

To help you visualize the concept of a vertical shift, consider that y = f(x). Therefore, f(x) + k is equivalent to y + k. Every unit of y is replaced by y + k, so the y-value increases or decreases depending on the value of k. The result is a shift upward or downward.

Vertical Shift

Given a function f(x), a new function g(x) = f(x) + k, where k is a constant, is a **vertical shift** of the function f(x). All the output values change by k units. If k is positive, the graph will shift up. If k is negative, the graph will shift down.

Example 1.49

Adding a Constant to a Function

To regulate temperature in a green building, airflow vents near the roof open and close throughout the day. **Figure 1.72** shows the area of open vents V (in square feet) throughout the day in hours after midnight, t. During the summer, the facilities manager decides to try to better regulate temperature by increasing the amount of open vents by 20 square feet throughout the day and night. Sketch a graph of this new function.

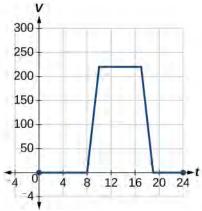


Figure 1.72

Solution

We can sketch a graph of this new function by adding 20 to each of the output values of the original function. This will have the effect of shifting the graph vertically up, as shown in **Figure 1.73**.

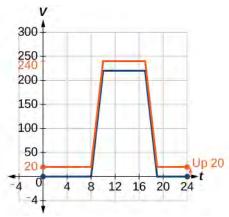


Figure 1.73

Notice that in **Figure 1.73**, for each input value, the output value has increased by 20, so if we call the new function S(t), we could write

$$S(t) = V(t) + 20$$

This notation tells us that, for any value of t, S(t) can be found by evaluating the function V at the same input and then adding 20 to the result. This defines S as a transformation of the function V, in this case a vertical shift up 20 units. Notice that, with a vertical shift, the input values stay the same and only the output values change. See **Table 1.25**.

t	0	8	10	17	19	24
V(t)	0	0	220	220	0	0
S(t)	20	20	240	240	20	20

Table 1.25



Given a tabular function, create a new row to represent a vertical shift.

- 1. Identify the output row or column.
- 2. Determine the magnitude of the shift.
- 3. Add the shift to the value in each output cell. Add a positive value for up or a negative value for down.

Example 1.50

Shifting a Tabular Function Vertically

A function f(x) is given in **Table 1.26**. Create a table for the function g(x) = f(x) - 3.

x	2	4	6	8
f(x)	1	3	7	11

Table 1.26

Solution

The formula g(x) = f(x) - 3 tells us that we can find the output values of g by subtracting 3 from the output values of f. For example:

$$f(2) = 1$$
 Given
 $g(x) = f(x) - 3$ Given transformation
 $g(2) = f(2) - 3$
 $= 1 - 3$
 $= -2$

Subtracting 3 from each f(x) value, we can complete a table of values for g(x) as shown in **Table 1.27**.

x	2	4	6	8
f(x)	1	3	7	11
g(x)	-2	0	4	8

Table 1.27

Analysis

As with the earlier vertical shift, notice the input values stay the same and only the output values change.



1.32 The function $h(t) = -4.9t^2 + 30t$ gives the height h of a ball (in meters) thrown upward from the ground after t seconds. Suppose the ball was instead thrown from the top of a 10-m building. Relate this new height function b(t) to h(t), and then find a formula for b(t).

Identifying Horizontal Shifts

We just saw that the vertical shift is a change to the output, or outside, of the function. We will now look at how changes to input, on the inside of the function, change its graph and meaning. A shift to the input results in a movement of the graph of the function left or right in what is known as a **horizontal shift**, shown in **Figure 1.74**.

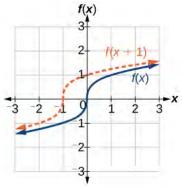


Figure 1.74 Horizontal shift of the function $f(x) = \sqrt[3]{x}$. Note that h = +1 shifts the graph to the left, that is, towards *negative* values of x.

For example, if $f(x) = x^2$, then $g(x) = (x - 2)^2$ is a new function. Each input is reduced by 2 prior to squaring the function. The result is that the graph is shifted 2 units to the right, because we would need to increase the prior input by 2 units to yield the same output value as given in f.

Horizontal Shift

Given a function f, a new function g(x) = f(x - h), where h is a constant, is a **horizontal shift** of the function f. If h is positive, the graph will shift right. If h is negative, the graph will shift left.

Example 1.51

Adding a Constant to an Input

Returning to our building airflow example from **Figure 1.72**, suppose that in autumn the facilities manager decides that the original venting plan starts too late, and wants to begin the entire venting program 2 hours earlier. Sketch a graph of the new function.

Solution

We can set V(t) to be the original program and F(t) to be the revised program.

V(t) = the original venting plan F(t) = starting 2 hrs sooner

In the new graph, at each time, the airflow is the same as the original function V was 2 hours later. For example, in the original function V, the airflow starts to change at 8 a.m., whereas for the function F, the airflow starts to change at 6 a.m. The comparable function values are V(8) = F(6). See **Figure 1.75**. Notice also that the vents first opened to 220 ft^2 at 10 a.m. under the original plan, while under the new plan the vents reach 220 ft^2 at 8 a.m., so V(10) = F(8).

In both cases, we see that, because F(t) starts 2 hours sooner, h = -2. That means that the same output values are reached when F(t) = V(t - (-2)) = V(t + 2).

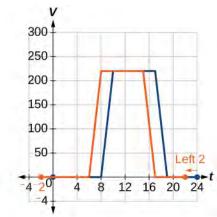


Figure 1.75

Analysis

Note that V(t + 2) has the effect of shifting the graph to the *left*.

Horizontal changes or "inside changes" affect the domain of a function (the input) instead of the range and often seem counterintuitive. The new function F(t) uses the same outputs as V(t), but matches those outputs to inputs 2 hours earlier than those of V(t). Said another way, we must add 2 hours to the input of V(t) to find the corresponding output for F: F(t) = V(t+2).



Given a tabular function, create a new row to represent a horizontal shift.

- 1. Identify the input row or column.
- 2. Determine the magnitude of the shift.
- 3. Add the shift to the value in each input cell.

Example 1.52

Shifting a Tabular Function Horizontally

A function f(x) is given in **Table 1.28**. Create a table for the function g(x) = f(x - 3).

x	2	4	6	8
f(x)	1	3	7	11

Table 1.28

Solution

The formula g(x) = f(x-3) tells us that the output values of g are the same as the output value of f when the input value is 3 less than the original value. For example, we know that f(2) = 1. To get the same output from

the function g, we will need an input value that is 3 *larger*. We input a value that is 3 larger for g(x) because the function takes 3 away before evaluating the function f.

$$g(5) = f(5-3)$$
$$= f(2)$$
$$= 1$$

We continue with the other values to create **Table 1.29**.

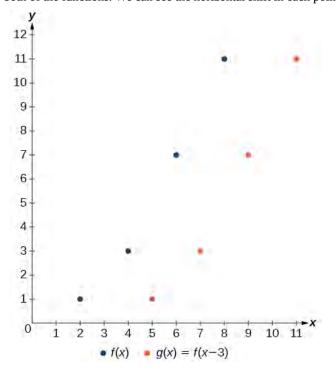
x	5	7	9	11
x-3	2	4	6	8
f(x)	1	3	7	11
g(x)	1	3	7	11

Table 1.29

The result is that the function g(x) has been shifted to the right by 3. Notice the output values for g(x) remain the same as the output values for f(x), but the corresponding input values, x, have shifted to the right by 3. Specifically, 2 shifted to 5, 4 shifted to 7, 6 shifted to 9, and 8 shifted to 11.

Analysis

Figure 1.76 represents both of the functions. We can see the horizontal shift in each point.



Example 1.53

Identifying a Horizontal Shift of a Toolkit Function

Figure 1.77 represents a transformation of the toolkit function $f(x) = x^2$. Relate this new function g(x) to f(x), and then find a formula for g(x).

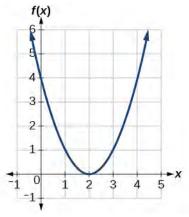


Figure 1.77

Solution

Notice that the graph is identical in shape to the $f(x) = x^2$ function, but the *x*-values are shifted to the right 2 units. The vertex used to be at (0,0), but now the vertex is at (2,0). The graph is the basic quadratic function shifted 2 units to the right, so

$$g(x) = f(x - 2)$$

Notice how we must input the value x = 2 to get the output value y = 0; the x-values must be 2 units larger because of the shift to the right by 2 units. We can then use the definition of the f(x) function to write a formula for g(x) by evaluating f(x - 2).

$$f(x) = x^{2}$$

$$g(x) = f(x-2)$$

$$g(x) = f(x-2) = (x-2)^{2}$$

Analysis

To determine whether the shift is +2 or -2, consider a single reference point on the graph. For a quadratic, looking at the vertex point is convenient. In the original function, f(0) = 0. In our shifted function, g(2) = 0. To obtain the output value of 0 from the function f, we need to decide whether a plus or a minus sign will work to satisfy g(2) = f(x-2) = f(0) = 0. For this to work, we will need to *subtract* 2 units from our input values.

Example 1.54

Interpreting Horizontal versus Vertical Shifts

The function G(m) gives the number of gallons of gas required to drive m miles. Interpret G(m) + 10 and G(m + 10).

Solution

G(m) + 10 can be interpreted as adding 10 to the output, gallons. This is the gas required to drive m miles, plus another 10 gallons of gas. The graph would indicate a vertical shift.

G(m + 10) can be interpreted as adding 10 to the input, miles. So this is the number of gallons of gas required to drive 10 miles more than m miles. The graph would indicate a horizontal shift.



1.33 Given the function $f(x) = \sqrt{x}$, graph the original function f(x) and the transformation g(x) = f(x+2) on the same axes. Is this a horizontal or a vertical shift? Which way is the graph shifted and by how many units?

Combining Vertical and Horizontal Shifts

Now that we have two transformations, we can combine them together. Vertical shifts are outside changes that affect the output (y-) axis values and shift the function up or down. Horizontal shifts are inside changes that affect the input (x-) axis values and shift the function left or right. Combining the two types of shifts will cause the graph of a function to shift up or down *and* right or left.



Given a function and both a vertical and a horizontal shift, sketch the graph.

- 1. Identify the vertical and horizontal shifts from the formula.
- 2. The vertical shift results from a constant added to the output. Move the graph up for a positive constant and down for a negative constant.
- 3. The horizontal shift results from a constant added to the input. Move the graph left for a positive constant and right for a negative constant.
- 4. Apply the shifts to the graph in either order.

Example 1.55

Graphing Combined Vertical and Horizontal Shifts

Given f(x) = |x|, sketch a graph of h(x) = f(x+1) - 3.

Solution

The function f is our toolkit absolute value function. We know that this graph has a V shape, with the point at the origin. The graph of h has transformed f in two ways: f(x+1) is a change on the inside of the function, giving a horizontal shift left by 1, and the subtraction by 3 in f(x+1)-3 is a change to the outside of the function, giving a vertical shift down by 3. The transformation of the graph is illustrated in **Figure 1.78**.

Let us follow one point of the graph of f(x) = |x|.

• The point (0, 0) is transformed first by shifting left 1 unit: $(0, 0) \rightarrow (-1, 0)$

• The point (-1, 0) is transformed next by shifting down 3 units: $(-1, 0) \rightarrow (-1, -3)$

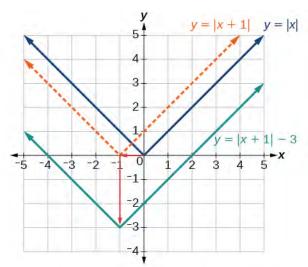


Figure 1.78

Figure 1.79 shows the graph of h.

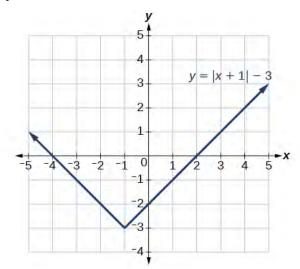


Figure 1.79



1.34 Given f(x) = |x|, sketch a graph of h(x) = f(x - 2) + 4.

Example 1.56

Identifying Combined Vertical and Horizontal Shifts

Write a formula for the graph shown in **Figure 1.80**, which is a transformation of the toolkit square root function.

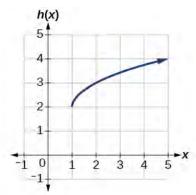


Figure 1.80

Solution

The graph of the toolkit function starts at the origin, so this graph has been shifted 1 to the right and up 2. In function notation, we could write that as

$$h(x) = f(x-1) + 2$$

Using the formula for the square root function, we can write

$$h(x) = \sqrt{x - 1} + 2$$

Analysis

Note that this transformation has changed the domain and range of the function. This new graph has domain $[1, \infty)$ and range $[2, \infty)$.



1.35 Write a formula for a transformation of the toolkit reciprocal function $f(x) = \frac{1}{x}$ that shifts the function's graph one unit to the right and one unit up.

Graphing Functions Using Reflections about the Axes

Another transformation that can be applied to a function is a reflection over the x- or y-axis. A **vertical reflection** reflects a graph vertically across the x-axis, while a **horizontal reflection** reflects a graph horizontally across the y-axis. The reflections are shown in **Figure 1.81**.

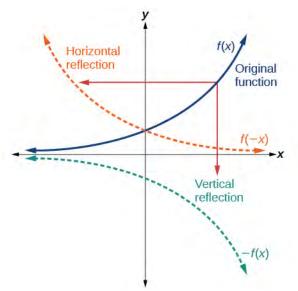


Figure 1.81 Vertical and horizontal reflections of a function.

Notice that the vertical reflection produces a new graph that is a mirror image of the base or original graph about the *x*-axis. The horizontal reflection produces a new graph that is a mirror image of the base or original graph about the *y*-axis.

Reflections

Given a function f(x), a new function g(x) = -f(x) is a **vertical reflection** of the function f(x), sometimes called a reflection about (or over, or through) the *x*-axis.

Given a function f(x), a new function g(x) = f(-x) is a **horizontal reflection** of the function f(x), sometimes called a reflection about the *y*-axis.



Given a function, reflect the graph both vertically and horizontally.

- 1. Multiply all outputs by -1 for a vertical reflection. The new graph is a reflection of the original graph about the x-axis.
- 2. Multiply all inputs by -1 for a horizontal reflection. The new graph is a reflection of the original graph about the *y*-axis.

Example 1.57

Reflecting a Graph Horizontally and Vertically

Reflect the graph of $s(t) = \sqrt{t}$ (a) vertically and (b) horizontally.

Solution

a. Reflecting the graph vertically means that each output value will be reflected over the horizontal *t*-axis as shown in **Figure 1.82**.

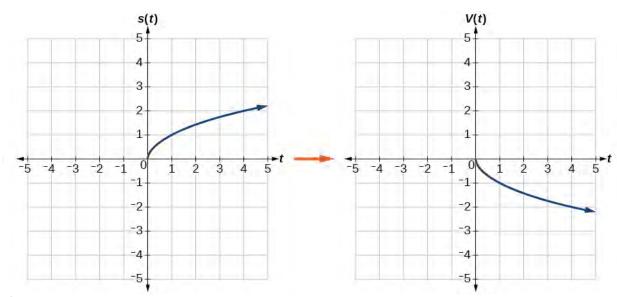


Figure 1.82 Vertical reflection of the square root function

Because each output value is the opposite of the original output value, we can write

$$V(t) = -s(t)$$
 or $V(t) = -\sqrt{t}$

Notice that this is an outside change, or vertical shift, that affects the output s(t) values, so the negative sign belongs outside of the function.

b. Reflecting horizontally means that each input value will be reflected over the vertical axis as shown in **Figure 1.83**.

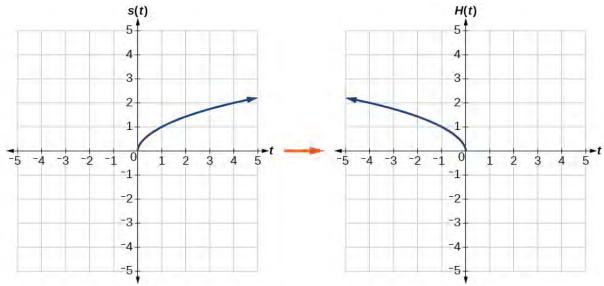


Figure 1.83 Horizontal reflection of the square root function

Because each input value is the opposite of the original input value, we can write

$$H(t) = s(-t)$$
 or $H(t) = \sqrt{-t}$

Notice that this is an inside change or horizontal change that affects the input values, so the negative sign is on the inside of the function.

Note that these transformations can affect the domain and range of the functions. While the original square root function has domain $[0, \infty)$ and range $[0, \infty)$, the vertical reflection gives the V(t) function the range $(-\infty, 0]$ and the horizontal reflection gives the H(t) function the domain $(-\infty, 0]$.



1.36 Reflect the graph of f(x) = |x - 1| (a) vertically and (b) horizontally.

Example 1.58

Reflecting a Tabular Function Horizontally and Vertically

A function f(x) is given as **Table 1.30**. Create a table for the functions below.

a.
$$g(x) = -f(x)$$

b.
$$h(x) = f(-x)$$

x	2	4	6	8
f(x)	1	3	7	11

Table 1.30

Solution

a. For g(x), the negative sign outside the function indicates a vertical reflection, so the x-values stay the same and each output value will be the opposite of the original output value. See **Table 1.31**.

x	2	4	6	8
g(x)	-1	-3	-7	-11

Table 1.31

b. For h(x), the negative sign inside the function indicates a horizontal reflection, so each input value will be the opposite of the original input value and the h(x) values stay the same as the f(x) values. See **Table 1.32**.

x	-2	-4	-6	-8
h(x)	1	3	7	11

Table 1.32

Try lt. **1.37** A function f(x) is given as **Table 1.33**. Create a table for the functions below.

a.
$$g(x) = -f(x)$$

b.
$$h(x) = f(-x)$$

x	-2	0	2	4
f(x)	5	10	15	20

Table 1.33

Example 1.59

Applying a Learning Model Equation

A common model for learning has an equation similar to $k(t) = -2^{-t} + 1$, where k is the percentage of mastery that can be achieved after t practice sessions. This is a transformation of the function $f(t) = 2^t$ shown in **Figure 1.84**. Sketch a graph of k(t).

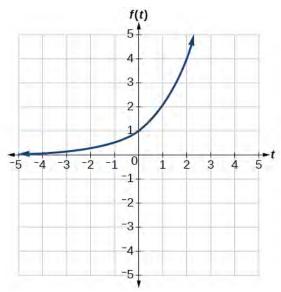


Figure 1.84

Solution

This equation combines three transformations into one equation.

• A horizontal reflection: $f(-t) = 2^{-t}$

• A vertical reflection: $-f(-t) = -2^{-t}$

• A vertical shift: $-f(-t) + 1 = -2^{-t} + 1$

We can sketch a graph by applying these transformations one at a time to the original function. Let us follow two points through each of the three transformations. We will choose the points (0, 1) and (1, 2).

- 1. First, we apply a horizontal reflection: (0, 1) (-1, 2).
- 2. Then, we apply a vertical reflection: (0, -1)(1, -2).
- 3. Finally, we apply a vertical shift: (0, 0) (1, 1).

This means that the original points, (0,1) and (1,2) become (0,0) and (1,1) after we apply the transformations.

In **Figure 1.85**, the first graph results from a horizontal reflection. The second results from a vertical reflection. The third results from a vertical shift up 1 unit.

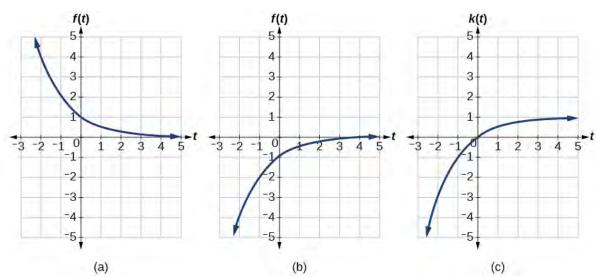


Figure 1.85

Analysis

As a model for learning, this function would be limited to a domain of $t \ge 0$, with corresponding range [0, 1).



1.38 Given the toolkit function $f(x) = x^2$, graph g(x) = -f(x) and h(x) = f(-x). Take note of any surprising behavior for these functions.

Determining Even and Odd Functions

Some functions exhibit symmetry so that reflections result in the original graph. For example, horizontally reflecting the toolkit functions $f(x) = x^2$ or f(x) = |x| will result in the original graph. We say that these types of graphs are symmetric about the *y*-axis. Functions whose graphs are symmetric about the *y*-axis are called **even functions.**

If the graphs of $f(x) = x^3$ or $f(x) = \frac{1}{x}$ were reflected over *both* axes, the result would be the original graph, as shown in **Figure 1.86**.

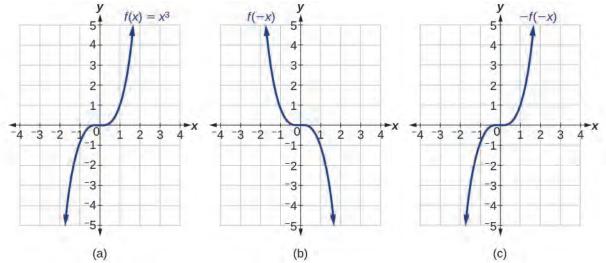


Figure 1.86 (a) The cubic toolkit function (b) Horizontal reflection of the cubic toolkit function (c) Horizontal and vertical reflections reproduce the original cubic function.

We say that these graphs are symmetric about the origin. A function with a graph that is symmetric about the origin is called an **odd function**.

Note: A function can be neither even nor odd if it does not exhibit either symmetry. For example, $f(x) = 2^x$ is neither even nor odd. Also, the only function that is both even and odd is the constant function f(x) = 0.

Even and Odd Functions

A function is called an **even function** if for every input *x*

$$f(x) = f(-x)$$

The graph of an even function is symmetric about the y- axis.

A function is called an **odd function** if for every input x

$$f(x) = -f(-x)$$

The graph of an odd function is symmetric about the origin.



Given the formula for a function, determine if the function is even, odd, or neither.

- 1. Determine whether the function satisfies f(x) = f(-x). If it does, it is even.
- 2. Determine whether the function satisfies f(x) = -f(-x). If it does, it is odd.
- 3. If the function does not satisfy either rule, it is neither even nor odd.

Example 1.60

Determining whether a Function Is Even, Odd, or Neither

Is the function $f(x) = x^3 + 2x$ even, odd, or neither?

Solution

Without looking at a graph, we can determine whether the function is even or odd by finding formulas for the reflections and determining if they return us to the original function. Let's begin with the rule for even functions.

$$f(-x) = (-x)^3 + 2(-x) = -x^3 - 2x$$

This does not return us to the original function, so this function is not even. We can now test the rule for odd functions.

$$-f(-x) = -(-x^3 - 2x) = x^3 + 2x$$

Because -f(-x) = f(x), this is an odd function.

Analysis

Consider the graph of f in **Figure 1.87**. Notice that the graph is symmetric about the origin. For every point (x, y) on the graph, the corresponding point (-x, -y) is also on the graph. For example, (1, 3) is on the graph of f, and the corresponding point (-1, -3) is also on the graph.

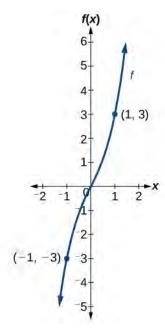


Figure 1.87



1.39 Is the function $f(s) = s^4 + 3s^2 + 7$ even, odd, or neither?

Graphing Functions Using Stretches and Compressions

Adding a constant to the inputs or outputs of a function changed the position of a graph with respect to the axes, but it did not affect the shape of a graph. We now explore the effects of multiplying the inputs or outputs by some quantity.

We can transform the inside (input values) of a function or we can transform the outside (output values) of a function. Each change has a specific effect that can be seen graphically.

Vertical Stretches and Compressions

When we multiply a function by a positive constant, we get a function whose graph is stretched or compressed vertically in relation to the graph of the original function. If the constant is greater than 1, we get a **vertical stretch**; if the constant is between 0 and 1, we get a **vertical compression**. **Figure 1.88** shows a function multiplied by constant factors 2 and 0.5 and the resulting vertical stretch and compression.

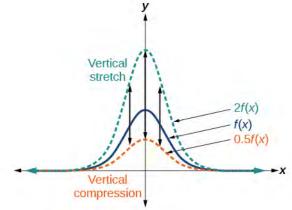


Figure 1.88 Vertical stretch and compression

Vertical Stretches and Compressions

Given a function f(x), a new function g(x) = af(x), where a is a constant, is a **vertical stretch** or **vertical compression** of the function f(x).

- If a > 1, then the graph will be stretched.
- If 0 < a < 1, then the graph will be compressed.
- If a < 0, then there will be combination of a vertical stretch or compression with a vertical reflection.



Given a function, graph its vertical stretch.

- 1. Identify the value of *a*.
- 2. Multiply all range values by *a*.
- **3**. If a > 1, the graph is stretched by a factor of a.

If 0 < a < 1, the graph is compressed by a factor of a.

If a < 0, the graph is either stretched or compressed and also reflected about the *x*-axis.

Example 1.61

Graphing a Vertical Stretch

A function P(t) models the population of fruit flies. The graph is shown in **Figure 1.89**.

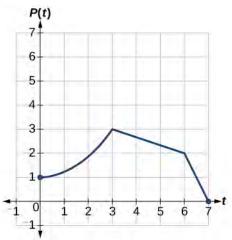


Figure 1.89

A scientist is comparing this population to another population, Q, whose growth follows the same pattern, but is twice as large. Sketch a graph of this population.

Solution

Because the population is always twice as large, the new population's output values are always twice the original function's output values. Graphically, this is shown in **Figure 1.90**.

If we choose four reference points, (0, 1), (3, 3), (6, 2) and (7, 0) we will multiply all of the outputs by 2.

The following shows where the new points for the new graph will be located.

$$(0, 1) \rightarrow (0, 2)$$

$$(3, 3) \rightarrow (3, 6)$$

$$(6, 2) \rightarrow (6, 4)$$

$$(7, 0) \rightarrow (7, 0)$$

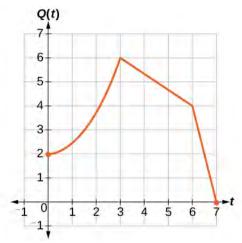


Figure 1.90

Symbolically, the relationship is written as

$$Q(t) = 2P(t)$$

This means that for any input t, the value of the function Q is twice the value of the function P. Notice that the effect on the graph is a vertical stretching of the graph, where every point doubles its distance from the horizontal axis. The input values, t, stay the same while the output values are twice as large as before.



Given a tabular function and assuming that the transformation is a vertical stretch or compression, create a table for a vertical compression.

- 1. Determine the value of *a*.
- 2. Multiply all of the output values by a.

Example 1.62

Finding a Vertical Compression of a Tabular Function

A function f is given as **Table 1.34**. Create a table for the function $g(x) = \frac{1}{2}f(x)$.

x	2	4	6	8
f(x)	1	3	7	11

Table 1.34

Solution

The formula $g(x) = \frac{1}{2}f(x)$ tells us that the output values of g are half of the output values of f with the same inputs. For example, we know that f(4) = 3. Then

$$g(4) = \frac{1}{2}f(4) = \frac{1}{2}(3) = \frac{3}{2}$$

We do the same for the other values to produce **Table 1.35**.

x	2	4	6	8
g(x)	$\frac{1}{2}$	$\frac{3}{2}$	7/2	<u>11</u> 2

Table 1.35

Analysis

The result is that the function g(x) has been compressed vertically by $\frac{1}{2}$. Each output value is divided in half, so the graph is half the original height.

Try

1.40 A function f is given as **Table 1.36**. Create a table for the function $g(x) = \frac{3}{4}f(x)$.

x	2	4	6	8
f(x)	12	16	20	0

Table 1.36

Example 1.63

Recognizing a Vertical Stretch

The graph in **Figure 1.91** is a transformation of the toolkit function $f(x) = x^3$. Relate this new function g(x) to f(x), and then find a formula for g(x).

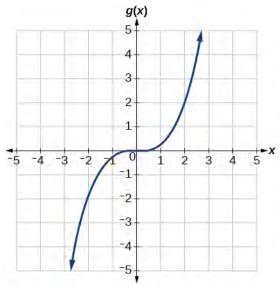


Figure 1.91

Solution

When trying to determine a vertical stretch or shift, it is helpful to look for a point on the graph that is relatively clear. In this graph, it appears that g(2)=2. With the basic cubic function at the same input, $f(2)=2^3=8$. Based on that, it appears that the outputs of g are $\frac{1}{4}$ the outputs of the function f because $g(2)=\frac{1}{4}f(2)$. From this we can fairly safely conclude that $g(x)=\frac{1}{4}f(x)$.

We can write a formula for $\,g\,$ by using the definition of the function $\,f.$

$$g(x) = \frac{1}{4}f(x) = \frac{1}{4}x^3$$



1.41 Write the formula for the function that we get when we stretch the identity toolkit function by a factor of 3, and then shift it down by 2 units.

Horizontal Stretches and Compressions

Now we consider changes to the inside of a function. When we multiply a function's input by a positive constant, we get a function whose graph is stretched or compressed horizontally in relation to the graph of the original function. If the constant is between 0 and 1, we get a **horizontal stretch**; if the constant is greater than 1, we get a **horizontal compression** of the function.

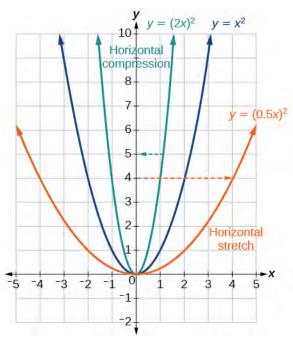


Figure 1.92

Given a function y = f(x), the form y = f(bx) results in a horizontal stretch or compression. Consider the function $y = x^2$. Observe **Figure 1.92**. The graph of $y = (0.5x)^2$ is a horizontal stretch of the graph of the function $y = x^2$ by a factor of 2. The graph of $y = (2x)^2$ is a horizontal compression of the graph of the function $y = x^2$ by a factor of 2.

Horizontal Stretches and Compressions

Given a function f(x), a new function g(x) = f(bx), where b is a constant, is a **horizontal stretch** or **horizontal compression** of the function f(x).

- If b > 1, then the graph will be compressed by $\frac{1}{b}$.
- If 0 < b < 1, then the graph will be stretched by $\frac{1}{b}$.
- If *b* < 0, then there will be combination of a horizontal stretch or compression with a horizontal reflection.



Given a description of a function, sketch a horizontal compression or stretch.

- 1. Write a formula to represent the function.
- 2. Set g(x) = f(bx) where b > 1 for a compression or 0 < b < 1 for a stretch.

Example 1.64

Graphing a Horizontal Compression

Suppose a scientist is comparing a population of fruit flies to a population that progresses through its lifespan twice as fast as the original population. In other words, this new population, R, will progress in 1 hour the same amount as the original population does in 2 hours, and in 2 hours, it will progress as much as the original population does in 4 hours. Sketch a graph of this population.

Solution

Symbolically, we could write

$$R(1) = P(2),$$

 $R(2) = P(4),$ and in general,
 $R(t) = P(2t).$

See Figure 1.93 for a graphical comparison of the original population and the compressed population.

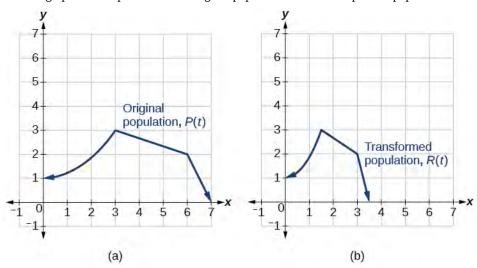


Figure 1.93 (a) Original population graph (b) Compressed population graph

Analysis

Note that the effect on the graph is a horizontal compression where all input values are half of their original distance from the vertical axis.

Example 1.65

Finding a Horizontal Stretch for a Tabular Function

A function f(x) is given as **Table 1.37**. Create a table for the function $g(x) = f(\frac{1}{2}x)$.

x	2	4	6	8
f(x)	1	3	7	11

Table 1.37

Solution

The formula $g(x) = f\left(\frac{1}{2}x\right)$ tells us that the output values for g are the same as the output values for the function f at an input half the size. Notice that we do not have enough information to determine g(2) because $g(2) = f\left(\frac{1}{2} \cdot 2\right) = f(1)$, and we do not have a value for f(1) in our table. Our input values to g(4) be twice as large to get inputs for f that we can evaluate. For example, we can determine g(4).

$$g(4) = f(\frac{1}{2} \cdot 4) = f(2) = 1$$

We do the same for the other values to produce **Table 1.38**.

x	4	8	12	16
g(x)	1	3	7	11

Table 1.38

Figure 1.94 shows the graphs of both of these sets of points.

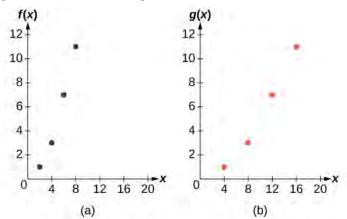


Figure 1.94

Analysis

Because each input value has been doubled, the result is that the function g(x) has been stretched horizontally by a factor of 2.

Example 1.66

Recognizing a Horizontal Compression on a Graph

Relate the function g(x) to f(x) in **Figure 1.95**.

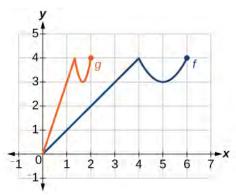


Figure 1.95

Solution

The graph of g(x) looks like the graph of f(x) horizontally compressed. Because f(x) ends at (6, 4) and g(x) ends at (2, 4), we can see that the x-values have been compressed by $\frac{1}{3}$, because $6\left(\frac{1}{3}\right) = 2$. We might also notice that g(2) = f(6) and g(1) = f(3). Either way, we can describe this relationship as g(x) = f(3x). This is a horizontal compression by $\frac{1}{3}$.

Analysis

Notice that the coefficient needed for a horizontal stretch or compression is the reciprocal of the stretch or compression. So to stretch the graph horizontally by a scale factor of 4, we need a coefficient of $\frac{1}{4}$ in our function: $f(\frac{1}{4}x)$. This means that the input values must be four times larger to produce the same result, requiring the input to be larger, causing the horizontal stretching.



1.42 Write a formula for the toolkit square root function horizontally stretched by a factor of 3.

Performing a Sequence of Transformations

When combining transformations, it is very important to consider the order of the transformations. For example, vertically shifting by 3 and then vertically stretching by 2 does not create the same graph as vertically stretching by 2 and then vertically shifting by 3, because when we shift first, both the original function and the shift get stretched, while only the original function gets stretched when we stretch first.

When we see an expression such as 2f(x) + 3, which transformation should we start with? The answer here follows nicely from the order of operations. Given the output value of f(x), we first multiply by 2, causing the vertical stretch, and then add 3, causing the vertical shift. In other words, multiplication before addition.

Horizontal transformations are a little trickier to think about. When we write g(x) = f(2x + 3), for example, we have to think about how the inputs to the function g relate to the inputs to the function f. Suppose we know f(7) = 12. What input to g would produce that output? In other words, what value of x will allow g(x) = f(2x + 3) = 12? We would need

2x + 3 = 7. To solve for x, we would first subtract 3, resulting in a horizontal shift, and then divide by 2, causing a horizontal compression.

This format ends up being very difficult to work with, because it is usually much easier to horizontally stretch a graph before shifting. We can work around this by factoring inside the function.

$$f(bx+p) = f\left(b\left(x + \frac{p}{b}\right)\right)$$

Let's work through an example.

$$f(x) = (2x+4)^2$$

We can factor out a 2.

$$f(x) = (2(x+2))^2$$

Now we can more clearly observe a horizontal shift to the left 2 units and a horizontal compression. Factoring in this way allows us to horizontally stretch first and then shift horizontally.

Combining Transformations

When combining vertical transformations written in the form af(x) + k, first vertically stretch by a and then vertically shift by k.

When combining horizontal transformations written in the form f(bx + h), first horizontally shift by h and then horizontally stretch by $\frac{1}{h}$.

When combining horizontal transformations written in the form f(b(x+h)), first horizontally stretch by $\frac{1}{b}$ and then horizontally shift by h.

Horizontal and vertical transformations are independent. It does not matter whether horizontal or vertical transformations are performed first.

Example 1.67

Finding a Triple Transformation of a Tabular Function

Given **Table 1.39** for the function f(x), create a table of values for the function g(x) = 2f(3x) + 1.

x	6	12	18	24
f(x)	10	14	15	17

Table 1.39

Solution

There are three steps to this transformation, and we will work from the inside out. Starting with the horizontal transformations, f(3x) is a horizontal compression by $\frac{1}{3}$, which means we multiply each x-value by $\frac{1}{3}$. See **Table 1.40**.

x	2	4	6	8
f(3x)	10	14	15	17

Table 1.40

Looking now to the vertical transformations, we start with the vertical stretch, which will multiply the output values by 2. We apply this to the previous transformation. See **Table 1.41**.

x	2	4	6	8
2f(3x)	20	28	30	34

Table 1.41

Finally, we can apply the vertical shift, which will add 1 to all the output values. See **Table 1.42**.

x	2	4	6	8
g(x) = 2f(3x) + 1	21	29	31	35

Table 1.42

Example 1.68

Finding a Triple Transformation of a Graph

Use the graph of f(x) in **Figure 1.96** to sketch a graph of $k(x) = f(\frac{1}{2}x + 1) - 3$.

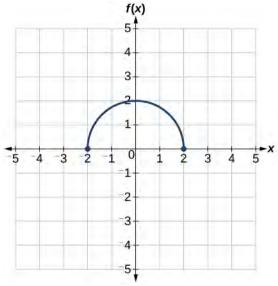


Figure 1.96

Solution

To simplify, let's start by factoring out the inside of the function.

$$f\left(\frac{1}{2}x+1\right) - 3 = f\left(\frac{1}{2}(x+2)\right) - 3$$

By factoring the inside, we can first horizontally stretch by 2, as indicated by the $\frac{1}{2}$ on the inside of the function. Remember that twice the size of 0 is still 0, so the point (0,2) remains at (0,2) while the point (2,0) will stretch to (4,0). See **Figure 1.97**.

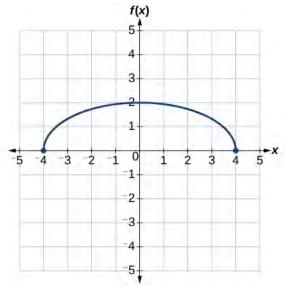


Figure 1.97

Next, we horizontally shift left by 2 units, as indicated by x + 2. See **Figure 1.98**.

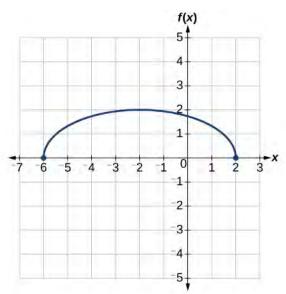


Figure 1.98

Last, we vertically shift down by 3 to complete our sketch, as indicated by the -3 on the outside of the function. See **Figure 1.99**.

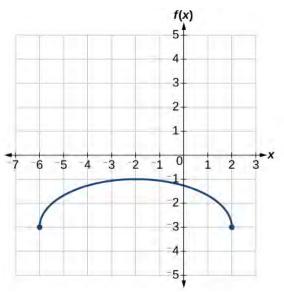


Figure 1.99



Access this online resource for additional instruction and practice with transformation of functions.

• Function Transformations (http://openstaxcollege.org/l/functrans)

1.5 EXERCISES

Verbal

298. When examining the formula of a function that is the result of multiple transformations, how can you tell a horizontal shift from a vertical shift?

299. When examining the formula of a function that is the result of multiple transformations, how can you tell a horizontal stretch from a vertical stretch?

300. When examining the formula of a function that is the result of multiple transformations, how can you tell a horizontal compression from a vertical compression?

301. When examining the formula of a function that is the result of multiple transformations, how can you tell a reflection with respect to the *x*-axis from a reflection with respect to the *y*-axis?

302. How can you determine whether a function is odd or even from the formula of the function?

Algebraic

303. Write a formula for the function obtained when the graph of $f(x) = \sqrt{x}$ is shifted up 1 unit and to the left 2 units.

304. Write a formula for the function obtained when the graph of f(x) = |x| is shifted down 3 units and to the right 1 unit.

305. Write a formula for the function obtained when the graph of $f(x) = \frac{1}{x}$ is shifted down 4 units and to the right 3 units.

306. Write a formula for the function obtained when the graph of $f(x) = \frac{1}{x^2}$ is shifted up 2 units and to the left 4 units.

For the following exercises, describe how the graph of the function is a transformation of the graph of the original function f.

307.
$$y = f(x - 49)$$

308.
$$y = f(x + 43)$$

309.
$$y = f(x + 3)$$

310.
$$y = f(x - 4)$$

311.
$$y = f(x) + 5$$

312.
$$y = f(x) + 8$$

313.
$$y = f(x) - 2$$

314.
$$y = f(x) - 7$$

315.
$$y = f(x-2) + 3$$

316.
$$y = f(x+4) - 1$$

For the following exercises, determine the interval(s) on which the function is increasing and decreasing.

317.
$$f(x) = 4(x+1)^2 - 5$$

318.
$$g(x) = 5(x+3)^2 - 2$$

319.
$$a(x) = \sqrt{-x+4}$$

320.
$$k(x) = -3\sqrt{x} - 1$$

Graphical

For the following exercises, use the graph of $f(x) = 2^x$ shown in **Figure 1.100** to sketch a graph of each transformation of f(x).

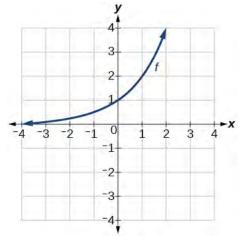


Figure 1.100

321.
$$g(x) = 2^x + 1$$

322.
$$h(x) = 2^x - 3$$

323.
$$w(x) = 2^{x-1}$$

For the following exercises, sketch a graph of the function as a transformation of the graph of one of the toolkit functions.

324.
$$f(t) = (t+1)^2 - 3$$

325.
$$h(x) = |x - 1| + 4$$

326.
$$k(x) = (x-2)^3 - 1$$

327.
$$m(t) = 3 + \sqrt{t+2}$$

Numeric

328. Tabular representations for the functions f, g, and h are given below. Write g(x) and h(x) as transformations of f(x).

x	-2	-1	0	1	2
f(x)	-2	-1	-3	1	2

x	-1	0	1	2	3
g(x)	-2	-1	-3	1	2

x	-2	-1	0	1	2
h(x)	-1	0	-2	2	3

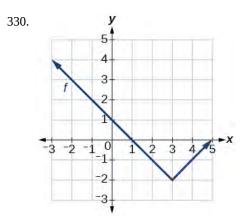
329. Tabular representations for the functions f, g, and h are given below. Write g(x) and h(x) as transformations of f(x).

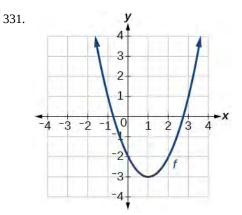
x	-2	-1	0	1	2
f(x)	-1	-3	4	2	1

x	-3	-2	-1	0	1
g(x)	-1	-3	4	2	1

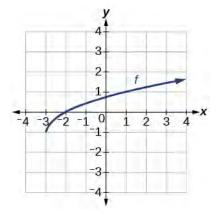
x	-2	-1	0	1	2
h(x)	-2	-4	3	1	0

For the following exercises, write an equation for each graphed function by using transformations of the graphs of one of the toolkit functions.

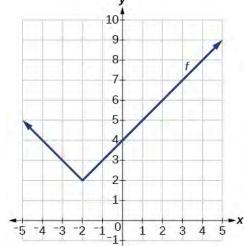




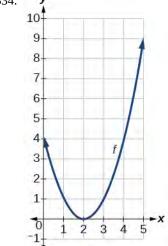
332.



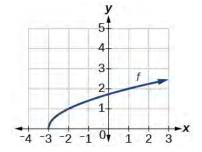




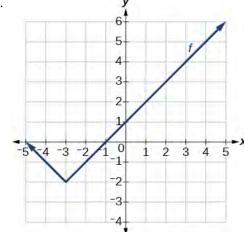
334.



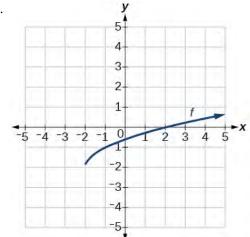
335.



336.

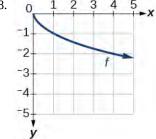


337.

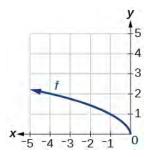


For the following exercises, use the graphs of transformations of the square root function to find a formula for each of the functions.

338.

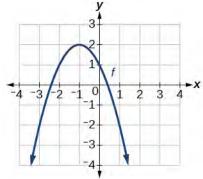


339.

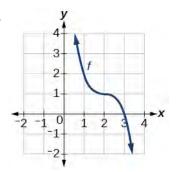


For the following exercises, use the graphs of the transformed toolkit functions to write a formula for each of the resulting functions.

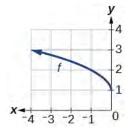




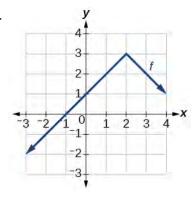
341.



342.



343.



For the following exercises, determine whether the function is odd, even, or neither.

344.
$$f(x) = 3x^4$$

345.
$$g(x) = \sqrt{x}$$

346.
$$h(x) = \frac{1}{x} + 3x$$

347.
$$f(x) = (x-2)^2$$

348.
$$g(x) = 2x^4$$

349.
$$h(x) = 2x - x^3$$

For the following exercises, describe how the graph of each function is a transformation of the graph of the original function f.

350.
$$g(x) = -f(x)$$

351.
$$g(x) = f(-x)$$

352.
$$g(x) = 4f(x)$$

353.
$$g(x) = 6f(x)$$

354.
$$g(x) = f(5x)$$

355.
$$g(x) = f(2x)$$

$$356. \quad g(x) = f\left(\frac{1}{3}x\right)$$

$$357. \quad g(x) = f\left(\frac{1}{5}x\right)$$

358.
$$g(x) = 3f(-x)$$

359.
$$g(x) = -f(3x)$$

For the following exercises, write a formula for the function *g* that results when the graph of a given toolkit function is transformed as described.

360. The graph of f(x) = |x| is reflected over the y-axis and horizontally compressed by a factor of $\frac{1}{4}$.

361. The graph of $f(x) = \sqrt{x}$ is reflected over the x-axis and horizontally stretched by a factor of 2.

362.

The graph of $f(x) = \frac{1}{x^2}$ is vertically compressed by a factor of $\frac{1}{3}$, then shifted to the left 2 units and down 3 units.

363. The graph of $f(x) = \frac{1}{x}$ is vertically stretched by a factor of 8, then shifted to the right 4 units and up 2 units.

364. The graph of $f(x) = x^2$ is vertically compressed by a factor of $\frac{1}{2}$, then shifted to the right 5 units and up 1 unit.

365. The graph of $f(x) = x^2$ is horizontally stretched by a factor of 3, then shifted to the left 4 units and down 3 units.

For the following exercises, describe how the formula is a transformation of a toolkit function. Then sketch a graph of the transformation.

366.
$$g(x) = 4(x+1)^2 - 5$$

367.
$$g(x) = 5(x+3)^2 - 2$$

368.
$$h(x) = -2|x-4| + 3$$

369.
$$k(x) = -3\sqrt{x} - 1$$

370.
$$m(x) = \frac{1}{2}x^3$$

371.
$$n(x) = \frac{1}{3}|x-2|$$

372.
$$p(x) = \left(\frac{1}{3}x\right)^3 - 3$$

373.
$$q(x) = \left(\frac{1}{4}x\right)^3 + 1$$

374.
$$a(x) = \sqrt{-x+4}$$

For the following exercises, use the graph in **Figure 1.101** to sketch the given transformations.

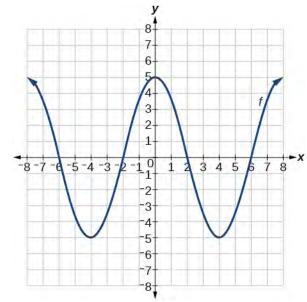


Figure 1.101

375.
$$g(x) = f(x) - 2$$

376.
$$g(x) = -f(x)$$

377.
$$g(x) = f(x+1)$$

378.
$$g(x) = f(x-2)$$

1.6 | Absolute Value Functions

Learning Objectives

In this section you will:

- 1.6.1 Graph an absolute value function.
- 1.6.2 Solve an absolute value equation.
- **1.6.3** Solve an absolute value inequality.



Figure 1.102 Distances in deep space can be measured in all directions. As such, it is useful to consider distance in terms of absolute values. (credit: "s58y"/Flickr)

Until the 1920s, the so-called spiral nebulae were believed to be clouds of dust and gas in our own galaxy, some tens of thousands of light years away. Then, astronomer Edwin Hubble proved that these objects are galaxies in their own right, at distances of millions of light years. Today, astronomers can detect galaxies that are billions of light years away. Distances in the universe can be measured in all directions. As such, it is useful to consider distance as an absolute value function. In this section, we will investigate absolute value functions.

Understanding Absolute Value

Recall that in its basic form f(x) = |x|, the absolute value function, is one of our toolkit functions. The absolute value function is commonly thought of as providing the distance the number is from zero on a number line. Algebraically, for whatever the input value is, the output is the value without regard to sign.

Absolute Value Function

The absolute value function can be defined as a piecewise function

$$f(x) = |x| = \begin{cases} x & \text{if } x \ge 0 \\ -x & \text{if } x < 0 \end{cases}$$

Example 1.69

Determine a Number within a Prescribed Distance

Describe all values *x* within or including a distance of 4 from the number 5.

Solution

We want the distance between x and 5 to be less than or equal to 4. We can draw a number line, such as the one in **Figure 1.103**, to represent the condition to be satisfied.

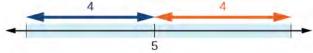


Figure 1.103

The distance from x to 5 can be represented using the absolute value as |x - 5|. We want the values of x that satisfy the condition $|x - 5| \le 4$.

Analysis

Note that

$$-4 \le x - 5 \qquad x - 5 \le 4$$
$$1 \le x \qquad x \le 9$$

So $|x - 5| \le 4$ is equivalent to $1 \le x \le 9$.

However, mathematicians generally prefer absolute value notation.



1.43 Describe all values *x* within a distance of 3 from the number 2.

Example 1.70

Resistance of a Resistor

Electrical parts, such as resistors and capacitors, come with specified values of their operating parameters: resistance, capacitance, etc. However, due to imprecision in manufacturing, the actual values of these parameters vary somewhat from piece to piece, even when they are supposed to be the same. The best that manufacturers can do is to try to guarantee that the variations will stay within a specified range, often $\pm 1\%$, $\pm 5\%$, or $\pm 10\%$.

Suppose we have a resistor rated at 680 ohms, $\pm 5\%$. Use the absolute value function to express the range of possible values of the actual resistance.

Solution

5% of 680 ohms is 34 ohms. The absolute value of the difference between the actual and nominal resistance should not exceed the stated variability, so, with the resistance R in ohms,

$$|R - 680| \le 34$$



1.44 Students who score within 20 points of 80 will pass a test. Write this as a distance from 80 using absolute value notation.

Graphing an Absolute Value Function

The most significant feature of the absolute value graph is the corner point at which the graph changes direction. This point is shown at the origin in **Figure 1.104**.

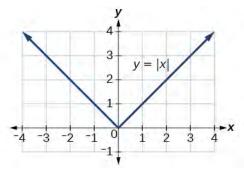


Figure 1.104

Figure 1.105 shows the graph of y = 2|x - 3| + 4. The graph of y = |x| has been shifted right 3 units, vertically stretched by a factor of 2, and shifted up 4 units. This means that the corner point is located at (3, 4) for this transformed function.

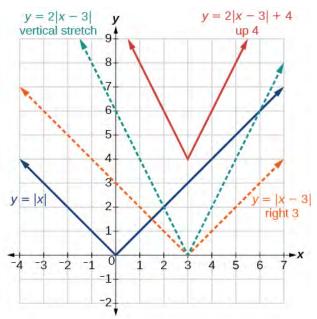


Figure 1.105

Example 1.71

Writing an Equation for an Absolute Value Function

Write an equation for the function graphed in **Figure 1.106**.

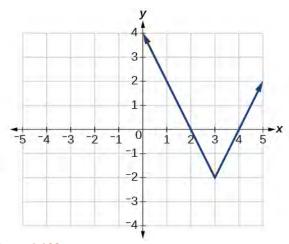


Figure 1.106

Solution

The basic absolute value function changes direction at the origin, so this graph has been shifted to the right 3 units and down 2 units from the basic toolkit function. See **Figure 1.107**.

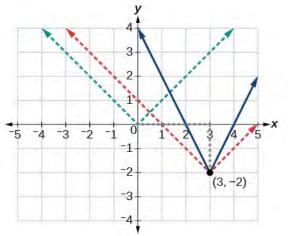


Figure 1.107

We also notice that the graph appears vertically stretched, because the width of the final graph on a horizontal line is not equal to 2 times the vertical distance from the corner to this line, as it would be for an unstretched absolute value function. Instead, the width is equal to 1 times the vertical distance as shown in **Figure 1.108**.

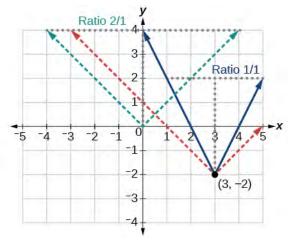


Figure 1.108

From this information we can write the equation

f(x) = 2|x-3| - 2, treating the stretch as a vertical stretch, or

f(x) = |2(x-3)| - 2, treating the stretch as a horizontal compression.

Analysis

Note that these equations are algebraically equivalent—the stretch for an absolute value function can be written interchangeably as a vertical or horizontal stretch or compression.



If we couldn't observe the stretch of the function from the graphs, could we algebraically determine it?

Yes. If we are unable to determine the stretch based on the width of the graph, we can solve for the stretch factor by putting in a known pair of values for x and f(x).

$$f(x) = a|x - 3| - 2$$

Now substituting in the point (1, 2)

$$2 = a|1 - 3| - 2$$

$$4 = 2a$$

$$a = 2$$



1.45 Write the equation for the absolute value function that is horizontally shifted left 2 units, is vertically flipped, and vertically shifted up 3 units.



Do the graphs of absolute value functions always intersect the vertical axis? The horizontal axis?

Yes, they always intersect the vertical axis. The graph of an absolute value function will intersect the vertical axis when the input is zero.

No, they do not always intersect the horizontal axis. The graph may or may not intersect the horizontal axis, depending on how the graph has been shifted and reflected. It is possible for the absolute value function to intersect the horizontal axis at zero, one, or two points (see **Figure 1.109**).

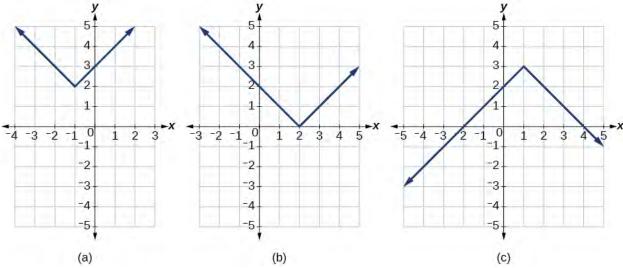


Figure 1.109 (a) The absolute value function does not intersect the horizontal axis. (b) The absolute value function intersects the horizontal axis at one point. (c) The absolute value function intersects the horizontal axis at two points.

Solving an Absolute Value Equation

Now that we can graph an absolute value function, we will learn how to solve an absolute value equation. To solve an equation such as 8 = |2x - 6|, we notice that the absolute value will be equal to 8 if the quantity inside the absolute value is 8 or -8. This leads to two different equations we can solve independently.

$$2x-6=8$$
 or $2x-6=-8$
 $2x = 14$ $2x = -2$
 $x = 7$ $x = -1$

Knowing how to solve problems involving absolute value functions is useful. For example, we may need to identify numbers or points on a line that are at a specified distance from a given reference point.

An **absolute value equation** is an equation in which the unknown variable appears in absolute value bars. For example,

$$|x| = 4$$
,
 $|2x - 1| = 3$
 $|5x + 2| - 4 = 9$

Solutions to Absolute Value Equations

For real numbers A and B, an equation of the form |A| = B, with $B \ge 0$, will have solutions when A = B or A = -B. If B < 0, the equation |A| = B has no solution.



Given the formula for an absolute value function, find the horizontal intercepts of its graph.

- 1. Isolate the absolute value term.
- 2. Use |A| = B to write A = B or -A = B, assuming B > 0.
- 3. Solve for *x*.

Example 1.72

Finding the Zeros of an Absolute Value Function

For the function f(x) = |4x + 1| - 7, find the values of x such that f(x) = 0.

Solution

$$0 = |4x + 1| - 7$$
$$7 = |4x + 1|$$

Substitute 0 for f(x).

Isolate the absolute value on one side of the equation.

$$7 = 4x + 1$$
 or $-7 = 4x + 1$
 $6 = 4x$ $-8 = 4x$

Break into two separate equations and solve.

$$6 = 4x \qquad \qquad -8$$

$$x = \frac{6}{4} = 1.5 \qquad \qquad x = \frac{-8}{4} = -2$$

The function outputs 0 when x = 1.5 or x = -2. See **Figure 1.110**.

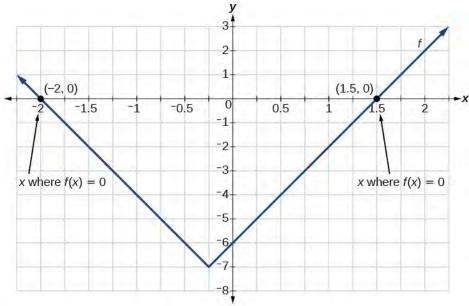


Figure 1.110



1.46 For the function f(x) = |2x - 1| - 3, find the values of x such that f(x) = 0.



Should we always expect two answers when solving |A| = B?

No. We may find one, two, or even no answers. For example, there is no solution to 2 + |3x - 5| = 1.

How To:

Given an absolute value equation, solve it.

- 1. Isolate the absolute value term.
- 2. Use |A| = B to write A = B or A = -B.
- 3. Solve for x.

Example 1.73

Solving an Absolute Value Equation

Solve 1 = 4|x - 2| + 2.

Solution

Isolating the absolute value on one side of the equation gives the following.

$$1 = 4|x - 2| + 2$$

$$-1 = 4|x - 2|$$

$$-\frac{1}{4} = |x - 2|$$

The absolute value always returns a positive value, so it is impossible for the absolute value to equal a negative value. At this point, we notice that this equation has no solutions.



In Example 1.73, if f(x) = 1 and g(x) = 4|x-2| + 2 were graphed on the same set of axes, would the graphs intersect?

No. The graphs of f and g would not intersect, as shown in **Figure 1.111**. This confirms, graphically, that the equation 1 = 4|x - 2| + 2 has no solution.

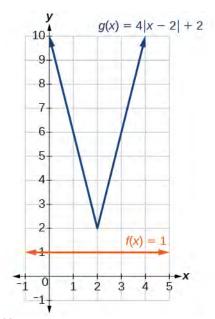


Figure 1.111



Find where the graph of the function f(x) = -|x+2| + 3 intersects the horizontal and vertical axes.

Solving an Absolute Value Inequality

Absolute value equations may not always involve equalities. Instead, we may need to solve an equation within a range of values. We would use an absolute value inequality to solve such an equation. An **absolute value inequality** is an equation of the form

$$|A| < B, |A| \le B, |A| > B, \text{ or } |A| \ge B,$$

where an expression A (and possibly but not usually B) depends on a variable x. Solving the inequality means finding the set of all x that satisfy the inequality. Usually this set will be an interval or the union of two intervals.

There are two basic approaches to solving absolute value inequalities: graphical and algebraic. The advantage of the graphical approach is we can read the solution by interpreting the graphs of two functions. The advantage of the algebraic approach is it yields solutions that may be difficult to read from the graph.

For example, we know that all numbers within 200 units of 0 may be expressed as

$$|x| < 200 \text{ or } -200 < x < 200$$

Suppose we want to know all possible returns on an investment if we could earn some amount of money within \$200 of \$600. We can solve algebraically for the set of values x such that the distance between x and 600 is less than 200. We represent the distance between x and 600 as |x - 600|.

$$|x - 600| < 200$$
 or $-200 < x - 600 < 200$
 $-200 + 600 < x - 600 + 600 < 200 + 600$
 $400 < x < 800$

This means our returns would be between \$400 and \$800.

Sometimes an absolute value inequality problem will be presented to us in terms of a shifted and/or stretched or compressed absolute value function, where we must determine for which values of the input the function's output will be negative or positive.



Given an absolute value inequality of the form $|x - A| \le B$ for real numbers a and b where b is positive, solve the absolute value inequality algebraically.

- 1. Find boundary points by solving |x A| = B.
- 2. Test intervals created by the boundary points to determine where $|x A| \le B$.
- 3. Write the interval or union of intervals satisfying the inequality in interval, inequality, or set-builder notation.

Example 1.74

Solving an Absolute Value Inequality

Solve $|x - 5| \le 4$.

Solution

With both approaches, we will need to know first where the corresponding equality is true. In this case we first will find where |x - 5| = 4. We do this because the absolute value is a function with no breaks, so the only way the function values can switch from being less than 4 to being greater than 4 is by passing through where the values equal 4. Solve |x - 5| = 4.

$$x-5=4$$
 or $x-5=-4$
 $x=9$ $x=1$

After determining that the absolute value is equal to 4 at x = 1 and x = 9, we know the graph can change only from being less than 4 to greater than 4 at these values. This divides the number line up into three intervals:

$$x < 1, 1 < x < 9, \text{ and } x > 9.$$

To determine when the function is less than 4, we could choose a value in each interval and see if the output is less than or greater than 4, as shown in **Table 1.43**.

Interval test x	f(x)	< 4 or > 4?	
x < 1	0	0-5 =5	Greater than
1 < x < 9	6	6 - 5 = 1	Less than
x > 9	11	11 - 5 = 6	Greater than

Table 1.43

Because $1 \le x \le 9$ is the only interval in which the output at the test value is less than 4, we can conclude that the solution to $|x - 5| \le 4$ is $1 \le x \le 9$, or [1, 9].

To use a graph, we can sketch the function f(x) = |x - 5|. To help us see where the outputs are 4, the line g(x) = 4 could also be sketched as in **Figure 1.112**.

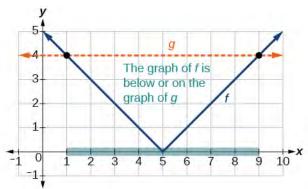


Figure 1.112 Graph to find the points satisfying an absolute value inequality.

We can see the following:

- The output values of the absolute value are equal to 4 at x = 1 and x = 9.
- The graph of f is below the graph of g on 1 < x < 9. This means the output values of f(x) are less than the output values of g(x).
- The absolute value is less than or equal to 4 between these two points, when $1 \le x \le 9$. In interval notation, this would be the interval [1, 9].

Analysis

For absolute value inequalities,

$$|x - A| < C$$
, $|x - A| > C$,
 $-C < x - A < C$, $x - A < -C$ or $x - A > C$.

The < or > symbol may be replaced by \le or \ge .

So, for this example, we could use this alternative approach.

$$|x-5| \le 4$$

 $-4 \le x-5 \le 4$ Rewrite by removing the absolute value bars.
 $-4+5 \le x-5+5 \le 4+5$ Isolate the x .
 $1 < x < 9$



1.48 Solve $|x + 2| \le 6$.



Given an absolute value function, solve for the set of inputs where the output is positive (or negative).

- 1. Set the function equal to zero, and solve for the boundary points of the solution set.
- 2. Use test points or a graph to determine where the function's output is positive or negative.

Example 1.75

Using a Graphical Approach to Solve Absolute Value Inequalities

Given the function $f(x) = -\frac{1}{2}|4x - 5| + 3$, determine the *x*-values for which the function values are negative.

Solution

We are trying to determine where f(x) < 0, which is when $-\frac{1}{2} \left| 4x - 5 \right| + 3 < 0$. We begin by isolating the absolute value.

$$-\frac{1}{2}|4x-5| < -3$$
 Multiply both sides by -2, and reverse the inequality.
 $|4x-5| > 6$

Next we solve for the equality |4x - 5| = 6.

$$4x - 5 = 6$$
 $4x - 5 = -6$
 $4x - 5 = 6$ or $4x = -1$
 $x = \frac{11}{4}$ $x = -\frac{1}{4}$

Now, we can examine the graph of f to observe where the output is negative. We will observe where the branches are below the x-axis. Notice that it is not even important exactly what the graph looks like, as long as we know that it crosses the horizontal axis at $x = -\frac{1}{4}$ and $x = \frac{11}{4}$ and that the graph has been reflected vertically. See

Figure 1.113.

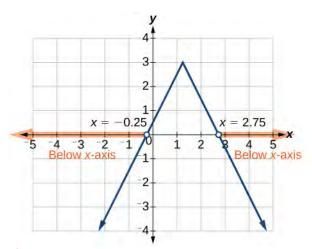


Figure 1.113

We observe that the graph of the function is below the *x*-axis left of $x = -\frac{1}{4}$ and right of $x = \frac{11}{4}$. This means the function values are negative to the left of the first horizontal intercept at $x = -\frac{1}{4}$, and negative to the right of the second intercept at $x = \frac{11}{4}$. This gives us the solution to the inequality.

$$x < -\frac{1}{4}$$
 or $x > \frac{11}{4}$

In interval notation, this would be $(-\infty, -0.25) \cup (2.75, \infty)$.



1.49 Solve $-2|k-4| \le -6$.



Access these online resources for additional instruction and practice with absolute value.

- · Graphing Absolute Value Functions (http://openstaxcollege.org/l/graphabsvalue)
- Graphing Absolute Value Functions 2 (http://openstaxcollege.org/l/graphabsvalue2)
- Equations of Absolute Value Function (http://openstaxcollege.org/l/findeqabsval)
- Equations of Absolute Value Function 2 (http://openstaxcollege.org/l/findeqabsval2)
- Solving Absolute Value Equations (http://openstaxcollege.org/l/solveabsvalueeq)

1.6 EXERCISES

Verbal

379. How do you solve an absolute value equation?

380. How can you tell whether an absolute value function has two *x*-intercepts without graphing the function?

381. When solving an absolute value function, the isolated absolute value term is equal to a negative number. What does that tell you about the graph of the absolute value function?

382. How can you use the graph of an absolute value function to determine the *x*-values for which the function values are negative?

383. How do you solve an absolute value inequality algebraically?

Algebraic

384. Describe all numbers x that are at a distance of 4 from the number 8. Express this using absolute value notation.

385. Describe all numbers x that are at a distance of $\frac{1}{2}$

from the number -4. Express this using absolute value notation.

386. Describe the situation in which the distance that point *x* is from 10 is at least 15 units. Express this using absolute value notation.

387. Find all function values f(x) such that the distance from f(x) to the value 8 is less than 0.03 units. Express this using absolute value notation.

For the following exercises, solve the equations below and express the answer using set notation.

388.
$$|x + 3| = 9$$

389.
$$|6 - x| = 5$$

390.
$$|5x - 2| = 11$$

391.
$$|4x - 2| = 11$$

392.
$$2|4 - x| = 7$$

393.
$$3|5 - x| = 5$$

394.
$$3|x+1|-4=5$$

395.
$$5|x-4|-7=2$$

396.
$$0 = -|x-3| + 2$$

397.
$$2|x-3|+1=2$$

398.
$$|3x - 2| = 7$$

399.
$$|3x-2|=-7$$

400.
$$\left| \frac{1}{2}x - 5 \right| = 11$$

401.
$$\left| \frac{1}{3}x + 5 \right| = 14$$

402.
$$-\left|\frac{1}{3}x + 5\right| + 14 = 0$$

For the following exercises, find the *x*- and *y*-intercepts of the graphs of each function.

403.
$$f(x) = 2|x + 1| - 10$$

404.
$$f(x) = 4|x - 3| + 4$$

405.
$$f(x) = -3|x-2|-1$$

406.
$$f(x) = -2|x+1| + 6$$

For the following exercises, solve each inequality and write the solution in interval notation.

407.
$$|x-2| > 10$$

408.
$$2|v-7|-4 \ge 42$$

409.
$$|3x - 4| \le 8$$

410.
$$|x-4| \ge 8$$

411.
$$|3x - 5| \ge 13$$

412.
$$|3x - 5| \ge -13$$

413.
$$\left| \frac{3}{4}x - 5 \right| \ge 7$$

414.
$$\left| \frac{3}{4}x - 5 \right| + 1 \le 16$$

Graphical

For the following exercises, graph the absolute value function. Plot at least five points by hand for each graph.

415.
$$y = |x - 1|$$

416.
$$y = |x + 1|$$

417.
$$y = |x| + 1$$

For the following exercises, graph the given functions by hand.

418.
$$y = |x| - 2$$

419.
$$y = -|x|$$

420.
$$y = -|x| - 2$$

421.
$$y = -|x-3|-2$$

422.
$$f(x) = -|x-1|-2$$

423.
$$f(x) = -|x+3| + 4$$

424.
$$f(x) = 2|x + 3| + 1$$

425.
$$f(x) = 3|x - 2| + 3$$

426.
$$f(x) = |2x - 4| - 3$$

427.
$$f(x) = |3x + 9| + 2$$

428.
$$f(x) = -|x-1| - 3$$

429.
$$f(x) = -|x+4| - 3$$

430.
$$f(x) = \frac{1}{2}|x+4| - 3$$

Technology

431. Use a graphing utility to graph f(x) = 10|x - 2| on the viewing window [0, 4]. Identify the corresponding range. Show the graph.

432. Use a graphing utility to graph f(x) = -100|x| + 100 on the viewing window [-5, 5]. Identify the corresponding range. Show the graph.

For the following exercises, graph each function using a graphing utility. Specify the viewing window.

433.
$$f(x) = -0.1|0.1(0.2 - x)| + 0.3$$

434.
$$f(x) = 4 \times 10^9 |x - (5 \times 10^9)| + 2 \times 10^9$$

Extensions

For the following exercises, solve the inequality.

435.

$$\left| -2x - \frac{2}{3}(x+1) \right| + 3 > -1$$

436. If possible, find all values of a such that there are no x- intercepts for f(x) = 2|x+1| + a.

437. If possible, find all values of a such that there are no y-intercepts for f(x) = 2|x+1| + a.

Real-World Applications

438. Cities A and B are on the same east-west line. Assume that city A is located at the origin. If the distance from city A to city B is at least 100 miles and x represents the distance from city B to city A, express this using absolute value notation.

439. The true proportion p of people who give a favorable rating to Congress is 8% with a margin of error of 1.5%. Describe this statement using an absolute value equation.

440. Students who score within 18 points of the number 82 will pass a particular test. Write this statement using absolute value notation and use the variable *x* for the score.

441. A machinist must produce a bearing that is within 0.01 inches of the correct diameter of 5.0 inches. Using x as the diameter of the bearing, write this statement using absolute value notation.

442. The tolerance for a ball bearing is 0.01. If the true diameter of the bearing is to be 2.0 inches and the measured value of the diameter is x inches, express the tolerance using absolute value notation.

1.7 | Inverse Functions

Learning Objectives

In this section, you will:

- **1.7.1** Verify inverse functions.
- 1.7.2 Determine the domain and range of an inverse function, and restrict the domain of a function to make it one-to-one.
- **1.7.3** Find or evaluate the inverse of a function.
- 1.7.4 Use the graph of a one-to-one function to graph its inverse function on the same axes.

A reversible heat pump is a climate-control system that is an air conditioner and a heater in a single device. Operated in one direction, it pumps heat out of a house to provide cooling. Operating in reverse, it pumps heat into the building from the outside, even in cool weather, to provide heating. As a heater, a heat pump is several times more efficient than conventional electrical resistance heating.

If some physical machines can run in two directions, we might ask whether some of the function "machines" we have been studying can also run backwards. **Figure 1.114** provides a visual representation of this question. In this section, we will consider the reverse nature of functions.

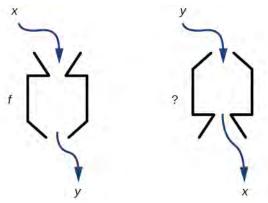


Figure 1.114 Can a function "machine" operate in reverse?

Verifying That Two Functions Are Inverse Functions

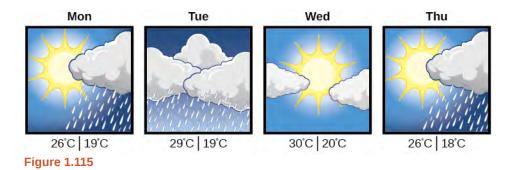
Suppose a fashion designer traveling to Milan for a fashion show wants to know what the temperature will be. He is not familiar with the Celsius scale. To get an idea of how temperature measurements are related, he asks his assistant, Betty, to convert 75 degrees Fahrenheit to degrees Celsius. She finds the formula

$$C = \frac{5}{9}(F - 32)$$

and substitutes 75 for F to calculate

$$\frac{5}{9}(75 - 32) \approx 24^{\circ}$$
C.

Knowing that a comfortable 75 degrees Fahrenheit is about 24 degrees Celsius, he sends his assistant the week's weather forecast from **Figure 1.115** for Milan, and asks her to convert all of the temperatures to degrees Fahrenheit.



At first, Betty considers using the formula she has already found to complete the conversions. After all, she knows her algebra, and can easily solve the equation for F after substituting a value for C. For example, to convert 26 degrees Celsius, she could write

$$26 = \frac{5}{9}(F - 32)$$
$$26 \cdot \frac{9}{5} = F - 32$$
$$F = 26 \cdot \frac{9}{5} + 32 \approx 79$$

After considering this option for a moment, however, she realizes that solving the equation for each of the temperatures will be awfully tedious. She realizes that since evaluation is easier than solving, it would be much more convenient to have a different formula, one that takes the Celsius temperature and outputs the Fahrenheit temperature.

The formula for which Betty is searching corresponds to the idea of an **inverse function**, which is a function for which the input of the original function becomes the output of the inverse function and the output of the original function becomes the input of the inverse function.

Given a function f(x), we represent its inverse as $f^{-1}(x)$, read as "f inverse of x." The raised -1 is part of the notation. It is not an exponent; it does not imply a power of -1. In other words, $f^{-1}(x)$ does *not* mean $\frac{1}{f(x)}$ because $\frac{1}{f(x)}$ is the reciprocal of f and not the inverse.

The "exponent-like" notation comes from an analogy between function composition and multiplication: just as $a^{-1}a = 1$ (1 is the identity element for multiplication) for any nonzero number a, so $f^{-1} \circ f$ equals the identity function, that is,

$$(f^{-1} \circ f)(x) = f^{-1}(f(x)) = f^{-1}(y) = x$$

This holds for all x in the domain of f. Informally, this means that inverse functions "undo" each other. However, just as zero does not have a reciprocal, some functions do not have inverses.

Given a function f(x), we can verify whether some other function g(x) is the inverse of f(x) by checking whether either g(f(x)) = x or f(g(x)) = x is true. We can test whichever equation is more convenient to work with because they are logically equivalent (that is, if one is true, then so is the other.)

For example, y = 4x and $y = \frac{1}{4}x$ are inverse functions.

$$(f^{-1} \circ f)(x) = f^{-1}(4x) = \frac{1}{4}(4x) = x$$

and

$$(f \circ f^{-1})(x) = f\left(\frac{1}{4}x\right) = 4\left(\frac{1}{4}x\right) = x$$

A few coordinate pairs from the graph of the function y = 4x are (-2, -8), (0, 0), and (2, 8). A few coordinate pairs from the graph of the function $y = \frac{1}{4}x$ are (-8, -2), (0, 0), and (8, 2). If we interchange the input and output of each coordinate pair of a function, the interchanged coordinate pairs would appear on the graph of the inverse function.

Inverse Function

For any one-to-one function f(x) = y, a function $f^{-1}(x)$ is an **inverse function** of f if $f^{-1}(y) = x$. This can also be written as $f^{-1}(f(x)) = x$ for all x in the domain of f. It also follows that $f(f^{-1}(x)) = x$ for all x in the domain of f^{-1} if f^{-1} is the inverse of f.

The notation f^{-1} is read "f inverse." Like any other function, we can use any variable name as the input for f^{-1} , so we will often write $f^{-1}(x)$, which we read as "f inverse of x." Keep in mind that

$$f^{-1}(x) \neq \frac{1}{f(x)}$$

and not all functions have inverses.

Example 1.76

Identifying an Inverse Function for a Given Input-Output Pair

If for a particular one-to-one function f(2) = 4 and f(5) = 12, what are the corresponding input and output values for the inverse function?

Solution

The inverse function reverses the input and output quantities, so if

$$f(2) = 4$$
, then $f^{-1}(4) = 2$;
 $f(5) = 12$, then $f^{-1}(12) = 5$.

Alternatively, if we want to name the inverse function g, then g(4) = 2 and g(12) = 5.

Analysis

Notice that if we show the coordinate pairs in a table form, the input and output are clearly reversed. See **Table 1.43**.

(x, f(x))	(x, g(x))
(2, 4)	(4, 2)
(5, 12)	(12, 5)

Table 1.43



Given two functions f(x) and g(x), test whether the functions are inverses of each other.

- 1. Determine whether f(g(x)) = x or g(f(x)) = x.
- 2. If either statement is true, then both are true, and $g = f^{-1}$ and $f = g^{-1}$. If either statement is false, then both are false, and $g \neq f^{-1}$ and $f \neq g^{-1}$.

Example 1.77

Testing Inverse Relationships Algebraically

If
$$f(x) = \frac{1}{x+2}$$
 and $g(x) = \frac{1}{x} - 2$, is $g = f^{-1}$?

Solution

$$g(f(x)) = \frac{1}{\left(\frac{1}{x+2}\right)} - 2$$
$$= x + 2 - 2$$
$$= x$$

so

$$g = f^{-1}$$
 and $f = g^{-1}$

This is enough to answer yes to the question, but we can also verify the other formula.

$$f(g(x)) = \frac{1}{\frac{1}{x} - 2 + 2}$$
$$= \frac{1}{\frac{1}{x}}$$
$$= x$$

Analysis

Notice the inverse operations are in reverse order of the operations from the original function.



1.51 If
$$f(x) = x^3 - 4$$
 and $g(x) = \sqrt[3]{x + 4}$, is $g = f^{-1}$?

Example 1.78

Determining Inverse Relationships for Power Functions

If $f(x) = x^3$ (the cube function) and $g(x) = \frac{1}{3}x$, is $g = f^{-1}$?

Solution

$$f(g(x)) = \frac{x^3}{27} \neq x$$

No, the functions are not inverses.

Analysis

The correct inverse to the cube is, of course, the cube root $\sqrt[3]{x} = x^{\frac{1}{3}}$, that is, the one-third is an exponent, not a multiplier.



1.52 If
$$f(x) = (x-1)^3$$
 and $g(x) = \sqrt[3]{x} + 1$, is $g = f^{-1}$?

Finding Domain and Range of Inverse Functions

The outputs of the function f are the inputs to f^{-1} , so the range of f is also the domain of f^{-1} . Likewise, because the inputs to f are the outputs of f^{-1} , the domain of f is the range of f^{-1} . We can visualize the situation as in **Figure 1.116**.

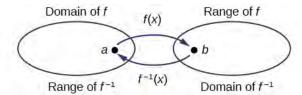


Figure 1.116 Domain and range of a function and its inverse

When a function has no inverse function, it is possible to create a new function where that new function on a limited domain does have an inverse function. For example, the inverse of $f(x) = \sqrt{x}$ is $f^{-1}(x) = x^2$, because a square "undoes" a square root; but the square is only the inverse of the square root on the domain $[0, \infty)$, since that is the range of $f(x) = \sqrt{x}$.

We can look at this problem from the other side, starting with the square (toolkit quadratic) function $f(x) = x^2$. If we want to construct an inverse to this function, we run into a problem, because for every given output of the quadratic function, there are two corresponding inputs (except when the input is 0). For example, the output 9 from the quadratic function corresponds to the inputs 3 and -3. But an output from a function is an input to its inverse; if this inverse input corresponds to more than one inverse output (input of the original function), then the "inverse" is not a function at all! To put it differently, the quadratic function is not a one-to-one function; it fails the horizontal line test, so it does not have an inverse function. In order for a function to have an inverse, it must be a one-to-one function.

In many cases, if a function is not one-to-one, we can still restrict the function to a part of its domain on which it is one-to-one. For example, we can make a restricted version of the square function $f(x) = x^2$ with its range limited to $[0, \infty)$, which is a one-to-one function (it passes the horizontal line test) and which has an inverse (the square-root function).

If $f(x) = (x - 1)^2$ on $[1, \infty)$, then the inverse function is $f^{-1}(x) = \sqrt{x} + 1$.

- The domain of f = range of f^{-1} = $[1, \infty)$.
- The domain of $f^{-1} = \text{range of } f = [0, \infty)$.



Is it possible for a function to have more than one inverse?

No. If two supposedly different functions, say, g and h, both meet the definition of being inverses of another function f, then you can prove that g = h. We have just seen that some functions only have inverses if we restrict the domain of the original function. In these cases, there may be more than one way to restrict the domain, leading to different inverses. However, on any one domain, the original function still has only one unique inverse.

Domain and Range of Inverse Functions

The range of a function f(x) is the domain of the inverse function $f^{-1}(x)$.

The domain of f(x) is the range of $f^{-1}(x)$.



Given a function, find the domain and range of its inverse.

- 1. If the function is one-to-one, write the range of the original function as the domain of the inverse, and write the domain of the original function as the range of the inverse.
- 2. If the domain of the original function needs to be restricted to make it one-to-one, then this restricted domain becomes the range of the inverse function.

Example 1.79

Finding the Inverses of Toolkit Functions

Identify which of the toolkit functions besides the quadratic function are not one-to-one, and find a restricted domain on which each function is one-to-one, if any. The toolkit functions are reviewed in **Table 1.44**. We restrict the domain in such a fashion that the function assumes all *y*-values exactly once.

Constant	Identity	Quadratic	Cubic	Reciprocal
f(x) = c	f(x) = x	$f(x) = x^2$	$f(x) = x^3$	$f(x) = \frac{1}{x}$
Reciprocal squared	Cube root	Square root	Absolute value	
$f(x) = \frac{1}{x^2}$	$f(x) = \sqrt[3]{x}$	$f(x) = \sqrt{x}$	f(x) = x	

Table 1.44

Solution

The constant function is not one-to-one, and there is no domain (except a single point) on which it could be one-to-one, so the constant function has no meaningful inverse.

The absolute value function can be restricted to the domain $[0, \infty)$, where it is equal to the identity function.

The reciprocal-squared function can be restricted to the domain $(0, \infty)$.

Analysis

We can see that these functions (if unrestricted) are not one-to-one by looking at their graphs, shown in **Figure 1.117**. They both would fail the horizontal line test. However, if a function is restricted to a certain domain so that it passes the horizontal line test, then in that restricted domain, it can have an inverse.

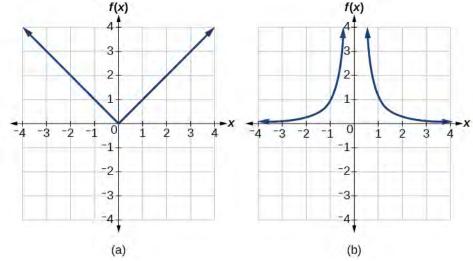


Figure 1.117 (a) Absolute value (b) Reciprocal squared



1.53 The domain of function f is $(1, \infty)$ and the range of function f is $(-\infty, -2)$. Find the domain and range of the inverse function.

Finding and Evaluating Inverse Functions

Once we have a one-to-one function, we can evaluate its inverse at specific inverse function inputs or construct a complete representation of the inverse function in many cases.

Inverting Tabular Functions

Suppose we want to find the inverse of a function represented in table form. Remember that the domain of a function is the range of the inverse and the range of the function is the domain of the inverse. So we need to interchange the domain and range.

Each row (or column) of inputs becomes the row (or column) of outputs for the inverse function. Similarly, each row (or column) of outputs becomes the row (or column) of inputs for the inverse function.

Example 1.80

Interpreting the Inverse of a Tabular Function

A function f(t) is given in **Table 1.45**, showing distance in miles that a car has traveled in t minutes. Find and interpret $f^{-1}(70)$.

t (minutes)	30	50	70	90
f(t) (miles)	20	40	60	70

Table 1.45

Solution

The inverse function takes an output of f and returns an input for f. So in the expression $f^{-1}(70)$, 70 is an output value of the original function, representing 70 miles. The inverse will return the corresponding input of the original function f, 90 minutes, so $f^{-1}(70) = 90$. The interpretation of this is that, to drive 70 miles, it took 90 minutes.

Alternatively, recall that the definition of the inverse was that if f(a) = b, then $f^{-1}(b) = a$. By this definition, if we are given $f^{-1}(70) = a$, then we are looking for a value a so that f(a) = 70. In this case, we are looking for a t so that f(t) = 70, which is when t = 90.



1.54 Using **Table 1.46**, find and interpret (a) f(60), and (b) $f^{-1}(60)$.

t (minutes)	30	50	60	70	90
f(t) (miles)	20	40	50	60	70

Table 1.46

Evaluating the Inverse of a Function, Given a Graph of the Original Function

We saw in **Functions and Function Notation** that the domain of a function can be read by observing the horizontal extent of its graph. We find the domain of the inverse function by observing the *vertical* extent of the graph of the original function, because this corresponds to the horizontal extent of the inverse function. Similarly, we find the range of the inverse function by observing the *horizontal* extent of the graph of the original function, as this is the vertical extent of the inverse function. If we want to evaluate an inverse function, we find its input within its domain, which is all or part of the vertical axis of the original function's graph.



Given the graph of a function, evaluate its inverse at specific points.

- 1. Find the desired input on the *y*-axis of the given graph.
- 2. Read the inverse function's output from the *x*-axis of the given graph.

Example 1.81

Evaluating a Function and Its Inverse from a Graph at Specific Points

A function g(x) is given in **Figure 1.118**. Find g(3) and $g^{-1}(3)$.

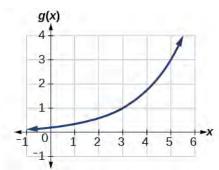


Figure 1.118

Solution

To evaluate g(3), we find 3 on the *x*-axis and find the corresponding output value on the *y*-axis. The point (3, 1) tells us that g(3) = 1.

To evaluate $g^{-1}(3)$, recall that by definition $g^{-1}(3)$ means the value of x for which g(x) = 3. By looking for the output value 3 on the vertical axis, we find the point (5, 3) on the graph, which means g(5) = 3, so by definition, $g^{-1}(3) = 5$. See **Figure 1.119**.

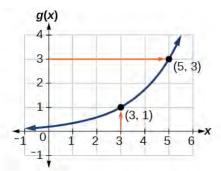


Figure 1.119



1.55 Using the graph in **Figure 1.119**, (a) find $g^{-1}(1)$, and (b) estimate $g^{-1}(4)$.

Finding Inverses of Functions Represented by Formulas

Sometimes we will need to know an inverse function for all elements of its domain, not just a few. If the original function is given as a formula— for example, y as a function of x— we can often find the inverse function by solving to obtain x as a function of y.



Given a function represented by a formula, find the inverse.

- 1. Make sure f is a one-to-one function.
- 2. Solve for *x*.
- 3. Interchange x and y.

Example 1.82

Inverting the Fahrenheit-to-Celsius Function

Find a formula for the inverse function that gives Fahrenheit temperature as a function of Celsius temperature.

$$C = \frac{5}{9}(F - 32)$$

Solution

$$C = \frac{5}{9}(F - 32)$$

$$C \cdot \frac{9}{5} = F - 32$$

$$F = \frac{9}{5}C + 32$$

By solving in general, we have uncovered the inverse function. If

$$C = h(F) = \frac{5}{9}(F - 32),$$

then

$$F = h^{-1}(C) = \frac{9}{5}C + 32.$$

In this case, we introduced a function h to represent the conversion because the input and output variables are descriptive, and writing C^{-1} could get confusing.



1.56 Solve for *x* in terms of *y* given $y = \frac{1}{3}(x-5)$

Example 1.83

Solving to Find an Inverse Function

Find the inverse of the function $f(x) = \frac{2}{x-3} + 4$.

Solution

$$y = \frac{2}{x-3} + 4$$
 Set up an equation.
 $y - 4 = \frac{2}{x-3}$ Subtract 4 from both sides.
 $x - 3 = \frac{2}{y-4}$ Multiply both sides by $x - 3$ and divide by $y - 4$.
 $x = \frac{2}{y-4} + 3$ Add 3 to both sides.

So
$$f^{-1}(y) = \frac{2}{y-4} + 3$$
 or $f^{-1}(x) = \frac{2}{x-4} + 3$.

Analysis

The domain and range of f exclude the values 3 and 4, respectively. f and f^{-1} are equal at two points but are not the same function, as we can see by creating **Table 1.46**.

x	1	2	5	$f^{-1}(y)$
f(x)	3	2	5	У

Table 1.46

Example 1.84

Solving to Find an Inverse with Radicals

Find the inverse of the function $f(x) = 2 + \sqrt{x-4}$.

Solution

$$y = 2 + \sqrt{x - 4}$$
$$(y - 2)^{2} = x - 4$$
$$x = (y - 2)^{2} + 4$$

So
$$f^{-1}(x) = (x-2)^2 + 4$$
.

The domain of f is $[4, \infty)$. Notice that the range of f is $[2, \infty)$, so this means that the domain of the inverse function f^{-1} is also $[2, \infty)$.

Analysis

The formula we found for $f^{-1}(x)$ looks like it would be valid for all real x. However, f^{-1} itself must have an inverse (namely, f) so we have to restrict the domain of f^{-1} to $[2, \infty)$ in order to make f^{-1} a one-to-one function. This domain of f^{-1} is exactly the range of f.



1.57 What is the inverse of the function $f(x) = 2 - \sqrt{x}$? State the domains of both the function and the inverse function.

Finding Inverse Functions and Their Graphs

Now that we can find the inverse of a function, we will explore the graphs of functions and their inverses. Let us return to the quadratic function $f(x) = x^2$ restricted to the domain $[0, \infty)$, on which this function is one-to-one, and graph it as in **Figure 1.120**.

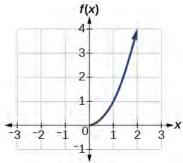


Figure 1.120 Quadratic function with domain restricted to $[0, \infty)$.

Restricting the domain to $[0, \infty)$ makes the function one-to-one (it will obviously pass the horizontal line test), so it has an inverse on this restricted domain.

We already know that the inverse of the toolkit quadratic function is the square root function, that is, $f^{-1}(x) = \sqrt{x}$. What happens if we graph both f and f^{-1} on the same set of axes, using the x- axis for the input to both f and f^{-1} ?

We notice a distinct relationship: The graph of $f^{-1}(x)$ is the graph of f(x) reflected about the diagonal line y = x, which we will call the identity line, shown in **Figure 1.121**.

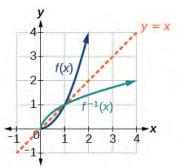


Figure 1.121 Square and square-root functions on the non-negative domain

This relationship will be observed for all one-to-one functions, because it is a result of the function and its inverse swapping inputs and outputs. This is equivalent to interchanging the roles of the vertical and horizontal axes.

Example 1.85

Finding the Inverse of a Function Using Reflection about the Identity Line

Given the graph of f(x) in **Figure 1.122**, sketch a graph of $f^{-1}(x)$.

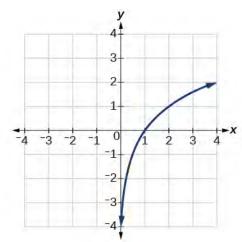


Figure 1.122

Solution

This is a one-to-one function, so we will be able to sketch an inverse. Note that the graph shown has an apparent domain of $(0, \infty)$ and range of $(-\infty, \infty)$, so the inverse will have a domain of $(-\infty, \infty)$ and range of $(0, \infty)$.

If we reflect this graph over the line y = x, the point (1, 0) reflects to (0, 1) and the point (4, 2) reflects to (2, 4). Sketching the inverse on the same axes as the original graph gives **Figure 1.123**.

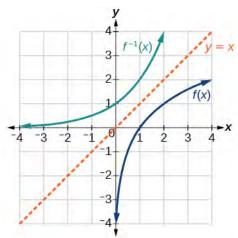


Figure 1.123 The function and its inverse, showing reflection about the identity line

Try It**1.58** Draw graphs of the functions f and f^{-1} from **Example 1.83**.



Is there any function that is equal to its own inverse?

Yes. If $f = f^{-1}$, then f(f(x)) = x, and we can think of several functions that have this property. The identity function does, and so does the reciprocal function, because

$$\frac{1}{\frac{1}{r}} = x$$

Any function f(x) = c - x, where c is a constant, is also equal to its own inverse.



Access these online resources for additional instruction and practice with inverse functions.

- Inverse Functions (http://openstaxcollege.org/l/inversefunction)
- Inverse Function Values Using Graph (http://openstaxcollege.org/l/inversfuncgraph)
- Restricting the Domain and Finding the Inverse (http://openstaxcollege.org/l/restrictdomain)

Visit **this website (http://openstaxcollege.org/l/PreCalcLPC01)** for additional practice questions from Learningpod.

1.7 EXERCISES

Verbal

443. Describe why the horizontal line test is an effective way to determine whether a function is one-to-one?

444. Why do we restrict the domain of the function $f(x) = x^2$ to find the function's inverse?

445. Can a function be its own inverse? Explain.

446. Are one-to-one functions either always increasing or always decreasing? Why or why not?

447. How do you find the inverse of a function algebraically?

Algebraic

448. Show that the function f(x) = a - x is its own inverse for all real numbers a.

For the following exercises, find $f^{-1}(x)$ for each function.

449.
$$f(x) = x + 3$$

450.
$$f(x) = x + 5$$

451.
$$f(x) = 2 - x$$

452.
$$f(x) = 3 - x$$

453.
$$f(x) = \frac{x}{x+2}$$

454.
$$f(x) = \frac{2x+3}{5x+4}$$

For the following exercises, find a domain on which each function f is one-to-one and non-decreasing. Write the domain in interval notation. Then find the inverse of f restricted to that domain.

455.
$$f(x) = (x+7)^2$$

456.
$$f(x) = (x - 6)^2$$

457.
$$f(x) = x^2 - 5$$

458. Given
$$f(x) = \frac{x}{2} + x$$
 and $g(x) = \frac{2x}{1 - x}$:

a. Find f(g(x)) and g(f(x)).

b. What does the answer tell us about the relationship between f(x) and g(x)?

For the following exercises, use function composition to verify that f(x) and g(x) are inverse functions.

459.
$$f(x) = \sqrt[3]{x-1}$$
 and $g(x) = x^3 + 1$

460.
$$f(x) = -3x + 5$$
 and $g(x) = \frac{x-5}{-3}$

Graphical

For the following exercises, use a graphing utility to determine whether each function is one-to-one.

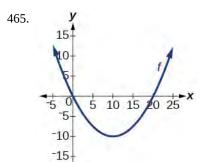
461.
$$f(x) = \sqrt{x}$$

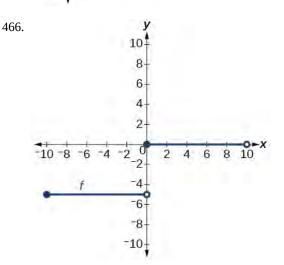
462.
$$f(x) = \sqrt[3]{3x+1}$$

463.
$$f(x) = -5x + 1$$

464.
$$f(x) = x^3 - 27$$

For the following exercises, determine whether the graph represents a one-to-one function.





For the following exercises, use the graph of f shown in **Figure 1.124**.

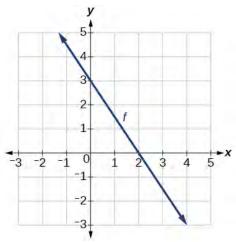


Figure 1.124

- 467. Find f(0).
- 468. Solve f(x) = 0.
- 469. Find $f^{-1}(0)$.
- 470. Solve $f^{-1}(x) = 0$.

For the following exercises, use the graph of the one-to-one function shown in **Figure 1.125**.

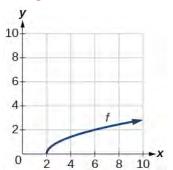


Figure 1.125

- 471. Sketch the graph of f^{-1} .
- 472. Find f(6) and $f^{-1}(2)$.
- 473. If the complete graph of f is shown, find the domain of f.
- 474. If the complete graph of f is shown, find the range of f.

Numeric

For the following exercises, evaluate or solve, assuming that the function f is one-to-one.

475. If
$$f(6) = 7$$
, find $f^{-1}(7)$.

476. If
$$f(3) = 2$$
, find $f^{-1}(2)$.

477. If
$$f^{-1}(-4) = -8$$
, find $f(-8)$.

478. If
$$f^{-1}(-2) = -1$$
, find $f(-1)$.

For the following exercises, use the values listed in **Table 1.47** to evaluate or solve.

x	f(x)
0	8
1	0
2	7
3	4
4	2
5	6
6	5
7	3
8	9
9	1

Table 1.47

- 479. Find f(1).
- 480. Solve f(x) = 3.
- 481. Find $f^{-1}(0)$.
- 482. Solve $f^{-1}(x) = 7$.

483. Use the tabular representation of f in **Table 1.48** to create a table for $f^{-1}(x)$.

x	3	6	9	13	14
f(x)	1	4	7	12	16

Table 1.48

Technology

For the following exercises, find the inverse function. Then, graph the function and its inverse.

484.
$$f(x) = \frac{3}{x-2}$$

485.
$$f(x) = x^3 - 1$$

486. Find the inverse function of $f(x) = \frac{1}{x-1}$. Use a graphing utility to find its domain and range. Write the domain and range in interval notation.

Real-World Applications

487. To convert from x degrees Celsius to y degrees Fahrenheit, we use the formula $f(x) = \frac{9}{5}x + 32$. Find the inverse function, if it exists, and explain its meaning.

488. The circumference C of a circle is a function of its radius given by $C(r) = 2\pi r$. Express the radius of a circle as a function of its circumference. Call this function r(C). Find $r(36\pi)$ and interpret its meaning.

489. A car travels at a constant speed of 50 miles per hour. The distance the car travels in miles is a function of time, t, in hours given by d(t) = 50t. Find the inverse function by expressing the time of travel in terms of the distance traveled. Call this function t(d). Find t(180) and interpret its meaning.

CHAPTER 1 REVIEW

KEY TERMS

absolute maximum the greatest value of a function over an interval

absolute minimum the lowest value of a function over an interval

absolute value equation an equation of the form |A| = B, with $B \ge 0$; it will have solutions when A = B or A = -B

absolute value inequality a relationship in the form |A| < B, $|A| \le B$, |A| > B, or $|A| \ge B$

average rate of change the difference in the output values of a function found for two values of the input divided by the difference between the inputs

composite function the new function formed by function composition, when the output of one function is used as the input of another

decreasing function a function is decreasing in some open interval if f(b) < f(a) for any two input values a and b in the given interval where b > a

dependent variable an output variable

domain the set of all possible input values for a relation

even function a function whose graph is unchanged by horizontal reflection, f(x) = f(-x), and is symmetric about the *y*-axis

function a relation in which each input value yields a unique output value

horizontal compression a transformation that compresses a function's graph horizontally, by multiplying the input by a constant b > 1

horizontal line test a method of testing whether a function is one-to-one by determining whether any horizontal line intersects the graph more than once

horizontal reflection a transformation that reflects a function's graph across the y-axis by multiplying the input by -1

horizontal shift a transformation that shifts a function's graph left or right by adding a positive or negative constant to the input

horizontal stretch a transformation that stretches a function's graph horizontally by multiplying the input by a constant 0 < b < 1

increasing function a function is increasing in some open interval if f(b) > f(a) for any two input values a and b in the given interval where b > a

independent variable an input variable

input each object or value in a domain that relates to another object or value by a relationship known as a function

interval notation a method of describing a set that includes all numbers between a lower limit and an upper limit; the lower and upper values are listed between brackets or parentheses, a square bracket indicating inclusion in the set, and a parenthesis indicating exclusion

inverse function for any one-to-one function f(x), the inverse is a function $f^{-1}(x)$ such that $f^{-1}(f(x)) = x$ for all x in the domain of f; this also implies that $f(f^{-1}(x)) = x$ for all x in the domain of $f^{-1}(x) = x$

local extrema collectively, all of a function's local maxima and minima

local maximum a value of the input where a function changes from increasing to decreasing as the input value increases.

local minimum a value of the input where a function changes from decreasing to increasing as the input value increases.

odd function a function whose graph is unchanged by combined horizontal and vertical reflection, f(x) = -f(-x), and is symmetric about the origin

one-to-one function a function for which each value of the output is associated with a unique input value

output each object or value in the range that is produced when an input value is entered into a function

piecewise function a function in which more than one formula is used to define the output

range the set of output values that result from the input values in a relation

rate of change the change of an output quantity relative to the change of the input quantity

relation a set of ordered pairs

set-builder notation a method of describing a set by a rule that all of its members obey; it takes the form $\{x \mid \text{ statement about } x\}$

vertical compression a function transformation that compresses the function's graph vertically by multiplying the output by a constant 0 < a < 1

vertical line test a method of testing whether a graph represents a function by determining whether a vertical line intersects the graph no more than once

vertical reflection a transformation that reflects a function's graph across the x-axis by multiplying the output by -1

vertical shift a transformation that shifts a function's graph up or down by adding a positive or negative constant to the output

vertical stretch a transformation that stretches a function's graph vertically by multiplying the output by a constant a > 1

KEY EQUATIONS

Constant function	f(x) = c, where c is a const	ant
-------------------	------------------------------	-----

Identity function
$$f(x) = x$$

Absolute value function
$$f(x) = |x|$$

Ouadratic function
$$f(x) = x^2$$

Cubic function
$$f(x) = x^3$$

Reciprocal function
$$f(x) = \frac{1}{x}$$

Reciprocal squared function
$$f(x) = \frac{1}{x^2}$$

Square root function
$$f(x) = \sqrt{x}$$

Cube root function
$$f(x) = \sqrt[3]{x}$$

Average rate of change $\frac{\Delta y}{\Delta x} = \frac{f(x_2) - f(x_1)}{x_2 - x_1}$

Composite function $(f \circ g)(x) = f(g(x))$

Vertical shift g(x) = f(x) + k (up for k > 0)

Horizontal shift g(x) = f(x - h) (right for h > 0)

Vertical reflection g(x) = -f(x)

Horizontal reflection g(x) = f(-x)

Vertical stretch g(x) = af(x) (a > 0)

Vertical compression g(x) = af(x) (0 < a < 1)

Horizontal stretch g(x) = f(bx) (0 < b < 1)

Horizontal compression g(x) = f(bx) (b > 1)

KEY CONCEPTS

1.1 Functions and Function Notation

- A relation is a set of ordered pairs. A function is a specific type of relation in which each domain value, or input, leads to exactly one range value, or output. See **Example 1.1** and **Example 1.2**.
- Function notation is a shorthand method for relating the input to the output in the form y = f(x). See **Example 1.3** and **Example 1.4**.
- In tabular form, a function can be represented by rows or columns that relate to input and output values. See
 Example 1.5.
- To evaluate a function, we determine an output value for a corresponding input value. Algebraic forms of a function can be evaluated by replacing the input variable with a given value. See **Example 1.6** and **Example 1.7**.
- To solve for a specific function value, we determine the input values that yield the specific output value. See **Example 1.8**.
- An algebraic form of a function can be written from an equation. See **Example 1.9** and **Example 1.10**.
- Input and output values of a function can be identified from a table. See **Example 1.11**.
- Relating input values to output values on a graph is another way to evaluate a function. See Example 1.12.
- A function is one-to-one if each output value corresponds to only one input value. See Example 1.13.
- A graph represents a function if any vertical line drawn on the graph intersects the graph at no more than one point.
 See Example 1.14.
- The graph of a one-to-one function passes the horizontal line test. See **Example 1.15**.

1.2 Domain and Range

• The domain of a function includes all real input values that would not cause us to attempt an undefined mathematical operation, such as dividing by zero or taking the square root of a negative number.

- The domain of a function can be determined by listing the input values of a set of ordered pairs. See **Example 1.16**.
- The domain of a function can also be determined by identifying the input values of a function written as an equation. See **Example 1.17**, **Example 1.18**, and **Example 1.19**.
- Interval values represented on a number line can be described using inequality notation, set-builder notation, and interval notation. See **Example 1.20**.
- For many functions, the domain and range can be determined from a graph. See Example 1.21 and Example 1.22.
- An understanding of toolkit functions can be used to find the domain and range of related functions. See Example 1.23, Example 1.24, and Example 1.25.
- A piecewise function is described by more than one formula. See **Example 1.26** and **Example 1.27**.
- A piecewise function can be graphed using each algebraic formula on its assigned subdomain. See Example 1.28.

1.3 Rates of Change and Behavior of Graphs

- A rate of change relates a change in an output quantity to a change in an input quantity. The average rate of change is determined using only the beginning and ending data. See **Example 1.29**.
- Identifying points that mark the interval on a graph can be used to find the average rate of change. See **Example 1.30**.
- Comparing pairs of input and output values in a table can also be used to find the average rate of change. See
 Example 1.31.
- An average rate of change can also be computed by determining the function values at the endpoints of an interval described by a formula. See **Example 1.32** and **Example 1.33**.
- The average rate of change can sometimes be determined as an expression. See Example 1.34.
- A function is increasing where its rate of change is positive and decreasing where its rate of change is negative. See **Example 1.35**.
- A local maximum is where a function changes from increasing to decreasing and has an output value larger (more positive or less negative) than output values at neighboring input values.
- A local minimum is where the function changes from decreasing to increasing (as the input increases) and has an output value smaller (more negative or less positive) than output values at neighboring input values.
- Minima and maxima are also called extrema.
- We can find local extrema from a graph. See **Example 1.36** and **Example 1.37**.
- The highest and lowest points on a graph indicate the maxima and minima. See **Example 1.38**.

1.4 Composition of Functions

- We can perform algebraic operations on functions. See **Example 1.39**.
- When functions are combined, the output of the first (inner) function becomes the input of the second (outer) function.
- The function produced by combining two functions is a composite function. See Example 1.40 and Example 1.41.
- The order of function composition must be considered when interpreting the meaning of composite functions. See **Example 1.42**.

• A composite function can be evaluated by evaluating the inner function using the given input value and then evaluating the outer function taking as its input the output of the inner function.

- A composite function can be evaluated from a table. See **Example 1.43**.
- A composite function can be evaluated from a graph. See Example 1.44.
- A composite function can be evaluated from a formula. See **Example 1.45**.
- The domain of a composite function consists of those inputs in the domain of the inner function that correspond to
 outputs of the inner function that are in the domain of the outer function. See Example 1.46 and Example 1.47.
- Just as functions can be combined to form a composite function, composite functions can be decomposed into simpler functions.
- Functions can often be decomposed in more than one way. See **Example 1.48**.

1.5 Transformation of Functions

- A function can be shifted vertically by adding a constant to the output. See Example 1.49 and Example 1.50.
- A function can be shifted horizontally by adding a constant to the input. See **Example 1.51**, **Example 1.52**, and **Example 1.53**.
- Relating the shift to the context of a problem makes it possible to compare and interpret vertical and horizontal shifts. See **Example 1.54**.
- Vertical and horizontal shifts are often combined. See Example 1.55 and Example 1.56.
- A vertical reflection reflects a graph about the *x* axis. A graph can be reflected vertically by multiplying the output by −1.
- A horizontal reflection reflects a graph about the *y*-axis. A graph can be reflected horizontally by multiplying the input by -1.
- A graph can be reflected both vertically and horizontally. The order in which the reflections are applied does not affect the final graph. See **Example 1.57**.
- A function presented in tabular form can also be reflected by multiplying the values in the input and output rows or columns accordingly. See Example 1.58.
- A function presented as an equation can be reflected by applying transformations one at a time. See **Example 1.59**.
- Even functions are symmetric about the *y* axis, whereas odd functions are symmetric about the origin.
- Even functions satisfy the condition f(x) = f(-x).
- Odd functions satisfy the condition f(x) = -f(-x).
- A function can be odd, even, or neither. See **Example 1.60**.
- A function can be compressed or stretched vertically by multiplying the output by a constant. See Example 1.61,
 Example 1.62, and Example 1.63.
- A function can be compressed or stretched horizontally by multiplying the input by a constant. See **Example 1.64**, **Example 1.65**, and **Example 1.66**.
- The order in which different transformations are applied does affect the final function. Both vertical and horizontal transformations must be applied in the order given. However, a vertical transformation may be combined with a horizontal transformation in any order. See Example 1.67 and Example 1.68.

1.6 Absolute Value Functions

- The absolute value function is commonly used to measure distances between points. See Example 1.69.
- Applied problems, such as ranges of possible values, can also be solved using the absolute value function. See **Example 1.70**.

• The graph of the absolute value function resembles a letter V. It has a corner point at which the graph changes direction. See **Example 1.71**.

- In an absolute value equation, an unknown variable is the input of an absolute value function.
- If the absolute value of an expression is set equal to a positive number, expect two solutions for the unknown variable. See **Example 1.72**.
- An absolute value equation may have one solution, two solutions, or no solutions. See Example 1.73.
- An absolute value inequality is similar to an absolute value equation but takes the form |A| < B, $|A| \le B$, |A| > B, or $|A| \ge B$. It can be solved by determining the boundaries of the solution set and then testing which segments are in the set. See **Example 1.74**.
- Absolute value inequalities can also be solved graphically. See **Example 1.75**.

1.7 Inverse Functions

- If g(x) is the inverse of f(x), then g(f(x)) = f(g(x)) = x. See **Example 1.76**, **Example 1.77**, and **Example 1.78**.
- Each of the toolkit functions has an inverse. See Example 1.79.
- For a function to have an inverse, it must be one-to-one (pass the horizontal line test).
- A function that is not one-to-one over its entire domain may be one-to-one on part of its domain.
- For a tabular function, exchange the input and output rows to obtain the inverse. See Example 1.80.
- The inverse of a function can be determined at specific points on its graph. See **Example 1.81**.
- To find the inverse of a formula, solve the equation y = f(x) for x as a function of y. Then exchange the labels x and y. See **Example 1.82**, **Example 1.83**, and **Example 1.84**.
- The graph of an inverse function is the reflection of the graph of the original function across the line *y* = *x*. See **Example 1.85**.

CHAPTER 1 REVIEW EXERCISES

Functions and Function Notation

For the following exercises, determine whether the relation is a function.

490.
$$\{(a, b), (c, d), (e, d)\}$$

492. $y^2 + 4 = x$, for x the independent variable and y the dependent variable

493. Is the graph in **Figure 1.126** a function?

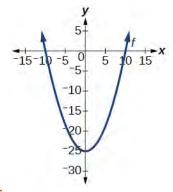


Figure 1.126

For the following exercises, evaluate the function at the indicated values:

$$f(-3)$$
; $f(2)$; $f(-a)$; $-f(a)$; $f(a+h)$.

494.
$$f(x) = -2x^2 + 3x$$

495.
$$f(x) = 2|3x - 1|$$

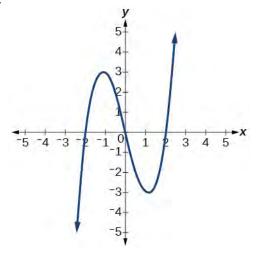
For the following exercises, determine whether the functions are one-to-one.

496.
$$f(x) = -3x + 5$$

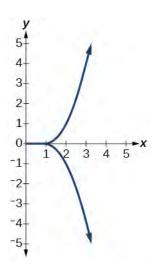
497.
$$f(x) = |x - 3|$$

For the following exercises, use the vertical line test to determine if the relation whose graph is provided is a function.

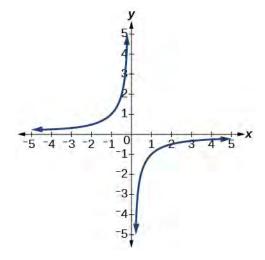
498.



499.



500.



For the following exercises, graph the functions.

501.
$$f(x) = |x + 1|$$

502.
$$f(x) = x^2 - 2$$

For the following exercises, use **Figure 1.127** to approximate the values.

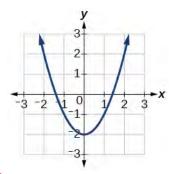


Figure 1.127

503.
$$f(2)$$

504.
$$f(-2)$$

505. If
$$f(x) = -2$$
, then solve for *x*.

506. If
$$f(x) = 1$$
, then solve for *x*.

For the following exercises, use the function $h(t) = -16t^2 + 80t$ to find the values.

507.
$$\frac{h(2) - h(1)}{2 - 1}$$

508.
$$\frac{h(a) - h(1)}{a - 1}$$

Domain and Range

For the following exercises, find the domain of each function, expressing answers using interval notation.

509.
$$f(x) = \frac{2}{3x+2}$$

510.
$$f(x) = \frac{x-3}{x^2 - 4x - 12}$$

511.
$$f(x) = \frac{\sqrt{x-6}}{\sqrt{x-4}}$$

512. Graph this piecewise function:
$$f(x) = \begin{cases} x+1 & x < -2 \\ -2x-3 & x \ge -2 \end{cases}$$

Rates of Change and Behavior of Graphs

For the following exercises, find the average rate of change of the functions from x = 1 to x = 2.

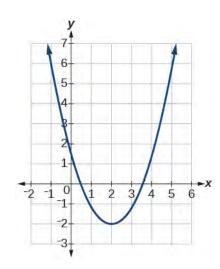
513.
$$f(x) = 4x - 3$$

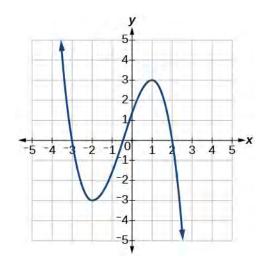
514.
$$f(x) = 10x^2 + x$$

515.
$$f(x) = -\frac{2}{x^2}$$

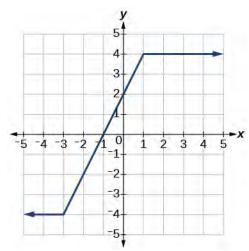
For the following exercises, use the graphs to determine the intervals on which the functions are increasing, decreasing, or constant.

516.





518.



519. Find the local minimum of the function graphed in **Exercise 1.516**.

520. Find the local extrema for the function graphed in **Exercise 1.517**.

521. For the graph in **Figure 1.128**, the domain of the function is [-3, 3]. The range is [-10, 10]. Find the absolute minimum of the function on this interval.

522. Find the absolute maximum of the function graphed in **Figure 1.128**.

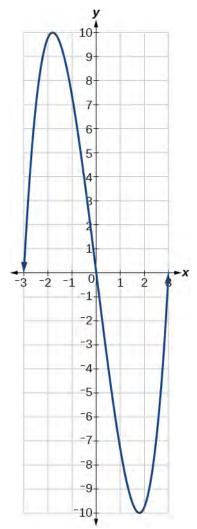


Figure 1.128

Composition of Functions

For the following exercises, find $(f \circ g)(x)$ and $(g \circ f)(x)$ for each pair of functions.

523.
$$f(x) = 4 - x$$
, $g(x) = -4x$

524.
$$f(x) = 3x + 2$$
, $g(x) = 5 - 6x$

525.
$$f(x) = x^2 + 2x$$
, $g(x) = 5x + 1$

526.
$$f(x) = \sqrt{x+2}$$
, $g(x) = \frac{1}{x}$

527.
$$f(x) = \frac{x+3}{2}$$
, $g(x) = \sqrt{1-x}$

For the following exercises, find $(f \circ g)$ and the domain for $(f \circ g)(x)$ for each pair of functions.

528.
$$f(x) = \frac{x+1}{x+4}$$
, $g(x) = \frac{1}{x}$

529.
$$f(x) = \frac{1}{x+3}$$
, $g(x) = \frac{1}{x-9}$

530.
$$f(x) = \frac{1}{x}$$
, $g(x) = \sqrt{x}$

531.
$$f(x) = \frac{1}{x^2 - 1}$$
, $g(x) = \sqrt{x + 1}$

For the following exercises, express each function H as a composition of two functions f and g where $H(x) = (f \circ g)(x)$.

532.
$$H(x) = \sqrt{\frac{2x-1}{3x+4}}$$

533.
$$H(x) = \frac{1}{(3x^2 - 4)^{-3}}$$

Transformation of Functions

For the following exercises, sketch a graph of the given function.

534.
$$f(x) = (x-3)^2$$

535.
$$f(x) = (x+4)^3$$

536.
$$f(x) = \sqrt{x} + 5$$

537.
$$f(x) = -x^3$$

538.
$$f(x) = \sqrt[3]{-x}$$

539.
$$f(x) = 5\sqrt{-x} - 4$$

540.
$$f(x) = 4[|x-2|-6]$$

541.
$$f(x) = -(x+2)^2 - 1$$

For the following exercises, sketch the graph of the function g if the graph of the function f is shown in **Figure 1.129**.

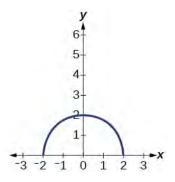
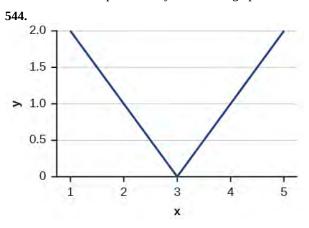


Figure 1.129

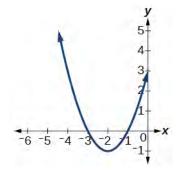
542.
$$g(x) = f(x - 1)$$

543.
$$g(x) = 3f(x)$$

For the following exercises, write the equation for the standard function represented by each of the graphs below.



545.



For the following exercises, determine whether each function below is even, odd, or neither.

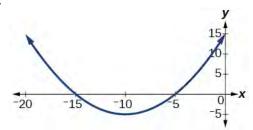
546.
$$f(x) = 3x^4$$

547.
$$g(x) = \sqrt{x}$$

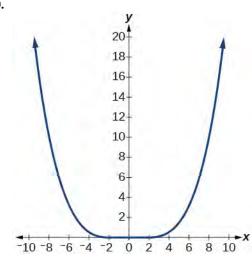
548.
$$h(x) = \frac{1}{x} + 3x$$

For the following exercises, analyze the graph and determine whether the graphed function is even, odd, or neither.

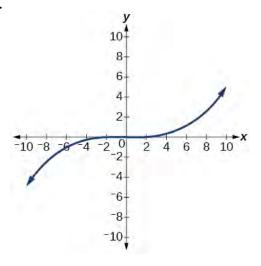
549.



550.



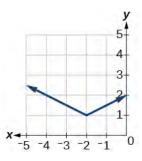
551.



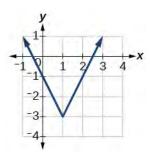
Absolute Value Functions

For the following exercises, write an equation for the transformation of f(x) = |x|.

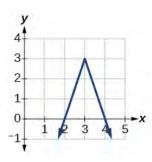
552.



553.



554.



For the following exercises, graph the absolute value function.

555.
$$f(x) = |x - 5|$$

556.
$$f(x) = -|x-3|$$

557.
$$f(x) = |2x - 4|$$

For the following exercises, solve the absolute value equation.

558.
$$|x + 4| = 18$$

559.
$$\left| \frac{1}{3}x + 5 \right| = \left| \frac{3}{4}x - 2 \right|$$

For the following exercises, solve the inequality and express the solution using interval notation.

560.
$$|3x - 2| < 7$$

561.
$$\left| \frac{1}{3}x - 2 \right| \le 7$$

Inverse Functions

For the following exercises, find $f^{-1}(x)$ for each function.

562.
$$f(x) = 9 + 10x$$

563.
$$f(x) = \frac{x}{x+2}$$

For the following exercise, find a domain on which the function f is one-to-one and non-decreasing. Write the domain in interval notation. Then find the inverse of f restricted to that domain.

564.
$$f(x) = x^2 + 1$$

565. Given
$$f(x) = x^3 - 5$$
 and $g(x) = \sqrt[3]{x + 5}$:

a. Find f(g(x)) and g(f(x)).

b. What does the answer tell us about the relationship between f(x) and g(x)?

For the following exercises, use a graphing utility to determine whether each function is one-to-one.

566.
$$f(x) = \frac{1}{x}$$

567.
$$f(x) = -3x^2 + x$$

568. If
$$f(5) = 2$$
, find $f^{-1}(2)$.

569. If
$$f(1) = 4$$
, find $f^{-1}(4)$.

CHAPTER 1 PRACTICE TEST

For the following exercises, determine whether each of the following relations is a function.

570.
$$y = 2x + 8$$

571.
$$\{(2, 1), (3, 2), (-1, 1), (0, -2)\}$$

For the following exercises, evaluate the function $f(x) = -3x^2 + 2x$ at the given input.

572.
$$f(-2)$$

573.
$$f(a)$$

574. Show that the function $f(x) = -2(x-1)^2 + 3$ is not one-to-one.

575. Write the domain of the function $f(x) = \sqrt{3 - x}$ in interval notation.

576. Given
$$f(x) = 2x^2 - 5x$$
, find $f(a+1) - f(1)$.

577. Graph the function
$$f(x) = \begin{cases} x+1 & \text{if } -2 < x < 3 \\ -x & \text{if } x \ge 3 \end{cases}$$

578. Find the average rate of change of the function $f(x) = 3 - 2x^2 + x$ by finding $\frac{f(b) - f(a)}{b - a}$.

For the following exercises, use the functions $f(x) = 3 - 2x^2 + x$ and $g(x) = \sqrt{x}$ to find the composite functions.

579.
$$(g \circ f)(x)$$

580.
$$(g \circ f)(1)$$

581. Express $H(x) = \sqrt[3]{5x^2 - 3x}$ as a composition of two functions, f and g, where $(f \circ g)(x) = H(x)$.

For the following exercises, graph the functions by translating, stretching, and/or compressing a toolkit function.

582.
$$f(x) = \sqrt{x+6} - 1$$

583.
$$f(x) = \frac{1}{x+2} - 1$$

For the following exercises, determine whether the functions are even, odd, or neither.

584.
$$f(x) = -\frac{5}{x^2} + 9x^6$$

585.
$$f(x) = -\frac{5}{x^3} + 9x^5$$

586.
$$f(x) = \frac{1}{x}$$

587. Graph the absolute value function f(x) = -2|x-1| + 3.

588. Solve
$$|2x - 3| = 17$$
.

589. Solve $-\left|\frac{1}{3}x - 3\right| \ge 17$. Express the solution in interval notation.

For the following exercises, find the inverse of the function.

590.
$$f(x) = 3x - 5$$

591.
$$f(x) = \frac{4}{x+7}$$

For the following exercises, use the graph of g shown in Figure 1.130.

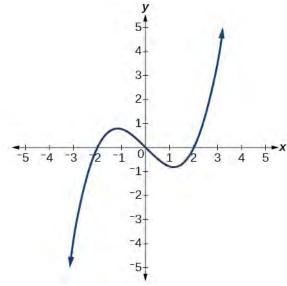


Figure 1.130

592. On what intervals is the function increasing?

593. On what intervals is the function decreasing?

594. Approximate the local minimum of the function. Express the answer as an ordered pair.

595. Approximate the local maximum of the function. Express the answer as an ordered pair.

For the following exercises, use the graph of the piecewise function shown in **Figure 1.131**.

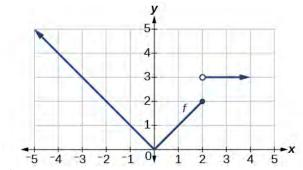


Figure 1.131

596. Find f(2).

597. Find f(-2).

598. Write an equation for the piecewise function.

For the following exercises, use the values listed in **Table 1.49**.

x	F(x)
0	1
1	3
2	5
3	7
4	9
5	11
6	13
7	15
8	17

Table 1.49

599. Find F(6).

600. Solve the equation F(x) = 5.

601. Is the graph increasing or decreasing on its domain?

602. Is the function represented by the graph one-to-one?

603. Find $F^{-1}(15)$.

604. Given f(x) = -2x + 11, find $f^{-1}(x)$.

2 LINEAR FUNCTIONS



Figure 2.1 A bamboo forest in China (credit: "JFXie"/Flickr)

Chapter Outline

- 2.1 Linear Functions
- 2.2 Graphs of Linear Functions
- 2.3 Modeling with Linear Functions
- 2.4 Fitting Linear Models to Data

Introduction

Imagine placing a plant in the ground one day and finding that it has doubled its height just a few days later. Although it may seem incredible, this can happen with certain types of bamboo species. These members of the grass family are the fastest-growing plants in the world. One species of bamboo has been observed to grow nearly 1.5 inches every hour. ^[1] In a twenty-four hour period, this bamboo plant grows about 36 inches, or an incredible 3 feet! A constant rate of change, such as the growth cycle of this bamboo plant, is a linear function.

Recall from **Functions and Function Notation** that a function is a relation that assigns to every element in the domain exactly one element in the range. Linear functions are a specific type of function that can be used to model many real-world applications, such as plant growth over time. In this chapter, we will explore linear functions, their graphs, and how to relate them to data.

2.1 | Linear Functions

Learning Objectives

In this section, you will:

- **2.1.1** Represent a linear function.
- 2.1.2 Determine whether a linear function is increasing, decreasing, or constant.
- 2.1.3 Calculate and interpret slope.
- **2.1.4** Write the point-slope form of an equation.
- **2.1.5** Write and interpret a linear function.



Figure 2.2 Shanghai MagLev Train (credit: "kanegen"/Flickr)

Just as with the growth of a bamboo plant, there are many situations that involve constant change over time. Consider, for example, the first commercial maglev train in the world, the Shanghai MagLev Train (Figure 2.2). It carries passengers comfortably for a 30-kilometer trip from the airport to the subway station in only eight minutes.^[2]

Suppose a maglev train were to travel a long distance, and that the train maintains a constant speed of 83 meters per second for a period of time once it is 250 meters from the station. How can we analyze the train's distance from the station as a function of time? In this section, we will investigate a kind of function that is useful for this purpose, and use it to investigate real-world situations such as the train's distance from the station at a given point in time.

Representing Linear Functions

The function describing the train's motion is a **linear function**, which is defined as a function with a constant rate of change, that is, a polynomial of degree 1. There are several ways to represent a linear function, including word form, function notation, tabular form, and graphical form. We will describe the train's motion as a function using each method.

Representing a Linear Function in Word Form

Let's begin by describing the linear function in words. For the train problem we just considered, the following word sentence may be used to describe the function relationship.

• The train's distance from the station is a function of the time during which the train moves at a constant speed plus its original distance from the station when it began moving at constant speed.

The speed is the rate of change. Recall that a rate of change is a measure of how quickly the dependent variable changes with respect to the independent variable. The rate of change for this example is constant, which means that it is the same for each input value. As the time (input) increases by 1 second, the corresponding distance (output) increases by 83 meters. The train began moving at this constant speed at a distance of 250 meters from the station.

2. http://www.chinahighlights.com/shanghai/transportation/maglev-train.htm

Representing a Linear Function in Function Notation

Another approach to representing linear functions is by using function notation. One example of function notation is an equation written in the form known as the **slope-intercept form** of a line, where x is the input value, m is the rate of change, and b is the initial value of the dependent variable.

Equation form
$$y = mx + b$$
 (2.1)
Equation notation $f(x) = mx + b$

In the example of the train, we might use the notation D(t) in which the total distance D is a function of the time t. The rate, m, is 83 meters per second. The initial value of the dependent variable b is the original distance from the station, 250 meters. We can write a generalized equation to represent the motion of the train.

$$D(t) = 83t + 250$$

Representing a Linear Function in Tabular Form

A third method of representing a linear function is through the use of a table. The relationship between the distance from the station and the time is represented in **Figure 2.3**. From the table, we can see that the distance changes by 83 meters for every 1 second increase in time.

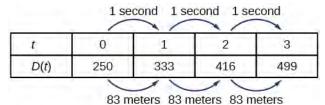


Figure 2.3 Tabular representation of the function *D* showing selected input and output values



Can the input in the previous example be any real number?

No. The input represents time, so while nonnegative rational and irrational numbers are possible, negative real numbers are not possible for this example. The input consists of non-negative real numbers.

Representing a Linear Function in Graphical Form

Another way to represent linear functions is visually, using a graph. We can use the function relationship from above, D(t) = 83t + 250, to draw a graph, represented in **Figure 2.4**. Notice the graph is a line. When we plot a linear function, the graph is always a line.

The rate of change, which is constant, determines the slant, or **slope** of the line. The point at which the input value is zero is the vertical intercept, or **y-intercept**, of the line. We can see from the graph in **Figure 2.4** that the y-intercept in the train example we just saw is (0, 250) and represents the distance of the train from the station when it began moving at a constant speed.

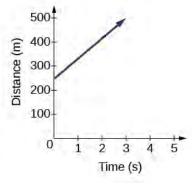


Figure 2.4 The graph of D(t) = 83t + 250. Graphs of linear functions are lines because the rate of change is constant.

Notice that the graph of the train example is restricted, but this is not always the case. Consider the graph of the line f(x) = 2x+1. Ask yourself what numbers can be input to the function, that is, what is the domain of the function? The domain is comprised of all real numbers because any number may be doubled, and then have one added to the product.

Linear Function

A **linear function** is a function whose graph is a line. Linear functions can be written in the slope-intercept form of a line

$$f(x) = mx + b \tag{2.2}$$

where b is the initial or starting value of the function (when input, x = 0), and m is the constant rate of change, or **slope** of the function. The *y***-intercept** is at (0, b).

Example 2.1

Using a Linear Function to Find the Pressure on a Diver

The pressure, P, in pounds per square inch (PSI) on the diver in **Figure 2.5** depends upon her depth below the water surface, d, in feet. This relationship may be modeled by the equation, P(d) = 0.434d + 14.696. Restate this function in words.



Figure 2.5 (credit: Ilse Reijs and Jan-Noud Hutten)

Solution

To restate the function in words, we need to describe each part of the equation. The pressure as a function of depth equals four hundred thirty-four thousandths times depth plus fourteen and six hundred ninety-six thousandths.

Analysis

The initial value, 14.696, is the pressure in PSI on the diver at a depth of 0 feet, which is the surface of the water. The rate of change, or slope, is 0.434 PSI per foot. This tells us that the pressure on the diver increases 0.434 PSI for each foot her depth increases.

Determining whether a Linear Function Is Increasing, Decreasing, or Constant

The linear functions we used in the two previous examples increased over time, but not every linear function does. A linear function may be increasing, decreasing, or constant. For an increasing function, as with the train example, the output values increase as the input values increase. The graph of an increasing function has a positive slope. A line with a positive slope slants upward from left to right as in **Figure 2.6(a)**. For a decreasing function, the slope is negative. The output values decrease as the input values increase. A line with a negative slope slants downward from left to right as in **Figure 2.6(b)**. If the function is constant, the output values are the same for all input values so the slope is zero. A line with a slope of zero is horizontal as in **Figure 2.6(c)**.

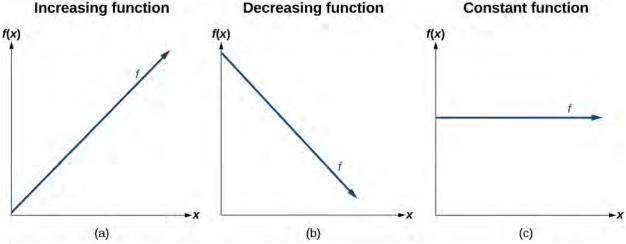


Figure 2.6

Increasing and Decreasing Functions

The slope determines if the function is an **increasing linear function**, a **decreasing linear function**, or a constant function.

- f(x) = mx + b is an increasing function if m > 0.
- f(x) = mx + b is an decreasing function if m < 0.
- f(x) = mx + b is a constant function if m = 0.

Example 2.2

Deciding whether a Function Is Increasing, Decreasing, or Constant

Some recent studies suggest that a teenager sends an average of 60 texts per day.^[3] For each of the following scenarios, find the linear function that describes the relationship between the input value and the output value. Then, determine whether the graph of the function is increasing, decreasing, or constant.

- a. The total number of texts a teen sends is considered a function of time in days. The input is the number of days, and output is the total number of texts sent.
- b. A teen has a limit of 500 texts per month in his or her data plan. The input is the number of days, and output is the total number of texts remaining for the month.

c. A teen has an unlimited number of texts in his or her data plan for a cost of \$50 per month. The input is the number of days, and output is the total cost of texting each month.

Solution

Analyze each function.

- a. The function can be represented as f(x) = 60x where x is the number of days. The slope, 60, is positive so the function is increasing. This makes sense because the total number of texts increases with each day.
- b. The function can be represented as f(x) = 500 60x where x is the number of days. In this case, the slope is negative so the function is decreasing. This makes sense because the number of texts remaining decreases each day and this function represents the number of texts remaining in the data plan after x days.
- c. The cost function can be represented as f(x) = 50 because the number of days does not affect the total cost. The slope is 0 so the function is constant.

Calculating and Interpreting Slope

In the examples we have seen so far, we have had the slope provided for us. However, we often need to calculate the slope given input and output values. Given two values for the input, x_1 and x_2 , and two corresponding values for the output, y_1 and y_2 —which can be represented by a set of points, (x_1, y_1) and (x_2, y_2) —we can calculate the slope m, as follows

$$m = \frac{\text{change in output (rise)}}{\text{change in input (run)}} = \frac{\Delta y}{\Delta x} = \frac{y_2 - y_1}{x_2 - x_1}$$

where Δy is the vertical displacement and Δx is the horizontal displacement. Note in function notation two corresponding values for the output y_1 and y_2 for the function f, $y_1 = f(x_1)$ and $y_2 = f(x_2)$, so we could equivalently write

$$m = \frac{f(x_2) - f(x_1)}{x_2 - x_1}$$

Figure 2.7 indicates how the slope of the line between the points, (x_1, y_1) and (x_2, y_2) , is calculated. Recall that the slope measures steepness. The greater the absolute value of the slope, the steeper the line is.

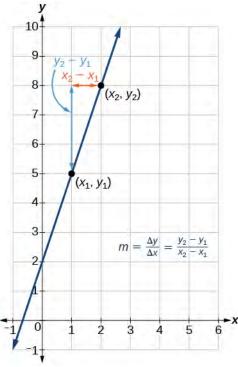


Figure 2.7 The slope of a function is calculated by the change in y divided by the change in x. It does not matter which coordinate is used as the (x_2, y_2) and which is the (x_1, y_1) , as long as each calculation is started with the elements from the same coordinate pair.



Are the units for slope always $\frac{\text{units for the outpu}}{\text{units for the input}}$?

Yes. Think of the units as the change of output value for each unit of change in input value. An example of slope could be miles per hour or dollars per day. Notice the units appear as a ratio of units for the output per units for the input.

Calculate Slope

The slope, or rate of change, of a function m can be calculated according to the following:

$$m = \frac{\text{change in output (rise)}}{\text{change in input (run)}} = \frac{\Delta y}{\Delta x} = \frac{y_2 - y_1}{x_2 - x_1}$$
(2.3)

where x_1 and x_2 are input values, y_1 and y_2 are output values.



Given two points from a linear function, calculate and interpret the slope.

- 1. Determine the units for output and input values.
- 2. Calculate the change of output values and change of input values.
- 3. Interpret the slope as the change in output values per unit of the input value.

Example 2.3

Finding the Slope of a Linear Function

If f(x) is a linear function, and (3,-2) and (8,1) are points on the line, find the slope. Is this function increasing or decreasing?

Solution

The coordinate pairs are (3,-2) and (8,1). To find the rate of change, we divide the change in output by the change in input.

$$m = \frac{\text{change in output}}{\text{change in input}} = \frac{1 - (-2)}{8 - 3} = \frac{3}{5}$$

We could also write the slope as m = 0.6. The function is increasing because m > 0.

Analysis

As noted earlier, the order in which we write the points does not matter when we compute the slope of the line as long as the first output value, or *y*-coordinate, used corresponds with the first input value, or *x*-coordinate, used.



2.1 If f(x) is a linear function, and (2, 3) and (0, 4) are points on the line, find the slope. Is this function increasing or decreasing?

Example 2.4

Finding the Population Change from a Linear Function

The population of a city increased from 23,400 to 27,800 between 2008 and 2012. Find the change of population per year if we assume the change was constant from 2008 to 2012.

Solution

The rate of change relates the change in population to the change in time. The population increased by 27,800-23,400=4400 people over the four-year time interval. To find the rate of change, divide the change in the number of people by the number of years.

$$\frac{4,400 \text{ people}}{4 \text{ years}} = 1,100 \frac{\text{people}}{\text{year}}$$

So the population increased by 1,100 people per year.

Analysis

Because we are told that the population increased, we would expect the slope to be positive. This positive slope we calculated is therefore reasonable.



2.2 The population of a small town increased from 1,442 to 1,868 between 2009 and 2012. Find the change of population per year if we assume the change was constant from 2009 to 2012.

Writing the Point-Slope Form of a Linear Equation

Up until now, we have been using the slope-intercept form of a linear equation to describe linear functions. Here, we will learn another way to write a linear function, the **point-slope form**.

$$y - y_1 = m(x - x_1)$$

The point-slope form is derived from the slope formula.

$$m = \frac{y - y_1}{x - x_1}$$
 assuming $x \neq x_1$

$$m(x - x_1) = \frac{y - y_1}{x - x_1}(x - x_1)$$
 Multiply both sides by $(x - x_1)$.

$$m(x - x_1) = y - y_1$$
 Simplify.

$$y - y_1 = m(x - x_1)$$
 Rearrange.

Keep in mind that the slope-intercept form and the point-slope form can be used to describe the same function. We can move from one form to another using basic algebra. For example, suppose we are given an equation in point-slope form, $y-4=-\frac{1}{2}(x-6)$. We can convert it to the slope-intercept form as shown.

$$y-4 = -\frac{1}{2}(x-6)$$

$$y-4 = -\frac{1}{2}x+3$$
 Distribute the $-\frac{1}{2}$.
$$y = -\frac{1}{2}x+7$$
 Add 4 to each side.

Therefore, the same line can be described in slope-intercept form as $y = -\frac{1}{2}x + 7$.

Point-Slope Form of a Linear Equation

The **point-slope form** of a linear equation takes the form

$$y - y_1 = m(x - x_1) (2.4)$$

where m is the slope, x_1 and y_1 are the x and y coordinates of a specific point through which the line passes.

Writing the Equation of a Line Using a Point and the Slope

The point-slope form is particularly useful if we know one point and the slope of a line. Suppose, for example, we are told that a line has a slope of 2 and passes through the point (4, 1). We know that m = 2 and that $x_1 = 4$ and $y_1 = 1$. We can substitute these values into the general point-slope equation.

$$y - y_1 = m(x - x_1)$$
$$y - 1 = 2(x - 4)$$

If we wanted to then rewrite the equation in slope-intercept form, we apply algebraic techniques.

$$y-1 = 2(x-4)$$

 $y-1 = 2x-8$ Distribute the 2.
 $y = 2x-7$ Add 1 to each side.

Both equations, y - 1 = 2(x - 4) and y = 2x - 7, describe the same line. See **Figure 2.8**.

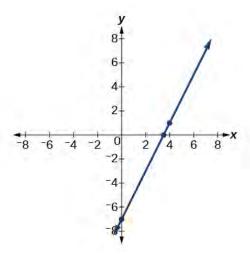


Figure 2.8

Example 2.5

Writing Linear Equations Using a Point and the Slope

Write the point-slope form of an equation of a line with a slope of 3 that passes through the point (6, -1). Then rewrite it in the slope-intercept form.

Solution

Let's figure out what we know from the given information. The slope is 3, so m = 3. We also know one point, so we know $x_1 = 6$ and $y_1 = -1$. Now we can substitute these values into the general point-slope equation.

$$y - y_1 = m(x - x_1)$$

 $y - (-1) = 3(x - 6)$ Substitute known values.
 $y + 1 = 3(x - 6)$ Distribute - 1 to find point-slope orm.

Then we use algebra to find the slope-intercept form.

$$y + 1 = 3(x - 6)$$

 $y + 1 = 3x - 18$ Distribute 3.
 $y = 3x - 19$ Simplify to slope-intercept form.



2.3 Write the point-slope form of an equation of a line with a slope of -2 that passes through the point (-2, 2). Then rewrite it in the slope-intercept form.

Writing the Equation of a Line Using Two Points

The point-slope form of an equation is also useful if we know any two points through which a line passes. Suppose, for example, we know that a line passes through the points (0, 1) and (3, 2). We can use the coordinates of the two points to find the slope.

$$m = \frac{y_2 - y_1}{x_2 - x_1}$$
$$= \frac{2 - 1}{3 - 0}$$
$$= \frac{1}{3}$$

Now we can use the slope we found and the coordinates of one of the points to find the equation for the line. Let use (0, 1) for our point.

$$y - y_1 = m(x - x_1)$$
$$y - 1 = \frac{1}{3}(x - 0)$$

As before, we can use algebra to rewrite the equation in the slope-intercept form.

$$y - 1 = \frac{1}{3}(x - 0)$$

$$y - 1 = \frac{1}{3}x$$
 Distribute the $\frac{1}{3}$.
$$y = \frac{1}{3}x + 1$$
 Add 1 to each side.

Both equations describe the line shown in **Figure 2.9**.

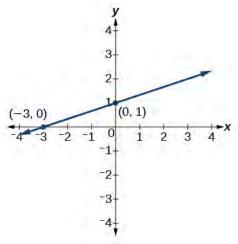


Figure 2.9

Example 2.6

Writing Linear Equations Using Two Points

Write the point-slope form of an equation of a line that passes through the points (5, 1) and (8, 7). Then rewrite it in the slope-intercept form.

Solution

Let's begin by finding the slope.

$$m = \frac{y_2 - y_1}{x_2 - x_1}$$

$$= \frac{7 - 1}{8 - 5}$$

$$= \frac{6}{3}$$

$$= 2$$

So m = 2. Next, we substitute the slope and the coordinates for one of the points into the general point-slope equation. We can choose either point, but we will use (5, 1).

$$y - y_1 = m(x - x_1)$$

 $y - 1 = 2(x - 5)$

The point-slope equation of the line is $y_2 - 1 = 2(x_2 - 5)$. To rewrite the equation in slope-intercept form, we use algebra.

$$y-1 = 2(x-5)$$

 $y-1 = 2x-10$
 $y = 2x-9$

The slope-intercept equation of the line is y = 2x - 9.



2.4 Write the point-slope form of an equation of a line that passes through the points (-1, 3) and (0, 0). Then rewrite it in the slope-intercept form.

Writing and Interpreting an Equation for a Linear Function

Now that we have written equations for linear functions in both the slope-intercept form and the point-slope form, we can choose which method to use based on the information we are given. That information may be provided in the form of a graph, a point and a slope, two points, and so on. Look at the graph of the function f in **Figure 2.10**.

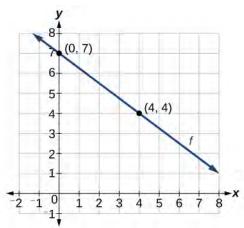


Figure 2.10

We are not given the slope of the line, but we can choose any two points on the line to find the slope. Let's choose (0, 7) and (4, 4). We can use these points to calculate the slope.

$$m = \frac{y_2 - y_1}{x_2 - x_1}$$
$$= \frac{4 - 7}{4 - 0}$$
$$= -\frac{3}{4}$$

Now we can substitute the slope and the coordinates of one of the points into the point-slope form.

$$y - y_1 = m(x - x_1)$$
$$y - 4 = -\frac{3}{4}(x - 4)$$

If we want to rewrite the equation in the slope-intercept form, we would find

$$y-4 = -\frac{3}{4}(x-4)$$
$$y-4 = -\frac{3}{4}x+3$$
$$y = -\frac{3}{4}x+7$$

If we wanted to find the slope-intercept form without first writing the point-slope form, we could have recognized that the line crosses the *y*-axis when the output value is 7. Therefore, b = 7. We now have the initial value b and the slope m so we can substitute m and b into the slope-intercept form of a line.

$$f(x) = mx + b$$

$$\frac{3}{4} \qquad 7$$

$$f(x) = -\frac{3}{4}x + 7$$

So the function is $f(x) = -\frac{3}{4}x + 7$, and the linear equation would be $y = -\frac{3}{4}x + 7$.



Given the graph of a linear function, write an equation to represent the function.

- 1. Identify two points on the line.
 - 2. Use the two points to calculate the slope.
 - 3. Determine where the line crosses the *y*-axis to identify the *y*-intercept by visual inspection.
 - 4. Substitute the slope and *y*-intercept into the slope-intercept form of a line equation.

Example 2.7

Writing an Equation for a Linear Function

Write an equation for a linear function given a graph of f shown in **Figure 2.11**.

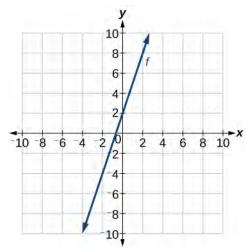


Figure 2.11

Solution

Identify two points on the line, such as (0, 2) and (-2, -4). Use the points to calculate the slope.

$$m = \frac{y_2 - y_1}{x_2 - x_1}$$

$$= \frac{-4 - 2}{-2 - 0}$$

$$= \frac{-6}{-2}$$

$$= 3$$

Substitute the slope and the coordinates of one of the points into the point-slope form.

$$y - y_1 = m(x - x_1)$$

$$y - (-4) = 3(x - (-2))$$

$$y + 4 = 3(x + 2)$$

We can use algebra to rewrite the equation in the slope-intercept form.

$$y + 4 = 3(x + 2)$$

 $y + 4 = 3x + 6$
 $y = 3x + 2$

Analysis

This makes sense because we can see from **Figure 2.12** that the line crosses the y-axis at the point (0, 2), which is the *y*-intercept, so b = 2.

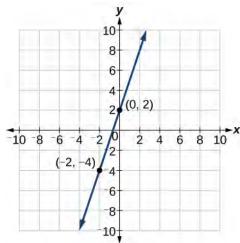


Figure 2.12

Example 2.8

Writing an Equation for a Linear Cost Function

Suppose Ben starts a company in which he incurs a fixed cost of \$1,250 per month for the overhead, which includes his office rent. His production costs are \$37.50 per item. Write a linear function C where C(x) is the cost for x items produced in a given month.

Solution

The fixed cost is present every month, \$1,250. The costs that can vary include the cost to produce each item, which is \$37.50 for Ben. The variable cost, called the marginal cost, is represented by 37.5. The cost Ben incurs is the sum of these two costs, represented by C(x) = 1250 + 37.5x.

Analysis

If Ben produces 100 items in a month, his monthly cost is represented by

$$C(100) = 1250 + 37.5(100)$$
$$= 5000$$

So his monthly cost would be \$5,000.

Example 2.9

Writing an Equation for a Linear Function Given Two Points

If f is a linear function, with f(3) = -2, and f(8) = 1, find an equation for the function in slope-intercept form.

Solution

We can write the given points using coordinates.

$$f(3) = -2 \to (3, -2)$$

$$f(8) = 1 \to (8, 1)$$

We can then use the points to calculate the slope.

$$m = \frac{y_2 - y_1}{x_2 - x_1}$$
$$= \frac{1 - (-2)}{8 - 3}$$
$$= \frac{3}{5}$$

Substitute the slope and the coordinates of one of the points into the point-slope form.

$$y - y_1 = m(x - x_1)$$
$$y - (-2) = \frac{3}{5}(x - 3)$$

We can use algebra to rewrite the equation in the slope-intercept form.

$$y + 2 = \frac{3}{5}(x - 3)$$
$$y + 2 = \frac{3}{5}x - \frac{9}{5}$$
$$y = \frac{3}{5}x - \frac{19}{5}$$



2.5 If f(x) is a linear function, with f(2) = -11, and f(4) = -25, find an equation for the function in slope-intercept form.

Modeling Real-World Problems with Linear Functions

In the real world, problems are not always explicitly stated in terms of a function or represented with a graph. Fortunately, we can analyze the problem by first representing it as a linear function and then interpreting the components of the function. As long as we know, or can figure out, the initial value and the rate of change of a linear function, we can solve many different kinds of real-world problems.



Given a linear function f and the initial value and rate of change, evaluate f(c).

- 1. Determine the initial value and the rate of change (slope).
- 2. Substitute the values into f(x) = mx + b.
- 3. Evaluate the function at x = c.

Example 2.10

Using a Linear Function to Determine the Number of Songs in a Music Collection

Marcus currently has 200 songs in his music collection. Every month, he adds 15 new songs. Write a formula for the number of songs, N, in his collection as a function of time, t, the number of months. How many songs will he own in a year?

Solution

The initial value for this function is 200 because he currently owns 200 songs, so N(0) = 200, which means that b = 200.

The number of songs increases by 15 songs per month, so the rate of change is 15 songs per month. Therefore we know that m = 15. We can substitute the initial value and the rate of change into the slope-intercept form of a line.

$$f(x) = mx + b$$

15 200

 $N(t) = 15t + 200$

Figure 2.13

We can write the formula N(t) = 15t + 200.

With this formula, we can then predict how many songs Marcus will have in 1 year (12 months). In other words, we can evaluate the function at t = 12.

$$N(12) = 15(12) + 200$$
$$= 180 + 200$$
$$= 380$$

Marcus will have 380 songs in 12 months.

Analysis

Notice that N is an increasing linear function. As the input (the number of months) increases, the output (number of songs) increases as well.

Example 2.11

Using a Linear Function to Calculate Salary Plus Commission

Working as an insurance salesperson, Ilya earns a base salary plus a commission on each new policy. Therefore, Ilya's weekly income, I, depends on the number of new policies, n, he sells during the week. Last week he sold 3 new policies, and earned \$760 for the week. The week before, he sold 5 new policies and earned \$920. Find an equation for I(n), and interpret the meaning of the components of the equation.

Solution

The given information gives us two input-output pairs: (3,760) and (5,920). We start by finding the rate of change.

$$m = \frac{920 - 760}{5 - 3}$$
$$= \frac{$160}{2 \text{ policies}}$$
$$= $80 \text{ per policy}$$

Keeping track of units can help us interpret this quantity. Income increased by \$160 when the number of policies increased by 2, so the rate of change is \$80 per policy. Therefore, Ilya earns a commission of \$80 for each policy sold during the week.

We can then solve for the initial value.

$$I(n) = 80n + b$$

 $760 = 80(3) + b$ when $n = 3$, $I(3) = 760$
 $760 - 80(3) = b$
 $520 = b$

The value of b is the starting value for the function and represents Ilya's income when n = 0, or when no new policies are sold. We can interpret this as Ilya's base salary for the week, which does not depend upon the number of policies sold.

We can now write the final equation.

$$I(n) = 80n + 520$$

Our final interpretation is that Ilya's base salary is \$520 per week and he earns an additional \$80 commission for each policy sold.

Example 2.12

Using Tabular Form to Write an Equation for a Linear Function

Table 2.1 relates the number of rats in a population to time, in weeks. Use the table to write a linear equation.

w, number of weeks	0	2	4	6
P(w), number of rats	1000	1080	1160	1240

Table 2.1

Solution

We can see from the table that the initial value for the number of rats is 1000, so b = 1000.

Rather than solving for m, we can tell from looking at the table that the population increases by 80 for every 2 weeks that pass. This means that the rate of change is 80 rats per 2 weeks, which can be simplified to 40 rats per week.

$$P(w) = 40w + 1000$$

If we did not notice the rate of change from the table we could still solve for the slope using any two points from the table. For example, using (2, 1080) and (6, 1240)

$$m = \frac{1240 - 1080}{6 - 2}$$
$$= \frac{160}{4}$$
$$= 40$$



Is the initial value always provided in a table of values like Table 2.1?

No. Sometimes the initial value is provided in a table of values, but sometimes it is not. If you see an input of 0, then the initial value would be the corresponding output. If the initial value is not provided because there is no value of input on the table equal to 0, find the slope, substitute one coordinate pair and the slope into f(x) = mx + b, and solve for b.



2.6 A new plant food was introduced to a young tree to test its effect on the height of the tree. **Table 2.2** shows the height of the tree, in feet, x months since the measurements began. Write a linear function, H(x), where x is the number of months since the start of the experiment.

x	0	2	4	8	12
H(x)	12.5	13.5	14.5	16.5	18.5

Table 2.2



Access this online resource for additional instruction and practice with linear functions.

· Linear Functions (http://openstaxcollege.org/l/linearfunctions)

2.1 EXERCISES

Verbal

1. Terry is skiing down a steep hill. Terry's elevation, E(t), in feet after t seconds is given by E(t) = 3000 - 70t. Write a complete sentence describing Terry's starting elevation and how it is changing over time.

2. Maria is climbing a mountain. Maria's elevation, E(t), in feet after t minutes is given by E(t) = 1200 + 40t. Write a complete sentence describing Maria's starting elevation and how it is changing over time.

3. Jessica is walking home from a friend's house. After 2 minutes she is 1.4 miles from home. Twelve minutes after leaving, she is 0.9 miles from home. What is her rate in miles per hour?

4. Sonya is currently 10 miles from home and is walking farther away at 2 miles per hour. Write an equation for her distance from home *t* hours from now.

5. A boat is 100 miles away from the marina, sailing directly toward it at 10 miles per hour. Write an equation for the distance of the boat from the marina after *t* hours.

6. Timmy goes to the fair with \$40. Each ride costs \$2. How much money will he have left after riding n rides?

Algebraic

For the following exercises, determine whether the equation of the curve can be written as a linear function.

7.
$$y = \frac{1}{4}x + 6$$

8.
$$y = 3x - 5$$

9.
$$y = 3x^2 - 2$$

10.
$$3x + 5y = 15$$

11.
$$3x^2 + 5y = 15$$

12.
$$3x + 5y^2 = 15$$

13.
$$-2x^2 + 3y^2 = 6$$

14.
$$-\frac{x-3}{5} = 2y$$

For the following exercises, determine whether each function is increasing or decreasing.

15.
$$f(x) = 4x + 3$$

16.
$$g(x) = 5x + 6$$

17.
$$a(x) = 5 - 2x$$

18.
$$b(x) = 8 - 3x$$

19.
$$h(x) = -2x + 4$$

20.
$$k(x) = -4x + 1$$

21.
$$j(x) = \frac{1}{2}x - 3$$

22.
$$p(x) = \frac{1}{4}x - 5$$

23.
$$n(x) = -\frac{1}{3}x - 2$$

24.
$$m(x) = -\frac{3}{8}x + 3$$

For the following exercises, find the slope of the line that passes through the two given points.

27.
$$(-1, 4)$$
 and $(5, 2)$

28.
$$(8, -2)$$
 and $(4, 6)$

29.
$$(6, 11)$$
 and $(-4, 3)$

For the following exercises, given each set of information, find a linear equation satisfying the conditions, if possible.

30.
$$f(-5) = -4$$
, and $f(5) = 2$

31.
$$f(-1) = 4$$
 and $f(5) = 1$

33. Passes through (1, 5) and (4, 11)

34. Passes through (-1, 4) and (5, 2)

35. Passes through (-2, 8) and (4, 6)

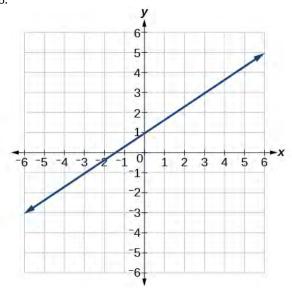
36. x intercept at (-2, 0) and y intercept at (0, -3)

37. x intercept at (-5, 0) and y intercept at (0, 4)

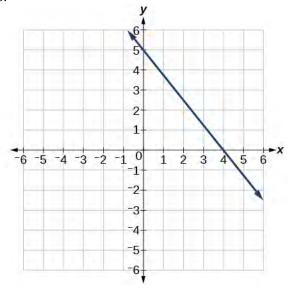
Graphical

For the following exercises, find the slope of the lines graphed.

38.



39.

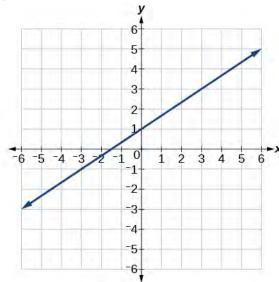


5 4 3-2 1 0 2 3 2 3 5 -5 4 1 3 -4 5

For the following exercises, write an equation for the lines graphed.

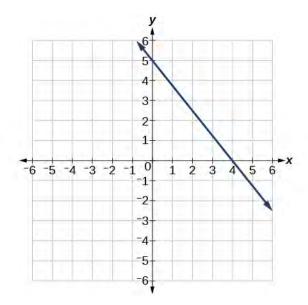
6

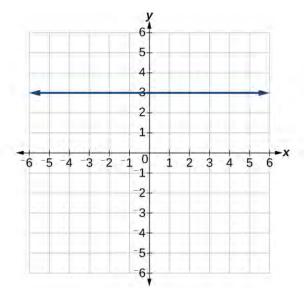
41.



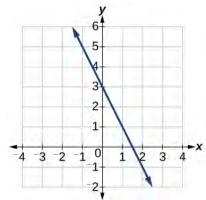
42.

40.

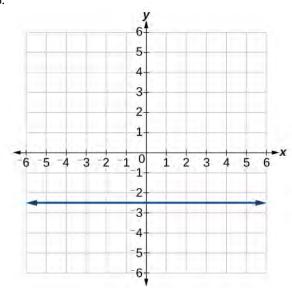




43.

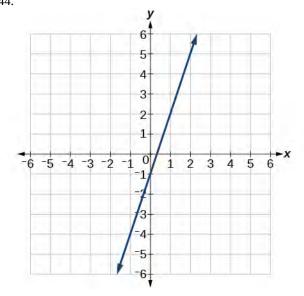


46.



44.

45.



Numeric

For the following exercises, which of the tables could represent a linear function? For each that could be linear, find a linear equation that models the data.

47.	x	0	5	10	15
	g(x)	5	-10	-25	-40

48.

x	0	5	10	15
h(x)	5	30	105	230

49.	x	0	5	10	15
	f(x)	– 5	20	45	70

50.	x	5	10	20	25	
	k(x)	28	13	58	73	

51.	x	0	2	4	6
	g(x)	6	-19	-44	-69

52.	x	2	4	6	8
	f(x)	-4	16	36	56

53.	x	2	4	6	8
	f(x)	-4	16	36	56

54.	x	0	2	6	8
	k(x)	6	31	106	231

Technology

55. If f is a linear function, f(0.1) = 11.5, and f(0.4) = -5.9, find an equation for the function.

56. Graph the function f on a domain of [-10, 10]: f(x) = 0.02x - 0.01. Enter the function in a graphing utility. For the viewing window, set the minimum value of x to be -10 and the maximum value of x to be 10.

57. Graph the function f on a domain of [-10, 10]: fx) = 2, 500x + 4, 000

58. **Table 2.3** shows the input, w, and output, k, for a linear function k. a. Fill in the missing values of the table. b. Write the linear function k, round to 3 decimal places.

w	-10	5.5	67.5	b
k	30	-26	a	-44

Table 2.3

59. **Table 2.4** shows the input, p, and output, q, for a linear function q. a. Fill in the missing values of the table. b. Write the linear function k.

p	0.5	0.8	12	b
q	400	700	a	1,000,000

Table 2.4

60. Graph the linear function f on a domain of [-10, 10] for the function whose slope is $\frac{1}{8}$ and y-intercept is $\frac{31}{16}$. Label the points for the input values of -10 and 10.

61. Graph the linear function f on a domain of [-0.1, 0.1] for the function whose slope is 75 and y-intercept is -22.5. Label the points for the input values of -0.1 and 0.1.

Graph the linear function f where f(x) = ax + b on the same set of axes on a domain of [-4, 4] for the following values of a and b.

i. a = 2; b = 3

ii. a = 2; b = 4

iii. a = 2; b = -4

iv. a = 2; b = -5

Extensions

63. Find the value of x if a linear function goes through the following points and has the following slope: (x, 2), (-4, 6), m = 3

64. Find the value of y if a linear function goes through the following points and has the following slope: (10, y), (25, 100), m = -5

65. Find the equation of the line that passes through the following points: (a, b) and (a, b + 1)

66. Find the equation of the line that passes through the following points: (2a, b) and (a, b+1)

67. Find the equation of the line that passes through the following points: (a, 0) and (c, d)

Real-World Applications

68. At noon, a barista notices that she has \$20 in her tip jar. If she makes an average of \$0.50 from each customer, how much will she have in her tip jar if she serves n more customers during her shift?

69. A gym membership with two personal training sessions costs \$125, while gym membership with five personal training sessions costs \$260. What is cost per session?

70. A clothing business finds there is a linear relationship between the number of shirts, n, it can sell and the price, p, it can charge per shirt. In particular, historical data shows that 1,000 shirts can be sold at a price of \$30, while 3,000 shirts can be sold at a price of \$22. Find a linear equation in the form p(n) = mn + b that gives the price p they can charge for p shirts.

71. A phone company charges for service according to the formula: C(n) = 24 + 0.1n, where n is the number of minutes talked, and C(n) is the monthly charge, in dollars. Find and interpret the rate of change and initial value.

A farmer finds there is a linear relationship between the number of bean stalks, n, she plants and the yield, y, each plant produces. When she plants 30 stalks, each plant yields 30 oz of beans. When she plants 34 stalks, each plant produces 28 oz of beans. Find a linear relationships in the form y = mn + b that gives the yield when n stalks are planted.

73. A city's population in the year 1960 was 287,500. In 1989 the population was 275,900. Compute the rate of growth of the population and make a statement about the population rate of change in people per year.

74. A town's population has been growing linearly. In 2003, the population was 45,000, and the population has been growing by 1,700 people each year. Write an equation, P(t), for the population t years after 2003.

75. Suppose that average annual income (in dollars) for the years 1990 through 1999 is given by the linear function: I(x) = 1054x + 23, 286, where x is the number of years after 1990. Which of the following interprets the slope in the context of the problem?

- a. As of 1990, average annual income was \$23,286.
- b. In the ten-year period from 1990–1999, average annual income increased by a total of \$1,054.
- c. Each year in the decade of the 1990s, average annual income increased by \$1,054.
- d. Average annual income rose to a level of \$23,286 by the end of 1999.

76. When temperature is 0 degrees Celsius, the Fahrenheit temperature is 32. When the Celsius temperature is 100, the corresponding Fahrenheit temperature is 212. Express the Fahrenheit temperature as a linear function of C, the Celsius temperature, F(C).

- a. Find the rate of change of Fahrenheit temperature for each unit change temperature of Celsius.
- b. Find and interpret F(28).
- c. Find and interpret F(-40).

72.

2.2 | Graphs of Linear Functions

Learning Objectives

In this section, you will:

- 2.2.1 Graph linear functions.
- **2.2.2** Write the equation for a linear function from the graph of a line.
- **2.2.3** Given the equations of two lines, determine whether their graphs are parallel or perpendicular.
- 2.2.4 Write the equation of a line parallel or perpendicular to a given line.
- **2.2.5** Solve a system of linear equations.

Two competing telephone companies offer different payment plans. The two plans charge the same rate per long distance minute, but charge a different monthly flat fee. A consumer wants to determine whether the two plans will ever cost the same amount for a given number of long distance minutes used. The total cost of each payment plan can be represented by a linear function. To solve the problem, we will need to compare the functions. In this section, we will consider methods of comparing functions using graphs.

Graphing Linear Functions

In **Linear Functions**, we saw that that the graph of a linear function is a straight line. We were also able to see the points of the function as well as the initial value from a graph. By graphing two functions, then, we can more easily compare their characteristics.

There are three basic methods of graphing linear functions. The first is by plotting points and then drawing a line through the points. The second is by using the *y*-intercept and slope. And the third is by using transformations of the identity function f(x) = x.

Graphing a Function by Plotting Points

To find points of a function, we can choose input values, evaluate the function at these input values, and calculate output values. The input values and corresponding output values form coordinate pairs. We then plot the coordinate pairs on a grid. In general, we should evaluate the function at a minimum of two inputs in order to find at least two points on the graph. For example, given the function, f(x) = 2x, we might use the input values 1 and 2. Evaluating the function for an input value of 1 yields an output value of 2, which is represented by the point (1, 2). Evaluating the function for an input value of 2 yields an output value of 4, which is represented by the point (2, 4). Choosing three points is often advisable because if all three points do not fall on the same line, we know we made an error.



Given a linear function, graph by plotting points.

- 1. Choose a minimum of two input values.
- 2. Evaluate the function at each input value.
- 3. Use the resulting output values to identify coordinate pairs.
- 4. Plot the coordinate pairs on a grid.
- 5. Draw a line through the points.

Example 2.13

Graphing by Plotting Points

Graph $f(x) = -\frac{2}{3}x + 5$ by plotting points.

Solution

Begin by choosing input values. This function includes a fraction with a denominator of 3, so let's choose multiples of 3 as input values. We will choose 0, 3, and 6.

Evaluate the function at each input value, and use the output value to identify coordinate pairs.

$$x = 0$$
 $f(0) = -\frac{2}{3}(0) + 5 = 5$ (0, 5)

$$x = 3$$
 $f(3) = -\frac{2}{3}(3) + 5 = 3$ (3, 3)

$$x = 6$$
 $f(6) = -\frac{2}{3}(6) + 5 = 1$ (6, 1)

Plot the coordinate pairs and draw a line through the points. **Figure 2.14** represents the graph of the function $f(x) = -\frac{2}{3}x + 5$.

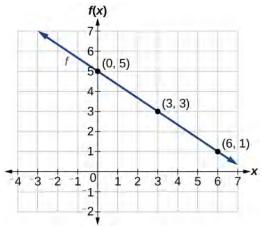


Figure 2.14 The graph of the linear function $f(x) = -\frac{2}{3}x + 5$.

Analysis

The graph of the function is a line as expected for a linear function. In addition, the graph has a downward slant, which indicates a negative slope. This is also expected from the negative constant rate of change in the equation for the function.



2.7 Graph $f(x) = -\frac{3}{4}x + 6$ by plotting points.

Graphing a Function Using y-intercept and Slope

Another way to graph linear functions is by using specific characteristics of the function rather than plotting points. The first characteristic is its *y*-intercept, which is the point at which the input value is zero. To find the *y*-intercept, we can set x = 0 in the equation.

The other characteristic of the linear function is its slope m, which is a measure of its steepness. Recall that the slope is the rate of change of the function. The slope of a function is equal to the ratio of the change in outputs to the change in inputs. Another way to think about the slope is by dividing the vertical difference, or rise, by the horizontal difference, or run. We encountered both the y-intercept and the slope in Linear Functions.

Let's consider the following function.

$$f(x) = \frac{1}{2}x + 1$$

The slope is $\frac{1}{2}$. Because the slope is positive, we know the graph will slant upward from left to right. The *y*-intercept is the point on the graph when x=0. The graph crosses the *y*-axis at (0, 1). Now we know the slope and the *y*-intercept. We can begin graphing by plotting the point (0, 1) We know that the slope is rise over run, $m=\frac{\text{rise}}{\text{run}}$. From our example, we have $m=\frac{1}{2}$, which means that the rise is 1 and the run is 2. So starting from our *y*-intercept (0, 1), we can rise 1 and then run 2, or run 2 and then rise 1. We repeat until we have a few points, and then we draw a line through the points as shown in **Figure 2.15**.

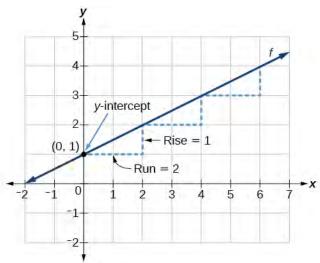


Figure 2.15

Graphical Interpretation of a Linear Function

In the equation f(x) = mx + b

- *b* is the *y*-intercept of the graph and indicates the point (0, *b*) at which the graph crosses the *y*-axis.
- *m* is the slope of the line and indicates the vertical displacement (rise) and horizontal displacement (run) between each successive pair of points. Recall the formula for the slope:

$$m = \frac{\text{change in output (rise)}}{\text{change in input (run)}} = \frac{\Delta y}{\Delta x} = \frac{y_2 - y_1}{x_2 - x_1}$$



Do all linear functions have *y*-intercepts?

Yes. All linear functions cross the y-axis and therefore have y-intercepts. (Note: A vertical line parallel to the y-axis does not have a y-intercept, but it is not a function.)



Given the equation for a linear function, graph the function using the *y*-intercept and slope.

- 1. Evaluate the function at an input value of zero to find the *y*-intercept.
- 2. Identify the slope as the rate of change of the input value.
- 3. Plot the point represented by the *y*-intercept.
- 4. Use $\frac{\text{rise}}{\text{run}}$ to determine at least two more points on the line.
- 5. Sketch the line that passes through the points.

Example 2.14

Graphing by Using the y-intercept and Slope

Graph $f(x) = -\frac{2}{3}x + 5$ using the *y*-intercept and slope.

Solution

Evaluate the function at x = 0 to find the *y*-intercept. The output value when x = 0 is 5, so the graph will cross the *y*-axis at (0, 5).

According to the equation for the function, the slope of the line is $-\frac{2}{3}$. This tells us that for each vertical decrease in the "rise" of -2 units, the "run" increases by 3 units in the horizontal direction. We can now graph the function by first plotting the *y*-intercept on the graph in **Figure 2.16**. From the initial value (0, 5) we move down 2 units and to the right 3 units. We can extend the line to the left and right by repeating, and then draw a line through the points.

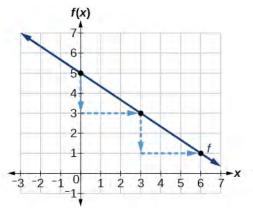


Figure 2.16

Analysis

The graph slants downward from left to right, which means it has a negative slope as expected.



2.8 Find a point on the graph we drew in **Example 2.14** that has a negative *x*-value.

Graphing a Function Using Transformations

Another option for graphing is to use transformations of the identity function f(x) = x. A function may be transformed by a shift up, down, left, or right. A function may also be transformed using a reflection, stretch, or compression.

Vertical Stretch or Compression

In the equation f(x) = mx, the m is acting as the vertical stretch or compression of the identity function. When m is negative, there is also a vertical reflection of the graph. Notice in **Figure 2.17** that multiplying the equation of f(x) = x by m stretches the graph of f by a factor of m units if m > 1 and compresses the graph of f by a factor of m units if 0 < m < 1. This means the larger the absolute value of m, the steeper the slope.

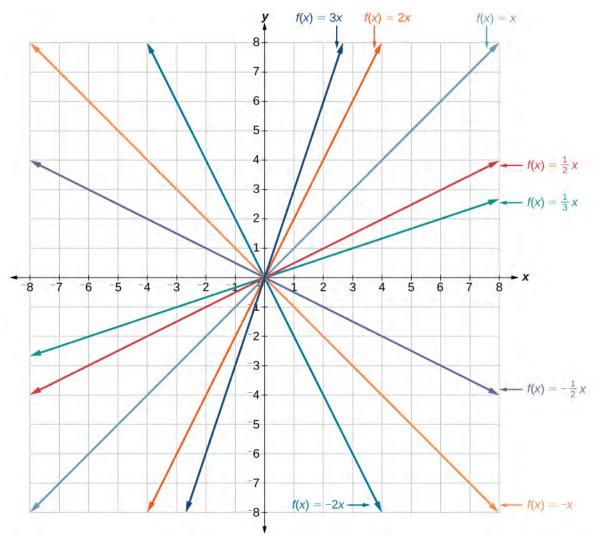


Figure 2.17 Vertical stretches and compressions and reflections on the function f(x) = x.

Vertical Shift

In f(x) = mx + b, the b acts as the vertical shift, moving the graph up and down without affecting the slope of the line. Notice in **Figure 2.18** that adding a value of b to the equation of f(x) = x shifts the graph of b units up if b is positive and |b| units down if b is negative.

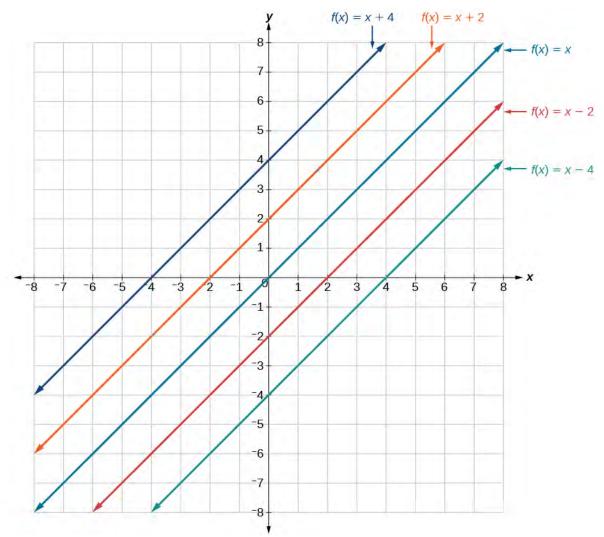


Figure 2.18 This graph illustrates vertical shifts of the function f(x) = x.

Using vertical stretches or compressions along with vertical shifts is another way to look at identifying different types of linear functions. Although this may not be the easiest way to graph this type of function, it is still important to practice each



How To: Given the equation of a linear function, use transformations to graph the linear function in the form f(x) = mx + b.

- 1. Graph f(x) = x.
- 2. Vertically stretch or compress the graph by a factor m.
- 3. Shift the graph up or down b units.

Example 2.15

Graphing by Using Transformations

Graph $f(x) = \frac{1}{2}x - 3$ using transformations.

Solution

The equation for the function shows that $m = \frac{1}{2}$ so the identity function is vertically compressed by $\frac{1}{2}$. The equation for the function also shows that b = -3 so the identity function is vertically shifted down 3 units. First, graph the identity function, and show the vertical compression as in **Figure 2.19**.

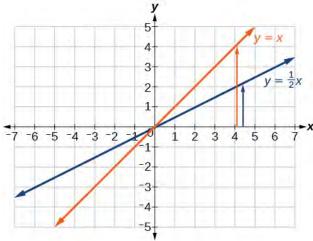


Figure 2.19 The function, y = x, compressed by a factor of $\frac{1}{2}$.

Then show the vertical shift as in **Figure 2.20**.

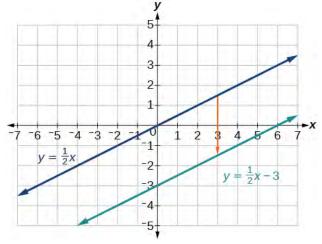


Figure 2.20 The function $y = \frac{1}{2}x$, shifted down 3 units.



In Example 2.15, could we have sketched the graph by reversing the order of the transformations?

No. The order of the transformations follows the order of operations. When the function is evaluated at a given input, the corresponding output is calculated by following the order of operations. This is why we performed the compression first. For example, following the order: Let the input be 2.

$$f(2) = \frac{1}{2}(2) - 3$$

= 1 - 3
= -2

Writing the Equation for a Function from the Graph of a Line

Recall that in **Linear Functions**, we wrote the equation for a linear function from a graph. Now we can extend what we know about graphing linear functions to analyze graphs a little more closely. Begin by taking a look at **Figure 2.21**. We can see right away that the graph crosses the y-axis at the point (0, 4) so this is the y-intercept.

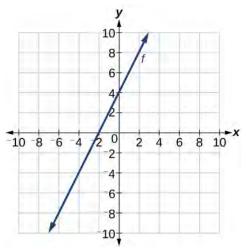


Figure 2.21

Then we can calculate the slope by finding the rise and run. We can choose any two points, but let's look at the point (-2, 0). To get from this point to the *y*-intercept, we must move up 4 units (rise) and to the right 2 units (run). So the slope must be

$$m = \frac{\text{rise}}{\text{run}} = \frac{4}{2} = 2$$

Substituting the slope and *y*-intercept into the slope-intercept form of a line gives

$$y = 2x + 4$$



Given a graph of linear function, find the equation to describe the function.

- 1. Identify the *y*-intercept of an equation.
- 2. Choose two points to determine the slope.
- 3. Substitute the *y*-intercept and slope into the slope-intercept form of a line.

Example 2.16

Matching Linear Functions to Their Graphs

Match each equation of the linear functions with one of the lines in **Figure 2.22**.

- a. f(x) = 2x + 3
- b. g(x) = 2x 3
- c. h(x) = -2x + 3
- d. $j(x) = \frac{1}{2}x + 3$

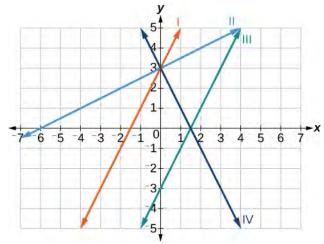


Figure 2.22

Solution

Analyze the information for each function.

- a. This function has a slope of 2 and a *y*-intercept of 3. It must pass through the point (0, 3) and slant upward from left to right. We can use two points to find the slope, or we can compare it with the other functions listed. Function *g* has the same slope, but a different *y*-intercept. Lines I and III have the same slant because they have the same slope. Line III does not pass through (0, 3) so *f* must be represented by line I.
- b. This function also has a slope of 2, but a *y*-intercept of -3. It must pass through the point (0, -3) and slant upward from left to right. It must be represented by line III.
- c. This function has a slope of -2 and a *y*-intercept of 3. This is the only function listed with a negative slope, so it must be represented by line IV because it slants downward from left to right.
- d. This function has a slope of $\frac{1}{2}$ and a *y*-intercept of 3. It must pass through the point (0, 3) and slant upward from left to right. Lines I and II pass through (0, 3), but the slope of j is less than the slope of f so the line for f must be flatter. This function is represented by Line II.

Now we can re-label the lines as in **Figure 2.23**.

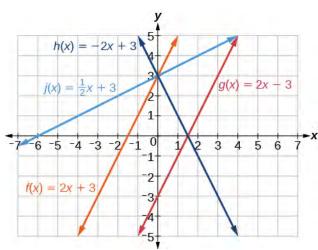


Figure 2.23

Finding the x-intercept of a Line

So far, we have been finding the *y*-intercepts of a function: the point at which the graph of the function crosses the *y*-axis. A function may also have an **x-intercept**, which is the *x*-coordinate of the point where the graph of the function crosses the *x*-axis. In other words, it is the input value when the output value is zero.

To find the *x*-intercept, set a function f(x) equal to zero and solve for the value of x. For example, consider the function shown.

$$f(x) = 3x - 6$$

Set the function equal to 0 and solve for x.

$$0 = 3x - 6$$
$$6 = 3x$$

$$2 = x$$

$$x = 2$$

The graph of the function crosses the x-axis at the point (2, 0).



Do all linear functions have *x*-intercepts?

No. However, linear functions of the form y = c, where c is a nonzero real number are the only examples of linear functions with no x-intercept. For example, y = 5 is a horizontal line 5 units above the x-axis. This function has no x-intercepts, as shown in **Figure 2.24**.

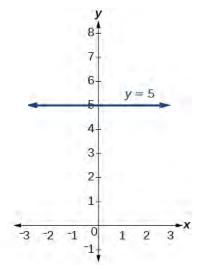


Figure 2.24

x-intercept

The *x***-intercept** of the function is value of *x* when f(x) = 0. It can be solved by the equation 0 = mx + b.

Example 2.17

Finding an x-intercept

Find the *x*-intercept of $f(x) = \frac{1}{2}x - 3$.

Solution

Set the function equal to zero to solve for x.

$$0 = \frac{1}{2}x - 3$$
$$3 = \frac{1}{2}x$$
$$6 = x$$
$$x = 6$$

The graph crosses the x-axis at the point (6, 0).

Analysis

A graph of the function is shown in **Figure 2.25**. We can see that the x-intercept is (6, 0) as we expected.

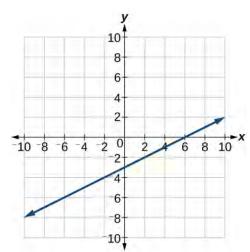


Figure 2.25 The graph of the linear function $f(x) = \frac{1}{2}x - 3$.



2.10 Find the *x*-intercept of $f(x) = \frac{1}{4}x - 4$.

Describing Horizontal and Vertical Lines

There are two special cases of lines on a graph—horizontal and vertical lines. A **horizontal line** indicates a constant output, or *y*-value. In **Figure 2.26**, we see that the output has a value of 2 for every input value. The change in outputs between any two points, therefore, is 0. In the slope formula, the numerator is 0, so the slope is 0. If we use m = 0 in the equation f(x) = mx + b, the equation simplifies to f(x) = b. In other words, the value of the function is a constant. This graph represents the function f(x) = 2.

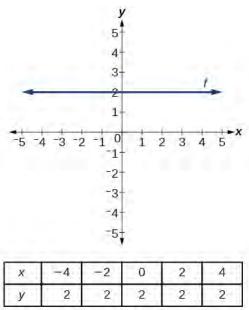


Figure 2.26 A horizontal line representing the function f(x) = 2.

A **vertical line** indicates a constant input, or *x*-value. We can see that the input value for every point on the line is 2, but the output value varies. Because this input value is mapped to more than one output value, a vertical line does not represent a function. Notice that between any two points, the change in the input values is zero. In the slope formula, the denominator will be zero, so the slope of a vertical line is undefined.

$$m = \frac{\text{change of output}}{\text{change of input}}$$
Non-zero real number

Notice that a vertical line, such as the one in **Figure 2.27**, has an *x*-intercept, but no *y*-intercept unless it's the line x = 0. This graph represents the line x = 2.

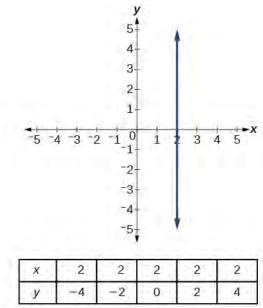


Figure 2.27 The vertical line, x = 2, which does not represent a function.

Horizontal and Vertical Lines

Lines can be horizontal or vertical.

A **horizontal line** is a line defined by an equation in the form f(x) = b.

A **vertical line** is a line defined by an equation in the form x = a.

Example 2.18

Writing the Equation of a Horizontal Line

Write the equation of the line graphed in **Figure 2.28**.

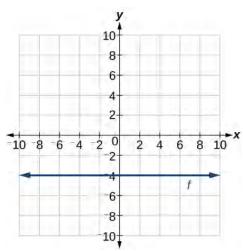


Figure 2.28

Solution

For any *x*-value, the *y*-value is -4, so the equation is y = -4.

Example 2.19

Writing the Equation of a Vertical Line

Write the equation of the line graphed in **Figure 2.29**.

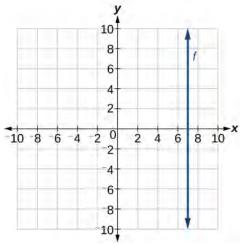


Figure 2.29

Solution

The constant *x*-value is 7, so the equation is x = 7.

Determining Whether Lines are Parallel or Perpendicular

The two lines in **Figure 2.30** are **parallel lines**: they will never intersect. Notice that they have exactly the same steepness, which means their slopes are identical. The only difference between the two lines is the *y*-intercept. If we shifted one line vertically toward the *y*-intercept of the other, they would become the same line.

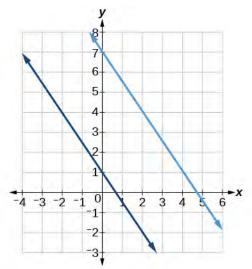


Figure 2.30 Parallel lines.

We can determine from their equations whether two lines are parallel by comparing their slopes. If the slopes are the same and the *y*-intercepts are different, the lines are parallel. If the slopes are different, the lines are not parallel.

$$\begin{cases}
f(x) = -2x + 6 \\
f(x) = -2x - 4
\end{cases}$$
 parallel
$$\begin{cases}
f(x) = 3x + 2 \\
f(x) = 2x + 2
\end{cases}$$
 not parallel

Unlike parallel lines, **perpendicular lines** do intersect. Their intersection forms a right, or 90-degree, angle. The two lines in **Figure 2.31** are perpendicular.

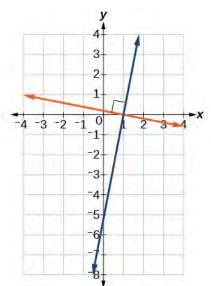


Figure 2.31 Perpendicular lines.

Perpendicular lines do not have the same slope. The slopes of perpendicular lines are different from one another in a specific way. The slope of one line is the negative reciprocal of the slope of the other line. The product of a number and its reciprocal is 1. So, if m_1 and m_2 are negative reciprocals of one another, they can be multiplied together to yield -1.

$$m_1 m_2 = -1$$

To find the reciprocal of a number, divide 1 by the number. So the reciprocal of 8 is $\frac{1}{8}$, and the reciprocal of $\frac{1}{8}$ is 8. To find the negative reciprocal, first find the reciprocal and then change the sign.

As with parallel lines, we can determine whether two lines are perpendicular by comparing their slopes, assuming that the lines are neither horizontal nor perpendicular. The slope of each line below is the negative reciprocal of the other so the lines are perpendicular.

$$f(x) = \frac{1}{4}x + 2$$
 negative reciprocal of $\frac{1}{4}$ is -4

$$f(x) = -4x + 3$$
 negative reciprocal of -4 is $\frac{1}{4}$

The product of the slopes is -1.

$$-4\left(\frac{1}{4}\right) = -1$$

Parallel and Perpendicular Lines

Two lines are **parallel lines** if they do not intersect. The slopes of the lines are the same.

$$f(x) = m_1 x + b_1$$
 and $g(x) = m_2 x + b_2$ are parallel if $m_1 = m_2$.

If and only if $b_1 = b_2$ and $m_1 = m_2$, we say the lines coincide. Coincident lines are the same line.

Two lines are **perpendicular lines** if they intersect at right angles.

$$f(x) = m_1 x + b_1$$
 and $g(x) = m_2 x + b_2$ are perpendicular if $m_1 m_2 = -1$, and so $m_2 = -\frac{1}{m_1}$.

Example 2.20

Identifying Parallel and Perpendicular Lines

Given the functions below, identify the functions whose graphs are a pair of parallel lines and a pair of perpendicular lines.

$$f(x) = 2x + 3 \quad h(x) = -2x + 2$$

$$g(x) = \frac{1}{2}x - 4 \quad j(x) = 2x - 6$$

Solution

Parallel lines have the same slope. Because the functions f(x) = 2x + 3 and j(x) = 2x - 6 each have a slope of 2, they represent parallel lines. Perpendicular lines have negative reciprocal slopes. Because -2 and $\frac{1}{2}$ are negative reciprocals, the equations, $g(x) = \frac{1}{2}x - 4$ and h(x) = -2x + 2 represent perpendicular lines.

Analysis

A graph of the lines is shown in **Figure 2.32**.

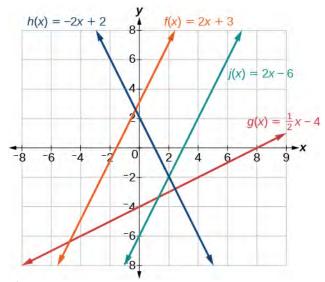


Figure 2.32

The graph shows that the lines f(x) = 2x + 3 and j(x) = 2x - 6 are parallel, and the lines $g(x) = \frac{1}{2}x - 4$ and h(x) = -2x + 2 are perpendicular.

Writing the Equation of a Line Parallel or Perpendicular to a Given Line

If we know the equation of a line, we can use what we know about slope to write the equation of a line that is either parallel or perpendicular to the given line.

Writing Equations of Parallel Lines

Suppose for example, we are given the following equation.

$$f(x) = 3x + 1$$

We know that the slope of the line formed by the function is 3. We also know that the y-intercept is (0, 1). Any other line with a slope of 3 will be parallel to f(x). So the lines formed by all of the following functions will be parallel to f(x).

$$g(x) = 3x + 6$$
$$h(x) = 3x + 1$$
$$p(x) = 3x + \frac{2}{3}$$

Suppose then we want to write the equation of a line that is parallel to f and passes through the point (1, 7). We already know that the slope is 3. We just need to determine which value for b will give the correct line. We can begin with the point-slope form of an equation for a line, and then rewrite it in the slope-intercept form.

$$y - y_1 = m(x - x_1)$$

$$y - 7 = 3(x - 1)$$

$$y - 7 = 3x - 3$$

$$y = 3x + 4$$

So g(x) = 3x + 4 is parallel to f(x) = 3x + 1 and passes through the point (1, 7).



Given the equation of a function and a point through which its graph passes, write the equation of a line parallel to the given line that passes through the given point.

- 1. Find the slope of the function.
- Substitute the given values into either the general point-slope equation or the slope-intercept equation for a line.
- 3. Simplify.

Example 2.21

Finding a Line Parallel to a Given Line

Find a line parallel to the graph of f(x) = 3x + 6 that passes through the point (3, 0).

Solution

The slope of the given line is 3. If we choose the slope-intercept form, we can substitute m = 3, x = 3, and f(x) = 0 into the slope-intercept form to find the *y*-intercept.

$$g(x) = 3x + b$$
$$0 = 3(3) + b$$
$$b = -9$$

The line parallel to f(x) that passes through (3, 0) is g(x) = 3x - 9.

Analysis

We can confirm that the two lines are parallel by graphing them. **Figure 2.33** shows that the two lines will never intersect.

Graph of two functions where the blue line is y = 3x + 6, and the orange line is y = 3x - 9. Figure 2.33

Writing Equations of Perpendicular Lines

We can use a very similar process to write the equation for a line perpendicular to a given line. Instead of using the same slope, however, we use the negative reciprocal of the given slope. Suppose we are given the following function:

$$f(x) = 2x + 4$$

The slope of the line is 2, and its negative reciprocal is $-\frac{1}{2}$. Any function with a slope of $-\frac{1}{2}$ will be perpendicular to f(x). So the lines formed by all of the following functions will be perpendicular to f(x).

$$g(x) = -\frac{1}{2}x + 4$$

$$h(x) = -\frac{1}{2}x + 2$$

$$p(x) = -\frac{1}{2}x - \frac{1}{2}$$

As before, we can narrow down our choices for a particular perpendicular line if we know that it passes through a given point. Suppose then we want to write the equation of a line that is perpendicular to f(x) and passes through the point (4, 0). We already know that the slope is $-\frac{1}{2}$. Now we can use the point to find the *y*-intercept by substituting the given values into the slope-intercept form of a line and solving for *b*.

$$g(x) = mx + b$$

$$0 = -\frac{1}{2}(4) + b$$

$$0 = -2 + b$$

$$2 = b$$

$$b = 2$$

The equation for the function with a slope of $-\frac{1}{2}$ and a *y*-intercept of 2 is

$$g(x) = -\frac{1}{2}x + 2.$$

So $g(x) = -\frac{1}{2}x + 2$ is perpendicular to f(x) = 2x + 4 and passes through the point (4, 0). Be aware that perpendicular lines may not look obviously perpendicular on a graphing calculator unless we use the square zoom feature.



A horizontal line has a slope of zero and a vertical line has an undefined slope. These two lines are perpendicular, but the product of their slopes is not -1. Doesn't this fact contradict the definition of perpendicular lines?

No. For two perpendicular linear functions, the product of their slopes is -1. However, a vertical line is not a function so the definition is not contradicted.



Given the equation of a function and a point through which its graph passes, write the equation of a line perpendicular to the given line.

- 1. Find the slope of the function.
- 2. Determine the negative reciprocal of the slope.
- 3. Substitute the new slope and the values for x and y from the coordinate pair provided into g(x) = mx + b.
- 4. Solve for b.
- 5. Write the equation for the line.

Example 2.22

Finding the Equation of a Perpendicular Line

Find the equation of a line perpendicular to f(x) = 3x + 3 that passes through the point (3, 0).

Solution

The original line has slope m = 3, so the slope of the perpendicular line will be its negative reciprocal, or $-\frac{1}{3}$. Using this slope and the given point, we can find the equation for the line.

$$g(x) = -\frac{1}{3}x + b$$

$$0 = -\frac{1}{3}(3) + b$$

$$1 = b$$

$$b = 1$$

The line perpendicular to f(x) that passes through (3, 0) is $g(x) = -\frac{1}{3}x + 1$.

Analysis

A graph of the two lines is shown in **Figure 2.34** below.

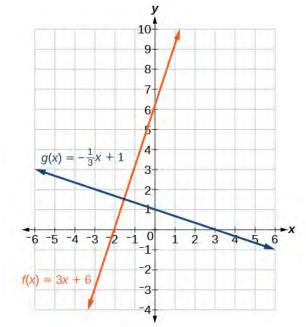


Figure 2.34



- **2.11** Given the function h(x) = 2x 4, write an equation for the line passing through (0, 0) that is
 - a. parallel to h(x)
 - b. perpendicular to h(x)



Given two points on a line and a third point, write the equation of the perpendicular line that passes through the point.

- 1. Determine the slope of the line passing through the points.
- 2. Find the negative reciprocal of the slope.
- 3. Use the slope-intercept form or point-slope form to write the equation by substituting the known values.
- 4. Simplify.

Example 2.23

Finding the Equation of a Line Perpendicular to a Given Line Passing through a Point

A line passes through the points (-2, 6) and (4, 5). Find the equation of a perpendicular line that passes through the point (4, 5).

Solution

From the two points of the given line, we can calculate the slope of that line.

$$m_1 = \frac{5-6}{4-(-2)}$$
$$= \frac{-1}{6}$$
$$= -\frac{1}{6}$$

Find the negative reciprocal of the slope.

$$m_2 = \frac{-1}{-\frac{1}{6}}$$
$$= -1\left(-\frac{6}{1}\right)$$
$$= 6$$

We can then solve for the *y*-intercept of the line passing through the point (4, 5).

$$g(x) = 6x + b$$

$$5 = 6(4) + b$$

$$5 = 24 + b$$

$$-19 = b$$

$$b = -19$$

The equation for the line that is perpendicular to the line passing through the two given points and also passes through point (4, 5) is

$$y = 6x - 19$$



2.12 A line passes through the points, (-2, -15) and (2, -3). Find the equation of a perpendicular line that passes through the point, (6, 4).

Solving a System of Linear Equations Using a Graph

A system of linear equations includes two or more linear equations. The graphs of two lines will intersect at a single point if they are not parallel. Two parallel lines can also intersect if they are coincident, which means they are the same line and they intersect at every point. For two lines that are not parallel, the single point of intersection will satisfy both equations and therefore represent the solution to the system.

To find this point when the equations are given as functions, we can solve for an input value so that f(x) = g(x). In other words, we can set the formulas for the lines equal to one another, and solve for the input that satisfies the equation.

Example 2.24

Finding a Point of Intersection Algebraically

Find the point of intersection of the lines h(t) = 3t - 4 and j(t) = 5 - t.

Solution

Set h(t) = j(t).

$$3t - 4 = 5 - t$$
$$4t = 9$$
$$t = \frac{9}{4}$$

This tells us the lines intersect when the input is $\frac{9}{4}$.

We can then find the output value of the intersection point by evaluating either function at this input.

$$j\left(\frac{9}{4}\right) = 5 - \frac{9}{4}$$
$$= \frac{11}{4}$$

These lines intersect at the point $(\frac{9}{4}, \frac{11}{4})$.

Analysis

Looking at **Figure 2.35**, this result seems reasonable.

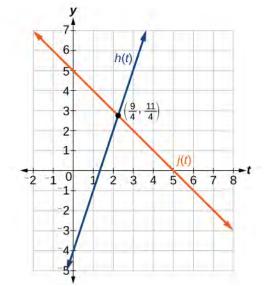


Figure 2.35



If we were asked to find the point of intersection of two distinct parallel lines, should something in the solution process alert us to the fact that there are no solutions?

Yes. After setting the two equations equal to one another, the result would be the contradiction "0 = non-zero real number".



- **2.13** Look at the graph in **Figure 2.35** and identify the following for the function j(t):
 - a. y-intercept
 - b. x-intercept(s)
 - c. slope
 - d. Is j(t) parallel or perpendicular to h(t) (or neither)?
 - e. Is j(t) an increasing or decreasing function (or neither)?
 - f. Write a transformation description for j(t) from the identity toolkit function f(x) = x.

Example 2.25

Finding a Break-Even Point

A company sells sports helmets. The company incurs a one-time fixed cost for \$250,000. Each helmet costs \$120 to produce, and sells for \$140.

- a. Find the cost function, C, to produce x helmets, in dollars.
- b. Find the revenue function, R, from the sales of x helmets, in dollars.
- c. Find the break-even point, the point of intersection of the two graphs C and R.

Solution

a. The cost function in the sum of the fixed cost, \$125,000, and the variable cost, \$120 per helmet.

$$C(x) = 120x + 250,000$$

- b. The revenue function is the total revenue from the sale of x helmets, R(x) = 140x.
- c. The break-even point is the point of intersection of the graph of the cost and revenue functions. To find the *x*-coordinate of the coordinate pair of the point of intersection, set the two equations equal, and solve for *x*.

$$C(x) = R(x)$$

$$250, 000 + 120x = 140x$$

$$250, 000 = 20x$$

$$12, 500 = x$$

$$x = 12, 500$$

To find y, evaluate either the revenue or the cost function at 12,500.

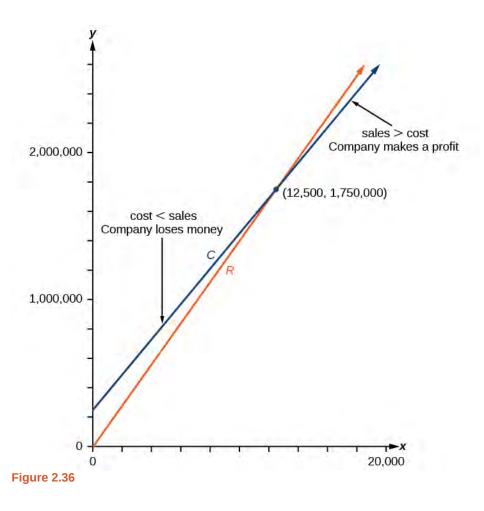
$$R(20) = 140(12, 500)$$

= \$1, 750, 000

The break-even point is (12,500,1,750,000).

Analysis

This means if the company sells 12,500 helmets, they break even; both the sales and cost incurred equaled 1.75 million dollars. See **Figure 2.36**



Access these online resources for additional instruction and practice with graphs of linear functions.

- Finding Input of Function from the Output and Graph (http://openstaxcollege.org/l/findinginput)
- Graphing Functions using Tables (http://openstaxcollege.org/l/graphwithtable)

2.2 EXERCISES

Verbal

77. If the graphs of two linear functions are parallel, describe the relationship between the slopes and the *y*-intercepts.

78. If the graphs of two linear functions are perpendicular, describe the relationship between the slopes and the *y*-intercepts.

79. If a horizontal line has the equation f(x) = a and a vertical line has the equation x = a, what is the point of intersection? Explain why what you found is the point of intersection.

80. Explain how to find a line parallel to a linear function that passes through a given point.

81. Explain how to find a line perpendicular to a linear function that passes through a given point.

Algebraic

For the following exercises, determine whether the lines given by the equations below are parallel, perpendicular, or neither parallel nor perpendicular:

82.
$$4x - 7y = 10$$

 $7x + 4y = 1$

83.
$$3y + x = 12$$

 $-y = 8x + 1$

$$84. \quad 3y + 4x = 12 \\
 -6y = 8x + 1$$

85.
$$6x - 9y = 10$$

 $3x + 2y = 1$

86.
$$y = \frac{2}{3}x + 1$$

 $3x + 2y = 1$

87.
$$y = \frac{3}{4}x + 1$$
$$-3x + 4y = 1$$

For the following exercises, find the *x*- and *y*-intercepts of each equation

88.
$$f(x) = -x + 2$$

89.
$$g(x) = 2x + 4$$

90.
$$h(x) = 3x - 5$$

91.
$$k(x) = -5x + 1$$

92.
$$-2x + 5y = 20$$

93.
$$7x + 2y = 56$$

For the following exercises, use the descriptions of each pair of lines given below to find the slopes of Line 1 and Line 2. Is each pair of lines parallel, perpendicular, or neither?

- 94. Line 1: Passes through (0, 6) and (3, -24) Line 2: Passes through (-1, 19) and (8, -71)
- 95. Line 1: Passes through (-8, -55) and (10, 89) Line 2: Passes through (9, -44) and (4, -14)
- 96. Line 1: Passes through (2, 3) and (4, -1) Line 2: Passes through (6, 3) and (8, 5)
- 97. Line 1: Passes through (1, 7) and (5, 5) Line 2: Passes through (-1, -3) and (1, 1)
- 98. Line 1: Passes through (0, 5) and (3, 3)
 Line 2: Passes through (1, -5) and (3, -2)
- 99. Line 1: Passes through (2, 5) and (5, -1)Line 2: Passes through (-3, 7) and (3, -5)
- 100. Write an equation for a line parallel to f(x) = -5x 3 and passing through the point (2, -12).
- 101. Write an equation for a line parallel to g(x) = 3x 1 and passing through the point (4, 9).
- 102. Write an equation for a line perpendicular to h(t) = -2t + 4 and passing through the point (-4, -1).
- 103. Write an equation for a line perpendicular to p(t) = 3t + 4 and passing through the point (3, 1).
- 104. Find the point at which the line f(x) = -2x 1 intersects the line g(x) = -x.
- 105. Find the point at which the line f(x) = 2x + 5 intersects the line g(x) = -3x 5.

106. Use algebra to find the point at which the line $f(x) = -\frac{4}{5}x + \frac{274}{25}$ intersects the line $h(x) = \frac{9}{4}x + \frac{73}{10}$.

107. Use algebra to find the point at which the line $f(x) = \frac{7}{4}x + \frac{457}{60}$ intersects the line $g(x) = \frac{4}{3}x + \frac{31}{5}$.

Graphical

For the following exercises, the given linear equation with its graph in **Figure 2.37**.

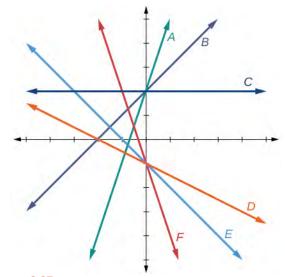


Figure 2.37

108.
$$f(x) = -x - 1$$

109.
$$f(x) = -2x - 1$$

110.
$$f(x) = -\frac{1}{2}x - 1$$

111.
$$f(x) = 2$$

112.
$$f(x) = 2 + x$$

113.
$$f(x) = 3x + 2$$

For the following exercises, sketch a line with the given features.

114. An *x*-intercept of (-4, 0) and *y*-intercept of (0, -2)

115. An *x*-intercept of (-2, 0) and *y*-intercept of (0, 4)

116.

A *y*-intercept of (0, 7) and slope $-\frac{3}{2}$

117. A *y*-intercept of (0, 3) and slope $\frac{2}{5}$

118. Passing through the points (-6, -2) and (6, -6)

119. Passing through the points (-3, -4) and (3, 0)

For the following exercises, sketch the graph of each equation.

120.
$$f(x) = -2x - 1$$

121.
$$g(x) = -3x + 2$$

122.
$$h(x) = \frac{1}{3}x + 2$$

123.
$$k(x) = \frac{2}{3}x - 3$$

124.
$$f(t) = 3 + 2t$$

125.
$$p(t) = -2 + 3t$$

126.
$$x = 3$$

127.
$$x = -2$$

128.
$$r(x) = 4$$

129.
$$q(x) = 3$$

130.
$$4x = -9y + 36$$

131.
$$\frac{x}{3} - \frac{y}{4} = 1$$

132.
$$3x - 5y = 15$$

133.
$$3x = 15$$

134.
$$3y = 12$$

135. If g(x) is the transformation of f(x) = x after a vertical compression by $\frac{3}{4}$, a shift right by 2, and a shift down by 4

- a. Write an equation for g(x).
- b. What is the slope of this line?
- c. Find the *y*-intercept of this line.

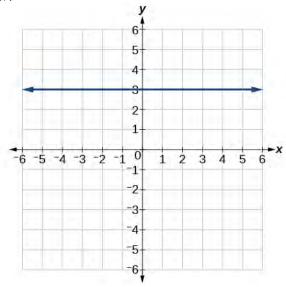
136.

If g(x) is the transformation of f(x) = x after a vertical compression by $\frac{1}{3}$, a shift left by 1, and a shift up by 3

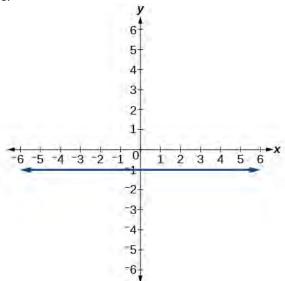
- a. Write an equation for g(x).
- b. What is the slope of this line?
- c. Find the *y*-intercept of this line.

For the following exercises,, write the equation of the line shown in the graph.

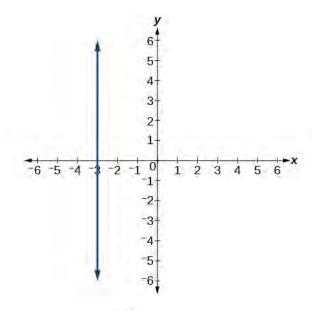
137.



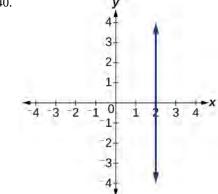
138.



139.



140.



For the following exercises, find the point of intersection of each pair of lines if it exists. If it does not exist, indicate that there is no point of intersection.

141.
$$y = \frac{3}{4}x + 1$$
$$-3x + 4y = 12$$

142.
$$2x - 3y = 12$$

 $5y + x = 30$

143.
$$2x = y - 3$$

 $y + 4x = 15$

144.
$$x - 2y + 2 = 3$$

 $x - y = 3$

145.
$$5x + 3y = -65$$

 $x - y = -5$

Extensions

146. Find the equation of the line parallel to the line g(x) = -0.01x + 2.01 through the point (1, 2).

147. Find the equation of the line perpendicular to the line g(x) = -0.01x+2.01 through the point (1, 2).

For the following exercises, use the functions f(x) = -0.1x + 200 and g(x) = 20x + 0.1.

148. Find the point of intersection of the lines f and g.

149. Where is f(x) greater than g(x)? Where is g(x) greater than f(x)?

Real-World Applications

150. A car rental company offers two plans for renting a car.

Plan A: \$30 per day and \$0.18 per mile

Plan B: \$50 per day with free unlimited mileage

How many miles would you need to drive for plan B to save you money?

151. A cell phone company offers two plans for minutes.

Plan A: \$20 per month and \$1 for every one hundred texts.

Plan B: \$50 per month with free unlimited texts.

How many texts would you need to send per month for plan B to save you money?

152. A cell phone company offers two plans for minutes.

Plan A: \$15 per month and \$2 for every 300 texts.

Plan B: \$25 per month and \$0.50 for every 100 texts.

How many texts would you need to send per month for plan B to save you money?

2.3 | Modeling with Linear Functions

Learning Objectives

In this section, you will:

- 2.3.1 Identify steps for modeling and solving.
- 2.3.2 Build linear models from verbal descriptions.
- 2.3.3 Build systems of linear models.



Figure 2.38 (credit: EEK Photography/Flickr)

Emily is a college student who plans to spend a summer in Seattle. She has saved \$3,500 for her trip and anticipates spending \$400 each week on rent, food, and activities. How can we write a linear model to represent her situation? What would be the *x*-intercept, and what can she learn from it? To answer these and related questions, we can create a model using a linear function. Models such as this one can be extremely useful for analyzing relationships and making predictions based on those relationships. In this section, we will explore examples of linear function models.

Identifying Steps to Model and Solve Problems

When modeling scenarios with linear functions and solving problems involving quantities with a constant rate of change, we typically follow the same problem strategies that we would use for any type of function. Let's briefly review them:

- 1. Identify changing quantities, and then define descriptive variables to represent those quantities. When appropriate, sketch a picture or define a coordinate system.
- 2. Carefully read the problem to identify important information. Look for information that provides values for the variables or values for parts of the functional model, such as slope and initial value.
- 3. Carefully read the problem to determine what we are trying to find, identify, solve, or interpret.

4. Identify a solution pathway from the provided information to what we are trying to find. Often this will involve checking and tracking units, building a table, or even finding a formula for the function being used to model the problem.

- 5. When needed, write a formula for the function.
- 6. Solve or evaluate the function using the formula.
- 7. Reflect on whether your answer is reasonable for the given situation and whether it makes sense mathematically.
- 8. Clearly convey your result using appropriate units, and answer in full sentences when necessary.

Building Linear Models

Now let's take a look at the student in Seattle. In her situation, there are two changing quantities: time and money. The amount of money she has remaining while on vacation depends on how long she stays. We can use this information to define our variables, including units.

- Output: *M*, money remaining, in dollars
- Input: *t*, time, in weeks

So, the amount of money remaining depends on the number of weeks: M(t)

We can also identify the initial value and the rate of change.

- Initial Value: She saved \$3,500, so \$3,500 is the initial value for M.
- Rate of Change: She anticipates spending \$400 each week, so -\$400 per week is the rate of change, or slope.

Notice that the unit of dollars per week matches the unit of our output variable divided by our input variable. Also, because the slope is negative, the linear function is decreasing. This should make sense because she is spending money each week.

The rate of change is constant, so we can start with the linear model M(t) = mt + b. Then we can substitute the intercept and slope provided.

$$M(t) = mt + b$$

$$-400 3500$$

$$M(t) = -400t + 3500$$

To find the *x*- intercept, we set the output to zero, and solve for the input.

$$0 = -400t + 3500$$
$$t = \frac{3500}{400}$$
$$= 8.75$$

The *x*- intercept is 8.75 weeks. Because this represents the input value when the output will be zero, we could say that Emily will have no money left after 8.75 weeks.

When modeling any real-life scenario with functions, there is typically a limited domain over which that model will be valid—almost no trend continues indefinitely. Here the domain refers to the number of weeks. In this case, it doesn't make sense to talk about input values less than zero. A negative input value could refer to a number of weeks before she saved \$3,500, but the scenario discussed poses the question once she saved \$3,500 because this is when her trip and subsequent spending starts. It is also likely that this model is not valid after the x- intercept, unless Emily will use a credit card and goes into debt. The domain represents the set of input values, so the reasonable domain for this function is $0 \le t \le 8.75$.

In the above example, we were given a written description of the situation. We followed the steps of modeling a problem to analyze the information. However, the information provided may not always be the same. Sometimes we might be provided with an intercept. Other times we might be provided with an output value. We must be careful to analyze the information we are given, and use it appropriately to build a linear model.

Using a Given Intercept to Build a Model

Some real-world problems provide the y- intercept, which is the constant or initial value. Once the y- intercept is known, the x- intercept can be calculated. Suppose, for example, that Hannah plans to pay off a no-interest loan from her parents.

Her loan balance is \$1,000. She plans to pay \$250 per month until her balance is \$0. The y- intercept is the initial amount of her debt, or \$1,000. The rate of change, or slope, is -\$250 per month. We can then use the slope-intercept form and the given information to develop a linear model.

$$f(x) = mx + b$$
$$= -250x + 1000$$

Now we can set the function equal to 0, and solve for x to find the x-intercept.

$$0 = -250x + 1000$$

$$1000 = 250x$$

$$4 = x$$

$$x = 4$$

The *x*- intercept is the number of months it takes her to reach a balance of \$0. The *x*- intercept is 4 months, so it will take Hannah four months to pay off her loan.

Using a Given Input and Output to Build a Model

Many real-world applications are not as direct as the ones we just considered. Instead they require us to identify some aspect of a linear function. We might sometimes instead be asked to evaluate the linear model at a given input or set the equation of the linear model equal to a specified output.



Given a word problem that includes two pairs of input and output values, use the linear function to solve a problem.

- 1. Identify the input and output values.
- 2. Convert the data to two coordinate pairs.
- 3. Find the slope.
- 4. Write the linear model.
- 5. Use the model to make a prediction by evaluating the function at a given x-value.
- 6. Use the model to identify an x-value that results in a given y-value.
- 7. Answer the question posed.

Example 2.26

Using a Linear Model to Investigate a Town's Population

A town's population has been growing linearly. In 2004 the population was 6,200. By 2009 the population had grown to 8,100. Assume this trend continues.

- a. Predict the population in 2013.
- b. Identify the year in which the population will reach 15,000.

Solution

The two changing quantities are the population size and time. While we could use the actual year value as the input quantity, doing so tends to lead to very cumbersome equations because the *y*- intercept would correspond to the year 0, more than 2000 years ago!

To make computation a little nicer, we will define our input as the number of years since 2004:

- Input: t, years since 2004
- Output: P(t), the town's population

To predict the population in 2013 (t = 9), we would first need an equation for the population. Likewise, to find when the population would reach 15,000, we would need to solve for the input that would provide an output of 15,000. To write an equation, we need the initial value and the rate of change, or slope.

To determine the rate of change, we will use the change in output per change in input.

$$m = \frac{\text{change in output}}{\text{change in input}}$$

The problem gives us two input-output pairs. Converting them to match our defined variables, the year 2004 would correspond to t = 0, giving the point (0, 6200). Notice that through our clever choice of variable definition, we have "given" ourselves the *y*-intercept of the function. The year 2009 would correspond to t = 5, giving the point (5, 8100).

The two coordinate pairs are (0, 6200) and (5, 8100). Recall that we encountered examples in which we were provided two points earlier in the chapter. We can use these values to calculate the slope.

$$m = \frac{8100 - 6200}{5 - 0}$$
$$= \frac{1900}{5}$$
$$= 380 \text{ people per year}$$

We already know the *y*-intercept of the line, so we can immediately write the equation:

$$P(t) = 380t + 6200$$

To predict the population in 2013, we evaluate our function at t = 9.

$$P(9) = 380(9) + 6,200$$

= 9,620

If the trend continues, our model predicts a population of 9,620 in 2013.

To find when the population will reach 15,000, we can set P(t) = 15000 and solve for t.

$$15000 = 380t + 6200$$
$$8800 = 380t$$
$$t \approx 23.158$$

Our model predicts the population will reach 15,000 in a little more than 23 years after 2004, or somewhere around the year 2027.



- **2.14** A company sells doughnuts. They incur a fixed cost of \$25,000 for rent, insurance, and other expenses. It costs \$0.25 to produce each doughnut.
 - a. Write a linear model to represent the cost C of the company as a function of x, the number of doughnuts produced.
 - b. Find and interpret the *y*-intercept.



- **2.15** A city's population has been growing linearly. In 2008, the population was 28,200. By 2012, the population was 36,800. Assume this trend continues.
 - a. Predict the population in 2014.
 - b. Identify the year in which the population will reach 54,000.

Using a Diagram to Model a Problem

It is useful for many real-world applications to draw a picture to gain a sense of how the variables representing the input and output may be used to answer a question. To draw the picture, first consider what the problem is asking for. Then, determine the input and the output. The diagram should relate the variables. Often, geometrical shapes or figures are drawn. Distances are often traced out. If a right triangle is sketched, the Pythagorean Theorem relates the sides. If a rectangle is sketched, labeling width and height is helpful.

Example 2.27

Using a Diagram to Model Distance Walked

Anna and Emanuel start at the same intersection. Anna walks east at 4 miles per hour while Emanuel walks south at 3 miles per hour. They are communicating with a two-way radio that has a range of 2 miles. How long after they start walking will they fall out of radio contact?

Solution

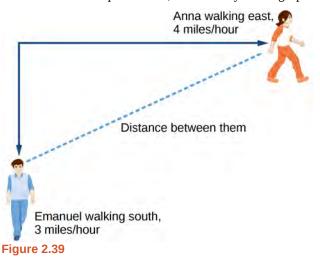
In essence, we can partially answer this question by saying they will fall out of radio contact when they are 2 miles apart, which leads us to ask a new question:

"How long will it take them to be 2 miles apart?"

In this problem, our changing quantities are time and position, but ultimately we need to know how long will it take for them to be 2 miles apart. We can see that time will be our input variable, so we'll define our input and output variables.

- Input: *t*, time in hours.
- Output: A(t), distance in miles, and E(t), distance in miles

Because it is not obvious how to define our output variable, we'll start by drawing a picture such as Figure 2.39.



Initial Value: They both start at the same intersection so when t = 0, the distance traveled by each person should also be 0. Thus the initial value for each is 0.

Rate of Change: Anna is walking 4 miles per hour and Emanuel is walking 3 miles per hour, which are both rates of change. The slope for A is 4 and the slope for E is 3.

Using those values, we can write formulas for the distance each person has walked.

$$A(t) = 4t$$
$$E(t) = 3t$$

For this problem, the distances from the starting point are important. To notate these, we can define a coordinate system, identifying the "starting point" at the intersection where they both started. Then we can use the variable, A, which we introduced above, to represent Anna's position, and define it to be a measurement from the starting point in the eastward direction. Likewise, can use the variable, E, to represent Emanuel's position, measured from the starting point in the southward direction. Note that in defining the coordinate system, we specified both the starting point of the measurement and the direction of measure.

We can then define a third variable, D, to be the measurement of the distance between Anna and Emanuel. Showing the variables on the diagram is often helpful, as we can see from **Figure 2.40**.

Recall that we need to know how long it takes for D, the distance between them, to equal 2 miles. Notice that for any given input t, the outputs A(t), E(t), and D(t) represent distances.

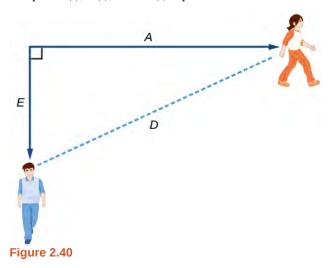


Figure 2.39 shows us that we can use the Pythagorean Theorem because we have drawn a right angle.

Using the Pythagorean Theorem, we get:

$$D(t)^{2} = A(t)^{2} + E(t)^{2}$$

$$= (4t)^{2} + (3t)^{2}$$

$$= 16t^{2} + 9t^{2}$$

$$= 25t^{2}$$

$$D(t) = \pm \sqrt{25t^{2}}$$
 Solve for $D(t)$ using the square root
$$= \pm 5|t|$$

In this scenario we are considering only positive values of t, so our distance D(t) will always be positive. We can simplify this answer to D(t) = 5t. This means that the distance between Anna and Emanuel is also a linear function. Because D is a linear function, we can now answer the question of when the distance between them will reach 2 miles. We will set the output D(t) = 2 and solve for t.

$$D(t) = 2$$

$$5t = 2$$

$$t = \frac{2}{5} = 0.4$$

They will fall out of radio contact in 0.4 hours, or 24 minutes.



Should I draw diagrams when given information based on a geometric shape?

Yes. Sketch the figure and label the quantities and unknowns on the sketch.

Example 2.28

Using a Diagram to Model Distance between Cities

There is a straight road leading from the town of Westborough to Agritown 30 miles east and 10 miles north. Partway down this road, it junctions with a second road, perpendicular to the first, leading to the town of Eastborough. If the town of Eastborough is located 20 miles directly east of the town of Westborough, how far is the road junction from Westborough?

Solution

It might help here to draw a picture of the situation. See Figure 2.41. It would then be helpful to introduce a coordinate system. While we could place the origin anywhere, placing it at Westborough seems convenient. This puts Agritown at coordinates (30, 10), and Eastborough at (20, 0).

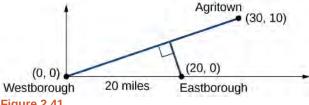


Figure 2.41

Using this point along with the origin, we can find the slope of the line from Westborough to Agritown:

$$m = \frac{10 - 0}{30 - 0} = \frac{1}{3}$$

The equation of the road from Westborough to Agritown would be

$$W(x) = \frac{1}{3}x$$

From this, we can determine the perpendicular road to Eastborough will have slope m = -3. Because the town of Eastborough is at the point (20, 0), we can find the equation:

$$E(x) = -3x + b$$

 $0 = -3(20) + b$ Substitute in (20, 0)
 $b = 60$
 $E(x) = -3x + 60$

We can now find the coordinates of the junction of the roads by finding the intersection of these lines. Setting them equal,

$$\frac{1}{3}x = -3x + 60$$

$$\frac{10}{3}x = 60$$

$$10x = 180$$

$$x = 18$$

$$y = W(18)$$

$$= \frac{1}{3}(18)$$

$$= 6$$
Substituting this back into $W(x)$

The roads intersect at the point (18, 6). Using the distance formula, we can now find the distance from Westborough to the junction.

distance =
$$\sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2}$$

= $\sqrt{(18 - 0)^2 + (6 - 0)^2}$
 $\approx 18.974 \text{ miles}$

Analysis

One nice use of linear models is to take advantage of the fact that the graphs of these functions are lines. This means real-world applications discussing maps need linear functions to model the distances between reference points.



2.16 There is a straight road leading from the town of Timpson to Ashburn 60 miles east and 12 miles north. Partway down the road, it junctions with a second road, perpendicular to the first, leading to the town of Garrison. If the town of Garrison is located 22 miles directly east of the town of Timpson, how far is the road junction from Timpson?

Building Systems of Linear Models

Real-world situations including two or more linear functions may be modeled with a system of linear equations. Remember, when solving a system of linear equations, we are looking for points the two lines have in common. Typically, there are three types of answers possible, as shown in **Figure 2.42**.

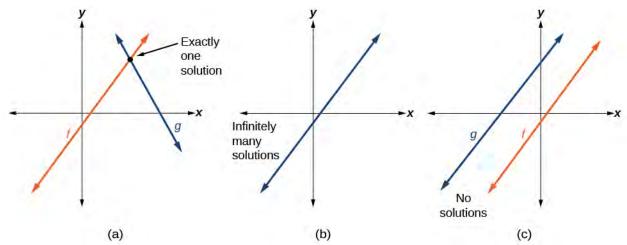


Figure 2.42



Given a situation that represents a system of linear equations, write the system of equations and identify the solution.

- 1. Identify the input and output of each linear model.
- 2. Identify the slope and *y*-intercept of each linear model.
- 3. Find the solution by setting the two linear functions equal to another and solving for *x*, or find the point of intersection on a graph.

Example 2.29

Building a System of Linear Models to Choose a Truck Rental Company

Jamal is choosing between two truck-rental companies. The first, Keep on Trucking, Inc., charges an up-front fee of \$20, then 59 cents a mile. The second, Move It Your Way, charges an up-front fee of \$16, then 63 cents a mile^[4]. When will Keep on Trucking, Inc. be the better choice for Jamal?

Solution

The two important quantities in this problem are the cost and the number of miles driven. Because we have two companies to consider, we will define two functions.

Input	d, distance driven in miles
Outputs	K(d): cost, in dollars, for renting from Keep on Trucking $M(d)$ cost, in dollars, for renting from Move It Your Way
Initial Value	Up-front fee: $K(0) = 20$ and $M(0) = 16$
Rate of Change	K(d) = \$0.59 /mile and $P(d) = 0.63 /mile

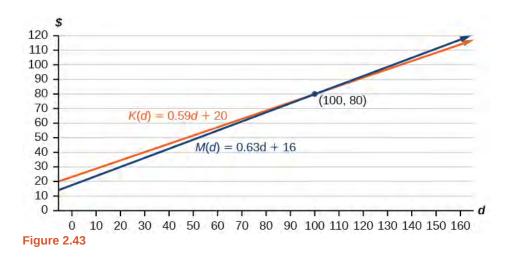
Table 2.5

A linear function is of the form f(x) = mx + b. Using the rates of change and initial charges, we can write the equations

$$K(d) = 0.59d + 20$$
$$M(d) = 0.63d + 16$$

Using these equations, we can determine when Keep on Trucking, Inc., will be the better choice. Because all we have to make that decision from is the costs, we are looking for when Move It Your Way, will cost less, or when K(d) < M(d). The solution pathway will lead us to find the equations for the two functions, find the intersection, and then see where the K(d) function is smaller.

These graphs are sketched in **Figure 2.43**, with K(d) in blue.



To find the intersection, we set the equations equal and solve:

$$K(d) = M(d)$$

$$0.59d + 20 = 0.63d + 16$$

$$4 = 0.04d$$

$$100 = d$$

$$d = 100$$

This tells us that the cost from the two companies will be the same if 100 miles are driven. Either by looking at the graph, or noting that K(d) is growing at a slower rate, we can conclude that Keep on Trucking, Inc. will be the cheaper price when more than 100 miles are driven, that is d > 100.



Access this online resource for additional instruction and practice with linear function models.

• Interpreting a Linear Function (http://openstaxcollege.org/l/interpretlinear)

2.3 EXERCISES

Verbal

153. Explain how to find the input variable in a word problem that uses a linear function.

154. Explain how to find the output variable in a word problem that uses a linear function.

155. Explain how to interpret the initial value in a word problem that uses a linear function.

156. Explain how to determine the slope in a word problem that uses a linear function.

Algebraic

157. Find the area of a parallelogram bounded by the y axis, the line x = 3, the line f(x) = 1 + 2x, and the line parallel to f(x) passing through (2, 7).

158. Find the area of a triangle bounded by the *x*-axis, the line $f(x) = 12 - \frac{1}{3}x$, and the line perpendicular to f(x) that passes through the origin.

159. Find the area of a triangle bounded by the *y*-axis, the line $f(x) = 9 - \frac{6}{7}x$, and the line perpendicular to f(x) that passes through the origin.

160. Find the area of a parallelogram bounded by the *x*-axis, the line g(x) = 2, the line f(x) = 3x, and the line parallel to f(x) passing through (6, 1).

For the following exercises, consider this scenario: A town's population has been decreasing at a constant rate. In 2010 the population was 5,900. By 2012 the population had dropped 4,700. Assume this trend continues.

161. Predict the population in 2016.

162. Identify the year in which the population will reach 0.

For the following exercises, consider this scenario: A town's population has been increased at a constant rate. In 2010 the population was 46,020. By 2012 the population had increased to 52,070. Assume this trend continues.

163. Predict the population in 2016.

164. Identify the year in which the population will reach 75,000.

For the following exercises, consider this scenario: A town has an initial population of 75,000. It grows at a constant rate of 2,500 per year for 5 years.

Find the linear function that models the town's population P as a function of the year, t, where t is the number of years since the model began.

166. Find a reasonable domain and range for the function P.

167. If the function *P* is graphed, find and interpret the *x*-and *y*-intercepts.

168. If the function P is graphed, find and interpret the slope of the function.

169. When will the output reached 100,000?

170. What is the output in the year 12 years from the onset of the model?

For the following exercises, consider this scenario: The weight of a newborn is 7.5 pounds. The baby gained one-half pound a month for its first year.

171. Find the linear function that models the baby's weight *W* as a function of the age of the baby, in months, *t*.

172. Find a reasonable domain and range for the function \it{W} .

173. If the function W is graphed, find and interpret the x-and y-intercepts.

174. If the function W is graphed, find and interpret the slope of the function.

175. When did the baby weight 10.4 pounds?

176. What is the output when the input is 6.2? Interpret your answer.

For the following exercises, consider this scenario: The number of people afflicted with the common cold in the winter months steadily decreased by 205 each year from 2005 until 2010. In 2005, 12,025 people were afflicted.

177. Find the linear function that models the number of people inflicted with the common cold C as a function of the year, t.

178. Find a reasonable domain and range for the function $\it C$.

179. If the function *C* is graphed, find and interpret the *x*-and *y*-intercepts.

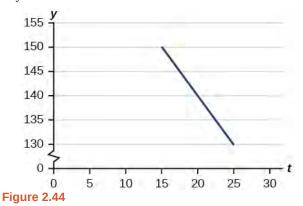
180. If the function C is graphed, find and interpret the slope of the function.

165.

- 181. When will the output reach 0?
- 182. In what year will the number of people be 9,700?

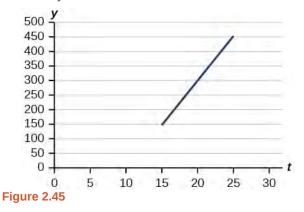
Graphical

For the following exercises, use the graph in **Figure 2.44**, which shows the profit, *y*, in thousands of dollars, of a company in a given year, *t*, where *t* represents the number of years since 1980.



- 183. Find the linear function y, where y depends on t, the number of years since 1980.
- 184. Find and interpret the *y*-intercept.
- 185. Find and interpret the *x*-intercept.
- 186. Find and interpret the slope.

For the following exercises, use the graph in **Figure 2.45**, which shows the profit, y, in thousands of dollars, of a company in a given year, t, where t represents the number of years since 1980.



- 187. Find the linear function y, where y depends on t, the number of years since 1980.
- 188. Find and interpret the *y*-intercept.
- 189. Find and interpret the *x*-intercept.

190. Find and interpret the slope.

Numeric

For the following exercises, use the median home values in Mississippi and Hawaii (adjusted for inflation) shown in **Table 2.6**. Assume that the house values are changing linearly.

Year	Mississippi	Hawaii
1950	\$25,200	\$74,400
2000	\$71,400	\$272,700

Table 2.6

- 191. In which state have home values increased at a higher rate?
- 192. If these trends were to continue, what would be the median home value in Mississippi in 2010?
- 193. If we assume the linear trend existed before 1950 and continues after 2000, the two states' median house values will be (or were) equal in what year? (The answer might be absurd.)

For the following exercises, use the median home values in Indiana and Alabama (adjusted for inflation) shown in **Table 2.7**. Assume that the house values are changing linearly.

Year	Indiana	Alabama
1950	\$37,700	\$27,100
2000	\$94,300	\$85,100

Table 2.7

- 194. In which state have home values increased at a higher rate?
- 195. If these trends were to continue, what would be the median home value in Indiana in 2010?
- 196. If we assume the linear trend existed before 1950 and continues after 2000, the two states' median house values will be (or were) equal in what year? (The answer might be absurd.)

Real-World Applications

197. In 2004, a school population was 1001. By 2008 the population had grown to 1697. Assume the population is changing linearly.

- a. How much did the population grow between the year 2004 and 2008?
- b. How long did it take the population to grow from 1001 students to 1697 students?
- c. What is the average population growth per year?
- d. What was the population in the year 2000?
- e. Find an equation for the population, *P*, of the school *t* years after 2000.
- f. Using your equation, predict the population of the school in 2011.

198. In 2003, a town's population was 1431. By 2007 the population had grown to 2134. Assume the population is changing linearly.

- a. How much did the population grow between the year 2003 and 2007?
- b. How long did it take the population to grow from 1431 people to 2134 people?
- c. What is the average population growth per year?
- d. What was the population in the year 2000?
- e. Find an equation for the population, *P* of the town *t* years after 2000.
- f. Using your equation, predict the population of the town in 2014.

199. A phone company has a monthly cellular plan where a customer pays a flat monthly fee and then a certain amount of money per minute used on the phone. If a customer uses 410 minutes, the monthly cost will be \$71.50. If the customer uses 720 minutes, the monthly cost will be \$118.

- a. Find a linear equation for the monthly cost of the cell plan as a function of *x*, the number of monthly minutes used.
- b. Interpret the slope and *y*-intercept of the equation.
- c. Use your equation to find the total monthly cost if 687 minutes are used.

200. A phone company has a monthly cellular data plan where a customer pays a flat monthly fee of \$10 and then a certain amount of money per megabyte (MB) of data used on the phone. If a customer uses 20 MB, the monthly cost will be \$11.20. If the customer uses 130 MB, the monthly cost will be \$17.80.

- a. Find a linear equation for the monthly cost of the data plan as a function of x, the number of MB used.
- b. Interpret the slope and *y*-intercept of the equation.
- Use your equation to find the total monthly cost if 250 MB are used.

201. In 1991, the moose population in a park was measured to be 4,360. By 1999, the population was

measured again to be 5,880. Assume the population continues to change linearly.

- a. Find a formula for the moose population, *P* since 1990.
- b. What does your model predict the moose population to be in 2003?

202. In 2003, the owl population in a park was measured to be 340. By 2007, the population was measured again to be 285. The population changes linearly. Let the input be years since 1990.

- a. Find a formula for the owl population, P. Let the input be years since 2003.
- b. What does your model predict the owl population to be in 2012?

203. The Federal Helium Reserve held about 16 billion cubic feet of helium in 2010 and is being depleted by about 2.1 billion cubic feet each year.

- a. Give a linear equation for the remaining federal helium reserves, R, in terms of t, the number of years since 2010.
- b. In 2015, what will the helium reserves be?
- c. If the rate of depletion doesn't change, in what year will the Federal Helium Reserve be depleted?

204. Suppose the world's oil reserves in 2014 are 1,820 billion barrels. If, on average, the total reserves are decreasing by 25 billion barrels of oil each year:

- a. Give a linear equation for the remaining oil reserves, R, in terms of t, the number of years since now.
- b. Seven years from now, what will the oil reserves be?
- c. If the rate at which the reserves are decreasing is constant, when will the world's oil reserves be depleted?

205. You are choosing between two different prepaid cell phone plans. The first plan charges a rate of 26 cents per minute. The second plan charges a monthly fee of \$19.95 *plus* 11 cents per minute. How many minutes would you have to use in a month in order for the second plan to be preferable?

206. You are choosing between two different window washing companies. The first charges \$5 per window. The second charges a base fee of \$40 plus \$3 per window. How many windows would you need to have for the second company to be preferable?

207. When hired at a new job selling jewelry, you are given two pay options:

 Option A: Base salary of \$17,000 a year with a commission of 12% of your sales

 Option B: Base salary of \$20,000 a year with a commission of 5% of your sales

How much jewelry would you need to sell for option A to produce a larger income?

208. When hired at a new job selling electronics, you are given two pay options:

- Option A: Base salary of \$14,000 a year with a commission of 10% of your sales
- Option B: Base salary of \$19,000 a year with a commission of 4% of your sales

How much electronics would you need to sell for option A to produce a larger income?

209. When hired at a new job selling electronics, you are given two pay options:

- Option A: Base salary of \$20,000 a year with a commission of 12% of your sales
- Option B: Base salary of \$26,000 a year with a commission of 3% of your sales

How much electronics would you need to sell for option A to produce a larger income?

210. When hired at a new job selling electronics, you are given two pay options:

- Option A: Base salary of \$10,000 a year with a commission of 9% of your sales
- Option B: Base salary of \$20,000 a year with a commission of 4% of your sales

How much electronics would you need to sell for option A to produce a larger income?

2.4 | Fitting Linear Models to Data

Learning Objectives

In this section, you will:

- 2.4.1 Draw and interpret scatter plots.
- **2.4.2** Find the line of best fit.
- **2.4.3** Distinguish between linear and nonlinear relations.
- **2.4.4** Use a linear model to make predictions.

A professor is attempting to identify trends among final exam scores. His class has a mixture of students, so he wonders if there is any relationship between age and final exam scores. One way for him to analyze the scores is by creating a diagram that relates the age of each student to the exam score received. In this section, we will examine one such diagram known as a scatter plot.

Drawing and Interpreting Scatter Plots

A scatter plot is a graph of plotted points that may show a relationship between two sets of data. If the relationship is from a linear model, or a model that is nearly linear, the professor can draw conclusions using his knowledge of linear functions. **Figure 2.46** shows a sample scatter plot.

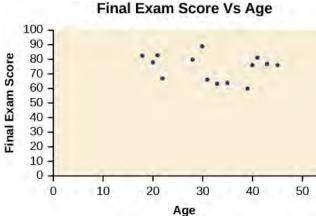


Figure 2.46 A scatter plot of age and final exam score variables

Notice this scatter plot does *not* indicate a linear relationship. The points do not appear to follow a trend. In other words, there does not appear to be a relationship between the age of the student and the score on the final exam.

Example 2.30

Using a Scatter Plot to Investigate Cricket Chirps

Table 2.8 shows the number of cricket chirps in 15 seconds, for several different air temperatures, in degrees Fahrenheit^[5]. Plot this data, and determine whether the data appears to be linearly related.

Chirps	44	35	20.4	33	31	35	18.5	37	26
Temperature	80.5	70.5	57	66	68	72	52	73.5	53

Table 2.8

Solution

Plotting this data, as depicted in **Figure 2.47** suggests that there may be a trend. We can see from the trend in the data that the number of chirps increases as the temperature increases. The trend appears to be roughly linear, though certainly not perfectly so.

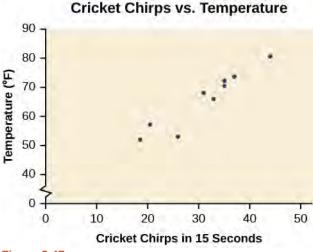


Figure 2.47

Finding the Line of Best Fit

Once we recognize a need for a linear function to model that data, the natural follow-up question is "what is that linear function?" One way to approximate our linear function is to sketch the line that seems to best fit the data. Then we can extend the line until we can verify the *y*-intercept. We can approximate the slope of the line by extending it until we can estimate the $\frac{\text{rise}}{\text{run}}$.

Example 2.31

Finding a Line of Best Fit

Find a linear function that fits the data in **Table 2.8** by "eyeballing" a line that seems to fit.

Solution

On a graph, we could try sketching a line.

Using the starting and ending points of our hand drawn line, points (0, 30) and (50, 90), this graph has a slope of

$$m = \frac{60}{50} = 1.2$$

and a y-intercept at 30. This gives an equation of

$$T(c) = 1.2c + 30$$

where c is the number of chirps in 15 seconds, and T(c) is the temperature in degrees Fahrenheit. The resulting equation is represented in **Figure 2.48**.

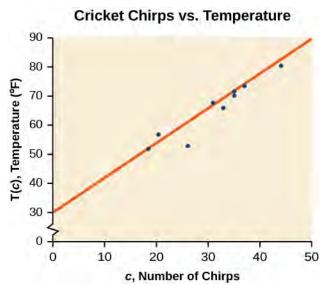


Figure 2.48

Analysis

This linear equation can then be used to approximate answers to various questions we might ask about the trend.

Recognizing Interpolation or Extrapolation

While the data for most examples does not fall perfectly on the line, the equation is our best guess as to how the relationship will behave outside of the values for which we have data. We use a process known as **interpolation** when we predict a value inside the domain and range of the data. The process of **extrapolation** is used when we predict a value outside the domain and range of the data.

Figure 2.49 compares the two processes for the cricket-chirp data addressed in **Example 2.31**. We can see that interpolation would occur if we used our model to predict temperature when the values for chirps are between 18.5 and 44. Extrapolation would occur if we used our model to predict temperature when the values for chirps are less than 18.5 or greater than 44.

There is a difference between making predictions inside the domain and range of values for which we have data and outside that domain and range. Predicting a value outside of the domain and range has its limitations. When our model no longer applies after a certain point, it is sometimes called **model breakdown**. For example, predicting a cost function for a period of two years may involve examining the data where the input is the time in years and the output is the cost. But if we try to extrapolate a cost when x = 50, that is in 50 years, the model would not apply because we could not account for factors fifty years in the future.

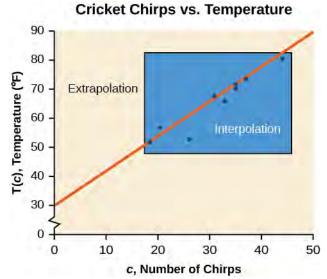


Figure 2.49 Interpolation occurs within the domain and range of the provided data whereas extrapolation occurs outside.

Interpolation and Extrapolation

Different methods of making predictions are used to analyze data.

- The method of **interpolation** involves predicting a value inside the domain and/or range of the data.
- · The method of extrapolation involves predicting a value outside the domain and/or range of the data.
- Model breakdown occurs at the point when the model no longer applies.

Example 2.32

Understanding Interpolation and Extrapolation

Use the cricket data from **Table 2.8** to answer the following questions:

- a. Would predicting the temperature when crickets are chirping 30 times in 15 seconds be interpolation or extrapolation? Make the prediction, and discuss whether it is reasonable.
- b. Would predicting the number of chirps crickets will make at 40 degrees be interpolation or extrapolation? Make the prediction, and discuss whether it is reasonable.

Solution

a. The number of chirps in the data provided varied from 18.5 to 44. A prediction at 30 chirps per 15 seconds is inside the domain of our data, so would be interpolation. Using our model:

$$T(30) = 30 + 1.2(30)$$

= 66 degrees

Based on the data we have, this value seems reasonable.

b. The temperature values varied from 52 to 80.5. Predicting the number of chirps at 40 degrees is extrapolation because 40 is outside the range of our data. Using our model:

$$40 = 30 + 1.2c$$

 $10 = 1.2c$
 $c \approx 8.33$

We can compare the regions of interpolation and extrapolation using **Figure 2.50**.

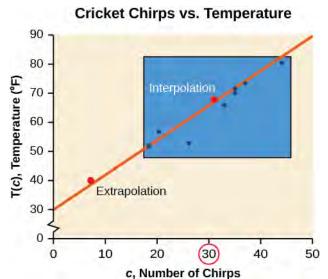


Figure 2.50

Analysis

Our model predicts the crickets would chirp 8.33 times in 15 seconds. While this might be possible, we have no reason to believe our model is valid outside the domain and range. In fact, generally crickets stop chirping altogether below around 50 degrees.



2.17 According to the data from **Table 2.8**, what temperature can we predict it is if we counted 20 chirps in 15 seconds?

Finding the Line of Best Fit Using a Graphing Utility

While eyeballing a line works reasonably well, there are statistical techniques for fitting a line to data that minimize the differences between the line and data values^[6]. One such technique is called **least squares regression** and can be computed by many graphing calculators, spreadsheet software, statistical software, and many web-based calculators^[7]. Least squares regression is one means to determine the line that best fits the data, and here we will refer to this method as linear regression.



Given data of input and corresponding outputs from a linear function, find the best fit line using linear regression.

- 1. Enter the input in List 1 (L1).
- 2. Enter the output in List 2 (L2).
- 3. On a graphing utility, select Linear Regression (LinReg).

^{6.} Technically, the method minimizes the sum of the squared differences in the vertical direction between the line and the data values.

^{7.} For example, http://www.shodor.org/unchem/math/lls/leastsq.html

Example 2.33

Finding a Least Squares Regression Line

Find the least squares regression line using the cricket-chirp data in Table 2.8.

Solution

- 1. Enter the input (chirps) in List 1 (L1).
- 2. Enter the output (temperature) in List 2 (L2). See **Table 2.9**.

L1	44	35	20.4	33	31	35	18.5	37	26
L2	80.5	70.5	57	66	68	72	52	73.5	53

Table 2.9

3. On a graphing utility, select Linear Regression (LinReg). Using the cricket chirp data from earlier, with technology we obtain the equation:

$$T(c) = 30.281 + 1.143c$$

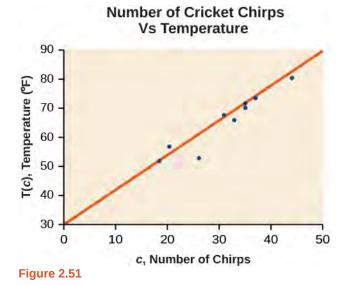
Analysis

Notice that this line is quite similar to the equation we "eyeballed" but should fit the data better. Notice also that using this equation would change our prediction for the temperature when hearing 30 chirps in 15 seconds from 66 degrees to:

$$T(30) = 30.281 + 1.143(30)$$

= 64.571
 \approx 64.6 degrees

The graph of the scatter plot with the least squares regression line is shown in Figure 2.51.





Will there ever be a case where two different lines will serve as the best fit for the data?

No. There is only one best fit line.

Distinguishing Between Linear and Non-Linear Models

As we saw above with the cricket-chirp model, some data exhibit strong linear trends, but other data, like the final exam scores plotted by age, are clearly nonlinear. Most calculators and computer software can also provide us with the **correlation coefficient**, which is a measure of how closely the line fits the data. Many graphing calculators require the user to turn a "diagnostic on" selection to find the correlation coefficient, which mathematicians label as r. The correlation coefficient provides an easy way to get an idea of how close to a line the data falls.

We should compute the correlation coefficient only for data that follows a linear pattern or to determine the degree to which a data set is linear. If the data exhibits a nonlinear pattern, the correlation coefficient for a linear regression is meaningless. To get a sense for the relationship between the value of r and the graph of the data, **Figure 2.52** shows some large data sets with their correlation coefficients. Remember, for all plots, the horizontal axis shows the input and the vertical axis shows the output.

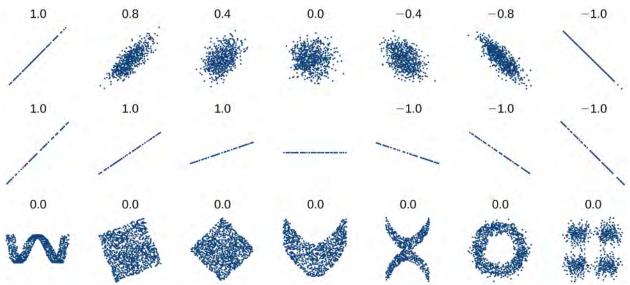


Figure 2.52 Plotted data and related correlation coefficients. (credit: "DenisBoigelot," Wikimedia Commons)

Correlation Coefficient

The **correlation coefficient** is a value, r, between -1 and 1.

- r > 0 suggests a positive (increasing) relationship
- r < 0 suggests a negative (decreasing) relationship
- The closer the value is to 0, the more scattered the data.
- The closer the value is to 1 or –1, the less scattered the data is.

Example 2.34

Finding a Correlation Coefficient

Calculate the correlation coefficient for cricket-chirp data in Table 2.8.

Solution

Because the data appear to follow a linear pattern, we can use technology to calculate r. Enter the inputs and corresponding outputs and select the Linear Regression. The calculator will also provide you with the correlation coefficient, r = 0.9509. This value is very close to 1, which suggests a strong increasing linear relationship.

Note: For some calculators, the Diagnostics must be turned "on" in order to get the correlation coefficient when linear regression is performed: [2nd]>[0]>[alpha][x-1], then scroll to DIAGNOSTICSON.

Predicting with a Regression Line

Once we determine that a set of data is linear using the correlation coefficient, we can use the regression line to make predictions. As we learned above, a regression line is a line that is closest to the data in the scatter plot, which means that only one such line is a best fit for the data.

Example 2.35

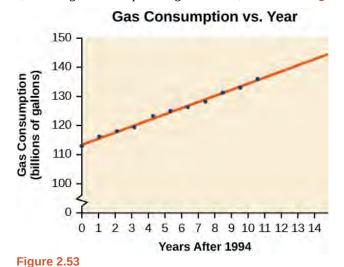
Using a Regression Line to Make Predictions

Gasoline consumption in the United States has been steadily increasing. Consumption data from 1994 to 2004 is shown in **Table 2.10**^[8]. Determine whether the trend is linear, and if so, find a model for the data. Use the model to predict the consumption in 2008.

Year	'94	'95	'96	'97	'98	'99	'00	'01	'02	'03	'04
Consumption (billions of gallons)	113	116	118	119	123	125	126	128	131	133	136

Table 2.10

The scatter plot of the data, including the least squares regression line, is shown in Figure 2.53.



8. http://www.bts.gov/publications/national transportation statistics/2005/html/table 04 10.html

Solution

We can introduce new input variable, *t*, representing years since 1994.

The least squares regression equation is:

$$C(t) = 113.318 + 2.209t$$

Using technology, the correlation coefficient was calculated to be 0.9965, suggesting a very strong increasing linear trend.

Using this to predict consumption in 2008 (t = 14),

$$C(14) = 113.318 + 2.209(14)$$

= 144.244

The model predicts 144.244 billion gallons of gasoline consumption in 2008.



2.18 Use the model we created using technology in **Example 2.35** to predict the gas consumption in 2011. Is this an interpolation or an extrapolation?



Access these online resources for additional instruction and practice with fitting linear models to data.

- Introduction to Regression Analysis (http://openstaxcollege.org/l/introregress)
- · Linear Regression (http://openstaxcollege.org/l/linearregress)

Visit **this website (http://openstaxcollege.org/l/PreCalcLPC02)** for additional practice questions from Learningpod.

2.4 EXERCISES

Verbal

211. Describe what it means if there is a model breakdown when using a linear model.

212. What is interpolation when using a linear model?

213. What is extrapolation when using a linear model?

214. Explain the difference between a positive and a negative correlation coefficient.

215. Explain how to interpret the absolute value of a correlation coefficient.

Algebraic

216. A regression was run to determine whether there is a relationship between hours of TV watched per day (x) and number of sit-ups a person can do (y). The results of the regression are given below. Use this to predict the number of sit-ups a person who watches 11 hours of TV can do.

$$y = ax + b$$

 $a = -1.341$
 $b = 32.234$
 $r = -0.896$

217. A regression was run to determine whether there is a relationship between the diameter of a tree (x, in inches) and the tree's age (y, in years). The results of the regression are given below. Use this to predict the age of a tree with diameter 10 inches.

$$y = ax + b$$

 $a = 6.301$
 $b = -1.044$
 $r = -0.970$

For the following exercises, draw a scatter plot for the data provided. Does the data appear to be linearly related?

218.	0	2	4	6	8	10
	-22	-19	-15	-11	-6	-2

219.	1	2	3	4	5	6
	46	50	59	75	100	136

220.

100	250	300	450	600	750
12	12.6	13.1	14	14.5	15.2

221.

1	3	5	7	9	11
1	9	28	65	125	216

222. For the following data, draw a scatter plot. If we wanted to know when the population would reach 15,000, would the answer involve interpolation or extrapolation? Eyeball the line, and estimate the answer.

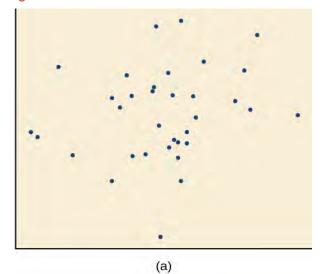
Year	Population
1990	11,500
1995	12,100
2000	12,700
2005	13,000
2010	13,750

223. For the following data, draw a scatter plot. If we wanted to know when the temperature would reach 28 °F, would the answer involve interpolation or extrapolation? Eyeball the line and estimate the answer.

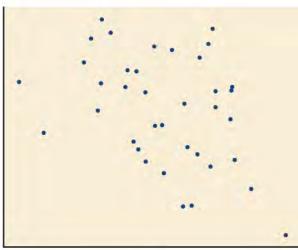
Temperature, °F	16	18	20	25	30
Time, seconds	46	50	54	55	62

Graphical

For the following exercises, match each scatterplot with one of the four specified correlations in **Figure 2.54** and **Figure 2.55**.

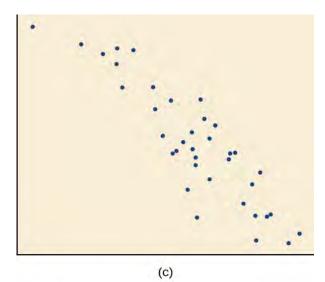


(4)



(b)

Figure 2.54



(d)

Figure 2.55

224. r = 0.95

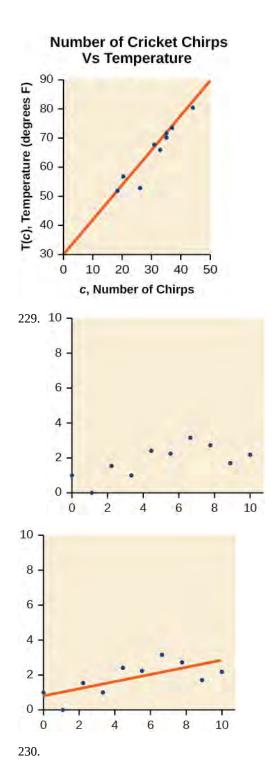
225. r = -0.89

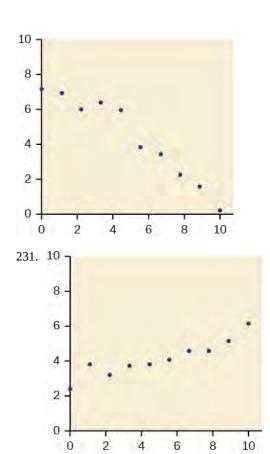
226. r = 0.26

227. r = -0.39

For the following exercises, draw a best-fit line for the plotted data.

228.





Numeric

232. The U.S. Census tracks the percentage of persons 25 years or older who are college graduates. That data for several years is given in Table 2.11^[9]. Determine whether the trend appears linear. If so, and assuming the trend continues, in what year will the percentage exceed 35%?

^{9.} http://www.census.gov/hhes/socdemo/education/data/cps/historical/index.html. Accessed 5/1/2014.

Year	Percent Graduates
1990	21.3
1992	21.4
1994	22.2
1996	23.6
1998	24.4
2000	25.6
2002	26.7
2004	27.7
2006	28
2008	29.4

Table 2.11

233. The U.S. import of wine (in hectoliters) for several years is given in **Table 2.12**. Determine whether the trend

appears linear. If so, and assuming the trend continues, in what year will imports exceed 12,000 hectoliters?

Year	Imports
1992	2665
1994	2688
1996	3565
1998	4129
2000	4584
2002	5655
2004	6549
2006	7950
2008	8487
2009	9462

Table 2.12

234. **Table 2.13** shows the year and the number of people unemployed in a particular city for several years. Determine whether the trend appears linear. If so, and assuming the trend continues, in what year will the number of unemployed reach 5?

Year	Number Unemployed
1990	750
1992	670
1994	650
1996	605
1998	550
2000	510
2002	460
2004	420
2006	380
2008	320

32	20			
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Technology

Table 2.13

For the following exercises, use each set of data to calculate the regression line using a calculator or other technology tool, and determine the correlation coefficient to 3 decimal places of accuracy.

235.

x	8	15	26	31	56
y	23	41	53	72	103

236.

x	5	7	10	12	15
y	4	12	17	22	24

237.

x	у	х	у
3	21.9	11	15.76
4	22.22	12	13.68
5	22.74	13	14.1
6	22.26	14	14.02
7	20.78	15	11.94
8	17.6	16	12.76
9	16.52	17	11.28
10	18.54	18	9.1

238.

у
44.8
43.1
38.8
39
38
32.7
30.1
29.3
27
25.8

x	у
100	2000
80	1798
60	1589
55	1580
40	1390
20	1202

241.

x	у
900	70
988	80
1000	82
1010	84
1200	105
1205	108

239.

x	у
21	17
25	11
30	2
31	-1
40	-18
50	-40

Extensions

242. Graph f(x) = 0.5x + 10. Pick a set of 5 ordered pairs using inputs x = -2, 1, 5, 6, 9 and use linear regression to verify that the function is a good fit for the data.

243. Graph f(x) = -2x - 10. Pick a set of 5 ordered pairs using inputs x = -2, 1, 5, 6, 9 and use linear regression to verify the function.

For the following exercises, consider this scenario: The profit of a company decreased steadily over a ten-year span. The following ordered pairs shows dollars and the number

of units sold in hundreds and the profit in thousands of over the ten-year span, (number of units sold, profit) for specific recorded years:

```
(46, 1, 600), (48, 1, 550), (50, 1, 505), (52, 1, 540), (54, 1, 495)
```

244. Use linear regression to determine a function P where the profit in thousands of dollars depends on the number of units sold in hundreds.

245. Find to the nearest tenth and interpret the *x*-intercept.

246. Find to the nearest tenth and interpret the *y*-intercept.

Real-World Applications

For the following exercises, consider this scenario: The population of a city increased steadily over a ten-year span. The following ordered pairs shows the population and the year over the ten-year span, (population, year) for specific recorded years:

```
(2500, 2000), (2650, 2001), (3000, 2003), (3500, 2006), (4200, 2010)
```

247. Use linear regression to determine a function y, where the year depends on the population. Round to three decimal places of accuracy.

248. Predict when the population will hit 8,000.

For the following exercises, consider this scenario: The profit of a company increased steadily over a ten-year span. The following ordered pairs show the number of units sold in hundreds and the profit in thousands of over the ten year span, (number of units sold, profit) for specific recorded years:

```
(46, 250), (48, 305), (50, 350), (52, 390), (54, 410)
```

249. Use linear regression to determine a function *y*, where the profit in thousands of dollars depends on the number of units sold in hundreds .

250. Predict when the profit will exceed one million dollars.

For the following exercises, consider this scenario: The profit of a company decreased steadily over a ten-year span. The following ordered pairs show dollars and the number of units sold in hundreds and the profit in thousands of over the ten-year span (number of units sold, profit) for specific recorded years:

251. Use linear regression to determine a function y, where the profit in thousands of dollars depends on the number of units sold in hundreds .

252.

Predict when the profit will dip below the \$25,000 threshold.

CHAPTER 2 REVIEW

KEY TERMS

correlation coefficient a value, r, between -1 and 1 that indicates the degree of linear correlation of variables, or how closely a regression line fits a data set.

decreasing linear function a function with a negative slope: If f(x) = mx + b, then m < 0.

extrapolation predicting a value outside the domain and range of the data

horizontal line a line defined by f(x) = b, where b is a real number. The slope of a horizontal line is 0.

increasing linear function a function with a positive slope: If f(x) = mx + b, then m > 0.

interpolation predicting a value inside the domain and range of the data

least squares regression a statistical technique for fitting a line to data in a way that minimizes the differences between the line and data values

linear function a function with a constant rate of change that is a polynomial of degree 1, and whose graph is a straight line

model breakdown when a model no longer applies after a certain point

parallel lines two or more lines with the same slope

perpendicular lines two lines that intersect at right angles and have slopes that are negative reciprocals of each other **point-slope form** the equation for a line that represents a linear function of the form $y - y_1 = m(x - x_1)$

slope the ratio of the change in output values to the change in input values; a measure of the steepness of a line **slope-intercept form** the equation for a line that represents a linear function in the form f(x) = mx + b

vertical line a line defined by x = a, where a is a real number. The slope of a vertical line is undefined.

x-intercept the point on the graph of a linear function when the output value is 0; the point at which the graph crosses the horizontal axis

y-intercept the value of a function when the input value is zero; also known as initial value

KEY EQUATIONS

slope-intercept form of a line f(x) = mx + b

slope $m = \frac{\text{change in output (rise)}}{\text{change in input (run)}} = \frac{\Delta y}{\Delta x} = \frac{y_2 - y_1}{x_2 - x_1}$

point-slope form of a line $y - y_1 = m(x - x_1)$

KEY CONCEPTS

2.1 Linear Functions

- The ordered pairs given by a linear function represent points on a line.
- Linear functions can be represented in words, function notation, tabular form, and graphical form. See Example 2.1.

- The rate of change of a linear function is also known as the slope.
- An equation in the slope-intercept form of a line includes the slope and the initial value of the function.
- The initial value, or *y*-intercept, is the output value when the input of a linear function is zero. It is the *y*-value of the point at which the line crosses the *y*-axis.
- An increasing linear function results in a graph that slants upward from left to right and has a positive slope.
- A decreasing linear function results in a graph that slants downward from left to right and has a negative slope.
- A constant linear function results in a graph that is a horizontal line.
- Analyzing the slope within the context of a problem indicates whether a linear function is increasing, decreasing, or constant. See Example 2.2.
- The slope of a linear function can be calculated by dividing the difference between *y*-values by the difference in corresponding *x*-values of any two points on the line. See **Example 2.3** and **Example 2.4**.
- The slope and initial value can be determined given a graph or any two points on the line.
- One type of function notation is the slope-intercept form of an equation.
- The point-slope form is useful for finding a linear equation when given the slope of a line and one point. See Example 2.5.
- The point-slope form is also convenient for finding a linear equation when given two points through which a line passes. See **Example 2.6**.
- The equation for a linear function can be written if the slope *m* and initial value *b* are known. See **Example 2.7**, **Example 2.8**, and **Example 2.9**.
- A linear function can be used to solve real-world problems. See Example 2.10 and Example 2.11.
- A linear function can be written from tabular form. See **Example 2.12**.

2.2 Graphs of Linear Functions

- Linear functions may be graphed by plotting points or by using the *y*-intercept and slope. See **Example 2.13** and **Example 2.14**.
- Graphs of linear functions may be transformed by using shifts up, down, left, or right, as well as through stretches, compressions, and reflections. See **Example 2.15**.
- The *y*-intercept and slope of a line may be used to write the equation of a line.
- The *x*-intercept is the point at which the graph of a linear function crosses the *x*-axis. See **Example 2.16** and **Example 2.17**.
- Horizontal lines are written in the form, f(x) = b. See **Example 2.18**.
- Vertical lines are written in the form, x = b. See **Example 2.19**.
- Parallel lines have the same slope.
- Perpendicular lines have negative reciprocal slopes, assuming neither is vertical. See Example 2.20.
- A line parallel to another line, passing through a given point, may be found by substituting the slope value of the line and the x- and y-values of the given point into the equation, f(x) = mx + b, and using the b that results. Similarly, the point-slope form of an equation can also be used. See **Example 2.21.**
- A line perpendicular to another line, passing through a given point, may be found in the same manner, with the exception of using the negative reciprocal slope. See **Example 2.22** and **Example 2.23**.
- A system of linear equations may be solved setting the two equations equal to one another and solving for *x*. The *y*-value may be found by evaluating either one of the original equations using this *x*-value.
- A system of linear equations may also be solved by finding the point of intersection on a graph. See Example 2.24
 and Example 2.25.

2.3 Modeling with Linear Functions

- We can use the same problem strategies that we would use for any type of function.
- When modeling and solving a problem, identify the variables and look for key values, including the slope and *y*-intercept. See **Example 2.26**.
- Draw a diagram, where appropriate. See **Example 2.27** and **Example 2.28**.
- Check for reasonableness of the answer.
- Linear models may be built by identifying or calculating the slope and using the y-intercept.
- The *x*-intercept may be found by setting y = 0, which is setting the expression mx + b equal to 0.
- The point of intersection of a system of linear equations is the point where the *x* and *y*-values are the same. See **Example 2.29**.
- A graph of the system may be used to identify the points where one line falls below (or above) the other line.

2.4 Fitting Linear Models to Data

- Scatter plots show the relationship between two sets of data. See **Example 2.30**.
- Scatter plots may represent linear or non-linear models.
- The line of best fit may be estimated or calculated, using a calculator or statistical software. See Example 2.31.
- Interpolation can be used to predict values inside the domain and range of the data, whereas extrapolation can be used to predict values outside the domain and range of the data. See **Example 2.32**.
- The correlation coefficient, *r*, indicates the degree of linear relationship between data. See **Example 2.34**.
- A regression line best fits the data. See Example 2.35.
- The least squares regression line is found by minimizing the squares of the distances of points from a line passing through the data and may be used to make predictions regarding either of the variables. See **Example 2.33**.

CHAPTER 2 REVIEW EXERCISES

Linear Functions

253. Determine whether the algebraic equation is linear. 2x + 3y = 7

254. Determine whether the algebraic equation is linear. $6x^2 - y = 5$

255. Determine whether the function is increasing or decreasing.

$$f(x) = 7x - 2$$

256. Determine whether the function is increasing or decreasing.

$$g(x) = -x + 2$$

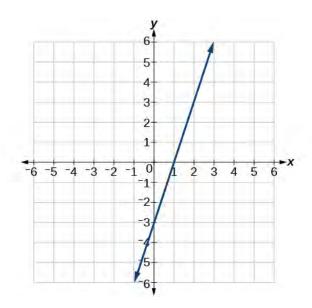
257. Given each set of information, find a linear equation that satisfies the given conditions, if possible.

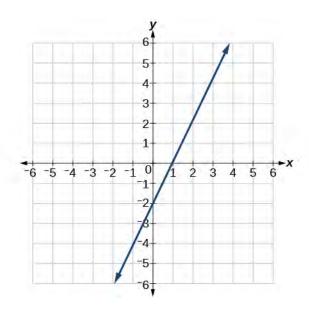
Passes through (7, 5) and (3, 17)

258. Given each set of information, find a linear equation that satisfies the given conditions, if possible.

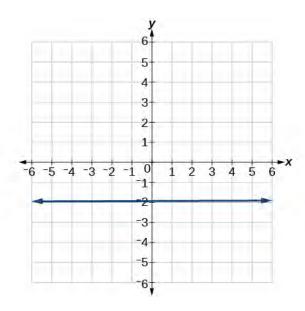
x-intercept at (6, 0) and *y*-intercept at (0, 10)

259. Find the slope of the line shown in the line graph.





260. Find the slope of the line graphed.



261. Write an equation in slope-intercept form for the line shown.

262. Does the following table represent a linear function? If so, find the linear equation that models the data.

1	ina me micai equation mai models die data.					
	x	-4	0	2	10	
	g(x)	18	-2	-12	– 52	

263. Does the following table represent a linear function? If so, find the linear equation that models the data.

x	6	8	12	26
g(x)	-8	-12	-18	-46

264. On June 1st, a company has \$4,000,000 profit. If the company then loses 150,000 dollars per day thereafter in the month of June, what is the company's profit n^{th} day after June 1st?

Graphs of Linear Functions (https://cnx.org/content/m49325/latest/)

For the following exercises, determine whether the lines given by the equations below are parallel, perpendicular, or neither parallel nor perpendicular:

265.
$$2x - 6y = 12$$
$$-x + 3y = 1$$

266.
$$y = \frac{1}{3}x - 2$$

 $3x + y = -9$

For the following exercises, find the *x*- and *y*- intercepts of the given equation

267.
$$7x + 9y = -63$$

268.
$$f(x) = 2x - 1$$

For the following exercises, use the descriptions of the pairs of lines to find the slopes of Line 1 and Line 2. Is each pair of lines parallel, perpendicular, or neither?

269.

Line 1: Passes through (5, 11) and (10, 1)

Line 2: Passes through (-1, 3) and (-5, 11)

270.

Line 1: Passes through (8, -10) and (0, -26)

Line 2: Passes through (2, 5) and (4, 4)

271. Write an equation for a line perpendicular to f(x) = 5x - 1 and passing through the point (5, 20).

272. Find the equation of a line with a *y*- intercept of (0, 2) and slope $-\frac{1}{2}$.

273. Sketch a graph of the linear function f(t) = 2t - 5.

274. Find the point of intersection for the 2 linear x = y + 6 functions: 2x - y = 13

275. A car rental company offers two plans for renting a car.

Plan A: 25 dollars per day and 10 cents per mile

Plan B: 50 dollars per day with free unlimited mileage

How many miles would you need to drive for plan B to save you money?

Modeling with Linear Functions

276. Find the area of a triangle bounded by the *y* axis, the line f(x) = 10 - 2x, and the line perpendicular to *f* that passes through the origin.

277. A town's population increases at a constant rate. In 2010 the population was 55,000. By 2012 the population

had increased to 76,000. If this trend continues, predict the population in 2016.

278. The number of people afflicted with the common cold in the winter months dropped steadily by 50 each year since 2004 until 2010. In 2004, 875 people were inflicted.

Find the linear function that models the number of people afflicted with the common cold *C* as a function of the year, *t*. When will no one be afflicted?

For the following exercises, use the graph in **Figure 2.56** showing the profit, y, in thousands of dollars, of a company in a given year, x, where x represents years since 1980.

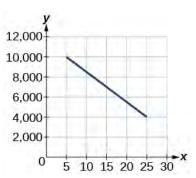


Figure 2.56

279. Find the linear function y, where y depends on x, the number of years since 1980.

280. Find and interpret the *y*-intercept.

For the following exercise, consider this scenario: In 2004, a school population was 1,700. By 2012 the population had grown to 2,500.

- **281.** Assume the population is changing linearly.
 - a. How much did the population grow between the year 2004 and 2012?
 - b. What is the average population growth per year?
 - c. Find an equation for the population, *P*, of the school *t* years after 2004.

For the following exercises, consider this scenario: In 2000, the moose population in a park was measured to be 6,500. By 2010, the population was measured to be 12,500. Assume the population continues to change linearly.

282. Find a formula for the moose population, *P*.

283. What does your model predict the moose population to be in 2020?

For the following exercises, consider this scenario: The median home values in subdivisions Pima Central and East

Valley (adjusted for inflation) are shown in **Table 2.14**. Assume that the house values are changing linearly.

Year	Pima Central	East Valley	
1970	32,000	120,250	
2010 85,000		150,000	

Table 2.14

284. In which subdivision have home values increased at a higher rate?

285. If these trends were to continue, what would be the median home value in Pima Central in 2015?

Fitting Linear Models to Data

286. Draw a scatter plot for the data in **Table 2.15**. Then determine whether the data appears to be linearly related.

0	2	4	6	8	10
-105	-50	1	55	105	160

Table 2.15

287. Draw a scatter plot for the data in **Table 2.16**. If we wanted to know when the population would reach 15,000, would the answer involve interpolation or extrapolation?

Year	Population
1990	5,600
1995	5,950
2000	6,300
2005	6,600
2010	6,900

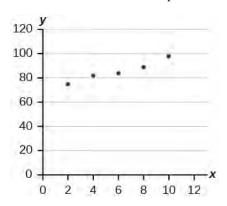
Table 2.16

288. Eight students were asked to estimate their score on a 10-point quiz. Their estimated and actual scores are given in **Table 2.17**. Plot the points, then sketch a line that fits the data.

Predicted	Actual
6	6
7	7
7	8
8	8
7	9
9	10
10	10
10	9

Table 2.17

289. Draw a best-fit line for the plotted data.



For the following exercises, consider the data in **Table 2.18**, which shows the percent of unemployed in a city of people 25 years or older who are college graduates is given below, by year.

Year	Percent Graduates
2000	6.5
2002	7.0
2005	7.4
2007	8.2
2010	9.0

Table 2.18

- **290.** Determine whether the trend appears to be linear. If so, and assuming the trend continues, find a linear regression model to predict the percent of unemployed in a given year to three decimal places.
- **291.** In what year will the percentage exceed 12%?
- **292.** Based on the set of data given in **Table 2.19**, calculate the regression line using a calculator or other technology tool, and determine the correlation coefficient to three decimal places.

x	17	20	23	26	29
y	15	25	31	37	40

Table 2.19

293. Based on the set of data given in **Table 2.20**, calculate the regression line using a calculator or other technology tool, and determine the correlation coefficient to three decimal places.

x	10	12	15	18	20
у	36	34	30	28	22

Table 2.20

For the following exercises, consider this scenario: The population of a city increased steadily over a ten-year span. The following ordered pairs show the population and the year over the ten-year span (population, year) for specific recorded years:

(3,600, 2000); (4,000, 2001); (4,700, 2003); (6,000, 2006)

- **294.** Use linear regression to determine a function y, where the year depends on the population, to three decimal places of accuracy.
- **295.** Predict when the population will hit 12,000.
- **296.** What is the correlation coefficient for this model to three decimal places of accuracy?
- **297.** According to the model, what is the population in 2014?

CHAPTER 2 PRACTICE TEST

298. Determine whether the following algebraic equation can be written as a linear function. 2x + 3y = 7

299. Determine whether the following function is increasing or decreasing. f(x) = -2x + 5

300. Determine whether the following function is increasing or decreasing. f(x) = 7x + 9

301. Given the following set of information, find a linear equation satisfying the conditions, if possible.

Passes through (5, 1) and (3, -9)

302. Given the following set of information, find a linear equation satisfying the conditions, if possible.

x intercept at (-4, 0) and *y*-intercept at (0, -6)

303. Find the slope of the line in **Figure 2.57**.

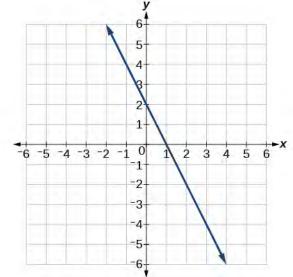


Figure 2.57

304. Write an equation for line in **Figure 2.58**.

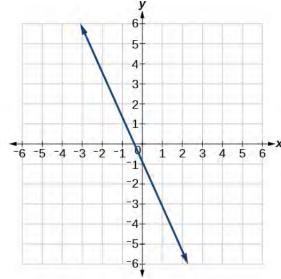


Figure 2.58

305. Does **Table 2.21** represent a linear function? If so, find a linear equation that models the data.

x	-6	0	2	4
g(x)	14	32	38	44

Table 2.21

306. Does **Table 2.22** represent a linear function? If so, find a linear equation that models the data.

 ear equation that models the data:							
x	1	3	7	11			
g(x)	4	9	19	12			

Table 2.22

307. At 6 am, an online company has sold 120 items that day. If the company sells an average of 30 items per hour for the remainder of the day, write an expression to represent the number of items that were sold n after 6 am.

For the following exercises, determine whether the lines given by the equations below are parallel, perpendicular, or neither parallel nor perpendicular:

308.
$$y = \frac{3}{4}x - 9$$
$$-4x - 3y = 8$$

$$-2x + y = 3$$
309.
$$3x + \frac{3}{2}y - 5$$

310. Find the *x*- and *y*-intercepts of the equation 2x + 7y = -14.

311. Given below are descriptions of two lines. Find the slopes of Line 1 and Line 2. Is the pair of lines parallel, perpendicular, or neither?

Line 1: Passes through (-2, -6) and (3, 14)

Line 2: Passes through (2, 6) and (4, 14)

312. Write an equation for a line perpendicular to f(x) = 4x + 3 and passing through the point (8, 10).

313. Sketch a line with a *y*-intercept of (0, 5) and slope $-\frac{5}{2}$.

314. Graph of the linear function f(x) = -x + 6.

315. For the two linear functions, find the point of x = y + 2 intersection: 2x - 3y = -1

316. A car rental company offers two plans for renting a car.

Plan A: \$25 per day and \$0.10 per mile

Plan B: \$40 per day with free unlimited mileage

How many miles would you need to drive for plan B to save you money?

- **317.** Find the area of a triangle bounded by the *y* axis, the line f(x) = 12 4x, and the line perpendicular to *f* that passes through the origin.
- **318.** A town's population increases at a constant rate. In 2010 the population was 65,000. By 2012 the population had increased to 90,000. Assuming this trend continues, predict the population in 2018.
- **319.** The number of people afflicted with the common cold in the winter months dropped steadily by 25 each year since 2002 until 2012. In 2002, 8,040 people were inflicted. Find the linear function that models the number of people afflicted with the common cold C as a function of the year,

t. When will less than 6,000 people be afflicted?

For the following exercises, use the graph in **Figure 2.59**, showing the profit, y, in thousands of dollars, of a company in a given year, x, where x represents years since 1980.

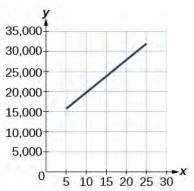


Figure 2.59

320. Find the linear function y, where y depends on x, the number of years since 1980.

321. Find and interpret the *y*-intercept.

322. In 2004, a school population was 1250. By 2012 the population had dropped to 875. Assume the population is changing linearly.

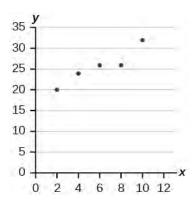
- a. How much did the population drop between the year 2004 and 2012?
- b. What is the average population decline per vear?
- c. Find an equation for the population, *P*, of the school *t* years after 2004.

323. Draw a scatter plot for the data provided in **Table 2.23**. Then determine whether the data appears to be linearly related.

0	2	4	6	8	10
-450	-200	10	265	500	755

Table 2.23

324. Draw a best-fit line for the plotted data.



For the following exercises, use **Table 2.24**, which shows the percent of unemployed persons 25 years or older who are college graduates in a particular city, by year.

Year	Percent Graduates
2000	8.5
2002	8.0
2005	7.2
2007	6.7
2010	6.4

Table 2.24

- **325.** Determine whether the trend appears linear. If so, and assuming the trend continues, find a linear regression model to predict the percent of unemployed in a given year to three decimal places.
- **326.** In what year will the percentage drop below 4%?
- **327.** Based on the set of data given in **Table 2.25**, calculate the regression line using a calculator or other technology tool, and determine the correlation coefficient. Round to three decimal places of accuracy.

x	16	18	20	24	26
у	106	110	115	120	125

Table 2.25

For the following exercises, consider this scenario: The population of a city increased steadily over a ten-year span. The following ordered pairs shows the population (in hundreds) and the year over the ten-year span, (population, year) for specific recorded years:

(4,500, 2000); (4,700, 2001); (5,200, 2003); (5,800, 2006)

- **328.** Use linear regression to determine a function y, where the year depends on the population. Round to three decimal places of accuracy.
- **329.** Predict when the population will hit 20,000.
- **330.** What is the correlation coefficient for this model?

3 | POLYNOMIAL AND RATIONAL FUNCTIONS



Figure 3.1 35-mm film, once the standard for capturing photographic images, has been made largely obsolete by digital photography. (credit "film": modification of work by Horia Varlan; credit "memory cards": modification of work by Paul Hudson)

Chapter Outline

- 3.1 Complex Numbers
- 3.2 Quadratic Functions
- 3.3 Power Functions and Polynomial Functions
- 3.4 Graphs of Polynomial Functions
- 3.5 Dividing Polynomials
- 3.6 Zeros of Polynomial Functions
- 3.7 Rational Functions
- 3.8 Inverses and Radical Functions
- 3.9 Modeling Using Variation

Introduction

Digital photography has dramatically changed the nature of photography. No longer is an image etched in the emulsion on a roll of film. Instead, nearly every aspect of recording and manipulating images is now governed by mathematics. An image becomes a series of numbers, representing the characteristics of light striking an image sensor. When we open an image file, software on a camera or computer interprets the numbers and converts them to a visual image. Photo editing software uses complex polynomials to transform images, allowing us to manipulate the image in order to crop details, change the color palette, and add special effects. Inverse functions make it possible to convert from one file format to another. In this chapter, we will learn about these concepts and discover how mathematics can be used in such applications.

3.1 | Complex Numbers

Learning Objectives

In this section, you will:

- 3.1.1 Express square roots of negative numbers as multiples of i.
- **3.1.2** Plot complex numbers on the complex plane.
- **3.1.3** Add and subtract complex numbers.
- **3.1.4** Multiply and divide complex numbers.

The study of mathematics continuously builds upon itself. Negative integers, for example, fill a void left by the set of positive integers. The set of rational numbers, in turn, fills a void left by the set of integers. The set of real numbers fills a void left by the set of rational numbers. Not surprisingly, the set of real numbers has voids as well. For example, we still have no solution to equations such as

$$x^2 + 4 = 0$$

Our best guesses might be +2 or -2. But if we test +2 in this equation, it does not work. If we test -2, it does not work. If we want to have a solution for this equation, we will have to go farther than we have so far. After all, to this point we have described the square root of a negative number as undefined. Fortunately, there is another system of numbers that provides solutions to problems such as these. In this section, we will explore this number system and how to work within it.

Expressing Square Roots of Negative Numbers as Multiples of *i*

We know how to find the square root of any positive real number. In a similar way, we can find the square root of a negative number. The difference is that the root is not real. If the value in the radicand is negative, the root is said to be an **imaginary number**. The imaginary number i is defined as the square root of negative 1.

$$\sqrt{-1} = i$$

So, using properties of radicals,

$$i^2 = (\sqrt{-1})^2 = -1$$

We can write the square root of any negative number as a multiple of i. Consider the square root of -25.

$$\sqrt{-25} = \sqrt{25 \cdot (-1)}$$
$$= \sqrt{25}\sqrt{-1}$$
$$= 5i$$

We use 5i and not -5i because the principal root of 25 is the positive root.

A **complex number** is the sum of a real number and an imaginary number. A complex number is expressed in standard form when written a + bi where a is the real part and bi is the imaginary part. For example, 5 + 2i is a complex number. So, too, is $3 + 4\sqrt{3}i$.

Imaginary numbers are distinguished from real numbers because a squared imaginary number produces a negative real number. Recall, when a positive real number is squared, the result is a positive real number and when a negative real number is squared, again, the result is a positive real number. Complex numbers are a combination of real and imaginary numbers.

Imaginary and Complex Numbers

A **complex number** is a number of the form a + bi where

• *a* is the real part of the complex number.

• *bi* is the imaginary part of the complex number.

If b = 0, then a + bi is a real number. If a = 0 and b is not equal to 0, the complex number is called an **imaginary number**. An imaginary number is an even root of a negative number.



Given an imaginary number, express it in standard form.

- 1. Write $\sqrt{-a}$ as $\sqrt{a}\sqrt{-1}$.
- 2. Express $\sqrt{-1}$ as i.
- 3. Write $\sqrt{a} \cdot i$ in simplest form.

Example 3.1

Expressing an Imaginary Number in Standard Form

Express $\sqrt{-9}$ in standard form.

Solution

$$\sqrt{-9} = \sqrt{9}\sqrt{-1} = 3i$$

In standard form, this is 0 + 3i.



3.1 Express $\sqrt{-24}$ in standard form.

Plotting a Complex Number on the Complex Plane

We cannot plot complex numbers on a number line as we might real numbers. However, we can still represent them graphically. To represent a complex number we need to address the two components of the number. We use the **complex plane**, which is a coordinate system in which the horizontal axis represents the real component and the vertical axis represents the imaginary component. Complex numbers are the points on the plane, expressed as ordered pairs (a, b), where a represents the coordinate for the horizontal axis and b represents the coordinate for the vertical axis.

Let's consider the number -2 + 3i. The real part of the complex number is -2 and the imaginary part is 3i. We plot the ordered pair (-2, 3) to represent the complex number -2 + 3i as shown in **Figure 3.2.**

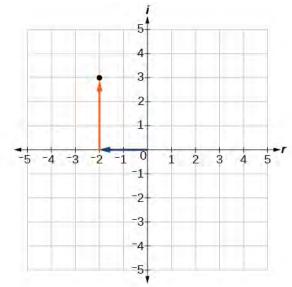


Figure 3.2

Complex Plane

In the **complex plane**, the horizontal axis is the real axis, and the vertical axis is the imaginary axis as shown in **Figure 3.3.**

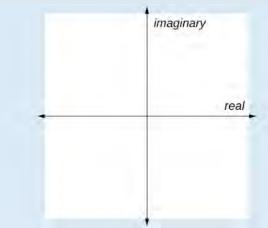


Figure 3.3



Given a complex number, represent its components on the complex plane.

- 1. Determine the real part and the imaginary part of the complex number.
- 2. Move along the horizontal axis to show the real part of the number.
- 3. Move parallel to the vertical axis to show the imaginary part of the number.
- 4. Plot the point.

Example 3.2

Plotting a Complex Number on the Complex Plane

Plot the complex number 3 - 4i on the complex plane.

Solution

The real part of the complex number is 3, and the imaginary part is -4i. We plot the ordered pair (3, -4) as shown in **Figure 3.4**.

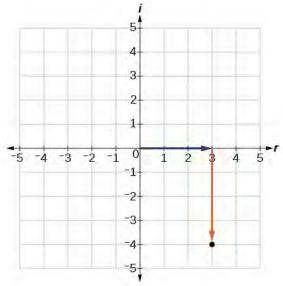


Figure 3.4



3.2 Plot the complex number -4 - i on the complex plane.

Adding and Subtracting Complex Numbers

Just as with real numbers, we can perform arithmetic operations on complex numbers. To add or subtract complex numbers, we combine the real parts and combine the imaginary parts.

Complex Numbers: Addition and Subtraction

Adding complex numbers:

$$(a + bi) + (c + di) = (a + c) + (b + d)i$$

Subtracting complex numbers:

$$(a + bi) - (c + di) = (a - c) + (b - d)i$$



Given two complex numbers, find the sum or difference.

- 1. Identify the real and imaginary parts of each number.
- 2. Add or subtract the real parts.
- 3. Add or subtract the imaginary parts.

Example 3.3

Adding Complex Numbers

Add 3 - 4i and 2 + 5i.

Solution

We add the real parts and add the imaginary parts.

$$(a+bi) + (c+di) = (a+c) + (b+d)i$$

(3-4i) + (2+5i) = (3+2) + (-4+5)i
= 5+i



3.3 Subtract 2 + 5i from 3 - 4i.

Multiplying Complex Numbers

Multiplying complex numbers is much like multiplying binomials. The major difference is that we work with the real and imaginary parts separately.

Multiplying a Complex Numbers by a Real Number

Let's begin by multiplying a complex number by a real number. We distribute the real number just as we would with a binomial. So, for example,

$$3(6 + 2i) = (3 \cdot 6) + (3 \cdot 2i)$$
 Distribute.
= $18 + 6i$ Simplify.



Given a complex number and a real number, multiply to find the product.

- 1. Use the distributive property.
- 2. Simplify.

Example 3.4

Multiplying a Complex Number by a Real Number

Find the product 4(2 + 5i).

Solution

Distribute the 4.

$$4(2+5i) = (4 \cdot 2) + (4 \cdot 5i)$$

= 8 + 20i



3.4 Find the product -4(2+6i).

Multiplying Complex Numbers Together

Now, let's multiply two complex numbers. We can use either the distributive property or the FOIL method. Recall that FOIL is an acronym for multiplying First, Outer, Inner, and Last terms together. Using either the distributive property or the FOIL method, we get

$$(a+bi)(c+di) = ac + adi + bci + bdi^{2}$$

Because $i^2 = -1$, we have

$$(a+bi)(c+di) = ac + adi + bci - bd$$

To simplify, we combine the real parts, and we combine the imaginary parts.

$$(a+bi)(c+di) = (ac-bd) + (ad+bc)i$$



Given two complex numbers, multiply to find the product.

- 1. Use the distributive property or the FOIL method.
- 2. Simplify.

Example 3.5

Multiplying a Complex Number by a Complex Number

Multiply (4 + 3i)(2 - 5i).

Solution

Use
$$(a + bi)(c + di) = (ac - bd) + (ad + bc)i$$

$$(4 + 3i)(2 - 5i) = (4 \cdot 2 - 3 \cdot (-5)) + (4 \cdot (-5) + 3 \cdot 2)i$$

$$= (8 + 15) + (-20 + 6)i$$

$$= 23 - 14i$$



3.5 Multiply (3 - 4i)(2 + 3i).

Dividing Complex Numbers

Division of two complex numbers is more complicated than addition, subtraction, and multiplication because we cannot divide by an imaginary number, meaning that any fraction must have a real-number denominator. We need to find a term by which we can multiply the numerator and the denominator that will eliminate the imaginary portion of the denominator so that we end up with a real number as the denominator. This term is called the **complex conjugate** of the denominator, which is found by changing the sign of the imaginary part of the complex number. In other words, the complex conjugate of a + bi is a - bi.

Note that complex conjugates have a reciprocal relationship: The complex conjugate of a + bi is a - bi, and the complex conjugate of a - bi is a + bi. Further, when a quadratic equation with real coefficients has complex solutions, the solutions are always complex conjugates of one another.

Suppose we want to divide c + di by a + bi, where neither a nor b equals zero. We first write the division as a fraction, then find the complex conjugate of the denominator, and multiply.

$$\frac{c+di}{a+bi}$$
 where $a \neq 0$ and $b \neq 0$

Multiply the numerator and denominator by the complex conjugate of the denominator.

$$\frac{(c+di)}{(a+bi)} \cdot \frac{(a-bi)}{(a-bi)} = \frac{(c+di)(a-bi)}{(a+bi)(a-bi)}$$

Apply the distributive property.

$$= \frac{ca - cbi + adi - bdi^2}{a^2 - abi + abi - b^2i^2}$$

Simplify, remembering that $i^2 = -1$.

$$= \frac{ca - cbi + adi - bd(-1)}{a^2 - abi + abi - b^2(-1)}$$
$$= \frac{(ca + bd) + (ad - cb)i}{a^2 + b^2}$$

The Complex Conjugate

The **complex conjugate** of a complex number a + bi is a - bi. It is found by changing the sign of the imaginary part of the complex number. The real part of the number is left unchanged.

- When a complex number is multiplied by its complex conjugate, the result is a real number.
- When a complex number is added to its complex conjugate, the result is a real number.

Example 3.6

Finding Complex Conjugates

Find the complex conjugate of each number.

- a. $2 + i\sqrt{5}$
- b. $-\frac{1}{2}i$

Solution

- a. The number is already in the form a + bi. The complex conjugate is a bi, or $2 i\sqrt{5}$.
- b. We can rewrite this number in the form a + bi as $0 \frac{1}{2}i$. The complex conjugate is a bi, or $0 + \frac{1}{2}i$. This can be written simply as $\frac{1}{2}i$.

Analysis

Although we have seen that we can find the complex conjugate of an imaginary number, in practice we generally find the complex conjugates of only complex numbers with both a real and an imaginary component. To obtain a real number from an imaginary number, we can simply multiply by i.



Given two complex numbers, divide one by the other.

- 1. Write the division problem as a fraction.
- 2. Determine the complex conjugate of the denominator.
- 3. Multiply the numerator and denominator of the fraction by the complex conjugate of the denominator.
- 4. Simplify.

Example 3.7

Dividing Complex Numbers

Divide (2 + 5i) by (4 - i).

Solution

We begin by writing the problem as a fraction.

$$\frac{(2+5i)}{(4-i)}$$

Then we multiply the numerator and denominator by the complex conjugate of the denominator.

$$\frac{(2+5i)}{(4-i)} \cdot \frac{(4+i)}{(4+i)}$$

To multiply two complex numbers, we expand the product as we would with polynomials (the process commonly called FOIL).

$$\frac{(2+5i)}{(4-i)} \cdot \frac{(4+i)}{(4+i)} = \frac{8+2i+20i+5i^2}{16+4i-4i-i^2}$$

$$= \frac{8+2i+20i+5(-1)}{16+4i-4i-(-1)} \text{ Because } i^2 = -1$$

$$= \frac{3+22i}{17}$$

$$= \frac{3}{17} + \frac{22}{17}i \text{ Separate real and imaginary parts.}$$

Note that this expresses the quotient in standard form.

Example 3.8

Substituting a Complex Number into a Polynomial Function

Let $f(x) = x^2 - 5x + 2$. Evaluate f(3 + i).

Solution

Substitute x = 3 + i into the function $f(x) = x^2 - 5x + 2$ and simplify.

$$f(3+i) = (3+i)^2 - 5(3+i) + 2$$
 Substitue $3+i$ for x .
 $= (3+6i+i^2) - (15+5i) + 2$ Multiply.
 $= 9+6i+(-1)-15-5i+2$ Substitue -1 for i^2 .
 $= -5+i$ Combine like terms.

Analysis

We write f(3+i) = -5+i. Notice that the input is 3+i and the output is -5+i.



3.6 Let
$$f(x) = 2x^2 - 3x$$
. Evaluate $f(8 - i)$.

Example 3.9

Substituting an Imaginary Number in a Rational Function

Let
$$f(x) = \frac{2+x}{x+3}$$
. Evaluate $f(10i)$.

Solution

Substitute x = 10i and simplify.

$$\frac{2+10i}{10i+3}$$
 Substitute $10i$ for x .
$$\frac{2+10i}{3+10i}$$
 Rewrite the denominator in standard form.
$$\frac{2+10i}{3+10i} \cdot \frac{3-10i}{3-10i}$$
 Prepare to multiply the numerator and denominator by the complex conjugate of the denominator.
$$\frac{6-20i+30i-100i^2}{9-30i+30i-100i}$$
 Multiply using the distributive property or the FOIL method.
$$\frac{6-20i+30i-100i-1}{9-30i+30i-100(-1)}$$
 Substitute -1 for i^2 .
$$\frac{106+10i}{109}$$
 Simplify.
$$\frac{106}{109}+\frac{10}{109}i$$
 Separate the real and imaginary parts.



3.7 Let
$$f(x) = \frac{x+1}{x-4}$$
. Evaluate $f(-i)$.

Simplifying Powers of i

The powers of i are cyclic. Let's look at what happens when we raise i to increasing powers.

$$i^{1} = i$$

 $i^{2} = -1$
 $i^{3} = i^{2} \cdot i = -1 \cdot i = -i$
 $i^{4} = i^{3} \cdot i = -i \cdot i = -i^{2} = -(-1) = 1$
 $i^{5} = i^{4} \cdot i = 1 \cdot i = i$

We can see that when we get to the fifth power of i, it is equal to the first power. As we continue to multiply i by itself for increasing powers, we will see a cycle of 4. Let's examine the next 4 powers of i.

$$i^{6} = i^{5} \cdot i = i \cdot i = i^{2} = -1$$

 $i^{7} = i^{6} \cdot i = i^{2} \cdot i = i^{3} = -i$
 $i^{8} = i^{7} \cdot i = i^{3} \cdot i = i^{4} = 1$
 $i^{9} = i^{8} \cdot i = i^{4} \cdot i = i^{5} = i$

Example 3.10

Simplifying Powers of i

Evaluate i^{35} .

Solution

Since $i^4 = 1$, we can simplify the problem by factoring out as many factors of i^4 as possible. To do so, first determine how many times 4 goes into 35: $35 = 4 \cdot 8 + 3$.

$$i^{35} = i^{4 \cdot 8 + 3} = i^{4 \cdot 8} \cdot i^3 = (i^4)^8 \cdot i^3 = 1^8 \cdot i^3 = i^3 = -i$$



Can we write i^{35} in other helpful ways?

As we saw in **Example 3.10**, we reduced i^{35} to i^3 by dividing the exponent by 4 and using the remainder to find the simplified form. But perhaps another factorization of i^{35} may be more useful. **Table 3.1** shows some other possible factorizations.

Factorization of i^{35}	$i^{34} \cdot i$	$i^{33} \cdot i^2$	$i^{31} \cdot i^4$	$i^{19} \cdot i^{16}$
Reduced form	$\left(i^2\right)^{17} \cdot i$	$i^{33}\cdot(-1)$	$i^{31} \cdot 1$	$i^{19} \cdot \left(i^4\right)^4$
Simplified form	$(-1)^{17} \cdot i$	$-i^{33}$	i ³¹	i ¹⁹

Table 3.1

Each of these will eventually result in the answer we obtained above but may require several more steps than our earlier method.



Access these online resources for additional instruction and practice with complex numbers.

- Adding and Subtracting Complex Numbers (http://openstaxcollege.org/l/addsubcomplex)
- Multiply Complex Numbers (http://openstaxcollege.org/l/multiplycomplex)
- · Multiplying Complex Conjugates (http://openstaxcollege.org/l/multcompconj)
- · Raising i to Powers (http://openstaxcollege.org/l/raisingi)

3.1 EXERCISES

Verbal

1. Explain how to add complex numbers.

2. What is the basic principle in multiplication of complex numbers?

3. Give an example to show the product of two imaginary numbers is not always imaginary.

4. What is a characteristic of the plot of a real number in the complex plane?

Algebraic

For the following exercises, evaluate the algebraic expressions.

5. If
$$f(x) = x^2 + x - 4$$
, evaluate $f(2i)$.

6. If
$$f(x) = x^3 - 2$$
, evaluate $f(i)$.

7. If
$$f(x) = x^2 + 3x + 5$$
, evaluate $f(2 + i)$.

8. If
$$f(x) = 2x^2 + x - 3$$
, evaluate $f(2 - 3i)$.

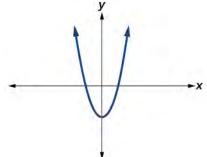
9. If
$$f(x) = \frac{x+1}{2-x}$$
, evaluate $f(5i)$.

10. If
$$f(x) = \frac{1+2x}{x+3}$$
, evaluate $f(4i)$.

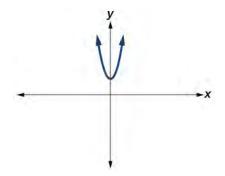
Graphical

For the following exercises, determine the number of real and nonreal solutions for each quadratic function shown.

11.



12.



For the following exercises, plot the complex numbers on the complex plane.

13.
$$1 - 2i$$

14.
$$-2 + 3i$$

16.
$$-3-4i$$

Numeric

For the following exercises, perform the indicated operation and express the result as a simplified complex number.

17.
$$(3+2i)+(5-3i)$$

18.
$$(-2-4i)+(1+6i)$$

19.
$$(-5+3i)-(6-i)$$

20.
$$(2-3i)-(3+2i)$$

21.
$$(-4+4i)-(-6+9i)$$

22.
$$(2+3i)(4i)$$

23.
$$(5-2i)(3i)$$

24.
$$(6-2i)(5)$$

25.
$$(-2+4i)(8)$$

26.
$$(2+3i)(4-i)$$

27.
$$(-1+2i)(-2+3i)$$

28.
$$(4-2i)(4+2i)$$

29.
$$(3+4i)(3-4i)$$

30.

$$\frac{3+4i}{2}$$

31.
$$\frac{6-2i}{3}$$

32.
$$\frac{-5+3i}{2i}$$

33.
$$\frac{6+4i}{i}$$

34.
$$\frac{2-3i}{4+3i}$$

35.
$$\frac{3+4i}{2-i}$$

36.
$$\frac{2+3i}{2-3i}$$

37.
$$\sqrt{-9} + 3\sqrt{-16}$$

38.
$$-\sqrt{-4} - 4\sqrt{-25}$$

39.
$$\frac{2+\sqrt{-12}}{2}$$

40.
$$\frac{4+\sqrt{-20}}{2}$$

43.
$$i^{22}$$

Technology

For the following exercises, use a calculator to help answer the questions.

- 44. Evaluate $(1 + i)^k$ for k = 4, 8, and 12. Predict the value if k = 16.
- 45. Evaluate $(1 i)^k$ for k = 2, 6, and 10. Predict the value if k = 14.
- 46. Evaluate $(1+i)^k (1-i)^k$ for k=4, 8, and 12. Predict the value for k=16.
- 47. Show that a solution of $x^6 + 1 = 0$ is $\frac{\sqrt{3}}{2} + \frac{1}{2}i$.
- 48. Show that a solution of $x^8 1 = 0$ is $\frac{\sqrt{2}}{2} + \frac{\sqrt{2}}{2}i$.

Extensions

For the following exercises, evaluate the expressions, writing the result as a simplified complex number.

49.
$$\frac{1}{i} + \frac{4}{i^3}$$

50.
$$\frac{1}{i^{11}} - \frac{1}{i^{21}}$$

51.
$$i^7(1+i^2)$$

52.
$$i^{-3} + 5i^7$$

53.
$$\frac{(2+i)(4-2i)}{(1+i)}$$

54.
$$\frac{(1+3i)(2-4i)}{(1+2i)}$$

55.
$$\frac{(3+i)^2}{(1+2i)^2}$$

56.
$$\frac{3+2i}{2+i}+(4+3i)$$

57.
$$\frac{4+i}{i} + \frac{3-4i}{1-i}$$

58.
$$\frac{3+2i}{1+2i} - \frac{2-3i}{3+i}$$

3.2 | Quadratic Functions

Learning Objectives

In this section, you will:

- **3.2.1** Recognize characteristics of parabolas.
- **3.2.2** Understand how the graph of a parabola is related to its quadratic function.
- 3.2.3 Determine a quadratic function's minimum or maximum value.
- 3.2.4 Solve problems involving a quadratic function's minimum or maximum value.

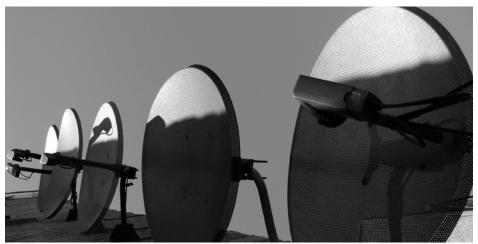


Figure 3.5 An array of satellite dishes. (credit: Matthew Colvin de Valle, Flickr)

Curved antennas, such as the ones shown in **Figure 3.5**, are commonly used to focus microwaves and radio waves to transmit television and telephone signals, as well as satellite and spacecraft communication. The cross-section of the antenna is in the shape of a parabola, which can be described by a quadratic function.

In this section, we will investigate quadratic functions, which frequently model problems involving area and projectile motion. Working with quadratic functions can be less complex than working with higher degree functions, so they provide a good opportunity for a detailed study of function behavior.

Recognizing Characteristics of Parabolas

The graph of a quadratic function is a U-shaped curve called a parabola. One important feature of the graph is that it has an extreme point, called the **vertex**. If the parabola opens up, the vertex represents the lowest point on the graph, or the minimum value of the quadratic function. If the parabola opens down, the vertex represents the highest point on the graph, or the maximum value. In either case, the vertex is a turning point on the graph. The graph is also symmetric with a vertical line drawn through the vertex, called the **axis of symmetry**. These features are illustrated in **Figure 3.6**.

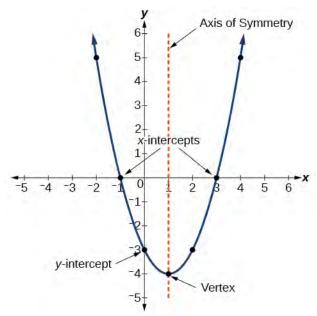


Figure 3.6

The *y*-intercept is the point at which the parabola crosses the *y*-axis. The *x*-intercepts are the points at which the parabola crosses the *x*-axis. If they exist, the *x*-intercepts represent the **zeros**, or **roots**, of the quadratic function, the values of x at which y = 0.

Example 3.11

Identifying the Characteristics of a Parabola

Determine the vertex, axis of symmetry, zeros, and *y*-intercept of the parabola shown in **Figure 3.7**.

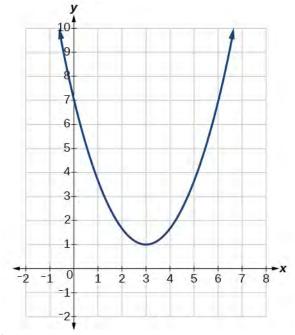


Figure 3.7

Solution

The vertex is the turning point of the graph. We can see that the vertex is at (3, 1). Because this parabola opens upward, the axis of symmetry is the vertical line that intersects the parabola at the vertex. So the axis of symmetry is x = 3. This parabola does not cross the x- axis, so it has no zeros. It crosses the y- axis at (0, 7) so this is the y-intercept.

Understanding How the Graphs of Parabolas are Related to Their Quadratic Functions

The **general form of a quadratic function** presents the function in the form

$$f(x) = ax^2 + bx + c \tag{3.1}$$

where a, b, and c are real numbers and $a \ne 0$. If a > 0, the parabola opens upward. If a < 0, the parabola opens downward. We can use the general form of a parabola to find the equation for the axis of symmetry.

The axis of symmetry is defined by $x = -\frac{b}{2a}$. If we use the quadratic formula, $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$, to solve $ax^2 + bx + c = 0$ for the x- intercepts, or zeros, we find the value of x halfway between them is always $x = -\frac{b}{2a}$, the equation for the axis of symmetry.

Figure 3.8 represents the graph of the quadratic function written in general form as $y = x^2 + 4x + 3$. In this form, a = 1, b = 4, and c = 3. Because a > 0, the parabola opens upward. The axis of symmetry is $x = -\frac{4}{2(1)} = -2$.

This also makes sense because we can see from the graph that the vertical line x = -2 divides the graph in half. The vertex always occurs along the axis of symmetry. For a parabola that opens upward, the vertex occurs at the lowest point on the graph, in this instance, (-2, -1). The x- intercepts, those points where the parabola crosses the x- axis, occur at (-3, 0) and (-1, 0).

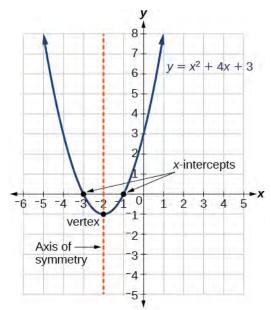


Figure 3.8

The **standard form of a quadratic function** presents the function in the form

$$f(x) = a(x - h)^2 + k$$
(3.2)

where (h, k) is the vertex. Because the vertex appears in the standard form of the quadratic function, this form is also known as the **vertex form of a quadratic function**.

As with the general form, if a > 0, the parabola opens upward and the vertex is a minimum. If a < 0, the parabola opens downward, and the vertex is a maximum. **Figure 3.9** represents the graph of the quadratic function written in standard form as $y = -3(x+2)^2 + 4$. Since x - h = x + 2 in this example, h = -2. In this form, a = -3, a = -2, and a = -3, a = -2, and a = -3, a = -2, and a = -3, a = -3

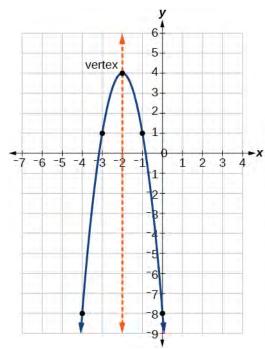


Figure 3.9

The standard form is useful for determining how the graph is transformed from the graph of $y = x^2$. **Figure 3.10** is the graph of this basic function.

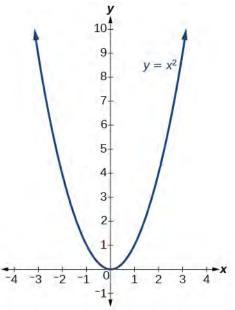


Figure 3.10

If k > 0, the graph shifts upward, whereas if k < 0, the graph shifts downward. In **Figure 3.9**, k > 0, so the graph is shifted 4 units upward. If h > 0, the graph shifts toward the right and if h < 0, the graph shifts to the left. In **Figure 3.9**, h < 0, so the graph is shifted 2 units to the left. The magnitude of a indicates the stretch of the graph. If |a| > 1, the point associated with a particular x- value shifts farther from the x-axis, so the graph appears to become narrower, and there is a vertical stretch. But if |a| < 1, the point associated with a particular x- value shifts closer to the x-axis, so the

graph appears to become wider, but in fact there is a vertical compression. In **Figure 3.9**, |a| > 1, so the graph becomes narrower.

The standard form and the general form are equivalent methods of describing the same function. We can see this by expanding out the general form and setting it equal to the standard form.

$$a(x - h)^{2} + k = ax^{2} + bx + c$$
$$ax^{2} - 2ahx + (ah^{2} + k) = ax^{2} + bx + c$$

For the linear terms to be equal, the coefficients must be equal.

$$-2ah = b$$
, so $h = -\frac{b}{2a}$.

This is the axis of symmetry we defined earlier. Setting the constant terms equal:

$$ah^{2} + k = c$$

$$k = c - ah^{2}$$

$$= c - a - \left(\frac{b}{2a}\right)^{2}$$

$$= c - \frac{b^{2}}{4a}$$

In practice, though, it is usually easier to remember that k is the output value of the function when the input is h, so f(h) = k.

Forms of Quadratic Functions

A quadratic function is a function of degree two. The graph of a quadratic function is a parabola. The **general form of a quadratic function** is $f(x) = ax^2 + bx + c$ where a, b, and c are real numbers and $a \ne 0$.

The **standard form of a quadratic function** is $f(x) = a(x - h)^2 + k$.

The vertex (h, k) is located at

$$h = -\frac{b}{2a}$$
, $k = f(h) = f\left(\frac{-b}{2a}\right)$.



Given a graph of a quadratic function, write the equation of the function in general form.

- 1. Identify the horizontal shift of the parabola; this value is *h*. Identify the vertical shift of the parabola; this value is *k*.
- 2. Substitute the values of the horizontal and vertical shift for *h* and *k*. in the function $f(x) = a(x h)^2 + k$.
- **3.** Substitute the values of any point, other than the vertex, on the graph of the parabola for x and f(x).
- 4. Solve for the stretch factor, |a|.
- 5. If the parabola opens up, a > 0. If the parabola opens down, a < 0 since this means the graph was reflected about the x- axis.
- 6. Expand and simplify to write in general form.

Example 3.12

Writing the Equation of a Quadratic Function from the Graph

Write an equation for the quadratic function g in **Figure 3.11** as a transformation of $f(x) = x^2$, and then expand the formula, and simplify terms to write the equation in general form.

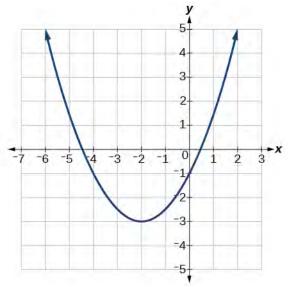


Figure 3.11

Solution

We can see the graph of g is the graph of $f(x) = x^2$ shifted to the left 2 and down 3, giving a formula in the form $g(x) = a(x+2)^2 - 3$.

Substituting the coordinates of a point on the curve, such as (0, -1), we can solve for the stretch factor.

$$-1 = a(0+2)^2 - 3$$
$$2 = 4a$$
$$a = \frac{1}{2}$$

In standard form, the algebraic model for this graph is $(g)x = \frac{1}{2}(x+2)^2 - 3$.

To write this in general polynomial form, we can expand the formula and simplify terms.

$$g(x) = \frac{1}{2}(x+2)^2 - 3$$

$$= \frac{1}{2}(x+2)(x+2) - 3$$

$$= \frac{1}{2}(x^2 + 4x + 4) - 3$$

$$= \frac{1}{2}x^2 + 2x + 2 - 3$$

$$= \frac{1}{2}x^2 + 2x - 1$$

Notice that the horizontal and vertical shifts of the basic graph of the quadratic function determine the location of the vertex of the parabola; the vertex is unaffected by stretches and compressions.

Analysis

We can check our work using the table feature on a graphing utility. First enter $Y1 = \frac{1}{2}(x+2)^2 - 3$. Next, select

TBLSET, then use TblStart = -6 and Δ Tbl = 2, and select TABLE. See **Table 3.1**.

x	-6	-4	-2	0	2
у	5	-1	-3	-1	5

Table 3.1

The ordered pairs in the table correspond to points on the graph.



3.8 A coordinate grid has been superimposed over the quadratic path of a basketball in **Figure 3.12**. Find an equation for the path of the ball. Does the shooter make the basket?

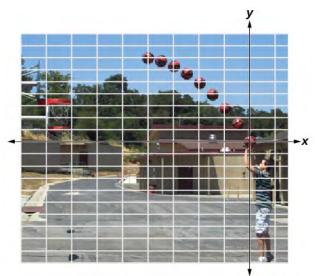


Figure 3.12 (credit: modification of work by Dan Meyer)



Given a quadratic function in general form, find the vertex of the parabola.

- 1. Identify a, b, and c.
- 2. Find *h*, the *x*-coordinate of the vertex, by substituting *a* and *b* into $h = -\frac{b}{2a}$.
- 3. Find k, the y-coordinate of the vertex, by evaluating $k = f(h) = f\left(-\frac{b}{2a}\right)$.

Example 3.13

Finding the Vertex of a Quadratic Function

Find the vertex of the quadratic function $f(x) = 2x^2 - 6x + 7$. Rewrite the quadratic in standard form (vertex form).

Solution

The horizontal coordinate of the vertex will be at

$$h = -\frac{b}{2a}$$
$$= -\frac{6}{2(2)}$$
$$= \frac{6}{4}$$
$$= \frac{3}{2}$$

The vertical coordinate of the vertex will be at

$$k = f(h)$$

$$= f\left(\frac{3}{2}\right)$$

$$= 2\left(\frac{3}{2}\right)^2 - 6\left(\frac{3}{2}\right) + 7$$

$$= \frac{5}{2}$$

Rewriting into standard form, the stretch factor will be the same as the a in the original quadratic.

$$f(x) = ax^2 + bx + c$$
$$f(x) = 2x^2 - 6x + 7$$

Using the vertex to determine the shifts,

$$f(x) = 2\left(x - \frac{3}{2}\right)^2 + \frac{5}{2}$$

Analysis

One reason we may want to identify the vertex of the parabola is that this point will inform us where the maximum or minimum value of the output occurs, (k), and where it occurs, (x).



3.9 Given the equation $g(x) = 13 + x^2 - 6x$, write the equation in general form and then in standard form.

Finding the Domain and Range of a Quadratic Function

Any number can be the input value of a quadratic function. Therefore, the domain of any quadratic function is all real numbers. Because parabolas have a maximum or a minimum point, the range is restricted. Since the vertex of a parabola will be either a maximum or a minimum, the range will consist of all *y*-values greater than or equal to the *y*-coordinate at the turning point or less than or equal to the *y*-coordinate at the turning point, depending on whether the parabola opens up or down.

Domain and Range of a Quadratic Function

The domain of any quadratic function is all real numbers.

The range of a quadratic function written in general form $f(x) = ax^2 + bx + c$ with a positive a value is $f(x) \ge f\left(-\frac{b}{2a}\right)$, or $\left[f\left(-\frac{b}{2a}\right), \infty\right)$; the range of a quadratic function written in general form with a negative a value is $f(x) \le f\left(-\frac{b}{2a}\right)$, or $\left(-\infty, f\left(-\frac{b}{2a}\right)\right]$.

The range of a quadratic function written in standard form $f(x) = a(x - h)^2 + k$ with a positive a value is $f(x) \ge k$; the range of a quadratic function written in standard form with a negative a value is $f(x) \le k$.



Given a quadratic function, find the domain and range.

- 1. Identify the domain of any quadratic function as all real numbers.
- 2. Determine whether *a* is positive or negative. If *a* is positive, the parabola has a minimum. If *a* is negative, the parabola has a maximum.
- 3. Determine the maximum or minimum value of the parabola, *k*.
- 4. If the parabola has a minimum, the range is given by $f(x) \ge k$, or $[k, \infty)$. If the parabola has a maximum, the range is given by $f(x) \le k$, or $(-\infty, k]$.

Example 3.14

Finding the Domain and Range of a Quadratic Function

Find the domain and range of $f(x) = -5x^2 + 9x - 1$.

Solution

As with any quadratic function, the domain is all real numbers.

Because a is negative, the parabola opens downward and has a maximum value. We need to determine the maximum value. We can begin by finding the x-value of the vertex.

$$h = -\frac{b}{2a}$$
$$= -\frac{9}{2(-5)}$$
$$= \frac{9}{10}$$

The maximum value is given by f(h).

$$f(\frac{9}{10}) = 5(\frac{9}{10})^2 + 9(\frac{9}{10}) - 1$$
$$= \frac{61}{20}$$

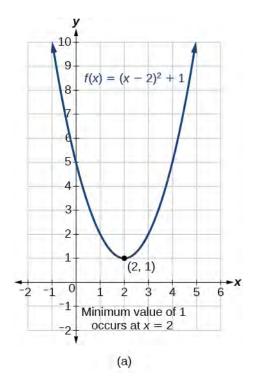
The range is $f(x) \le \frac{61}{20}$, or $\left(-\infty, \frac{61}{20}\right]$.



Find the domain and range of $f(x) = 2\left(x - \frac{4}{7}\right)^2 + \frac{8}{11}$.

Determining the Maximum and Minimum Values of Quadratic Functions

The output of the quadratic function at the vertex is the maximum or minimum value of the function, depending on the orientation of the parabola. We can see the maximum and minimum values in **Figure 3.13**.



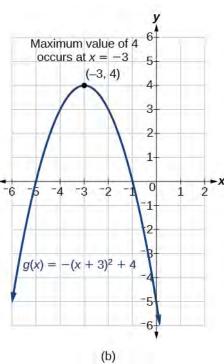


Figure 3.13

There are many real-world scenarios that involve finding the maximum or minimum value of a quadratic function, such as applications involving area and revenue.

Example 3.15

Finding the Maximum Value of a Quadratic Function

A backyard farmer wants to enclose a rectangular space for a new garden within her fenced backyard. She has purchased 80 feet of wire fencing to enclose three sides, and she will use a section of the backyard fence as the fourth side.

- a. Find a formula for the area enclosed by the fence if the sides of fencing perpendicular to the existing fence have length L.
- b. What dimensions should she make her garden to maximize the enclosed area?

Solution

Let's use a diagram such as **Figure 3.14** to record the given information. It is also helpful to introduce a temporary variable, W, to represent the width of the garden and the length of the fence section parallel to the backyard fence.

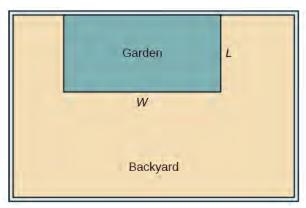


Figure 3.14

a. We know we have only 80 feet of fence available, and L + W + L = 80, or more simply, 2L + W = 80. This allows us to represent the width, W, in terms of L.

$$W = 80 - 2L$$

Now we are ready to write an equation for the area the fence encloses. We know the area of a rectangle is length multiplied by width, so

$$A = LW = L(80 - 2L)$$
$$A(L) = 80L - 2L^{2}$$

This formula represents the area of the fence in terms of the variable length L. The function, written in general form, is

$$A(L) = -2L^2 + 80L.$$

b. The quadratic has a negative leading coefficient, so the graph will open downward, and the vertex will be the maximum value for the area. In finding the vertex, we must be careful because the equation is not written in standard polynomial form with decreasing powers. This is why we rewrote the function in general form above. Since a is the coefficient of the squared term, a = -2, b = 80, and c = 0.

To find the vertex:

$$h = -\frac{80}{2(-2)} \qquad k = A(20)$$

$$= 20 \qquad \text{and} \qquad = 80(20) - 2(20)^2$$

$$= 800$$

The maximum value of the function is an area of 800 square feet, which occurs when L=20 feet. When the shorter sides are 20 feet, there is 40 feet of fencing left for the longer side. To maximize the area, she should enclose the garden so the two shorter sides have length 20 feet and the longer side parallel to the existing fence has length 40 feet.

Analysis

This problem also could be solved by graphing the quadratic function. We can see where the maximum area occurs on a graph of the quadratic function in **Figure 3.15**.

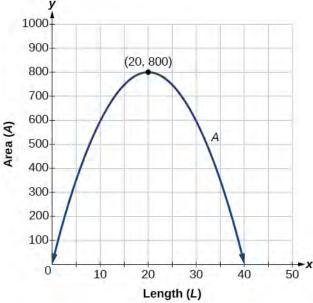


Figure 3.15



Given an application involving revenue, use a quadratic equation to find the maximum.

- 1. Write a quadratic equation for revenue.
- 2. Find the vertex of the quadratic equation.
- 3. Determine the *y*-value of the vertex.

Example 3.16

Finding Maximum Revenue

The unit price of an item affects its supply and demand. That is, if the unit price goes up, the demand for the item will usually decrease. For example, a local newspaper currently has 84,000 subscribers at a quarterly charge of \$30. Market research has suggested that if the owners raise the price to \$32, they would lose 5,000 subscribers. Assuming that subscriptions are linearly related to the price, what price should the newspaper charge for a quarterly subscription to maximize their revenue?

Solution

Revenue is the amount of money a company brings in. In this case, the revenue can be found by multiplying the price per subscription times the number of subscribers, or quantity. We can introduce variables, p for price per subscription and Q for quantity, giving us the equation Revenue = pQ.

Because the number of subscribers changes with the price, we need to find a relationship between the variables. We know that currently p = 30 and Q = 84, 000. We also know that if the price rises to \$32, the newspaper would lose 5,000 subscribers, giving a second pair of values, p = 32 and Q = 79, 000. From this we can find a linear equation relating the two quantities. The slope will be

$$m = \frac{79,000 - 84,000}{32 - 30}$$
$$= \frac{-5,000}{2}$$
$$= -2,500$$

This tells us the paper will lose 2,500 subscribers for each dollar they raise the price. We can then solve for the *y*-intercept.

$$Q = -2500p + b$$
 Substitute in the point $Q = 84$, 000 and $p = 30$
84, 000 = $-2500(30) + b$ Solve for b
 $b = 159,000$

This gives us the linear equation Q = -2,500p + 159,000 relating cost and subscribers. We now return to our revenue equation.

Revenue =
$$pQ$$

Revenue = $p(-2, 500p + 159, 000)$
Revenue = $-2, 500p^2 + 159, 000p$

We now have a quadratic function for revenue as a function of the subscription charge. To find the price that will maximize revenue for the newspaper, we can find the vertex.

$$h = -\frac{159,000}{2(-2,500)}$$
$$= 31.8$$

The model tells us that the maximum revenue will occur if the newspaper charges \$31.80 for a subscription. To find what the maximum revenue is, we evaluate the revenue function.

maximum revenue =
$$-2,500(31.8)^2 + 159,000(31.8)$$

= $2,528,100$

Analysis

This could also be solved by graphing the quadratic as in **Figure 3.16**. We can see the maximum revenue on a graph of the quadratic function.

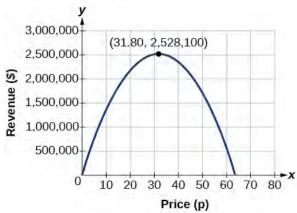


Figure 3.16

Finding the x- and y-Intercepts of a Quadratic Function

Much as we did in the application problems above, we also need to find intercepts of quadratic equations for graphing parabolas. Recall that we find the y- intercept of a quadratic by evaluating the function at an input of zero, and we find the x- intercepts at locations where the output is zero. Notice in **Figure 3.17** that the number of x- intercepts can vary depending upon the location of the graph.

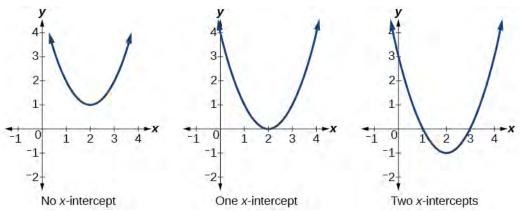


Figure 3.17 Number of *x*-intercepts of a parabola



Given a quadratic function f(x), find the *y*- and *x*-intercepts.

- 1. Evaluate f(0) to find the *y*-intercept.
- 2. Solve the quadratic equation f(x) = 0 to find the *x*-intercepts.

Example 3.17

Finding the y- and x-Intercepts of a Parabola

Find the *y*- and *x*-intercepts of the quadratic $f(x) = 3x^2 + 5x - 2$.

Solution

We find the *y*-intercept by evaluating f(0).

$$f(0) = 3(0)^{2} + 5(0) - 2$$
$$= -2$$

So the *y*-intercept is at (0, -2).

For the *x*-intercepts, we find all solutions of f(x) = 0.

$$0 = 3x^2 + 5x - 2$$

In this case, the quadratic can be factored easily, providing the simplest method for solution.

$$0 = (3x - 1)(x + 2)$$

$$0 = 3x - 1$$

$$0 = x + 2$$

$$x = \frac{1}{3}$$
or $x = -2$

So the *x*-intercepts are at $(\frac{1}{3}, 0)$ and (-2, 0).

Analysis

By graphing the function, we can confirm that the graph crosses the *y*-axis at (0, -2). We can also confirm that the graph crosses the *x*-axis at $\left(\frac{1}{3}, 0\right)$ and (-2, 0). See **Figure 3.18**

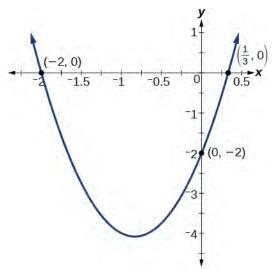


Figure 3.18

Rewriting Quadratics in Standard Form

In **Example 3.17**, the quadratic was easily solved by factoring. However, there are many quadratics that cannot be factored. We can solve these quadratics by first rewriting them in standard form.



Given a quadratic function, find the *x*- intercepts by rewriting in standard form.

- 1. Substitute a and b into $h = -\frac{b}{2a}$.
- 2. Substitute x = h into the general form of the quadratic function to find k.
- 3. Rewrite the quadratic in standard form using h and k.
- 4. Solve for when the output of the function will be zero to find the *x* intercepts.

Example 3.18

Finding the x-Intercepts of a Parabola

Find the *x*-intercepts of the quadratic function $f(x) = 2x^2 + 4x - 4$.

Solution

We begin by solving for when the output will be zero.

$$0 = 2x^2 + 4x - 4$$

Because the quadratic is not easily factorable in this case, we solve for the intercepts by first rewriting the quadratic in standard form.

$$f(x) = a(x - h)^2 + k$$

We know that a = 2. Then we solve for h and k.

$$h = -\frac{b}{2a} \qquad k = f(-1)$$

$$= -\frac{4}{2(2)} \qquad = 2(-1)^2 + 4(-1) - 4$$

$$= -1 \qquad = -6$$

So now we can rewrite in standard form.

$$f(x) = 2(x+1)^2 - 6$$

We can now solve for when the output will be zero.

$$0 = 2(x+1)^{2} - 6$$

$$6 = 2(x+1)^{2}$$

$$3 = (x+1)^{2}$$

$$x+1 = \pm \sqrt{3}$$

$$x = -1 \pm \sqrt{3}$$

The graph has *x*- intercepts at $(-1 - \sqrt{3}, 0)$ and $(-1 + \sqrt{3}, 0)$.

Analysis

We can check our work by graphing the given function on a graphing utility and observing the x- intercepts. See **Figure 3.19**.

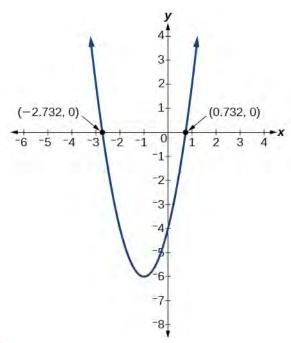


Figure 3.19



3.11 In a separate **Try It**, we found the standard and general form for the function $g(x) = 13 + x^2 - 6x$. Now find the *y*- and *x*- intercepts (if any).

Example 3.19

Solving a Quadratic Equation with the Quadratic Formula

Solve $x^2 + x + 2 = 0$.

Solution

Let's begin by writing the quadratic formula: $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$.

When applying the quadratic formula, we identify the coefficients a, b and c. For the equation $x^2 + x + 2 = 0$, we have a = 1, b = 1, and c = 2. Substituting these values into the formula we have:

$$x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

$$= \frac{-1 \pm \sqrt{1^2 - 4 \cdot 1 \cdot (2)}}{2 \cdot 1}$$

$$= \frac{-1 \pm \sqrt{1 - 8}}{2}$$

$$= \frac{-1 \pm \sqrt{-7}}{2}$$

$$= \frac{-1 \pm i\sqrt{7}}{2}$$

The solutions to the equation are $x = \frac{-1 + i\sqrt{7}}{2}$ and $x = \frac{-1 - i\sqrt{7}}{2}$ or $x = \frac{-1}{2} + \frac{i\sqrt{7}}{2}$ and $x = \frac{-1}{2} - \frac{i\sqrt{7}}{2}$.

Example 3.20

Applying the Vertex and x-Intercepts of a Parabola

A ball is thrown upward from the top of a 40 foot high building at a speed of 80 feet per second. The ball's height above ground can be modeled by the equation $H(t) = -16t^2 + 80t + 40$.

- a. When does the ball reach the maximum height?
- b. What is the maximum height of the ball?
- c. When does the ball hit the ground?

Solution

a. The ball reaches the maximum height at the vertex of the parabola.

$$h = -\frac{80}{2(-16)}$$

$$= \frac{80}{32}$$

$$= \frac{5}{2}$$

$$= 2.5$$

The ball reaches a maximum height after 2.5 seconds.

b. To find the maximum height, find the *y*-coordinate of the vertex of the parabola.

$$k = H\left(-\frac{b}{2a}\right)$$
= $H(2.5)$
= $-16(2.5)^2 + 80(2.5) + 40$
= 140

The ball reaches a maximum height of 140 feet.

c. To find when the ball hits the ground, we need to determine when the height is zero, H(t) = 0. We use the quadratic formula.

$$t = \frac{-80 \pm \sqrt{80^2 - 4(-16)(40)}}{2(-16)}$$
$$= \frac{-80 \pm \sqrt{8960}}{-32}$$

Because the square root does not simplify nicely, we can use a calculator to approximate the values of the solutions.

$$t = \frac{-80 - \sqrt{8960}}{-32} \approx 5.458$$
 or $t = \frac{-80 + \sqrt{8960}}{-32} \approx -0.458$

The second answer is outside the reasonable domain of our model, so we conclude the ball will hit the ground after about 5.458 seconds. See **Figure 3.20**

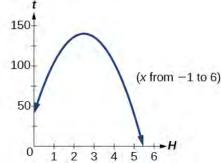


Figure 3.20



3.12 A rock is thrown upward from the top of a 112-foot high cliff overlooking the ocean at a speed of 96 feet per second. The rock's height above ocean can be modeled by the equation $H(t) = -16t^2 + 96t + 112$.

- a. When does the rock reach the maximum height?
- b. What is the maximum height of the rock?
- c. When does the rock hit the ocean?



Access these online resources for additional instruction and practice with quadratic equations.

- Graphing Quadratic Functions in General Form (http://openstaxcollege.org/l/graphquadgen)
- Graphing Quadratic Functions in Standard Form (http://openstaxcollege.org/l/graphquadstan)
- · Quadratic Function Review (http://openstaxcollege.org/l/quadfuncrev)
- Characteristics of a Quadratic Function (http://openstaxcollege.org/l/characterquad)

3.2 EXERCISES

Verbal

- 59. Explain the advantage of writing a quadratic function in standard form.
- 60. How can the vertex of a parabola be used in solving real world problems?
- 61. Explain why the condition of $a \neq 0$ is imposed in the definition of the quadratic function.
- 62. What is another name for the standard form of a quadratic function?
- 63. What two algebraic methods can be used to find the horizontal intercepts of a quadratic function?

Algebraic

For the following exercises, rewrite the quadratic functions in standard form and give the vertex.

64.
$$f(x) = x^2 - 12x + 32$$

65.
$$g(x) = x^2 + 2x - 3$$

66.
$$f(x) = x^2 - x$$

67.
$$f(x) = x^2 + 5x - 2$$

68.
$$h(x) = 2x^2 + 8x - 10$$

69.
$$k(x) = 3x^2 - 6x - 9$$

70.
$$f(x) = 2x^2 - 6x$$

71.
$$f(x) = 3x^2 - 5x - 1$$

For the following exercises, determine whether there is a minimum or maximum value to each quadratic function. Find the value and the axis of symmetry.

72.
$$y(x) = 2x^2 + 10x + 12$$

73.
$$f(x) = 2x^2 - 10x + 4$$

74.
$$f(x) = -x^2 + 4x + 3$$

75.
$$f(x) = 4x^2 + x - 1$$

76.
$$h(t) = -4t^2 + 6t - 1$$

77.

$$f(x) = \frac{1}{2}x^2 + 3x + 1$$

78.
$$f(x) = -\frac{1}{3}x^2 - 2x + 3$$

For the following exercises, determine the domain and range of the quadratic function.

79.
$$f(x) = (x-3)^2 + 2$$

80.
$$f(x) = -2(x+3)^2 - 6$$

81.
$$f(x) = x^2 + 6x + 4$$

82.
$$f(x) = 2x^2 - 4x + 2$$

83.
$$k(x) = 3x^2 - 6x - 9$$

For the following exercises, solve the equations over the complex numbers.

84.
$$x^2 = -25$$

85.
$$x^2 = -8$$

86.
$$x^2 + 36 = 0$$

87.
$$x^2 + 27 = 0$$

88.
$$x^2 + 2x + 5 = 0$$

89.
$$x^2 - 4x + 5 = 0$$

90.
$$x^2 + 8x + 25 = 0$$

91.
$$x^2 - 4x + 13 = 0$$

92.
$$x^2 + 6x + 25 = 0$$

93.
$$x^2 - 10x + 26 = 0$$

94.
$$x^2 - 6x + 10 = 0$$

95.
$$x(x-4) = 20$$

96.
$$x(x-2) = 10$$

97.
$$2x^2 + 2x + 5 = 0$$

98.
$$5x^2 - 8x + 5 = 0$$

99.
$$5x^2 + 6x + 2 = 0$$

100.
$$2x^2 - 6x + 5 = 0$$

101.
$$x^2 + x + 2 = 0$$

102.
$$x^2 - 2x + 4 = 0$$

For the following exercises, use the vertex (h, k) and a point on the graph (x, y) to find the general form of the equation of the quadratic function.

103.
$$(h, k) = (2, 0), (x, y) = (4, 4)$$

104.
$$(h, k) = (-2, -1), (x, y) = (-4, 3)$$

105.
$$(h, k) = (0, 1), (x, y) = (2, 5)$$

106.
$$(h, k) = (2, 3), (x, y) = (5, 12)$$

107.
$$(h, k) = (-5, 3), (x, y) = (2, 9)$$

108.
$$(h, k) = (3, 2), (x, y) = (10, 1)$$

109.
$$(h, k) = (0, 1), (x, y) = (1, 0)$$

110.
$$(h, k) = (1, 0), (x, y) = (0, 1)$$

Graphical

For the following exercises, sketch a graph of the quadratic function and give the vertex, axis of symmetry, and intercepts.

111.
$$f(x) = x^2 - 2x$$

112.
$$f(x) = x^2 - 6x - 1$$

113.
$$f(x) = x^2 - 5x - 6$$

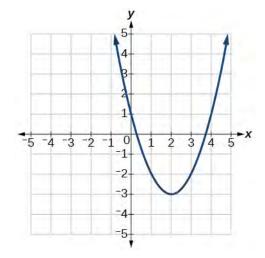
114.
$$f(x) = x^2 - 7x + 3$$

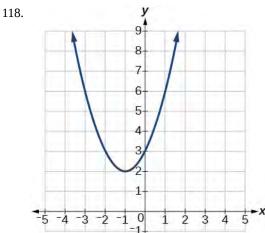
115.
$$f(x) = -2x^2 + 5x - 8$$

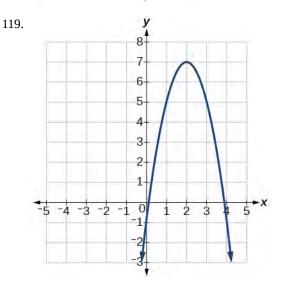
116.
$$f(x) = 4x^2 - 12x - 3$$

For the following exercises, write the equation for the graphed function.

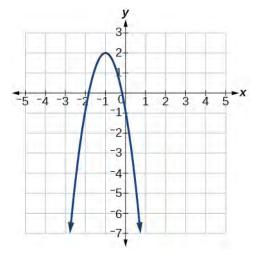


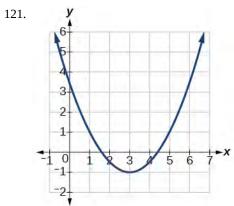


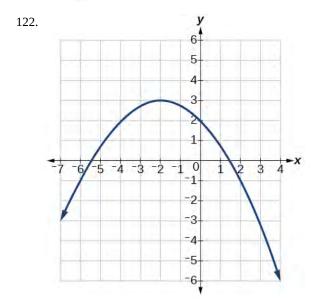




120.







Numeric

For the following exercises, use the table of values that represent points on the graph of a quadratic function. By determining the vertex and axis of symmetry, find the general form of the equation of the quadratic function.

123.

x	-2	-1	0	1	2
у	5	2	1	2	5

124.	x	-2	-1	0	1	2
	у	1	0	1	4	9

125.	x	-2	-1	0	1	2
	у	-2	1	2	1	-2

126.	x	-2	-1	0	1	2
	у	-8	-3	0	1	0

127.	x	-2	-1	0	1	2
	у	8	2	0	2	8

Technology

For the following exercises, use a calculator to find the answer.

128. Graph on the same set of axes the functions $f(x) = x^2$, $f(x) = 2x^2$, and $f(x) = \frac{1}{3}x^2$.

What appears to be the effect of changing the coefficient?

129. Graph on the same set of axes $f(x) = x^2$, $f(x) = x^2 + 2$ and $f(x) = x^2$, $f(x) = x^2 + 5$ and $f(x) = x^2 - 3$. What appears to be the effect of adding a constant?

130. Graph on the same set of axes
$$f(x) = x^2$$
, $f(x) = (x-2)^2$, $f(x-3)^2$, and $f(x) = (x+4)^2$.

What appears to be the effect of adding or subtracting those numbers?

131. The path of an object projected at a 45 degree angle with initial velocity of 80 feet per second is given by the function $h(x) = \frac{-32}{(80)^2}x^2 + x$ where x is the horizontal

distance traveled and h(x) is the height in feet. Use the TRACE feature of your calculator to determine the height of the object when it has traveled 100 feet away horizontally.

132. A suspension bridge can be modeled by the quadratic function $h(x) = .0001x^2$ with $-2000 \le x \le 2000$ where |x| is the number of feet from the center and h(x) is height in feet. Use the TRACE feature of your calculator to estimate how far from the center does the bridge have a height of 100 feet.

Extensions

For the following exercises, use the vertex of the graph of the quadratic function and the direction the graph opens to find the domain and range of the function.

- 133. Vertex (1, -2), opens up.
- 134. Vertex (-1, 2) opens down.
- 135. Vertex (-5, 11), opens down.
- 136. Vertex (-100, 100), opens up.

For the following exercises, write the equation of the quadratic function that contains the given point and has the same shape as the given function.

- 137. Contains (1, 1) and has shape of $f(x) = 2x^2$. Vertex is on the *y* axis.
- 138. Contains (-1, 4) and has the shape of $f(x) = 2x^2$. Vertex is on the *y* axis.
- 139. Contains (2, 3) and has the shape of $f(x) = 3x^2$. Vertex is on the *y*-axis.
- 140. Contains (1, -3) and has the shape of $f(x) = -x^2$. Vertex is on the *y*-axis.
- ^{141.} Contains (4, 3) and has the shape of $f(x) = 5x^2$. Vertex is on the *y* axis.

142.

Contains (1, -6) has the shape of $f(x) = 3x^2$. Vertex has x-coordinate of -1.

Real-World Applications

- 143. Find the dimensions of the rectangular corral producing the greatest enclosed area given 200 feet of fencing.
- 144. Find the dimensions of the rectangular corral split into 2 pens of the same size producing the greatest possible enclosed area given 300 feet of fencing.
- 145. Find the dimensions of the rectangular corral producing the greatest enclosed area split into 3 pens of the same size given 500 feet of fencing.
- 146. Among all of the pairs of numbers whose sum is 6, find the pair with the largest product. What is the product?
- 147. Among all of the pairs of numbers whose difference is 12, find the pair with the smallest product. What is the product?
- 148. Suppose that the price per unit in dollars of a cell phone production is modeled by p = \$45 0.0125x, where x is in thousands of phones produced, and the revenue represented by thousands of dollars is $R = x \cdot p$. Find the production level that will maximize revenue.
- 149. A rocket is launched in the air. Its height, in meters above sea level, as a function of time, in seconds, is given by $h(t) = -4.9t^2 + 229t + 234$. Find the maximum height the rocket attains.
- 150. A ball is thrown in the air from the top of a building. Its height, in meters above ground, as a function of time, in seconds, is given by $h(t) = -4.9t^2 + 24t + 8$. How long does it take to reach maximum height?
- 151. A soccer stadium holds 62,000 spectators. With a ticket price of \$11, the average attendance has been 26,000. When the price dropped to \$9, the average attendance rose to 31,000. Assuming that attendance is linearly related to ticket price, what ticket price would maximize revenue?
- 152. A farmer finds that if she plants 75 trees per acre, each tree will yield 20 bushels of fruit. She estimates that for each additional tree planted per acre, the yield of each tree will decrease by 3 bushels. How many trees should she plant per acre to maximize her harvest?

3.3 | Power Functions and Polynomial Functions

Learning Objectives

In this section, you will:

- **3.3.1** Identify power functions.
- **3.3.2** Identify end behavior of power functions.
- **3.3.3** Identify polynomial functions.
- **3.3.4** Identify the degree and leading coefficient of polynomial functions.



Figure 3.21 (credit: Jason Bay, Flickr)

Suppose a certain species of bird thrives on a small island. Its population over the last few years is shown in Table 3.2.

Year	2009	2010	2011	2012	2013
Bird Population	800	897	992	1, 083	1, 169

Table 3.2

The population can be estimated using the function $P(t) = -0.3t^3 + 97t + 800$, where P(t) represents the bird population on the island t years after 2009. We can use this model to estimate the maximum bird population and when it will occur. We can also use this model to predict when the bird population will disappear from the island. In this section, we will examine functions that we can use to estimate and predict these types of changes.

Identifying Power Functions

In order to better understand the bird problem, we need to understand a specific type of function. A **power function** is a function with a single term that is the product of a real number, a **coefficient**, and a variable raised to a fixed real number. (A number that multiplies a variable raised to an exponent is known as a coefficient.)

As an example, consider functions for area or volume. The function for the area of a circle with radius r is

$$A(r) = \pi r^2$$

and the function for the volume of a sphere with radius r is

$$V(r) = \frac{4}{3}\pi r^3$$

Both of these are examples of power functions because they consist of a coefficient, π or $\frac{4}{3}\pi$, multiplied by a variable r raised to a power.

Power Function

A **power function** is a function that can be represented in the form

$$f(x) = kx^p$$

where k and p are real numbers, and k is known as the **coefficient**.



Is $f(x) = 2^x$ a power function?

No. A power function contains a variable base raised to a fixed power. This function has a constant base raised to a variable power. This is called an exponential function, not a power function.

Example 3.21

Identifying Power Functions

Which of the following functions are power functions?

f(x) = 1 Constant function

f(x) = x Identify function

 $f(x) = x^2$ Quadratic function

 $f(x) = x^3$ Cubic function

 $f(x) = \frac{1}{x}$ Reciprocal function

 $f(x) = \frac{1}{x^2}$ Reciprocal squared function

 $f(x) = \sqrt{x}$ Square root function

 $f(x) = \sqrt[3]{x}$ Cube root function

Solution

All of the listed functions are power functions.

The constant and identity functions are power functions because they can be written as $f(x) = x^0$ and $f(x) = x^1$ respectively.

The quadratic and cubic functions are power functions with whole number powers $f(x) = x^2$ and $f(x) = x^3$.

The reciprocal and reciprocal squared functions are power functions with negative whole number powers because they can be written as $f(x) = x^{-1}$ and $f(x) = x^{-2}$.

The square and cube root functions are power functions with fractional powers because they can be written as $f(x) = x^{1/2}$ or $f(x) = x^{1/3}$.



3.13 Which functions are power functions?

$$f(x) = 2x^{2} \cdot 4x^{3}$$

$$g(x) = -x^{5} + 5x^{3} - 4x$$

$$h(x) = \frac{2x^{5} - 1}{3x^{2} + 4}$$

Identifying End Behavior of Power Functions

Figure 3.22 shows the graphs of $f(x) = x^2$, $g(x) = x^4$ and and $h(x) = x^6$, which are all power functions with even, whole-number powers. Notice that these graphs have similar shapes, very much like that of the quadratic function in the toolkit. However, as the power increases, the graphs flatten somewhat near the origin and become steeper away from the origin.

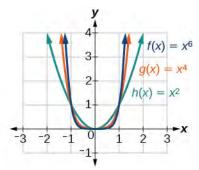


Figure 3.22 Even-power functions

To describe the behavior as numbers become larger and larger, we use the idea of infinity. We use the symbol ∞ for positive infinity and $-\infty$ for negative infinity. When we say that "x approaches infinity," which can be symbolically written as $x \to \infty$, we are describing a behavior; we are saying that x is increasing without bound.

With the even-power function, as the input increases or decreases without bound, the output values become very large, positive numbers. Equivalently, we could describe this behavior by saying that as x approaches positive or negative infinity, the f(x) values increase without bound. In symbolic form, we could write

as
$$x \to \pm \infty$$
, $f(x) \to \infty$

Figure 3.23 shows the graphs of $f(x) = x^3$, $g(x) = x^5$, and $h(x) = x^7$, which are all power functions with odd, wholenumber powers. Notice that these graphs look similar to the cubic function in the toolkit. Again, as the power increases, the graphs flatten near the origin and become steeper away from the origin.

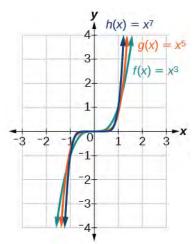


Figure 3.23 Odd-power function

These examples illustrate that functions of the form $f(x) = x^n$ reveal symmetry of one kind or another. First, in **Figure 3.22** we see that even functions of the form $f(x) = x^n$, n even, are symmetric about the y- axis. In **Figure 3.23** we see that odd functions of the form $f(x) = x^n$, n odd, are symmetric about the origin.

For these odd power functions, as x approaches negative infinity, f(x) decreases without bound. As x approaches positive infinity, f(x) increases without bound. In symbolic form we write

as
$$x \to -\infty$$
, $f(x) \to -\infty$
as $x \to \infty$, $f(x) \to \infty$

The behavior of the graph of a function as the input values get very small ($x \to -\infty$) and get very large ($x \to \infty$) is referred to as the **end behavior** of the function. We can use words or symbols to describe end behavior.

Figure 3.24 shows the end behavior of power functions in the form $f(x) = kx^n$ where n is a non-negative integer depending on the power and the constant.

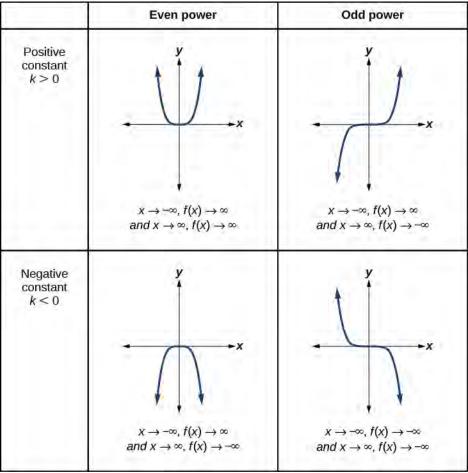


Figure 3.24



Given a power function $f(x) = kx^n$ where n is a non-negative integer, identify the end behavior.

- 1. Determine whether the power is even or odd.
- 2. Determine whether the constant is positive or negative.
- 3. Use **Figure 3.24** to identify the end behavior.

Example 3.22

Identifying the End Behavior of a Power Function

Describe the end behavior of the graph of $f(x) = x^8$.

Solution

The coefficient is 1 (positive) and the exponent of the power function is 8 (an even number). As x approaches infinity, the output (value of f(x)) increases without bound. We write as $x \to \infty$, $f(x) \to \infty$. As x approaches negative infinity, the output increases without bound. In symbolic form, as $x \to -\infty$, $f(x) \to \infty$. We can graphically represent the function as shown in **Figure 3.25**.

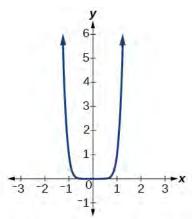


Figure 3.25

Example 3.23

Identifying the End Behavior of a Power Function.

Describe the end behavior of the graph of $f(x) = -x^9$.

Solution

The exponent of the power function is 9 (an odd number). Because the coefficient is -1 (negative), the graph is the reflection about the x- axis of the graph of $f(x) = x^9$. **Figure 3.26** shows that as x approaches infinity, the output decreases without bound. As x approaches negative infinity, the output increases without bound. In symbolic form, we would write

as
$$x \to -\infty$$
, $f(x) \to \infty$
as $x \to \infty$, $f(x) \to -\infty$

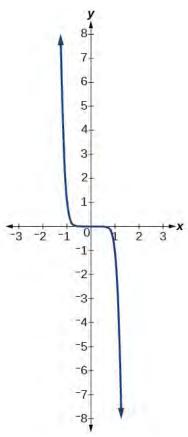


Figure 3.26

Analysis

We can check our work by using the table feature on a graphing utility.

x	f(x)
-10	1,000,000,000
– 5	1,953,125
0	0
5	-1,953,125
10	-1,000,000,000

Table 3.2

We can see from **Table 3.2** that, when we substitute very small values for x, the output is very large, and when we substitute very large values for x, the output is very small (meaning that it is a very large negative value).



.14 Describe in words and symbols the end behavior of $f(x) = -5x^4$.

Identifying Polynomial Functions

An oil pipeline bursts in the Gulf of Mexico, causing an oil slick in a roughly circular shape. The slick is currently 24 miles in radius, but that radius is increasing by 8 miles each week. We want to write a formula for the area covered by the oil slick by combining two functions. The radius r of the spill depends on the number of weeks w that have passed. This relationship is linear.

$$r(w) = 24 + 8w$$

We can combine this with the formula for the area A of a circle.

$$A(r) = \pi r^2$$

Composing these functions gives a formula for the area in terms of weeks.

$$A(w) = A(r(w))$$

$$= A(24 + 8w)$$

$$= \pi(24 + 8w)^{2}$$

Multiplying gives the formula.

$$A(w) = 576\pi + 384\pi w + 64\pi w^2$$

This formula is an example of a **polynomial function**. A polynomial function consists of either zero or the sum of a finite number of non-zero terms, each of which is a product of a number, called the coefficient of the term, and a variable raised to a non-negative integer power.

Polynomial Functions

Let n be a non-negative integer. A **polynomial function** is a function that can be written in the form

$$f(x) = a_n x^n + \dots + a_2 x^2 + a_1 x + a_0$$
(3.3)

This is called the general form of a polynomial function. Each a_i is a coefficient and can be any real number. Each product $a_i x^i$ is a **term of a polynomial function**.

Example 3.24

Identifying Polynomial Functions

Which of the following are polynomial functions?

$$f(x) = 2x^3 \cdot 3x + 4$$

$$g(x) = -x(x^2 - 4)$$

$$h(x) = 5\sqrt{x} + 2$$

Solution

The first two functions are examples of polynomial functions because they can be written in the form $f(x) = a_n x^n + ... + a_2 x^2 + a_1 x + a_0$, where the powers are non-negative integers and the coefficients are real numbers.

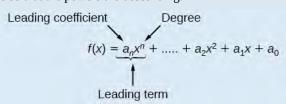
- f(x) can be written as $f(x) = 6x^4 + 4$.
- g(x) can be written as $g(x) = -x^3 + 4x$.
- h(x) cannot be written in this form and is therefore not a polynomial function.

Identifying the Degree and Leading Coefficient of a Polynomial Function

Because of the form of a polynomial function, we can see an infinite variety in the number of terms and the power of the variable. Although the order of the terms in the polynomial function is not important for performing operations, we typically arrange the terms in descending order of power, or in general form. The **degree** of the polynomial is the highest power of the variable that occurs in the polynomial; it is the power of the first variable if the function is in general form. The **leading term** is the term containing the highest power of the variable, or the term with the highest degree. The **leading coefficient** is the coefficient of the leading term.

Terminology of Polynomial Functions

We often rearrange polynomials so that the powers are descending.



When a polynomial is written in this way, we say that it is in general form.



Given a polynomial function, identify the degree and leading coefficient.

- 1. Find the highest power of x to determine the degree function.
- 2. Identify the term containing the highest power of x to find the leading term.
- 3. Identify the coefficient of the leading term.

Example 3.25

Identifying the Degree and Leading Coefficient of a Polynomial Function

Identify the degree, leading term, and leading coefficient of the following polynomial functions.

$$f(x) = 3 + 2x^{2} - 4x^{3}$$
$$g(t) = 5t^{5} - 2t^{3} + 7t$$
$$h(p) = 6p - p^{3} - 2$$

Solution

For the function f(x), the highest power of x is 3, so the degree is 3. The leading term is the term containing that degree, $-4x^3$. The leading coefficient is the coefficient of that term, -4.

For the function g(t), the highest power of t is 5, so the degree is 5. The leading term is the term containing that degree, $5t^5$. The leading coefficient is the coefficient of that term, 5.

For the function h(p), the highest power of p is 3, so the degree is 3. The leading term is the term containing that degree, $-p^3$; the leading coefficient is the coefficient of that term, -1.



3.15 Identify the degree, leading term, and leading coefficient of the polynomial $f(x) = 4x^2 - x^6 + 2x - 6$.

Identifying End Behavior of Polynomial Functions

Knowing the degree of a polynomial function is useful in helping us predict its end behavior. To determine its end behavior, look at the leading term of the polynomial function. Because the power of the leading term is the highest, that term will grow significantly faster than the other terms as x gets very large or very small, so its behavior will dominate the graph. For any polynomial, the end behavior of the polynomial will match the end behavior of the term of highest degree. See **Table 3.3**.

Polynomial Function	Leading Term	Graph of Polynomial Function
$f(x) = 5x^4 + 2x^3 - x - 4$	$5x^4$	5- 4- 3- 2- 1- 2- 1- 2- 1- 2- 3- 2- 3- 4- 3- 2- 3- 4- 3- 3- 3- 3- 3- 3- 3- 3- 3- 3- 3- 3- 3-
$f(x) = -2x^6 - x^5 + 3x^4 + x^3$	$-2x^{6}$	5- 4- 3- 2- 1- 1- 2- 1- 1- 2- 3- 1- 2- 3- 4- 5- 5- 5- 6- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1-

Table 3.3

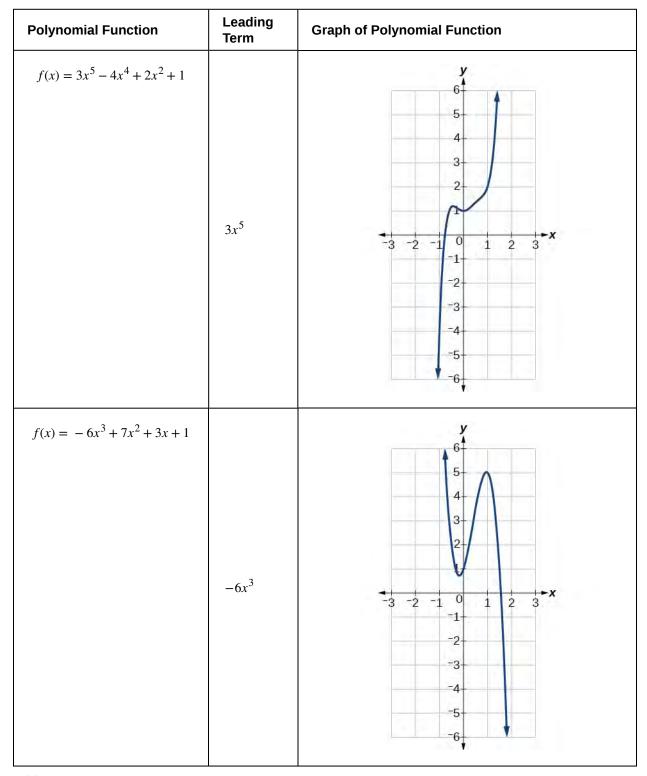


Table 3.3

Example 3.26

Identifying End Behavior and Degree of a Polynomial Function

Describe the end behavior and determine a possible degree of the polynomial function in Figure 3.27.

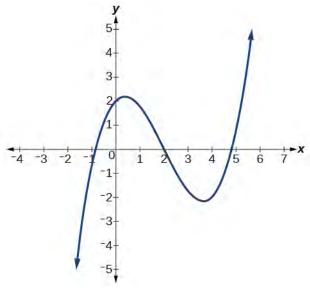


Figure 3.27

Solution

As the input values x get very large, the output values f(x) increase without bound. As the input values x get very small, the output values f(x) decrease without bound. We can describe the end behavior symbolically by writing

as
$$x \to -\infty$$
, $f(x) \to -\infty$
as $x \to \infty$, $f(x) \to \infty$

In words, we could say that as x values approach infinity, the function values approach infinity, and as x values approach negative infinity, the function values approach negative infinity.

We can tell this graph has the shape of an odd degree power function that has not been reflected, so the degree of the polynomial creating this graph must be odd and the leading coefficient must be positive.



3.16 Describe the end behavior, and determine a possible degree of the polynomial function in **Figure 3.28**.

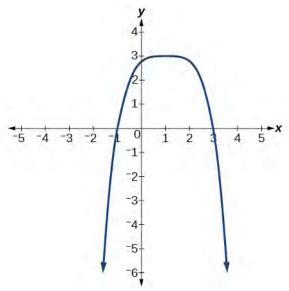


Figure 3.28

Example 3.27

Identifying End Behavior and Degree of a Polynomial Function

Given the function $f(x) = -3x^2(x-1)(x+4)$, express the function as a polynomial in general form, and determine the leading term, degree, and end behavior of the function.

Solution

Obtain the general form by expanding the given expression for f(x).

$$f(x) = -3x^{2}(x-1)(x+4)$$
$$= -3x^{2}(x^{2}+3x-4)$$
$$= -3x^{4}-9x^{3}+12x^{2}$$

The general form is $f(x) = -3x^4 - 9x^3 + 12x^2$. The leading term is $-3x^4$; therefore, the degree of the polynomial is 4. The degree is even (4) and the leading coefficient is negative (–3), so the end behavior is

as
$$x \to -\infty$$
, $f(x) \to -\infty$
as $x \to \infty$, $f(x) \to -\infty$



3.17 Given the function f(x) = 0.2(x-2)(x+1)(x-5), express the function as a polynomial in general form and determine the leading term, degree, and end behavior of the function.

Identifying Local Behavior of Polynomial Functions

In addition to the end behavior of polynomial functions, we are also interested in what happens in the "middle" of the function. In particular, we are interested in locations where graph behavior changes. A **turning point** is a point at which the function values change from increasing to decreasing or decreasing to increasing.

We are also interested in the intercepts. As with all functions, the y-intercept is the point at which the graph intersects the vertical axis. The point corresponds to the coordinate pair in which the input value is zero. Because a polynomial is a function, only one output value corresponds to each input value so there can be only one y-intercept $(0, a_0)$. The x-intercepts occur at the input values that correspond to an output value of zero. It is possible to have more than one x-intercept. See **Figure 3.29**.

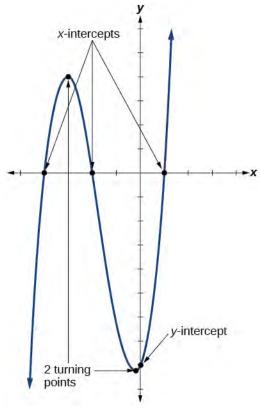


Figure 3.29

Intercepts and Turning Points of Polynomial Functions

A **turning point** of a graph is a point at which the graph changes direction from increasing to decreasing or decreasing to increasing. The *y*-intercept is the point at which the function has an input value of zero. The *x*- intercepts are the points at which the output value is zero.



Given a polynomial function, determine the intercepts.

- 1. Determine the *y*-intercept by setting x = 0 and finding the corresponding output value.
- 2. Determine the *x* intercepts by solving for the input values that yield an output value of zero.

Example 3.28

Determining the Intercepts of a Polynomial Function

Given the polynomial function f(x) = (x - 2)(x + 1)(x - 4), written in factored form for your convenience, determine the *y*- and *x*- intercepts.

Solution

The *y*-intercept occurs when the input is zero so substitute 0 for x.

$$f(0) = (0-2)(0+1)(0-4)$$
$$= (-2)(1)(-4)$$
$$= 8$$

The *y*-intercept is (0, 8).

The *x*-intercepts occur when the output is zero.

$$0 = (x-2)(x+1)(x-4)$$

$$x-2 = 0 \text{ or } x+1 = 0 \text{ or } x-4 = 0$$

$$x = 2 \text{ or } x = -1 \text{ or } x = 4$$

The *x*-intercepts are (2, 0), (-1, 0), and (4, 0).

We can see these intercepts on the graph of the function shown in **Figure 3.30**.

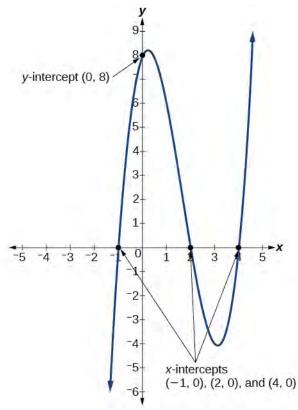


Figure 3.30

Example 3.29

Determining the Intercepts of a Polynomial Function with Factoring

Given the polynomial function $f(x) = x^4 - 4x^2 - 45$, determine the *y*- and *x*- intercepts.

Solution

The *y*-intercept occurs when the input is zero.

$$f(0) = (0)^4 - 4(0)^2 - 45$$
$$= -45$$

The *y*-intercept is (0, -45).

The *x*-intercepts occur when the output is zero. To determine when the output is zero, we will need to factor the polynomial.

$$f(x) = x^4 - 4x^2 - 45$$

$$= (x^2 - 9)(x^2 + 5)$$

$$= (x - 3)(x + 3)(x^2 + 5)$$

$$0 = (x - 3)(x + 3)(x^2 + 5)$$

$$x - 3 = 0 \text{ or } x + 3 = 0 \text{ or } x^2 + 5 = 0$$

$$x = 3 \text{ or } x = -3 \text{ or (no real solution)}$$

The *x*-intercepts are (3, 0) and (-3, 0).

We can see these intercepts on the graph of the function shown in **Figure 3.31**. We can see that the function is even because f(x) = f(-x).

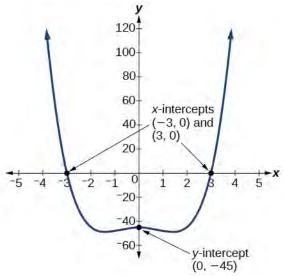


Figure 3.31



3.18 Given the polynomial function $f(x) = 2x^3 - 6x^2 - 20x$, determine the *y*- and *x*- intercepts.

Comparing Smooth and Continuous Graphs

The degree of a polynomial function helps us to determine the number of x- intercepts and the number of turning points. A polynomial function of nth degree is the product of n factors, so it will have at most n roots or zeros, or x- intercepts. The graph of the polynomial function of degree n must have at most n-1 turning points. This means the graph has at most one fewer turning point than the degree of the polynomial or one fewer than the number of factors.

A **continuous function** has no breaks in its graph: the graph can be drawn without lifting the pen from the paper. A **smooth curve** is a graph that has no sharp corners. The turning points of a smooth graph must always occur at rounded curves. The graphs of polynomial functions are both continuous and smooth.

Intercepts and Turning Points of Polynomials

A polynomial of degree n will have, at most, n x-intercepts and n-1 turning points.

Example 3.30

Determining the Number of Intercepts and Turning Points of a Polynomial

Without graphing the function, determine the local behavior of the function by finding the maximum number of x- intercepts and turning points for $f(x) = -3x^{10} + 4x^7 - x^4 + 2x^3$.

Solution

The polynomial has a degree of 10, so there are at most n x-intercepts and at most n-1 turning points.



3.19 Without graphing the function, determine the maximum number of *x*- intercepts and turning points for $f(x) = 108 - 13x^9 - 8x^4 + 14x^{12} + 2x^3$

Example 3.31

Drawing Conclusions about a Polynomial Function from the Graph

What can we conclude about the polynomial represented by the graph shown in **Figure 3.32** based on its intercepts and turning points?

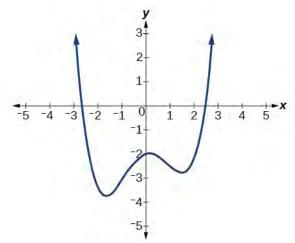


Figure 3.32

Solution

The end behavior of the graph tells us this is the graph of an even-degree polynomial. See **Figure 3.33**.

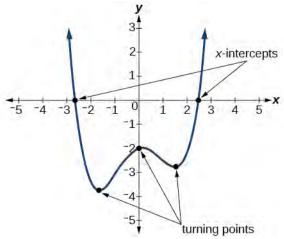


Figure 3.33

The graph has 2 *x*- intercepts, suggesting a degree of 2 or greater, and 3 turning points, suggesting a degree of 4 or greater. Based on this, it would be reasonable to conclude that the degree is even and at least 4.

Try It **3.20** What can we conclude about the polynomial represented by the graph shown in **Figure 3.34** based on its intercepts and turning points?

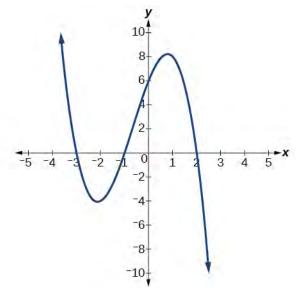


Figure 3.34

Example 3.32

Drawing Conclusions about a Polynomial Function from the Factors

Given the function f(x) = -4x(x+3)(x-4), determine the local behavior.

Solution

The *y*-intercept is found by evaluating f(0).

$$f(0) = -4(0)(0+3)(0-4)$$

= 0

The y- intercept is (0, 0).

The *x*- intercepts are found by determining the zeros of the function.

$$0 = -4x(x+3)(x-4)$$

 $x = 0$ or $x + 3 = 0$ or $x - 4 = 0$
 $x = 0$ or $x = -3$ or $x = 4$

The *x*-intercepts are (0, 0), (-3, 0), and (4, 0).

The degree is 3 so the graph has at most 2 turning points.



3.21 Given the function f(x) = 0.2(x-2)(x+1)(x-5), determine the local behavior.



Access these online resources for additional instruction and practice with power and polynomial functions.

- Find Key Information about a Given Polynomial Function (http://openstaxcollege.org/l/keyinfopoly)
- End Behavior of a Polynomial Function (http://openstaxcollege.org/l/endbehavior)
- Turning Points and x-intercepts of Polynomial Functions (http://openstaxcollege.org/l/turningpoints)
- Least Possible Degree of a Polynomial Function (http://openstaxcollege.org/l/leastposdegree)

3.3 EXERCISES

Verbal

153. Explain the difference between the coefficient of a power function and its degree.

154. If a polynomial function is in factored form, what would be a good first step in order to determine the degree of the function?

155. In general, explain the end behavior of a power function with odd degree if the leading coefficient is positive.

156. What is the relationship between the degree of a polynomial function and the maximum number of turning points in its graph?

157. What can we conclude if, in general, the graph of a polynomial function exhibits the following end behavior? As $x \to -\infty$, $f(x) \to -\infty$ and as

$$x \to \infty$$
, $f(x) \to -\infty$.

Algebraic

For the following exercises, identify the function as a power function, a polynomial function, or neither.

158.
$$f(x) = x^5$$

159.
$$f(x) = (x^2)^3$$

160.
$$f(x) = x - x^4$$

161.
$$f(x) = \frac{x^2}{x^2 - 1}$$

162.
$$f(x) = 2x(x+2)(x-1)^2$$

163.
$$f(x) = 3^{x+1}$$

For the following exercises, find the degree and leading coefficient for the given polynomial.

164.
$$-3x$$

165.
$$7 - 2x^2$$

166.
$$-2x^2 - 3x^5 + x - 6$$

167.
$$x(4-x^2)(2x+1)$$

168.
$$x^2(2x-3)^2$$

For the following exercises, determine the end behavior of the functions.

169.
$$f(x) = x^4$$

170.
$$f(x) = x^3$$

171.
$$f(x) = -x^4$$

172.
$$f(x) = -x^9$$

173.
$$f(x) = -2x^4 - 3x^2 + x - 1$$

174.
$$f(x) = 3x^2 + x - 2$$

175.
$$f(x) = x^2(2x^3 - x + 1)$$

176.
$$f(x) = (2-x)^7$$

For the following exercises, find the intercepts of the functions.

177.
$$f(t) = 2(t-1)(t+2)(t-3)$$

178.
$$g(n) = -2(3n-1)(2n+1)$$

179.
$$f(x) = x^4 - 16$$

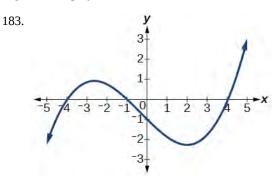
180.
$$f(x) = x^3 + 27$$

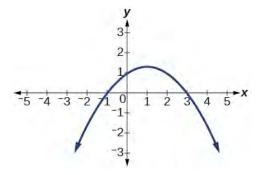
181.
$$f(x) = x(x^2 - 2x - 8)$$

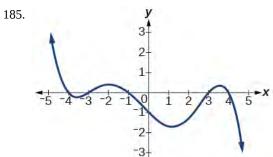
182.
$$f(x) = (x+3)(4x^2-1)$$

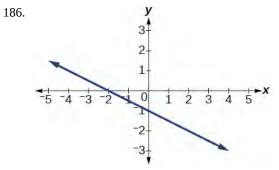
Graphical

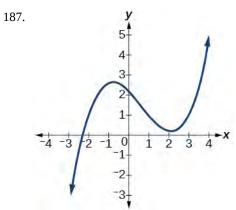
For the following exercises, determine the least possible degree of the polynomial function shown.



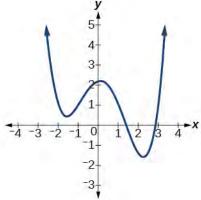


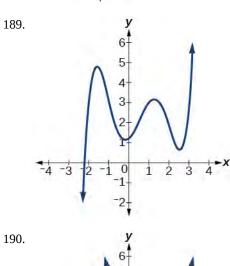


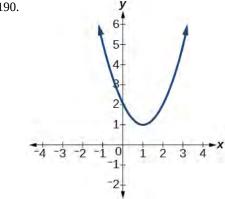




188.

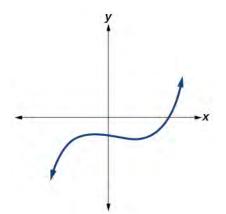




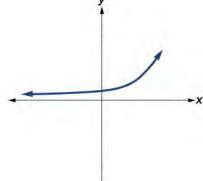


For the following exercises, determine whether the graph of the function provided is a graph of a polynomial function. If so, determine the number of turning points and the least possible degree for the function.

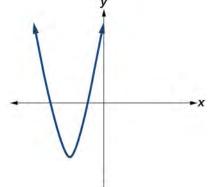
191.

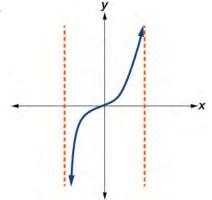


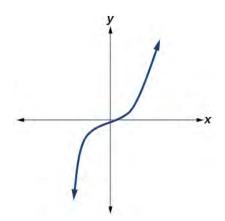




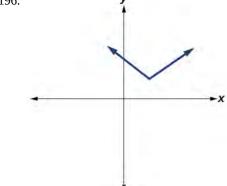
193.



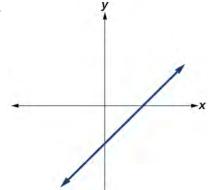




196.



197.



Numeric

For the following exercises, make a table to confirm the end behavior of the function.

198.
$$f(x) = -x^3$$

199.
$$f(x) = x^4 - 5x^2$$

200.
$$f(x) = x^2 (1 - x)^2$$

201.
$$f(x) = (x - 1)(x - 2)(3 - x)$$

202.
$$f(x) = \frac{x^5}{10} - x^4$$

Technology

For the following exercises, graph the polynomial functions using a calculator. Based on the graph, determine the intercepts and the end behavior.

203.
$$f(x) = x^3(x-2)$$

204.
$$f(x) = x(x-3)(x+3)$$

205.
$$f(x) = x(14 - 2x)(10 - 2x)$$

206.
$$f(x) = x(14 - 2x)(10 - 2x)^2$$

207.
$$f(x) = x^3 - 16x$$

208.
$$f(x) = x^3 - 27$$

209.
$$f(x) = x^4 - 81$$

210.
$$f(x) = -x^3 + x^2 + 2x$$

211.
$$f(x) = x^3 - 2x^2 - 15x$$

212.
$$f(x) = x^3 - 0.01x$$

Extensions

For the following exercises, use the information about the graph of a polynomial function to determine the function. Assume the leading coefficient is 1 or -1. There may be more than one correct answer.

213. The *y*-intercept is (0, -4). The *x*-intercepts are (-2, 0), (2, 0). Degree is 2.

End behavior as
$$x \to -\infty$$
, $f(x) \to \infty$, as $x \to \infty$, $f(x) \to \infty$.

214. The *y*-intercept is (0, 9). The *x*-intercepts are (-3, 0), (3, 0). Degree is 2.

End behavior: as
$$x \to -\infty$$
, $f(x) \to -\infty$, as $x \to \infty$, $f(x) \to -\infty$.

215. The y- intercept is (0, 0). The x- intercepts are (0, 0), (2, 0). Degree is 3.

End behavior: as
$$x \to -\infty$$
, $f(x) \to -\infty$, as $x \to \infty$, $f(x) \to \infty$.

216. The y- intercept is (0, 1). The x- intercept is (1, 0). Degree is 3.

End behavior as
$$x \to -\infty$$
, $f(x) \to \infty$, as $x \to \infty$, $f(x) \to -\infty$.

217. The *y*-intercept is (0, 1). There is no *x*-intercept. Degree is 4.

End behavior: as
$$x \to -\infty$$
, $f(x) \to \infty$, as $x \to \infty$, $f(x) \to \infty$.

Real-World Applications

For the following exercises, use the written statements to construct a polynomial function that represents the required information.

- 218. An oil slick is expanding as a circle. The radius of the circle is increasing at the rate of 20 meters per day. Express the area of the circle as a function of d, the number of days elapsed.
- 219. A cube has an edge of 3 feet. The edge is increasing at the rate of 2 feet per minute. Express the volume of the cube as a function of m, the number of minutes elapsed.
- 220. A rectangle has a length of 10 inches and a width of 6 inches. If the length is increased by x inches and the width increased by twice that amount, express the area of the rectangle as a function of x.
- 221. An open box is to be constructed by cutting out square corners of x- inch sides from a piece of cardboard 8 inches by 8 inches and then folding up the sides. Express the volume of the box as a function of x.
- 222. A rectangle is twice as long as it is wide. Squares of side 2 feet are cut out from each corner. Then the sides are folded up to make an open box. Express the volume of the box as a function of the width (x).

3.4 | Graphs of Polynomial Functions

Learning Objectives

In this section, you will:

- **3.4.1** Recognize characteristics of graphs of polynomial functions.
- **3.4.2** Use factoring to find zeros of polynomial functions.
- 3.4.3 Identify zeros and their multiplicities.
- 3.4.4 Determine end behavior.
- 3.4.5 Understand the relationship between degree and turning points.
- 3.4.6 Graph polynomial functions.
- **3.4.7** Use the Intermediate Value Theorem.

The revenue in millions of dollars for a fictional cable company from 2006 through 2013 is shown in **Table 3.4.**

Year	2006	2007	2008	2009	2010	2011	2012	2013
Revenues	52.4	52.8	51.2	49.5	48.6	48.6	48.7	47.1

Table 3.4

The revenue can be modeled by the polynomial function

$$R(t) = -0.037t^4 + 1.414t^3 - 19.777t^2 + 118.696t - 205.332$$

where R represents the revenue in millions of dollars and t represents the year, with t = 6 corresponding to 2006. Over which intervals is the revenue for the company increasing? Over which intervals is the revenue for the company decreasing? These questions, along with many others, can be answered by examining the graph of the polynomial function. We have already explored the local behavior of quadratics, a special case of polynomials. In this section we will explore the local behavior of polynomials in general.

Recognizing Characteristics of Graphs of Polynomial Functions

Polynomial functions of degree 2 or more have graphs that do not have sharp corners; recall that these types of graphs are called smooth curves. Polynomial functions also display graphs that have no breaks. Curves with no breaks are called continuous. **Figure 3.35** shows a graph that represents a polynomial function and a graph that represents a function that is not a polynomial.

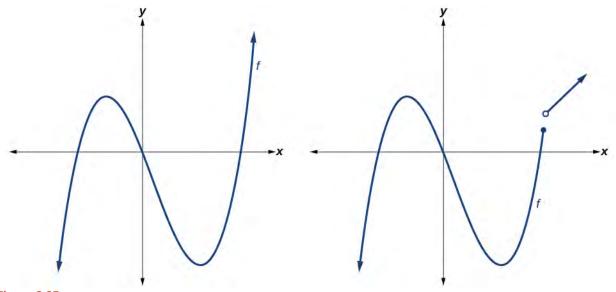


Figure 3.35

Example 3.33

Recognizing Polynomial Functions

Which of the graphs in **Figure 3.36** represents a polynomial function?

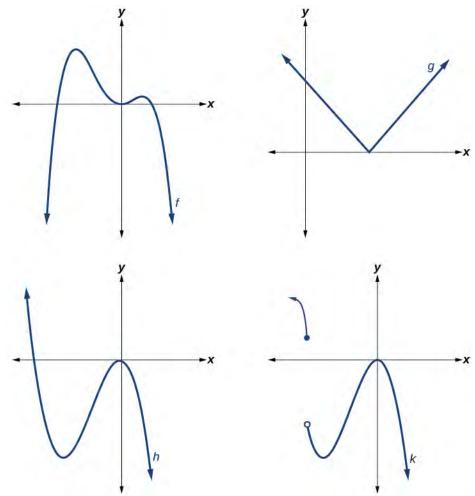


Figure 3.36

Solution

The graphs of f and h are graphs of polynomial functions. They are smooth and continuous.

The graphs of g and k are graphs of functions that are not polynomials. The graph of function g has a sharp corner. The graph of function k is not continuous.



Do all polynomial functions have as their domain all real numbers?

Yes. Any real number is a valid input for a polynomial function.

Using Factoring to Find Zeros of Polynomial Functions

Recall that if f is a polynomial function, the values of x for which f(x) = 0 are called zeros of f. If the equation of the polynomial function can be factored, we can set each factor equal to zero and solve for the zeros.

We can use this method to find x- intercepts because at the x- intercepts we find the input values when the output value is zero. For general polynomials, this can be a challenging prospect. While quadratics can be solved using the relatively simple quadratic formula, the corresponding formulas for cubic and fourth-degree polynomials are not simple enough to remember, and formulas do not exist for general higher-degree polynomials. Consequently, we will limit ourselves to three cases in this section:

- 1. The polynomial can be factored using known methods: greatest common factor and trinomial factoring.
- 2. The polynomial is given in factored form.
- 3. Technology is used to determine the intercepts.



Given a polynomial function f, find the x-intercepts by factoring.

- 1. Set f(x) = 0.
- 2. If the polynomial function is not given in factored form:
 - a. Factor out any common monomial factors.
 - b. Factor any factorable binomials or trinomials.
- 3. Set each factor equal to zero and solve to find the *x*-intercepts.

Example 3.34

Finding the x-Intercepts of a Polynomial Function by Factoring

Find the *x*-intercepts of $f(x) = x^6 - 3x^4 + 2x^2$.

Solution

We can attempt to factor this polynomial to find solutions for f(x) = 0.

$$x^6 - 3x^4 + 2x^2 = 0$$
 Factor out the greatest common factor.
 $x^2(x^4 - 3x^2 + 2) = 0$ Factor the trinomial.
 $x^2(x^2 - 1)(x^2 - 2) = 0$ Set each factor equal to zero.

$$(x^{2}-1) = 0$$
 $(x^{2}-2) = 0$
 $x^{2} = 0$ or $x^{2} = 1$ or $x^{2} = 2$
 $x = 0$ $x = \pm 1$ $x = \pm \sqrt{2}$

This gives us five x- intercepts: (0, 0), (1, 0), (-1, 0), $(\sqrt{2}, 0)$, and $(-\sqrt{2}, 0)$. See **Figure 3.37**. We can see that this is an even function.

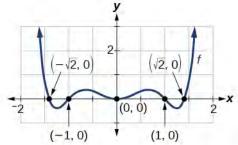


Figure 3.37

Example 3.35

Finding the x-Intercepts of a Polynomial Function by Factoring

Find the *x*-intercepts of $f(x) = x^3 - 5x^2 - x + 5$.

Solution

Find solutions for f(x) = 0 by factoring.

$$x^3 - 5x^2 - x + 5 = 0$$
 Factor by grouping.
 $x^2(x - 5) - (x - 5) = 0$ Factor out the common factor.
 $(x^2 - 1)(x - 5) = 0$ Factor the difference of squares.
 $(x + 1)(x - 1)(x - 5) = 0$ Set each factor equal to zero.
 $x + 1 = 0$ or $x - 1 = 0$ or $x - 5 = 0$
 $x = -1$ $x = 1$ $x = 5$

There are three x- intercepts: (-1, 0), (1, 0), and (5, 0). See **Figure 3.38**.

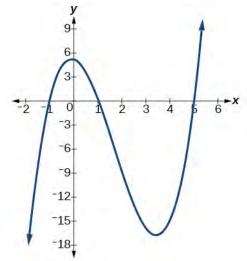


Figure 3.38

Example 3.36

Finding the y- and x-Intercepts of a Polynomial in Factored Form

Find the *y*- and *x*-intercepts of $g(x) = (x-2)^2(2x+3)$.

Solution

The *y*-intercept can be found by evaluating g(0).

$$g(0) = (0-2)^{2}(2(0) + 3)$$
$$= 12$$

So the *y*-intercept is (0, 12).

The *x*-intercepts can be found by solving g(x) = 0.

$$(x-2)^{2}(2x+3) = 0$$

$$(x-2)^{2} = 0 (2x+3) = 0$$

$$x-2 = 0 or x = -\frac{3}{2}$$

$$x = 2$$

So the *x*-intercepts are (2, 0) and $\left(-\frac{3}{2}, 0\right)$.

Analysis

We can always check that our answers are reasonable by using a graphing calculator to graph the polynomial as shown in **Figure 3.39**.

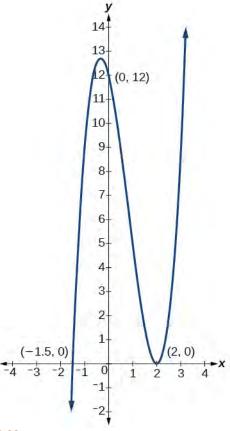


Figure 3.39

Example 3.37

Finding the x-Intercepts of a Polynomial Function Using a Graph

Find the *x*-intercepts of $h(x) = x^3 + 4x^2 + x - 6$.

Solution

This polynomial is not in factored form, has no common factors, and does not appear to be factorable using techniques previously discussed. Fortunately, we can use technology to find the intercepts. Keep in mind that some values make graphing difficult by hand. In these cases, we can take advantage of graphing utilities.

Looking at the graph of this function, as shown in **Figure 3.40**, it appears that there are *x*-intercepts at x = -3, -2, and 1.

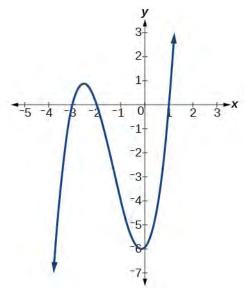


Figure 3.40

We can check whether these are correct by substituting these values for x and verifying that

$$h(-3) = h(-2) = h(1) = 0.$$

Since $h(x) = x^3 + 4x^2 + x - 6$, we have:

$$h(-3) = (-3)^3 + 4(-3)^2 + (-3) - 6 = -27 + 36 - 3 - 6 = 0$$

$$h(-2) = (-2)^3 + 4(-2)^2 + (-2) - 6 = -8 + 16 - 2 - 6 = 0$$

$$h(1) = (1)^3 + 4(1)^2 + (1) - 6 = 1 + 4 + 1 - 6 = 0$$

Each x- intercept corresponds to a zero of the polynomial function and each zero yields a factor, so we can now write the polynomial in factored form.

$$h(x) = x^3 + 4x^2 + x - 6$$

= (x + 3)(x + 2)(x - 1)



3.22 Find the *y*- and *x*-intercepts of the function $f(x) = x^4 - 19x^2 + 30x$.

Identifying Zeros and Their Multiplicities

Graphs behave differently at various *x*- intercepts. Sometimes, the graph will cross over the horizontal axis at an intercept. Other times, the graph will touch the horizontal axis and bounce off.

Suppose, for example, we graph the function

$$f(x) = (x+3)(x-2)^{2}(x+1)^{3}.$$

Notice in **Figure 3.41** that the behavior of the function at each of the *x*- intercepts is different.

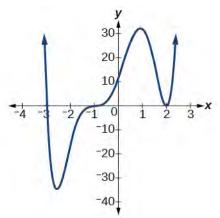


Figure 3.41 Identifying the behavior of the graph at an *x*-intercept by examining the multiplicity of the zero.

The x- intercept x = -3 is the solution of equation (x + 3) = 0. The graph passes directly through the x- intercept at x = -3. The factor is linear (has a degree of 1), so the behavior near the intercept is like that of a line—it passes directly through the intercept. We call this a single zero because the zero corresponds to a single factor of the function.

The x- intercept x = 2 is the repeated solution of equation $(x - 2)^2 = 0$. The graph touches the axis at the intercept and changes direction. The factor is quadratic (degree 2), so the behavior near the intercept is like that of a quadratic—it bounces off of the horizontal axis at the intercept.

$$(x-2)^2 = (x-2)(x-2)$$

The factor is repeated, that is, the factor (x - 2) appears twice. The number of times a given factor appears in the factored form of the equation of a polynomial is called the **multiplicity**. The zero associated with this factor, x = 2, has multiplicity 2 because the factor (x - 2) occurs twice.

The x-intercept x = -1 is the repeated solution of factor $(x + 1)^3 = 0$. The graph passes through the axis at the intercept, but flattens out a bit first. This factor is cubic (degree 3), so the behavior near the intercept is like that of a cubic—with the same S-shape near the intercept as the toolkit function $f(x) = x^3$. We call this a triple zero, or a zero with multiplicity 3.

For zeros with even multiplicities, the graphs *touch* or are tangent to the x- axis. For zeros with odd multiplicities, the graphs *cross* or intersect the x- axis. See **Figure 3.42** for examples of graphs of polynomial functions with multiplicity 1, 2, and 3.

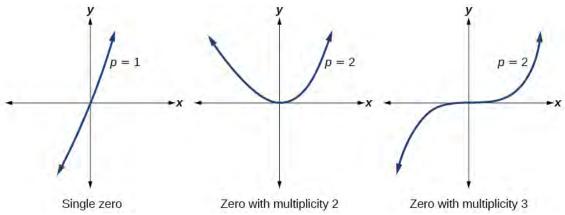


Figure 3.42

For higher even powers, such as 4, 6, and 8, the graph will still touch and bounce off of the horizontal axis but, for each increasing even power, the graph will appear flatter as it approaches and leaves the *x*- axis.

For higher odd powers, such as 5, 7, and 9, the graph will still cross through the horizontal axis, but for each increasing odd power, the graph will appear flatter as it approaches and leaves the *x*- axis.

Graphical Behavior of Polynomials at x- Intercepts

If a polynomial contains a factor of the form $(x - h)^p$, the behavior near the x-intercept h is determined by the power p. We say that x = h is a zero of **multiplicity** p.

The graph of a polynomial function will touch the x- axis at zeros with even multiplicities. The graph will cross the x-axis at zeros with odd multiplicities.

The sum of the multiplicities is the degree of the polynomial function.



Given a graph of a polynomial function of degree n, identify the zeros and their multiplicities.

- 1. If the graph crosses the *x*-axis and appears almost linear at the intercept, it is a single zero.
- 2. If the graph touches the *x*-axis and bounces off of the axis, it is a zero with even multiplicity.
- 3. If the graph crosses the *x*-axis at a zero, it is a zero with odd multiplicity.
- 4. The sum of the multiplicities is n.

Example 3.38

Identifying Zeros and Their Multiplicities

Use the graph of the function of degree 6 in **Figure 3.43** to identify the zeros of the function and their possible multiplicities.

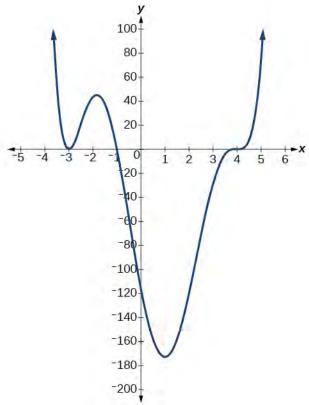


Figure 3.43

Solution

The polynomial function is of degree n. The sum of the multiplicities must be n.

Starting from the left, the first zero occurs at x = -3. The graph touches the *x*-axis, so the multiplicity of the zero must be even. The zero of -3 has multiplicity 2.

The next zero occurs at x = -1. The graph looks almost linear at this point. This is a single zero of multiplicity 1.

The last zero occurs at x = 4. The graph crosses the x-axis, so the multiplicity of the zero must be odd. We know that the multiplicity is likely 3 and that the sum of the multiplicities is likely 6.



3.23 Use the graph of the function of degree 5 in **Figure 3.44** to identify the zeros of the function and their multiplicities.

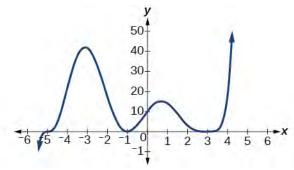


Figure 3.44

Determining End Behavior

As we have already learned, the behavior of a graph of a polynomial function of the form

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$$

will either ultimately rise or fall as x increases without bound and will either rise or fall as x decreases without bound. This is because for very large inputs, say 100 or 1,000, the leading term dominates the size of the output. The same is true for very small inputs, say -100 or -1,000.

Recall that we call this behavior the *end behavior* of a function. As we pointed out when discussing quadratic equations, when the leading term of a polynomial function, $a_n x^n$, is an even power function, as x increases or decreases without bound, f(x) increases without bound. When the leading term is an odd power function, as x decreases without bound, f(x) also decreases without bound; as x increases without bound, f(x) also increases without bound. If the leading term is negative, it will change the direction of the end behavior. **Figure 3.45** summarizes all four cases.

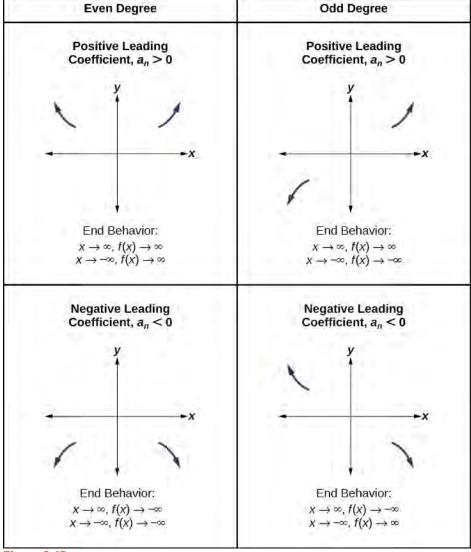


Figure 3.45

Understanding the Relationship between Degree and Turning Points

In addition to the end behavior, recall that we can analyze a polynomial function's local behavior. It may have a turning point where the graph changes from increasing to decreasing (rising to falling) or decreasing to increasing (falling to rising).

Look at the graph of the polynomial function $f(x) = x^4 - x^3 - 4x^2 + 4x$ in **Figure 3.46**. The graph has three turning points.

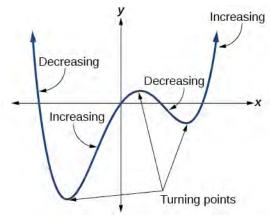


Figure 3.46

This function f is a 4th degree polynomial function and has 3 turning points. The maximum number of turning points of a polynomial function is always one less than the degree of the function.

Interpreting Turning Points

A turning point is a point of the graph where the graph changes from increasing to decreasing (rising to falling) or decreasing to increasing (falling to rising).

A polynomial of degree n will have at most n-1 turning points.

Example 3.39

Finding the Maximum Number of Turning Points Using the Degree of a Polynomial Function

Find the maximum number of turning points of each polynomial function.

a.
$$f(x) = -x^3 + 4x^5 - 3x^2 + +1$$

b.
$$f(x) = -(x-1)^2(1+2x^2)$$

Solution

a.
$$f(x) = -x + 4x^5 - 3x^2 + +1$$

First, rewrite the polynomial function in descending order: $f(x) = 4x^5 - x^3 - 3x^2 + x^3 + 1$

Identify the degree of the polynomial function. This polynomial function is of degree 5.

The maximum number of turning points is 5 - 1 = 4.

b.
$$f(x) = -(x-1)^2(1+2x^2)$$

First, identify the leading term of the polynomial function if the function were expanded.

$$f(x) = -(x-1)^2 (1 + 2x^2)$$

$$a_n = -(x^2) (2x^2) - 2x^4$$

Then, identify the degree of the polynomial function. This polynomial function is of degree 4.

The maximum number of turning points is 4 - 1 = 3.

Graphing Polynomial Functions

We can use what we have learned about multiplicities, end behavior, and turning points to sketch graphs of polynomial functions. Let us put this all together and look at the steps required to graph polynomial functions.



Given a polynomial function, sketch the graph.

- 1. Find the intercepts.
- 2. Check for symmetry. If the function is an even function, its graph is symmetrical about the y-axis, that is, f(-x) = f(x). If a function is an odd function, its graph is symmetrical about the origin, that is, f(-x) = -f(x).
- 3. Use the multiplicities of the zeros to determine the behavior of the polynomial at the *x*-intercepts.
- 4. Determine the end behavior by examining the leading term.
- 5. Use the end behavior and the behavior at the intercepts to sketch a graph.
- 6. Ensure that the number of turning points does not exceed one less than the degree of the polynomial.
- 7. Optionally, use technology to check the graph.

Example 3.40

Sketching the Graph of a Polynomial Function

Sketch a graph of $f(x) = -2(x+3)^2(x-5)$.

Solution

This graph has two x- intercepts. At x = -3, the factor is squared, indicating a multiplicity of 2. The graph will bounce at this x- intercept. At x = 5, the function has a multiplicity of one, indicating the graph will cross through the axis at this intercept.

The *y*-intercept is found by evaluating f(0).

$$f(0) = -2(0+3)^{2}(0-5)$$

= -2 \cdot 9 \cdot (-5)
= 90

The y- intercept is (0, 90).

Additionally, we can see the leading term, if this polynomial were multiplied out, would be $-2x^3$, so the end behavior is that of a vertically reflected cubic, with the outputs decreasing as the inputs approach infinity, and the outputs increasing as the inputs approach negative infinity. See **Figure 3.47**.

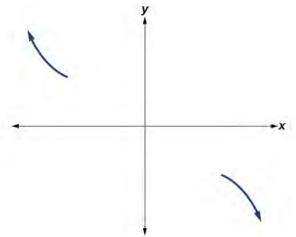


Figure 3.47

To sketch this, we consider that:

- As $x \to -\infty$ the function $f(x) \to \infty$, so we know the graph starts in the second quadrant and is decreasing toward the *x* axis.
- Since $f(-x) = -2(-x+3)^2(-x-5)$ is not equal to f(x), the graph does not display symmetry.
- At (-3, 0), the graph bounces off of the x- axis, so the function must start increasing. At (0, 90), the graph crosses the y- axis at the y- intercept. See **Figure 3.48**.

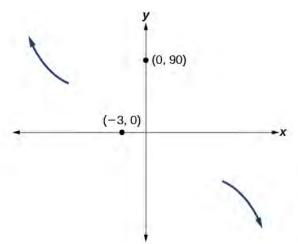
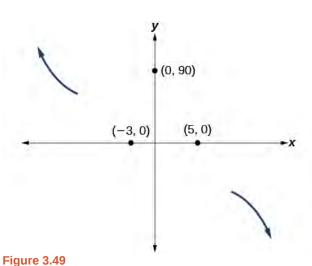


Figure 3.48

Somewhere after this point, the graph must turn back down or start decreasing toward the horizontal axis because the graph passes through the next intercept at (5, 0). See **Figure 3.49**.



As $x \to \infty$ the function $f(x) \to -\infty$, so we know the graph continues to decrease, and we can stop drawing the graph in the fourth quadrant.

Using technology, we can create the graph for the polynomial function, shown in **Figure 3.50**, and verify that the resulting graph looks like our sketch in **Figure 3.49**.

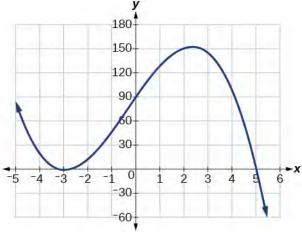


Figure 3.50 The complete graph of the polynomial function $f(x) = -2(x+3)^2(x-5)$



3.24 Sketch a graph of $f(x) = \frac{1}{4}x(x-1)^4(x+3)^3$.

Using the Intermediate Value Theorem

In some situations, we may know two points on a graph but not the zeros. If those two points are on opposite sides of the *x*-axis, we can confirm that there is a zero between them. Consider a polynomial function f whose graph is smooth and continuous. The **Intermediate Value Theorem** states that for two numbers a and b in the domain of f, if a < b and $f(a) \neq f(b)$, then the function f takes on every value between f(a) and f(b). We can apply this theorem to a special

case that is useful in graphing polynomial functions. If a point on the graph of a continuous function f at x = a lies above the x- axis and another point at x = b lies below the x- axis, there must exist a third point between x = a and x = b where the graph crosses the x- axis. Call this point (c, f(c)). This means that we are assured there is a solution c where f(c) = 0.

In other words, the Intermediate Value Theorem tells us that when a polynomial function changes from a negative value to a positive value, the function must cross the x- axis. **Figure 3.51** shows that there is a zero between a and b.

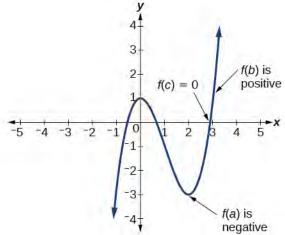


Figure 3.51 Using the Intermediate Value Theorem to show there exists a zero.

Intermediate Value Theorem

Let f be a polynomial function. The **Intermediate Value Theorem** states that if f(a) and f(b) have opposite signs, then there exists at least one value c between a and b for which f(c) = 0.

Example 3.41

Using the Intermediate Value Theorem

Show that the function $f(x) = x^3 - 5x^2 + 3x + 6$ has at least two real zeros between x = 1 and x = 4.

Solution

As a start, evaluate f(x) at the integer values x = 1, 2, 3, and 4. See **Table 3.5**.

x	1	2	3	4
f(x)	5	0	-3	2

Table 3.5

We see that one zero occurs at x = 2. Also, since f(3) is negative and f(4) is positive, by the Intermediate Value Theorem, there must be at least one real zero between 3 and 4.

We have shown that there are at least two real zeros between x = 1 and x = 4.

Analysis

We can also see on the graph of the function in **Figure 3.52** that there are two real zeros between x = 1 and x = 4.

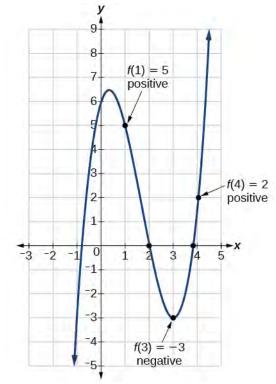


Figure 3.52



3.25 Show that the function $f(x) = 7x^5 - 9x^4 - x^2$ has at least one real zero between x = 1 and x = 2.

Writing Formulas for Polynomial Functions

Now that we know how to find zeros of polynomial functions, we can use them to write formulas based on graphs. Because a polynomial function written in factored form will have an x- intercept where each factor is equal to zero, we can form a function that will pass through a set of x- intercepts by introducing a corresponding set of factors.

Factored Form of Polynomials

If a polynomial of lowest degree p has horizontal intercepts at $x = x_1, x_2, \ldots, x_n$, then the polynomial can be written in the factored form: $f(x) = a(x-x_1)^{p_1}(x-x_2)^{p_2}\cdots(x-x_n)^{p_n}$ where the powers p_i on each factor can be determined by the behavior of the graph at the corresponding intercept, and the stretch factor a can be determined given a value of the function other than the x-intercept.



Given a graph of a polynomial function, write a formula for the function.

- 1. Identify the *x*-intercepts of the graph to find the factors of the polynomial.
- 2. Examine the behavior of the graph at the *x*-intercepts to determine the multiplicity of each factor.
- 3. Find the polynomial of least degree containing all the factors found in the previous step.
- 4. Use any other point on the graph (the *y*-intercept may be easiest) to determine the stretch factor.

Example 3.42

Writing a Formula for a Polynomial Function from the Graph

Write a formula for the polynomial function shown in Figure 3.53.

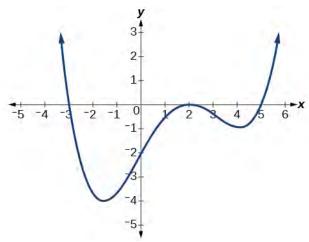


Figure 3.53

Solution

This graph has three x- intercepts: x = -3, 2, and 5. The y- intercept is located at (0, 2). At x = -3 and x = 5, the graph passes through the axis linearly, suggesting the corresponding factors of the polynomial will be linear. At x = 2, the graph bounces at the intercept, suggesting the corresponding factor of the polynomial will be second degree (quadratic). Together, this gives us

$$f(x) = a(x+3)(x-2)^{2}(x-5)$$

To determine the stretch factor, we utilize another point on the graph. We will use the y- intercept (0, -2), to solve for a.

$$f(0) = a(0+3)(0-2)^{2}(0-5)$$

$$-2 = a(0+3)(0-2)^{2}(0-5)$$

$$-2 = -60a$$

$$a = \frac{1}{30}$$

The graphed polynomial appears to represent the function $f(x) = \frac{1}{30}(x+3)(x-2)^2(x-5)$.



3.26 Given the graph shown in **Figure 3.54**, write a formula for the function shown.

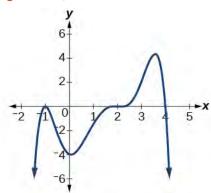


Figure 3.54

Using Local and Global Extrema

With quadratics, we were able to algebraically find the maximum or minimum value of the function by finding the vertex. For general polynomials, finding these turning points is not possible without more advanced techniques from calculus. Even then, finding where extrema occur can still be algebraically challenging. For now, we will estimate the locations of turning points using technology to generate a graph.

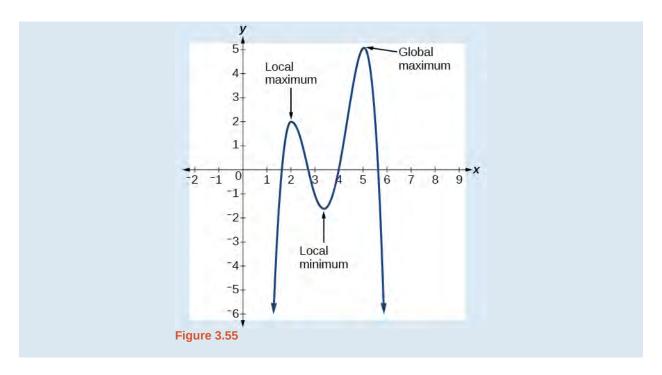
Each turning point represents a local minimum or maximum. Sometimes, a turning point is the highest or lowest point on the entire graph. In these cases, we say that the turning point is a **global maximum** or a **global minimum**. These are also referred to as the absolute maximum and absolute minimum values of the function.

Local and Global Extrema

A local maximum or local minimum at x = a (sometimes called the relative maximum or minimum, respectively) is the output at the highest or lowest point on the graph in an open interval around x = a. If a function has a local maximum at a, then $f(a) \ge f(x)$ for all x in an open interval around x = a. If a function has a local minimum at a, then $f(a) \le f(x)$ for all x in an open interval around x = a.

A **global maximum** or **global minimum** is the output at the highest or lowest point of the function. If a function has a global maximum at a, then $f(a) \ge f(x)$ for all x. If a function has a global minimum at a, then $f(a) \le f(x)$ for all x.

We can see the difference between local and global extrema in Figure 3.55.





Do all polynomial functions have a global minimum or maximum?

No. Only polynomial functions of even degree have a global minimum or maximum. For example, f(x) = x has neither a global maximum nor a global minimum.

Example 3.43

Using Local Extrema to Solve Applications

An open-top box is to be constructed by cutting out squares from each corner of a 14 cm by 20 cm sheet of plastic then folding up the sides. Find the size of squares that should be cut out to maximize the volume enclosed by the box.

Solution

We will start this problem by drawing a picture like that in **Figure 3.56**, labeling the width of the cut-out squares with a variable, w.

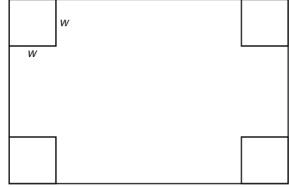
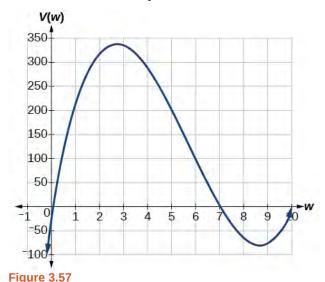


Figure 3.56

Notice that after a square is cut out from each end, it leaves a (14 - 2w) cm by (20 - 2w) cm rectangle for the base of the box, and the box will be w cm tall. This gives the volume

$$V(w) = (20 - 2w)(14 - 2w)w$$
$$= 280w - 68w^{2} + 4w^{3}$$

Notice, since the factors are w, 20 - 2w and 14 - 2w, the three zeros are 10, 7, and 0, respectively. Because a height of 0 cm is not reasonable, we consider the only the zeros 10 and 7. The shortest side is 14 and we are cutting off two squares, so values w may take on are greater than zero or less than 7. This means we will restrict the domain of this function to 0 < w < 7. Using technology to sketch the graph of V(w) on this reasonable domain, we get a graph like that in **Figure 3.57**. We can use this graph to estimate the maximum value for the volume, restricted to values for w that are reasonable for this problem—values from 0 to 7.



window to zoom in on our graph to produce Figure 3.58.

From this graph, we turn our focus to only the portion on the reasonable domain, [0, 7]. We can estimate the maximum value to be around 340 cubic cm, which occurs when the squares are about 2.75 cm on each side. To improve this estimate, we could use advanced features of our technology, if available, or simply change our

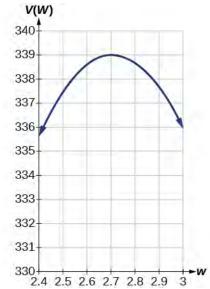


Figure 3.58

From this zoomed-in view, we can refine our estimate for the maximum volume to about 339 cubic cm, when the squares measure approximately 2.7 cm on each side.



3.27 Use technology to find the maximum and minimum values on the interval [-1, 4] of the function $f(x) = -0.2(x-2)^3(x+1)^2(x-4)$.



Access the following online resource for additional instruction and practice with graphing polynomial functions.

• Intermediate Value Theorem (http://openstaxcollege.org/l/ivt)

3.4 EXERCISES

Verbal

223. What is the difference between an x- intercept and a zero of a polynomial function f?

224. If a polynomial function of degree n has n distinct zeros, what do you know about the graph of the function?

225. Explain how the Intermediate Value Theorem can assist us in finding a zero of a function.

226. Explain how the factored form of the polynomial helps us in graphing it.

227. If the graph of a polynomial just touches the x- axis and then changes direction, what can we conclude about the factored form of the polynomial?

Algebraic

For the following exercises, find the *x*- or *t*-intercepts of the polynomial functions.

228.
$$C(t) = 2(t-4)(t+1)(t-6)$$

229.
$$C(t) = 3(t+2)(t-3)(t+5)$$

230.
$$C(t) = 4t(t-2)^2(t+1)$$

231.
$$C(t) = 2t(t-3)(t+1)^2$$

232.
$$C(t) = 2t^4 - 8t^3 + 6t^2$$

233.
$$C(t) = 4t^4 + 12t^3 - 40t^2$$

234.
$$f(x) = x^4 - x^2$$

235.
$$f(x) = x^3 + x^2 - 20x$$

236.
$$f(x) = x^3 + 6x^2 - 7x$$

237.
$$f(x) = x^3 + x^2 - 4x - 4$$

238.
$$f(x) = x^3 + 2x^2 - 9x - 18$$

239.
$$f(x) = 2x^3 - x^2 - 8x + 4$$

240.
$$f(x) = x^6 - 7x^3 - 8$$

241.
$$f(x) = 2x^4 + 6x^2 - 8$$

242.

$$f(x) = x^3 - 3x^2 - x + 3$$

243.
$$f(x) = x^6 - 2x^4 - 3x^2$$

244.
$$f(x) = x^6 - 3x^4 - 4x^2$$

245.
$$f(x) = x^5 - 5x^3 + 4x$$

For the following exercises, use the Intermediate Value Theorem to confirm that the given polynomial has at least one zero within the given interval.

246.
$$f(x) = x^3 - 9x$$
, between $x = -4$ and $x = -2$.

247.
$$f(x) = x^3 - 9x$$
, between $x = 2$ and $x = 4$.

248.
$$f(x) = x^5 - 2x$$
, between $x = 1$ and $x = 2$.

249.
$$f(x) = -x^4 + 4$$
, between $x = 1$ and $x = 3$.

250.
$$f(x) = -2x^3 - x$$
, between $x = -1$ and $x = 1$.

251.
$$f(x) = x^3 - 100x + 2$$
, between $x = 0.01$ and $x = 0.1$

For the following exercises, find the zeros and give the multiplicity of each.

252.
$$f(x) = (x+2)^3(x-3)^2$$

253.
$$f(x) = x^2(2x+3)^5(x-4)^2$$

254.
$$f(x) = x^3(x-1)^3(x+2)$$

255.
$$f(x) = x^2(x^2 + 4x + 4)$$

256.
$$f(x) = (2x+1)^3(9x^2-6x+1)$$

257.
$$f(x) = (3x+2)^5(x^2-10x+25)$$

258.
$$f(x) = x(4x^2 - 12x + 9)(x^2 + 8x + 16)$$

259.
$$f(x) = x^6 - x^5 - 2x^4$$

260.
$$f(x) = 3x^4 + 6x^3 + 3x^2$$

261.
$$f(x) = 4x^5 - 12x^4 + 9x^3$$

262.
$$f(x) = 2x^4(x^3 - 4x^2 + 4x)$$

263.
$$f(x) = 4x^4(9x^4 - 12x^3 + 4x^2)$$

Graphical

For the following exercises, graph the polynomial functions. Note *x*- and *y*- intercepts, multiplicity, and end behavior.

264.
$$f(x) = (x+3)^2(x-2)$$

265.
$$g(x) = (x+4)(x-1)^2$$

266.
$$h(x) = (x-1)^3 (x+3)^2$$

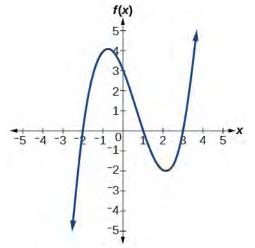
267.
$$k(x) = (x-3)^3 (x-2)^2$$

268.
$$m(x) = -2x(x-1)(x+3)$$

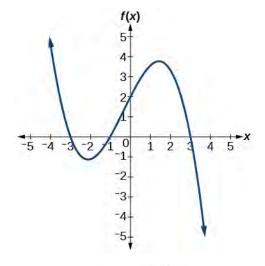
269.
$$n(x) = -3x(x+2)(x-4)$$

For the following exercises, use the graphs to write the formula for a polynomial function of least degree.

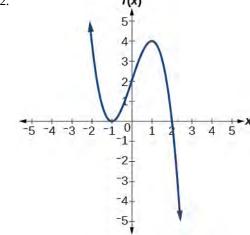
270.



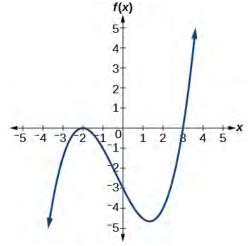
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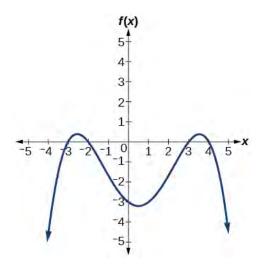
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273.

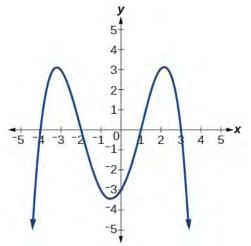


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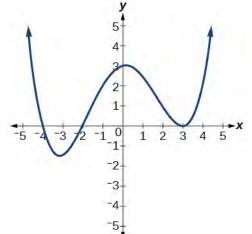


For the following exercises, use the graph to identify zeros and multiplicity.

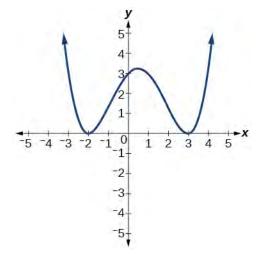
275.



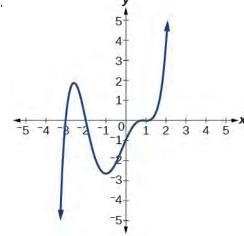
276.



277.



278.



For the following exercises, use the given information about the polynomial graph to write the equation.

279. Degree 3. Zeros at x = -2, x = 1, and x = 3. *y*-intercept at (0, -4).

280. Degree 3. Zeros at x = -5, x = -2, and x = 1. *y*-intercept at (0, 6)

281. Degree 5. Roots of multiplicity 2 at x = 3 and x = 1, and a root of multiplicity 1 at x = -3. *y*-intercept at (0, 9)

282. Degree 4. Root of multiplicity 2 at x = 4, and a roots of multiplicity 1 at x = 1 and x = -2. *y*-intercept at (0, -3).

283. Degree 5. Double zero at x = 1, and triple zero at x = 3. Passes through the point (2, 15).

284. Degree 3. Zeros at x = 4, x = 3, and x = 2. *y*-intercept at (0, -24).

285. Degree 3. Zeros at x = -3, x = -2 and x = 1. *y*-intercept at (0, 12).

286. Degree 5. Roots of multiplicity 2 at x = -3 and x = 2 and a root of multiplicity 1 at x = -2. *y*-intercept at (0, 4).

287. Degree 4. Roots of multiplicity 2 at $x = \frac{1}{2}$ and roots of multiplicity 1 at x = 6 and x = -2. *y*-intercept at (0,18).

288. Double zero at x = -3 and triple zero at x = 0. Passes through the point (1, 32).

Technology

For the following exercises, use a calculator to approximate local minima and maxima or the global minimum and maximum.

289.
$$f(x) = x^3 - x - 1$$

290.
$$f(x) = 2x^3 - 3x - 1$$

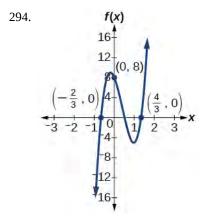
291.
$$f(x) = x^4 + x$$

292.
$$f(x) = -x^4 + 3x - 2$$

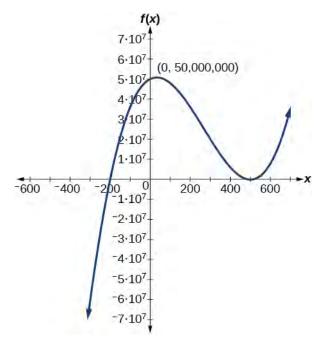
293.
$$f(x) = x^4 - x^3 + 1$$

Extensions

For the following exercises, use the graphs to write a polynomial function of least degree.



295.



2·10⁵

1·10⁵

(-300, 0)

-400

-200

-1·10⁵

(0, -90,000)

-2·10⁵

-4·10⁵

Real-World Applications

For the following exercises, write the polynomial function that models the given situation.

297. A rectangle has a length of 10 units and a width of 8 units. Squares of x by x units are cut out of each corner, and then the sides are folded up to create an open box. Express the volume of the box as a polynomial function in terms of x.

298. Consider the same rectangle of the preceding problem. Squares of 2x by 2x units are cut out of each corner. Express the volume of the box as a polynomial in terms of x.

299.

A square has sides of 12 units. Squares x + 1 by x + 1 units are cut out of each corner, and then the sides are folded up to create an open box. Express the volume of the box as a function in terms of x.

300. A cylinder has a radius of x + 2 units and a height of 3 units greater. Express the volume of the cylinder as a polynomial function.

301. A right circular cone has a radius of 3x+6 and a height 3 units less. Express the volume of the cone as a polynomial function. The volume of a cone is $V=\frac{1}{3}\pi r^2h$ for radius r and height h.

3.5 | Dividing Polynomials

Learning Objectives

In this section, you will:

- **3.5.1** Use long division to divide polynomials.
- **3.5.2** Use synthetic division to divide polynomials.



Figure 3.59 Lincoln Memorial, Washington, D.C. (credit: Ron Cogswell, Flickr)

The exterior of the Lincoln Memorial in Washington, D.C., is a large rectangular solid with length 61.5 meters (m), width 40 m, and height 30 m. [1] We can easily find the volume using elementary geometry.

$$V = l \cdot w \cdot h$$
$$= 61.5 \cdot 40 \cdot 30$$
$$= 73,800$$

So the volume is 73,800 cubic meters (m 3). Suppose we knew the volume, length, and width. We could divide to find the height.

$$h = \frac{V}{l \cdot w}$$
$$= \frac{73,800}{61.5 \cdot 40}$$
$$= 30$$

As we can confirm from the dimensions above, the height is 30 m. We can use similar methods to find any of the missing dimensions. We can also use the same method if any or all of the measurements contain variable expressions. For example, suppose the volume of a rectangular solid is given by the polynomial $3x^4 - 3x^3 - 33x^2 + 54x$. The length of the solid is given by 3x; the width is given by x - 2. To find the height of the solid, we can use polynomial division, which is the focus of this section.

Using Long Division to Divide Polynomials

We are familiar with the long division algorithm for ordinary arithmetic. We begin by dividing into the digits of the dividend that have the greatest place value. We divide, multiply, subtract, include the digit in the next place value position, and repeat. For example, let's divide 178 by 3 using long division.

^{1.} National Park Service. "Lincoln Memorial Building Statistics." http://www.nps.gov/linc/historyculture/lincoln-memorial-building-statistics.htm. Accessed 4/3/2014

Long Division

Another way to look at the solution is as a sum of parts. This should look familiar, since it is the same method used to check division in elementary arithmetic.

dividend = (divisor
$$\cdot$$
 quotient) + remainder
 $178 = (3 \cdot 59) + 1$
= $177 + 1$
= 178

We call this the **Division Algorithm** and will discuss it more formally after looking at an example.

Division of polynomials that contain more than one term has similarities to long division of whole numbers. We can write a polynomial dividend as the product of the divisor and the quotient added to the remainder. The terms of the polynomial division correspond to the digits (and place values) of the whole number division. This method allows us to divide two polynomials. For example, if we were to divide $2x^3 - 3x^2 + 4x + 5$ by x + 2 using the long division algorithm, it would

$$\begin{array}{lll} x + 2 & \sqrt{2x^3 - 3x^2 + 4x + 5} \\ & 2x^2 \\ x + 2 & \sqrt{2x^3 - 3x^2 + 4x + 5} \\ & 2x^2 \\ x + 2 & \sqrt{2x^3 - 3x^2 + 4x + 5} \\ & -(2x^3 + 4x^2) \\ & -7x^2 + 4x \\ & 2x^2 - 7x \\ x + 2 & \sqrt{2x^3 - 3x^2 + 4x + 5} \\ & -(2x^3 + 4x^2) \\ & -7x^2 + 4x \\ & -(-7x^2 + 14x) \\ & 18x + 5 \\ & 2x^2 - 7x + 18 \\ x + 2 & \sqrt{2x^3 - 3x^2 + 4x + 5} \\ & -(2x^3 + 4x^2) \\ & -7x^2 + 4x \\ & -(-7x^2 + 14x) \\ & 18x + 5 \\ & -(2x^3 + 4x^2) \\ & -7x^2 + 4x \\ & -(-7x^2 + 14x) \\ & 18x + 5 \\ & -(2x^3 + 4x^2) \\ & -7x^2 + 4x \\ & -(-7x^2 + 14x) \\ & 18x + 5 \\ & -(2x^3 + 4x^2) \\ & -7x^2 + 4x \\ & -(-7x^2 + 14x) \\ & 18x + 5 \\ & -(2x^3 + 4x^2) \\ & -7x^2 + 4x \\ & -(-7x^2 + 14x) \\ & 18x + 5 \\ & -(2x^3 + 4x^2) \\ & -7x^2 + 4x \\ & -(-7x^2 + 14x) \\ & 18x + 5 \\ & -(2x^3 + 4x^2) \\ & -7x^2 + 4x \\ & -(-7x^2 + 14x) \\ & 18x + 5 \\ & -(2x^3 + 4x^2) \\ & -(2x^3$$

look like this:

We have found

$$\frac{2x^3 - 3x^2 + 4x + 5}{x + 2} = 2x^2 - 7x + 18 - \frac{31}{x + 2}$$

or

$$2x^3 - 3x^2 + 4x + 5 = (x + 2)(2x^2 - 7x + 18) - 31$$

We can identify the dividend, the divisor, the quotient, and the remainder.

$$2x^3 - 3x^2 + 4x + 5 = (x + 2)(2x^2 - 7x + 18) + (-31)$$
Dividend
Divisor Quotient Remainder

Writing the result in this manner illustrates the Division Algorithm.

The Division Algorithm

The **Division Algorithm** states that, given a polynomial dividend f(x) and a non-zero polynomial divisor d(x) where the degree of d(x) is less than or equal to the degree of f(x), there exist unique polynomials q(x) and r(x) such that

$$f(x) = d(x)q(x) + r(x)$$
(3.4)

q(x) is the quotient and r(x) is the remainder. The remainder is either equal to zero or has degree strictly less than d(x).

If r(x) = 0, then d(x) divides evenly into f(x). This means that, in this case, both d(x) and q(x) are factors of f(x).



Given a polynomial and a binomial, use long division to divide the polynomial by the binomial.

- 1. Set up the division problem.
- 2. Determine the first term of the quotient by dividing the leading term of the dividend by the leading term of the divisor.
- 3. Multiply the answer by the divisor and write it below the like terms of the dividend.
- 4. Subtract the bottom binomial from the top binomial.
- 5. Bring down the next term of the dividend.
- 6. Repeat steps 2–5 until reaching the last term of the dividend.
- 7. If the remainder is non-zero, express as a fraction using the divisor as the denominator.

Example 3.44

Using Long Division to Divide a Second-Degree Polynomial

Divide $5x^2 + 3x - 2$ by x + 1.

Solution

$$x + 1 \sqrt{5x^2 + 3x - 2}$$
 Set up division problem.
 $x + 1 \sqrt{5x^2 + 3x - 2}$ $5x^2$ divided by x is $5x$.
 $x + 1 \sqrt{5x^2 + 3x - 2}$ Multiply $x + 1$ by $5x$.
 $x + 1 \sqrt{5x^2 + 3x - 2}$ Subtract.
 $-2x - 2$ Bring down the next term.
 $-2x$ divided by x is -2 .
 $-(5x^2 + 5x)$ $-2x - 2$ divided by x is -2 .
 $-(5x^2 + 5x)$ $-2x - 2$ Multiply $x + 1$ by -2 . Subtract.

The quotient is 5x - 2. The remainder is 0. We write the result as

$$\frac{5x^2 + 3x - 2}{x + 1} = 5x - 2$$

or

$$5x^2 + 3x - 2 = (x + 1)(5x - 2)$$

Analysis

This division problem had a remainder of 0. This tells us that the dividend is divided evenly by the divisor, and that the divisor is a factor of the dividend.

Example 3.45

Using Long Division to Divide a Third-Degree Polynomial

Divide $6x^3 + 11x^2 - 31x + 15$ by 3x - 2.

Solution

$$2x^{2} + 5x - 7$$

$$3x - 2\sqrt{6x^{3} + 11x^{2} - 31x + 1}$$

$$-(6x^{3} - 4x^{2})$$

$$15x^{2} - 31x$$

$$-(15x^{2} + 10x)$$

$$21x + 15$$

$$-(-21x + 14)$$

$$1$$

$$6x^{3} \text{ divided by } 3x \text{ is } 2x^{2}.$$

Multiply $x + 2$ by $2x^{2}$.

Subtract. Bring down the next term. $15x^{2}$ divided by $3x$ is $5x$.

Multiply $3x - 2$ by $5x$.

Subtract. Bring down the next term. $-21x$ divided by $3x$ is -7 .

Multiply $3x - 2$ by -7 .

Subtract. The remainder is 1 .

There is a remainder of 1. We can express the result as:

$$\frac{6x^3 + 11x^2 - 31x + 15}{3x - 2} = 2x^2 + 5x - 7 + \frac{1}{3x - 2}$$

Analysis

We can check our work by using the Division Algorithm to rewrite the solution. Then multiply.

$$(3x-2)(2x^2+5x-7)+1=6x^3+11x^2-31x+15$$

Notice, as we write our result,

- the dividend is $6x^3 + 11x^2 31x + 15$
- the divisor is 3x 2
- the quotient is $2x^2 + 5x 7$
- the remainder is 1



3.28 Divide $16x^3 - 12x^2 + 20x - 3$ by 4x + 5.

Using Synthetic Division to Divide Polynomials

As we've seen, long division of polynomials can involve many steps and be quite cumbersome. **Synthetic division** is a shorthand method of dividing polynomials for the special case of dividing by a linear factor whose leading coefficient is 1.

To illustrate the process, recall the example at the beginning of the section.

Divide $2x^3 - 3x^2 + 4x + 5$ by x + 2 using the long division algorithm.

The final form of the process looked like this:

$$2x^{2} + x + 18$$

$$x + 2)2x^{3} - 3x^{2} + 4x + 5$$

$$-(2x^{3} + 4x^{2})$$

$$-7x^{2} + 4x$$

$$-(-7x^{2} - 14x)$$

$$18x + 5$$

$$-(18x + 36)$$

$$-31$$

There is a lot of repetition in the table. If we don't write the variables but, instead, line up their coefficients in columns under the division sign and also eliminate the partial products, we already have a simpler version of the entire problem.

Synthetic division carries this simplification even a few more steps. Collapse the table by moving each of the rows up to fill any vacant spots. Also, instead of dividing by 2, as we would in division of whole numbers, then multiplying and subtracting the middle product, we change the sign of the "divisor" to -2, multiply and add. The process starts by bringing down the leading coefficient.

We then multiply it by the "divisor" and add, repeating this process column by column, until there are no entries left. The bottom row represents the coefficients of the quotient; the last entry of the bottom row is the remainder. In this case, the quotient is $2x^2 - 7x + 18$ and the remainder is -31. The process will be made more clear in **Example 3.46**.

Synthetic Division

Synthetic division is a shortcut that can be used when the divisor is a binomial in the form x - k. In **synthetic division**, only the coefficients are used in the division process.



Given two polynomials, use synthetic division to divide.

- 1. Write k for the divisor.
- 2. Write the coefficients of the dividend.
- 3. Bring the lead coefficient down.
- 4. Multiply the lead coefficient by k. Write the product in the next column.
- 5. Add the terms of the second column.
- 6. Multiply the result by k. Write the product in the next column.
- 7. Repeat steps 5 and 6 for the remaining columns.
- 8. Use the bottom numbers to write the quotient. The number in the last column is the remainder and has degree 0, the next number from the right has degree 1, the next number from the right has degree 2, and so on

Example 3.46

Using Synthetic Division to Divide a Second-Degree Polynomial

Use synthetic division to divide $5x^2 - 3x - 36$ by x - 3.

Solution

Begin by setting up the synthetic division. Write k and the coefficients.

Bring down the lead coefficient. Multiply the lead coefficient by k.

Continue by adding the numbers in the second column. Multiply the resulting number by k. Write the result in the next column. Then add the numbers in the third column.

The result is 5x + 12. The remainder is 0. So x - 3 is a factor of the original polynomial.

Analysis

Just as with long division, we can check our work by multiplying the quotient by the divisor and adding the remainder.

$$(x-3)(5x+12) + 0 = 5x^2 - 3x - 36$$

Example 3.47

Using Synthetic Division to Divide a Third-Degree Polynomial

Use synthetic division to divide $4x^3 + 10x^2 - 6x - 20$ by x + 2.

Solution

The binomial divisor is x + 2 so k = -2. Add each column, multiply the result by -2, and repeat until the last column is reached.

The result is $4x^2 + 2x - 10$. The remainder is 0. Thus, x + 2 is a factor of $4x^3 + 10x^2 - 6x - 20$.

Analysis

The graph of the polynomial function $f(x) = 4x^3 + 10x^2 - 6x - 20$ in **Figure 3.60** shows a zero at x = k = -2. This confirms that x + 2 is a factor of $4x^3 + 10x^2 - 6x - 20$.

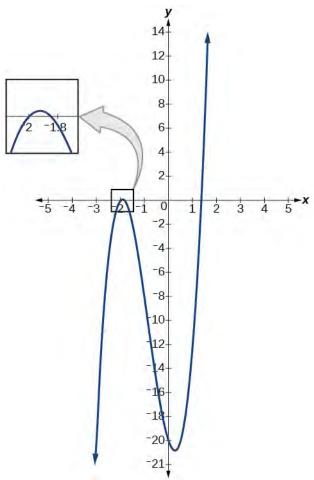


Figure 3.60

Example 3.48

Using Synthetic Division to Divide a Fourth-Degree Polynomial

Use synthetic division to divide $-9x^4 + 10x^3 + 7x^2 - 6$ by x - 1.

Solution

Notice there is no *x*-term. We will use a zero as the coefficient for that term.

The result is $-9x^3 + x^2 + 8x + 8 + \frac{2}{x-1}$.



3.29 Use synthetic division to divide $3x^4 + 18x^3 - 3x + 40$ by x + 7.

Using Polynomial Division to Solve Application Problems

Polynomial division can be used to solve a variety of application problems involving expressions for area and volume. We looked at an application at the beginning of this section. Now we will solve that problem in the following example.

Example 3.49

Using Polynomial Division in an Application Problem

The volume of a rectangular solid is given by the polynomial $3x^4 - 3x^3 - 33x^2 + 54x$. The length of the solid is given by 3x and the width is given by x - 2. Find the height of the solid.

Solution

There are a few ways to approach this problem. We need to divide the expression for the volume of the solid by the expressions for the length and width. Let us create a sketch as in **Figure 3.61**.

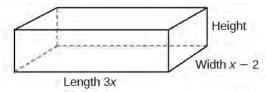


Figure 3.61

We can now write an equation by substituting the known values into the formula for the volume of a rectangular solid.

$$V = l \cdot w \cdot h$$
$$3x^4 - 3x^3 - 33x^2 + 54x = 3x \cdot (x - 2) \cdot h$$

To solve for h, first divide both sides by 3x.

$$\frac{3x \cdot (x-2) \cdot h}{3x} = \frac{3x^4 - 3x^3 - 33x^2 + 54x}{3x}$$
$$(x-2)h = x^3 - x^2 - 11x + 18$$

Now solve for h using synthetic division.

$$h = \frac{x^3 - x^2 - 11x + 18}{x - 2}$$

$$2 \begin{vmatrix} 1 & -1 & -11 & 18 \\ 2 & 2 & -18 \end{vmatrix}$$

The quotient is $x^2 + x - 9$ and the remainder is 0. The height of the solid is $x^2 + x - 9$.



3.30 The area of a rectangle is given by $3x^3 + 14x^2 - 23x + 6$. The width of the rectangle is given by x + 6. Find an expression for the length of the rectangle.



Access these online resources for additional instruction and practice with polynomial division.

- Dividing a Trinomial by a Binomial Using Long Division (http://openstaxcollege.org/l/dividetribild)
- Dividing a Polynomial by a Binomial Using Long Division (http://openstaxcollege.org/l/dividepolybild)
- Ex 2: Dividing a Polynomial by a Binomial Using Synthetic Division (http://openstaxcollege.org/l/dividepolybisd2)
- Ex 4: Dividing a Polynomial by a Binomial Using Synthetic Division (http://openstaxcollege.org/l/dividepolybisd4)

3.5 EXERCISES

Verbal

302. If division of a polynomial by a binomial results in a remainder of zero, what can be conclude?

303. If a polynomial of degree n is divided by a binomial of degree 1, what is the degree of the quotient?

Algebraic

For the following exercises, use long division to divide. Specify the quotient and the remainder.

304.
$$(x^2 + 5x - 1) \div (x - 1)$$

305.
$$(2x^2 - 9x - 5) \div (x - 5)$$

306.
$$(3x^2 + 23x + 14) \div (x + 7)$$

307.
$$(4x^2 - 10x + 6) \div (4x + 2)$$

308.
$$(6x^2 - 25x - 25) \div (6x + 5)$$

309.
$$(-x^2-1) \div (x+1)$$

310.
$$(2x^2 - 3x + 2) \div (x + 2)$$

311.
$$(x^3 - 126) \div (x - 5)$$

312.
$$(3x^2 - 5x + 4) \div (3x + 1)$$

313.
$$(x^3 - 3x^2 + 5x - 6) \div (x - 2)$$

314.
$$(2x^3 + 3x^2 - 4x + 15) \div (x+3)$$

For the following exercises, use synthetic division to find the quotient.

315.
$$(3x^3 - 2x^2 + x - 4) \div (x + 3)$$

316.
$$(2x^3 - 6x^2 - 7x + 6) \div (x - 4)$$

317.
$$(6x^3 - 10x^2 - 7x - 15) \div (x + 1)$$

318.
$$(4x^3 - 12x^2 - 5x - 1) \div (2x + 1)$$

319.
$$(9x^3 - 9x^2 + 18x + 5) \div (3x - 1)$$

320.
$$(3x^3 - 2x^2 + x - 4) \div (x + 3)$$

321.
$$\left(-6x^3 + x^2 - 4\right) \div (2x - 3)$$

322.
$$(2x^3 + 7x^2 - 13x - 3) \div (2x - 3)$$

323.
$$(3x^3 - 5x^2 + 2x + 3) \div (x + 2)$$

324.
$$(4x^3 - 5x^2 + 13) \div (x+4)$$

325.
$$(x^3 - 3x + 2) \div (x + 2)$$

326.
$$(x^3 - 21x^2 + 147x - 343) \div (x - 7)$$

327.
$$(x^3 - 15x^2 + 75x - 125) \div (x - 5)$$

328.
$$(9x^3 - x + 2) \div (3x - 1)$$

329.
$$(6x^3 - x^2 + 5x + 2) \div (3x + 1)$$

330.
$$(x^4 + x^3 - 3x^2 - 2x + 1) \div (x + 1)$$

331.
$$(x^4 - 3x^2 + 1) \div (x - 1)$$

332.
$$(x^4 + 2x^3 - 3x^2 + 2x + 6) \div (x + 3)$$

333.
$$(x^4 - 10x^3 + 37x^2 - 60x + 36) \div (x - 2)$$

334.
$$(x^4 - 8x^3 + 24x^2 - 32x + 16) \div (x - 2)$$

335.
$$(x^4 + 5x^3 - 3x^2 - 13x + 10) \div (x + 5)$$

336.
$$(x^4 - 12x^3 + 54x^2 - 108x + 81) \div (x - 3)$$

337.
$$(4x^4 - 2x^3 - 4x + 2) \div (2x - 1)$$

338.
$$(4x^4 + 2x^3 - 4x^2 + 2x + 2) \div (2x + 1)$$

For the following exercises, use synthetic division to determine whether the first expression is a factor of the second. If it is, indicate the factorization.

339.
$$x-2$$
, $4x^3 - 3x^2 - 8x + 4$

340.
$$x-2$$
, $3x^4-6x^3-5x+10$

341.
$$x + 3$$
, $-4x^3 + 5x^2 + 8$

342.
$$x-2$$
, $4x^4-15x^2-4$

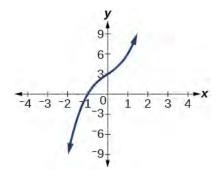
343.
$$x - \frac{1}{2}$$
, $2x^4 - x^3 + 2x - 1$

344.
$$x + \frac{1}{3}$$
, $3x^4 + x^3 - 3x + 1$

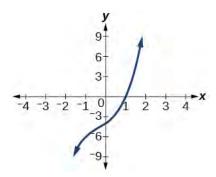
Graphical

For the following exercises, use the graph of the thirddegree polynomial and one factor to write the factored form of the polynomial suggested by the graph. The leading coefficient is one.

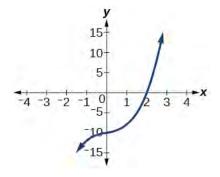
345. Factor is
$$x^2 - x + 3$$



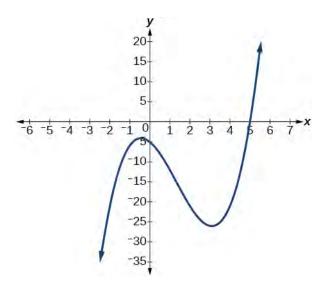
346. Factor is
$$(x^2 + 2x + 4)$$



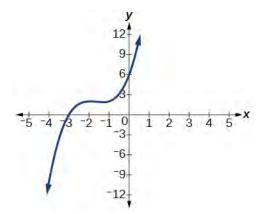
347. Factor is $x^2 + 2x + 5$



348. Factor is $x^2 + x + 1$



349. Factor is $x^2 + 2x + 2$



For the following exercises, use synthetic division to find the quotient and remainder.

350.
$$\frac{4x^3 - 33}{x - 2}$$

351.
$$\frac{2x^3 + 25}{x + 3}$$

352.
$$\frac{3x^3 + 2x - 5}{x - 1}$$

353.
$$\frac{-4x^3 - x^2 - 12}{x + 4}$$

354.
$$\frac{x^4 - 22}{x + 2}$$

Technology

For the following exercises, use a calculator with CAS to answer the questions.

- 355. Consider $\frac{x^k 1}{x 1}$ with k = 1, 2, 3. What do you expect the result to be if k = 4?
- 356. Consider $\frac{x^k + 1}{x + 1}$ for k = 1, 3, 5. What do you expect the result to be if k = 7?
- 357. Consider $\frac{x^4 k^4}{x k}$ for k = 1, 2, 3. What do you expect the result to be if k = 4?
- 358. Consider $\frac{x^k}{x+1}$ with k=1, 2, 3. What do you expect the result to be if k=4?
- 359. Consider $\frac{x^k}{x-1}$ with k = 1, 2, 3. What do you expect the result to be if k = 4?

Extensions

For the following exercises, use synthetic division to determine the quotient involving a complex number.

360.
$$\frac{x+1}{x-i}$$

361.
$$\frac{x^2+1}{x-i}$$

362.
$$\frac{x+1}{x+i}$$

363.
$$\frac{x^2 + 1}{x + i}$$

364.
$$\frac{x^3+1}{x-i}$$

Real-World Applications

For the following exercises, use the given length and area of a rectangle to express the width algebraically.

365. Length is
$$x + 5$$
, area is $2x^2 + 9x - 5$.

366.

Length is 2x + 5, area is $4x^3 + 10x^2 + 6x + 15$

367. Length is
$$3x - 4$$
, area is $6x^4 - 8x^3 + 9x^2 - 9x - 4$

For the following exercises, use the given volume of a box and its length and width to express the height of the box algebraically.

368. Volume is
$$12x^3 + 20x^2 - 21x - 36$$
, length is $2x + 3$, width is $3x - 4$.

369. Volume is
$$18x^3 - 21x^2 - 40x + 48$$
, length is $3x - 4$, width is $3x - 4$.

370. Volume is
$$10x^3 + 27x^2 + 2x - 24$$
, length is $5x - 4$, width is $2x + 3$.

371. Volume is
$$10x^3 + 30x^2 - 8x - 24$$
, length is 2, width is $x + 3$.

For the following exercises, use the given volume and radius of a cylinder to express the height of the cylinder algebraically.

372. Volume is
$$\pi(25x^3 - 65x^2 - 29x - 3)$$
, radius is $5x + 1$.

373. Volume is
$$\pi(4x^3 + 12x^2 - 15x - 50)$$
, radius is $2x + 5$.

374. Volume is
$$\pi(3x^4 + 24x^3 + 46x^2 - 16x - 32)$$
, radius is $x + 4$.

3.6 | Zeros of Polynomial Functions

Learning Objectives

In this section, you will:

- 3.6.1 Evaluate a polynomial using the Remainder Theorem.
- **3.6.2** Use the Factor Theorem to solve a polynomial equation.
- 3.6.3 Use the Rational Zero Theorem to find rational zeros.
- **3.6.4** Find zeros of a polynomial function.
- 3.6.5 Use the Linear Factorization Theorem to find polynomials with given zeros.
- 3.6.6 Use Descartes' Rule of Signs.
- 3.6.7 Solve real-world applications of polynomial equations

A new bakery offers decorated sheet cakes for children's birthday parties and other special occasions. The bakery wants the volume of a small cake to be 351 cubic inches. The cake is in the shape of a rectangular solid. They want the length of the cake to be four inches longer than the width of the cake and the height of the cake to be one-third of the width. What should the dimensions of the cake pan be?

This problem can be solved by writing a cubic function and solving a cubic equation for the volume of the cake. In this section, we will discuss a variety of tools for writing polynomial functions and solving polynomial equations.

Evaluating a Polynomial Using the Remainder Theorem

In the last section, we learned how to divide polynomials. We can now use polynomial division to evaluate polynomials using the **Remainder Theorem**. If the polynomial is divided by x - k, the remainder may be found quickly by evaluating the polynomial function at k, that is, f(k) Let's walk through the proof of the theorem.

Recall that the Division Algorithm states that, given a polynomial dividend f(x) and a non-zero polynomial divisor d(x) where the degree of d(x) is less than or equal to the degree of f(x), there exist unique polynomials q(x) and r(x) such that

$$f(x) = d(x)q(x) + r(x)$$

If the divisor, d(x), is x - k, this takes the form

$$f(x) = (x - k)q(x) + r$$

Since the divisor x - k is linear, the remainder will be a constant, r. And, if we evaluate this for x = k, we have

$$f(k) = (k - k)q(k) + r$$
$$= 0 \cdot q(k) + r$$
$$= r$$

In other words, f(k) is the remainder obtained by dividing f(x) by x - k.

The Remainder Theorem

If a polynomial f(x) is divided by x - k, then the remainder is the value f(k).



Given a polynomial function f, evaluate f(x) at x = k using the Remainder Theorem.

- **1**. Use synthetic division to divide the polynomial by x k.
- 2. The remainder is the value f(k).

Example 3.50

Using the Remainder Theorem to Evaluate a Polynomial

Use the Remainder Theorem to evaluate $f(x) = 6x^4 - x^3 - 15x^2 + 2x - 7$ at x = 2.

Solution

To find the remainder using the Remainder Theorem, use synthetic division to divide the polynomial by x - 2.

The remainder is 25. Therefore, f(2) = 25.

Analysis

We can check our answer by evaluating f(2).

$$f(x) = 6x^4 - x^3 - 15x^2 + 2x - 7$$

$$f(2) = 6(2)^4 - (2)^3 - 15(2)^2 + 2(2) - 7$$

= 25



3.31 Use the Remainder Theorem to evaluate $f(x) = 2x^5 - 3x^4 - 9x^3 + 8x^2 + 2$ at x = -3.

Using the Factor Theorem to Solve a Polynomial Equation

The **Factor Theorem** is another theorem that helps us analyze polynomial equations. It tells us how the zeros of a polynomial are related to the factors. Recall that the Division Algorithm tells us

$$f(x) = (x - k)q(x) + r.$$

If *k* is a zero, then the remainder *r* is f(k) = 0 and f(x) = (x - k)q(x) + 0 or f(x) = (x - k)q(x).

Notice, written in this form, x - k is a factor of f(x). We can conclude if k is a zero of f(x), then x - k is a factor of f(x).

Similarly, if x - k is a factor of f(x), then the remainder of the Division Algorithm f(x) = (x - k)q(x) + r is 0. This tells us that k is a zero.

This pair of implications is the Factor Theorem. As we will soon see, a polynomial of degree n in the complex number system will have n zeros. We can use the Factor Theorem to completely factor a polynomial into the product of n factors. Once the polynomial has been completely factored, we can easily determine the zeros of the polynomial.

The Factor Theorem

According to the **Factor Theorem**, k is a zero of f(x) if and only if (x - k) is a factor of f(x).



Given a factor and a third-degree polynomial, use the Factor Theorem to factor the polynomial.

- 1. Use synthetic division to divide the polynomial by (x k).
- 2. Confirm that the remainder is 0.
- 3. Write the polynomial as the product of (x k) and the quadratic quotient.
- 4. If possible, factor the quadratic.
- 5. Write the polynomial as the product of factors.

Example 3.51

Using the Factor Theorem to Solve a Polynomial Equation

Show that (x + 2) is a factor of $x^3 - 6x^2 - x + 30$. Find the remaining factors. Use the factors to determine the zeros of the polynomial.

Solution

We can use synthetic division to show that (x + 2) is a factor of the polynomial.

The remainder is zero, so (x + 2) is a factor of the polynomial. We can use the Division Algorithm to write the polynomial as the product of the divisor and the quotient:

$$(x+2)(x^2-8x+15)$$

We can factor the quadratic factor to write the polynomial as

$$(x+2)(x-3)(x-5)$$

By the Factor Theorem, the zeros of $x^3 - 6x^2 - x + 30$ are -2, 3, and 5.



3.32 Use the Factor Theorem to find the zeros of $f(x) = x^3 + 4x^2 - 4x - 16$ given that (x - 2) is a factor of the polynomial.

Using the Rational Zero Theorem to Find Rational Zeros

Another use for the Remainder Theorem is to test whether a rational number is a zero for a given polynomial. But first we need a pool of rational numbers to test. The **Rational Zero Theorem** helps us to narrow down the number of possible rational zeros using the ratio of the factors of the constant term and factors of the leading coefficient of the polynomial

Consider a quadratic function with two zeros, $x = \frac{2}{5}$ and $x = \frac{3}{4}$. By the Factor Theorem, these zeros have factors associated with them. Let us set each factor equal to 0, and then construct the original quadratic function absent its stretching factor.

$$x - \frac{2}{5} = 0 \text{ or } x - \frac{3}{4} = 0$$

 $5x - 2 = 0 \text{ or } 4x - 3 = 0$
 $f(x) = (5x - 2)(4x - 3)$

$$f(x) = (5x - 2)(4x - 3)$$

$$f(x) = 20x^2 - 23x + 6$$

$$f(x) = (5 \cdot 4)x^2 - 23x + (2 \cdot 3)$$

Set each factor equal to 0.

Multiply both sides of the equation to eliminate fractions.

Create the quadratic function, multiplying the factors.

Expand the polynomial.

Notice that two of the factors of the constant term, 6, are the two numerators from the original rational roots: 2 and 3. Similarly, two of the factors from the leading coefficient, 20, are the two denominators from the original rational roots: 5 and 4.

We can infer that the numerators of the rational roots will always be factors of the constant term and the denominators will be factors of the leading coefficient. This is the essence of the Rational Zero Theorem; it is a means to give us a pool of possible rational zeros.

The Rational Zero Theorem

The **Rational Zero Theorem** states that, if the polynomial $f(x) = a_n x^n + a_{n-1} x^{n-1} + ... + a_1 x + a_0$ has integer coefficients, then every rational zero of f(x) has the form $\frac{p}{q}$ where p is a factor of the constant term a_0 and q is a factor of the leading coefficient a_n .

When the leading coefficient is 1, the possible rational zeros are the factors of the constant term.



Given a polynomial function f(x), use the Rational Zero Theorem to find rational zeros.

- 1. Determine all factors of the constant term and all factors of the leading coefficient.
- 2. Determine all possible values of $\frac{p}{q}$, where p is a factor of the constant term and q is a factor of the leading coefficient. Be sure to include both positive and negative candidates.
- 3. Determine which possible zeros are actual zeros by evaluating each case of $f(\frac{p}{a})$.

Example 3.52

Listing All Possible Rational Zeros

List all possible rational zeros of $f(x) = 2x^4 - 5x^3 + x^2 - 4$.

Solution

The only possible rational zeros of f(x) are the quotients of the factors of the last term, -4, and the factors of the leading coefficient, 2.

The constant term is -4; the factors of -4 are $p = \pm 1, \pm 2, \pm 4$.

The leading coefficient is 2; the factors of 2 are $q = \pm 1, \pm 2$.

If any of the four real zeros are rational zeros, then they will be of one of the following factors of –4 divided by one of the factors of 2.

$$\frac{p}{q} = \pm \frac{1}{1}, \ \pm \frac{1}{2} \quad \frac{p}{q} = \pm \frac{2}{1}, \ \pm \frac{2}{2} \quad \frac{p}{q} = \pm \frac{4}{1}, \ \pm \frac{4}{2}$$

Note that $\frac{2}{2} = 1$ and $\frac{4}{2} = 2$, which have already been listed. So we can shorten our list.

$$\frac{p}{q} = \frac{\text{Factors of the last}}{\text{Factors of the fir t}} = \pm 1, \pm 2, \pm 4, \pm \frac{1}{2}$$

Example 3.53

Using the Rational Zero Theorem to Find Rational Zeros

Use the Rational Zero Theorem to find the rational zeros of $f(x) = 2x^3 + x^2 - 4x + 1$.

Solution

The Rational Zero Theorem tells us that if $\frac{p}{q}$ is a zero of f(x), then p is a factor of 1 and q is a factor of 2.

$$\frac{p}{q} = \frac{\text{factor of constant term}}{\text{factor of leading coefficie}}$$
$$= \frac{\text{factor of 1}}{\text{factor of 2}}$$

The factors of 1 are ± 1 and the factors of 2 are ± 1 and ± 2 . The possible values for $\frac{p}{q}$ are ± 1 and $\pm \frac{1}{2}$. These are the possible rational zeros for the function. We can determine which of the possible zeros are actual zeros by substituting these values for x in f(x).

$$f(-1) = 2(-1)^{3} + (-1)^{2} - 4(-1) + 1 = 4$$

$$f(1) = 2(1)^{3} + (1)^{2} - 4(1) + 1 = 0$$

$$f\left(-\frac{1}{2}\right) = 2\left(-\frac{1}{2}\right)^{3} + \left(-\frac{1}{2}\right)^{2} - 4\left(-\frac{1}{2}\right) + 1 = 3$$

$$f\left(\frac{1}{2}\right) = 2\left(\frac{1}{2}\right)^{3} + \left(\frac{1}{2}\right)^{2} - 4\left(\frac{1}{2}\right) + 1 = -\frac{1}{2}$$

Of those, -1, $-\frac{1}{2}$, and $\frac{1}{2}$ are not zeros of f(x). 1 is the only rational zero of f(x).



3.33 Use the Rational Zero Theorem to find the rational zeros of $f(x) = x^3 - 5x^2 + 2x + 1$.

Finding the Zeros of Polynomial Functions

The Rational Zero Theorem helps us to narrow down the list of possible rational zeros for a polynomial function. Once we have done this, we can use synthetic division repeatedly to determine all of the **zeros** of a polynomial function.



Given a polynomial function f, use synthetic division to find its zeros.

- 1. Use the Rational Zero Theorem to list all possible rational zeros of the function.
- 2. Use synthetic division to evaluate a given possible zero by synthetically dividing the candidate into the polynomial. If the remainder is 0, the candidate is a zero. If the remainder is not zero, discard the candidate.
- 3. Repeat step two using the quotient found with synthetic division. If possible, continue until the quotient is a quadratic.
- 4. Find the zeros of the quadratic function. Two possible methods for solving quadratics are factoring and using the quadratic formula.

Example 3.54

Finding the Zeros of a Polynomial Function with Repeated Real Zeros

Find the zeros of $f(x) = 4x^3 - 3x - 1$.

Solution

The Rational Zero Theorem tells us that if $\frac{p}{q}$ is a zero of f(x), then p is a factor of -1 and q is a factor of 4.

$$\frac{p}{q} = \frac{\text{factor of constant term}}{\text{factor of leading coefficie}}$$
$$= \frac{\text{factor of } -1}{\text{factor of 4}}$$

The factors of -1 are ± 1 and the factors of 4 are ± 1 , ± 2 , and ± 4 . The possible values for $\frac{p}{q}$ are ± 1 , $\pm \frac{1}{2}$, and $\pm \frac{1}{4}$. These are the possible rational zeros for the function. We will use synthetic division to evaluate each possible zero until we find one that gives a remainder of 0. Let's begin with 1.

$$1 \begin{vmatrix} 4 & 0 & -3 & -1 \\ 4 & 4 & 1 \\ 4 & 4 & 1 & 0 \end{vmatrix}$$

Dividing by (x-1) gives a remainder of 0, so 1 is a zero of the function. The polynomial can be written as

$$(x-1)(4x^2+4x+1)$$
.

The quadratic is a perfect square. f(x) can be written as

$$(x-1)(2x+1)^2$$
.

We already know that 1 is a zero. The other zero will have a multiplicity of 2 because the factor is squared. To find the other zero, we can set the factor equal to 0.

$$2x + 1 = 0$$
$$x = -\frac{1}{2}$$

The zeros of the function are 1 and $-\frac{1}{2}$ with multiplicity 2.

Analysis

Look at the graph of the function f in **Figure 3.62**. Notice, at x = -0.5, the graph bounces off the x-axis, indicating the even multiplicity (2,4,6...) for the zero -0.5. At x = 1, the graph crosses the x-axis, indicating the odd multiplicity (1,3,5...) for the zero x = 1.

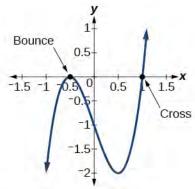


Figure 3.62

Using the Fundamental Theorem of Algebra

Now that we can find rational zeros for a polynomial function, we will look at a theorem that discusses the number of complex zeros of a polynomial function. The **Fundamental Theorem of Algebra** tells us that every polynomial function has at least one complex zero. This theorem forms the foundation for solving polynomial equations.

Suppose f is a polynomial function of degree four, and f(x) = 0. The Fundamental Theorem of Algebra states that there is at least one complex solution, call it c_1 . By the Factor Theorem, we can write f(x) as a product of $x - c_1$ and a polynomial quotient. Since $x - c_1$ is linear, the polynomial quotient will be of degree three. Now we apply the Fundamental Theorem of Algebra to the third-degree polynomial quotient. It will have at least one complex zero, call it c_2 . So we can write the polynomial quotient as a product of $x - c_2$ and a new polynomial quotient of degree two. Continue to apply the Fundamental Theorem of Algebra until all of the zeros are found. There will be four of them and each one will yield a factor of f(x).

The Fundamental Theorem of Algebra states that, if f(x) is a polynomial of degree n > 0, then f(x) has at least one complex zero.

We can use this theorem to argue that, if f(x) is a polynomial of degree n > 0, and a is a non-zero real number, then f(x) has exactly n linear factors

$$f(x) = a(x - c_1)(x - c_2)...(x - c_n)$$

where $c_1, c_2, ..., c_n$ are complex numbers. Therefore, f(x) has n roots if we allow for multiplicities.



Does every polynomial have at least one imaginary zero?

No. A complex number is not necessarily imaginary. Real numbers are also complex numbers.

Example 3.55

Finding the Zeros of a Polynomial Function with Complex Zeros

Find the zeros of $f(x) = 3x^{3} + 9x^{2} + x + 3$.

Solution

The Rational Zero Theorem tells us that if $\frac{p}{q}$ is a zero of f(x), then p is a factor of 3 and q is a factor of 3.

$$\frac{p}{q} = \frac{\text{factor of constant term}}{\text{factor of leading coefficie}}$$
$$= \frac{\text{factor of } 3}{\text{factor of } 3}$$

The factors of 3 are ± 1 and ± 3 . The possible values for $\frac{p}{q}$, and therefore the possible rational zeros for the function, are ± 3 , ± 1 , and $\pm \frac{1}{3}$. We will use synthetic division to evaluate each possible zero until we find one that gives a remainder of 0. Let's begin with -3.

Dividing by (x + 3) gives a remainder of 0, so -3 is a zero of the function. The polynomial can be written as

$$(x+3)(3x^2+1)$$

We can then set the quadratic equal to 0 and solve to find the other zeros of the function.

$$3x^{2} + 1 = 0$$

$$x^{2} = -\frac{1}{3}$$

$$x = \pm \sqrt{-\frac{1}{3}} = \pm \frac{i\sqrt{3}}{3}$$

The zeros of f(x) are -3 and $\pm \frac{i\sqrt{3}}{3}$.

Analysis

Look at the graph of the function f in **Figure 3.63**. Notice that, at x = -3, the graph crosses the x-axis, indicating an odd multiplicity (1) for the zero x = -3. Also note the presence of the two turning points. This means that, since there is a 3^{rd} degree polynomial, we are looking at the maximum number of turning points. So, the end behavior of increasing without bound to the right and decreasing without bound to the left will continue. Thus, all the x-intercepts for the function are shown. So either the multiplicity of x = -3 is 1 and there are two complex solutions, which is what we found, or the multiplicity at x = -3 is three. Either way, our result is correct.

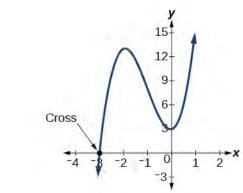


Figure 3.63



3.34 Find the zeros of $f(x) = 2x^3 + 5x^2 - 11x + 4$.

Using the Linear Factorization Theorem to Find Polynomials with Given Zeros

A vital implication of the Fundamental Theorem of Algebra, as we stated above, is that a polynomial function of degree n will have n zeros in the set of complex numbers, if we allow for multiplicities. This means that we can factor the polynomial function into n factors. The **Linear Factorization Theorem** tells us that a polynomial function will have the same number of factors as its degree, and that each factor will be in the form (x-c), where c is a complex number.

Let f be a polynomial function with real coefficients, and suppose a + bi, $b \ne 0$, is a zero of f(x). Then, by the Factor Theorem, x - (a + bi) is a factor of f(x). For f to have real coefficients, x - (a - bi) must also be a factor of f(x). This is true because any factor other than x - (a - bi), when multiplied by x - (a + bi), will leave imaginary components in the product. Only multiplication with conjugate pairs will eliminate the imaginary parts and result in real coefficients. In other words, if a polynomial function f with real coefficients has a complex zero a + bi, then the complex conjugate a - bi must also be a zero of f(x). This is called the Complex Conjugate Theorem.

Complex Conjugate Theorem

According to the **Linear Factorization Theorem**, a polynomial function will have the same number of factors as its degree, and each factor will be in the form (x - c), where c is a complex number.

If the polynomial function f has real coefficients and a complex zero in the form a + bi, then the complex conjugate of the zero, a - bi, is also a zero.



Given the zeros of a polynomial function f and a point (c, f(c)) on the graph of f, use the Linear Factorization Theorem to find the polynomial function.

- 1. Use the zeros to construct the linear factors of the polynomial.
- 2. Multiply the linear factors to expand the polynomial.
- 3. Substitute (c, f(c)) into the function to determine the leading coefficient.
- 4. Simplify.

Example 3.56

Using the Linear Factorization Theorem to Find a Polynomial with Given Zeros

Find a fourth degree polynomial with real coefficients that has zeros of -3, 2, i, such that f(-2) = 100.

Solution

Because x = i is a zero, by the Complex Conjugate Theorem x = -i is also a zero. The polynomial must have factors of (x + 3), (x - 2), (x - i), and (x + i). Since we are looking for a degree 4 polynomial, and now have four zeros, we have all four factors. Let's begin by multiplying these factors.

$$f(x) = a(x+3)(x-2)(x-i)(x+i)$$

$$f(x) = a(x^2 + x - 6)(x^2 + 1)$$

$$f(x) = a(x^4 + x^3 - 5x^2 + x - 6)$$

We need to find *a* to ensure f(-2) = 100. Substitute x = -2 and f(2) = 100 into f(x).

$$100 = a((-2)^4 + (-2)^3 - 5(-2)^2 + (-2) - 6)$$

$$100 = a(-20)$$

$$-5 = a$$

So the polynomial function is

$$f(x) = -5(x^4 + x^3 - 5x^2 + x - 6)$$

or

$$f(x) = -5x^4 - 5x^3 + 25x^2 - 5x + 30$$

Analysis

We found that both i and -i were zeros, but only one of these zeros needed to be given. If i is a zero of a polynomial with real coefficients, then -i must also be a zero of the polynomial because -i is the complex conjugate of i.



If 2+3i were given as a zero of a polynomial with real coefficients, would 2-3i also need to be a zero?

Yes. When any complex number with an imaginary component is given as a zero of a polynomial with real coefficients, the conjugate must also be a zero of the polynomial.



3.35 Find a third degree polynomial with real coefficients that has zeros of 5 and -2i such that f(1) = 10.

Using Descartes' Rule of Signs

There is a straightforward way to determine the possible numbers of positive and negative real zeros for any polynomial function. If the polynomial is written in descending order, **Descartes' Rule of Signs** tells us of a relationship between the number of sign changes in f(x) and the number of positive real zeros. For example, the polynomial function below has one sign change.

$$f(x) = x^4 + x^3 + x^2 + x - 1$$

This tells us that the function must have 1 positive real zero.

There is a similar relationship between the number of sign changes in f(-x) and the number of negative real zeros.

$$f(-x) = (-x)^4 + (-x)^3 + (-x)^2 + (-x) - 1$$

$$f(-x) = +x^4 - x^3 + x^2 - x - 1$$

In this case, f(-x) has 3 sign changes. This tells us that f(x) could have 3 or 1 negative real zeros.

Descartes' Rule of Signs

According to **Descartes' Rule of Signs**, if we let $f(x) = a_n x^n + a_{n-1} x^{n-1} + ... + a_1 x + a_0$ be a polynomial function with real coefficients:

- The number of positive real zeros is either equal to the number of sign changes of f(x) or is less than the number of sign changes by an even integer.
- The number of negative real zeros is either equal to the number of sign changes of f(-x) or is less than the number of sign changes by an even integer.

Example 3.57

Using Descartes' Rule of Signs

Use Descartes' Rule of Signs to determine the possible numbers of positive and negative real zeros for $f(x) = -x^4 - 3x^3 + 6x^2 - 4x - 12$.

Solution

Begin by determining the number of sign changes.

$$f(x) = -x^4 - 3x^3 + 6x^2 - 4x - 12$$

Figure 3.64

There are two sign changes, so there are either 2 or 0 positive real roots. Next, we examine f(-x) to determine the number of negative real roots.

$$f(-x) = -(-x)^4 - 3(-x)^3 + 6(-x)^2 - 4(-x) - 12$$

$$f(-x) = -x^4 + 3x^3 + 6x^2 + 4x - 12$$

$$f(-x) = -x^4 + 3x^3 + 6x^2 + 4x - 12$$

Figure 3.65

Again, there are two sign changes, so there are either 2 or 0 negative real roots.

There are four possibilities, as we can see in **Table 3.6**.

Positive Real Zeros	Negative Real Zeros	Complex Zeros	Total Zeros
2	2	0	4
2	0	2	4
0	2	2	4
0	0	4	4

Table 3.6

Analysis

We can confirm the numbers of positive and negative real roots by examining a graph of the function. See **Figure 3.66**. We can see from the graph that the function has 0 positive real roots and 2 negative real roots.

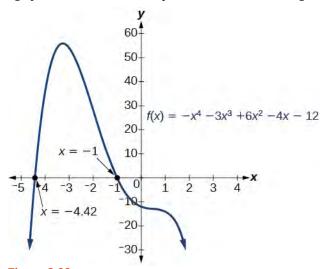


Figure 3.66



3.36 Use Descartes' Rule of Signs to determine the maximum possible numbers of positive and negative real zeros for $f(x) = 2x^4 - 10x^3 + 11x^2 - 15x + 12$. Use a graph to verify the numbers of positive and negative real zeros for the function.

Solving Real-World Applications

We have now introduced a variety of tools for solving polynomial equations. Let's use these tools to solve the bakery problem from the beginning of the section.

Example 3.58

Solving Polynomial Equations

A new bakery offers decorated sheet cakes for children's birthday parties and other special occasions. The bakery wants the volume of a small cake to be 351 cubic inches. The cake is in the shape of a rectangular solid. They want the length of the cake to be four inches longer than the width of the cake and the height of the cake to be one-third of the width. What should the dimensions of the cake pan be?

Solution

Begin by writing an equation for the volume of the cake. The volume of a rectangular solid is given by V = lwh. We were given that the length must be four inches longer than the width, so we can express the length of the cake as l = w + 4. We were given that the height of the cake is one-third of the width, so we can express the height of the cake as $h = \frac{1}{3}w$. Let's write the volume of the cake in terms of width of the cake.

$$V = (w + 4)(w)(\frac{1}{3}w)$$
$$V = \frac{1}{3}w^3 + \frac{4}{3}w^2$$

Substitute the given volume into this equation.

$$351 = \frac{1}{3}w^3 + \frac{4}{3}w^2$$
 Substitute 351 for *V*.

$$1053 = w^3 + 4w^2$$
 Multiply both sides by 3.

$$0 = w^3 + 4w^2 - 1053$$
 Subtract 1053 from both sides.

Descartes' rule of signs tells us there is one positive solution. The Rational Zero Theorem tells us that the possible rational zeros are ± 3 , ± 9 , ± 13 , ± 27 , ± 39 , ± 81 , ± 117 , ± 351 , and ± 1053 . We can use synthetic division to test these possible zeros. Only positive numbers make sense as dimensions for a cake, so we need not test any negative values. Let's begin by testing values that make the most sense as dimensions for a small sheet cake. Use synthetic division to check x = 1.

Since 1 is not a solution, we will check x = 3.

Since 3 is not a solution either, we will test x = 9.

Synthetic division gives a remainder of 0, so 9 is a solution to the equation. We can use the relationships between the width and the other dimensions to determine the length and height of the sheet cake pan.

$$l = w + 4 = 9 + 4 = 13$$
 and $h = \frac{1}{3}w = \frac{1}{3}(9) = 3$

The sheet cake pan should have dimensions 13 inches by 9 inches by 3 inches.



3.37 A shipping container in the shape of a rectangular solid must have a volume of 84 cubic meters. The client tells the manufacturer that, because of the contents, the length of the container must be one meter longer than the width, and the height must be one meter greater than twice the width. What should the dimensions of the container be?



Access these online resources for additional instruction and practice with zeros of polynomial functions.

- Real Zeros, Factors, and Graphs of Polynomial Functions (http://openstaxcollege.org/l/realzeros)
- Complex Factorization Theorem (http://openstaxcollege.org/l/factortheorem)
- Find the Zeros of a Polynomial Function (http://openstaxcollege.org/l/findthezeros)
- Find the Zeros of a Polynomial Function 2 (http://openstaxcollege.org/l/findthezeros2)
- Find the Zeros of a Polynomial Function 3 (http://openstaxcollege.org/l/findthezeros3)

3.6 EXERCISES

Verbal

375. Describe a use for the Remainder Theorem.

376. Explain why the Rational Zero Theorem does not guarantee finding zeros of a polynomial function.

377. What is the difference between rational and real zeros?

378. If Descartes' Rule of Signs reveals a no change of signs or one sign of changes, what specific conclusion can be drawn?

379. If synthetic division reveals a zero, why should we try that value again as a possible solution?

Algebraic

For the following exercises, use the Remainder Theorem to find the remainder.

380.
$$(x^4 - 9x^2 + 14) \div (x - 2)$$

381.
$$(3x^3 - 2x^2 + x - 4) \div (x + 3)$$

382.
$$(x^4 + 5x^3 - 4x - 17) \div (x + 1)$$

383.
$$\left(-3x^2 + 6x + 24\right) \div (x - 4)$$

384.
$$(5x^5 - 4x^4 + 3x^3 - 2x^2 + x - 1) \div (x + 6)$$

385.
$$(x^4 - 1) \div (x - 4)$$

386.
$$(3x^3 + 4x^2 - 8x + 2) \div (x - 3)$$

387.
$$(4x^3 + 5x^2 - 2x + 7) \div (x + 2)$$

For the following exercises, use the Factor Theorem to find all real zeros for the given polynomial function and one factor.

388.
$$f(x) = 2x^3 - 9x^2 + 13x - 6$$
; $x - 1$

389.
$$f(x) = 2x^3 + x^2 - 5x + 2$$
; $x + 2$

390.
$$f(x) = 3x^3 + x^2 - 20x + 12$$
; $x + 3$

391.
$$f(x) = 2x^3 + 3x^2 + x + 6$$
; $x + 2$

392.
$$f(x) = -5x^3 + 16x^2 - 9$$
; $x - 3$

393.
$$x^3 + 3x^2 + 4x + 12$$
; $x + 3$

394.
$$4x^3 - 7x + 3$$
; $x - 1$

395.
$$2x^3 + 5x^2 - 12x - 30$$
, $2x + 5$

For the following exercises, use the Rational Zero Theorem to find all real zeros.

396.
$$x^3 - 3x^2 - 10x + 24 = 0$$

$$397. \quad 2x^3 + 7x^2 - 10x - 24 = 0$$

398.
$$x^3 + 2x^2 - 9x - 18 = 0$$

399.
$$x^3 + 5x^2 - 16x - 80 = 0$$

400.
$$x^3 - 3x^2 - 25x + 75 = 0$$

401.
$$2x^3 - 3x^2 - 32x - 15 = 0$$

402.
$$2x^3 + x^2 - 7x - 6 = 0$$

403.
$$2x^3 - 3x^2 - x + 1 = 0$$

404.
$$3x^3 - x^2 - 11x - 6 = 0$$

405.
$$2x^3 - 5x^2 + 9x - 9 = 0$$

406.
$$2x^3 - 3x^2 + 4x + 3 = 0$$

407.
$$x^4 - 2x^3 - 7x^2 + 8x + 12 = 0$$

408.
$$x^4 + 2x^3 - 9x^2 - 2x + 8 = 0$$

409.
$$4x^4 + 4x^3 - 25x^2 - x + 6 = 0$$

410.
$$2x^4 - 3x^3 - 15x^2 + 32x - 12 = 0$$

411.
$$x^4 + 2x^3 - 4x^2 - 10x - 5 = 0$$

412.
$$4x^3 - 3x + 1 = 0$$

413.
$$8x^4 + 26x^3 + 39x^2 + 26x + 6$$

For the following exercises, find all complex solutions (real and non-real).

414.
$$x^3 + x^2 + x + 1 = 0$$

415.
$$x^3 - 8x^2 + 25x - 26 = 0$$

416.
$$x^3 + 13x^2 + 57x + 85 = 0$$

417.
$$3x^3 - 4x^2 + 11x + 10 = 0$$

418.
$$x^4 + 2x^3 + 22x^2 + 50x - 75 = 0$$

419.
$$2x^3 - 3x^2 + 32x + 17 = 0$$

Graphical

For the following exercises, use Descartes' Rule to determine the possible number of positive and negative solutions. Confirm with the given graph.

420.
$$f(x) = x^3 - 1$$

421.
$$f(x) = x^4 - x^2 - 1$$

422.
$$f(x) = x^3 - 2x^2 - 5x + 6$$

423.
$$f(x) = x^3 - 2x^2 + x - 1$$

424.
$$f(x) = x^4 + 2x^3 - 12x^2 + 14x - 5$$

425.
$$f(x) = 2x^3 + 37x^2 + 200x + 300$$

426.
$$f(x) = x^3 - 2x^2 - 16x + 32$$

427.
$$f(x) = 2x^4 - 5x^3 - 5x^2 + 5x + 3$$

428.
$$f(x) = 2x^4 - 5x^3 - 14x^2 + 20x + 8$$

429.
$$f(x) = 10x^4 - 21x^2 + 11$$

Numeric

For the following exercises, list all possible rational zeros for the functions.

430.
$$f(x) = x^4 + 3x^3 - 4x + 4$$

431.
$$f(x) = 2x^3 + 3x^2 - 8x + 5$$

432.
$$f(x) = 3x^3 + 5x^2 - 5x + 4$$

433.
$$f(x) = 6x^4 - 10x^2 + 13x + 1$$

434.
$$f(x) = 4x^5 - 10x^4 + 8x^3 + x^2 - 8$$

Technology

For the following exercises, use your calculator to graph the polynomial function. Based on the graph, find the rational zeros. All real solutions are rational.

435.
$$f(x) = 6x^3 - 7x^2 + 1$$

436.
$$f(x) = 4x^3 - 4x^2 - 13x - 5$$

437.
$$f(x) = 8x^3 - 6x^2 - 23x + 6$$

438.
$$f(x) = 12x^4 + 55x^3 + 12x^2 - 117x + 54$$

439.
$$f(x) = 16x^4 - 24x^3 + x^2 - 15x + 25$$

Extensions

For the following exercises, construct a polynomial function of least degree possible using the given information.

440. Real roots:
$$-1$$
, 1, 3 and $(2, f(2)) = (2, 4)$

441. Real roots:
$$-1$$
 (with multiplicity 2 and 1) and $(2, f(2)) = (2, 4)$

442. Real roots:
$$-2, \frac{1}{2}$$
 (with multiplicity 2) and $(-3, f(-3)) = (-3, 5)$

443. Real roots:
$$-\frac{1}{2}$$
, 0, $\frac{1}{2}$ and $(-2, f(-2)) = (-2, 6)$

444. Real roots:
$$-4$$
, -1 , 1, 4 and $(-2$, $f(-2)$) = $(-2$, 10)

Real-World Applications

For the following exercises, find the dimensions of the box described.

445. The length is twice as long as the width. The height is 2 inches greater than the width. The volume is 192 cubic inches

446. The length, width, and height are consecutive whole numbers. The volume is 120 cubic inches.

447. The length is one inch more than the width, which is one inch more than the height. The volume is 86.625 cubic inches.

448. The length is three times the height and the height is one inch less than the width. The volume is 108 cubic inches.

449. The length is 3 inches more than the width. The width is 2 inches more than the height. The volume is 120 cubic inches.

For the following exercises, find the dimensions of the right circular cylinder described.

- 450. The radius is 3 inches more than the height. The volume is 16π cubic meters.
- 451. The height is one less than one half the radius. The volume is 72π cubic meters.
- 452. The radius and height differ by one meter. The radius is larger and the volume is 48π cubic meters.
- 453. The radius and height differ by two meters. The height is greater and the volume is 28.125π cubic meters.
- 454. 80. The radius is $\frac{1}{3}$ meter greater than the height. The volume is $\frac{98}{9}\pi$ cubic meters.

3.7 | Rational Functions

Learning Objectives

In this section, you will:

- 3.7.1 Use arrow notation.
- **3.7.2** Solve applied problems involving rational functions.
- **3.7.3** Find the domains of rational functions.
- 3.7.4 Identify vertical asymptotes.
- 3.7.5 Identify horizontal asymptotes.
- 3.7.6 Graph rational functions.

Suppose we know that the cost of making a product is dependent on the number of items, x, produced. This is given by the equation $C(x) = 15,000x - 0.1x^2 + 1000$. If we want to know the average cost for producing x items, we would divide the cost function by the number of items, x.

The average cost function, which yields the average cost per item for x items produced, is

$$f(x) = \frac{15,000x - 0.1x^2 + 1000}{x}$$

Many other application problems require finding an average value in a similar way, giving us variables in the denominator. Written without a variable in the denominator, this function will contain a negative integer power.

In the last few sections, we have worked with polynomial functions, which are functions with non-negative integers for exponents. In this section, we explore rational functions, which have variables in the denominator.

Using Arrow Notation

We have seen the graphs of the basic reciprocal function and the squared reciprocal function from our study of toolkit functions. Examine these graphs, as shown in **Figure 3.67**, and notice some of their features.

Graphs of Toolkit Functions

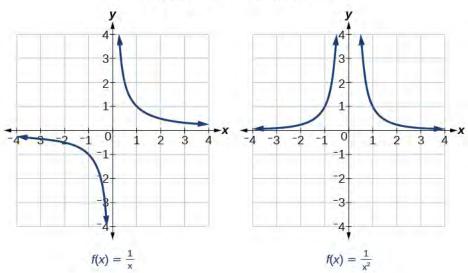


Figure 3.67

Several things are apparent if we examine the graph of $f(x) = \frac{1}{x}$.

1. On the left branch of the graph, the curve approaches the *x*-axis (y = 0) as $x \to -\infty$.

- 2. As the graph approaches x = 0 from the left, the curve drops, but as we approach zero from the right, the curve rises.
- 3. Finally, on the right branch of the graph, the curves approaches the *x*-axis (y = 0) as $x \to \infty$.

To summarize, we use **arrow notation** to show that x or f(x) is approaching a particular value. See **Table 3.7**.

Symbol	Meaning				
$x \to a^-$	x approaches a from the left ($x < a$ but close to a)				
$x \rightarrow a^+$	x approaches a from the right ($x > a$ but close to a)				
$x \to \infty$	x approaches infinity (x increases without bound)				
$x \to -\infty$	x approaches negative infinity (x decreases without bound)				
$f(x) \to \infty$	the output approaches infinity (the output increases without bound)				
$f(x) \to -\infty$	the output approaches negative infinity (the output decreases without bound)				
$f(x) \to a$	the output approaches a				

Table 3.7 Arrow Notation

Local Behavior of $f(x) = \frac{1}{x}$

Let's begin by looking at the reciprocal function, $f(x) = \frac{1}{x}$. We cannot divide by zero, which means the function is undefined at x = 0; so zero is not in the domain. As the input values approach zero from the left side (becoming very small, negative values), the function values decrease without bound (in other words, they approach negative infinity). We can see this behavior in **Table 3.8**.

x	-0.1	-0.01	-0.001	-0.0001
$f(x) = \frac{1}{x}$	-10	-100	-1000	-10,000

Table 3.8

We write in arrow notation

as
$$x \to 0^-$$
, $f(x) \to -\infty$

As the input values approach zero from the right side (becoming very small, positive values), the function values increase without bound (approaching infinity). We can see this behavior in **Table 3.9**.

x	0.1	0.01	0.001	0.0001
$f(x) = \frac{1}{x}$	10	100	1000	10,000

Table 3.9

We write in arrow notation

As
$$x \to 0^+$$
, $f(x) \to \infty$.

See Figure 3.68.

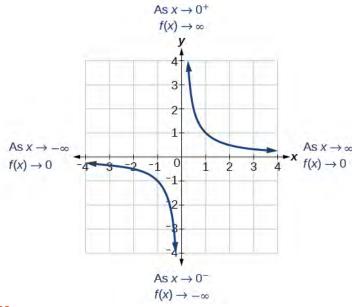


Figure 3.68

This behavior creates a **vertical asymptote**, which is a vertical line that the graph approaches but never crosses. In this case, the graph is approaching the vertical line x = 0 as the input becomes close to zero. See **Figure 3.69**.

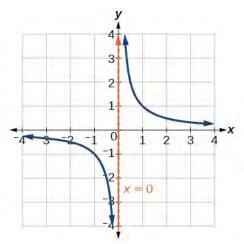


Figure 3.69

Vertical Asymptote

A **vertical asymptote** of a graph is a vertical line x = a where the graph tends toward positive or negative infinity as the inputs approach a. We write

As
$$x \to a$$
, $f(x) \to \infty$, or as $x \to a$, $f(x) \to -\infty$.

End Behavior of $f(x) = \frac{1}{x}$

As the values of x approach infinity, the function values approach 0. As the values of x approach negative infinity, the function values approach 0. See **Figure 3.70**. Symbolically, using arrow notation

As
$$x \to \infty$$
, $f(x) \to 0$, and as $x \to -\infty$, $f(x) \to 0$.

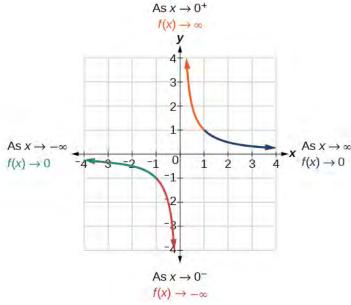


Figure 3.70

Based on this overall behavior and the graph, we can see that the function approaches 0 but never actually reaches 0; it seems to level off as the inputs become large. This behavior creates a **horizontal asymptote**, a horizontal line that the graph approaches as the input increases or decreases without bound. In this case, the graph is approaching the horizontal line y = 0. See **Figure 3.71.**

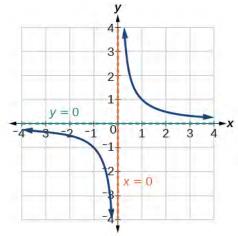


Figure 3.71

Horizontal Asymptote

A **horizontal asymptote** of a graph is a horizontal line y = b where the graph approaches the line as the inputs increase or decrease without bound. We write

As
$$x \to \infty$$
 or $x \to -\infty$, $f(x) \to b$.

Example 3.59

Using Arrow Notation

Use arrow notation to describe the end behavior and local behavior of the function graphed in Figure 3.72.

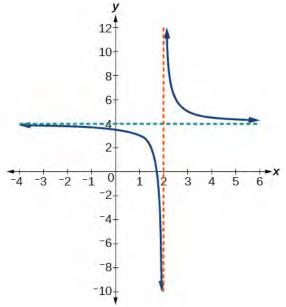


Figure 3.72

Solution

Notice that the graph is showing a vertical asymptote at x = 2, which tells us that the function is undefined at x = 2.

As
$$x \to 2^-$$
, $f(x) \to -\infty$, and as $x \to 2^+$, $f(x) \to \infty$.

And as the inputs decrease without bound, the graph appears to be leveling off at output values of 4, indicating a horizontal asymptote at y = 4. As the inputs increase without bound, the graph levels off at 4.

As
$$x \to \infty$$
, $f(x) \to 4$ and as $x \to -\infty$, $f(x) \to 4$.



3.38 Use arrow notation to describe the end behavior and local behavior for the reciprocal squared function.

Example 3.60

Using Transformations to Graph a Rational Function

Sketch a graph of the reciprocal function shifted two units to the left and up three units. Identify the horizontal and vertical asymptotes of the graph, if any.

Solution

Shifting the graph left 2 and up 3 would result in the function

$$f(x) = \frac{1}{x+2} + 3$$

or equivalently, by giving the terms a common denominator,

$$f(x) = \frac{3x + 7}{x + 2}$$

The graph of the shifted function is displayed in Figure 3.73.

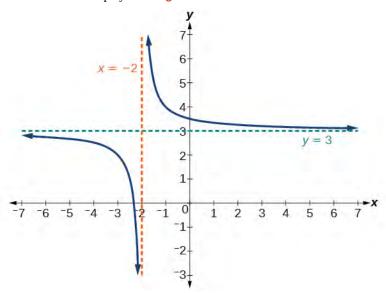


Figure 3.73

Notice that this function is undefined at x = -2, and the graph also is showing a vertical asymptote at x = -2.

As
$$x \to -2^-$$
, $f(x) \to -\infty$, and as $x \to -2^+$, $f(x) \to \infty$.

As the inputs increase and decrease without bound, the graph appears to be leveling off at output values of 3, indicating a horizontal asymptote at y = 3.

As
$$x \to \pm \infty$$
, $f(x) \to 3$.

Analysis

Notice that horizontal and vertical asymptotes are shifted left 2 and up 3 along with the function.



3.39 Sketch the graph, and find the horizontal and vertical asymptotes of the reciprocal squared function that has been shifted right 3 units and down 4 units.

Solving Applied Problems Involving Rational Functions

In **Example 3.60**, we shifted a toolkit function in a way that resulted in the function $f(x) = \frac{3x+7}{x+2}$. This is an example of

a rational function. A **rational function** is a function that can be written as the quotient of two polynomial functions. Many real-world problems require us to find the ratio of two polynomial functions. Problems involving rates and concentrations often involve rational functions.

Rational Function

A **rational function** is a function that can be written as the quotient of two polynomial functions P(x) and Q(x).

$$f(x) = \frac{P(x)}{Q(x)} = \frac{a_p x^p + a_{p-1} x^{p-1} + \dots + a_1 x + a_0}{b_q x^q + b_{q-1} x^{q-1} + \dots + b_1 x + b_0}, Q(x) \neq 0$$
(3.5)

Example 3.61

Solving an Applied Problem Involving a Rational Function

A large mixing tank currently contains 100 gallons of water into which 5 pounds of sugar have been mixed. A tap will open pouring 10 gallons per minute of water into the tank at the same time sugar is poured into the tank at a rate of 1 pound per minute. Find the concentration (pounds per gallon) of sugar in the tank after 12 minutes. Is that a greater concentration than at the beginning?

Solution

Let *t* be the number of minutes since the tap opened. Since the water increases at 10 gallons per minute, and the sugar increases at 1 pound per minute, these are constant rates of change. This tells us the amount of water in the tank is changing linearly, as is the amount of sugar in the tank. We can write an equation independently for each:

water:
$$W(t) = 100 + 10t$$
 in gallons sugar: $S(t) = 5 + 1t$ in pounds

The concentration, C, will be the ratio of pounds of sugar to gallons of water

$$C(t) = \frac{5+t}{100+10t}$$

The concentration after 12 minutes is given by evaluating C(t) at t = 12.

$$C(12) = \frac{5+12}{100+10(12)}$$
$$= \frac{17}{220}$$

This means the concentration is 17 pounds of sugar to 220 gallons of water.

At the beginning, the concentration is

$$C(0) = \frac{5+0}{100+10(0)}$$
$$= \frac{1}{20}$$

Since $\frac{17}{220} \approx 0.08 > \frac{1}{20} = 0.05$, the concentration is greater after 12 minutes than at the beginning.

Analysis

To find the horizontal asymptote, divide the leading coefficient in the numerator by the leading coefficient in the denominator:

$$\frac{1}{10} = 0.1$$

Notice the horizontal asymptote is y = 0.1. This means the concentration, C, the ratio of pounds of sugar to gallons of water, will approach 0.1 in the long term.



3.40 There are 1,200 freshmen and 1,500 sophomores at a prep rally at noon. After 12 p.m., 20 freshmen arrive at the rally every five minutes while 15 sophomores leave the rally. Find the ratio of freshmen to sophomores at 1 p.m.

Finding the Domains of Rational Functions

A vertical asymptote represents a value at which a rational function is undefined, so that value is not in the domain of the function. A reciprocal function cannot have values in its domain that cause the denominator to equal zero. In general, to find the domain of a rational function, we need to determine which inputs would cause division by zero.

Domain of a Rational Function

The domain of a rational function includes all real numbers except those that cause the denominator to equal zero.



Given a rational function, find the domain.

- 1. Set the denominator equal to zero.
- 2. Solve to find the *x*-values that cause the denominator to equal zero.
- 3. The domain is all real numbers except those found in Step 2.

Example 3.62

Finding the Domain of a Rational Function

Find the domain of $f(x) = \frac{x+3}{x^2-9}$.

Solution

Begin by setting the denominator equal to zero and solving.

$$x^{2} - 9 = 0$$

$$x^{2} = 9$$

$$x = \pm 3$$

The denominator is equal to zero when $x = \pm 3$. The domain of the function is all real numbers except $x = \pm 3$.

Analysis

A graph of this function, as shown in **Figure 3.74**, confirms that the function is not defined when $x = \pm 3$.

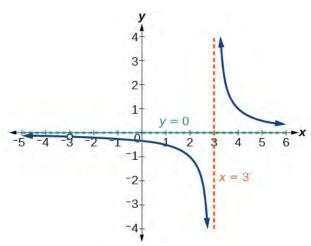


Figure 3.74

There is a vertical asymptote at x = 3 and a hole in the graph at x = -3. We will discuss these types of holes in greater detail later in this section.



3.41 Find the domain of $f(x) = \frac{4x}{5(x-1)(x-5)}$.

Identifying Vertical Asymptotes of Rational Functions

By looking at the graph of a rational function, we can investigate its local behavior and easily see whether there are asymptotes. We may even be able to approximate their location. Even without the graph, however, we can still determine whether a given rational function has any asymptotes, and calculate their location.

Vertical Asymptotes

The vertical asymptotes of a rational function may be found by examining the factors of the denominator that are not common to the factors in the numerator. Vertical asymptotes occur at the zeros of such factors.



Given a rational function, identify any vertical asymptotes of its graph.

- 1. Factor the numerator and denominator.
- 2. Note any restrictions in the domain of the function.
- 3. Reduce the expression by canceling common factors in the numerator and the denominator.
- 4. Note any values that cause the denominator to be zero in this simplified version. These are where the vertical asymptotes occur.
- 5. Note any restrictions in the domain where asymptotes do not occur. These are removable discontinuities.

Example 3.63

Identifying Vertical Asymptotes

Find the vertical asymptotes of the graph of $k(x) = \frac{5 + 2x^2}{2 - x - x^2}$.

Solution

First, factor the numerator and denominator.

$$k(x) = \frac{5 + 2x^2}{2 - x - x^2}$$
$$= \frac{5 + 2x^2}{(2 + x)(1 - x)}$$

To find the vertical asymptotes, we determine where this function will be undefined by setting the denominator equal to zero:

$$(2+x)(1-x) = 0$$

x = -2, 1

Neither x = -2 nor x = 1 are zeros of the numerator, so the two values indicate two vertical asymptotes. The graph in **Figure 3.75** confirms the location of the two vertical asymptotes.

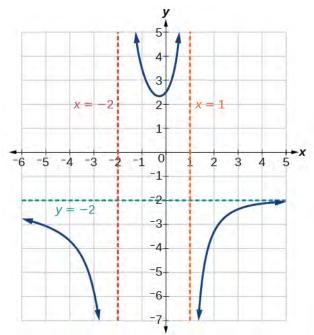


Figure 3.75

Removable Discontinuities

Occasionally, a graph will contain a hole: a single point where the graph is not defined, indicated by an open circle. We call such a hole a **removable discontinuity**.

For example, the function $f(x) = \frac{x^2 - 1}{x^2 - 2x - 3}$ may be re-written by factoring the numerator and the denominator.

$$f(x) = \frac{(x+1)(x-1)}{(x+1)(x-3)}$$

Notice that x + 1 is a common factor to the numerator and the denominator. The zero of this factor, x = -1, is the location of the removable discontinuity. Notice also that x - 3 is not a factor in both the numerator and denominator. The zero of this factor, x = 3, is the vertical asymptote. See **Figure 3.76**.

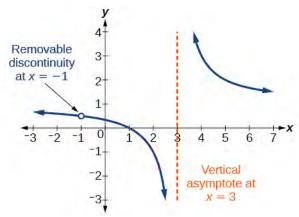


Figure 3.76

Removable Discontinuities of Rational Functions

A **removable discontinuity** occurs in the graph of a rational function at x = a if a is a zero for a factor in the denominator that is common with a factor in the numerator. We factor the numerator and denominator and check for common factors. If we find any, we set the common factor equal to 0 and solve. This is the location of the removable discontinuity. This is true if the multiplicity of this factor is greater than or equal to that in the denominator. If the multiplicity of this factor is greater in the denominator, then there is still an asymptote at that value.

Example 3.64

Identifying Vertical Asymptotes and Removable Discontinuities for a Graph

Find the vertical asymptotes and removable discontinuities of the graph of $k(x) = \frac{x-2}{x^2-4}$.

Solution

Factor the numerator and the denominator.

$$k(x) = \frac{x - 2}{(x - 2)(x + 2)}$$

Notice that there is a common factor in the numerator and the denominator, x - 2. The zero for this factor is x = 2. This is the location of the removable discontinuity.

Notice that there is a factor in the denominator that is not in the numerator, x + 2. The zero for this factor is x = -2. The vertical asymptote is x = -2. See **Figure 3.77**.

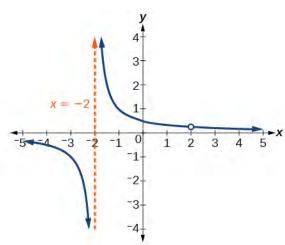


Figure 3.77

The graph of this function will have the vertical asymptote at x = -2, but at x = 2 the graph will have a hole.



Find the vertical asymptotes and removable discontinuities of the graph of $f(x) = \frac{x^2 - 25}{x^3 - 6x^2 + 5x}$.

Identifying Horizontal Asymptotes of Rational Functions

While vertical asymptotes describe the behavior of a graph as the *output* gets very large or very small, horizontal asymptotes help describe the behavior of a graph as the *input* gets very large or very small. Recall that a polynomial's end behavior will mirror that of the leading term. Likewise, a rational function's end behavior will mirror that of the ratio of the leading terms of the numerator and denominator functions.

There are three distinct outcomes when checking for horizontal asymptotes:

Case 1: If the degree of the denominator > degree of the numerator, there is a horizontal asymptote at y = 0.

Example:
$$f(x) = \frac{4x + 2}{x^2 + 4x - 5}$$

In this case, the end behavior is $f(x) \approx \frac{4x}{x^2} = \frac{4}{x}$. This tells us that, as the inputs increase or decrease without bound, this function will behave similarly to the function $g(x) = \frac{4}{x}$, and the outputs will approach zero, resulting in a horizontal asymptote at y = 0. See **Figure 3.78**. Note that this graph crosses the horizontal asymptote.

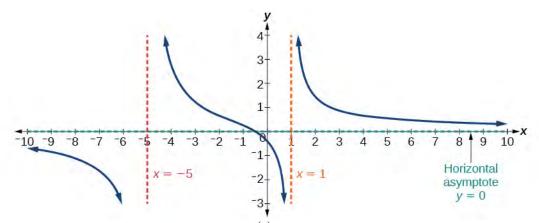


Figure 3.78 Horizontal Asymptote y = 0 when $f(x) = \frac{p(x)}{q(x)}$, $q(x) \neq 0$ where degree of p < degree of q.

Case 2: If the degree of the denominator < degree of the numerator by one, we get a slant asymptote.

Example:
$$f(x) = \frac{3x^2 - 2x + 1}{x - 1}$$

In this case, the end behavior is $f(x) \approx \frac{3x^2}{x} = 3x$. This tells us that as the inputs increase or decrease without bound, this function will behave similarly to the function g(x) = 3x. As the inputs grow large, the outputs will grow and not level off, so this graph has no horizontal asymptote. However, the graph of g(x) = 3x looks like a diagonal line, and since f will behave similarly to g, it will approach a line close to y = 3x. This line is a slant asymptote.

To find the equation of the slant asymptote, divide $\frac{3x^2 - 2x + 1}{x - 1}$. The quotient is 3x + 1, and the remainder is 2. The slant asymptote is the graph of the line g(x) = 3x + 1. See **Figure 3.79**.

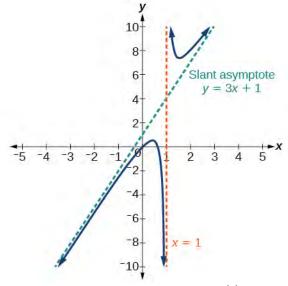


Figure 3.79 Slant Asymptote when $f(x) = \frac{p(x)}{q(x)}$, $q(x) \neq 0$ where degree of p > degree of q by 1.

Case 3: If the degree of the denominator = degree of the numerator, there is a horizontal asymptote at $y = \frac{a_n}{b_n}$, where a_n and b_n are the leading coefficients of p(x) and q(x) for $f(x) = \frac{p(x)}{q(x)}$, $q(x) \neq 0$.

Example:
$$f(x) = \frac{3x^2 + 2}{x^2 + 4x - 5}$$

In this case, the end behavior is $f(x) \approx \frac{3x^2}{x^2} = 3$. This tells us that as the inputs grow large, this function will behave like the function g(x) = 3, which is a horizontal line. As $x \to \pm \infty$, $f(x) \to 3$, resulting in a horizontal asymptote at y = 3. See **Figure 3.80**. Note that this graph crosses the horizontal asymptote.

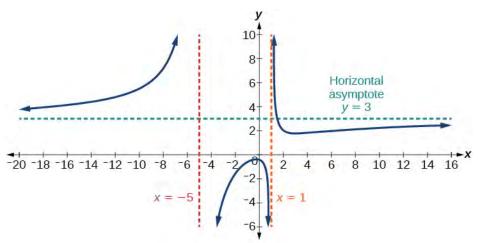


Figure 3.80 Horizontal Asymptote when

$$f(x) = \frac{p(x)}{q(x)}$$
, $q(x) \neq 0$ where degree of $p =$ degree of q .

Notice that, while the graph of a rational function will never cross a vertical asymptote, the graph may or may not cross a horizontal or slant asymptote. Also, although the graph of a rational function may have many vertical asymptotes, the graph will have at most one horizontal (or slant) asymptote.

It should be noted that, if the degree of the numerator is larger than the degree of the denominator by more than one, the end behavior of the graph will mimic the behavior of the reduced end behavior fraction. For instance, if we had the function

$$f(x) = \frac{3x^5 - x^2}{x + 3}$$

with end behavior

$$f(x) \approx \frac{3x^5}{x} = 3x^4,$$

the end behavior of the graph would look similar to that of an even polynomial with a positive leading coefficient.

$$x \to \pm \infty$$
, $f(x) \to \infty$

Horizontal Asymptotes of Rational Functions

The horizontal asymptote of a rational function can be determined by looking at the degrees of the numerator and denominator.

• Degree of numerator is less than degree of denominator: horizontal asymptote at y = 0.

- Degree of numerator is greater than degree of denominator by one: no horizontal asymptote; slant asymptote.
- Degree of numerator is equal to degree of denominator: horizontal asymptote at ratio of leading coefficients.

Example 3.65

Identifying Horizontal and Slant Asymptotes

For the functions below, identify the horizontal or slant asymptote.

a.
$$g(x) = \frac{6x^3 - 10x}{2x^3 + 5x^2}$$

b.
$$h(x) = \frac{x^2 - 4x + 1}{x + 2}$$

c.
$$k(x) = \frac{x^2 + 4x}{x^3 - 8}$$

Solution

For these solutions, we will use $f(x) = \frac{p(x)}{q(x)}$, $q(x) \neq 0$.

- a. $g(x) = \frac{6x^3 10x}{2x^3 + 5x^2}$: The degree of p = degree of q = 3, so we can find the horizontal asymptote by taking the ratio of the leading terms. There is a horizontal asymptote at $y = \frac{6}{2}$ or y = 3.
- b. $h(x) = \frac{x^2 4x + 1}{x + 2}$: The degree of p = 2 and degree of q = 1. Since p > q by 1, there is a slant asymptote found at $\frac{x^2 4x + 1}{x + 2}$.

$$2 \begin{bmatrix} 1 & -4 & 1 \\ -2 & 12 \\ 1 & -6 & 13 \end{bmatrix}$$

The quotient is x - 2 and the remainder is 13. There is a slant asymptote at y = -x - 2.

c. $k(x) = \frac{x^2 + 4x}{x^3 - 8}$: The degree of p = 2 < degree of q = 3, so there is a horizontal asymptote y = 0.

Example 3.66

Identifying Horizontal Asymptotes

In the sugar concentration problem earlier, we created the equation $C(t) = \frac{5+t}{100+10t}$.

Find the horizontal asymptote and interpret it in context of the problem.

Solution

Both the numerator and denominator are linear (degree 1). Because the degrees are equal, there will be a horizontal asymptote at the ratio of the leading coefficients. In the numerator, the leading term is t, with coefficient 1. In the denominator, the leading term is t, with coefficient 10. The horizontal asymptote will be at the ratio of these values:

$$t \to \infty$$
, $C(t) \to \frac{1}{10}$

This function will have a horizontal asymptote at $y = \frac{1}{10}$.

This tells us that as the values of t increase, the values of C will approach $\frac{1}{10}$. In context, this means that, as more time goes by, the concentration of sugar in the tank will approach one-tenth of a pound of sugar per gallon of water or $\frac{1}{10}$ pounds per gallon.

Example 3.67

Identifying Horizontal and Vertical Asymptotes

Find the horizontal and vertical asymptotes of the function

$$f(x) = \frac{(x-2)(x+3)}{(x-1)(x+2)(x-5)}$$

Solution

First, note that this function has no common factors, so there are no potential removable discontinuities.

The function will have vertical asymptotes when the denominator is zero, causing the function to be undefined. The denominator will be zero at x = 1, -2, and 5, indicating vertical asymptotes at these values.

The numerator has degree 2, while the denominator has degree 3. Since the degree of the denominator is greater than the degree of the numerator, the denominator will grow faster than the numerator, causing the outputs to tend towards zero as the inputs get large, and so as $x \to \pm \infty$, $f(x) \to 0$. This function will have a horizontal asymptote at y = 0. See **Figure 3.81**.

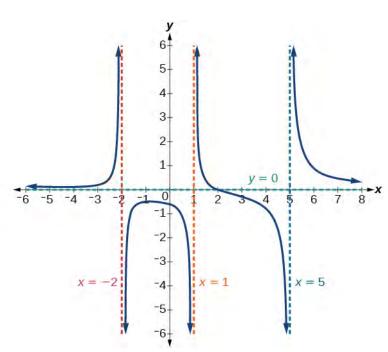


Figure 3.81



3.43 Find the vertical and horizontal asymptotes of the function:

$$f(x) = \frac{(2x-1)(2x+1)}{(x-2)(x+3)}$$

Intercepts of Rational Functions

A rational function will have a *y*-intercept when the input is zero, if the function is defined at zero. A rational function will not have a *y*-intercept if the function is not defined at zero.

Likewise, a rational function will have *x*-intercepts at the inputs that cause the output to be zero. Since a fraction is only equal to zero when the numerator is zero, *x*-intercepts can only occur when the numerator of the rational function is equal to zero.

Example 3.68

Finding the Intercepts of a Rational Function

Find the intercepts of
$$f(x) = \frac{(x-2)(x+3)}{(x-1)(x+2)(x-5)}$$
.

Solution

We can find the *y*-intercept by evaluating the function at zero

$$f(0) = \frac{(0-2)(0+3)}{(0-1)(0+2)(0-5)}$$
$$= \frac{-6}{10}$$
$$= -\frac{3}{5}$$
$$= -0.6$$

The *x*-intercepts will occur when the function is equal to zero:

$$0 = \frac{(x-2)(x+3)}{(x-1)(x+2)(x-5)}$$
 This is zero when the numerator is zero.

$$0 = (x-2)(x+3)$$

$$x = 2, -3$$

The *y*-intercept is (0, -0.6), the *x*-intercepts are (2, 0) and (-3, 0). See **Figure 3.82**.

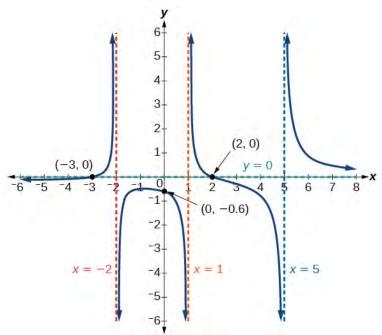


Figure 3.82



3.44 Given the reciprocal squared function that is shifted right 3 units and down 4 units, write this as a rational function. Then, find the *x*- and *y*-intercepts and the horizontal and vertical asymptotes.

Graphing Rational Functions

In **Example 3.67**, we see that the numerator of a rational function reveals the *x*-intercepts of the graph, whereas the denominator reveals the vertical asymptotes of the graph. As with polynomials, factors of the numerator may have integer powers greater than one. Fortunately, the effect on the shape of the graph at those intercepts is the same as we saw with polynomials.

The vertical asymptotes associated with the factors of the denominator will mirror one of the two toolkit reciprocal functions. When the degree of the factor in the denominator is odd, the distinguishing characteristic is that on one side of

the vertical asymptote the graph heads towards positive infinity, and on the other side the graph heads towards negative infinity. See **Figure 3.83**.

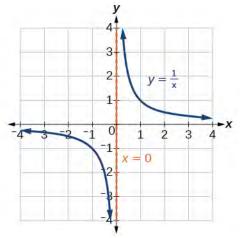


Figure 3.83

When the degree of the factor in the denominator is even, the distinguishing characteristic is that the graph either heads toward positive infinity on both sides of the vertical asymptote or heads toward negative infinity on both sides. See **Figure 3.84**.

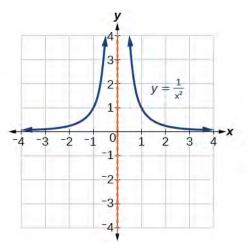


Figure 3.84

For example, the graph of $f(x) = \frac{(x+1)^2(x-3)}{(x+3)^2(x-2)}$ is shown in **Figure 3.85**.

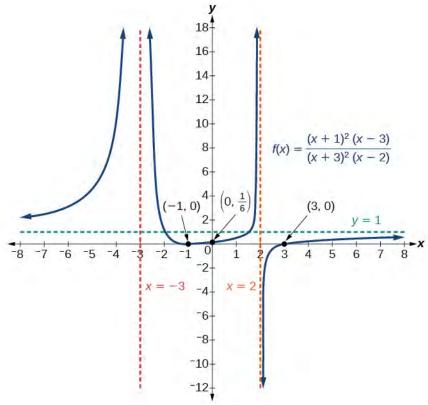


Figure 3.85

- At the *x*-intercept x = -1 corresponding to the $(x + 1)^2$ factor of the numerator, the graph bounces, consistent with the quadratic nature of the factor.
- At the *x*-intercept x = 3 corresponding to the (x 3) factor of the numerator, the graph passes through the axis as we would expect from a linear factor.
- At the vertical asymptote x = -3 corresponding to the $(x + 3)^2$ factor of the denominator, the graph heads towards positive infinity on both sides of the asymptote, consistent with the behavior of the function $f(x) = \frac{1}{x^2}$.
- At the vertical asymptote x = 2, corresponding to the (x 2) factor of the denominator, the graph heads towards positive infinity on the left side of the asymptote and towards negative infinity on the right side, consistent with the behavior of the function $f(x) = \frac{1}{x}$.



Given a rational function, sketch a graph.

- 1. Evaluate the function at 0 to find the *y*-intercept.
- 2. Factor the numerator and denominator.
- 3. For factors in the numerator not common to the denominator, determine where each factor of the numerator is zero to find the *x*-intercepts.
- 4. Find the multiplicities of the *x*-intercepts to determine the behavior of the graph at those points.
- 5. For factors in the denominator, note the multiplicities of the zeros to determine the local behavior. For those factors not common to the numerator, find the vertical asymptotes by setting those factors equal to zero and then solve.
- 6. For factors in the denominator common to factors in the numerator, find the removable discontinuities by setting those factors equal to 0 and then solve.
- 7. Compare the degrees of the numerator and the denominator to determine the horizontal or slant asymptotes.
- 8. Sketch the graph.

Example 3.69

Graphing a Rational Function

Sketch a graph of
$$f(x) = \frac{(x+2)(x-3)}{(x+1)^2(x-2)}$$
.

Solution

We can start by noting that the function is already factored, saving us a step.

Next, we will find the intercepts. Evaluating the function at zero gives the *y*-intercept:

$$f(0) = \frac{(0+2)(0-3)}{(0+1)^2(0-2)}$$

= 3

To find the *x*-intercepts, we determine when the numerator of the function is zero. Setting each factor equal to zero, we find *x*-intercepts at x = -2 and x = 3. At each, the behavior will be linear (multiplicity 1), with the graph passing through the intercept.

We have a *y*-intercept at (0, 3) and *x*-intercepts at (-2, 0) and (3, 0).

To find the vertical asymptotes, we determine when the denominator is equal to zero. This occurs when x + 1 = 0 and when x - 2 = 0, giving us vertical asymptotes at x = -1 and x = 2.

There are no common factors in the numerator and denominator. This means there are no removable discontinuities.

Finally, the degree of denominator is larger than the degree of the numerator, telling us this graph has a horizontal asymptote at y = 0.

To sketch the graph, we might start by plotting the three intercepts. Since the graph has no *x*-intercepts between the vertical asymptotes, and the *y*-intercept is positive, we know the function must remain positive between the asymptotes, letting us fill in the middle portion of the graph as shown in **Figure 3.86**.

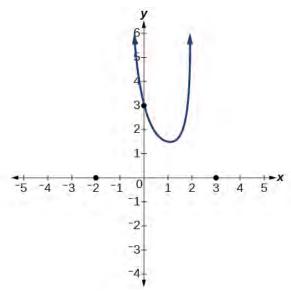


Figure 3.86

The factor associated with the vertical asymptote at x = -1 was squared, so we know the behavior will be the same on both sides of the asymptote. The graph heads toward positive infinity as the inputs approach the asymptote on the right, so the graph will head toward positive infinity on the left as well.

For the vertical asymptote at x = 2, the factor was not squared, so the graph will have opposite behavior on either side of the asymptote. See **Figure 3.87**. After passing through the x-intercepts, the graph will then level off toward an output of zero, as indicated by the horizontal asymptote.

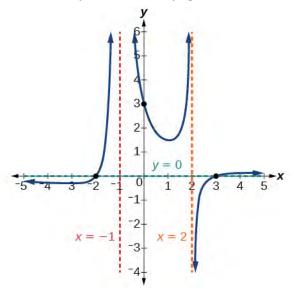


Figure 3.87



Given the function $f(x) = \frac{(x+2)^2(x-2)}{2(x-1)^2(x-3)}$, use the characteristics of polynomials and rational

functions to describe its behavior and sketch the function.

Writing Rational Functions

Now that we have analyzed the equations for rational functions and how they relate to a graph of the function, we can use information given by a graph to write the function. A rational function written in factored form will have an *x*-intercept where each factor of the numerator is equal to zero. (An exception occurs in the case of a removable discontinuity.) As a result, we can form a numerator of a function whose graph will pass through a set of *x*-intercepts by introducing a corresponding set of factors. Likewise, because the function will have a vertical asymptote where each factor of the denominator is equal to zero, we can form a denominator that will produce the vertical asymptotes by introducing a corresponding set of factors.

Writing Rational Functions from Intercepts and Asymptotes

If a rational function has *x*-intercepts at $x = x_1$, x_2 , ..., x_n , vertical asymptotes at $x = v_1, v_2, \ldots, v_m$, and no $x_i = \text{any } v_i$, then the function can be written in the form:

$$f(x) = a \frac{(x - x_1)^{p_1} (x - x_2)^{p_2} \cdots (x - x_n)^{p_n}}{(x - v_1)^{q_1} (x - v_2)^{q_2} \cdots (x - v_m)^{q_n}}$$

where the powers p_i or q_i on each factor can be determined by the behavior of the graph at the corresponding intercept or asymptote, and the stretch factor a can be determined given a value of the function other than the x-intercept or by the horizontal asymptote if it is nonzero.



Given a graph of a rational function, write the function.

- 1. Determine the factors of the numerator. Examine the behavior of the graph at the *x*-intercepts to determine the zeroes and their multiplicities. (This is easy to do when finding the "simplest" function with small multiplicities—such as 1 or 3—but may be difficult for larger multiplicities—such as 5 or 7, for example.)
- 2. Determine the factors of the denominator. Examine the behavior on both sides of each vertical asymptote to determine the factors and their powers.
- 3. Use any clear point on the graph to find the stretch factor.

Example 3.70

Writing a Rational Function from Intercepts and Asymptotes

Write an equation for the rational function shown in **Figure 3.88**.

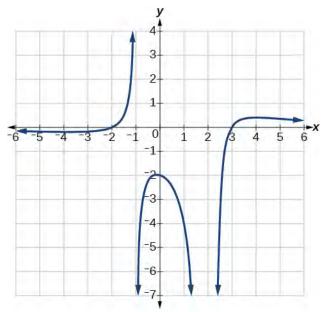


Figure 3.88

Solution

The graph appears to have *x*-intercepts at x = -2 and x = 3. At both, the graph passes through the intercept, suggesting linear factors. The graph has two vertical asymptotes. The one at x = -1 seems to exhibit the basic behavior similar to $\frac{1}{x}$, with the graph heading toward positive infinity on one side and heading toward negative infinity on the other. The asymptote at x = 2 is exhibiting a behavior similar to $\frac{1}{x^2}$, with the graph heading toward negative infinity on both sides of the asymptote. See **Figure 3.89**.

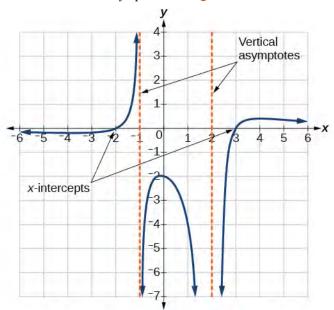


Figure 3.89

We can use this information to write a function of the form

$$f(x) = a \frac{(x+2)(x-3)}{(x+1)(x-2)^2}.$$

To find the stretch factor, we can use another clear point on the graph, such as the *y*-intercept (0, -2).

$$-2 = a \frac{(0+2)(0-3)}{(0+1)(0-2)^2}$$
$$-2 = a \frac{-6}{4}$$
$$a = \frac{-8}{-6} = \frac{4}{3}$$

This gives us a final function of $f(x) = \frac{4(x+2)(x-3)}{3(x+1)(x-2)^2}$.



Access these online resources for additional instruction and practice with rational functions.

- · Graphing Rational Functions (http://openstaxcollege.org/l/graphrational)
- Find the Equation of a Rational Function (http://openstaxcollege.org/l/equatrational)
- Determining Vertical and Horizontal Asymptotes (http://openstaxcollege.org/l/asymptote)
- Find the Intercepts, Asymptotes, and Hole of a Rational Function (http://openstaxcollege.org/l/interasymptote)

3.7 EXERCISES

Verbal

455. What is the fundamental difference in the algebraic representation of a polynomial function and a rational function?

456. What is the fundamental difference in the graphs of polynomial functions and rational functions?

457. If the graph of a rational function has a removable discontinuity, what must be true of the functional rule?

458. Can a graph of a rational function have no vertical asymptote? If so, how?

459. Can a graph of a rational function have no *x*-intercepts? If so, how?

Algebraic

For the following exercises, find the domain of the rational functions.

460.
$$f(x) = \frac{x-1}{x+2}$$

461.
$$f(x) = \frac{x+1}{x^2 - 1}$$

462.
$$f(x) = \frac{x^2 + 4}{x^2 - 2x - 8}$$

463.
$$f(x) = \frac{x^2 + 4x - 3}{x^4 - 5x^2 + 4}$$

For the following exercises, find the domain, vertical asymptotes, and horizontal asymptotes of the functions.

464.
$$f(x) = \frac{4}{x-1}$$

465.
$$f(x) = \frac{2}{5x + 2}$$

466.
$$f(x) = \frac{x}{x^2 - 9}$$

467.
$$f(x) = \frac{x}{x^2 + 5x - 36}$$

468.
$$f(x) = \frac{3+x}{x^3-27}$$

469.
$$f(x) = \frac{3x - 4}{x^3 - 16x}$$

470.

$$f(x) = \frac{x^2 - 1}{x^3 + 9x^2 + 14x}$$

471.
$$f(x) = \frac{x+5}{x^2-25}$$

472.
$$f(x) = \frac{x-4}{x-6}$$

473.
$$f(x) = \frac{4-2x}{3x-1}$$

For the following exercises, find the *x*- and *y*-intercepts for the functions.

474.
$$f(x) = \frac{x+5}{x^2+4}$$

475.
$$f(x) = \frac{x}{x^2 - x}$$

476.
$$f(x) = \frac{x^2 + 8x + 7}{x^2 + 11x + 30}$$

477.
$$f(x) = \frac{x^2 + x + 6}{x^2 - 10x + 24}$$

478.
$$f(x) = \frac{94 - 2x^2}{3x^2 - 12}$$

For the following exercises, describe the local and end behavior of the functions.

479.
$$f(x) = \frac{x}{2x+1}$$

480.
$$f(x) = \frac{2x}{x-6}$$

481.
$$f(x) = \frac{-2x}{x-6}$$

482.
$$f(x) = \frac{x^2 - 4x + 3}{x^2 - 4x - 5}$$

483.
$$f(x) = \frac{2x^2 - 32}{6x^2 + 13x - 5}$$

For the following exercises, find the slant asymptote of the functions.

484.
$$f(x) = \frac{24x^2 + 6x}{2x + 1}$$

485.

$$f(x) = \frac{4x^2 - 10}{2x - 4}$$

486.
$$f(x) = \frac{81x^2 - 18}{3x - 2}$$

487.
$$f(x) = \frac{6x^3 - 5x}{3x^2 + 4}$$

488.
$$f(x) = \frac{x^2 + 5x + 4}{x - 1}$$

Graphical

For the following exercises, use the given transformation to graph the function. Note the vertical and horizontal asymptotes.

- 489. The reciprocal function shifted up two units.
- 490. The reciprocal function shifted down one unit and left three units.
- 491. The reciprocal squared function shifted to the right 2 units.
- 492. The reciprocal squared function shifted down 2 units and right 1 unit.

For the following exercises, find the horizontal intercepts, the vertical intercept, the vertical asymptotes, and the horizontal or slant asymptote of the functions. Use that information to sketch a graph.

493.
$$p(x) = \frac{2x-3}{x+4}$$

494.
$$q(x) = \frac{x-5}{3x-1}$$

495.
$$s(x) = \frac{4}{(x-2)^2}$$

496.
$$r(x) = \frac{5}{(x+1)^2}$$

497.
$$f(x) = \frac{3x^2 - 14x - 5}{3x^2 + 8x - 16}$$

498.
$$g(x) = \frac{2x^2 + 7x - 15}{3x^2 - 14 + 15}$$

499.
$$a(x) = \frac{x^2 + 2x - 3}{x^2 - 1}$$

500.
$$b(x) = \frac{x^2 - x - 6}{x^2 - 4}$$

501.
$$h(x) = \frac{2x^2 + x - 1}{x - 4}$$

502.
$$k(x) = \frac{2x^2 - 3x - 20}{x - 5}$$

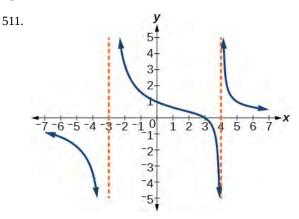
503.
$$w(x) = \frac{(x-1)(x+3)(x-5)}{(x+2)^2(x-4)}$$

504.
$$z(x) = \frac{(x+2)^2(x-5)}{(x-3)(x+1)(x+4)}$$

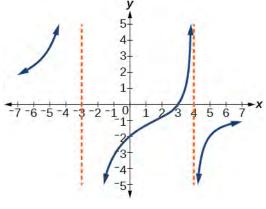
For the following exercises, write an equation for a rational function with the given characteristics.

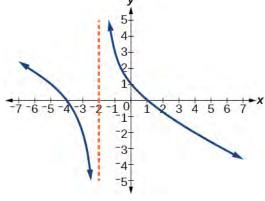
- 505. Vertical asymptotes at x = 5 and x = -5, x-intercepts at (2, 0) and (-1, 0), y-intercept at (0, 4)
- 506. Vertical asymptotes at x = -4 and x = -1, x-intercepts at (1, 0) and (5, 0), y-intercept at (0, 7)
- 507. Vertical asymptotes at x = -4 and x = -5, x-intercepts at (4, 0) and (-6, 0), Horizontal asymptote at y = 7
- 508. Vertical asymptotes at x = -3 and x = 6, x-intercepts at (-2, 0) and (1, 0), Horizontal asymptote at y = -2
- 509. Vertical asymptote at x = -1, Double zero at x = 2, y-intercept at (0, 2)
- 510. Vertical asymptote at x = 3, Double zero at x = 1, y-intercept at (0, 4)

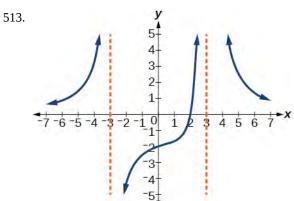
For the following exercises, use the graphs to write an equation for the function.

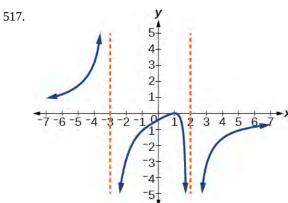


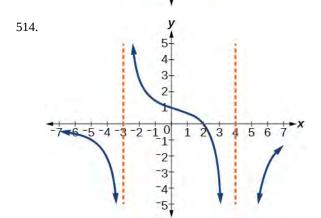
512.

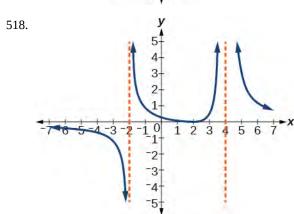


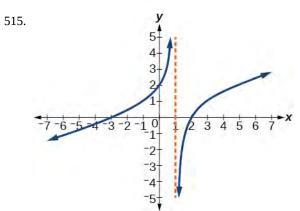












516.

Numeric

For the following exercises, make tables to show the behavior of the function near the vertical asymptote and reflecting the horizontal asymptote

519.
$$f(x) = \frac{1}{x-2}$$

520.
$$f(x) = \frac{x}{x-3}$$

$$521. \quad f(x) = \frac{2x}{x+4}$$

522.
$$f(x) = \frac{2x}{(x-3)^2}$$

523.
$$f(x) = \frac{x^2}{x^2 + 2x + 1}$$

Technology

For the following exercises, use a calculator to graph f(x). Use the graph to solve f(x) > 0.

524.
$$f(x) = \frac{2}{x+1}$$

525.
$$f(x) = \frac{4}{2x - 3}$$

526.
$$f(x) = \frac{2}{(x-1)(x+2)}$$

527.
$$f(x) = \frac{x+2}{(x-1)(x-4)}$$

528.
$$f(x) = \frac{(x+3)^2}{(x-1)^2(x+1)}$$

Extensions

For the following exercises, identify the removable discontinuity.

529.
$$f(x) = \frac{x^2 - 4}{x - 2}$$

530.
$$f(x) = \frac{x^3 + 1}{x + 1}$$

$$531. \quad f(x) = \frac{x^2 + x - 6}{x - 2}$$

532.
$$f(x) = \frac{2x^2 + 5x - 3}{x + 3}$$

533.
$$f(x) = \frac{x^3 + x^2}{x + 1}$$

Real-World Applications

For the following exercises, express a rational function that describes the situation.

- 534. A large mixing tank currently contains 200 gallons of water, into which 10 pounds of sugar have been mixed. A tap will open, pouring 10 gallons of water per minute into the tank at the same time sugar is poured into the tank at a rate of 3 pounds per minute. Find the concentration (pounds per gallon) of sugar in the tank after t minutes.
- 535. A large mixing tank currently contains 300 gallons of water, into which 8 pounds of sugar have been mixed. A tap will open, pouring 20 gallons of water per minute into the tank at the same time sugar is poured into the tank at a rate

of 2 pounds per minute. Find the concentration (pounds per gallon) of sugar in the tank after t minutes.

For the following exercises, use the given rational function to answer the question.

536. The concentration *C* of a drug in a patient's bloodstream *t* hours after injection in given by $C(t) = \frac{2t}{3+t^2}$. What happens to the concentration of the

drug as t increases?

537. The concentration *C* of a drug in a patient's bloodstream *t* hours after injection is given by $C(t) = \frac{100t}{2t^2 + 75}$. Use a calculator to approximate the

time when the concentration is highest.

For the following exercises, construct a rational function that will help solve the problem. Then, use a calculator to answer the question.

- 538. An open box with a square base is to have a volume of 108 cubic inches. Find the dimensions of the box that will have minimum surface area. Let x = length of the side of the base.
- 539. A rectangular box with a square base is to have a volume of 20 cubic feet. The material for the base costs 30 cents/ square foot. The material for the sides costs 10 cents/ square foot. The material for the top costs 20 cents/square foot. Determine the dimensions that will yield minimum cost. Let x = length of the side of the base.
- 540. A right circular cylinder has volume of 100 cubic inches. Find the radius and height that will yield minimum surface area. Let x = radius.
- 541. A right circular cylinder with no top has a volume of 50 cubic meters. Find the radius that will yield minimum surface area. Let x = radius.
- 542. A right circular cylinder is to have a volume of 40 cubic inches. It costs 4 cents/square inch to construct the top and bottom and 1 cent/square inch to construct the rest of the cylinder. Find the radius to yield minimum cost. Let x = radius.

3.8 | Inverses and Radical Functions

Learning Objectives

In this section, you will:

- **3.8.1** Find the inverse of a polynomial function.
- **3.8.2** Restrict the domain to find the inverse of a polynomial function.

A mound of gravel is in the shape of a cone with the height equal to twice the radius.

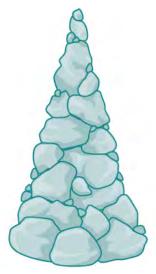


Figure 3.90

The volume is found using a formula from elementary geometry.

$$V = \frac{1}{3}\pi r^2 h$$
$$= \frac{1}{3}\pi r^2 (2r)$$
$$= \frac{2}{3}\pi r^3$$

We have written the volume V in terms of the radius r. However, in some cases, we may start out with the volume and want to find the radius. For example: A customer purchases 100 cubic feet of gravel to construct a cone shape mound with a height twice the radius. What are the radius and height of the new cone? To answer this question, we use the formula

$$r = \sqrt[3]{\frac{3V}{2\pi}}$$

This function is the inverse of the formula for V in terms of r.

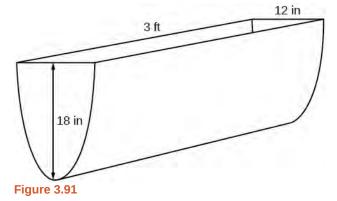
In this section, we will explore the inverses of polynomial and rational functions and in particular the radical functions we encounter in the process.

Finding the Inverse of a Polynomial Function

Two functions f and g are inverse functions if for every coordinate pair in f, (a, b), there exists a corresponding coordinate pair in the inverse function, g, (b, a). In other words, the coordinate pairs of the inverse functions have the input and output interchanged.

For a function to have an inverse function the function to create a new function that is one-to-one and would have an inverse function.

For example, suppose a water runoff collector is built in the shape of a parabolic trough as shown in **Figure 3.91**. We can use the information in the figure to find the surface area of the water in the trough as a function of the depth of the water.



Because it will be helpful to have an equation for the parabolic cross-sectional shape, we will impose a coordinate system at the cross section, with x measured horizontally and y measured vertically, with the origin at the vertex of the parabola. See **Figure 3.92**.

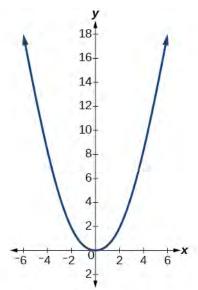


Figure 3.92

From this we find an equation for the parabolic shape. We placed the origin at the vertex of the parabola, so we know the equation will have form $y(x) = ax^2$. Our equation will need to pass through the point (6, 18), from which we can solve for the stretch factor a.

$$18 = a6^{2}$$

$$a = \frac{18}{36}$$

$$= \frac{1}{2}$$

Our parabolic cross section has the equation

$$y(x) = \frac{1}{2}x^2$$

We are interested in the surface area of the water, so we must determine the width at the top of the water as a function of the water depth. For any depth y the width will be given by 2x, so we need to solve the equation above for x and find the

inverse function. However, notice that the original function is not one-to-one, and indeed, given any output there are two inputs that produce the same output, one positive and one negative.

To find an inverse, we can restrict our original function to a limited domain on which it *is* one-to-one. In this case, it makes sense to restrict ourselves to positive *x* values. On this domain, we can find an inverse by solving for the input variable:

$$y = \frac{1}{2}x^2$$
$$2y = x^2$$
$$x = \pm \sqrt{2y}$$

This is not a function as written. We are limiting ourselves to positive x values, so we eliminate the negative solution, giving us the inverse function we're looking for.

$$y = \frac{x^2}{2}, \ x > 0$$

Because x is the distance from the center of the parabola to either side, the entire width of the water at the top will be 2x. The trough is 3 feet (36 inches) long, so the surface area will then be:

Area =
$$l \cdot w$$

= $36 \cdot 2x$
= $72x$
= $72\sqrt{2y}$

This example illustrates two important points:

- 1. When finding the inverse of a quadratic, we have to limit ourselves to a domain on which the function is one-to-one.
- The inverse of a quadratic function is a square root function. Both are toolkit functions and different types of power functions.

Functions involving roots are often called radical functions. While it is not possible to find an inverse of most polynomial functions, some basic polynomials do have inverses. Such functions are called **invertible functions**, and we use the notation $f^{-1}(x)$.

Warning: $f^{-1}(x)$ is not the same as the reciprocal of the function f(x). This use of "-1" is reserved to denote inverse functions. To denote the reciprocal of a function f(x), we would need to write $(f(x))^{-1} = \frac{1}{f(x)}$.

An important relationship between inverse functions is that they "undo" each other. If f^{-1} is the inverse of a function f, then f is the inverse of the function f^{-1} . In other words, whatever the function f does to f, f^{-1} undoes it—and viceversa. More formally, we write

$$f^{-1}(f(x)) = x$$
, for all x in the domain of f

and

$$f(f^{-1}(x)) = x$$
, for all x in the domain of f^{-1}

Verifying Two Functions Are Inverses of One Another

Two functions, f and g, are inverses of one another if for all x in the domain of f and g.

$$g(f(x)) = f(g(x)) = x$$



Given a polynomial function, find the inverse of the function by restricting the domain in such a way that the new function is one-to-one.

- 1. Replace f(x) with y.
- 2. Interchange x and y.
- **3.** Solve for y, and rename the function $f^{-1}(x)$.

Example 3.71

Verifying Inverse Functions

Show that $f(x) = \frac{1}{x+1}$ and $f^{-1}(x) = \frac{1}{x} - 1$ are inverses, for $x \neq 0, -1$.

Solution

We must show that $f^{-1}(f(x)) = x$ and $f(f^{-1}(x)) = x$.

$$f^{-1}(f(x)) = f^{-1}\left(\frac{1}{x+1}\right)$$

$$= \frac{1}{\frac{1}{x+1}} - 1$$

$$= (x+1) - 1$$

$$= x$$

$$f(f^{-1}(x)) = f\left(\frac{1}{x} - 1\right)$$

$$= \frac{1}{\left(\frac{1}{x} - 1\right) + 1}$$

$$= \frac{1}{\frac{1}{x}}$$

$$= x$$

Therefore, $f(x) = \frac{1}{x+1}$ and $f^{-1}(x) = \frac{1}{x} - 1$ are inverses.



3.46 Show that $f(x) = \frac{x+5}{3}$ and $f^{-1}(x) = 3x - 5$ are inverses.

Example 3.72

Finding the Inverse of a Cubic Function

Find the inverse of the function $f(x) = 5x^3 + 1$.

Solution

This is a transformation of the basic cubic toolkit function, and based on our knowledge of that function, we know it is one-to-one. Solving for the inverse by solving for x.

$$y = 5x^{3} + 1$$

$$x = 5y^{3} + 1$$

$$x - 1 = 5y^{3}$$

$$\frac{x - 1}{5} = y^{3}$$

$$f^{-1}(x) = \sqrt[3]{\frac{x - 1}{5}}$$

Analysis

Look at the graph of f and f^{-1} . Notice that the two graphs are symmetrical about the line y = x. This is always the case when graphing a function and its inverse function.

Also, since the method involved interchanging x and y, notice corresponding points. If (a, b) is on the graph of f, then (b, a) is on the graph of f^{-1} . Since (0, 1) is on the graph of f, then (1, 0) is on the graph of f^{-1} . See **Figure 3.93**.

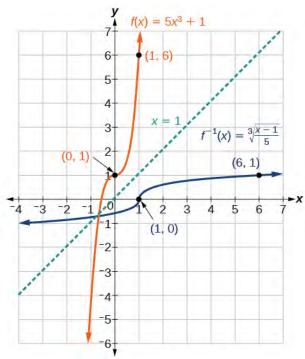


Figure 3.93



3.47 Find the inverse function of $f(x) = \sqrt[3]{x+4}$.

Restricting the Domain to Find the Inverse of a Polynomial Function

So far, we have been able to find the inverse functions of cubic functions without having to restrict their domains. However, as we know, not all cubic polynomials are one-to-one. Some functions that are not one-to-one may have their domain

restricted so that they are one-to-one, but only over that domain. The function over the restricted domain would then have an inverse function. Since quadratic functions are not one-to-one, we must restrict their domain in order to find their inverses.

Restricting the Domain

If a function is not one-to-one, it cannot have an inverse. If we restrict the domain of the function so that it becomes one-to-one, thus creating a new function, this new function will have an inverse.



Given a polynomial function, restrict the domain of a function that is not one-to-one and then find the inverse.

- 1. Restrict the domain by determining a domain on which the original function is one-to-one.
- 2. Replace f(x) with y.
- 3. Interchange x and y.
- **4.** Solve for y, and rename the function or pair of function $f^{-1}(x)$.
- 5. Revise the formula for $f^{-1}(x)$ by ensuring that the outputs of the inverse function correspond to the restricted domain of the original function.

Example 3.73

Restricting the Domain to Find the Inverse of a Polynomial Function

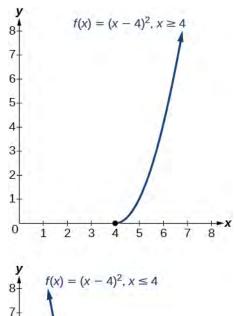
Find the inverse function of f:

a.
$$f(x) = (x-4)^2$$
, $x \ge 4$

b.
$$f(x) = (x-4)^2$$
, $x \le 4$

Solution

The original function $f(x) = (x - 4)^2$ is not one-to-one, but the function is restricted to a domain of $x \ge 4$ or $x \le 4$ on which it is one-to-one. See **Figure 3.94**.



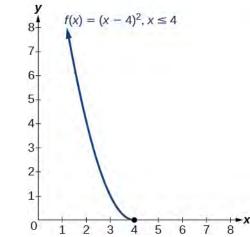


Figure 3.94

To find the inverse, start by replacing f(x) with the simple variable y.

$$y = (x - 4)^2$$
 Interchange x and y .
 $x = (y - 4)^2$ Take the square root.
 $\pm \sqrt{x} = y - 4$ Add 4 to both sides.
 $4 \pm \sqrt{x} = y$

This is not a function as written. We need to examine the restrictions on the domain of the original function to determine the inverse. Since we reversed the roles of x and y for the original f(x), we looked at the domain: the values x could assume. When we reversed the roles of x and y, this gave us the values y could assume. For this function, $x \ge 4$, so for the inverse, we should have $y \ge 4$, which is what our inverse function gives.

a. The domain of the original function was restricted to $x \ge 4$, so the outputs of the inverse need to be the same, $f(x) \ge 4$, and we must use the + case:

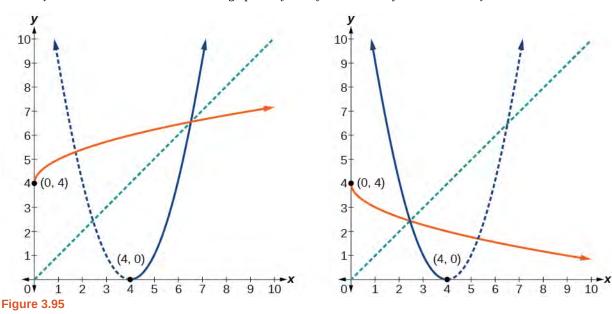
$$f^{-1}(x) = 4 + \sqrt{x}$$

b. The domain of the original function was restricted to $x \le 4$, so the outputs of the inverse need to be the same, $f(x) \le 4$, and we must use the – case:

$$f^{-1}(x) = 4 - \sqrt{x}$$

Analysis

On the graphs in **Figure 3.95**, we see the original function graphed on the same set of axes as its inverse function. Notice that together the graphs show symmetry about the line y = x. The coordinate pair (4, 0) is on the graph of f and the coordinate pair (0, 4) is on the graph of f^{-1} . For any coordinate pair, if (a, b) is on the graph of f, then (b, a) is on the graph of f^{-1} . Finally, observe that the graph of f intersects the graph of f^{-1} on the line f and f will always lie on the line f and f will always lie on the line f and f will always lie on the line f and f will always lie on the line f and f and f will always lie on the line f and f are f and f and f and f are f and f are f are f are f are f are f are f and f are f and f are f are f and f are f are f are f are f and f are f are f are f are f are f are f and f are f and f are f and f are f



Example 3.74

Finding the Inverse of a Quadratic Function When the Restriction Is Not Specified

Restrict the domain and then find the inverse of

$$f(x) = (x-2)^2 - 3.$$

Solution

We can see this is a parabola with vertex at (2, -3) that opens upward. Because the graph will be decreasing on one side of the vertex and increasing on the other side, we can restrict this function to a domain on which it will be one-to-one by limiting the domain to $x \ge 2$.

To find the inverse, we will use the vertex form of the quadratic. We start by replacing f(x) with a simple variable, y, then solve for x.

$$y = (x-2)^2 - 3$$
 Interchange x and y .
 $x = (y-2)^2 - 3$ Add 3 to both sides.
 $x+3 = (y-2)^2$ Take the square root.
 $\pm \sqrt{x+3} = y - 2$ Add 2 to both sides.
 $2 \pm \sqrt{x+3} = y$ Rename the function.
 $f^{-1}(x) = 2 \pm \sqrt{x+3}$

Now we need to determine which case to use. Because we restricted our original function to a domain of $x \ge 2$, the outputs of the inverse should be the same, telling us to utilize the + case

$$f^{-1}(x) = 2 + \sqrt{x+3}$$

If the quadratic had not been given in vertex form, rewriting it into vertex form would be the first step. This way we may easily observe the coordinates of the vertex to help us restrict the domain.

Analysis

Notice that we arbitrarily decided to restrict the domain on $x \ge 2$. We could just have easily opted to restrict the domain on $x \le 2$, in which case $f^{-1}(x) = 2 - \sqrt{x+3}$. Observe the original function graphed on the same set of axes as its inverse function in **Figure 3.96**. Notice that both graphs show symmetry about the line y = x. The coordinate pair (2, -3) is on the graph of f and the coordinate pair (-3, 2) is on the graph of f^{-1} . Observe from the graph of both functions on the same set of axes that

domain of
$$f = \text{range of} \quad f^{-1} = [2, \infty)$$

and

domain of
$$f^{-1}$$
 = range of $f = [-3, \infty)$

Finally, observe that the graph of f intersects the graph of f^{-1} along the line y = x.

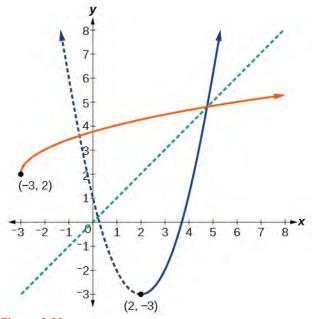


Figure 3.96



3.48 Find the inverse of the function $f(x) = x^2 + 1$, on the domain $x \ge 0$.

Solving Applications of Radical Functions

Notice that the functions from previous examples were all polynomials, and their inverses were radical functions. If we want to find the inverse of a radical function, we will need to restrict the domain of the answer because the range of the original function is limited.



Given a radical function, find the inverse.

- 1. Determine the range of the original function.
- 2. Replace f(x) with y, then solve for x.
- 3. If necessary, restrict the domain of the inverse function to the range of the original function.

Example 3.75

Finding the Inverse of a Radical Function

Restrict the domain and then find the inverse of the function $f(x) = \sqrt{x-4}$.

Solution

Note that the original function has range $f(x) \ge 0$. Replace f(x) with y, then solve for x.

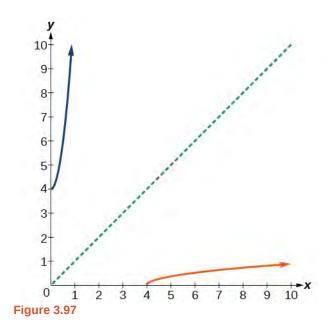
$$y = \sqrt{x-4}$$
 Replace $f(x)$ with y .
 $x = \sqrt{y-4}$ Interchange x and y .
 $x = \sqrt{y-4}$ Square each side.
 $x^2 = y-4$ Add 4.
 $x^2 + 4 = y$ Rename the function $f^{-1}(x)$.
 $f^{-1}(x) = x^2 + 4$

Recall that the domain of this function must be limited to the range of the original function.

$$f^{-1}(x) = x^2 + 4, x \ge 0$$

Analysis

Notice in **Figure 3.97** that the inverse is a reflection of the original function over the line y = x. Because the original function has only positive outputs, the inverse function has only positive inputs.



Try lt

3.49 Restrict the domain and then find the inverse of the function $f(x) = \sqrt{2x+3}$.

Solving Applications of Radical Functions

Radical functions are common in physical models, as we saw in the section opener. We now have enough tools to be able to solve the problem posed at the start of the section.

Example 3.76

Solving an Application with a Cubic Function

A mound of gravel is in the shape of a cone with the height equal to twice the radius. The volume of the cone in terms of the radius is given by

$$V = \frac{2}{3}\pi r^3$$

Find the inverse of the function $V = \frac{2}{3}\pi r^3$ that determines the volume V of a cone and is a function of the radius r. Then use the inverse function to calculate the radius of such a mound of gravel measuring 100 cubic feet. Use $\pi = 3.14$.

Solution

Start with the given function for V. Notice that the meaningful domain for the function is $r \ge 0$ since negative radii would not make sense in this context. Also note the range of the function (hence, the domain of the inverse function) is $V \ge 0$. Solve for r in terms of V, using the method outlined previously.

$$V = \frac{2}{3}\pi r^3$$

$$r^3 = \frac{3V}{2\pi} \quad \text{Solve for } r^3.$$

$$r = \sqrt[3]{\frac{3V}{2\pi}} \quad \text{Solve for } r.$$

This is the result stated in the section opener. Now evaluate this for V = 100 and $\pi = 3.14$.

$$r = \sqrt[3]{\frac{3V}{2\pi}}$$
$$= \sqrt[3]{\frac{3 \cdot 100}{2 \cdot 3.14}}$$
$$\approx \sqrt[3]{47.7707}$$
$$\approx 3.63$$

Therefore, the radius is about 3.63 ft.

Determining the Domain of a Radical Function Composed with Other Functions

When radical functions are composed with other functions, determining domain can become more complicated.

Example 3.77

Finding the Domain of a Radical Function Composed with a Rational Function

Find the domain of the function $f(x) = \sqrt{\frac{(x+2)(x-3)}{(x-1)}}$.

Solution

Because a square root is only defined when the quantity under the radical is non-negative, we need to determine where $\frac{(x+2)(x-3)}{(x-1)} \ge 0$. The output of a rational function can change signs (change from positive to negative

or vice versa) at x-intercepts and at vertical asymptotes. For this equation, the graph could change signs at x = -2, 1, and 3.

To determine the intervals on which the rational expression is positive, we could test some values in the expression or sketch a graph. While both approaches work equally well, for this example we will use a graph as shown in **Figure 3.98**.

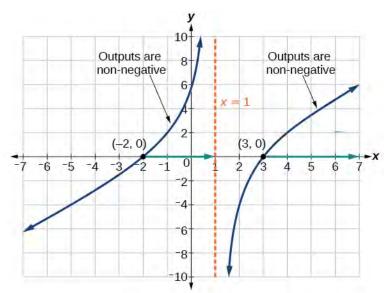


Figure 3.98

This function has two x-intercepts, both of which exhibit linear behavior near the x-intercepts. There is one vertical asymptote, corresponding to a linear factor; this behavior is similar to the basic reciprocal toolkit function, and there is no horizontal asymptote because the degree of the numerator is larger than the degree of the denominator. There is a y-intercept at (0, 6).

From the *y*-intercept and *x*-intercept at x = -2, we can sketch the left side of the graph. From the behavior at the asymptote, we can sketch the right side of the graph.

From the graph, we can now tell on which intervals the outputs will be non-negative, so that we can be sure that the original function f(x) will be defined. f(x) has domain $-2 \le x < 1$ or $x \ge 3$, or in interval notation, $[-2, 1) \cup [3, \infty)$.

Finding Inverses of Rational Functions

As with finding inverses of quadratic functions, it is sometimes desirable to find the inverse of a rational function, particularly of rational functions that are the ratio of linear functions, such as in concentration applications.

Example 3.78

Finding the Inverse of a Rational Function

The function $C = \frac{20 + 0.4n}{100 + n}$ represents the concentration C of an acid solution after n mL of 40% solution has

been added to 100 mL of a 20% solution. First, find the inverse of the function; that is, find an expression for n in terms of C. Then use your result to determine how much of the 40% solution should be added so that the final mixture is a 35% solution.

Solution

We first want the inverse of the function. We will solve for n in terms of C.

$$C = \frac{20 + 0.4n}{100 + n}$$

$$C(100 + n) = 20 + 0.4n$$

$$100C + Cn = 20 + 0.4n$$

$$100C - 20 = 0.4n - Cn$$

$$100C - 20 = (0.4 - C)n$$

$$n = \frac{100C - 20}{0.4 - C}$$

Now evaluate this function for C = 0.35 (35%).

$$n = \frac{100(0.35) - 20}{0.4 - 0.35}$$
$$= \frac{15}{0.05}$$
$$= 300$$

We can conclude that 300 mL of the 40% solution should be added.



3.50 Find the inverse of the function $f(x) = \frac{x+3}{x-2}$.



Access these online resources for additional instruction and practice with inverses and radical functions.

- Graphing the Basic Square Root Function (http://openstaxcollege.org/l/graphsquareroot)
- Find the Inverse of a Square Root Function (http://openstaxcollege.org/l/inversesquare)
- Find the Inverse of a Rational Function (http://openstaxcollege.org/l/inverserational)
- Find the Inverse of a Rational Function and an Inverse Function Value (http://openstaxcollege.org/l/rationalinverse)
- Inverse Functions (http://openstaxcollege.org/l/inversefunction)

3.8 EXERCISES

Verbal

543. Explain why we cannot find inverse functions for all polynomial functions.

544. Why must we restrict the domain of a quadratic function when finding its inverse?

545. When finding the inverse of a radical function, what restriction will we need to make?

546. The inverse of a quadratic function will always take what form?

Algebraic

For the following exercises, find the inverse of the function on the given domain.

547.
$$f(x) = (x-4)^2$$
, $[4, \infty)$

548.
$$f(x) = (x+2)^2$$
, $[-2, \infty)$

549.
$$f(x) = (x+1)^2 - 3$$
, $[-1, \infty)$

550.
$$f(x) = 2 - \sqrt{3 + x}$$

551.
$$f(x) = 3x^2 + 5$$
, $(-\infty, 0]$, $[0, \infty)$

552.
$$f(x) = 12 - x^2$$
, $[0, \infty)$

553.
$$f(x) = 9 - x^2$$
, $[0, \infty)$

554.
$$f(x) = 2x^2 + 4$$
, $[0, \infty)$

For the following exercises, find the inverse of the functions.

555.
$$f(x) = x^3 + 5$$

556.
$$f(x) = 3x^3 + 1$$

557.
$$f(x) = 4 - x^3$$

558.
$$f(x) = 4 - 2x^3$$

For the following exercises, find the inverse of the functions.

559.
$$f(x) = \sqrt{2x+1}$$

560.
$$f(x) = \sqrt{3-4x}$$

561.
$$f(x) = 9 + \sqrt{4x - 4}$$

562.
$$f(x) = \sqrt{6x - 8} + 5$$

563.
$$f(x) = 9 + 2\sqrt[3]{x}$$

564.
$$f(x) = 3 - \sqrt[3]{x}$$

565.
$$f(x) = \frac{2}{x+8}$$

566.
$$f(x) = \frac{3}{x-4}$$

567.
$$f(x) = \frac{x+3}{x+7}$$

568.
$$f(x) = \frac{x-2}{x+7}$$

569.
$$f(x) = \frac{3x+4}{5-4x}$$

570.
$$f(x) = \frac{5x+1}{2-5x}$$

571.
$$f(x) = x^2 + 2x$$
, $[-1, \infty)$

572.
$$f(x) = x^2 + 4x + 1$$
, $[-2, \infty)$

573.
$$f(x) = x^2 - 6x + 3$$
, [3, ∞)

Graphical

For the following exercises, find the inverse of the function and graph both the function and its inverse.

574.
$$f(x) = x^2 + 2$$
, $x > 0$

575.
$$f(x) = 4 - x^2$$
, $x > 0$

576.
$$f(x) = (x+3)^2$$
, $x > -3$

577.
$$f(x) = (x-4)^2$$
, $x > 4$

578.
$$f(x) = x^3 + 3$$

579.
$$f(x) = 1 - x^3$$

580.
$$f(x) = x^2 + 4x, x \ge -2$$

581.
$$f(x) = x^2 - 6x + 1$$
, $x > 3$

582.
$$f(x) = \frac{2}{x}$$

583.
$$f(x) = \frac{1}{x^2}, \ x \ge 0$$

For the following exercises, use a graph to help determine the domain of the functions.

584.
$$f(x) = \sqrt{\frac{(x+1)(x-1)}{x}}$$

585.
$$f(x) = \sqrt{\frac{(x+2)(x-3)}{x-1}}$$

586.
$$f(x) = \sqrt{\frac{x(x+3)}{x-4}}$$

587.
$$f(x) = \sqrt{\frac{x^2 - x - 20}{x - 2}}$$

588.
$$f(x) = \sqrt{\frac{9 - x^2}{x + 4}}$$

Technology

For the following exercises, use a calculator to graph the function. Then, using the graph, give three points on the graph of the inverse with *y*-coordinates given.

589.
$$f(x) = x^3 - x - 2$$
, $y = 1$, 2, 3

590.
$$f(x) = x^3 + x - 2$$
, $y = 0$, 1, 2

591.
$$f(x) = x^3 + 3x - 4$$
, $y = 0$, 1, 2

592.
$$f(x) = x^3 + 8x - 4$$
, $y = -1$, 0, 1

593.
$$f(x) = x^4 + 5x + 1$$
, $y = -1$, 0, 1

Extensions

For the following exercises, find the inverse of the functions with a, b, c positive real numbers.

594.
$$f(x) = ax^3 + b$$

595.
$$f(x) = x^2 + bx$$

596.
$$f(x) = \sqrt{ax^2 + b}$$

$$597. \quad f(x) = \sqrt[3]{ax+b}$$

598.
$$f(x) = \frac{ax + b}{x + c}$$

Real-World Applications

For the following exercises, determine the function described and then use it to answer the question.

599. An object dropped from a height of 200 meters has a height, h(t), in meters after t seconds have lapsed, such that $h(t) = 200 - 4.9t^2$. Express t as a function of height, h, and find the time to reach a height of 50 meters.

600. An object dropped from a height of 600 feet has a height, h(t), in feet after t seconds have elapsed, such that $h(t) = 600 - 16t^2$. Express t as a function of height h, and find the time to reach a height of 400 feet.

601. The volume, V, of a sphere in terms of its radius, r, is given by $V(r) = \frac{4}{3}\pi r^3$. Express r as a function of V, and find the radius of a sphere with volume of 200 cubic feet.

602. The surface area, A, of a sphere in terms of its radius, r, is given by $A(r) = 4\pi r^2$. Express r as a function of V, and find the radius of a sphere with a surface area of 1000 square inches.

603. A container holds 100 ml of a solution that is 25 ml acid. If n ml of a solution that is 60% acid is added, the function $C(n) = \frac{25 + .6n}{100 + n}$ gives the concentration, C, as a function of the number of ml added, n. Express n as a function of C and determine the number of mL that need to

be added to have a solution that is 50% acid.

604. The period T, in seconds, of a simple pendulum as a function of its length l, in feet, is given by $T(l)=2\pi\sqrt{\frac{l}{32.2}}$. Express l as a function of T and determine the length of a pendulum with period of 2 seconds.

605. The volume of a cylinder , V, in terms of radius, r, and height, h, is given by $V = \pi r^2 h$. If a cylinder has a height of 6 meters, express the radius as a function of V and find the radius of a cylinder with volume of 300 cubic meters.

606. The surface area, A, of a cylinder in terms of its radius, r, and height, h, is given by $A = 2\pi r^2 + 2\pi rh$. If the height of the cylinder is 4 feet, express the radius as a function of V and find the radius if the surface area is 200 square feet.

607. The volume of a right circular cone, V, in terms of its radius, r, and its height, h, is given by $V = \frac{1}{3}\pi r^2 h$.

Express r in terms of h if the height of the cone is 12 feet and find the radius of a cone with volume of 50 cubic inches.

608. Consider a cone with height of 30 feet. Express the radius, r, in terms of the volume, V, and find the radius of a cone with volume of 1000 cubic feet.

3.9 | Modeling Using Variation

Learning Objectives

In this section, you will:

- 3.9.1 Solve direct variation problems.
- 3.9.2 Solve inverse variation problems.
- 3.9.3 Solve problems involving joint variation.

A used-car company has just offered their best candidate, Nicole, a position in sales. The position offers 16% commission on her sales. Her earnings depend on the amount of her sales. For instance, if she sells a vehicle for \$4,600, she will earn \$736. She wants to evaluate the offer, but she is not sure how. In this section, we will look at relationships, such as this one, between earnings, sales, and commission rate.

Solving Direct Variation Problems

In the example above, Nicole's earnings can be found by multiplying her sales by her commission. The formula e = 0.16s tells us her earnings, e, come from the product of 0.16, her commission, and the sale price of the vehicle. If we create a table, we observe that as the sales price increases, the earnings increase as well, which should be intuitive. See **Table 3.10**.

s , sales prices	e = 0.16s	Interpretation
\$4,600	e = 0.16(4,600) = 736	A sale of a \$4,600 vehicle results in \$736 earnings.
\$9,200	e = 0.16(9,200) = 1,472	A sale of a \$9,200 vehicle results in \$1472 earnings.
\$18,400	e = 0.16(18,400) = 2,944	A sale of a \$18,400 vehicle results in \$2944 earnings.

Table 3.10

Notice that earnings are a multiple of sales. As sales increase, earnings increase in a predictable way. Double the sales of the vehicle from \$4,600 to \$9,200, and we double the earnings from \$736 to \$1,472. As the input increases, the output increases as a multiple of the input. A relationship in which one quantity is a constant multiplied by another quantity is called **direct variation**. Each variable in this type of relationship **varies directly** with the other.

Figure 3.99 represents the data for Nicole's potential earnings. We say that earnings vary directly with the sales price of the car. The formula $y = kx^n$ is used for direct variation. The value k is a nonzero constant greater than zero and is called the **constant of variation**. In this case, k = 0.16 and n = 1.

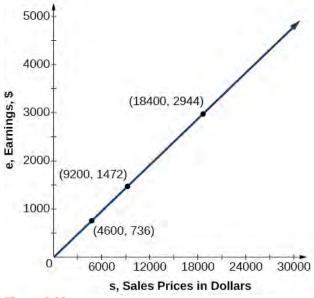


Figure 3.99

Direct Variation

If x and y are related by an equation of the form

$$y = kx^n ag{3.6}$$

then we say that the relationship is **direct variation** and y **varies directly** with the nth power of x. In direct variation relationships, there is a nonzero constant ratio $k = \frac{y}{x^n}$, where k is called the **constant of variation**, which help defines the relationship between the variables.



Given a description of a direct variation problem, solve for an unknown.

- 1. Identify the input, x, and the output, y.
- 2. Determine the constant of variation. You may need to divide *y* by the specified power of *x* to determine the constant of variation.
- 3. Use the constant of variation to write an equation for the relationship.
- 4. Substitute known values into the equation to find the unknown.

Example 3.79

Solving a Direct Variation Problem

The quantity y varies directly with the cube of x. If y = 25 when x = 2, find y when x is 6.

Solution

The general formula for direct variation with a cube is $y = kx^3$. The constant can be found by dividing y by the cube of x.

$$k = \frac{y}{x^3}$$
$$= \frac{25}{2^3}$$
$$= \frac{25}{8}$$

Now use the constant to write an equation that represents this relationship.

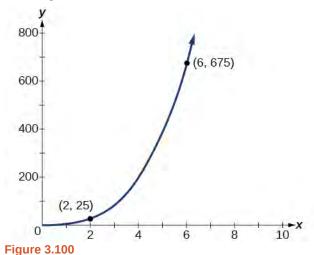
$$y = \frac{25}{8}x^3$$

Substitute x = 6 and solve for y.

$$y = \frac{25}{8}(6)^3 = 675$$

Analysis

The graph of this equation is a simple cubic, as shown in Figure 3.100.



QA

Do the graphs of all direct variation equations look like Example 3.79?

No. Direct variation equations are power functions—they may be linear, quadratic, cubic, quartic, radical, etc. But all of the graphs pass through (0,0).



3.51 The quantity y varies directly with the square of x. If y = 24 when x = 3, find y when x is 4.

Solving Inverse Variation Problems

Water temperature in an ocean varies inversely to the water's depth. Between the depths of 250 feet and 500 feet, the formula $T = \frac{14,000}{d}$ gives us the temperature in degrees Fahrenheit at a depth in feet below Earth's surface. Consider the Atlantic Ocean, which covers 22% of Earth's surface. At a certain location, at the depth of 500 feet, the temperature may be 28°F. If we create **Table 3.11**, we observe that, as the depth increases, the water temperature decreases.

d, depth	$T = \frac{14,000}{d}$	Interpretation
500 ft	$\frac{14,000}{500} = 28$	At a depth of 500 ft, the water temperature is 28° F.
350 ft	$\frac{14,000}{350} = 40$	At a depth of 350 ft, the water temperature is 40° F.
250 ft	$\frac{14,000}{250} = 56$	At a depth of 250 ft, the water temperature is 56° F.

Table 3.11

We notice in the relationship between these variables that, as one quantity increases, the other decreases. The two quantities are said to be **inversely proportional** and each term **varies inversely** with the other. Inversely proportional relationships are also called **inverse variations**.

For our example, **Figure 3.101** depicts the inverse variation. We say the water temperature varies inversely with the depth of the water because, as the depth increases, the temperature decreases. The formula $y = \frac{k}{x}$ for inverse variation in this case uses k = 14,000.

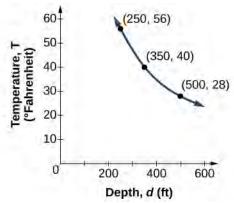


Figure 3.101

Inverse Variation

If x and y are related by an equation of the form

$$y = \frac{k}{x^n} \tag{3.7}$$

where k is a nonzero constant, then we say that y **varies inversely** with the nth power of x. In **inversely proportional** relationships, or **inverse variations**, there is a constant multiple $k = x^n y$.

Example 3.80

Writing a Formula for an Inversely Proportional Relationship

A tourist plans to drive 100 miles. Find a formula for the time the trip will take as a function of the speed the tourist drives.

Solution

Recall that multiplying speed by time gives distance. If we let t represent the drive time in hours, and v represent the velocity (speed or rate) at which the tourist drives, then vt = distance. Because the distance is fixed at 100 miles, vt = 100. Solving this relationship for the time gives us our function.

$$t(v) = \frac{100}{v}$$
$$= 100v^{-1}$$

We can see that the constant of variation is 100 and, although we can write the relationship using the negative exponent, it is more common to see it written as a fraction.



Given a description of an indirect variation problem, solve for an unknown.

- 1. Identify the input, *x*, and the output, *y*.
- 2. Determine the constant of variation. You may need to multiply *y* by the specified power of *x* to determine the constant of variation.
- 3. Use the constant of variation to write an equation for the relationship.
- 4. Substitute known values into the equation to find the unknown.

Example 3.81

Solving an Inverse Variation Problem

A quantity y varies inversely with the cube of x. If y = 25 when x = 2, find y when x is 6.

Solution

The general formula for inverse variation with a cube is $y = \frac{k}{x^3}$. The constant can be found by multiplying y by the cube of x.

$$k = x^3 y$$
$$= 2^3 \cdot 25$$
$$= 200$$

Now we use the constant to write an equation that represents this relationship.

$$y = \frac{k}{x^3}, \quad k = 200$$
$$y = \frac{200}{x^3}$$

Substitute x = 6 and solve for y.

$$y = \frac{200}{6^3}$$
$$= \frac{25}{27}$$

Analysis

The graph of this equation is a rational function, as shown in **Figure 3.102**.

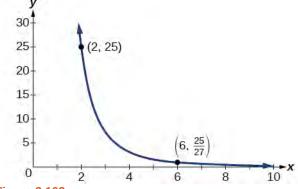


Figure 3.102



3.52 A quantity *y* varies inversely with the square of *x*. If y = 8 when x = 3, find *y* when *x* is 4.

Solving Problems Involving Joint Variation

Many situations are more complicated than a basic direct variation or inverse variation model. One variable often depends on multiple other variables. When a variable is dependent on the product or quotient of two or more variables, this is called **joint variation**. For example, the cost of busing students for each school trip varies with the number of students attending and the distance from the school. The variable c, cost, varies jointly with the number of students, n, and the distance, d.

Joint Variation

Joint variation occurs when a variable varies directly or inversely with multiple variables.

For instance, if x varies directly with both y and z, we have x = kyz. If x varies directly with y and inversely with z, we have $x = \frac{ky}{z}$. Notice that we only use one constant in a joint variation equation.

Example 3.82

Solving Problems Involving Joint Variation

A quantity x varies directly with the square of y and inversely with the cube root of z. If x = 6 when y = 2 and z = 8, find x when y = 1 and z = 27.

Solution

Begin by writing an equation to show the relationship between the variables.

$$x = \frac{ky^2}{\sqrt[3]{z}}$$

Substitute x = 6, y = 2, and z = 8 to find the value of the constant k.

$$6 = \frac{k2^2}{\sqrt[3]{8}}$$

$$6 = \frac{4k}{2}$$

$$3 = k$$

Now we can substitute the value of the constant into the equation for the relationship.

$$x = \frac{3y^2}{\sqrt[3]{z}}$$

To find x when y = 1 and z = 27, we will substitute values for y and z into our equation.

$$x = \frac{3(1)^2}{\sqrt[3]{27}} = 1$$



3.53 *x* varies directly with the square of *y* and inversely with *z*. If x = 40 when y = 4 and z = 2, find *x* when y = 10 and z = 25.



Access these online resources for additional instruction and practice with direct and inverse variation.

- Direct Variation (http://openstaxcollege.org/l/directvariation)
- Inverse Variation (http://openstaxcollege.org/l/inversevariatio)
- Direct and Inverse Variation (http://openstaxcollege.org/l/directinverse)

Visit **this website (http://openstaxcollege.org/l/PreCalcLPC03)** for additional practice questions from Learningpod.

3.9 EXERCISES

Verbal

- 609. What is true of the appearance of graphs that reflect a direct variation between two variables?
- 610. If two variables vary inversely, what will an equation representing their relationship look like?
- 611. Is there a limit to the number of variables that can jointly vary? Explain.

Algebraic

For the following exercises, write an equation describing the relationship of the given variables.

- 612. y varies directly as x and when x = 6, y = 12.
- 613. y varies directly as the square of x and when x = 4, y = 80.
- 614. y varies directly as the square root of x and when x = 36, y = 24.
- 615. y varies directly as the cube of x and when x = 36, y = 24.
- 616. *y* varies directly as the cube root of *x* and when x = 27, y = 15.
- 617. y varies directly as the fourth power of x and when x = 1, y = 6.
- 618. y varies inversely as x and when x = 4, y = 2.
- 619. y varies inversely as the square of x and when x = 3, y = 2.
- 620. y varies inversely as the cube of x and when x = 2, y = 5.
- 621. y varies inversely as the fourth power of x and when x = 3, y = 1.
- 622. y varies inversely as the square root of x and when x = 25, y = 3.
- 623. y varies inversely as the cube root of x and when x = 64, y = 5.
- 624.

- *y* varies jointly with *x* and *z* and when x = 2 and z = 3, y = 36.
- 625. y varies jointly as x, z, and w and when x = 1, z = 2, w = 5, then y = 100.
- 626. y varies jointly as the square of x and the square of z and when x = 3 and z = 4, then y = 72.
- 627. *y* varies jointly as *x* and the square root of *z* and when x = 2 and z = 25, then y = 100.
- 628. *y* varies jointly as the square of *x* the cube of *z* and the square root of *W*. When x = 1, z = 2, and w = 36, then y = 48.
- 629. y varies jointly as x and z and inversely as w. When x = 3, z = 5, and w = 6, then y = 10.
- 630. y varies jointly as the square of x and the square root of z and inversely as the cube of w. When x = 3, z = 4, and w = 3, then y = 6.
- 631. y varies jointly as x and z and inversely as the square root of w and the square of t. When x = 3, z = 1, w = 25, and t = 2, then y = 6.

Numeric

For the following exercises, use the given information to find the unknown value.

- 632. y varies directly as x. When x = 3, then y = 12. Find y when x = 20.
- 633. y varies directly as the square of x. When x = 2, then y = 16. Find y when x = 8.
- 634. y varies directly as the cube of x. When x = 3, then y = 5. Find y when x = 4.
- 635. y varies directly as the square root of x. When x = 16, then y = 4. Find y when x = 36.
- 636. y varies directly as the cube root of x. When x = 125, then y = 15. Find y when x = 1, 000.
- 637. y varies inversely with x. When x = 3, then y = 2. Find y when x = 1.

- 638. *y* varies inversely with the square of *x*. When x = 4, then y = 3. Find *y* when x = 2.
- 639. y varies inversely with the cube of x. When x = 3, then y = 1. Find y when x = 1.
- 640. y varies inversely with the square root of x. When x = 64, then y = 12. Find y when x = 36.
- 641. *y* varies inversely with the cube root of *x*. When x = 27, then y = 5. Find *y* when x = 125.
- 642. y varies jointly as x and z. When x = 4 and z = 2, then y = 16. Find y when x = 3 and z = 3.
- 643. y varies jointly as x, z, and w. When x = 2, z = 1, and w = 12, then y = 72. Find y when x = 1, z = 2, and w = 3.
- 644. y varies jointly as x and the square of z. When x = 2 and z = 4, then y = 144. Find y when x = 4 and z = 5.
- 645. y varies jointly as the square of x and the square root of z. When x = 2 and z = 9, then y = 24. Find y when x = 3 and z = 25.
- 646. y varies jointly as x and z and inversely as w. When x = 5, z = 2, and w = 20, then y = 4. Find y when x = 3 and z = 8, and w = 48.
- 647. y varies jointly as the square of x and the cube of z and inversely as the square root of w. When x = 2, z = 2, and w = 64, then y = 12. Find y when x = 1, z = 3, and w = 4.
- 648. y varies jointly as the square of x and of z and inversely as the square root of w and of t. When x = 2, z = 3, w = 16, and t = 3, then y = 1. Find y when x = 3, z = 2, w = 36, and t = 5.

Technology

For the following exercises, use a calculator to graph the equation implied by the given variation.

649. y varies directly with the square of x and when x = 2, y = 3.

650.

- *y* varies directly as the cube of *x* and when x = 2, y = 4.
- 651. *y* varies directly as the square root of *x* and when x = 36, y = 2.
- 652. y varies inversely with x and when x = 6, y = 2.
- 653. y varies inversely as the square of x and when x = 1, y = 4.

Extensions

For the following exercises, use Kepler's Law, which states that the square of the time, T, required for a planet to orbit the Sun varies directly with the cube of the mean distance, a, that the planet is from the Sun.

- 654. Using the Earth's time of 1 year and mean distance of 93 million miles, find the equation relating T and a.
- 655. Use the result from the previous exercise to determine the time required for Mars to orbit the Sun if its mean distance is 142 million miles.
- 656. Using Earth's distance of 150 million kilometers, find the equation relating $\,T\,$ and $\,$ a.
- 657. Use the result from the previous exercise to determine the time required for Venus to orbit the Sun if its mean distance is 108 million kilometers.
- 658. Using Earth's distance of 1 astronomical unit (A.U.), determine the time for Saturn to orbit the Sun if its mean distance is 9.54 A.U.

Real-World Applications

For the following exercises, use the given information to answer the questions.

- 659. The distance s that an object falls varies directly with the square of the time, t, of the fall. If an object falls 16 feet in one second, how long for it to fall 144 feet?
- 660. The velocity v of a falling object varies directly to the time, t, of the fall. If after 2 seconds, the velocity of the object is 64 feet per second, what is the velocity after 5 seconds?
- 661. The rate of vibration of a string under constant tension varies inversely with the length of the string. If a string is 24 inches long and vibrates 128 times per second, what is the length of a string that vibrates 64 times per second?
- 662. The volume of a gas held at constant temperature varies indirectly as the pressure of the gas. If the volume of

- a gas is 1200 cubic centimeters when the pressure is 200 millimeters of mercury, what is the volume when the pressure is 300 millimeters of mercury?
- 663. The weight of an object above the surface of the Earth varies inversely with the square of the distance from the center of the Earth. If a body weighs 50 pounds when it is 3960 miles from Earth's center, what would it weigh it were 3970 miles from Earth's center?
- 664. The intensity of light measured in foot-candles varies inversely with the square of the distance from the light source. Suppose the intensity of a light bulb is 0.08 foot-candles at a distance of 3 meters. Find the intensity level at 8 meters.
- 665. The current in a circuit varies inversely with its resistance measured in ohms. When the current in a circuit is 40 amperes, the resistance is 10 ohms. Find the current if the resistance is 12 ohms.
- 666. The force exerted by the wind on a plane surface varies jointly with the square of the velocity of the wind and with the area of the plane surface. If the area of the surface is 40 square feet surface and the wind velocity is 20 miles per hour, the resulting force is 15 pounds. Find the force on a surface of 65 square feet with a velocity of 30 miles per hour.
- 667. The horsepower (hp) that a shaft can safely transmit varies jointly with its speed (in revolutions per minute (rpm) and the cube of the diameter. If the shaft of a certain material 3 inches in diameter can transmit 45 hp at 100 rpm, what must the diameter be in order to transmit 60 hp at 150 rpm?
- 668. The kinetic energy K of a moving object varies jointly with its mass m and the square of its velocity v. If an object weighing 40 kilograms with a velocity of 15 meters per second has a kinetic energy of 1000 joules, find the kinetic energy if the velocity is increased to 20 meters per second.

CHAPTER 3 REVIEW

KEY TERMS

arrow notation a way to symbolically represent the local and end behavior of a function by using arrows to indicate that an input or output approaches a value

axis of symmetry a vertical line drawn through the vertex of a parabola around which the parabola is symmetric; it is defined by $x = -\frac{b}{2a}$.

coefficient a nonzero real number multiplied by a variable raised to an exponent

complex conjugate the complex number in which the sign of the imaginary part is changed and the real part of the number is left unchanged; when added to or multiplied by the original complex number, the result is a real number

complex number the sum of a real number and an imaginary number, written in the standard form a + bi, where a is the real part, and bi is the imaginary part

complex plane a coordinate system in which the horizontal axis is used to represent the real part of a complex number and the vertical axis is used to represent the imaginary part of a complex number

constant of variation the non-zero value k that helps define the relationship between variables in direct or inverse variation

continuous function a function whose graph can be drawn without lifting the pen from the paper because there are no breaks in the graph

degree the highest power of the variable that occurs in a polynomial

Descartes' Rule of Signs a rule that determines the maximum possible numbers of positive and negative real zeros based on the number of sign changes of f(x) and f(-x)

direct variation the relationship between two variables that are a constant multiple of each other; as one quantity increases, so does the other

Division Algorithm given a polynomial dividend f(x) and a non-zero polynomial divisor d(x) where the degree of d(x) is less than or equal to the degree of f(x), there exist unique polynomials q(x) and r(x) such that f(x) = d(x)q(x) + r(x) where q(x) is the quotient and r(x) is the remainder. The remainder is either equal to zero or has degree strictly less than d(x).

end behavior the behavior of the graph of a function as the input decreases without bound and increases without bound **Factor Theorem** k is a zero of polynomial function f(x) if and only if (x - k) is a factor of f(x)

Fundamental Theorem of Algebra a polynomial function with degree greater than 0 has at least one complex zero

general form of a quadratic function the function that describes a parabola, written in the form $f(x) = ax^2 + bx + c$, where a, b, and c are real numbers and $a \ne 0$.

global maximum highest turning point on a graph; f(a) where $f(a) \ge f(x)$ for all x.

global minimum lowest turning point on a graph; f(a) where $f(a) \le f(x)$ for all x.

horizontal asymptote a horizontal line y = b where the graph approaches the line as the inputs increase or decrease without bound.

imaginary number a number in the form bi where $i = \sqrt{-1}$

Intermediate Value Theorem for two numbers a and b in the domain of f, if a < b and $f(a) \neq f(b)$, then the function f takes on every value between f(a) and f(b); specifically, when a polynomial function changes from a negative value to a positive value, the function must cross the x- axis

inverse variation the relationship between two variables in which the product of the variables is a constant

inversely proportional a relationship where one quantity is a constant divided by the other quantity; as one quantity increases, the other decreases

invertible function any function that has an inverse function

joint variation a relationship where a variable varies directly or inversely with multiple variables

leading coefficient the coefficient of the leading term

leading term the term containing the highest power of the variable

Linear Factorization Theorem allowing for multiplicities, a polynomial function will have the same number of factors as its degree, and each factor will be in the form (x - c), where c is a complex number

multiplicity the number of times a given factor appears in the factored form of the equation of a polynomial; if a polynomial contains a factor of the form $(x - h)^p$, x = h is a zero of multiplicity p.

polynomial function a function that consists of either zero or the sum of a finite number of non-zero terms, each of which is a product of a number, called the coefficient of the term, and a variable raised to a non-negative integer power.

power function a function that can be represented in the form $f(x) = kx^p$ where k is a constant, the base is a variable, and the exponent, p, is a constant

rational function a function that can be written as the ratio of two polynomials

Rational Zero Theorem the possible rational zeros of a polynomial function have the form $\frac{p}{q}$ where p is a factor of the constant term and q is a factor of the leading coefficient.

Remainder Theorem if a polynomial f(x) is divided by x - k, then the remainder is equal to the value f(k)

removable discontinuity a single point at which a function is undefined that, if filled in, would make the function continuous; it appears as a hole on the graph of a function

smooth curve a graph with no sharp corners

standard form of a quadratic function the function that describes a parabola, written in the form $f(x) = a(x - h)^2 + k$, where (h, k) is the vertex.

synthetic division a shortcut method that can be used to divide a polynomial by a binomial of the form x - k

term of a polynomial function any $a_i x^i$ of a polynomial function in the form $f(x) = a_n x^n + ... + a_2 x^2 + a_1 x + a_0$

turning point the location at which the graph of a function changes direction

varies directly a relationship where one quantity is a constant multiplied by the other quantity

varies inversely a relationship where one quantity is a constant divided by the other quantity

vertex the point at which a parabola changes direction, corresponding to the minimum or maximum value of the quadratic function

vertex form of a quadratic function another name for the standard form of a quadratic function

vertical asymptote a vertical line x = a where the graph tends toward positive or negative infinity as the inputs approach a

zeros in a given function, the values of x at which y = 0, also called roots

KEY EQUATIONS

general form of a quadratic function $f(x) = ax^2 + bx + c$

the quadratic formula $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$

standard form of a quadratic function $f(x) = a(x - h)^2 + k$

general form of a polynomial function $f(x) = a_n x^n + ... + a_2 x^2 + a_1 x + a_0$

Division Algorithm f(x) = d(x)q(x) + r(x) where $q(x) \neq 0$

Rational Function $f(x) = \frac{P(x)}{Q(x)} = \frac{a_p x^p + a_{p-1} x^{p-1} + \dots + a_1 x + a_0}{b_q x^q + b_{q-1} x^{q-1} + \dots + b_1 x + b_0}, \quad Q(x) \neq 0$

Direct variation $y = kx^n$, k is a nonzero constant.

Inverse variation $y = \frac{k}{x^n}$, k is a nonzero constant.

KEY CONCEPTS

3.1 Complex Numbers

- The square root of any negative number can be written as a multiple of *i*. See **Example 3.1**.
- To plot a complex number, we use two number lines, crossed to form the complex plane. The horizontal axis is the real axis, and the vertical axis is the imaginary axis. See **Example 3.2**.
- Complex numbers can be added and subtracted by combining the real parts and combining the imaginary parts. See **Example 3.3**.
- · Complex numbers can be multiplied and divided.
- To multiply complex numbers, distribute just as with polynomials. See **Example 3.4**, **Example 3.5**, and **Example 3.8**.
- To divide complex numbers, multiply both the numerator and denominator by the complex conjugate of the
 denominator to eliminate the complex number from the denominator. See Example 3.6, Example 3.7, and
 Example 3.9.
- The powers of i are cyclic, repeating every fourth one. See **Example 3.10**.

3.2 Quadratic Functions

- A polynomial function of degree two is called a quadratic function.
- The graph of a quadratic function is a parabola. A parabola is a U-shaped curve that can open either up or down.
- The axis of symmetry is the vertical line passing through the vertex. The zeros, or *x* intercepts, are the points at which the parabola crosses the *x* axis. The *y* intercept is the point at which the parabola crosses the *y* axis. See **Example 3.11**, **Example 3.17**, and **Example 3.18**.

- Quadratic functions are often written in general form. Standard or vertex form is useful to easily identify the vertex of a parabola. Either form can be written from a graph. See **Example 3.12**.
- The vertex can be found from an equation representing a quadratic function. See **Example 3.13.**
- The domain of a quadratic function is all real numbers. The range varies with the function. See Example 3.14.
- A quadratic function's minimum or maximum value is given by the *y*-value of the vertex.
- The minimum or maximum value of a quadratic function can be used to determine the range of the function and to solve many kinds of real-world problems, including problems involving area and revenue. See **Example 3.15** and **Example 3.16**.
- Some quadratic equations must be solved by using the quadratic formula. See **Example 3.19**.
- The vertex and the intercepts can be identified and interpreted to solve real-world problems. See **Example 3.20**.

3.3 Power Functions and Polynomial Functions

- A power function is a variable base raised to a number power. See **Example 3.21**.
- The behavior of a graph as the input decreases beyond bound and increases beyond bound is called the end behavior.
- The end behavior depends on whether the power is even or odd. See Example 3.22 and Example 3.23.
- A polynomial function is the sum of terms, each of which consists of a transformed power function with positive whole number power. See Example 3.24.
- The degree of a polynomial function is the highest power of the variable that occurs in a polynomial. The term containing the highest power of the variable is called the leading term. The coefficient of the leading term is called the leading coefficient. See **Example 3.25**.
- The end behavior of a polynomial function is the same as the end behavior of the power function represented by the leading term of the function. See **Example 3.26** and **Example 3.27**.
- A polynomial of degree n will have at most n x-intercepts and at most n-1 turning points. See **Example 3.28**, **Example 3.29**, **Example 3.30**, **Example 3.31**, and **Example 3.32**.

3.4 Graphs of Polynomial Functions

- Polynomial functions of degree 2 or more are smooth, continuous functions. See **Example 3.33**.
- To find the zeros of a polynomial function, if it can be factored, factor the function and set each factor equal to zero. See Example 3.34, Example 3.35, and Example 3.36.
- Another way to find the *x* intercepts of a polynomial function is to graph the function and identify the points at which the graph crosses the *x* axis. See **Example 3.37**.
- The multiplicity of a zero determines how the graph behaves at the x- intercepts. See **Example 3.38.**
- The graph of a polynomial will cross the horizontal axis at a zero with odd multiplicity.
- The graph of a polynomial will touch the horizontal axis at a zero with even multiplicity.
- The end behavior of a polynomial function depends on the leading term.
- The graph of a polynomial function changes direction at its turning points.
- A polynomial function of degree n has at most n-1 turning points. See **Example 3.39.**
- To graph polynomial functions, find the zeros and their multiplicities, determine the end behavior, and ensure that the final graph has at most n-1 turning points. See **Example 3.40** and **Example 3.42**.
- Graphing a polynomial function helps to estimate local and global extremas. See **Example 3.43.**
- The Intermediate Value Theorem tells us that if f(a) and f(b) have opposite signs, then there exists at least one value c between a and b for which f(c) = 0. See **Example 3.41.**

3.5 Dividing Polynomials

- Polynomial long division can be used to divide a polynomial by any polynomial with equal or lower degree. See **Example 3.44** and **Example 3.45**.
- The Division Algorithm tells us that a polynomial dividend can be written as the product of the divisor and the
 quotient added to the remainder.
- Synthetic division is a shortcut that can be used to divide a polynomial by a binomial in the form x k. See **Example 3.46**, **Example 3.47**, and **Example 3.48**.
- Polynomial division can be used to solve application problems, including area and volume. See Example 3.49.

3.6 Zeros of Polynomial Functions

- To find f(k), determine the remainder of the polynomial f(x) when it is divided by x k. See **Example 3.50**.
- k is a zero of f(x) if and only if (x k) is a factor of f(x). See **Example 3.51**.
- Each rational zero of a polynomial function with integer coefficients will be equal to a factor of the constant term divided by a factor of the leading coefficient. See **Example 3.52** and **Example 3.53**.
- When the leading coefficient is 1, the possible rational zeros are the factors of the constant term.
- Synthetic division can be used to find the zeros of a polynomial function. See Example 3.54.
- According to the Fundamental Theorem, every polynomial function has at least one complex zero. See Example 3.55.
- Every polynomial function with degree greater than 0 has at least one complex zero.
- Allowing for multiplicities, a polynomial function will have the same number of factors as its degree. Each factor will be in the form (x c), where c is a complex number. See **Example 3.56**.
- The number of positive real zeros of a polynomial function is either the number of sign changes of the function or less than the number of sign changes by an even integer.
- The number of negative real zeros of a polynomial function is either the number of sign changes of f(-x) or less than the number of sign changes by an even integer. See **Example 3.57**.
- Polynomial equations model many real-world scenarios. Solving the equations is easiest done by synthetic division.
 See Example 3.58.

3.7 Rational Functions

- We can use arrow notation to describe local behavior and end behavior of the toolkit functions $f(x) = \frac{1}{x}$ and $f(x) = \frac{1}{x^2}$. See **Example 3.59**.
- A function that levels off at a horizontal value has a horizontal asymptote. A function can have more than one vertical asymptote. See **Example 3.60**.
- Application problems involving rates and concentrations often involve rational functions. See **Example 3.61**.
- The domain of a rational function includes all real numbers except those that cause the denominator to equal zero.
 See Example 3.62.
- The vertical asymptotes of a rational function will occur where the denominator of the function is equal to zero and the numerator is not zero. See Example 3.63.
- A removable discontinuity might occur in the graph of a rational function if an input causes both numerator and denominator to be zero. See **Example 3.64**.
- A rational function's end behavior will mirror that of the ratio of the leading terms of the numerator and denominator functions. See Example 3.65, Example 3.66, Example 3.67, and Example 3.68.

- Graph rational functions by finding the intercepts, behavior at the intercepts and asymptotes, and end behavior. See **Example 3.69**.
- If a rational function has x-intercepts at $x = x_1, x_2, \dots, x_n$, vertical asymptotes at $x = v_1, v_2, \dots, v_m$, and no $x_i = \text{any } v_i$, then the function can be written in the form

$$f(x) = a \frac{(x - x_1)^{p_1} (x - x_2)^{p_2} \cdots (x - x_n)^{p_n}}{(x - v_1)^{q_1} (x - v_2)^{q_2} \cdots (x - v_m)^{q_n}}$$

See Example 3.70.

3.8 Inverses and Radical Functions

- The inverse of a quadratic function is a square root function.
- If f^{-1} is the inverse of a function f, then f is the inverse of the function f^{-1} . See **Example 3.71**.
- While it is not possible to find an inverse of most polynomial functions, some basic polynomials are invertible. See **Example 3.72**.
- To find the inverse of certain functions, we must restrict the function to a domain on which it will be one-to-one. See Example 3.73 and Example 3.74.
- When finding the inverse of a radical function, we need a restriction on the domain of the answer. See **Example 3.75** and **Example 3.77**.
- Inverse and radical and functions can be used to solve application problems. See Example 3.76 and Example 3.78.

3.9 Modeling Using Variation

- A relationship where one quantity is a constant multiplied by another quantity is called direct variation. See **Example 3.79**.
- Two variables that are directly proportional to one another will have a constant ratio.
- A relationship where one quantity is a constant divided by another quantity is called inverse variation. See **Example 3.80**.
- Two variables that are inversely proportional to one another will have a constant multiple. See **Example 3.81**.
- In many problems, a variable varies directly or inversely with multiple variables. We call this type of relationship
 joint variation. See Example 3.82.

CHAPTER 3 REVIEW EXERCISES

You have reached the end of Chapter 3: Polynomial and Rational Functions. Let's review some of the Key Terms, Concepts and Equations you have learned.

Complex Numbers

Perform the indicated operation with complex numbers.

669.
$$(4+3i)+(-2-5i)$$

670.
$$(6-5i)-(10+3i)$$

671.
$$(2-3i)(3+6i)$$

672.
$$\frac{2-i}{2+i}$$

Solve the following equations over the complex number system.

673.
$$x^2 - 4x + 5 = 0$$

674.
$$x^2 + 2x + 10 = 0$$

Ouadratic Functions

For the following exercises, write the quadratic function in standard form. Then, give the vertex and axes intercepts. Finally, graph the function.

675.
$$f(x) = x^2 - 4x - 5$$

676.
$$f(x) = -2x^2 - 4x$$

For the following problems, find the equation of the quadratic function using the given information.

677. The vertex is (-2, 3) and a point on the graph is (3, 6).

678. The vertex is (-3, 6.5) and a point on the graph is (2, 6).

Answer the following questions.

679. A rectangular plot of land is to be enclosed by fencing. One side is along a river and so needs no fence. If the total fencing available is 600 meters, find the dimensions of the plot to have maximum area.

680. An object projected from the ground at a 45 degree angle with initial velocity of 120 feet per second has height, h, in terms of horizontal distance traveled, x, given by $h(x) = \frac{-32}{(120)^2}x^2 + x$. Find the maximum height the

object attains.

Power Functions and Polynomial Functions

For the following exercises, determine if the function is a polynomial function and, if so, give the degree and leading coefficient.

681.
$$f(x) = 4x^5 - 3x^3 + 2x - 1$$

682.
$$f(x) = 5^{x+1} - x^2$$

683.
$$f(x) = x^2(3 - 6x + x^2)$$

For the following exercises, determine end behavior of the polynomial function.

684.
$$f(x) = 2x^4 + 3x^3 - 5x^2 + 7$$

685.
$$f(x) = 4x^3 - 6x^2 + 2$$

686.
$$f(x) = 2x^2(1 + 3x - x^2)$$

Graphs of Polynomial Functions

For the following exercises, find all zeros of the polynomial function, noting multiplicities.

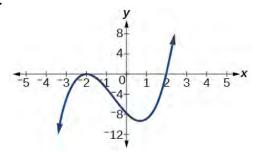
687.
$$f(x) = (x+3)^2(2x-1)(x+1)^3$$

688.
$$f(x) = x^5 + 4x^4 + 4x^3$$

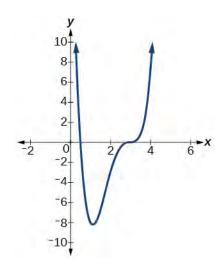
689.
$$f(x) = x^3 - 4x^2 + x - 4$$

For the following exercises, based on the given graph, determine the zeros of the function and note multiplicity.

690.



691.



692. Use the Intermediate Value Theorem to show that at least one zero lies between 2 and 3 for the function $f(x) = x^3 - 5x + 1$

Dividing Polynomials

For the following exercises, use long division to find the quotient and remainder.

693.
$$\frac{x^3 - 2x^2 + 4x + 4}{x - 2}$$

694.
$$\frac{3x^4 - 4x^2 + 4x + 8}{x + 1}$$

For the following exercises, use synthetic division to find the quotient. If the divisor is a factor, then write the factored form

695.
$$\frac{x^3 - 2x^2 + 5x - 1}{x + 3}$$

696.
$$\frac{x^3 + 4x + 10}{x - 3}$$

697.
$$\frac{2x^3 + 6x^2 - 11x - 12}{x + 4}$$

698.
$$\frac{3x^4 + 3x^3 + 2x + 2}{x + 1}$$

Zeros of Polynomial Functions

For the following exercises, use the Rational Zero Theorem to help you solve the polynomial equation.

699.
$$2x^3 - 3x^2 - 18x - 8 = 0$$

700.
$$3x^3 + 11x^2 + 8x - 4 = 0$$

701.
$$2x^4 - 17x^3 + 46x^2 - 43x + 12 = 0$$

702.
$$4x^4 + 8x^3 + 19x^2 + 32x + 12 = 0$$

For the following exercises, use Descartes' Rule of Signs to find the possible number of positive and negative solutions.

703.
$$x^3 - 3x^2 - 2x + 4 = 0$$

704.
$$2x^4 - x^3 + 4x^2 - 5x + 1 = 0$$

Rational Functions

For the following rational functions, find the intercepts and the vertical and horizontal asymptotes, and then use them to sketch a graph.

705.
$$f(x) = \frac{x+2}{x-5}$$

706.
$$f(x) = \frac{x^2 + 1}{x^2 - 4}$$

707.
$$f(x) = \frac{3x^2 - 27}{x^2 + x - 2}$$

708.
$$f(x) = \frac{x+2}{x^2 - 9}$$

For the following exercises, find the slant asymptote.

709.
$$f(x) = \frac{x^2 - 1}{x + 2}$$

710.
$$f(x) = \frac{2x^3 - x^2 + 4}{x^2 + 1}$$

Inverses and Radical Functions

For the following exercises, find the inverse of the function with the domain given.

711.
$$f(x) = (x-2)^2, x \ge 2$$

712.
$$f(x) = (x+4)^2 - 3, x \ge -4$$

713.
$$f(x) = x^2 + 6x - 2, x \ge -3$$

714.
$$f(x) = 2x^3 - 3$$

715.
$$f(x) = \sqrt{4x+5} - 3$$

716.
$$f(x) = \frac{x-3}{2x+1}$$

Modeling Using Variation

For the following exercises, find the unknown value.

717. *y* varies directly as the square of *x*. If when x = 3, y = 36, find *y* if x = 4.

718. *y* varies inversely as the square root of *x*. If when x = 25, y = 2, find *y* if x = 4.

719. *y* varies jointly as the cube of *x* and as *z*. If when x = 1 and z = 2, y = 6, find *y* if x = 2 and z = 3.

720. *y* varies jointly as *x* and the square of *z* and inversely as the cube of *w*. If when x = 3, z = 4, and w = 2, y = 48, find *y* if x = 4, z = 5, and w = 3.

For the following exercises, solve the application problem.

721. The weight of an object above the surface of the earth varies inversely with the distance from the center of the earth. If a person weighs 150 pounds when he is on the surface of the earth (3,960 miles from center), find the weight of the person if he is 20 miles above the surface.

722. The volume V of an ideal gas varies directly with the temperature T and inversely with the pressure P. A cylinder contains oxygen at a temperature of 310 degrees R and a pressure of 18 atmospheres in a volume of 120 liters. Find the pressure if the volume is decreased to 100 liters and the temperature is increased to 320 degrees R.

CHAPTER 3 PRACTICE TEST

Perform the indicated operation or solve the equation.

723.
$$(3-4i)(4+2i)$$

724.
$$\frac{1-4i}{3+4i}$$

725.
$$x^2 - 4x + 13 = 0$$

Give the degree and leading coefficient of the following polynomial function.

726.
$$f(x) = x^3 (3 - 6x^2 - 2x^2)$$

Determine the end behavior of the polynomial function.

727.
$$f(x) = 8x^3 - 3x^2 + 2x - 4$$

728.
$$f(x) = -2x^2(4-3x-5x^2)$$

Write the quadratic function in standard form. Determine the vertex and axes intercepts and graph the function.

729.
$$f(x) = x^2 + 2x - 8$$

Given information about the graph of a quadratic function, find its equation.

730. Vertex (2, 0) and point on graph (4, 12).

Solve the following application problem.

731. A rectangular field is to be enclosed by fencing. In addition to the enclosing fence, another fence is to divide the field into two parts, running parallel to two sides. If 1,200 feet of fencing is available, find the maximum area that can be enclosed.

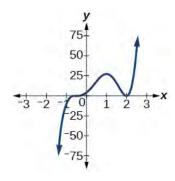
Find all zeros of the following polynomial functions, noting multiplicities.

732.
$$f(x) = (x-3)^3(3x-1)(x-1)^2$$

733.
$$f(x) = 2x^6 - 6x^5 + 18x^4$$

Based on the graph, determine the zeros of the function and multiplicities.

734.



Use long division to find the quotient.

735.
$$\frac{2x^3 + 3x - 4}{x + 2}$$

Use synthetic division to find the quotient. If the divisor is a factor, write the factored form.

$$736. \quad \frac{x^4 + 3x^2 - 4}{x - 2}$$

737.
$$\frac{2x^3 + 5x^2 - 7x - 12}{x + 3}$$

Use the Rational Zero Theorem to help you find the zeros of the polynomial functions.

738.
$$f(x) = 2x^3 + 5x^2 - 6x - 9$$

739.
$$f(x) = 4x^4 + 8x^3 + 21x^2 + 17x + 4$$

740.
$$f(x) = 4x^4 + 16x^3 + 13x^2 - 15x - 18$$

741.
$$f(x) = x^5 + 6x^4 + 13x^3 + 14x^2 + 12x + 8$$

Given the following information about a polynomial function, find the function.

742. It has a double zero at x = 3 and zeroes at x = 1 and x = -2. It's *y*-intercept is (0, 12).

743. It has a zero of multiplicity 3 at $x = \frac{1}{2}$ and another zero at x = -3. It contains the point (1, 8).

Use Descartes' Rule of Signs to determine the possible number of positive and negative solutions.

744.
$$8x^3 - 21x^2 + 6 = 0$$

For the following rational functions, find the intercepts and horizontal and vertical asymptotes, and sketch a graph.

745.
$$f(x) = \frac{x+4}{x^2 - 2x - 3}$$

746.
$$f(x) = \frac{x^2 + 2x - 3}{x^2 - 4}$$

Find the slant asymptote of the rational function.

747.
$$f(x) = \frac{x^2 + 3x - 3}{x - 1}$$

Find the inverse of the function.

748.
$$f(x) = \sqrt{x-2} + 4$$

749.
$$f(x) = 3x^3 - 4$$

750.
$$f(x) = \frac{2x+3}{3x-1}$$

Find the unknown value.

751. *y* varies inversely as the square of *x* and when x = 3, y = 2. Find *y* if x = 1.

752. *y* varies jointly with *x* and the cube root of *z*. If when x = 2 and z = 27, y = 12, find *y* if x = 5 and z = 8.

Solve the following application problem.

753. The distance a body falls varies directly as the square of the time it falls. If an object falls 64 feet in 2 seconds, how long will it take to fall 256 feet?

4 | EXPONENTIAL AND LOGARITHMIC FUNCTIONS

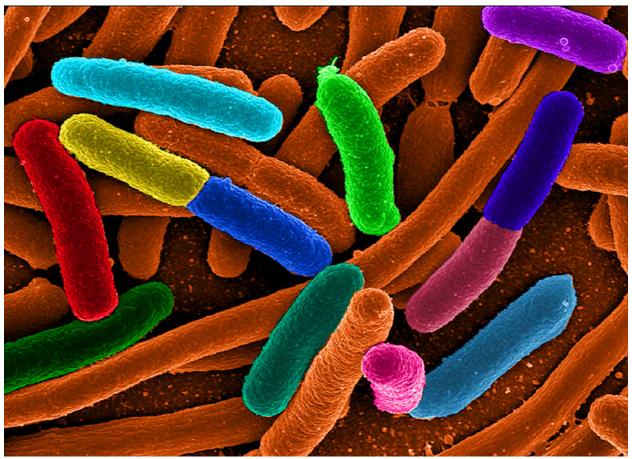


Figure 4.1 Electron micrograph of *E.Coli* bacteria (credit: "Mattosaurus," Wikimedia Commons)

Chapter Outline

- 4.1 Exponential Functions
- 4.2 Graphs of Exponential Functions
- 4.3 Logarithmic Functions
- 4.4 Graphs of Logarithmic Functions
- 4.5 Logarithmic Properties
- 4.6 Exponential and Logarithmic Equations
- 4.7 Exponential and Logarithmic Models
- 4.8 Fitting Exponential Models to Data

Introduction

Focus in on a square centimeter of your skin. Look closer. Closer still. If you could look closely enough, you would see hundreds of thousands of microscopic organisms. They are bacteria, and they are not only on your skin, but in your mouth,

nose, and even your intestines. In fact, the bacterial cells in your body at any given moment outnumber your own cells. But that is no reason to feel bad about yourself. While some bacteria can cause illness, many are healthy and even essential to the body.

Bacteria commonly reproduce through a process called binary fission, during which one bacterial cell splits into two. When conditions are right, bacteria can reproduce very quickly. Unlike humans and other complex organisms, the time required to form a new generation of bacteria is often a matter of minutes or hours, as opposed to days or years. [1]

For simplicity's sake, suppose we begin with a culture of one bacterial cell that can divide every hour. **Table 4.1** shows the number of bacterial cells at the end of each subsequent hour. We see that the single bacterial cell leads to over one thousand bacterial cells in just ten hours! And if we were to extrapolate the table to twenty-four hours, we would have over 16 million!

Hour	0	1	2	3	4	5	6	7	8	9	10
Bacteria	1	2	4	8	16	32	64	128	256	512	1024

Table 4.1

In this chapter, we will explore exponential functions, which can be used for, among other things, modeling growth patterns such as those found in bacteria. We will also investigate logarithmic functions, which are closely related to exponential functions. Both types of functions have numerous real-world applications when it comes to modeling and interpreting data.

4.1 | Exponential Functions

Learning Objectives

In this section, you will:

- **4.1.1** Evaluate exponential functions.
- **4.1.2** Find the equation of an exponential function.
- **4.1.3** Use compound interest formulas.
- **4.1.4** Evaluate exponential functions with base e.

India is the second most populous country in the world with a population of about 1.25 billion people in 2013. The population is growing at a rate of about 1.2% each year^[2]. If this rate continues, the population of India will exceed China's population by the year 2031. When populations grow rapidly, we often say that the growth is "exponential," meaning that something is growing very rapidly. To a mathematician, however, the term *exponential growth* has a very specific meaning. In this section, we will take a look at *exponential functions*, which model this kind of rapid growth.

Identifying Exponential Functions

When exploring linear growth, we observed a constant rate of change—a constant number by which the output increased for each unit increase in input. For example, in the equation f(x) = 3x + 4, the slope tells us the output increases by 3 each time the input increases by 1. The scenario in the India population example is different because we have a *percent* change per unit time (rather than a constant change) in the number of people.

Defining an Exponential Function

A study found that the percent of the population who are vegans in the United States doubled from 2009 to 2011. In 2011, 2.5% of the population was vegan, adhering to a diet that does not include any animal products—no meat, poultry, fish, dairy, or eggs. If this rate continues, vegans will make up 10% of the U.S. population in 2015, 40% in 2019, and 80% in 2050.

- 1. Todar, PhD, Kenneth. Todar's Online Textbook of Bacteriology. http://textbookofbacteriology.net/growth_3.html.
- 2. http://www.worldometers.info/world-population/. Accessed February 24, 2014.

What exactly does it mean to *grow exponentially*? What does the word *double* have in common with *percent increase*? People toss these words around errantly. Are these words used correctly? The words certainly appear frequently in the media.

- **Percent change** refers to a *change* based on a *percent* of the original amount.
- **Exponential growth** refers to an *increase* based on a constant multiplicative rate of change over equal increments of time, that is, a *percent* increase of the original amount over time.
- **Exponential decay** refers to a *decrease* based on a constant multiplicative rate of change over equal increments of time, that is, a *percent* decrease of the original amount over time.

For us to gain a clear understanding of exponential growth, let us contrast exponential growth with linear growth. We will construct two functions. The first function is exponential. We will start with an input of 0, and increase each input by 1. We will double the corresponding consecutive outputs. The second function is linear. We will start with an input of 0, and increase each input by 1. We will add 2 to the corresponding consecutive outputs. See **Table 4.2**.

x	$f(x) = 2^x$	g(x)=2x		
0	1	0		
1	2	2		
2	4	4		
3	8	6		
4	16	8		
5	32	10		
6	64	12		

Table 4.2

From Table 4.2 we can infer that for these two functions, exponential growth dwarfs linear growth.

- Exponential growth refers to the original value from the range increases by the *same percentage* over equal increments found in the domain.
- **Linear growth** refers to the original value from the range increases by the *same amount* over equal increments found in the domain.

Apparently, the difference between "the same percentage" and "the same amount" is quite significant. For exponential growth, over equal increments, the constant multiplicative rate of change resulted in doubling the output whenever the input increased by one. For linear growth, the constant additive rate of change over equal increments resulted in adding 2 to the output whenever the input was increased by one.

The general form of the exponential function is $f(x) = ab^x$, where a is any nonzero number, b is a positive real number not equal to 1.

- If b > 1, the function grows at a rate proportional to its size.
- If 0 < b < 1, the function decays at a rate proportional to its size.

Let's look at the function $f(x) = 2^x$ from our example. We will create a table (**Table 4.3**) to determine the corresponding outputs over an interval in the domain from -3 to 3.

x	-3	-2	-1	0	1	2	3
$f(x) = 2^x$	$2^{-3} = \frac{1}{8}$	$2^{-2} = \frac{1}{4}$	$2^{-1} = \frac{1}{2}$	$2^0 = 1$	$2^1 = 2$	$2^2 = 4$	$2^3 = 8$

Table 4.3

Let us examine the graph of f by plotting the ordered pairs we observe on the table in **Figure 4.2**, and then make a few observations.

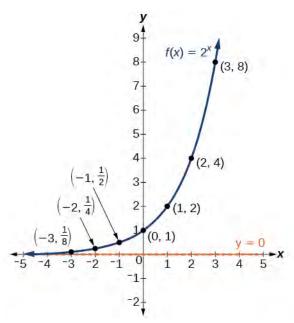


Figure 4.2

Let's define the behavior of the graph of the exponential function $f(x) = 2^x$ and highlight some its key characteristics.

- the domain is $(-\infty, \infty)$,
- the range is $(0, \infty)$,
- as $x \to \infty$, $f(x) \to \infty$,
- as $x \to -\infty$, $f(x) \to 0$,
- f(x) is always increasing,
- the graph of f(x) will never touch the x-axis because base two raised to any exponent never has the result of zero.
- y = 0 is the horizontal asymptote.
- the *y*-intercept is 1.

Exponential Function

For any real number x, an exponential function is a function with the form

$$f(x) = ab^x (4.1)$$

where

- *a* is the a non-zero real number called the initial value and
- b is any positive real number such that $b \neq 1$.
- The domain of f is all real numbers.
- The range of f is all positive real numbers if a > 0.
- The range of f is all negative real numbers if a < 0.
- The *y*-intercept is (0, a), and the horizontal asymptote is y = 0.

Example 4.1

Identifying Exponential Functions

Which of the following equations are *not* exponential functions?

- $f(x) = 4^{3(x-2)}$
- $g(x) = x^3$
- $h(x) = \left(\frac{1}{3}\right)^x$
- $j(x) = (-2)^x$

Solution

By definition, an exponential function has a constant as a base and an independent variable as an exponent. Thus, $g(x) = x^3$ does not represent an exponential function because the base is an independent variable. In fact, $g(x) = x^3$ is a power function.

Recall that the base b of an exponential function is always a positive constant, and $b \ne 1$. Thus, $j(x) = (-2)^x$ does not represent an exponential function because the base, -2, is less than 0.



4.1 Which of the following equations represent exponential functions?

- $f(x) = 2x^2 3x + 1$
- $g(x) = 0.875^x$
- h(x) = 1.75x + 2
- $j(x) = 1095.6^{-2x}$

Evaluating Exponential Functions

Recall that the base of an exponential function must be a positive real number other than 1. Why do we limit the base b to positive values? To ensure that the outputs will be real numbers. Observe what happens if the base is not positive:

• Let b = -9 and $x = \frac{1}{2}$. Then $f(x) = f(\frac{1}{2}) = (-9)^{\frac{1}{2}} = \sqrt{-9}$, which is not a real number.

Why do we limit the base to positive values other than 1? Because base 1 results in the constant function. Observe what happens if the base is 1:

• Let b = 1. Then $f(x) = 1^x = 1$ for any value of x.

To evaluate an exponential function with the form $f(x) = b^x$, we simply substitute x with the given value, and calculate the resulting power. For example:

Let $f(x) = 2^x$. What is f(3)?

$$f(x) = 2^x$$

 $f(3) = 2^3$ Substitute $x = 3$.
= 8 Evaluate the power.

To evaluate an exponential function with a form other than the basic form, it is important to follow the order of operations. For example:

Let $f(x) = 30(2)^x$. What is f(3)?

$$f(x) = 30(2)^{x}$$

$$f(3) = 30(2)^{3}$$
 Substitute $x = 3$.
$$= 30(8)$$
 Simplify the power fir t.
$$= 240$$
 Multiply.

Note that if the order of operations were not followed, the result would be incorrect:

$$f(3) = 30(2)^3 \neq 60^3 = 216,000$$

Example 4.2

Evaluating Exponential Functions

Let $f(x) = 5(3)^{x+1}$. Evaluate f(2) without using a calculator.

Solution

Follow the order of operations. Be sure to pay attention to the parentheses.

$$f(x) = 5(3)^{x+1}$$

$$f(2) = 5(3)^{2+1}$$
 Substitute $x = 2$.
$$= 5(3)^{3}$$
 Add the exponents.
$$= 5(27)$$
 Simplify the power.
$$= 135$$
 Multiply.



4.2 Let $f(x) = 8(1.2)^{x-5}$. Evaluate f(3) using a calculator. Round to four decimal places.

Defining Exponential Growth

Because the output of exponential functions increases very rapidly, the term "exponential growth" is often used in everyday language to describe anything that grows or increases rapidly. However, exponential growth can be defined more precisely in a mathematical sense. If the growth rate is proportional to the amount present, the function models exponential growth.

Exponential Growth

A function that models **exponential growth** grows by a rate proportional to the amount present. For any real number x and any positive real numbers a and b such that $b \neq 1$, an exponential growth function has the form

$$f(x) = ab^x$$

where

- *a* is the initial or starting value of the function.
- b is the growth factor or growth multiplier per unit x.

In more general terms, we have an *exponential function*, in which a constant base is raised to a variable exponent. To differentiate between linear and exponential functions, let's consider two companies, A and B. Company A has 100 stores and expands by opening 50 new stores a year, so its growth can be represented by the function A(x) = 100 + 50x.

Company B has 100 stores and expands by increasing the number of stores by 50% each year, so its growth can be represented by the function $B(x) = 100(1 + 0.5)^x$.

A few years of growth for these companies are illustrated in **Table 4.4**.

Year, x	Stores, Company A	Stores, Company B
0	100 + 50(0) = 100	$100(1+0.5)^0 = 100$
1	100 + 50(1) = 150	$100(1+0.5)^1 = 150$
2	100 + 50(2) = 200	$100(1+0.5)^2 = 225$
3	100 + 50(3) = 250	$100(1+0.5)^3 = 337.5$
x	A(x) = 100 + 50x	$B(x) = 100(1 + 0.5)^x$

Table 4.4

The graphs comparing the number of stores for each company over a five-year period are shown in **Figure 4.3.** We can see that, with exponential growth, the number of stores increases much more rapidly than with linear growth.

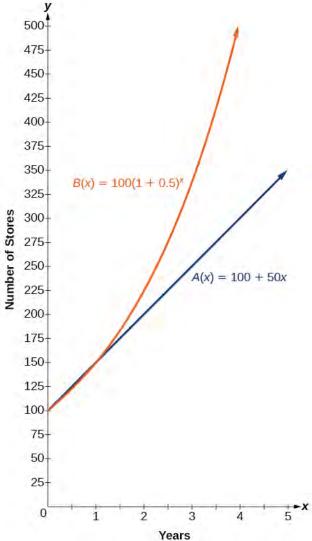


Figure 4.3 The graph shows the numbers of stores Companies A and B opened over a five-year period.

Notice that the domain for both functions is $[0, \infty)$, and the range for both functions is $[100, \infty)$. After year 1, Company B always has more stores than Company A.

Now we will turn our attention to the function representing the number of stores for Company B, $B(x) = 100(1 + 0.5)^x$. In this exponential function, 100 represents the initial number of stores, 0.50 represents the growth rate, and 1 + 0.5 = 1.5 represents the growth factor. Generalizing further, we can write this function as $B(x) = 100(1.5)^x$, where 100 is the initial value, 1.5 is called the *base*, and x is called the *exponent*.

Example 4.3

Evaluating a Real-World Exponential Model

At the beginning of this section, we learned that the population of India was about 1.25 billion in the year 2013, with an annual growth rate of about 1.2%. This situation is represented by the growth function

 $P(t) = 1.25(1.012)^t$, where t is the number of years since 2013. To the nearest thousandth, what will the population of India be in 2031?

Solution

To estimate the population in 2031, we evaluate the models for t = 18, because 2031 is 18 years after 2013. Rounding to the nearest thousandth,

$$P(18) = 1.25(1.012)^{18} \approx 1.549$$

There will be about 1.549 billion people in India in the year 2031.



4.3 The population of China was about 1.39 billion in the year 2013, with an annual growth rate of about 0.6%. This situation is represented by the growth function $P(t) = 1.39(1.006)^t$, where t is the number of years since 2013. To the nearest thousandth, what will the population of China be for the year 2031? How does this compare to the population prediction we made for India in **Example 4.3**?

Finding Equations of Exponential Functions

In the previous examples, we were given an exponential function, which we then evaluated for a given input. Sometimes we are given information about an exponential function without knowing the function explicitly. We must use the information to first write the form of the function, then determine the constants a and b, and evaluate the function.



Given two data points, write an exponential model.

- 1. If one of the data points has the form (0, a), then a is the initial value. Using a, substitute the second point into the equation $f(x) = a(b)^x$, and solve for b.
- 2. If neither of the data points have the form (0, a), substitute both points into two equations with the form $f(x) = a(b)^x$. Solve the resulting system of two equations in two unknowns to find a and b.
- 3. Using the *a* and *b* found in the steps above, write the exponential function in the form $f(x) = a(b)^x$.

Example 4.4

Writing an Exponential Model When the Initial Value Is Known

In 2006, 80 deer were introduced into a wildlife refuge. By 2012, the population had grown to 180 deer. The population was growing exponentially. Write an algebraic function N(t) representing the population (N) of deer over time t.

Solution

We let our independent variable t be the number of years after 2006. Thus, the information given in the problem can be written as input-output pairs: (0, 80) and (6, 180). Notice that by choosing our input variable to be measured as years after 2006, we have given ourselves the initial value for the function, a = 80. We can now substitute the second point into the equation $N(t) = 80b^t$ to find b:

$$N(t) = 80b^{t}$$

 $180 = 80b^{6}$ Substitute using point (6, 180).
 $\frac{9}{4} = b^{6}$ Divide and write in lowest terms.
 $b = \left(\frac{9}{4}\right)^{\frac{1}{6}}$ Isolate *b* using properties of exponents.
 $b \approx 1.1447$ Round to 4 decimal places.

NOTE: *Unless otherwise stated, do not round any intermediate calculations. Then round the final answer to four places for the remainder of this section.*

The exponential model for the population of deer is $N(t) = 80(1.1447)^t$. (Note that this exponential function models short-term growth. As the inputs gets large, the output will get increasingly larger, so much so that the model may not be useful in the long term.)

We can graph our model to observe the population growth of deer in the refuge over time. Notice that the graph in **Figure 4.4** passes through the initial points given in the problem, (0, 80) and (6, 180). We can also see that the domain for the function is $[0, \infty)$, and the range for the function is $[80, \infty)$.

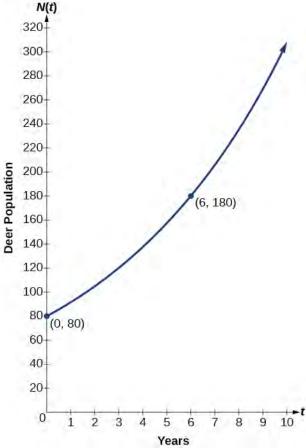


Figure 4.4 Graph showing the population of deer over time, $N(t) = 80(1.1447)^t$, t years after 2006



4.4 A wolf population is growing exponentially. In 2011, 129 wolves were counted. By 2013, the population had reached 236 wolves. What two points can be used to derive an exponential equation modeling this situation? Write the equation representing the population N of wolves over time t.

Example 4.5

Writing an Exponential Model When the Initial Value is Not Known

Find an exponential function that passes through the points (-2, 6) and (2, 1).

Solution

Because we don't have the initial value, we substitute both points into an equation of the form $f(x) = ab^x$, and then solve the system for a and b.

- Substituting (-2, 6) gives $6 = ab^{-2}$
- Substituting (2, 1) gives $1 = ab^2$

Use the first equation to solve for a in terms of b:

$$6 = ab^{-2}$$
$$\frac{6}{b^{-2}} = a Divide.$$

 $a = 6b^2$ Use properties of exponents to rewrite the denominator.

Substitute a in the second equation, and solve for b:

$$1 = ab^2$$

 $1 = 6b^2b^2 = 6b^4$ Substitute a.
 $b = \left(\frac{1}{6}\right)^{\frac{1}{4}}$ Use properties of exponents to isolate b.
 $b \approx 0.6389$ Round 4 decimal places.

Use the value of b in the first equation to solve for the value of a:

$$a = 6b^2 \approx 6(0.6389)^2 \approx 2.4492$$

Thus, the equation is $f(x) = 2.4492(0.6389)^x$.

We can graph our model to check our work. Notice that the graph in **Figure 4.5** passes through the initial points given in the problem, (-2, 6) and (2, 1). The graph is an example of an exponential decay function.

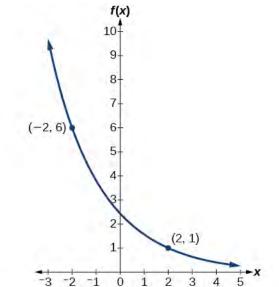


Figure 4.5 The graph of $f(x) = 2.4492(0.6389)^x$ models exponential decay.



4.5 Given the two points (1, 3) and (2, 4.5), find the equation of the exponential function that passes through these two points.



Do two points always determine a unique exponential function?

Yes, provided the two points are either both above the x-axis or both below the x-axis and have different x-coordinates. But keep in mind that we also need to know that the graph is, in fact, an exponential function. Not every graph that looks exponential really is exponential. We need to know the graph is based on a model that shows the same percent growth with each unit increase in x, which in many real world cases involves time.



Given the graph of an exponential function, write its equation.

- **1**. First, identify two points on the graph. Choose the *y*-intercept as one of the two points whenever possible. Try to choose points that are as far apart as possible to reduce round-off error.
- 2. If one of the data points is the *y*-intercept (0, a), then *a* is the initial value. Using *a*, substitute the second point into the equation $f(x) = a(b)^x$, and solve for *b*.
- 3. If neither of the data points have the form (0, a), substitute both points into two equations with the form $f(x) = a(b)^x$. Solve the resulting system of two equations in two unknowns to find a and b.
- 4. Write the exponential function, $f(x) = a(b)^x$.

Example 4.6

Writing an Exponential Function Given Its Graph

Find an equation for the exponential function graphed in Figure 4.6.

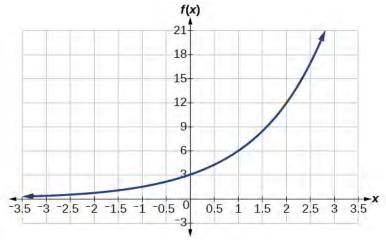


Figure 4.6

Solution

We can choose the *y*-intercept of the graph, (0, 3), as our first point. This gives us the initial value, a = 3. Next, choose a point on the curve some distance away from (0, 3) that has integer coordinates. One such point is (2, 12).

 $y = ab^x$ Write the general form of an exponential equation.

 $y = 3b^x$ Substitute the initial value 3 for a.

 $12 = 3b^2$ Substitute in 12 for y and 2 for x.

 $4 = b^2$ Divide by 3.

 $b = \pm 2$ Take the square root.

Because we restrict ourselves to positive values of b, we will use b = 2. Substitute a and b into the standard form to yield the equation $f(x) = 3(2)^x$.



4.6 Find an equation for the exponential function graphed in **Figure 4.7**.

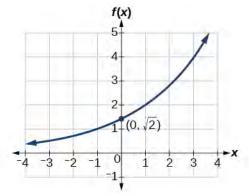


Figure 4.7



Given two points on the curve of an exponential function, use a graphing calculator to find the equation.

- 1. Press [STAT].
- 2. Clear any existing entries in columns L1 or L2.
- 3. In **L1**, enter the *x*-coordinates given.
- 4. In **L2**, enter the corresponding *y*-coordinates.
- 5. Press [STAT] again. Cursor right to CALC, scroll down to ExpReg (Exponential Regression), and press [ENTER].
- 6. The screen displays the values of *a* and *b* in the exponential equation $y = a \cdot b^x$.

Example 4.7

Using a Graphing Calculator to Find an Exponential Function

Use a graphing calculator to find the exponential equation that includes the points (2, 24.8) and (5, 198.4).

Solution

Follow the guidelines above. First press [STAT], [EDIT], [1: Edit...], and clear the lists L1 and L2. Next, in the L1 column, enter the *x*-coordinates, 2 and 5. Do the same in the L2 column for the *y*-coordinates, 24.8 and 198.4.

Now press **[STAT]**, **[CALC]**, **[0: ExpReg]** and press **[ENTER]**. The values a = 6.2 and b = 2 will be displayed. The exponential equation is $y = 6.2 \cdot 2^x$.



4.7 Use a graphing calculator to find the exponential equation that includes the points (3, 75.98) and (6, 481.07).

Applying the Compound-Interest Formula

Savings instruments in which earnings are continually reinvested, such as mutual funds and retirement accounts, use **compound interest**. The term *compounding* refers to interest earned not only on the original value, but on the accumulated value of the account.

The **annual percentage rate (APR)** of an account, also called the **nominal rate**, is the yearly interest rate earned by an investment account. The term *nominal* is used when the compounding occurs a number of times other than once per year. In fact, when interest is compounded more than once a year, the effective interest rate ends up being *greater* than the nominal rate! This is a powerful tool for investing.

We can calculate the compound interest using the compound interest formula, which is an exponential function of the variables time t, principal P, APR r, and number of compounding periods in a year n:

$$A(t) = P\left(1 + \frac{r}{n}\right)^{nt}$$

For example, observe **Table 4.5**, which shows the result of investing \$1,000 at 10% for one year. Notice how the value of the account increases as the compounding frequency increases.

Frequency	Value after 1 year
Annually	\$1100
Semiannually	\$1102.50
Quarterly	\$1103.81
Monthly	\$1104.71
Daily	\$1105.16

Table 4.5

The Compound Interest Formula

Compound interest can be calculated using the formula

$$A(t) = P\left(1 + \frac{r}{n}\right)^{nt} \tag{4.2}$$

where

- A(t) is the account value,
- *t* is measured in years,
- *P* is the starting amount of the account, often called the principal, or more generally present value,
- *r* is the annual percentage rate (APR) expressed as a decimal, and
- *n* is the number of compounding periods in one year.

Example 4.8

Calculating Compound Interest

If we invest \$3,000 in an investment account paying 3% interest compounded quarterly, how much will the account be worth in 10 years?

Solution

Because we are starting with \$3,000, P = 3000. Our interest rate is 3%, so r = 0.03. Because we are compounding quarterly, we are compounding 4 times per year, so n = 4. We want to know the value of the account in 10 years, so we are looking for A(10), the value when t = 10.

$$A(t) = P(1 + \frac{r}{n})^{nt}$$
 Use the compound interest formula.
 $A(10) = 3000(1 + \frac{0.03}{4})^{4 \cdot 10}$ Substitute using given values.
 $\approx 4045.05 Round to two decimal places.

The account will be worth about \$4,045.05 in 10 years.



4.8 An initial investment of \$100,000 at 12% interest is compounded weekly (use 52 weeks in a year). What will the investment be worth in 30 years?

Example 4.9

Using the Compound Interest Formula to Solve for the Principal

A 529 Plan is a college-savings plan that allows relatives to invest money to pay for a child's future college tuition; the account grows tax-free. Lily wants to set up a 529 account for her new granddaughter and wants the account to grow to \$40,000 over 18 years. She believes the account will earn 6% compounded semi-annually (twice a year). To the nearest dollar, how much will Lily need to invest in the account now?

Solution

The nominal interest rate is 6%, so r = 0.06. Interest is compounded twice a year, so k = 2.

We want to find the initial investment, P, needed so that the value of the account will be worth \$40,000 in 18 years. Substitute the given values into the compound interest formula, and solve for P.

$$A(t) = P(1 + \frac{r}{n})^{nt}$$
 Use the compound interest formula.
 $40,000 = P(1 + \frac{0.06}{2})^{2(18)}$ Substitute using given values A, r, n , and t .
 $40,000 = P(1.03)^{36}$ Simplify.
 $\frac{40,000}{(1.03)^{36}} = P$ Isolate P .
 $P \approx $13,801$ Divide and round to the nearest dollar.

Lily will need to invest \$13,801 to have \$40,000 in 18 years.



4.9 Refer to **Example 4.9**. To the nearest dollar, how much would Lily need to invest if the account is compounded quarterly?

Evaluating Functions with Base e

As we saw earlier, the amount earned on an account increases as the compounding frequency increases. **Table 4.6** shows that the increase from annual to semi-annual compounding is larger than the increase from monthly to daily compounding. This might lead us to ask whether this pattern will continue.

Examine the value of \$1 invested at 100% interest for 1 year, compounded at various frequencies, listed in Table 4.6.

Frequency	$A(t) = \left(1 + \frac{1}{n}\right)^n$	Value
Annually	$\left(1+\frac{1}{1}\right)^1$	\$2
Semiannually	$\left(1+\frac{1}{2}\right)^2$	\$2.25
Quarterly	$\left(1+\frac{1}{4}\right)^4$	\$2.441406
Monthly	$\left(1+\frac{1}{12}\right)^{12}$	\$2.613035
Daily	$\left(1 + \frac{1}{365}\right)^{365}$	\$2.714567
Hourly	$\left(1 + \frac{1}{8766}\right)^{8766}$	\$2.718127
Once per minute	$\left(1 + \frac{1}{525960}\right)^{525960}$	\$2.718279
Once per second	$\left(1 + \frac{1}{31557600}\right)^{31557600}$	\$2.718282

Table 4.6

These values appear to be approaching a limit as n increases without bound. In fact, as n gets larger and larger, the expression $\left(1+\frac{1}{n}\right)^n$ approaches a number used so frequently in mathematics that it has its own name: the letter e. This value is an irrational number, which means that its decimal expansion goes on forever without repeating. Its approximation to six decimal places is shown below.

The Number e

The letter e represents the irrational number

$$\left(1+\frac{1}{n}\right)^n$$
, as *n* increases without bound

The letter e is used as a base for many real-world exponential models. To work with base e, we use the approximation, $e \approx 2.718282$. The constant was named by the Swiss mathematician Leonhard Euler (1707–1783) who first investigated and discovered many of its properties.

Example 4.10

Using a Calculator to Find Powers of e

Calculate $e^{3.14}$. Round to five decimal places.

Solution

On a calculator, press the button labeled $[e^x]$. The window shows $[e^x]$. Type 3.14 and then close parenthesis, [)]. Press [ENTER]. Rounding to 5 decimal places, $e^{3.14} \approx 23.10387$. Caution: Many scientific calculators have an "Exp" button, which is used to enter numbers in scientific notation. It is not used to find powers of e.



4.10 Use a calculator to find $e^{-0.5}$. Round to five decimal places.

Investigating Continuous Growth

So far we have worked with rational bases for exponential functions. For most real-world phenomena, however, e is used as the base for exponential functions. Exponential models that use e as the base are called *continuous growth or decay models*. We see these models in finance, computer science, and most of the sciences, such as physics, toxicology, and fluid dynamics.

The Continuous Growth/Decay Formula

For all real numbers t, and all positive numbers a and r, continuous growth or decay is represented by the formula

$$A(t) = ae^{rt} (4.3)$$

where

- *a* is the initial value,
- *r* is the continuous growth rate per unit time,
- and *t* is the elapsed time.

If r > 0, then the formula represents continuous growth. If r < 0, then the formula represents continuous decay.

For business applications, the continuous growth formula is called the continuous compounding formula and takes the form

$$A(t) = Pe^{rt}$$

where

- *P* is the principal or the initial invested,
- *r* is the growth or interest rate per unit time,
- and t is the period or term of the investment.



Given the initial value, rate of growth or decay, and time t, solve a continuous growth or decay function.

- 1. Use the information in the problem to determine a, the initial value of the function.
- 2. Use the information in the problem to determine the growth rate r.
 - a. If the problem refers to continuous growth, then r > 0.
 - b. If the problem refers to continuous decay, then r < 0.
- 3. Use the information in the problem to determine the time *t*.
- 4. Substitute the given information into the continuous growth formula and solve for A(t).

Example 4.11

Calculating Continuous Growth

A person invested \$1,000 in an account earning a nominal 10% per year compounded continuously. How much was in the account at the end of one year?

Solution

Since the account is growing in value, this is a continuous compounding problem with growth rate r = 0.10. The initial investment was \$1,000, so P = 1000. We use the continuous compounding formula to find the value after t = 1 year:

$$A(t) = Pe^{rt}$$
 Use the continuous compounding formula.
= $1000(e)^{0.1}$ Substitute known values for P , r , and t .
 ≈ 1105.17 Use a calculator to approximate.

The account is worth \$1,105.17 after one year.



4.11 A person invests \$100,000 at a nominal 12% interest per year compounded continuously. What will be the value of the investment in 30 years?

Example 4.12

Calculating Continuous Decay

Radon-222 decays at a continuous rate of 17.3% per day. How much will 100 mg of Radon-222 decay to in 3 days?

Solution

Since the substance is decaying, the rate, 17.3%, is negative. So, r=-0.173. The initial amount of radon-222 was 100 mg, so a=100. We use the continuous decay formula to find the value after t=3 days:

$$A(t) = ae^{rt}$$
 Use the continuous growth formula.
 $= 100e^{-0.173(3)}$ Substitute known values for a , r , and t .
 ≈ 59.5115 Use a calculator to approximate.

So 59.5115 mg of radon-222 will remain.



4.12 Using the data in **Example 4.12**, how much radon-222 will remain after one year?



Access these online resources for additional instruction and practice with exponential functions.

- Exponential Growth Function (http://openstaxcollege.org/l/expgrowth)
- Compound Interest (http://openstaxcollege.org/l/compoundint)

4.1 EXERCISES

Verbal

- 1. Explain why the values of an increasing exponential function will eventually overtake the values of an increasing linear function.
- 2. Given a formula for an exponential function, is it possible to determine whether the function grows or decays exponentially just by looking at the formula? Explain.
- 3. The Oxford Dictionary defines the word *nominal* as a value that is "stated or expressed but not necessarily corresponding exactly to the real value." Develop a reasonable argument for why the term *nominal rate* is used to describe the annual percentage rate of an investment account that compounds interest.

Algebraic

For the following exercises, identify whether the statement represents an exponential function. Explain.

- 4. The average annual population increase of a pack of wolves is 25.
- ^{5.} A population of bacteria decreases by a factor of $\frac{1}{8}$ every 24 hours.
- 6. The value of a coin collection has increased by 3.25% annually over the last 20 years.
- 7. For each training session, a personal trainer charges his clients \$5 less than the previous training session.
- 8. The height of a projectile at time t is represented by the function $h(t) = -4.9t^2 + 18t + 40$.

For the following exercises, consider this scenario: For each year t, the population of a forest of trees is represented by the function $A(t) = 115(1.025)^t$. In a neighboring forest, the population of the same type of tree is represented by the function $B(t) = 82(1.029)^t$. (Round answers to the nearest whole number.)

- 9. Which forest's population is growing at a faster rate?
- 10. Which forest had a greater number of trees initially? By how many?
- 11. Assuming the population growth models continue to represent the growth of the forests, which forest will have a greater number of trees after 20 years? By how many?

Assuming the population growth models continue to represent the growth of the forests, which forest will have a greater number of trees after 100 years? By how many?

13. Discuss the above results from the previous four exercises. Assuming the population growth models continue to represent the growth of the forests, which forest will have the greater number of trees in the long run? Why? What are some factors that might influence the long-term validity of the exponential growth model?

For the following exercises, determine whether the equation represents exponential growth, exponential decay, or neither. Explain.

14.
$$y = 300(1-t)^5$$

15.
$$y = 220(1.06)^x$$

16.
$$y = 16.5(1.025)^{\frac{1}{x}}$$

17.
$$y = 11, 701(0.97)^t$$

For the following exercises, find the formula for an exponential function that passes through the two points given.

20.
$$\left(-1, \frac{3}{2}\right)$$
 and $(3, 24)$

21.
$$(-2, 6)$$
 and $(3, 1)$

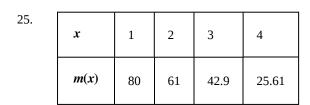
For the following exercises, determine whether the table could represent a function that is linear, exponential, or neither. If it appears to be exponential, find a function that passes through the points.

23.

x	1	2	3	4
f(x)	70	40	10	-20

24.

x	1		3	4	
h(x)	70	49	34.3	24.01	



26.	x	1	2	3	4
	f(x)	10	20	40	80

27.	x	1	2	3	4
	g(x)	-3.25	2	7.25	12.5

For the following exercises, use the compound interest formula, $A(t) = P(1 + \frac{r}{n})^{nt}$.

- 28. After a certain number of years, the value of an investment account is represented by the equation $10, 250(1 + \frac{0.04}{12})^{120}$. What is the value of the account?
- 29. What was the initial deposit made to the account in the previous exercise?
- 30. How many years had the account from the previous exercise been accumulating interest?
- 31. An account is opened with an initial deposit of \$6,500 and earns 3.6% interest compounded semi-annually. What will the account be worth in 20 years?
- 32. How much more would the account in the previous exercise have been worth if the interest were compounding weekly?
- 33. Solve the compound interest formula for the principal, ${\it P}$.

- 34. Use the formula found in the previous exercise to calculate the initial deposit of an account that is worth \$14, 472.74 after earning 5.5% interest compounded monthly for 5 years. (Round to the nearest dollar.)
- 35. How much more would the account in the previous two exercises be worth if it were earning interest for 5 more years?
- 36. Use properties of rational exponents to solve the compound interest formula for the interest rate, r.
- 37. Use the formula found in the previous exercise to calculate the interest rate for an account that was compounded semi-annually, had an initial deposit of \$9,000 and was worth \$13,373.53 after 10 years.
- 38. Use the formula found in the previous exercise to calculate the interest rate for an account that was compounded monthly, had an initial deposit of \$5,500, and was worth \$38,455 after 30 years.

For the following exercises, determine whether the equation represents continuous growth, continuous decay, or neither. Explain.

39.
$$y = 3742(e)^{0.75t}$$

40.
$$y = 150(e)^{\frac{3.25}{t}}$$

41.
$$y = 2.25(e)^{-2t}$$

- 42. Suppose an investment account is opened with an initial deposit of \$12,000 earning 7.2% interest compounded continuously. How much will the account be worth after 30 years?
- 43. How much less would the account from Exercise 42 be worth after 30 years if it were compounded monthly instead?

Numeric

For the following exercises, evaluate each function. Round answers to four decimal places, if necessary.

44.
$$f(x) = 2(5)^x$$
, for $f(-3)$

45.
$$f(x) = -4^{2x+3}$$
, for $f(-1)$

46.
$$f(x) = e^x$$
, for $f(3)$

47.
$$f(x) = -2e^{x-1}$$
, for $f(-1)$

48.
$$f(x) = 2.7(4)^{-x+1} + 1.5$$
, for $f(-2)$

49.
$$f(x) = 1.2e^{2x} - 0.3$$
, for $f(3)$

50.
$$f(x) = -\frac{3}{2}(3)^{-x} + \frac{3}{2}$$
, for $f(2)$

Technology

For the following exercises, use a graphing calculator to find the equation of an exponential function given the points on the curve.

- 51. (0, 3) and (3, 375)
- 52. (3, 222.62) and (10, 77.456)
- 53. (20, 29.495) and (150, 730.89)
- 54. (5, 2.909) and (13, 0.005)
- 55. (11,310.035) and (25,356.3652)

Extensions

56. The *annual percentage yield* (APY) of an investment account is a representation of the actual interest rate earned on a compounding account. It is based on a compounding period of one year. Show that the APY of an account that compounds monthly can be found with the formula

APY =
$$\left(1 + \frac{r}{12}\right)^{12} - 1$$
.

- 57. Repeat the previous exercise to find the formula for the APY of an account that compounds daily. Use the results from this and the previous exercise to develop a function I(n) for the APY of any account that compounds n times per year.
- 58. Recall that an exponential function is any equation written in the form $f(x) = a \cdot b^x$ such that a and
- b are positive numbers and $b \neq 1$. Any positive number b can be written as $b = e^n$ for some value of n. Use this fact to rewrite the formula for an exponential function that uses the number e as a base.
- 59. In an exponential decay function, the base of the exponent is a value between 0 and 1. Thus, for some number b > 1, the exponential decay function can be

written as $f(x) = a \cdot \left(\frac{1}{b}\right)^x$. Use this formula, along with the fact that $b = e^n$, to show that an exponential decay function takes the form $f(x) = a(e)^{-nx}$ for some positive number n.

60. The formula for the amount A in an investment account with a nominal interest rate r at any time t is

given by $A(t) = a(e)^{rt}$, where a is the amount of principal initially deposited into an account that compounds continuously. Prove that the percentage of interest earned to principal at any time t can be calculated with the formula $I(t) = e^{rt} - 1$.

Real-World Applications

- 61. The fox population in a certain region has an annual growth rate of 9% per year. In the year 2012, there were 23,900 fox counted in the area. What is the fox population predicted to be in the year 2020?
- 62. A scientist begins with 100 milligrams of a radioactive substance that decays exponentially. After 35 hours, 50mg of the substance remains. How many milligrams will remain after 54 hours?
- 63. In the year 1985, a house was valued at \$110,000. By the year 2005, the value had appreciated to \$145,000. What was the annual growth rate between 1985 and 2005? Assume that the value continued to grow by the same percentage. What was the value of the house in the year 2010?
- 64. A car was valued at \$38,000 in the year 2007. By 2013, the value had depreciated to \$11,000 If the car's value continues to drop by the same percentage, what will it be worth by 2017?
- 65. Jamal wants to save \$54,000 for a down payment on a home. How much will he need to invest in an account with 8.2% APR, compounding daily, in order to reach his goal in 5 years?
- 66. Kyoko has \$10,000 that she wants to invest. Her bank has several investment accounts to choose from, all compounding daily. Her goal is to have \$15,000 by the time she finishes graduate school in 6 years. To the nearest hundredth of a percent, what should her minimum annual interest rate be in order to reach her goal? (*Hint*: solve the compound interest formula for the interest rate.)
- 67. Alyssa opened a retirement account with 7.25% APR in the year 2000. Her initial deposit was \$13,500. How much will the account be worth in 2025 if interest compounds monthly? How much more would she make if interest compounded continuously?
- 68. An investment account with an annual interest rate of 7% was opened with an initial deposit of \$4,000 Compare the values of the account after 9 years when the interest is compounded annually, quarterly, monthly, and continuously.

4.2 | Graphs of Exponential Functions

Learning Objectives

- **4.2.1** Graph exponential functions.
- **4.2.2** Graph exponential functions using transformations.

As we discussed in the previous section, exponential functions are used for many real-world applications such as finance, forensics, computer science, and most of the life sciences. Working with an equation that describes a real-world situation gives us a method for making predictions. Most of the time, however, the equation itself is not enough. We learn a lot about things by seeing their pictorial representations, and that is exactly why graphing exponential equations is a powerful tool. It gives us another layer of insight for predicting future events.

Graphing Exponential Functions

Before we begin graphing, it is helpful to review the behavior of exponential growth. Recall the table of values for a function of the form $f(x) = b^x$ whose base is greater than one. We'll use the function $f(x) = 2^x$. Observe how the output values in **Table 4.7** change as the input increases by 1.

x	-3	-2	-1	0	1	2	3
$f(x) = 2^x$	<u>1</u> 8	<u>1</u> 4	$\frac{1}{2}$	1	2	4	8

Table 4.7

Each output value is the product of the previous output and the base, 2. We call the base 2 the *constant ratio*. In fact, for any exponential function with the form $f(x) = ab^x$, b is the constant ratio of the function. This means that as the input increases by 1, the output value will be the product of the base and the previous output, regardless of the value of a.

Notice from the table that

- the output values are positive for all values of *x*;
- as *x* increases, the output values increase without bound; and
- as *x* decreases, the output values grow smaller, approaching zero.

Figure 4.8 shows the exponential growth function $f(x) = 2^x$.

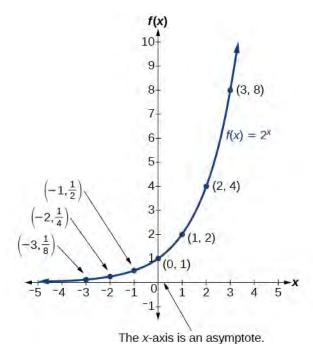


Figure 4.8 Notice that the graph gets close to the *x*-axis, but never touches it.

The domain of $f(x) = 2^x$ is all real numbers, the range is $(0, \infty)$, and the horizontal asymptote is y = 0.

To get a sense of the behavior of exponential decay, we can create a table of values for a function of the form $f(x) = b^x$ whose base is between zero and one. We'll use the function $g(x) = \left(\frac{1}{2}\right)^x$. Observe how the output values in **Table 4.8** change as the input increases by 1.

x	-3	-2	-1	0	1	2	3
$g(x) = \left(\frac{1}{2}\right)^x$	8	4	2	1	<u>1</u> 2	<u>1</u> 4	<u>1</u> 8

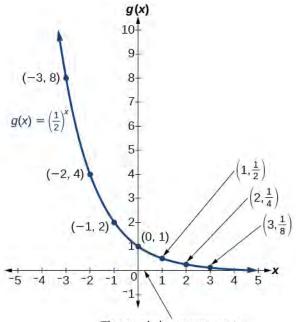
Table 4.8

Again, because the input is increasing by 1, each output value is the product of the previous output and the base, or constant ratio $\frac{1}{2}$.

Notice from the table that

- the output values are positive for all values of *x*;
- as *x* increases, the output values grow smaller, approaching zero; and
- as *x* decreases, the output values grow without bound.

Figure 4.9 shows the exponential decay function, $g(x) = \left(\frac{1}{2}\right)^x$.



The x-axis is an asymptote

Figure 4.9

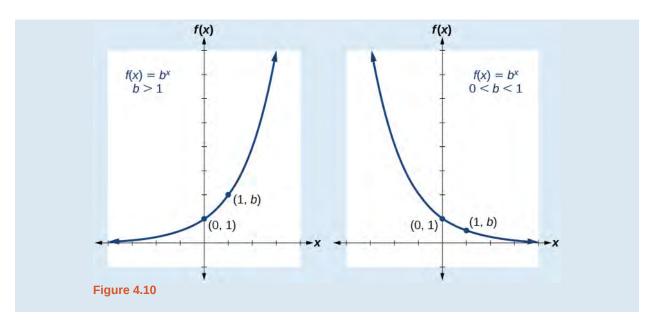
The domain of $g(x) = \left(\frac{1}{2}\right)^x$ is all real numbers, the range is $(0, \infty)$, and the horizontal asymptote is y = 0.

Characteristics of the Graph of the Parent Function $f(x) = b^x$

An exponential function with the form $f(x) = b^x$, b > 0, $b \ne 1$, has these characteristics:

- · one-to-one function
- horizontal asymptote: y = 0
- domain: $(-\infty, \infty)$
- range: $(0, \infty)$
- *x*-intercept: none
- *y*-intercept: (0, 1)
- increasing if b > 1
- decreasing if b < 1

Figure 4.10 compares the graphs of exponential growth and decay functions.





Given an exponential function of the form $f(x) = b^x$, graph the function.

- 1. Create a table of points.
- 2. Plot at least 3 point from the table, including the *y*-intercept (0, 1).
- 3. Draw a smooth curve through the points.
- 4. State the domain, $(-\infty, \infty)$, the range, $(0, \infty)$, and the horizontal asymptote, y = 0.

Example 4.13

Sketching the Graph of an Exponential Function of the Form $f(x) = b^{x}$

Sketch a graph of $f(x) = 0.25^x$. State the domain, range, and asymptote.

Solution

Before graphing, identify the behavior and create a table of points for the graph.

- Since b = 0.25 is between zero and one, we know the function is decreasing. The left tail of the graph will increase without bound, and the right tail will approach the asymptote y = 0.
- Create a table of points as in **Table 4.9**.

x	-3	-2	-1	0	1	2	3
$f(x) = 0.25^x$	64	16	4	1	0.25	0.0625	0.015625

Table 4.9

• Plot the *y*-intercept, (0, 1), along with two other points. We can use (-1, 4) and (1, 0.25).

Draw a smooth curve connecting the points as in **Figure 4.11**.

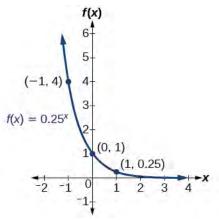


Figure 4.11

The domain is $(-\infty, \infty)$; the range is $(0, \infty)$; the horizontal asymptote is y = 0.



4.13 Sketch the graph of $f(x) = 4^x$. State the domain, range, and asymptote.

Graphing Transformations of Exponential Functions

Transformations of exponential graphs behave similarly to those of other functions. Just as with other parent functions, we can apply the four types of transformations—shifts, reflections, stretches, and compressions—to the parent function $f(x) = b^x$ without loss of shape. For instance, just as the quadratic function maintains its parabolic shape when shifted, reflected, stretched, or compressed, the exponential function also maintains its general shape regardless of the transformations applied.

Graphing a Vertical Shift

The first transformation occurs when we add a constant d to the parent function $f(x) = b^x$, giving us a vertical shift d units in the same direction as the sign. For example, if we begin by graphing a parent function, $f(x) = 2^x$, we can then graph two vertical shifts alongside it, using d = 3: the upward shift, $g(x) = 2^x + 3$ and the downward shift, $h(x) = 2^x - 3$. Both vertical shifts are shown in **Figure 4.12**.

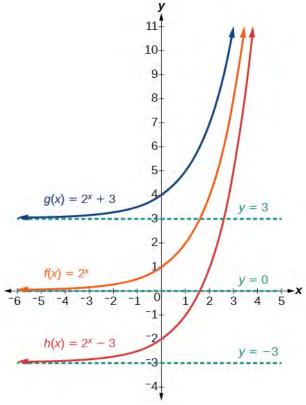


Figure 4.12

Observe the results of shifting $f(x) = 2^x$ vertically:

- The domain, $(-\infty, \infty)$ remains unchanged.
- When the function is shifted up 3 units to $g(x) = 2^x + 3$:
 - The *y*-intercept shifts up 3 units to (0, 4).
 - The asymptote shifts up 3 units to y = 3.
 - The range becomes $(3, \infty)$.
- When the function is shifted down 3 units to $h(x) = 2^x 3$:
 - The *y*-intercept shifts down 3 units to (0, -2).
 - The asymptote also shifts down 3 units to y = -3.
 - The range becomes $(-3, \infty)$.

Graphing a Horizontal Shift

The next transformation occurs when we add a constant c to the input of the parent function $f(x) = b^x$, giving us a horizontal shift c units in the *opposite* direction of the sign. For example, if we begin by graphing the parent function $f(x) = 2^x$, we can then graph two horizontal shifts alongside it, using c = 3: the shift left, $g(x) = 2^{x+3}$, and the shift right, $h(x) = 2^{x-3}$. Both horizontal shifts are shown in **Figure 4.13**.

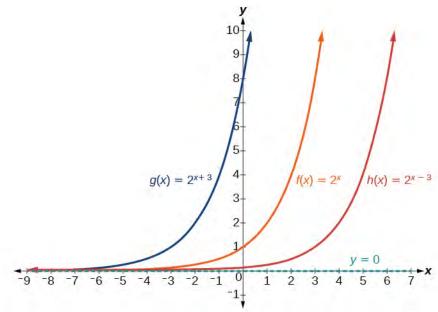


Figure 4.13

Observe the results of shifting $f(x) = 2^x$ horizontally:

- The domain, $(-\infty, \infty)$, remains unchanged.
- The asymptote, y = 0, remains unchanged.
- The *y*-intercept shifts such that:
 - When the function is shifted left 3 units to $g(x) = 2^{x+3}$, the *y*-intercept becomes (0, 8). This is because $2^{x+3} = (8)2^x$, so the initial value of the function is 8.
 - When the function is shifted right 3 units to $h(x) = 2^{x-3}$, the *y*-intercept becomes $\left(0, \frac{1}{8}\right)$. Again, see that $2^{x-3} = \left(\frac{1}{8}\right)2^x$, so the initial value of the function is $\frac{1}{8}$.

Shifts of the Parent Function $f(x) = b^x$

For any constants c and d, the function $f(x) = b^{x+c} + d$ shifts the parent function $f(x) = b^x$

- vertically *d* units, in the *same* direction of the sign of *d*.
- horizontally *c* units, in the *opposite* direction of the sign of *c*.
- The *y*-intercept becomes $(0, b^c + d)$.
- The horizontal asymptote becomes y = d.
- The range becomes (d, ∞) .
- The domain, $(-\infty, \infty)$, remains unchanged.



Given an exponential function with the form $f(x) = b^{x+c} + d$, graph the translation.

- 1. Draw the horizontal asymptote y = d.
- 2. Identify the shift as (-c, d). Shift the graph of $f(x) = b^x$ left c units if c is positive, and right c units if c is negative.
- 3. Shift the graph of $f(x) = b^x$ up d units if d is positive, and down d units if d is negative.
- 4. State the domain, $(-\infty, \infty)$, the range, (d, ∞) , and the horizontal asymptote y = d.

Example 4.14

Graphing a Shift of an Exponential Function

Graph $f(x) = 2^{x+1} - 3$. State the domain, range, and asymptote.

Solution

We have an exponential equation of the form $f(x) = b^{x+c} + d$, with b = 2, c = 1, and d = -3.

Draw the horizontal asymptote y = d, so draw y = -3.

Identify the shift as (-c, d), so the shift is (-1, -3).

Shift the graph of $f(x) = b^x$ left 1 units and down 3 units.

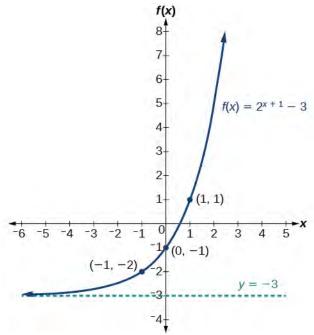


Figure 4.14

The domain is $(-\infty, \infty)$; the range is $(-3, \infty)$; the horizontal asymptote is y = -3.



J.14 Graph $f(x) = 2^{x-1} + 3$. State domain, range, and asymptote.



Given an equation of the form $f(x) = b^{x+c} + d$ for x, use a graphing calculator to approximate the solution.

- Press [Y=]. Enter the given exponential equation in the line headed "Y₁=".
- Enter the given value for f(x) in the line headed " Y_2 =".
- Press **[WINDOW]**. Adjust the *y*-axis so that it includes the value entered for "**Y**₂=".
- Press **[GRAPH]** to observe the graph of the exponential function along with the line for the specified value of f(x).
- To find the value of *x*, we compute the point of intersection. Press **[2ND]** then **[CALC]**. Select "intersect" and press **[ENTER]** three times. The point of intersection gives the value of *x* for the indicated value of the function.

Example 4.15

Approximating the Solution of an Exponential Equation

Solve $42 = 1.2(5)^x + 2.8$ graphically. Round to the nearest thousandth.

Solution

Press **[Y=]** and enter $1.2(5)^x + 2.8$ next to **Y₁=**. Then enter 42 next to **Y2=**. For a window, use the values -3 to 3 for x and -5 to 55 for y. Press **[GRAPH]**. The graphs should intersect somewhere near x = 2.

For a better approximation, press **[2ND]** then **[CALC]**. Select **[5: intersect]** and press **[ENTER]** three times. The x-coordinate of the point of intersection is displayed as 2.1661943. (Your answer may be different if you use a different window or use a different value for **Guess?**) To the nearest thousandth, $x \approx 2.166$.



4.15 Solve $4 = 7.85(1.15)^x - 2.27$ graphically. Round to the nearest thousandth.

Graphing a Stretch or Compression

While horizontal and vertical shifts involve adding constants to the input or to the function itself, a stretch or compression occurs when we multiply the parent function $f(x) = b^x$ by a constant |a| > 0. For example, if we begin by graphing the parent function $f(x) = 2^x$, we can then graph the stretch, using a = 3, to get $g(x) = 3(2)^x$ as shown on the left in **Figure 4.15**, and the compression, using $a = \frac{1}{3}$, to get $h(x) = \frac{1}{3}(2)^x$ as shown on the right in **Figure 4.15**.

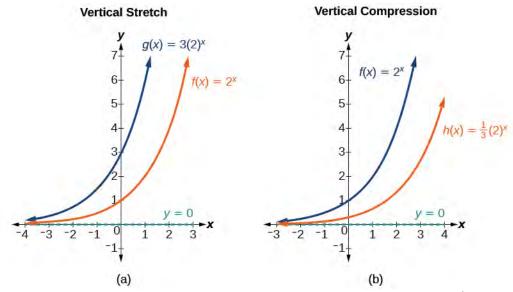


Figure 4.15 (a) $g(x) = 3(2)^x$ stretches the graph of $f(x) = 2^x$ vertically by a factor of 3. (b) $h(x) = \frac{1}{3}(2)^x$ compresses the graph of $f(x) = 2^x$ vertically by a factor of $\frac{1}{3}$.

Stretches and Compressions of the Parent Function $f(x) = b^x$

For any factor a > 0, the function $f(x) = a(b)^x$

- is stretched vertically by a factor of a if |a| > 1.
- is compressed vertically by a factor of a if |a| < 1.
- has a *y*-intercept of (0, a).
- has a horizontal asymptote at y = 0, a range of $(0, \infty)$, and a domain of $(-\infty, \infty)$, which are unchanged from the parent function.

Example 4.16

Graphing the Stretch of an Exponential Function

Sketch a graph of $f(x) = 4\left(\frac{1}{2}\right)^x$. State the domain, range, and asymptote.

Solution

Before graphing, identify the behavior and key points on the graph.

- Since $b = \frac{1}{2}$ is between zero and one, the left tail of the graph will increase without bound as x decreases, and the right tail will approach the x-axis as x increases.
- Since a = 4, the graph of $f(x) = \left(\frac{1}{2}\right)^x$ will be stretched by a factor of 4.

x	-3	-2	-1	0	1	2	3
$f(x) = 4\left(\frac{1}{2}\right)^x$	32	16	8	4	2	1	0.5

• Create a table of points as shown in **Table 4.10**.

Table 4.10

• Plot the *y*-intercept, (0, 4), along with two other points. We can use (-1, 8) and (1, 2).

Draw a smooth curve connecting the points, as shown in **Figure 4.16**.

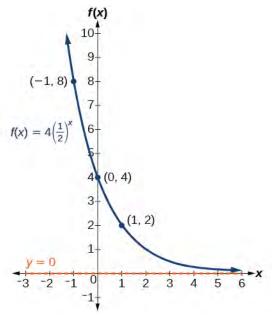


Figure 4.16

The domain is $(-\infty, \infty)$; the range is $(0, \infty)$; the horizontal asymptote is y = 0.



4.16 Sketch the graph of $f(x) = \frac{1}{2}(4)^x$. State the domain, range, and asymptote.

Graphing Reflections

In addition to shifting, compressing, and stretching a graph, we can also reflect it about the *x*-axis or the *y*-axis. When we multiply the parent function $f(x) = b^x$ by -1, we get a reflection about the *x*-axis. When we multiply the input by -1, we get a reflection about the *y*-axis. For example, if we begin by graphing the parent function $f(x) = 2^x$, we can then graph the two reflections alongside it. The reflection about the *x*-axis, $g(x) = -2^x$, is shown on the left side of **Figure 4.17**, and the reflection about the *y*-axis $h(x) = 2^{-x}$, is shown on the right side of **Figure 4.17**.



Reflection about the y-axis

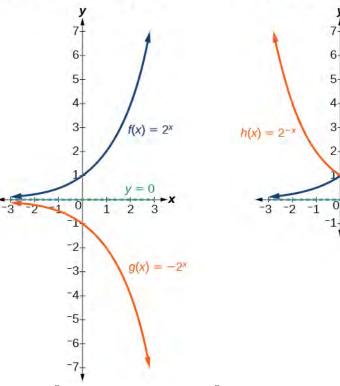


Figure 4.17 (a) $g(x) = -2^x$ reflects the graph of $f(x) = 2^x$ about the x-axis. (b) $g(x) = 2^{-x}$ reflects the graph of $f(x) = 2^x$ about the y-axis.

Reflections of the Parent Function $f(x) = b^x$

The function $f(x) = -b^x$

- reflects the parent function $f(x) = b^x$ about the *x*-axis.
- has a *y*-intercept of (0, -1).
- has a range of $(-\infty, 0)$
- has a horizontal asymptote at y = 0 and domain of $(-\infty, \infty)$, which are unchanged from the parent function.

The function $f(x) = b^{-x}$

- reflects the parent function $f(x) = b^x$ about the *y*-axis.
- has a *y*-intercept of (0, 1), a horizontal asymptote at y = 0, a range of $(0, \infty)$, and a domain of $(-\infty, \infty)$, which are unchanged from the parent function.

Example 4.17

Writing and Graphing the Reflection of an Exponential Function

Find and graph the equation for a function, g(x), that reflects $f(x) = \left(\frac{1}{4}\right)^x$ about the *x*-axis. State its domain, range, and asymptote.

Solution

Since we want to reflect the parent function $f(x) = \left(\frac{1}{4}\right)^x$ about the *x*-axis, we multiply f(x) by -1 to get, $g(x) = -\left(\frac{1}{4}\right)^x$. Next we create a table of points as in **Table 4.11**.

x	-3	-2	-1	0	1	2	3
$g(x) = -\left(\frac{1}{4}\right)^x$	-64	-16	-4	-1	-0.25	-0.0625	-0.0156

Table 4.11

Plot the *y*-intercept, (0, -1), along with two other points. We can use (-1, -4) and (1, -0.25).

Draw a smooth curve connecting the points:

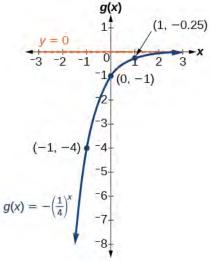


Figure 4.18

The domain is $(-\infty, \infty)$; the range is $(-\infty, 0)$; the horizontal asymptote is y = 0.



4.17 Find and graph the equation for a function, g(x), that reflects $f(x) = 1.25^x$ about the *y*-axis. State its domain, range, and asymptote.

Summarizing Translations of the Exponential Function

Now that we have worked with each type of translation for the exponential function, we can summarize them in **Table 4.12** to arrive at the general equation for translating exponential functions.

Translations of the Parent Function $f(x) = b^x$	
Translation	Form
Shift • Horizontally c units to the left • Vertically d units up	$f(x) = b^{x+c} + d$
Stretch and Compress • Stretch if $ a > 1$ • Compression if $0 < a < 1$	$f(x) = ab^x$
Reflect about the <i>x</i> -axis	$f(x) = -b^x$
Reflect about the <i>y</i> -axis	$f(x) = b^{-x} = \left(\frac{1}{b}\right)^x$
General equation for all translations	$f(x) = ab^{x+c} + d$

Table 4.12

Translations of Exponential Functions

A translation of an exponential function has the form

$$f(x) = ab^{x+c} + d \tag{4.4}$$

Where the parent function, $y = b^x$, b > 1, is

- shifted horizontally *c* units to the left.
- stretched vertically by a factor of |a| if |a| > 0.
- compressed vertically by a factor of |a| if 0 < |a| < 1.
- shifted vertically *d* units.
- reflected about the *x*-axis when a < 0.

Note the order of the shifts, transformations, and reflections follow the order of operations.

Example 4.18

Writing a Function from a Description

Write the equation for the function described below. Give the horizontal asymptote, the domain, and the range.

• $f(x) = e^x$ is vertically stretched by a factor of 2, reflected across the *y*-axis, and then shifted up 4 units.

Solution

We want to find an equation of the general form $f(x) = ab^{x+c} + d$. We use the description provided to find a, b, c, and d.

- We are given the parent function $f(x) = e^x$, so b = e.
- The function is stretched by a factor of 2, so a = 2.
- The function is reflected about the *y*-axis. We replace x with -x to get: e^{-x} .
- The graph is shifted vertically 4 units, so d = 4.

Substituting in the general form we get,

$$f(x) = ab^{x+c} + d$$

= $2e^{-x+0} + 4$
= $2e^{-x} + 4$

The domain is $(-\infty, \infty)$; the range is $(4, \infty)$; the horizontal asymptote is y = 4.



- **4.18** Write the equation for function described below. Give the horizontal asymptote, the domain, and the range.
 - $f(x) = e^x$ is compressed vertically by a factor of $\frac{1}{3}$, reflected across the *x*-axis and then shifted down 2 units.



Access this online resource for additional instruction and practice with graphing exponential functions.

· Graph Exponential Functions (http://openstaxcollege.org/l/graphexpfunc)

4.2 EXERCISES

Verbal

69. What role does the horizontal asymptote of an exponential function play in telling us about the end behavior of the graph?

70. What is the advantage of knowing how to recognize transformations of the graph of a parent function algebraically?

Algebraic

71. The graph of $f(x) = 3^x$ is reflected about the *y*-axis and stretched vertically by a factor of 4. What is the equation of the new function, g(x)? State its *y*-intercept, domain, and range.

72. The graph of $f(x) = \left(\frac{1}{2}\right)^{-x}$ is reflected about the *y*-axis and compressed vertically by a factor of $\frac{1}{5}$. What is the equation of the new function, g(x)? State its *y*-intercept, domain, and range.

73. The graph of $f(x) = 10^x$ is reflected about the *x*-axis and shifted upward 7 units. What is the equation of the new function, g(x)? State its *y*-intercept, domain, and range.

74. The graph of $f(x) = (1.68)^x$ is shifted right 3 units, stretched vertically by a factor of 2, reflected about the *x*-axis, and then shifted downward 3 units. What is the equation of the new function, g(x)? State its *y*-intercept (to the nearest thousandth), domain, and range.

75. The graph of
$$f(x) = -\frac{1}{2} \left(\frac{1}{4}\right)^{x-2} + 4$$
 is shifted left

2 units, stretched vertically by a factor of 4, reflected about the *x*-axis, and then shifted downward 4 units. What is the equation of the new function, g(x)? State its *y*-intercept, domain, and range.

Graphical

For the following exercises, graph the function and its reflection about the *y*-axis on the same axes, and give the *y*-intercept.

76.
$$f(x) = 3\left(\frac{1}{2}\right)^x$$

77.
$$g(x) = -2(0.25)^x$$

78.
$$h(x) = 6(1.75)^{-x}$$

For the following exercises, graph each set of functions on the same axes.

79.
$$f(x) = 3\left(\frac{1}{4}\right)^x$$
, $g(x) = 3(2)^x$, and $h(x) = 3(4)^x$

80.
$$f(x) = \frac{1}{4}(3)^x$$
, $g(x) = 2(3)^x$, and $h(x) = 4(3)^x$

For the following exercises, match each function with one of the graphs in **Figure 4.19**.

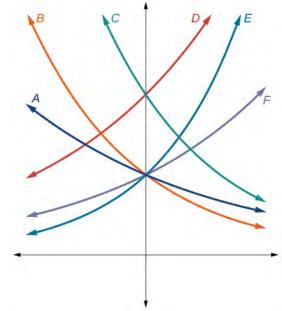


Figure 4.19

81.
$$f(x) = 2(0.69)^x$$

82.
$$f(x) = 2(1.28)^x$$

83.
$$f(x) = 2(0.81)^x$$

84.
$$f(x) = 4(1.28)^x$$

85.
$$f(x) = 2(1.59)^x$$

86.
$$f(x) = 4(0.69)^x$$

For the following exercises, use the graphs shown in **Figure 4.20**. All have the form $f(x) = ab^x$.

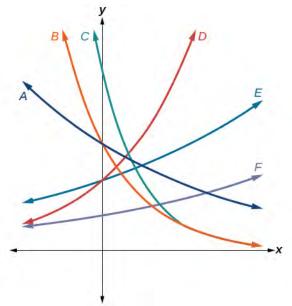


Figure 4.20

- 87. Which graph has the largest value for b?
- 88. Which graph has the smallest value for b?
- 89. Which graph has the largest value for *a*?
- 90. Which graph has the smallest value for *a*?

For the following exercises, graph the function and its reflection about the *x*-axis on the same axes.

91.
$$f(x) = \frac{1}{2}(4)^x$$

92.
$$f(x) = 3(0.75)^x - 1$$

93.
$$f(x) = -4(2)^x + 2$$

For the following exercises, graph the transformation of $f(x) = 2^x$. Give the horizontal asymptote, the domain, and the range.

94.
$$f(x) = 2^{-x}$$

95.
$$h(x) = 2^x + 3$$

96.
$$f(x) = 2^{x-2}$$

For the following exercises, describe the end behavior of the graphs of the functions.

97.
$$f(x) = -5(4)^x - 1$$

98.
$$f(x) = 3\left(\frac{1}{2}\right)^x - 2$$

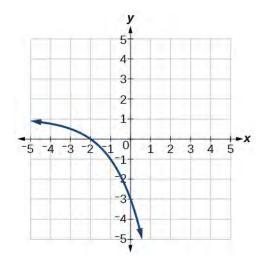
99.
$$f(x) = 3(4)^{-x} + 2$$

For the following exercises, start with the graph of $f(x) = 4^x$. Then write a function that results from the given transformation.

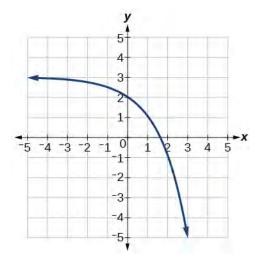
- 100. Shift f(x) 4 units upward
- 101. Shift f(x) 3 units downward
- 102. Shift f(x) 2 units left
- 103. Shift f(x) 5 units right
- 104. Reflect f(x) about the *x*-axis
- 105. Reflect f(x) about the *y*-axis

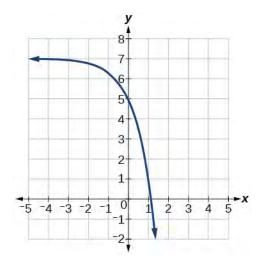
For the following exercises, each graph is a transformation of $y = 2^x$. Write an equation describing the transformation.

106.

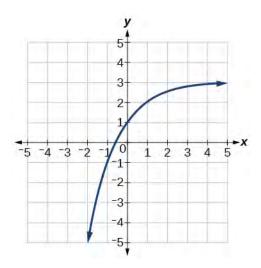


107.

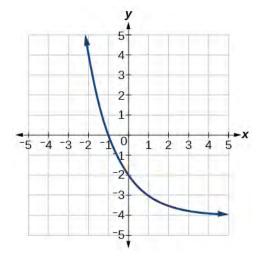




108.



110.



For the following exercises, find an exponential equation for the graph.

109.

Numeric

For the following exercises, evaluate the exponential functions for the indicated value of x.

111.
$$g(x) = \frac{1}{3}(7)^{x-2}$$
 for $g(6)$.

112.
$$f(x) = 4(2)^{x-1} - 2$$
 for $f(5)$.

113.
$$h(x) = -\frac{1}{2} \left(\frac{1}{2}\right)^x + 6 \text{ for } h(-7).$$

Technology

For the following exercises, use a graphing calculator to approximate the solutions of the equation. Round to the nearest thousandth. $f(x) = ab^x + d$.

$$-50 = -\left(\frac{1}{2}\right)^{-x}$$

115.
$$116 = \frac{1}{4} \left(\frac{1}{8}\right)^x$$

116.
$$12 = 2(3)^x + 1$$

117.
$$5 = 3\left(\frac{1}{2}\right)^{x-1} - 2$$

118.
$$-30 = -4(2)^{x+2} + 2$$

Extensions

119. Explore and discuss the graphs of $F(x) = (b)^x$ and $G(x) = \left(\frac{1}{b}\right)^x$. Then make a conjecture about the relationship between the graphs of the functions b^x and $\left(\frac{1}{b}\right)^x$ for any real number b > 0.

120. Prove the conjecture made in the previous exercise.

121. Explore and discuss the graphs of $f(x) = 4^x$, $g(x) = 4^{x-2}$, and $h(x) = \left(\frac{1}{16}\right)4^x$. Then make a conjecture about the relationship between the graphs of the functions b^x and $\left(\frac{1}{b^n}\right)b^x$ for any real number n and real number b > 0.

122. Prove the conjecture made in the previous exercise.

4.3 | Logarithmic Functions

Learning Objectives

In this section, you will:

- **4.3.1** Convert from logarithmic to exponential form.
- **4.3.2** Convert from exponential to logarithmic form.
- **4.3.3** Evaluate logarithms.
- 4.3.4 Use common logarithms.
- 4.3.5 Use natural logarithms.



Figure 4.21 Devastation of March 11, 2011 earthquake in Honshu, Japan. (credit: Daniel Pierce)

In 2010, a major earthquake struck Haiti, destroying or damaging over 285,000 homes^[4]. One year later, another, stronger earthquake devastated Honshu, Japan, destroying or damaging over 332,000 buildings,^[5] like those shown in **Figure 4.21**. Even though both caused substantial damage, the earthquake in 2011 was 100 times stronger than the earthquake in Haiti. How do we know? The magnitudes of earthquakes are measured on a scale known as the Richter Scale. The Haitian earthquake registered a 7.0 on the Richter Scale^[6] whereas the Japanese earthquake registered a 9.0.^[7]

The Richter Scale is a base-ten logarithmic scale. In other words, an earthquake of magnitude 8 is not twice as great as an earthquake of magnitude 4. It is $10^{8-4} = 10^4 = 10{,}000$ times as great! In this lesson, we will investigate the nature of the Richter Scale and the base-ten function upon which it depends.

Converting from Logarithmic to Exponential Form

In order to analyze the magnitude of earthquakes or compare the magnitudes of two different earthquakes, we need to be able to convert between logarithmic and exponential form. For example, suppose the amount of energy released from one earthquake were 500 times greater than the amount of energy released from another. We want to calculate the difference in magnitude. The equation that represents this problem is $10^x = 500$, where x represents the difference in magnitudes on the Richter Scale. How would we solve for x?

We have not yet learned a method for solving exponential equations. None of the algebraic tools discussed so far is sufficient to solve $10^x = 500$. We know that $10^2 = 100$ and $10^3 = 1000$, so it is clear that x must be some value between 2 and 3, since $y = 10^x$ is increasing. We can examine a graph, as in **Figure 4.22**, to better estimate the solution.

- 4. http://earthquake.usgs.gov/earthquakes/eqinthenews/2010/us2010rja6/#summary. Accessed 3/4/2013.
- 5. http://earthquake.usgs.gov/earthquakes/eqinthenews/2011/usc0001xgp/#summary. Accessed 3/4/2013.
- 6. http://earthquake.usgs.gov/earthquakes/eqinthenews/2010/us2010rja6/. Accessed 3/4/2013.
- 7. http://earthquake.usgs.gov/earthquakes/eqinthenews/2011/usc0001xgp/#details. Accessed 3/4/2013.

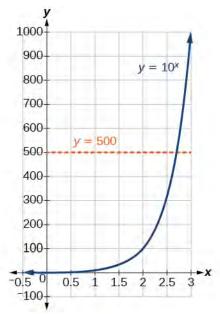


Figure 4.22

Estimating from a graph, however, is imprecise. To find an algebraic solution, we must introduce a new function. Observe that the graph in **Figure 4.22** passes the horizontal line test. The exponential function $y = b^x$ is one-to-one, so its inverse, $x = b^y$ is also a function. As is the case with all inverse functions, we simply interchange x and y and solve for y to find the inverse function. To represent y as a function of x, we use a logarithmic function of the form $y = \log_b(x)$. The base b **logarithm** of a number is the exponent by which we must raise b to get that number.

We read a logarithmic expression as, "The logarithm with base b of x is equal to y, " or, simplified, "log base b of x is y." We can also say, " b raised to the power of y is x, " because logs are exponents. For example, the base 2 logarithm of 32 is 5, because 5 is the exponent we must apply to 2 to get 32. Since $2^5 = 32$, we can write $\log_2 32 = 5$. We read this as "log base 2 of 32 is 5."

We can express the relationship between logarithmic form and its corresponding exponential form as follows:

$$\log_b(x) = y \Leftrightarrow b^y = x, b > 0, b \neq 1$$

Note that the base b is always positive.

$$\log_b(x) = y$$
Think
b to the $y = x$

Because logarithm is a function, it is most correctly written as $\log_b(x)$, using parentheses to denote function evaluation, just as we would with f(x). However, when the input is a single variable or number, it is common to see the parentheses dropped and the expression written without parentheses, as $\log_b x$. Note that many calculators require parentheses around the x.

We can illustrate the notation of logarithms as follows:

$$\log_b(c) = a \text{ means } b^a = c$$

Notice that, comparing the logarithm function and the exponential function, the input and the output are switched. This means $y = \log_b(x)$ and $y = b^x$ are inverse functions.

Definition of the Logarithmic Function

A **logarithm** base b of a positive number x satisfies the following definition.

For x > 0, b > 0, $b \neq 1$,

$$y = \log_b(x)$$
 is equivalent to $b^y = x$ (4.5)

where,

- we read $\log_b(x)$ as, "the logarithm with base b of x" or the "log base b of x."
- the logarithm y is the exponent to which b must be raised to get x.

Also, since the logarithmic and exponential functions switch the x and y values, the domain and range of the exponential function are interchanged for the logarithmic function. Therefore,

- the domain of the logarithm function with base b is $(0, \infty)$.
- the range of the logarithm function with base b is $(-\infty, \infty)$.



Can we take the logarithm of a negative number?

No. Because the base of an exponential function is always positive, no power of that base can ever be negative. We can never take the logarithm of a negative number. Also, we cannot take the logarithm of zero. Calculators may output a log of a negative number when in complex mode, but the log of a negative number is not a real number.



Given an equation in logarithmic form $\log_b(x) = y$, convert it to exponential form.

- 1. Examine the equation $y = \log_b x$ and identify b, y, and x.
- 2. Rewrite $\log_b x = y$ as $b^y = x$.

Example 4.19

Converting from Logarithmic Form to Exponential Form

Write the following logarithmic equations in exponential form.

- a. $\log_6(\sqrt{6}) = \frac{1}{2}$
- b. $\log_3(9) = 2$

Solution

First, identify the values of b, y, and x. Then, write the equation in the form $b^y = x$.

a. $\log_6(\sqrt{6}) = \frac{1}{2}$

Here, b = 6, $y = \frac{1}{2}$, and $x = \sqrt{6}$. Therefore, the equation $\log_6(\sqrt{6}) = \frac{1}{2}$ is equivalent to $6^{\frac{1}{2}} = \sqrt{6}$.

b. $\log_3(9) = 2$

Here, b = 3, y = 2, and x = 9. Therefore, the equation $\log_3(9) = 2$ is equivalent to $3^2 = 9$.



4.19 Write the following logarithmic equations in exponential form.

a.
$$\log_{10}(1,000,000) = 6$$

b.
$$\log_5(25) = 2$$

Converting from Exponential to Logarithmic Form

To convert from exponents to logarithms, we follow the same steps in reverse. We identify the base b, exponent x, and output y. Then we write $x = \log_b(y)$.

Example 4.20

Converting from Exponential Form to Logarithmic Form

Write the following exponential equations in logarithmic form.

a.
$$2^3 = 8$$

b.
$$5^2 = 25$$

c.
$$10^{-4} = \frac{1}{10,000}$$

Solution

First, identify the values of b, y, and x. Then, write the equation in the form $x = \log_b(y)$.

a.
$$2^3 = 8$$

Here, b = 2, x = 3, and y = 8. Therefore, the equation $2^3 = 8$ is equivalent to $\log_2(8) = 3$.

b.
$$5^2 = 25$$

Here, b = 5, x = 2, and y = 25. Therefore, the equation $5^2 = 25$ is equivalent to $\log_5(25) = 2$.

c.
$$10^{-4} = \frac{1}{10000}$$

Here, b = 10, x = -4, and $y = \frac{1}{10,000}$. Therefore, the equation $10^{-4} = \frac{1}{10,000}$ is equivalent to $\log_{10}(\frac{1}{10,000}) = -4$.



4.20 Write the following exponential equations in logarithmic form.

a.
$$3^2 = 9$$

b.
$$5^3 = 125$$

c.
$$2^{-1} = \frac{1}{2}$$

Evaluating Logarithms

Knowing the squares, cubes, and roots of numbers allows us to evaluate many logarithms mentally. For example, consider $\log_2 8$. We ask, "To what exponent must 2 be raised in order to get 8?" Because we already know $2^3 = 8$, it follows that $\log_2 8 = 3$.

Now consider solving $\log_7 49$ and $\log_3 27$ mentally.

- We ask, "To what exponent must 7 be raised in order to get 49?" We know $7^2 = 49$. Therefore, $\log_7 49 = 2$
- We ask, "To what exponent must 3 be raised in order to get 27?" We know $3^3 = 27$. Therefore, $\log_3 27 = 3$

Even some seemingly more complicated logarithms can be evaluated without a calculator. For example, let's evaluate $\log_{\frac{3}{2}} \frac{4}{9}$ mentally.

• We ask, "To what exponent must $\frac{2}{3}$ be raised in order to get $\frac{4}{9}$?" We know $2^2 = 4$ and $3^2 = 9$, so $\left(\frac{2}{3}\right)^2 = \frac{4}{9}$. Therefore, $\log_{\frac{2}{3}}\left(\frac{4}{9}\right) = 2$.



Given a logarithm of the form $y = \log_h(x)$, evaluate it mentally.

- 1. Rewrite the argument x as a power of b: $b^y = x$.
- 2. Use previous knowledge of powers of b identify y by asking, "To what exponent should b be raised in order to get x?"

Example 4.21

Solving Logarithms Mentally

Solve $y = \log_4(64)$ without using a calculator.

Solution

First we rewrite the logarithm in exponential form: $4^y = 64$. Next, we ask, "To what exponent must 4 be raised in order to get 64?"

We know

$$4^3 = 64$$

Therefore,

$$\log(64) = 3$$



4.21 Solve $y = \log_{121}(11)$ without using a calculator.

Example 4.22

Evaluating the Logarithm of a Reciprocal

Evaluate $y = \log_3(\frac{1}{27})$ without using a calculator.

Solution

First we rewrite the logarithm in exponential form: $3^y = \frac{1}{27}$. Next, we ask, "To what exponent must 3 be raised in order to get $\frac{1}{27}$?"

We know $3^3 = 27$, but what must we do to get the reciprocal, $\frac{1}{27}$? Recall from working with exponents that $b^{-a} = \frac{1}{b^a}$. We use this information to write

$$3^{-3} = \frac{1}{3^3} = \frac{1}{27}$$

Therefore, $\log_3\left(\frac{1}{27}\right) = -3$.



4.22 Evaluate $y = \log_2(\frac{1}{32})$ without using a calculator.

Using Common Logarithms

Sometimes we may see a logarithm written without a base. In this case, we assume that the base is 10. In other words, the expression $\log(x)$ means $\log_{10}(x)$. We call a base-10 logarithm a **common logarithm**. Common logarithms are used to measure the Richter Scale mentioned at the beginning of the section. Scales for measuring the brightness of stars and the pH of acids and bases also use common logarithms.

Definition of the Common Logarithm

A **common logarithm** is a logarithm with base 10. We write $\log_{10}(x)$ simply as $\log(x)$. The common logarithm of a positive number x satisfies the following definition.

For x > 0,

$$y = \log(x)$$
 is equivalent to $10^y = x$ (4.6)

We read log(x) as, "the logarithm with base 10 of x" or "log base 10 of x."

The logarithm y is the exponent to which 10 must be raised to get x.



Given a common logarithm of the form y = log(x), evaluate it mentally.

- 1. Rewrite the argument x as a power of 10: $10^y = x$.
- 2. Use previous knowledge of powers of 10 to identify *y* by asking, "To what exponent must 10 be raised in order to get *x*?"

Example 4.23

Finding the Value of a Common Logarithm Mentally

Evaluate $y = \log(1000)$ without using a calculator.

Solution

First we rewrite the logarithm in exponential form: $10^y = 1000$. Next, we ask, "To what exponent must 10 be raised in order to get 1000?" We know

$$10^3 = 1000$$

Therefore, log(1000) = 3.



4.23 Evaluate $y = \log(1,000,000)$.



Given a common logarithm with the form $y = \log(x)$, evaluate it using a calculator.

- 1. Press [LOG].
- 2. Enter the value given for *x*, followed by [)].
- 3. Press [ENTER].

Example 4.24

Finding the Value of a Common Logarithm Using a Calculator

Evaluate y = log(321) to four decimal places using a calculator.

Solution

- Press [LOG].
- Enter 321, followed by [)].
- Press [ENTER].

Rounding to four decimal places, $log(321) \approx 2.5065$.

Analysis

Note that $10^2 = 100$ and that $10^3 = 1000$. Since 321 is between 100 and 1000, we know that $\log(321)$ must be between $\log(100)$ and $\log(1000)$. This gives us the following:



4.24 Evaluate $y = \log(123)$ to four decimal places using a calculator.

Example 4.25

Rewriting and Solving a Real-World Exponential Model

The amount of energy released from one earthquake was 500 times greater than the amount of energy released from another. The equation $10^x = 500$ represents this situation, where x is the difference in magnitudes on the Richter Scale. To the nearest thousandth, what was the difference in magnitudes?

Solution

We begin by rewriting the exponential equation in logarithmic form.

$$10^x = 500$$

 $\log(500) = x$ Use the definition of he common log.

Next we evaluate the logarithm using a calculator:

- · Press [LOG].
- Enter 500, followed by [)].
- Press [ENTER].
- To the nearest thousandth, $\log(500) \approx 2.699$.

The difference in magnitudes was about 2.699.



4.25 The amount of energy released from one earthquake was 8,500 times greater than the amount of energy released from another. The equation $10^x = 8500$ represents this situation, where x is the difference in magnitudes on the Richter Scale. To the nearest thousandth, what was the difference in magnitudes?

Using Natural Logarithms

The most frequently used base for logarithms is e. Base e logarithms are important in calculus and some scientific applications; they are called **natural logarithms**. The base e logarithm, $\log_e(x)$, has its own notation, $\ln(x)$.

Most values of $\ln(x)$ can be found only using a calculator. The major exception is that, because the logarithm of 1 is always 0 in any base, $\ln 1 = 0$. For other natural logarithms, we can use the \ln key that can be found on most scientific calculators. We can also find the natural logarithm of any power of e using the inverse property of logarithms.

Definition of the Natural Logarithm

A **natural logarithm** is a logarithm with base e. We write $\log_e(x)$ simply as $\ln(x)$. The natural logarithm of a positive number x satisfies the following definition.

For x > 0,

$$y = \ln(x)$$
 is equivalent to $e^y = x$ (4.7)

We read ln(x) as, "the logarithm with base e of x" or "the natural logarithm of x."

The logarithm y is the exponent to which e must be raised to get x.

Since the functions y = e and $y = \ln(x)$ are inverse functions, $\ln(e^x) = x$ for all x and e = x for x > 0.



Given a natural logarithm with the form $y = \ln(x)$, evaluate it using a calculator.

- 1. Press [LN].
- 2. Enter the value given for x, followed by [)].
- 3. Press [ENTER].

Example 4.26

Evaluating a Natural Logarithm Using a Calculator

Evaluate y = ln(500) to four decimal places using a calculator.

Solution

- Press [LN].
- Enter 500, followed by [)].
- Press [ENTER].

Rounding to four decimal places, $ln(500) \approx 6.2146$



4.26 Evaluate ln(-500).



Access this online resource for additional instruction and practice with logarithms.

• Introduction to Logarithms (http://openstaxcollege.org/l/intrologarithms)

4.3 EXERCISES

Verbal

- 123. What is a base b logarithm? Discuss the meaning by interpreting each part of the equivalent equations $b^y = x$ and $\log_b x = y$ for b > 0, $b \ne 1$.
- 124. How is the logarithmic function $f(x) = \log_b x$ related to the exponential function $g(x) = b^x$? What is the result of composing these two functions?
- 125. How can the logarithmic equation $\log_b x = y$ be solved for x using the properties of exponents?
- 126. Discuss the meaning of the common logarithm. What is its relationship to a logarithm with base b, and how does the notation differ?
- 127. Discuss the meaning of the natural logarithm. What is its relationship to a logarithm with base b, and how does the notation differ?

Algebraic

For the following exercises, rewrite each equation in exponential form.

128.
$$\log_4(q) = m$$

129.
$$\log_a(b) = c$$

130.
$$\log_{16}(y) = x$$

131.
$$\log_x(64) = y$$

132.
$$\log_{y}(x) = -11$$

133.
$$\log_{15}(a) = b$$

134.
$$\log_{v}(137) = x$$

135.
$$\log_{13}(142) = a$$

136.
$$\log(v) = t$$

137.
$$ln(w) = n$$

For the following exercises, rewrite each equation in logarithmic form.

138.
$$4^x = y$$

139.
$$c^d = k$$

140.
$$m^{-7} = n$$

141.
$$19^x = y$$

142.
$$x^{-\frac{10}{13}} = y$$

143.
$$n^4 = 103$$

144.
$$\left(\frac{7}{5}\right)^m = n$$

145.
$$y^x = \frac{39}{100}$$

146.
$$10^a = b$$

147.
$$e^k = h$$

For the following exercises, solve for x by converting the logarithmic equation to exponential form.

148.
$$\log_3(x) = 2$$

149.
$$\log_2(x) = -3$$

150.
$$\log_5(x) = 2$$

151.
$$\log_3(x) = 3$$

152.
$$\log_2(x) = 6$$

153.
$$\log_9(x) = \frac{1}{2}$$

154.
$$\log_{18}(x) = 2$$

155.
$$\log_6(x) = -3$$

156.
$$\log(x) = 3$$

157.
$$ln(x) = 2$$

For the following exercises, use the definition of common and natural logarithms to simplify.

158.
$$\log(100^8)$$

159.
$$10^{\log(32)}$$

161.
$$\rho^{\ln(1.06)}$$

162.
$$\ln(e^{-5.03})$$

163.
$$e^{\ln(10.125)} + 4$$

Numeric

For the following exercises, evaluate the base b logarithmic expression without using a calculator.

164.
$$\log_3(\frac{1}{27})$$

165.
$$\log_{6}(\sqrt{6})$$

166.
$$\log_2(\frac{1}{8}) + 4$$

167.
$$6\log_{8}(4)$$

For the following exercises, evaluate the common logarithmic expression without using a calculator.

170.
$$\log(1) + 7$$

171.
$$2\log(100^{-3})$$

For the following exercises, evaluate the natural logarithmic expression without using a calculator.

$$172. \quad \ln(e^{\frac{1}{3}})$$

174.
$$\ln(e^{-0.225}) - 3$$

175.
$$\frac{2}{25\ln(e^{5})}$$

Technology

For the following exercises, evaluate each expression using a calculator. Round to the nearest thousandth.

176.
$$\log(0.04)$$

178.

$$ln\left(\frac{4}{5}\right)$$

179. $\log(\sqrt{2})$

180.
$$\ln(\sqrt{2})$$

Extensions

181. Is x = 0 in the domain of the function $f(x) = \log(x)$? If so, what is the value of the function when x = 0? Verify the result.

182. Is f(x) = 0 in the range of the function $f(x) = \log(x)$? If so, for what value of x? Verify the result.

183. Is there a number x such that $\ln x = 2$? If so, what is that number? Verify the result.

184. Is the following true:
$$\frac{\log_3(27)}{\log_4(\frac{1}{64})} = -1$$
? Verify the

result.

185. Is the following true:
$$\frac{\ln(e^{1.725})}{\ln(1)} = 1.725$$
? Verify the result.

Real-World Applications

186. The exposure index EI for a 35 millimeter camera is a measurement of the amount of light that hits the film. It is determined by the equation $EI = \log_2 \left(\frac{f^2}{t} \right)$, where f is

the "f-stop" setting on the camera, and t is the exposure time in seconds. Suppose the f-stop setting is 8 and the desired exposure time is 2 seconds. What will the resulting exposure index be?

187. Refer to the previous exercise. Suppose the light meter on a camera indicates an EI of -2, and the desired exposure time is 16 seconds. What should the f-stop setting be?

188. The intensity levels I of two earthquakes measured on a seismograph can be compared by the formula $\log \frac{I_1}{I_2} = M_1 - M_2$ where M is the magnitude given by

the Richter Scale. In August 2009, an earthquake of magnitude 6.1 hit Honshu, Japan. In March 2011, that same region experienced yet another, more devastating earthquake, this time with a magnitude of 9.0.^[8] How many

times greater was the intensity of the 2011 earthquake? Round to the nearest whole number.

4.4 | Graphs of Logarithmic Functions

Learning Objectives

In this section, you will:

- 4.4.1 Identify the domain of a logarithmic function.
- 4.4.2 Graph logarithmic functions.

In **Graphs of Exponential Functions**, we saw how creating a graphical representation of an exponential model gives us another layer of insight for predicting future events. How do logarithmic graphs give us insight into situations? Because every logarithmic function is the inverse function of an exponential function, we can think of every output on a logarithmic graph as the input for the corresponding inverse exponential equation. In other words, logarithms give the *cause* for an *effect*.

To illustrate, suppose we invest \$2500 in an account that offers an annual interest rate of 5%, compounded continuously. We already know that the balance in our account for any year t can be found with the equation $A = 2500e^{0.05t}$.

But what if we wanted to know the year for any balance? We would need to create a corresponding new function by interchanging the input and the output; thus we would need to create a logarithmic model for this situation. By graphing the model, we can see the output (year) for any input (account balance). For instance, what if we wanted to know how many years it would take for our initial investment to double? **Figure 4.23** shows this point on the logarithmic graph.

Logarithmic Model Showing Years as a Function of the Balance in the Account

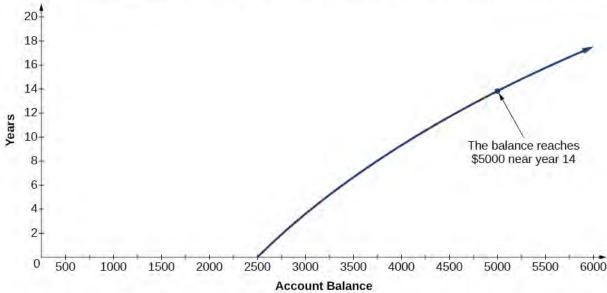


Figure 4.23

In this section we will discuss the values for which a logarithmic function is defined, and then turn our attention to graphing the family of logarithmic functions.

Finding the Domain of a Logarithmic Function

Before working with graphs, we will take a look at the domain (the set of input values) for which the logarithmic function is defined.

Recall that the exponential function is defined as $y = b^x$ for any real number x and constant b > 0, $b \ne 1$, where

• The domain of y is $(-\infty, \infty)$.

• The range of y is $(0, \infty)$.

In the last section we learned that the logarithmic function $y = \log_b(x)$ is the inverse of the exponential function $y = b^x$. So, as inverse functions:

- The domain of $y = \log_b(x)$ is the range of $y = b^x$: $(0, \infty)$.
- The range of $y = \log_b(x)$ is the domain of $y = b^x$: $(-\infty, \infty)$.

Transformations of the parent function $y = \log_b(x)$ behave similarly to those of other functions. Just as with other parent functions, we can apply the four types of transformations—shifts, stretches, compressions, and reflections—to the parent function without loss of shape.

In **Graphs of Exponential Functions** we saw that certain transformations can change the *range* of $y = b^x$. Similarly, applying transformations to the parent function $y = \log_b(x)$ can change the *domain*. When finding the domain of a logarithmic function, therefore, it is important to remember that the domain consists *only of positive real numbers*. That is, the argument of the logarithmic function must be greater than zero.

For example, consider $f(x) = \log_4(2x - 3)$. This function is defined for any values of x such that the argument, in this case 2x - 3, is greater than zero. To find the domain, we set up an inequality and solve for x:

$$2x - 3 > 0$$
 Show the argument greater than zero.
 $2x > 3$ Add 3.
 $x > 1.5$ Divide by 2.

In interval notation, the domain of $f(x) = \log_4(2x - 3)$ is $(1.5, \infty)$.



Given a logarithmic function, identify the domain.

- 1. Set up an inequality showing the argument greater than zero.
- 2. Solve for *x*.
- 3. Write the domain in interval notation.

Example 4.27

Identifying the Domain of a Logarithmic Shift

What is the domain of $f(x) = \log_2(x+3)$?

Solution

The logarithmic function is defined only when the input is positive, so this function is defined when x + 3 > 0. Solving this inequality,

$$x + 3 > 0$$
 The input must be positive.
 $x > -3$ Subtract 3.

The domain of $f(x) = \log_2(x+3)$ is $(-3, \infty)$.



4.27 What is the domain of $f(x) = \log_5(x - 2) + 1$?

Example 4.28

Identifying the Domain of a Logarithmic Shift and Reflection

What is the domain of $f(x) = \log(5 - 2x)$?

Solution

The logarithmic function is defined only when the input is positive, so this function is defined when 5 - 2x > 0. Solving this inequality,

$$5-2x > 0$$
 The input must be positive.
 $-2x > -5$ Subtract 5.
 $x < \frac{5}{2}$ Divide by -2 and switch the inequality.

The domain of $f(x) = \log(5 - 2x)$ is $\left(-\infty, \frac{5}{2}\right)$.



4.28 What is the domain of $f(x) = \log(x - 5) + 2$?

Graphing Logarithmic Functions

Now that we have a feel for the set of values for which a logarithmic function is defined, we move on to graphing logarithmic functions. The family of logarithmic functions includes the parent function $y = \log_b(x)$ along with all its transformations: shifts, stretches, compressions, and reflections.

We begin with the parent function $y = \log_b(x)$. Because every logarithmic function of this form is the inverse of an exponential function with the form $y = b^x$, their graphs will be reflections of each other across the line y = x. To illustrate this, we can observe the relationship between the input and output values of $y = 2^x$ and its equivalent $x = \log_2(y)$ in **Table 4.13**.

x	-3	-2	-1	0	1	2	3
$2^x = y$	<u>1</u> 8	$\frac{1}{4}$	$\frac{1}{2}$	1	2	4	8
$\log_2(y) = x$	-3	-2	-1	0	1	2	3

Table 4.13

Using the inputs and outputs from **Table 4.13**, we can build another table to observe the relationship between points on the graphs of the inverse functions $f(x) = 2^x$ and $g(x) = \log_2(x)$. See **Table 4.14**.

$f(x) = 2^x$	$\left(-3,\frac{1}{8}\right)$	$\left(-2,\frac{1}{4}\right)$	$\left(-1,\frac{1}{2}\right)$	(0, 1)	(1, 2)	(2, 4)	(3, 8)
$g(x) = \log_2(x)$	$\left(\frac{1}{8}, -3\right)$	$\left(\frac{1}{4}, -2\right)$	$\left(\frac{1}{2}, -1\right)$	(1, 0)	(2, 1)	(4, 2)	(8, 3)

Table 4.14

As we'd expect, the *x*- and *y*-coordinates are reversed for the inverse functions. **Figure 4.24** shows the graph of *f* and *g*.

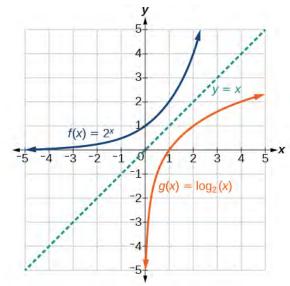


Figure 4.24 Notice that the graphs of $f(x) = 2^x$ and $g(x) = \log_2(x)$ are reflections about the line y = x.

Observe the following from the graph:

- $f(x) = 2^x$ has a *y*-intercept at (0, 1) and $g(x) = \log_2(x)$ has an *x*-intercept at (1, 0).
- The domain of $f(x) = 2^x$, $(-\infty, \infty)$, is the same as the range of $g(x) = \log_2(x)$.
- The range of $f(x) = 2^x$, $(0, \infty)$, is the same as the domain of $g(x) = \log_2(x)$.

Characteristics of the Graph of the Parent Function, $f(x) = \log_b(x)$

For any real number x and constant b > 0, $b \ne 1$, we can see the following characteristics in the graph of $f(x) = \log_b(x)$:

- · one-to-one function
- vertical asymptote: x = 0
- domain: $(0, \infty)$
- range: $(-\infty, \infty)$
- x-intercept: (1, 0) and key point (b, 1)
- y-intercept: none

- increasing if b > 1
- decreasing if 0 < b < 1

See Figure 4.25.

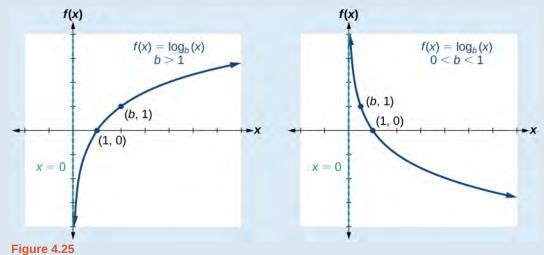


Figure 4.26 shows how changing the base b in $f(x) = \log_b(x)$ can affect the graphs. Observe that the graphs compress vertically as the value of the base increases. (*Note:* recall that the function $\ln(x)$ has base $e \approx 2.718$.)

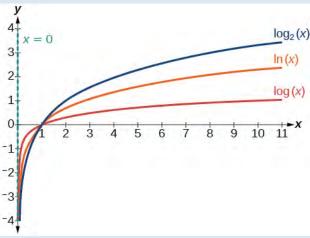


Figure 4.26 The graphs of three logarithmic functions with different bases, all greater than 1.



Given a logarithmic function with the form $f(x) = \log_b(x)$, graph the function.

- 1. Draw and label the vertical asymptote, x = 0.
- 2. Plot the *x*-intercept, (1, 0).
- 3. Plot the key point (b, 1).
- 4. Draw a smooth curve through the points.
- 5. State the domain, $(0, \infty)$, the range, $(-\infty, \infty)$, and the vertical asymptote, x = 0.

Example 4.29

Graphing a Logarithmic Function with the Form $f(x) = \log_b(x)$.

Graph $f(x) = \log_5(x)$. State the domain, range, and asymptote.

Solution

Before graphing, identify the behavior and key points for the graph.

- Since b = 5 is greater than one, we know the function is increasing. The left tail of the graph will approach the vertical asymptote x = 0, and the right tail will increase slowly without bound.
- The *x*-intercept is (1, 0).
- The key point (5, 1) is on the graph.
- We draw and label the asymptote, plot and label the points, and draw a smooth curve through the points (see Figure 4.27).

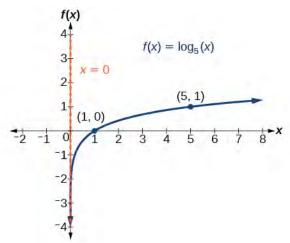


Figure 4.27

The domain is $(0, \infty)$, the range is $(-\infty, \infty)$, and the vertical asymptote is x = 0.



4.29 Graph $f(x) = \log_{\frac{1}{5}}(x)$. State the domain, range, and asymptote.

Graphing Transformations of Logarithmic Functions

As we mentioned in the beginning of the section, transformations of logarithmic graphs behave similarly to those of other parent functions. We can shift, stretch, compress, and reflect the parent function $y = \log_b(x)$ without loss of shape.

Graphing a Horizontal Shift of $f(x) = \log_b(x)$

When a constant c is added to the input of the parent function $f(x) = \log_b(x)$, the result is a horizontal shift c units in the *opposite* direction of the sign on c. To visualize horizontal shifts, we can observe the general graph of the parent function $f(x) = \log_b(x)$ and for c > 0 alongside the shift left, $g(x) = \log_b(x + c)$, and the shift right, $h(x) = \log_b(x - c)$. See **Figure 4.28**.

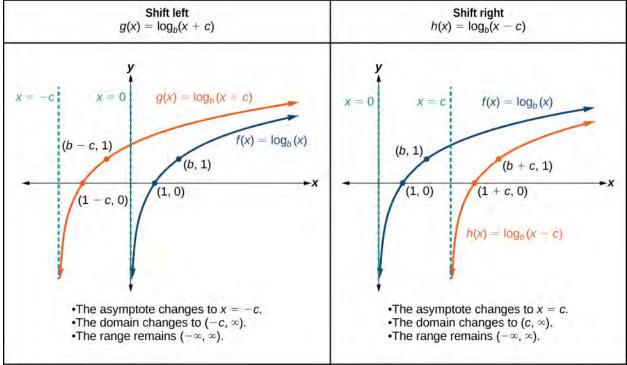


Figure 4.28

Horizontal Shifts of the Parent Function $y = \log_b(x)$

For any constant c, the function $f(x) = \log_b(x + c)$

- shifts the parent function $y = \log_b(x)$ left c units if c > 0.
- shifts the parent function $y = \log_b(x)$ right c units if c < 0.
- has the vertical asymptote x = -c.
- has domain $(-c, \infty)$.
- has range $(-\infty, \infty)$.



Given a logarithmic function with the form $f(x) = \log_b(x + c)$, graph the translation.

- 1. Identify the horizontal shift:
 - a. If c > 0, shift the graph of $f(x) = \log_b(x)$ left c units.
 - b. If c < 0, shift the graph of $f(x) = \log_b(x)$ right c units.
- 2. Draw the vertical asymptote x = -c.
- 3. Identify three key points from the parent function. Find new coordinates for the shifted functions by subtracting c from the x coordinate.
- 4. Label the three points.
- 5. The Domain is $(-c, \infty)$, the range is $(-\infty, \infty)$, and the vertical asymptote is x = -c.

Example 4.30

Graphing a Horizontal Shift of the Parent Function $y = \log_b(x)$

Sketch the horizontal shift $f(x) = \log_3(x - 2)$ alongside its parent function. Include the key points and asymptotes on the graph. State the domain, range, and asymptote.

Solution

Since the function is $f(x) = \log_3(x - 2)$, we notice x + (-2) = x - 2.

Thus c = -2, so c < 0. This means we will shift the function $f(x) = \log_3(x)$ right 2 units.

The vertical asymptote is x = -(-2) or x = 2.

Consider the three key points from the parent function, $(\frac{1}{3}, -1)$, (1, 0), and (3, 1).

The new coordinates are found by adding 2 to the x coordinates.

Label the points $(\frac{7}{3}, -1)$, (3, 0), and (5, 1).

The domain is $(2, \infty)$, the range is $(-\infty, \infty)$, and the vertical asymptote is x = 2.

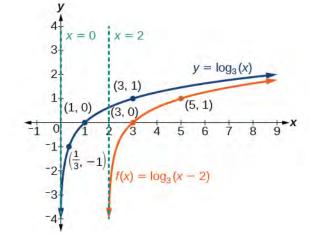


Figure 4.29



4.30 Sketch a graph of $f(x) = \log_3(x+4)$ alongside its parent function. Include the key points and asymptotes on the graph. State the domain, range, and asymptote.

Graphing a Vertical Shift of $y = \log_b(x)$

When a constant d is added to the parent function $f(x) = \log_b(x)$, the result is a vertical shift d units in the direction of the sign on d. To visualize vertical shifts, we can observe the general graph of the parent function $f(x) = \log_b(x)$ alongside the shift up, $g(x) = \log_b(x) + d$ and the shift down, $h(x) = \log_b(x) - d$. See **Figure 4.30**.

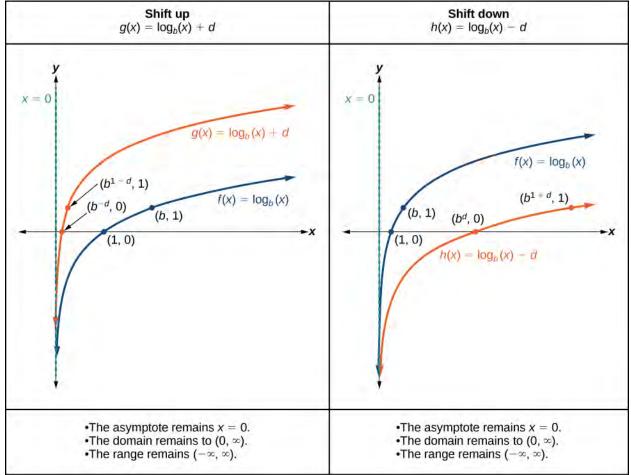


Figure 4.30

Vertical Shifts of the Parent Function $y = \log_b(x)$

For any constant *d*, the function $f(x) = \log_b(x) + d$

- shifts the parent function $y = \log_b(x)$ up d units if d > 0.
- shifts the parent function $y = \log_b(x)$ down d units if d < 0.
- has the vertical asymptote x = 0.
- has domain $(0, \infty)$.
- has range $(-\infty, \infty)$.



Given a logarithmic function with the form $f(x) = \log_b(x) + d$, graph the translation.

- 1. Identify the vertical shift:
 - If d > 0, shift the graph of $f(x) = \log_h(x)$ up d units.
 - If d < 0, shift the graph of $f(x) = \log_b(x)$ down d units.
- 2. Draw the vertical asymptote x = 0.
- 3. Identify three key points from the parent function. Find new coordinates for the shifted functions by adding *d* to the *y* coordinate.
- 4. Label the three points.
- 5. The domain is $(0,\infty)$, the range is $(-\infty,\infty)$, and the vertical asymptote is x=0.

Example 4.31

Graphing a Vertical Shift of the Parent Function $y = \log_b(x)$

Sketch a graph of $f(x) = \log_3(x) - 2$ alongside its parent function. Include the key points and asymptote on the graph. State the domain, range, and asymptote.

Solution

Since the function is $f(x) = \log_3(x) - 2$, we will notice d = -2. Thus d < 0.

This means we will shift the function $f(x) = \log_3(x)$ down 2 units.

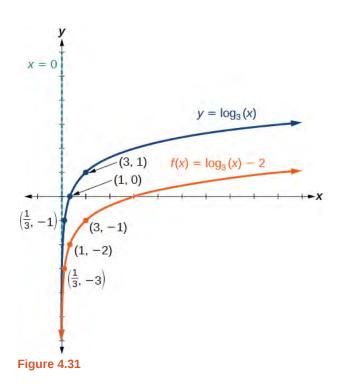
The vertical asymptote is x = 0.

Consider the three key points from the parent function, $(\frac{1}{3}, -1)$, (1, 0), and (3, 1).

The new coordinates are found by subtracting 2 from the y coordinates.

Label the points $\left(\frac{1}{3}, -3\right)$, (1, -2), and (3, -1).

The domain is $(0, \infty)$, the range is $(-\infty, \infty)$, and the vertical asymptote is x = 0.



The domain is $(0, \infty)$, the range is $(-\infty, \infty)$, and the vertical asymptote is x = 0.



4.31 Sketch a graph of $f(x) = \log_2(x) + 2$ alongside its parent function. Include the key points and asymptote on the graph. State the domain, range, and asymptote.

Graphing Stretches and Compressions of $y = \log_b(x)$

When the parent function $f(x) = \log_b(x)$ is multiplied by a constant a > 0, the result is a vertical stretch or compression of the original graph. To visualize stretches and compressions, we set a > 1 and observe the general graph of the parent function $f(x) = \log_b(x)$ alongside the vertical stretch, $g(x) = a\log_b(x)$ and the vertical compression, $h(x) = \frac{1}{a}\log_b(x)$. See **Figure 4.32**.

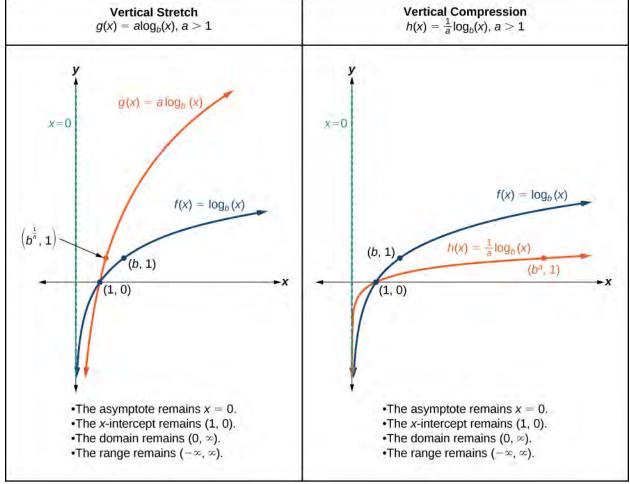


Figure 4.32

Vertical Stretches and Compressions of the Parent Function $y = \log_b(x)$

For any constant a > 1, the function $f(x) = a \log_b(x)$

- stretches the parent function $y = \log_b(x)$ vertically by a factor of a if a > 1.
- compresses the parent function $y = \log_b(x)$ vertically by a factor of a if 0 < a < 1.
- has the vertical asymptote x = 0.
- has the x-intercept (1, 0).
- has domain $(0, \infty)$.
- has range $(-\infty, \infty)$.



Given a logarithmic function with the form $f(x) = a \log_b(x)$, a > 0, graph the translation.

- 1. Identify the vertical stretch or compressions:
 - If |a| > 1, the graph of $f(x) = \log_b(x)$ is stretched by a factor of a units.
 - If |a| < 1, the graph of $f(x) = \log_b(x)$ is compressed by a factor of a units.
- 2. Draw the vertical asymptote x = 0.
- **3**. Identify three key points from the parent function. Find new coordinates for the shifted functions by multiplying the *y* coordinates by *a*.
- 4. Label the three points.
- 5. The domain is $(0, \infty)$, the range is $(-\infty, \infty)$, and the vertical asymptote is x = 0.

Example 4.32

Graphing a Stretch or Compression of the Parent Function $y = \log_b(x)$

Sketch a graph of $f(x) = 2\log_4(x)$ alongside its parent function. Include the key points and asymptote on the graph. State the domain, range, and asymptote.

Solution

Since the function is $f(x) = 2\log_4(x)$, we will notice a = 2.

This means we will stretch the function $f(x) = \log_4(x)$ by a factor of 2.

The vertical asymptote is x = 0.

Consider the three key points from the parent function, $(\frac{1}{4}, -1)$, (1, 0), and (4, 1).

The new coordinates are found by multiplying the y coordinates by 2.

Label the points $\left(\frac{1}{4}, -2\right)$, (1, 0), and (4, 2).

The domain is $(0, \infty)$, the range is $(-\infty, \infty)$, and the vertical asymptote is x = 0. See **Figure 4.33.**

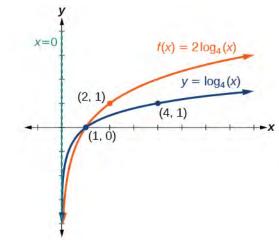


Figure 4.33

The domain is $(0, \infty)$, the range is $(-\infty, \infty)$, and the vertical asymptote is x = 0.



4.32 Sketch a graph of $f(x) = \frac{1}{2} \log_4(x)$ alongside its parent function. Include the key points and asymptote on the graph. State the domain, range, and asymptote.

Example 4.33

Combining a Shift and a Stretch

Sketch a graph of $f(x) = 5\log(x + 2)$. State the domain, range, and asymptote.

Solution

Remember: what happens inside parentheses happens first. First, we move the graph left 2 units, then stretch the function vertically by a factor of 5, as in **Figure 4.34**. The vertical asymptote will be shifted to x = -2. The *x*-intercept will be (-1,0). The domain will be $(-2,\infty)$. Two points will help give the shape of the graph: (-1,0) and (8,5). We chose x=8 as the *x*-coordinate of one point to graph because when x=8, x+2=10, the base of the common logarithm.

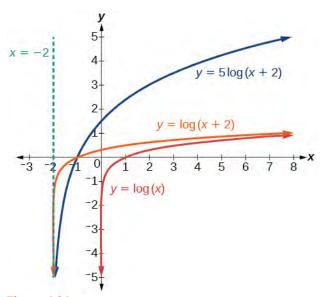


Figure 4.34

The domain is $(-2, \infty)$, the range is $(-\infty, \infty)$, and the vertical asymptote is x = -2.



4.33 Sketch a graph of the function $f(x) = 3\log(x - 2) + 1$. State the domain, range, and asymptote.

Graphing Reflections of $f(x) = \log_b(x)$

When the parent function $f(x) = \log_b(x)$ is multiplied by -1, the result is a reflection about the x-axis. When the input is multiplied by -1, the result is a reflection about the y-axis. To visualize reflections, we restrict b > 1, and observe the general graph of the parent function $f(x) = \log_b(x)$ alongside the reflection about the x-axis, $g(x) = -\log_b(x)$ and the reflection about the y-axis, $h(x) = \log_b(-x)$.

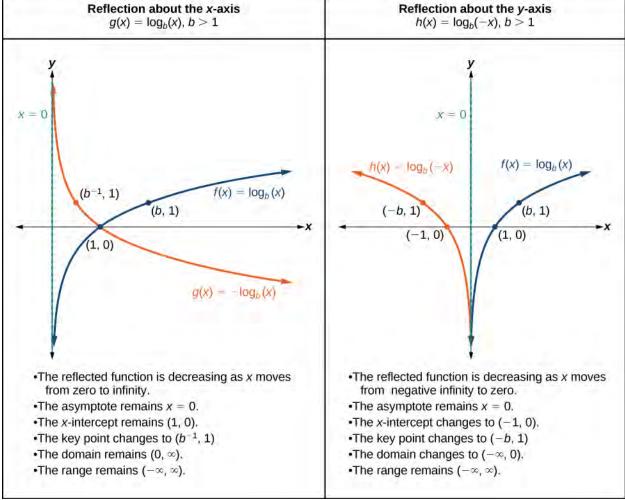


Figure 4.35

Reflections of the Parent Function $y = \log_b(x)$

The function $f(x) = -\log_h(x)$

- reflects the parent function $y = \log_b(x)$ about the *x*-axis.
- has domain, $(0, \infty)$, range, $(-\infty, \infty)$, and vertical asymptote, x = 0, which are unchanged from the parent function.

The function $f(x) = \log_b(-x)$

- reflects the parent function $y = \log_b(x)$ about the *y*-axis.
- has domain $(-\infty, 0)$.
- has range, $(-\infty, \infty)$, and vertical asymptote, x = 0, which are unchanged from the parent function.



Given a logarithmic function with the parent function $f(x) = \log_h(x)$, graph a translation.

- 1. Draw the vertical asymptote, x = 0.
- 1. Draw the vertical asymptote, x = 0.

2. Plot the x-intercept, (1, 0).

- 2. Plot the x-intercept, (1, 0).
- 3. Reflect the graph of the parent function $f(x) = \log_b(x)$ about the *x*-axis.
- 3. Reflect the graph of the parent function $f(x) = \log_b(x)$ about the *y*-axis.
- 4. Draw a smooth curve through the points.
- 4. Draw a smooth curve through the points.
- 5. State the domain, $(0, \infty)$, the range, $(-\infty, \infty)$, and the vertical asymptote x = 0.
- 5. State the domain, $(-\infty, 0)$, the range, $(-\infty, \infty)$, and the vertical asymptote x = 0.

Example 4.34

Graphing a Reflection of a Logarithmic Function

Sketch a graph of $f(x) = \log(-x)$ alongside its parent function. Include the key points and asymptote on the graph. State the domain, range, and asymptote.

Solution

Before graphing $f(x) = \log(-x)$, identify the behavior and key points for the graph.

- Since b = 10 is greater than one, we know that the parent function is increasing. Since the *input* value is multiplied by -1, f is a reflection of the parent graph about the y-axis. Thus, $f(x) = \log(-x)$ will be decreasing as x moves from negative infinity to zero, and the right tail of the graph will approach the vertical asymptote x = 0.
- The *x*-intercept is (-1, 0).
- · We draw and label the asymptote, plot and label the points, and draw a smooth curve through the points.

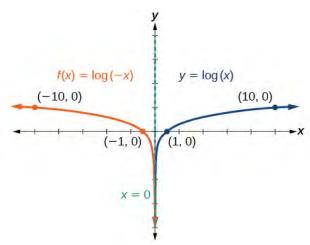


Figure 4.36

The domain is $(-\infty, 0)$, the range is $(-\infty, \infty)$, and the vertical asymptote is x = 0.



4.34 Graph $f(x) = -\log(-x)$. State the domain, range, and asymptote.



Given a logarithmic equation, use a graphing calculator to approximate solutions.

- 1. Press [Y=]. Enter the given logarithm equation or equations as Y_1 = and, if needed, Y_2 =.
- 2. Press **[GRAPH]** to observe the graphs of the curves and use **[WINDOW]** to find an appropriate view of the graphs, including their point(s) of intersection.
- **3**. To find the value of *x*, we compute the point of intersection. Press **[2ND]** then **[CALC]**. Select "intersect" and press **[ENTER]** three times. The point of intersection gives the value of *x*, for the point(s) of intersection.

Example 4.35

Approximating the Solution of a Logarithmic Equation

Solve $4\ln(x) + 1 = -2\ln(x-1)$ graphically. Round to the nearest thousandth.

Solution

Press **[Y=]** and enter $4\ln(x) + 1$ next to **Y**₁=. Then enter $-2\ln(x-1)$ next to **Y**₂=. For a window, use the values 0 to 5 for x and -10 to 10 for y. Press **[GRAPH]**. The graphs should intersect somewhere a little to right of x = 1.

For a better approximation, press **[2ND]** then **[CALC]**. Select **[5: intersect]** and press **[ENTER]** three times. The x-coordinate of the point of intersection is displayed as 1.3385297. (Your answer may be different if you use a different window or use a different value for **Guess?**) So, to the nearest thousandth, $x \approx 1.339$.



4.35 Solve $5\log(x+2) = 4 - \log(x)$ graphically. Round to the nearest thousandth.

Summarizing Translations of the Logarithmic Function

Now that we have worked with each type of translation for the logarithmic function, we can summarize each in **Table 4.15** to arrive at the general equation for translating exponential functions.

Translations of the Parent Function $y = \log_b(x)$				
Translation	Form			
Shift • Horizontally c units to the left • Vertically d units up	$y = \log_b(x+c) + d$			
Stretch and Compress • Stretch if $ a > 1$ • Compression if $ a < 1$	$y = a\log_b(x)$			
Reflect about the <i>x</i> -axis	$y = -\log_b(x)$			
Reflect about the <i>y</i> -axis	$y = \log_b(-x)$			
General equation for all translations	$y = a\log_b(x+c) + d$			

Table 4.15

Translations of Logarithmic Functions

All translations of the parent logarithmic function, $y = \log_b(x)$, have the form

$$f(x) = a\log_b(x+c) + d \tag{4.8}$$

where the parent function, $y = \log_b(x)$, b > 1, is

- shifted vertically up *d* units.
- shifted horizontally to the left $\,c\,$ units.
- stretched vertically by a factor of |a| if |a| > 0.

- compressed vertically by a factor of |a| if 0 < |a| < 1.
- reflected about the *x*-axis when a < 0.

For $f(x) = \log(-x)$, the graph of the parent function is reflected about the *y*-axis.

Example 4.36

Finding the Vertical Asymptote of a Logarithm Graph

What is the vertical asymptote of $f(x) = -2\log_3(x+4) + 5$?

Solution

The vertical asymptote is at x = -4.

Analysis

The coefficient, the base, and the upward translation do not affect the asymptote. The shift of the curve 4 units to the left shifts the vertical asymptote to x = -4.



4.36 What is the vertical asymptote of $f(x) = 3 + \ln(x - 1)$?

Example 4.37

Finding the Equation from a Graph

Find a possible equation for the common logarithmic function graphed in **Figure 4.37**.

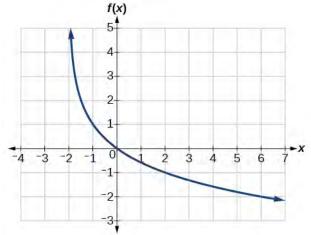


Figure 4.37

Solution

This graph has a vertical asymptote at x = -2 and has been vertically reflected. We do not know yet the vertical shift or the vertical stretch. We know so far that the equation will have form:

$$f(x) = -a\log(x+2) + k$$

It appears the graph passes through the points (-1, 1) and (2, -1). Substituting (-1, 1),

$$1 = -a\log(-1+2) + k$$
 Substitute (-1, 1).

$$1 = -a\log(1) + k$$
 Arithmetic.

$$1 = k$$

$$\log(1) = 0.$$

Next, substituting in (2, -1),

$$-1 = -a\log(2+2) + 1$$
 Plug in $(2, -1)$.

$$-2 = -a\log(4)$$
 Arithmetic.

$$a = \frac{2}{\log(4)}$$
 Solve for a .

This gives us the equation $f(x) = -\frac{2}{\log(4)}\log(x+2) + 1$.

Analysis

We can verify this answer by comparing the function values in **Table 4.15** with the points on the graph in **Figure 4.37**.

x	-1	0	1	2	3
f(x)	1	0	-0.58496	-1	-1.3219
x	4	5	6	7	8
f(x)	-1.5850	-1.8074	-2	-2.1699	-2.3219

Table 4.15



4.37 Give the equation of the natural logarithm graphed in **Figure 4.38**.

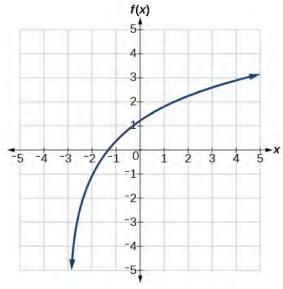


Figure 4.38



Is it possible to tell the domain and range and describe the end behavior of a function just by looking at the graph?

Yes, if we know the function is a general logarithmic function. For example, look at the graph in **Figure 4.38**. The graph approaches x = -3 (or thereabouts) more and more closely, so x = -3 is, or is very close to, the vertical asymptote. It approaches from the right, so the domain is all points to the right, $\{x \mid x > -3\}$. The range, as with all general logarithmic functions, is all real numbers. And we can see the end behavior because the graph goes down as it goes left and up as it goes right. The end behavior is that as $x \to -3^+$, $f(x) \to -\infty$ and as $x \to \infty$, $f(x) \to \infty$.



Access these online resources for additional instruction and practice with graphing logarithms.

- Graph an Exponential Function and Logarithmic Function (http://openstaxcollege.org/l/graphexplog)
- Match Graphs with Exponential and Logarithmic Functions (http://openstaxcollege.org/l/matchexplog)
- Find the Domain of Logarithmic Functions (http://openstaxcollege.org/l/domainlog)

4.4 EXERCISES

Verbal

189. The inverse of every logarithmic function is an exponential function and vice-versa. What does this tell us about the relationship between the coordinates of the points on the graphs of each?

190. What type(s) of translation(s), if any, affect the range of a logarithmic function?

191. What type(s) of translation(s), if any, affect the domain of a logarithmic function?

192. Consider the general logarithmic function $f(x) = \log_b(x)$. Why can't x be zero?

193. Does the graph of a general logarithmic function have a horizontal asymptote? Explain.

Algebraic

For the following exercises, state the domain and range of the function.

194.
$$f(x) = \log_3(x+4)$$

195.
$$h(x) = \ln(\frac{1}{2} - x)$$

196.
$$g(x) = \log_5(2x + 9) - 2$$

197.
$$h(x) = \ln(4x + 17) - 5$$

198.
$$f(x) = \log_2(12 - 3x) - 3$$

For the following exercises, state the domain and the vertical asymptote of the function.

199.
$$f(x) = \log_b(x - 5)$$

200.
$$g(x) = \ln(3 - x)$$

201.
$$f(x) = \log(3x + 1)$$

202.
$$f(x) = 3\log(-x) + 2$$

203.
$$g(x) = -\ln(3x + 9) - 7$$

For the following exercises, state the domain, vertical asymptote, and end behavior of the function.

204.
$$f(x) = \ln(2 - x)$$

$$205. \quad f(x) = \log\left(x - \frac{3}{7}\right)$$

206.
$$h(x) = -\log(3x - 4) + 3$$

207.
$$g(x) = \ln(2x+6) - 5$$

208.
$$f(x) = \log_3(15 - 5x) + 6$$

For the following exercises, state the domain, range, and x- and y-intercepts, if they exist. If they do not exist, write DNE.

209.
$$h(x) = \log_4(x-1) + 1$$

210.
$$f(x) = \log(5x + 10) + 3$$

211.
$$g(x) = \ln(-x) - 2$$

212.
$$f(x) = \log_2(x+2) - 5$$

213.
$$h(x) = 3\ln(x) - 9$$

Graphical

For the following exercises, match each function in **Figure 4.39** with the letter corresponding to its graph.

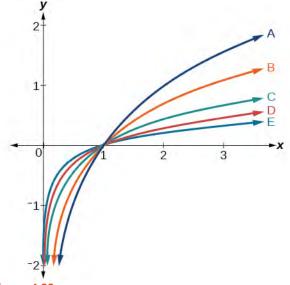


Figure 4.39

$$214. \ d(x) = \log(x)$$

215.
$$f(x) = \ln(x)$$

216.
$$g(x) = \log_2(x)$$

217.
$$h(x) = \log_5(x)$$

218.
$$j(x) = \log_{25}(x)$$

For the following exercises, match each function in **Figure 4.40** with the letter corresponding to its graph.

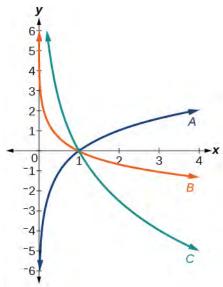


Figure 4.40

219.
$$f(x) = \log_{\frac{1}{3}}(x)$$

220.
$$g(x) = \log_2(x)$$

221.
$$h(x) = \log_{\frac{3}{4}}(x)$$

For the following exercises, sketch the graphs of each pair of functions on the same axis.

222.
$$f(x) = \log(x)$$
 and $g(x) = 10^x$

223.
$$f(x) = \log(x)$$
 and $g(x) = \log_{\frac{1}{2}}(x)$

224.
$$f(x) = \log_4(x)$$
 and $g(x) = \ln(x)$

225.
$$f(x) = e^x$$
 and $g(x) = \ln(x)$

For the following exercises, match each function in **Figure 4.41** with the letter corresponding to its graph.

Graph of three logarithmic functions.

Figure 4.41

226.
$$f(x) = \log_4(-x + 2)$$

227.
$$g(x) = -\log_4(x+2)$$

228.
$$h(x) = \log_4(x+2)$$

For the following exercises, sketch the graph of the indicated function.

229.
$$f(x) = \log_2(x+2)$$

230.
$$f(x) = 2\log(x)$$

231.
$$f(x) = \ln(-x)$$

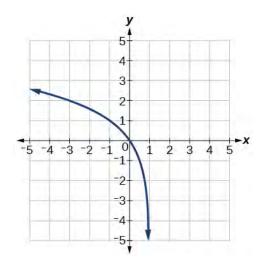
232.
$$g(x) = \log(4x + 16) + 4$$

233.
$$g(x) = \log(6 - 3x) + 1$$

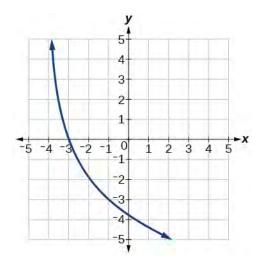
234.
$$h(x) = -\frac{1}{2}\ln(x+1) - 3$$

For the following exercises, write a logarithmic equation corresponding to the graph shown.

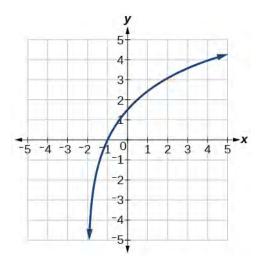
235. Use $y = \log_2(x)$ as the parent function.



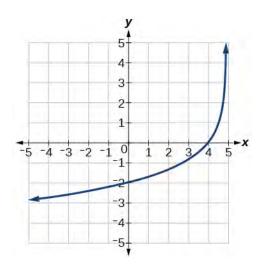
236. Use $f(x) = \log_3(x)$ as the parent function.



237. Use $f(x) = \log_4(x)$ as the parent function.



238. Use $f(x) = \log_5(x)$ as the parent function.



Technology

For the following exercises, use a graphing calculator to find approximate solutions to each equation.

239.
$$\log(x-1) + 2 = \ln(x-1) + 2$$

240.
$$log(2x - 3) + 2 = -log(2x - 3) + 5$$

241.
$$ln(x-2) = -ln(x+1)$$

242.
$$2\ln(5x+1) = \frac{1}{2}\ln(-5x) + 1$$

243.
$$\frac{1}{3}\log(1-x) = \log(x+1) + \frac{1}{3}$$

Extensions

244. Let b be any positive real number such that $b \neq 1$. What must $\log_b 1$ be equal to? Verify the result.

Explore and discuss the graphs of $f(x) = \log_{\frac{1}{2}}(x)$ and $g(x) = -\log_2(x)$. Make a conjecture based on the result.

246. Prove the conjecture made in the previous exercise.

247. What is the domain of the function $f(x) = \ln\left(\frac{x+2}{x-4}\right)$? Discuss the result.

248. Use properties of exponents to find the *x*-intercepts of the function $f(x) = \log(x^2 + 4x + 4)$ algebraically. Show the steps for solving, and then verify the result by graphing the function.

4.5 | Logarithmic Properties

Learning Objectives

In this section, you will:

- **4.5.1** Use the product rule for logarithms.
- **4.5.2** Use the quotient rule for logarithms.
- **4.5.3** Use the power rule for logarithms.
- **4.5.4** Expand logarithmic expressions.
- **4.5.5** Condense logarithmic expressions.
- 4.5.6 Use the change-of-base formula for logarithms.



Figure 4.42 The pH of hydrochloric acid is tested with litmus paper. (credit: David Berardan)

In chemistry, pH is used as a measure of the acidity or alkalinity of a substance. The pH scale runs from 0 to 14. Substances with a pH less than 7 are considered acidic, and substances with a pH greater than 7 are said to be alkaline. Our bodies, for instance, must maintain a pH close to 7.35 in order for enzymes to work properly. To get a feel for what is acidic and what is alkaline, consider the following pH levels of some common substances:

• Battery acid: 0.8

• Stomach acid: 2.7

• Orange juice: 3.3

• Pure water: 7 (at 25° C)

• Human blood: 7.35

• Fresh coconut: 7.8

• Sodium hydroxide (lye): 14

To determine whether a solution is acidic or alkaline, we find its pH, which is a measure of the number of active positive hydrogen ions in the solution. The pH is defined by the following formula, where a is the concentration of hydrogen ion in the solution

$$pH = -\log([H^+])$$
$$= \log\left(\frac{1}{[H^+]}\right)$$

The equivalence of $-\log(H^+)$ and $\log(\frac{1}{H^+)}$ is one of the logarithm properties we will examine in this section.

Using the Product Rule for Logarithms

Recall that the logarithmic and exponential functions "undo" each other. This means that logarithms have similar properties to exponents. Some important properties of logarithms are given here. First, the following properties are easy to prove.

$$\log_b 1 = 0$$
$$\log_b b = 1$$

For example, $\log_5 1 = 0$ since $5^0 = 1$. And $\log_5 5 = 1$ since $5^1 = 5$.

Next, we have the inverse property.

$$\log_b(b^x) = x$$
$$b^{\log_b x} = x, x > 0$$

For example, to evaluate $\log(100)$, we can rewrite the logarithm as $\log_{10}(10^2)$, and then apply the inverse property $\log_b(b^x) = x$ to get $\log_{10}(10^2) = 2$.

To evaluate $e^{\ln(7)}$, we can rewrite the logarithm as $e^{\log_e 7}$, and then apply the inverse property $b^{\log_b x} = x$ to get $e^{\log_e 7} = 7$.

Finally, we have the one-to-one property.

$$\log_b M = \log_b N$$
 if and only if $M = N$

We can use the one-to-one property to solve the equation $\log_3(3x) = \log_3(2x+5)$ for x. Since the bases are the same, we can apply the one-to-one property by setting the arguments equal and solving for x:

$$3x = 2x + 5$$
 Set the arguments equal.
 $x = 5$ Subtract $2x$.

But what about the equation $\log_3(3x) + \log_3(2x + 5) = 2$? The one-to-one property does not help us in this instance. Before we can solve an equation like this, we need a method for combining terms on the left side of the equation.

Recall that we use the *product rule of exponents* to combine the product of exponents by adding: $x^a x^b = x^{a+b}$. We have a similar property for logarithms, called the **product rule for logarithms**, which says that the logarithm of a product is equal to a sum of logarithms. Because logs are exponents, and we multiply like bases, we can add the exponents. We will use the inverse property to derive the product rule below.

Given any real number x and positive real numbers M, N, and b, where $b \neq 1$, we will show

$$\log_h(MN) = \log_h(M) + \log_h(N)$$
.

Let $m = \log_b M$ and $n = \log_b N$. In exponential form, these equations are $b^m = M$ and $b^n = N$. It follows that

$$\begin{split} \log_b(MN) &= \log_b(b^mb^n) & \text{Substitute for } M \text{ and } N. \\ &= \log_b(b^{m+n}) & \text{Apply the product rule for exponents.} \\ &= m+n & \text{Apply the inverse property of logs.} \\ &= \log_b(M) + \log_b(N) & \text{Substitute for } m \text{ and } n. \end{split}$$

Note that repeated applications of the product rule for logarithms allow us to simplify the logarithm of the product of any number of factors. For example, consider $\log_b(wxyz)$. Using the product rule for logarithms, we can rewrite this logarithm of a product as the sum of logarithms of its factors:

$$\log_b(wxyz) = \log_b w + \log_b x + \log_b y + \log_b z$$

The Product Rule for Logarithms

The **product rule for logarithms** can be used to simplify a logarithm of a product by rewriting it as a sum of individual logarithms.

$$\log_b(MN) = \log_b(M) + \log_b(N) \text{ for } b > 0$$
(4.9)



Given the logarithm of a product, use the product rule of logarithms to write an equivalent sum of logarithms.

- 1. Factor the argument completely, expressing each whole number factor as a product of primes.
- 2. Write the equivalent expression by summing the logarithms of each factor.

Example 4.38

Using the Product Rule for Logarithms

Expand $\log_{3}(30x(3x + 4))$.

Solution

We begin by factoring the argument completely, expressing 30 as a product of primes.

$$\log_3(30x(3x+4)) = \log_3(2 \cdot 3 \cdot 5 \cdot x \cdot (3x+4))$$

Next we write the equivalent equation by summing the logarithms of each factor.

$$\log_3(30x(3x+4)) = \log_3(2) + \log_3(3) + \log_3(5) + \log_3(x) + \log_3(3x+4)$$



4.38 Expand $\log_b(8k)$.

Using the Quotient Rule for Logarithms

For quotients, we have a similar rule for logarithms. Recall that we use the *quotient rule of exponents* to combine the quotient of exponents by subtracting: $x^{\frac{a}{b}} = x^{a-b}$. The **quotient rule for logarithms** says that the logarithm of a quotient is equal to a difference of logarithms. Just as with the product rule, we can use the inverse property to derive the quotient rule.

Given any real number x and positive real numbers M, N, and b, where $b \neq 1$, we will show

$$\log_b\left(\frac{M}{N}\right) = \log_b(M) - \log_b(N).$$

Let $m = \log_b M$ and $n = \log_b N$. In exponential form, these equations are $b^m = M$ and $b^n = N$. It follows that

$$\begin{split} \log_b\!\left(\!\frac{M}{N}\!\right) &= \log_b\!\left(\!\frac{b^m}{b^n}\!\right) & \text{Substitute for } M \text{ and } N. \\ &= \log_b(b^{m-n}) & \text{Apply the quotient rule for exponents.} \\ &= m-n & \text{Apply the inverse property of logs.} \\ &= \log_b(M) - \log_b(N) & \text{Substitute for } m \text{ and } n. \end{split}$$

For example, to expand $\log\left(\frac{2x^2+6x}{3x+9}\right)$, we must first express the quotient in lowest terms. Factoring and canceling we get,

$$\log\left(\frac{2x^2 + 6x}{3x + 9}\right) = \log\left(\frac{2x(x + 3)}{3(x + 3)}\right)$$
 Factor the numerator and denominator.
$$= \log\left(\frac{2x}{3}\right)$$
 Cancel the common factors.

Next we apply the quotient rule by subtracting the logarithm of the denominator from the logarithm of the numerator. Then we apply the product rule.

$$\log\left(\frac{2x}{3}\right) = \log(2x) - \log(3)$$
$$= \log(2) + \log(x) - \log(3)$$

The Quotient Rule for Logarithms

The **quotient rule for logarithms** can be used to simplify a logarithm or a quotient by rewriting it as the difference of individual logarithms.

$$\log_b\left(\frac{M}{N}\right) = \log_b M - \log_b N \tag{4.10}$$



Given the logarithm of a quotient, use the quotient rule of logarithms to write an equivalent difference of logarithms.

- Express the argument in lowest terms by factoring the numerator and denominator and canceling common terms.
- Write the equivalent expression by subtracting the logarithm of the denominator from the logarithm of the numerator.
- 3. Check to see that each term is fully expanded. If not, apply the product rule for logarithms to expand completely.

Example 4.39

Using the Quotient Rule for Logarithms

Expand
$$\log_2 \left(\frac{15x(x-1)}{(3x+4)(2-x)} \right)$$
.

Solution

First we note that the quotient is factored and in lowest terms, so we apply the quotient rule.

$$\log_2\left(\frac{15x(x-1)}{(3x+4)(2-x)}\right) = \log_2(15x(x-1)) - \log_2((3x+4)(2-x))$$

Notice that the resulting terms are logarithms of products. To expand completely, we apply the product rule, noting that the prime factors of the factor 15 are 3 and 5.

$$\begin{split} \log_2(15x(x-1)) - \log_2((3x+4)(2-x)) &= [\log_2(3) + \log_2(5) + \log_2(x) + \log_2(x-1)] - [\log_2(3x+4) + \log_2(2-x)] \\ &= \log_2(3) + \log_2(5) + \log_2(x) + \log_2(x-1) - \log_2(3x+4) - \log_2(2-x) \end{split}$$

Analysis

There are exceptions to consider in this and later examples. First, because denominators must never be zero, this expression is not defined for $x = -\frac{4}{3}$ and x = 2. Also, since the argument of a logarithm must be positive, we note as we observe the expanded logarithm, that x > 0, x > 1, $x > -\frac{4}{3}$, and x < 2. Combining these conditions is beyond the scope of this section, and we will not consider them here or in subsequent exercises.



4.39

Expand
$$\log_3 \left(\frac{7x^2 + 21x}{7x(x-1)(x-2)} \right)$$
.

Using the Power Rule for Logarithms

We've explored the product rule and the quotient rule, but how can we take the logarithm of a power, such as x^2 ? One method is as follows:

$$\log_b(x^2) = \log_b(x \cdot x)$$
$$= \log_b x + \log_b x$$
$$= 2\log_b x$$

Notice that we used the product rule for logarithms to find a solution for the example above. By doing so, we have derived the **power rule for logarithms**, which says that the log of a power is equal to the exponent times the log of the base. Keep in mind that, although the input to a logarithm may not be written as a power, we may be able to change it to a power. For example,

$$100 = 10^2 \qquad \sqrt{3} = 3^{\frac{1}{2}} \qquad \frac{1}{e} = e^{-1}$$

The Power Rule for Logarithms

The **power rule for logarithms** can be used to simplify the logarithm of a power by rewriting it as the product of the exponent times the logarithm of the base.

$$\log_h(M^n) = n\log_h M \tag{4.11}$$



Given the logarithm of a power, use the power rule of logarithms to write an equivalent product of a factor and a logarithm.

- 1. Express the argument as a power, if needed.
- 2. Write the equivalent expression by multiplying the exponent times the logarithm of the base.

Example 4.40

Expanding a Logarithm with Powers

Expand $\log_2 x^5$.

Solution

The argument is already written as a power, so we identify the exponent, 5, and the base, x, and rewrite the equivalent expression by multiplying the exponent times the logarithm of the base.

$$\log_2(x^5) = 5\log_2 x$$



Expand $\ln x^2$.

Example 4.41

Rewriting an Expression as a Power before Using the Power Rule

Expand $log_3(25)$ using the power rule for logs.

Solution

Expressing the argument as a power, we get $\log_3(25) = \log_3(5^2)$.

Next we identify the exponent, 2, and the base, 5, and rewrite the equivalent expression by multiplying the exponent times the logarithm of the base.

$$\log_3(5^2) = 2\log_3(5)$$



4.41 Expand
$$\ln\left(\frac{1}{x^2}\right)$$
.

Example 4.42

Using the Power Rule in Reverse

Rewrite $4\ln(x)$ using the power rule for logs to a single logarithm with a leading coefficient of 1.

Solution

Because the logarithm of a power is the product of the exponent times the logarithm of the base, it follows that the product of a number and a logarithm can be written as a power. For the expression $4\ln(x)$, we identify the factor, 4, as the exponent and the argument, x, as the base, and rewrite the product as a logarithm of a power: $4\ln(x) = \ln(x^4).$



Rewrite $2\log_3 4$ using the power rule for logs to a single logarithm with a leading coefficient of 1.

Expanding Logarithmic Expressions

Taken together, the product rule, quotient rule, and power rule are often called "laws of logs." Sometimes we apply more than one rule in order to simplify an expression. For example:

$$\log_b \left(\frac{6x}{y}\right) = \log_b (6x) - \log_b y$$
$$= \log_b 6 + \log_b x - \log_b y$$

We can use the power rule to expand logarithmic expressions involving negative and fractional exponents. Here is an alternate proof of the quotient rule for logarithms using the fact that a reciprocal is a negative power:

$$\begin{aligned} \log_b \left(\frac{A}{C} \right) &= \log_b \left(AC^{-1} \right) \\ &= \log_b (A) + \log_b \left(C^{-1} \right) \\ &= \log_b A + (-1)\log_b C \\ &= \log_b A - \log_b C \end{aligned}$$

We can also apply the product rule to express a sum or difference of logarithms as the logarithm of a product.

With practice, we can look at a logarithmic expression and expand it mentally, writing the final answer. Remember, however, that we can only do this with products, quotients, powers, and roots—never with addition or subtraction inside the argument of the logarithm.

Example 4.43

Expanding Logarithms Using Product, Quotient, and Power Rules

Rewrite $\ln\left(\frac{x^4y}{7}\right)$ as a sum or difference of logs.

Solution

First, because we have a quotient of two expressions, we can use the quotient rule:

$$\ln\left(\frac{x^4y}{7}\right) = \ln(x^4y) - \ln(7)$$

Then seeing the product in the first term, we use the product rule:

$$\ln(x^4y) - \ln(7) = \ln(x^4) + \ln(y) - \ln(7)$$

Finally, we use the power rule on the first term:

$$\ln(x^4) + \ln(y) - \ln(7) = 4\ln(x) + \ln(y) - \ln(7)$$



Expand $\log \left(\frac{x^2 y^3}{z^4} \right)$.

Example 4.44

Using the Power Rule for Logarithms to Simplify the Logarithm of a Radical **Expression**

Expand $\log(\sqrt{x})$.

Solution

$$\log(\sqrt{x}) = \log x^{\left(\frac{1}{2}\right)}$$
$$= \frac{1}{2}\log x$$



Expand
$$\ln \left(\sqrt[3]{x^2} \right)$$
.



Can we expand $\ln(x^2 + y^2)$?

No. There is no way to expand the logarithm of a sum or difference inside the argument of the logarithm.

Example 4.45

Expanding Complex Logarithmic Expressions

Expand
$$\log_6 \left(\frac{64x^3(4x+1)}{(2x-1)} \right)$$
.

Solution

We can expand by applying the Product and Quotient Rules.

$$\log_{6}\left(\frac{64x^{3}(4x+1)}{(2x-1)}\right) = \log_{6}64 + \log_{6}x^{3} + \log_{6}(4x+1) - \log_{6}(2x-1) \text{ Apply the Quotient Rule.}$$

$$= \log_{6}2^{6} + \log_{6}x^{3} + \log_{6}(4x+1) - \log_{6}(2x-1) \text{ Simplify by writing } 64 \text{ as } 2^{6}.$$

$$= 6\log_{6}2 + 3\log_{6}x + \log_{6}(4x+1) - \log_{6}(2x-1) \text{ Apply the Power Rule.}$$



Expand
$$\ln \left(\frac{\sqrt{(x-1)(2x+1)^2}}{(x^2-9)} \right)$$
.

Condensing Logarithmic Expressions

We can use the rules of logarithms we just learned to condense sums, differences, and products with the same base as a single logarithm. It is important to remember that the logarithms must have the same base to be combined. We will learn later how to change the base of any logarithm before condensing.



Given a sum, difference, or product of logarithms with the same base, write an equivalent expression as a single logarithm.

- 1. Apply the power property first. Identify terms that are products of factors and a logarithm, and rewrite each as the logarithm of a power.
- 2. Next apply the product property. Rewrite sums of logarithms as the logarithm of a product.
- 3. Apply the quotient property last. Rewrite differences of logarithms as the logarithm of a quotient.

Example 4.46

Using the Product and Quotient Rules to Combine Logarithms

Write $log_3(5) + log_3(8) - log_3(2)$ as a single logarithm.

Solution

Using the product and quotient rules

$$\log_3(5) + \log_3(8) = \log_3(5 \cdot 8) = \log_3(40)$$

This reduces our original expression to

$$\log_3(40) - \log_3(2)$$

Then, using the quotient rule

$$\log_3(40) - \log_3(2) = \log_3(\frac{40}{2}) = \log_3(20)$$



4.46 Condense $\log 3 - \log 4 + \log 5 - \log 6$.

Example 4.47

Condensing Complex Logarithmic Expressions

Condense $\log_2(x^2) + \frac{1}{2}\log_2(x-1) - 3\log_2((x+3)^2)$.

Solution

We apply the power rule first:

$$\log_2(x^2) + \frac{1}{2}\log_2(x-1) - 3\log_2((x+3)^2) = \log_2(x^2) + \log_2(\sqrt{x-1}) - \log_2((x+3)^6)$$

Next we apply the product rule to the sum:

$$\log_2(x^2) + \log_2(\sqrt{x-1}) - \log_2((x+3)^6) = \log_2(x^2\sqrt{x-1}) - \log_2((x+3)^6)$$

Finally, we apply the quotient rule to the difference:

$$\log_2(x^2\sqrt{x-1}) - \log_2((x+3)^6) = \log_2\frac{x^2\sqrt{x-1}}{(x+3)^6}$$

Example 4.48

Rewriting as a Single Logarithm

Rewrite $2\log x - 4\log(x+5) + \frac{1}{x}\log(3x+5)$ as a single logarithm.

Solution

We apply the power rule first:

$$2\log x - 4\log(x+5) + \frac{1}{x}\log(3x+5) = \log(x^2) - \log((x+5)^4) + \log((3x+5)^{x^{-1}})$$

Next we apply the product rule to the sum:

$$\log(x^2) - \log((x+5)^4) + \log((3x+5)^{x-1}) = \log(x^2) - \log((x+5)^4 (3x+5)^{x-1})$$

Finally, we apply the quotient rule to the difference:

$$\log(x^2) - \log((x+5)^4 (3x+5)^{x^{-1}}) = \log\left(\frac{x^2}{(x+5)^4 (3x+5)^{x^{-1}}}\right)$$



4.47 Rewrite $\log(5) + 0.5\log(x) - \log(7x - 1) + 3\log(x - 1)$ as a single logarithm.



4.48 Condense $4(3\log(x) + \log(x+5) - \log(2x+3))$.

Example 4.49

Applying of the Laws of Logs

Recall that, in chemistry, $pH = -\log[H^+]$. If the concentration of hydrogen ions in a liquid is doubled, what is the effect on pH?

Solution

Suppose C is the original concentration of hydrogen ions, and P is the original pH of the liquid. Then $P = -\log(C)$. If the concentration is doubled, the new concentration is 2C. Then the pH of the new liquid is

$$pH = -\log(2C)$$

Using the product rule of logs

$$pH = -\log(2C) = -(\log(2) + \log(C)) = -\log(2) - \log(C)$$

Since $P = -\log(C)$, the new pH is

$$pH = P - \log(2) \approx P - 0.301$$

When the concentration of hydrogen ions is doubled, the pH decreases by about 0.301.



4.49 How does the pH change when the concentration of positive hydrogen ions is decreased by half?

Using the Change-of-Base Formula for Logarithms

Most calculators can evaluate only common and natural logs. In order to evaluate logarithms with a base other than 10 or *e*, we use the **change-of-base formula** to rewrite the logarithm as the quotient of logarithms of any other base; when using a calculator, we would change them to common or natural logs.

To derive the change-of-base formula, we use the one-to-one property and power rule for logarithms.

Given any positive real numbers M, b, and n, where $n \neq 1$ and $b \neq 1$, we show

$$\log_b M = \frac{\log_n M}{\log_n b}$$

Let $y = \log_b M$. By taking the log base n of both sides of the equation, we arrive at an exponential form, namely $b^y = M$. It follows that

$$\log_n(b^y) = \log_n M$$
 Apply the one-to-one property.
 $y\log_n b = \log_n M$ Apply the power rule for logarithms.
 $y = \frac{\log_n M}{\log_n b}$ Isolate y.
 $\log_b M = \frac{\log_n M}{\log_n b}$ Substitute for y.

For example, to evaluate $\log_5 36$ using a calculator, we must first rewrite the expression as a quotient of common or natural logs. We will use the common log.

$$\log_5 36 = \frac{\log(36)}{\log(5)}$$
 Apply the change of base formula using base 10.
 ≈ 2.2266 Use a calculator to evaluate to 4 decimal places.

The Change-of-Base Formula

The **change-of-base formula** can be used to evaluate a logarithm with any base.

For any positive real numbers M, b, and n, where $n \neq 1$ and $b \neq 1$,

$$\log_b M = \frac{\log_n M}{\log_n b}.$$
 (4.12)

It follows that the change-of-base formula can be used to rewrite a logarithm with any base as the quotient of common or natural logs.

$$\log_b M = \frac{\ln M}{\ln b}$$

and

$$\log_b M = \frac{\log M}{\log b}$$



Given a logarithm with the form $\log_b M$, use the change-of-base formula to rewrite it as a quotient of logs with any positive base n, where $n \neq 1$.

- 1. Determine the new base n, remembering that the common log, log(x), has base 10, and the natural log, ln(x), has base e.
- 2. Rewrite the log as a quotient using the change-of-base formula
 - The numerator of the quotient will be a logarithm with base n and argument M.
 - \circ The denominator of the quotient will be a logarithm with base n and argument b.

Example 4.50

Changing Logarithmic Expressions to Expressions Involving Only Natural Logs

Change $log_5 3$ to a quotient of natural logarithms.

Solution

Because we will be expressing $log_5 3$ as a quotient of natural logarithms, the new base, n = e.

We rewrite the log as a quotient using the change-of-base formula. The numerator of the quotient will be the natural log with argument 3. The denominator of the quotient will be the natural log with argument 5.

$$\log_b M = \frac{\ln M}{\ln b}$$
$$\log_5 3 = \frac{\ln 3}{\ln 5}$$



4.50 Change $\log_{0.5} 8$ to a quotient of natural logarithms.



Can we change common logarithms to natural logarithms?

Yes. Remember that log9 means $log_{10}9$. So, $log9 = \frac{ln9}{ln10}$.

Example 4.51

Using the Change-of-Base Formula with a Calculator

Evaluate $log_2(10)$ using the change-of-base formula with a calculator.

Solution

According to the change-of-base formula, we can rewrite the log base 2 as a logarithm of any other base. Since our calculators can evaluate the natural log, we might choose to use the natural logarithm, which is the log base e.

$$\log_2 10 = \frac{\ln 10}{\ln 2}$$
 Apply the change of base formula using base *e*.
 ≈ 3.3219 Use a calculator to evaluate to 4 decimal places.



4.51 Evaluate $\log_5(100)$ using the change-of-base formula.



Access these online resources for additional instruction and practice with laws of logarithms.

- · The Properties of Logarithms (http://openstaxcollege.org/l/proplog)
- Expand Logarithmic Expressions (http://openstaxcollege.org/l/expandlog)
- Evaluate a Natural Logarithmic Expression (http://openstaxcollege.org/l/evaluatelog)

4.5 EXERCISES

Verbal

249. How does the power rule for logarithms help when solving logarithms with the form $\log_b(\sqrt[\eta]{x})$?

250. What does the change-of-base formula do? Why is it useful when using a calculator?

Algebraic

For the following exercises, expand each logarithm as much as possible. Rewrite each expression as a sum, difference, or product of logs.

- 251. $\log_{h}(7x \cdot 2y)$
- 252. $ln(3ab \cdot 5c)$
- 253. $\log_b(\frac{13}{17})$
- 254. $\log_4\left(\frac{\frac{x}{z}}{W}\right)$
- 255. $\ln\left(\frac{1}{4^k}\right)$
- 256. $\log_2(y^x)$

For the following exercises, condense to a single logarithm if possible.

- 257. ln(7) + ln(x) + ln(y)
- 258. $\log_3(2) + \log_3(a) + \log_3(11) + \log_3(b)$
- 259. $\log_{h}(28) \log_{h}(7)$
- 260. $\ln(a) \ln(d) \ln(c)$
- 261. $-\log_b(\frac{1}{7})$
- 262. $\frac{1}{3}\ln(8)$

For the following exercises, use the properties of logarithms to expand each logarithm as much as possible. Rewrite each expression as a sum, difference, or product of logs.

263.
$$\log\left(\frac{x^{15}y^{13}}{z^{19}}\right)$$

$$\ln\left(\frac{a^{-2}}{b^{-4}c^5}\right)$$

265.
$$\log(\sqrt{x^3y^{-4}})$$

266.
$$\ln\left(y\sqrt{\frac{y}{1-y}}\right)$$

267.
$$\log\left(x^2y^3\sqrt[3]{x^2y^5}\right)$$

For the following exercises, condense each expression to a single logarithm using the properties of logarithms.

268.
$$\log(2x^4) + \log(3x^5)$$

269.
$$\ln(6x^9) - \ln(3x^2)$$

270.
$$2\log(x) + 3\log(x+1)$$

271.
$$\log(x) - \frac{1}{2}\log(y) + 3\log(z)$$

272.
$$4\log_7(c) + \frac{\log_7(a)}{3} + \frac{\log_7(b)}{3}$$

For the following exercises, rewrite each expression as an equivalent ratio of logs using the indicated base.

- 273. $\log_7(15)$ to base *e*
- 274. $\log_{14}(55.875)$ to base 10

For the following exercises, suppose $\log_5(6) = a$ and $\log_5(11) = b$. Use the change-of-base formula along with properties of logarithms to rewrite each expression in terms of a and b. Show the steps for solving.

- 275. $\log_{11}(5)$
- 276. $\log_6(55)$
- 277. $\log_{11}(\frac{6}{11})$

Numeric

For the following exercises, use properties of logarithms to evaluate without using a calculator.

278.
$$\log_3(\frac{1}{9}) - 3\log_3(3)$$

279.
$$6\log_8(2) + \frac{\log_8(64)}{3\log_8(4)}$$

280.
$$2\log_9(3) - 4\log_9(3) + \log_9\left(\frac{1}{729}\right)$$

For the following exercises, use the change-of-base formula to evaluate each expression as a quotient of natural logs. Use a calculator to approximate each to five decimal places.

- 281. $\log_3(22)$
- 282. $\log_{8}(65)$
- 283. $\log_6(5.38)$
- 284. $\log_4(\frac{15}{2})$
- 285. $\log_{\frac{1}{2}}(4.7)$

Extensions

286. Use the product rule for logarithms to find all x values such that $\log_{12}(2x+6) + \log_{12}(x+2) = 2$. Show the steps for solving.

287. Use the quotient rule for logarithms to find all x values such that $\log_6(x+2) - \log_6(x-3) = 1$. Show the steps for solving.

288. Can the power property of logarithms be derived from the power property of exponents using the equation $b^x = m$? If not, explain why. If so, show the derivation.

289. Prove that $\log_b(n) = \frac{1}{\log_n(b)}$ for any positive integers b > 1 and n > 1.

290. Does $\log_{81}(2401) = \log_3(7)$? Verify the claim algebraically.

4.6 | Exponential and Logarithmic Equations

Learning Objectives

In this section, you will:

- **4.6.1** Use like bases to solve exponential equations.
- **4.6.2** Use logarithms to solve exponential equations.
- 4.6.3 Use the definition of a logarithm to solve logarithmic equations.
- **4.6.4** Use the one-to-one property of logarithms to solve logarithmic equations.
- 4.6.5 Solve applied problems involving exponential and logarithmic equations.



Figure 4.43 Wild rabbits in Australia. The rabbit population grew so quickly in Australia that the event became known as the "rabbit plague." (credit: Richard Taylor, Flickr)

In 1859, an Australian landowner named Thomas Austin released 24 rabbits into the wild for hunting. Because Australia had few predators and ample food, the rabbit population exploded. In fewer than ten years, the rabbit population numbered in the millions.

Uncontrolled population growth, as in the wild rabbits in Australia, can be modeled with exponential functions. Equations resulting from those exponential functions can be solved to analyze and make predictions about exponential growth. In this section, we will learn techniques for solving exponential functions.

Using Like Bases to Solve Exponential Equations

The first technique involves two functions with like bases. Recall that the one-to-one property of exponential functions tells us that, for any real numbers b, S, and T, where b > 0, $b \ne 1$, $b^S = b^T$ if and only if S = T.

In other words, when an exponential equation has the same base on each side, the exponents must be equal. This also applies when the exponents are algebraic expressions. Therefore, we can solve many exponential equations by using the rules of exponents to rewrite each side as a power with the same base. Then, we use the fact that exponential functions are one-to-one to set the exponents equal to one another, and solve for the unknown.

For example, consider the equation $3^{4x-7} = \frac{3^{2x}}{3}$. To solve for x, we use the division property of exponents to rewrite

the right side so that both sides have the common base, 3. Then we apply the one-to-one property of exponents by setting the exponents equal to one another and solving for x:

$$3^{4x-7} = \frac{3^{2x}}{3}$$

$$3^{4x-7} = \frac{3^{2x}}{3^{1}}$$
 Rewrite 3 as 3^{1} .
$$3^{4x-7} = 3^{2x-1}$$
 Use the division property of exponents.
$$4x-7 = 2x-1$$
 Apply the one-to-one property of exponents.
$$2x = 6$$
 Subtract $2x$ and add 7 to both sides.
$$x = 3$$
 Divide by 3 .

Using the One-to-One Property of Exponential Functions to Solve Exponential Equations

For any algebraic expressions *S* and *T*, and any positive real number $b \neq 1$,

$$b^S = b^T$$
 if and only if $S = T$ (4.13)



Given an exponential equation with the form $b^S = b^T$, where S and T are algebraic expressions with an unknown, solve for the unknown.

- 1. Use the rules of exponents to simplify, if necessary, so that the resulting equation has the form $b^S = b^T$.
- 2. Use the one-to-one property to set the exponents equal.
- 3. Solve the resulting equation, S = T, for the unknown.

Example 4.52

Solving an Exponential Equation with a Common Base

Solve
$$2^{x-1} = 2^{2x-4}$$
.

Solution

$$2^{x-1} = 2^{2x-4}$$
 The common base is 2.
 $x-1=2x-4$ By the one-to-one property the exponents must be equal.
 $x=3$ Solve for x .



4.52 Solve $5^{2x} = 5^{3x+2}$.

Rewriting Equations So All Powers Have the Same Base

Sometimes the common base for an exponential equation is not explicitly shown. In these cases, we simply rewrite the terms in the equation as powers with a common base, and solve using the one-to-one property.

For example, consider the equation $256 = 4^{x-5}$. We can rewrite both sides of this equation as a power of 2. Then we apply the rules of exponents, along with the one-to-one property, to solve for x:

$$256 = 4^{x-5}$$
 $2^8 = (2^2)^{x-5}$
Rewrite each side as a power with base 2.
 $2^8 = 2^{2x-10}$
Use the one-to-one property of exponents.
 $8 = 2x - 10$
Apply the one-to-one property of exponents.
 $18 = 2x$
Add 10 to both sides.
 $x = 9$
Divide by 2.



Given an exponential equation with unlike bases, use the one-to-one property to solve it.

- 1. Rewrite each side in the equation as a power with a common base.
- 2. Use the rules of exponents to simplify, if necessary, so that the resulting equation has the form $b^S = b^T$.
- 3. Use the one-to-one property to set the exponents equal.
- 4. Solve the resulting equation, S = T, for the unknown.

Example 4.53

Solving Equations by Rewriting Them to Have a Common Base

Solve
$$8^{x+2} = 16^{x+1}$$
.

Solution

$$8^{x+2} = 16^{x+1}$$
 $(2^3)^{x+2} = (2^4)^{x+1}$ Write 8 and 16 as powers of 2.

 $2^{3x+6} = 2^{4x+4}$ To take a power of a power, multiply exponents.

 $3x+6=4x+4$ Use the one-to-one property to set the exponents equal.

 $x=2$ Solve for x .



4.53 Solve $5^{2x} = 25^{3x+2}$.

Example 4.54

Solving Equations by Rewriting Roots with Fractional Exponents to Have a Common Base

Solve
$$2^{5x} = \sqrt{2}$$
.

Solution

 $2^{5x} = 2^{\frac{1}{2}}$ Write the square root of 2 as a power of 2. $5x = \frac{1}{2}$ Use the one-to-one property. $x = \frac{1}{10}$ Solve for x.



4.54 Solve $5^x = \sqrt{5}$.



Do all exponential equations have a solution? If not, how can we tell if there is a solution during the problem-solving process?

No. Recall that the range of an exponential function is always positive. While solving the equation, we may obtain an expression that is undefined.

Example 4.55

Solving an Equation with Positive and Negative Powers

Solve
$$3^{x+1} = -2$$
.

Solution

This equation has no solution. There is no real value of x that will make the equation a true statement because any power of a positive number is positive.

Analysis

Figure 4.44 shows that the two graphs do not cross so the left side is never equal to the right side. Thus the equation has no solution.

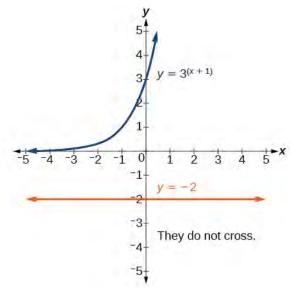


Figure 4.44



4.55 Solve $2^x = -100$.

Solving Exponential Equations Using Logarithms

Sometimes the terms of an exponential equation cannot be rewritten with a common base. In these cases, we solve by taking the logarithm of each side. Recall, since $\log(a) = \log(b)$ is equivalent to a = b, we may apply logarithms with the same base on both sides of an exponential equation.



Given an exponential equation in which a common base cannot be found, solve for the unknown.

- 1. Apply the logarithm of both sides of the equation.
 - If one of the terms in the equation has base 10, use the common logarithm.
 - If none of the terms in the equation has base 10, use the natural logarithm.
- 2. Use the rules of logarithms to solve for the unknown.

Example 4.56

Solving an Equation Containing Powers of Different Bases

Solve
$$5^{x+2} = 4^x$$
.

Solution

$$5^{x+2} = 4^x$$
 There is no easy way to get the powers to have the same base.
 $\ln 5^{x+2} = \ln 4^x$ Take ln of both sides.
 $(x+2)\ln 5 = x\ln 4$ Use laws of logs.
 $x\ln 5 + 2\ln 5 = x\ln 4$ Use the distributive law.
 $x\ln 5 - x\ln 4 = -2\ln 5$ Get terms containing x on one side, terms without x on the other.
 $x(\ln 5 - \ln 4) = -2\ln 5$ On the left hand side, factor out an x .
 $x\ln \left(\frac{5}{4}\right) = \ln \left(\frac{1}{25}\right)$ Use the laws of logs.
 $x = \frac{\ln \left(\frac{1}{25}\right)}{\ln \left(\frac{5}{25}\right)}$ Divide by the coefficient o x .



4.56 Solve $2^x = 3^{x+1}$.



Is there any way to solve $2^x = 3^x$?

Yes. The solution is x = 0.

Equations Containing e

One common type of exponential equations are those with base e. This constant occurs again and again in nature, in mathematics, in science, in engineering, and in finance. When we have an equation with a base e on either side, we can use the natural logarithm to solve it.



Given an equation of the form $y = Ae^{kt}$, solve for t.

- 1. Divide both sides of the equation by A.
- 2. Apply the natural logarithm of both sides of the equation.
- 3. Divide both sides of the equation by k.

Example 4.57

Solve an Equation of the Form $y = Ae^{kt}$

Solve $100 = 20e^{2t}$.

Solution

$$100 = 20e^{2t}$$

$$5 = e^{2t}$$
 Divide by the coefficient of he power.

 $\ln 5 = 2t$ Take ln of both sides. Use the fact that $\ln(x)$ and e^x are inverse functions.

$$t = \frac{\ln 5}{2}$$
 Divide by the coefficient o t.

Analysis

Using laws of logs, we can also write this answer in the form $t = \ln \sqrt{5}$. If we want a decimal approximation of the answer, we use a calculator.



4.57 Solve
$$3e^{0.5t} = 11$$
.



Does every equation of the form $y = Ae^{kt}$ have a solution?

No. There is a solution when $k \neq 0$, and when y and A are either both 0 or neither 0, and they have the same sign. An example of an equation with this form that has no solution is $2 = -3e^t$.

Example 4.58

Solving an Equation That Can Be Simplified to the Form $y = Ae^{kt}$

Solve
$$4e^{2x} + 5 = 12$$
.

Solution

$$4e^{2x} + 5 = 12$$

 $4e^{2x} = 7$ Combine like terms.
 $e^{2x} = \frac{7}{4}$ Divide by the coefficient of he power.
 $2x = \ln(\frac{7}{4})$ Take In of both sides.
 $x = \frac{1}{2}\ln(\frac{7}{4})$ Solve for x .



4.58 Solve
$$3 + e^{2t} = 7e^{2t}$$
.

Extraneous Solutions

Sometimes the methods used to solve an equation introduce an **extraneous solution**, which is a solution that is correct algebraically but does not satisfy the conditions of the original equation. One such situation arises in solving when the logarithm is taken on both sides of the equation. In such cases, remember that the argument of the logarithm must be positive. If the number we are evaluating in a logarithm function is negative, there is no output.

Example 4.59

Solving Exponential Functions in Quadratic Form

Solve
$$e^{2x} - e^x = 56$$
.

Solution

$$e^{2x} - e^x = 56$$

 $e^{2x} - e^x - 56 = 0$ Get one side of the equation equal to zero.
 $(e^x + 7)(e^x - 8) = 0$ Factor by the FOIL method.
 $e^x + 7 = 0$ or $e^x - 8 = 0$ If a product is zero, then one factor must be zero.
 $e^x = -7$ or $e^x = 8$ Isolate the exponentials.
 $e^x = 8$ Reject the equation in which the power equals a negative number.
 $e^x = 108$ Solve the equation in which the power equals a positive number.

Analysis

When we plan to use factoring to solve a problem, we always get zero on one side of the equation, because zero has the unique property that when a product is zero, one or both of the factors must be zero. We reject the equation $e^x = -7$ because a positive number never equals a negative number. The solution $x = \ln(-7)$ is not a real number, and in the real number system this solution is rejected as an extraneous solution.



4.59 Solve $e^{2x} = e^x + 2$.



Does every logarithmic equation have a solution?

No. Keep in mind that we can only apply the logarithm to a positive number. Always check for extraneous solutions.

Using the Definition of a Logarithm to Solve Logarithmic Equations

We have already seen that every logarithmic equation $\log_b(x) = y$ is equivalent to the exponential equation $b^y = x$. We can use this fact, along with the rules of logarithms, to solve logarithmic equations where the argument is an algebraic expression.

For example, consider the equation $\log_2(2) + \log_2(3x - 5) = 3$. To solve this equation, we can use rules of logarithms to rewrite the left side in compact form and then apply the definition of logs to solve for x:

$$\log_2(2) + \log_2(3x - 5) = 3$$

$$\log_2(2(3x - 5)) = 3$$

$$\log_2(6x - 10) = 3$$

$$2^3 = 6x - 10$$

$$8 = 6x - 10$$

$$18 = 6x$$

$$x = 3$$
Apply the product rule of logarithms.
Apply the definition of a lo arithm.
Calculate 2^3 .
Add 10 to both sides.
Divide by 6.

Using the Definition of a Logarithm to Solve Logarithmic Equations

For any algebraic expression *S* and real numbers *b* and *c*, where b > 0, $b \ne 1$,

$$\log_b(S) = c$$
 if and only if $b^c = S$ (4.14)

Example 4.60

Using Algebra to Solve a Logarithmic Equation

Solve $2\ln x + 3 = 7$.

Solution

$$2\ln x + 3 = 7$$

 $2\ln x = 4$ Subtract 3.
 $\ln x = 2$ Divide by 2.
 $x = e^2$ Rewrite in exponential form.



4.60 Solve $6 + \ln x = 10$.

Example 4.61

Using Algebra Before and After Using the Definition of the Natural Logarithm

Solve $2\ln(6x) = 7$.

Solution

$$2\ln(6x) = 7$$

$$\ln(6x) = \frac{7}{2}$$
 Divide by 2.
$$6x = e^{\left(\frac{7}{2}\right)}$$
 Use the definition of ln.
$$x = \frac{1}{6}e^{\left(\frac{7}{2}\right)}$$
 Divide by 6.



4.61 Solve $2\ln(x+1) = 10$.

Example 4.62

Using a Graph to Understand the Solution to a Logarithmic Equation

Solve ln x = 3.

Solution

$$lnx = 3$$

 $x = e^3$ Use the definition of he natural logarithm.

Figure 4.45 represents the graph of the equation. On the graph, the *x*-coordinate of the point at which the two graphs intersect is close to 20. In other words $e^3 \approx 20$. A calculator gives a better approximation: $e^3 \approx 20.0855$.

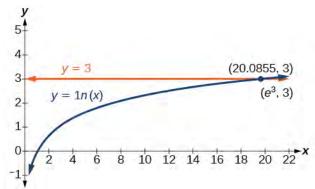


Figure 4.45 The graphs of $y = \ln x$ and y = 3 cross at the point (e^3 , 3), which is approximately (20.0855, 3).

Try

4.62 Use a graphing calculator to estimate the approximate solution to the logarithmic equation $2^x = 1000$ to 2 decimal places.

Using the One-to-One Property of Logarithms to Solve Logarithmic Equations

As with exponential equations, we can use the one-to-one property to solve logarithmic equations. The one-to-one property of logarithmic functions tells us that, for any real numbers x > 0, S > 0, T > 0 and any positive real number b, where $b \neq 1$,

$$\log_h S = \log_h T$$
 if and only if $S = T$.

For example,

If
$$\log_2(x-1) = \log_2(8)$$
, then $x-1 = 8$.

So, if x - 1 = 8, then we can solve for x, and we get x = 9. To check, we can substitute x = 9 into the original equation: $\log_2(9 - 1) = \log_2(8) = 3$. In other words, when a logarithmic equation has the same base on each side, the arguments must be equal. This also applies when the arguments are algebraic expressions. Therefore, when given an equation with logs of the same base on each side, we can use rules of logarithms to rewrite each side as a single logarithm. Then we use the fact that logarithmic functions are one-to-one to set the arguments equal to one another and solve for the unknown.

For example, consider the equation $\log(3x - 2) - \log(2) = \log(x + 4)$. To solve this equation, we can use the rules of logarithms to rewrite the left side as a single logarithm, and then apply the one-to-one property to solve for x:

$$\log(3x - 2) - \log(2) = \log(x + 4)$$

$$\log\left(\frac{3x - 2}{2}\right) = \log(x + 4)$$
 Apply the quotient rule of logarithms.
$$\frac{3x - 2}{2} = x + 4$$
 Apply the one to one property of a logarithm.
$$3x - 2 = 2x + 8$$
 Multiply both sides of the equation by 2.
$$x = 10$$
 Subtract 2x and add 2.

To check the result, substitute x = 10 into $\log(3x - 2) - \log(2) = \log(x + 4)$.

$$\begin{split} \log(3(10)-2) - \log(2) &= \log((10)+4) \\ \log(28) - \log(2) &= \log(14) \\ \log\left(\frac{28}{2}\right) &= \log(14) \end{split}$$
 The solution checks.

Using the One-to-One Property of Logarithms to Solve Logarithmic Equations

For any algebraic expressions *S* and *T* and any positive real number *b*, where $b \neq 1$,

$$\log_b S = \log_b T$$
 if and only if $S = T$ (4.15)

Note, when solving an equation involving logarithms, always check to see if the answer is correct or if it is an extraneous solution.



Given an equation containing logarithms, solve it using the one-to-one property.

- 1. Use the rules of logarithms to combine like terms, if necessary, so that the resulting equation has the form $\log_h S = \log_h T$.
- 2. Use the one-to-one property to set the arguments equal.
- 3. Solve the resulting equation, S = T, for the unknown.

Example 4.63

Solving an Equation Using the One-to-One Property of Logarithms

Solve $ln(x^2) = ln(2x + 3)$.

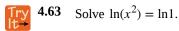
Solution

$$\ln(x^2) = \ln(2x+3)$$

 $x^2 = 2x+3$ Use the one-to-one property of the logarithm.
 $x^2 - 2x - 3 = 0$ Get zero on one side before factoring.
 $(x-3)(x+1) = 0$ Factor using FOIL.
 $x-3 = 0$ or $x+1=0$ If a product is zero, one of the factors must be zero.
 $x=3$ or $x=-1$ Solve for x .

Analysis

There are two solutions: x = 3 or x = -1. The solution x = -1 is negative, but it checks when substituted into the original equation because the argument of the logarithm functions is still positive.



Solving Applied Problems Using Exponential and Logarithmic Equations

In previous sections, we learned the properties and rules for both exponential and logarithmic functions. We have seen that any exponential function can be written as a logarithmic function and vice versa. We have used exponents to solve logarithmic equations and logarithms to solve exponential equations. We are now ready to combine our skills to solve equations that model real-world situations, whether the unknown is in an exponent or in the argument of a logarithm.

One such application is in science, in calculating the time it takes for half of the unstable material in a sample of a radioactive substance to decay, called its half-life. **Table 4.16** lists the half-life for several of the more common radioactive substances.

Substance	Use	Half-life
gallium-67	nuclear medicine	80 hours
cobalt-60	manufacturing	5.3 years
technetium-99m	nuclear medicine	6 hours
americium-241	construction	432 years
carbon-14	archeological dating	5,715 years
uranium-235	atomic power	703,800,000 years

Table 4.16

We can see how widely the half-lives for these substances vary. Knowing the half-life of a substance allows us to calculate the amount remaining after a specified time. We can use the formula for radioactive decay:

$$A(t) = A_0 e^{\frac{\ln(0.5)}{T}t}$$

$$A(t) = A_0 e^{\ln(0.5)\frac{t}{T}}$$

$$A(t) = A_0 (e^{\ln(0.5)})^{\frac{t}{T}}$$

$$A(t) = A_0 (e^{\frac{1}{2}})^{\frac{t}{T}}$$

where

- A_0 is the amount initially present
- *T* is the half-life of the substance
- *t* is the time period over which the substance is studied
- *y* is the amount of the substance present after time *t*

Example 4.64

Using the Formula for Radioactive Decay to Find the Quantity of a Substance

How long will it take for ten percent of a 1000-gram sample of uranium-235 to decay?

Solution

$$y = 1000e \frac{\ln(0.5)}{703,800,000}t$$

$$900 = 1000e^{\frac{\ln(0.5)}{703,800,000}t}$$
After 10% decays, 900 grams are left.
$$0.9 = e^{\frac{\ln(0.5)}{703,800,000}t}$$
Divide by 1000.
$$\ln(0.9) = \ln\left(e^{\frac{\ln(0.5)}{703,800,000}t}\right)$$
Take ln of both sides.
$$\ln(0.9) = \frac{\ln(0.5)}{703,800,000}t$$

$$t = 703,800,000 \times \frac{\ln(0.9)}{\ln(0.5)}$$
Solve for t.
$$t \approx 106,979,777 \text{ years}$$

Analysis

Ten percent of 1000 grams is 100 grams. If 100 grams decay, the amount of uranium-235 remaining is 900 grams.



4.64 How long will it take before twenty percent of our 1000-gram sample of uranium-235 has decayed?



Access these online resources for additional instruction and practice with exponential and logarithmic equations.

- Solving Logarithmic Equations (http://openstaxcollege.org/l/solvelogeq)
- Solving Exponential Equations with Logarithms (http://openstaxcollege.org/l/solveexplog)

4.6 EXERCISES

Verbal

291. How can an exponential equation be solved?

292. When does an extraneous solution occur? How can an extraneous solution be recognized?

293. When can the one-to-one property of logarithms be used to solve an equation? When can it not be used?

Algebraic

For the following exercises, use like bases to solve the exponential equation.

294.
$$4^{-3v-2} = 4^{-v}$$

295.
$$64 \cdot 4^{3x} = 16$$

296.
$$3^{2x+1} \cdot 3^x = 243$$

297.
$$2^{-3n} \cdot \frac{1}{4} = 2^{n+2}$$

298.
$$625 \cdot 5^{3x+3} = 125$$

$$\frac{36^{3b}}{36^{2b}} = 216^{2-b}$$

300.
$$\left(\frac{1}{64}\right)^{3n} \cdot 8 = 2^6$$

For the following exercises, use logarithms to solve.

301.
$$9^{x-10} = 1$$

302.
$$2e^{6x} = 13$$

$$303. \ e^{r+10} - 10 = -42$$

304.
$$2 \cdot 10^{9a} = 29$$

305.
$$-8 \cdot 10^{p+7} - 7 = -24$$

306.
$$7e^{3n-5} + 5 = -89$$

$$307. \ e^{-3k} + 6 = 44$$

308.
$$-5e^{9x-8}-8=-62$$

309.
$$-6e^{9x+8}+2=-74$$

310.
$$2^{x+1} = 5^{2x-1}$$

311.
$$e^{2x} - e^x - 132 = 0$$

312.
$$7e^{8x+8} - 5 = -95$$

313.
$$10e^{8x+3}+2=8$$

$$314. \ 4e^{3x+3} - 7 = 53$$

315.
$$8e^{-5x-2} - 4 = -90$$

316.
$$3^{2x+1} = 7^{x-2}$$

317.
$$e^{2x} - e^x - 6 = 0$$

318.
$$3e^{3-3x}+6=-31$$

For the following exercises, use the definition of a logarithm to rewrite the equation as an exponential equation.

319.
$$\log(\frac{1}{100}) = -2$$

320.
$$\log_{324}(18) = \frac{1}{2}$$

For the following exercises, use the definition of a logarithm to solve the equation.

321.
$$5\log_7 n = 10$$

322.
$$-8\log_9 x = 16$$

323.
$$4 + \log_2(9k) = 2$$

324.
$$2\log(8n+4)+6=10$$

325.
$$10 - 4\ln(9 - 8x) = 6$$

For the following exercises, use the one-to-one property of logarithms to solve.

326.
$$ln(10 - 3x) = ln(-4x)$$

327.
$$\log_{13}(5n-2) = \log_{13}(8-5n)$$

328.
$$\log(x+3) - \log(x) = \log(74)$$

329.
$$\ln(-3x) = \ln(x^2 - 6x)$$

330.
$$\log_4(6-m) = \log_4 3m$$

331.
$$ln(x-2) - ln(x) = ln(54)$$

332.
$$\log_9(2n^2 - 14n) = \log_9(-45 + n^2)$$

333.
$$\ln(x^2 - 10) + \ln(9) = \ln(10)$$

For the following exercises, solve each equation for x.

334.
$$\log(x + 12) = \log(x) + \log(12)$$

335.
$$ln(x) + ln(x - 3) = ln(7x)$$

336.
$$\log_2(7x + 6) = 3$$

337.
$$\ln(7) + \ln(2 - 4x^2) = \ln(14)$$

338.
$$\log_8(x+6) - \log_8(x) = \log_8(58)$$

339.
$$ln(3) - ln(3 - 3x) = ln(4)$$

340.
$$\log_3(3x) - \log_3(6) = \log_3(77)$$

Graphical

For the following exercises, solve the equation for x, if there is a solution. Then graph both sides of the equation, and observe the point of intersection (if it exists) to verify the solution.

341.
$$\log_{9}(x) - 5 = -4$$

342.
$$\log_3(x) + 3 = 2$$

343.
$$ln(3x) = 2$$

344.
$$ln(x - 5) = 1$$

345.
$$\log(4) + \log(-5x) = 2$$

346.
$$-7 + \log_3(4 - x) = -6$$

347.
$$ln(4x - 10) - 6 = -5$$

348.
$$\log(4-2x) = \log(-4x)$$

349.
$$\log_{11}(-2x^2 - 7x) = \log_{11}(x - 2)$$

350.
$$ln(2x + 9) = ln(-5x)$$

351.
$$\log_9(3-x) = \log_9(4x-8)$$

352.
$$\log(x^2 + 13) = \log(7x + 3)$$

353.
$$\frac{3}{\log_2(10)} - \log(x - 9) = \log(44)$$

354.
$$ln(x) - ln(x + 3) = ln(6)$$

For the following exercises, solve for the indicated value, and graph the situation showing the solution point.

355. An account with an initial deposit of \$6,500 earns 7.25% annual interest, compounded continuously. How much will the account be worth after 20 years?

356. The formula for measuring sound intensity in decibels D is defined by the equation $D = 10\log\left(\frac{I}{I_0}\right)$, where I is

the intensity of the sound in watts per square meter and $I_0 = 10^{-12}$ is the lowest level of sound that the average person can hear. How many decibels are emitted from a jet plane with a sound intensity of $8.3 \cdot 10^2$ watts per square meter?

357. The population of a small town is modeled by the equation $P = 1650e^{0.5t}$ where t is measured in years. In approximately how many years will the town's population reach 20,000?

Technology

For the following exercises, solve each equation by rewriting the exponential expression using the indicated logarithm. Then use a calculator to approximate x to 3 decimal places.

358. $1000(1.03)^t = 5000$ using the common log.

359. $e^{5x} = 17$ using the natural log

360. $3(1.04)^{3t} = 8$ using the common log

361. $3^{4x-5} = 38$ using the common log

362. $50e^{-0.12t} = 10$ using the natural log

For the following exercises, use a calculator to solve the equation. Unless indicated otherwise, round all answers to the nearest ten-thousandth.

363.
$$7e^{3x-5} + 7.9 = 47$$

364.
$$ln(3) + ln(4.4x + 6.8) = 2$$

365.
$$\log(-0.7x - 9) = 1 + 5\log(5)$$

366.

Atmospheric pressure P in pounds per square inch is represented by the formula $P = 14.7e^{-0.21x}$, where x is the number of miles above sea level. To the nearest foot, how high is the peak of a mountain with an atmospheric pressure of 8.369 pounds per square inch? (*Hint*: there are 5280 feet in a mile)

367. The magnitude M of an earthquake is represented by the equation $M=\frac{2}{3}\mathrm{log}\Big(\frac{E}{E_0}\Big)$ where E is the amount of energy released by the earthquake in joules and $E_0=10^{4.4}$ is the assigned minimal measure released by an earthquake. To the nearest hundredth, what would the magnitude be of an earthquake releasing $1.4\cdot 10^{13}$ joules of energy?

Extensions

368. Use the definition of a logarithm along with the one-to-one property of logarithms to prove that $b^{\log_b x} = x$.

369. Recall the formula for continually compounding interest, $y = Ae^{kt}$. Use the definition of a logarithm along with properties of logarithms to solve the formula for time t such that t is equal to a single logarithm.

370. Recall the compound interest formula $A = a \left(1 + \frac{r}{k}\right)^{kt}$. Use the definition of a logarithm along with properties of logarithms to solve the formula for time t.

371. Newton's Law of Cooling states that the temperature T of an object at any time t can be described by the equation $T = T_s + (T_0 - T_s)e^{-kt}$, where T_s is the temperature of the surrounding environment, T_0 is the initial temperature of the object, and k is the cooling rate. Use the definition of a logarithm along with properties of logarithms to solve the formula for time t such that t is equal to a single logarithm.

4.7 | Exponential and Logarithmic Models

Learning Objectives

In this section, you will:

- 4.7.1 Model exponential growth and decay.
- 4.7.2 Use Newton's Law of Cooling.
- 4.7.3 Use logistic-growth models.
- **4.7.4** Choose an appropriate model for data.
- **4.7.5** Express an exponential model in base e.

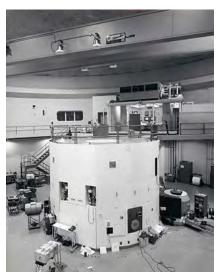


Figure 4.46 A nuclear research reactor inside the Neely Nuclear Research Center on the Georgia Institute of Technology campus (credit: Georgia Tech Research Institute)

We have already explored some basic applications of exponential and logarithmic functions. In this section, we explore some important applications in more depth, including radioactive isotopes and Newton's Law of Cooling.

Modeling Exponential Growth and Decay

In real-world applications, we need to model the behavior of a function. In mathematical modeling, we choose a familiar general function with properties that suggest that it will model the real-world phenomenon we wish to analyze. In the case of rapid growth, we may choose the exponential growth function:

$$y = A_0 e^{kt}$$

where A_0 is equal to the value at time zero, e is Euler's constant, and k is a positive constant that determines the rate (percentage) of growth. We may use the exponential growth function in applications involving **doubling time**, the time it takes for a quantity to double. Such phenomena as wildlife populations, financial investments, biological samples, and natural resources may exhibit growth based on a doubling time. In some applications, however, as we will see when we discuss the logistic equation, the logistic model sometimes fits the data better than the exponential model.

On the other hand, if a quantity is falling rapidly toward zero, without ever reaching zero, then we should probably choose the exponential decay model. Again, we have the form $y = A_0 e^{kt}$ where A_0 is the starting value, and e is Euler's constant. Now k is a negative constant that determines the rate of decay. We may use the exponential decay model when we are calculating **half-life**, or the time it takes for a substance to exponentially decay to half of its original quantity. We use half-life in applications involving radioactive isotopes.

In our choice of a function to serve as a mathematical model, we often use data points gathered by careful observation and measurement to construct points on a graph and hope we can recognize the shape of the graph. Exponential growth and decay graphs have a distinctive shape, as we can see in **Figure 4.47** and **Figure 4.48**. It is important to remember that, although parts of each of the two graphs seem to lie on the *x*-axis, they are really a tiny distance above the *x*-axis.

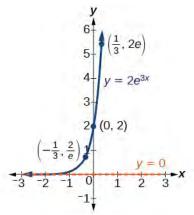


Figure 4.47 A graph showing exponential growth. The equation is $y = 2e^{3x}$.

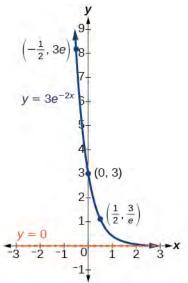


Figure 4.48 A graph showing exponential decay. The equation is $y = 3e^{-2x}$.

Exponential growth and decay often involve very large or very small numbers. To describe these numbers, we often use orders of magnitude. The **order of magnitude** is the power of ten, when the number is expressed in scientific notation, with one digit to the left of the decimal. For example, the distance to the nearest star, Proxima Centauri, measured in kilometers, is 40,113,497,200,000 kilometers. Expressed in scientific notation, this is $4.01134972 \times 10^{13}$. So, we could describe this number as having order of magnitude 10^{13} .

Characteristics of the Exponential Function, $y = A_0e^{kt}$

An exponential function with the form $y = A_0 e^{kt}$ has the following characteristics:

- one-to-one function
- horizontal asymptote: y = 0

- domain: $(-\infty, \infty)$
- range: $(0, \infty)$
- x intercept: none
- y-intercept: $(0, A_0)$
- increasing if k > 0 (see **Figure 4.49**)
- decreasing if k < 0 (see **Figure 4.49**)

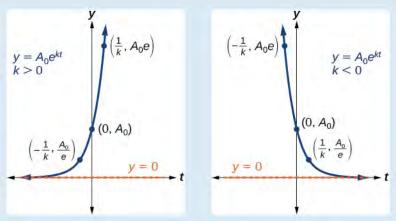


Figure 4.49 An exponential function models exponential growth when k > 0 and exponential decay when k < 0.

Example 4.65

Graphing Exponential Growth

A population of bacteria doubles every hour. If the culture started with 10 bacteria, graph the population as a function of time.

Solution

When an amount grows at a fixed percent per unit time, the growth is exponential. To find A_0 we use the fact that A_0 is the amount at time zero, so $A_0 = 10$. To find k, use the fact that after one hour (t = 1) the population doubles from 10 to 20. The formula is derived as follows

$$20 = 10e^{k \cdot 1}$$

 $2 = e^k$ Divide by 10
 $\ln 2 = k$ Take the natural logarithm

so $k = \ln(2)$. Thus the equation we want to graph is $y = 10e^{(\ln 2)t} = 10(e^{\ln 2})^t = 10 \cdot 2^t$. The graph is shown in **Figure 4.50**.

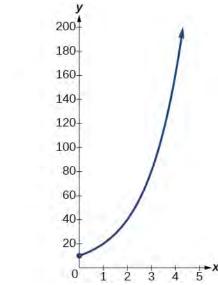


Figure 4.50 The graph of $y = 10e^{(\ln 2)t}$

Analysis

The population of bacteria after ten hours is 10,240. We could describe this amount is being of the order of magnitude 10^4 . The population of bacteria after twenty hours is 10,485,760 which is of the order of magnitude 10^7 , so we could say that the population has increased by three orders of magnitude in ten hours.

Half-Life

We now turn to exponential decay. One of the common terms associated with exponential decay, as stated above, is **half-life**, the length of time it takes an exponentially decaying quantity to decrease to half its original amount. Every radioactive isotope has a half-life, and the process describing the exponential decay of an isotope is called radioactive decay.

To find the half-life of a function describing exponential decay, solve the following equation:

$$\frac{1}{2}A_0 = A_o e^{kt}$$

We find that the half-life depends only on the constant k and not on the starting quantity A_0 .

The formula is derived as follows

$$\frac{1}{2}A_0 = A_o e^{kt}$$

$$\frac{1}{2} = e^{kt}$$
 Divide by A_0 .
$$\ln\left(\frac{1}{2}\right) = kt$$
 Take the natural log.
$$-\ln(2) = kt$$
 Apply laws of logarithms.
$$-\frac{\ln(2)}{k} = t$$
 Divide by k .

Since t, the time, is positive, k must, as expected, be negative. This gives us the half-life formula

$$t = -\frac{\ln(2)}{k} \tag{4.16}$$



Given the half-life, find the decay rate.

- 1. Write $A = A_o e^{kt}$.
- 2. Replace A by $\frac{1}{2}A_0$ and replace t by the given half-life.
- **3**. Solve to find k. Express k as an exact value (do not round).

Note: It is also possible to find the decay rate using $k = -\frac{\ln(2)}{t}$.

Example 4.66

Finding the Function that Describes Radioactive Decay

The half-life of carbon-14 is 5,730 years. Express the amount of carbon-14 remaining as a function of time, t.

Solution

This formula is derived as follows.

$$A = A_0 e^{kt} \qquad \text{The continuous growth formula.}$$

$$0.5A_0 = A_0 e^{k \cdot 5730} \qquad \text{Substitute the half-life for } t \text{ and } 0.5A_0 \text{ for } f(t).$$

$$0.5 = e^{5730k} \qquad \text{Divide by } A_0.$$

$$() \ln(0.5) = 5730k \qquad \text{Take the natural log of both sides.}$$

$$k = \frac{\ln(0.5)}{5730} \qquad \text{Divide by the coefficient o } k.$$

$$A = A_0 e^{\left(\frac{\ln(0.5)}{5730}\right)t} \qquad \text{Substitute for } r \text{ in the continuous growth formula.}$$

The function that describes this continuous decay is $f(t) = A_0 e^{\left(\frac{\ln(0.5)}{5730}\right)t}$. We observe that the coefficient of t, $\frac{\ln(0.5)}{5730} \approx -1.2097$ is negative, as expected in the case of exponential decay.



4.65 The half-life of plutonium-244 is 80,000,000 years. Find function gives the amount of carbon-14 remaining as a function of time, measured in years.

Radiocarbon Dating

The formula for radioactive decay is important in radiocarbon dating, which is used to calculate the approximate date a plant or animal died. Radiocarbon dating was discovered in 1949 by Willard Libby, who won a Nobel Prize for his discovery. It compares the difference between the ratio of two isotopes of carbon in an organic artifact or fossil to the ratio of those two isotopes in the air. It is believed to be accurate to within about 1% error for plants or animals that died within the last 60,000 years.

Carbon-14 is a radioactive isotope of carbon that has a half-life of 5,730 years. It occurs in small quantities in the carbon dioxide in the air we breathe. Most of the carbon on Earth is carbon-12, which has an atomic weight of 12 and is not radioactive. Scientists have determined the ratio of carbon-14 to carbon-12 in the air for the last 60,000 years, using tree rings and other organic samples of known dates—although the ratio has changed slightly over the centuries.

As long as a plant or animal is alive, the ratio of the two isotopes of carbon in its body is close to the ratio in the atmosphere. When it dies, the carbon-14 in its body decays and is not replaced. By comparing the ratio of carbon-14 to carbon-12 in a decaying sample to the known ratio in the atmosphere, the date the plant or animal died can be approximated.

Since the half-life of carbon-14 is 5,730 years, the formula for the amount of carbon-14 remaining after t years is

$$A \approx A_0 e^{\left(\frac{\ln(0.5)}{5730}\right)t}$$

where

- A is the amount of carbon-14 remaining
- A₀ is the amount of carbon-14 when the plant or animal began decaying.

This formula is derived as follows:

$$A = A_0 e^{kt}$$
 The continuous growth formula.
$$0.5A_0 = A_0 e^{k \cdot 5730}$$
 Substitute the half-life for t and $0.5A_0$ for $f(t)$.
$$0.5 = e^{5730k}$$
 Divide by A_0 .
$$\ln(0.5) = 5730k$$
 Take the natural log of both sides.
$$k = \frac{\ln(0.5)}{5730}$$
 Divide by the coefficient o k .
$$A = A_0 e^{\left(\frac{\ln(0.5)}{5730}\right)t}$$
 Substitute for r in the continuous growth formula.

To find the age of an object, we solve this equation for t:

$$t = \frac{\ln\left(\frac{A}{A_0}\right)}{-0.000121} \tag{4.17}$$

Out of necessity, we neglect here the many details that a scientist takes into consideration when doing carbon-14 dating, and we only look at the basic formula. The ratio of carbon-14 to carbon-12 in the atmosphere is approximately 0.0000000001%. Let r be the ratio of carbon-14 to carbon-12 in the organic artifact or fossil to be dated, determined by a method called liquid scintillation. From the equation $A \approx A_0 e^{-0.000121t}$ we know the ratio of the percentage of carbon-14 in the object we are dating to the percentage of carbon-14 in the atmosphere is $r = \frac{A}{A_0} \approx e^{-0.000121t}$. We solve this equation for t, to get

$$t = \frac{\ln(r)}{-0.000121}$$



Given the percentage of carbon-14 in an object, determine its age.

- 1. Express the given percentage of carbon-14 as an equivalent decimal, k.
- 2. Substitute for *k* in the equation $t = \frac{\ln(r)}{-0.000121}$ and solve for the age, *t*.

Example 4.67

Finding the Age of a Bone

A bone fragment is found that contains 20% of its original carbon-14. To the nearest year, how old is the bone?

Solution

We substitute 20% = 0.20 for k in the equation and solve for t:

$$t = \frac{\ln(r)}{-0.000121}$$
 Use the general form of the equation.
 $= \frac{\ln(0.20)}{-0.000121}$ Substitute for r.
 ≈ 13301 Round to the nearest year.

The bone fragment is about 13,301 years old.

Analysis

The instruments that measure the percentage of carbon-14 are extremely sensitive and, as we mention above, a scientist will need to do much more work than we did in order to be satisfied. Even so, carbon dating is only accurate to about 1%, so this age should be given as 13,301 years $\pm 1\%$ or 13,301 years ± 133 years.



4.66 Cesium-137 has a half-life of about 30 years. If we begin with 200 mg of cesium-137, will it take more or less than 230 years until only 1 milligram remains?

Calculating Doubling Time

For decaying quantities, we determined how long it took for half of a substance to decay. For growing quantities, we might want to find out how long it takes for a quantity to double. As we mentioned above, the time it takes for a quantity to double is called the **doubling time**.

Given the basic exponential growth equation $A = A_0 e^{kt}$, doubling time can be found by solving for when the original quantity has doubled, that is, by solving $2A_0 = A_0 e^{kt}$.

The formula is derived as follows:

$$2A_0 = A_0 e^{kt}$$

 $2 = e^{kt}$ Divide by A_0 .
 $\ln 2 = kt$ Take the natural logarithm.
 $t = \frac{\ln 2}{k}$ Divide by the coefficient o t .

Thus the doubling time is

$$t = \frac{\ln 2}{k} \tag{4.18}$$

Example 4.68

Finding a Function That Describes Exponential Growth

According to Moore's Law, the doubling time for the number of transistors that can be put on a computer chip is approximately two years. Give a function that describes this behavior.

Solution

The formula is derived as follows:

 $t = \frac{\ln 2}{k}$ The doubling time formula. $2 = \frac{\ln 2}{k}$ Use a doubling time of two years. $k = \frac{\ln 2}{2}$ Multiply by k and divide by 2. $A = A_0 e^{\frac{\ln 2}{2}t}$ Substitute k into the continuous growth formula.

The function is $A = A_0 e^{\frac{\ln 2}{2}t}$.



4.67 Recent data suggests that, as of 2013, the rate of growth predicted by Moore's Law no longer holds. Growth has slowed to a doubling time of approximately three years. Find the new function that takes that longer doubling time into account.

Using Newton's Law of Cooling

Exponential decay can also be applied to temperature. When a hot object is left in surrounding air that is at a lower temperature, the object's temperature will decrease exponentially, leveling off as it approaches the surrounding air temperature. On a graph of the temperature function, the leveling off will correspond to a horizontal asymptote at the temperature of the surrounding air. Unless the room temperature is zero, this will correspond to a vertical shift of the generic exponential decay function. This translation leads to **Newton's Law of Cooling**, the scientific formula for temperature as a function of time as an object's temperature is equalized with the ambient temperature

$$T(t) = ae^{kt} + T_s$$

This formula is derived as follows:

 $T(t) = Ab^{ct} + T_s$ $T(t) = Ae^{\ln(b^{ct})} + T_s$ Laws of logarithms. $T(t) = Ae^{ct\ln b} + T_s$ Laws of logarithms. $T(t) = Ae^{kt} + T_s$ Rename the constant c ln b, calling it k.

Newton's Law of Cooling

The temperature of an object, T, in surrounding air with temperature T_s will behave according to the formula

$$T(t) = Ae^{kt} + T_s (4.19)$$

where

- *t* is time
- *A* is the difference between the initial temperature of the object and the surroundings
- k is a constant, the continuous rate of cooling of the object



Given a set of conditions, apply Newton's Law of Cooling.

- 1. Set T_s equal to the *y*-coordinate of the horizontal asymptote (usually the ambient temperature).
- 2. Substitute the given values into the continuous growth formula $T(t) = Ae^{kt} + T_s$ to find the parameters A and k.
- 3. Substitute in the desired time to find the temperature or the desired temperature to find the time.

Example 4.69

Using Newton's Law of Cooling

A cheesecake is taken out of the oven with an ideal internal temperature of 165°F, and is placed into a 35°F refrigerator. After 10 minutes, the cheesecake has cooled to 150°F. If we must wait until the cheesecake has cooled to 70°F before we eat it, how long will we have to wait?

Solution

Because the surrounding air temperature in the refrigerator is 35 degrees, the cheesecake's temperature will decay exponentially toward 35, following the equation

$$T(t) = Ae^{kt} + 35$$

We know the initial temperature was 165, so T(0) = 165.

$$165 = Ae^{k0} + 35$$
 Substitute (0, 165).
 $A = 130$ Solve for A.

We were given another data point, T(10) = 150, which we can use to solve for k.

$$150 = 130e^{k10} + 35$$
 Substitute (10, 150).
 $115 = 130e^{k10}$ Subtract 35.
 $\frac{115}{130} = e^{10k}$ Divide by 130.
 $\ln\left(\frac{115}{130}\right) = 10k$ Take the natural log of both sides.
 $k = \frac{\ln\left(\frac{115}{130}\right)}{10} = -0.0123$ Divide by the coefficient o k .

This gives us the equation for the cooling of the cheesecake: $T(t) = 130e^{-0.0123t} + 35$.

Now we can solve for the time it will take for the temperature to cool to 70 degrees.

$$70 = 130e^{-0.0123t} + 35$$
 Substitute in 70 for $T(t)$.
 $35 = 130e^{-0.0123t}$ Subtract 35.
 $\frac{35}{130} = e^{-0.0123t}$ Divide by 130.
 $\ln(\frac{35}{130}) = -0.0123t$ Take the natural log of both sides $t = \frac{\ln(\frac{35}{130})}{-0.0123} \approx 106.68$ Divide by the coefficient o t .

It will take about 107 minutes, or one hour and 47 minutes, for the cheesecake to cool to 70°F.



4.68 A pitcher of water at 40 degrees Fahrenheit is placed into a 70 degree room. One hour later, the temperature has risen to 45 degrees. How long will it take for the temperature to rise to 60 degrees?

Using Logistic Growth Models

Exponential growth cannot continue forever. Exponential models, while they may be useful in the short term, tend to fall apart the longer they continue. Consider an aspiring writer who writes a single line on day one and plans to double the number of lines she writes each day for a month. By the end of the month, she must write over 17 billion lines, or one-half-billion pages. It is impractical, if not impossible, for anyone to write that much in such a short period of time. Eventually, an exponential model must begin to approach some limiting value, and then the growth is forced to slow. For this reason, it is often better to use a model with an upper bound instead of an exponential growth model, though the exponential growth model is still useful over a short term, before approaching the limiting value.

The **logistic growth model** is approximately exponential at first, but it has a reduced rate of growth as the output approaches the model's upper bound, called the **carrying capacity**. For constants a, b, and c, the logistic growth of a population over time x is represented by the model

$$f(x) = \frac{c}{1 + ae^{-bx}}$$

The graph in **Figure 4.51** shows how the growth rate changes over time. The graph increases from left to right, but the growth rate only increases until it reaches its point of maximum growth rate, at which point the rate of increase decreases.

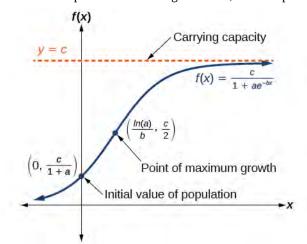


Figure 4.51

Logistic Growth

The logistic growth model is

$$f(x) = \frac{c}{1 + ae^{-bx}}$$

where

- $\frac{c}{1+a}$ is the initial value
- ullet c is the carrying capacity, or limiting value
- *b* is a constant determined by the rate of growth.

Example 4.70

Using the Logistic-Growth Model

An influenza epidemic spreads through a population rapidly, at a rate that depends on two factors: The more people who have the flu, the more rapidly it spreads, and also the more uninfected people there are, the more rapidly it spreads. These two factors make the logistic model a good one to study the spread of communicable diseases. And, clearly, there is a maximum value for the number of people infected: the entire population.

For example, at time t = 0 there is one person in a community of 1,000 people who has the flu. So, in that community, at most 1,000 people can have the flu. Researchers find that for this particular strain of the flu, the logistic growth constant is b = 0.6030. Estimate the number of people in this community who will have had this flu after ten days. Predict how many people in this community will have had this flu after a long period of time has passed.

Solution

We substitute the given data into the logistic growth model

$$f(x) = \frac{c}{1 + ae^{-bx}}$$

Because at most 1,000 people, the entire population of the community, can get the flu, we know the limiting value is c = 1000. To find a, we use the formula that the number of cases at time t = 0 is $\frac{c}{1+a} = 1$, from which

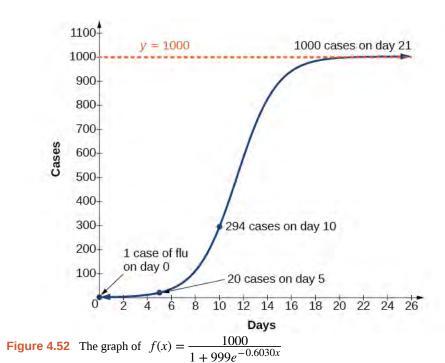
it follows that a = 999. This model predicts that, after ten days, the number of people who have had the flu is $f(x) = \frac{1000}{1 + 999e^{-0.6030x}} \approx 293.8$. Because the actual number must be a whole number (a person has either

had the flu or not) we round to 294. In the long term, the number of people who will contract the flu is the limiting value, c = 1000.

Analysis

Remember that, because we are dealing with a virus, we cannot predict with certainty the number of people infected. The model only approximates the number of people infected and will not give us exact or actual values.

The graph in Figure 4.52 gives a good picture of how this model fits the data.



Try lt→

4.69 Using the model in **Example 4.70**, estimate the number of cases of flu on day 15.

Choosing an Appropriate Model for Data

Now that we have discussed various mathematical models, we need to learn how to choose the appropriate model for the raw data we have. Many factors influence the choice of a mathematical model, among which are experience, scientific laws, and patterns in the data itself. Not all data can be described by elementary functions. Sometimes, a function is chosen that approximates the data over a given interval. For instance, suppose data were gathered on the number of homes bought in the United States from the years 1960 to 2013. After plotting these data in a scatter plot, we notice that the shape of the data from the years 2000 to 2013 follow a logarithmic curve. We could restrict the interval from 2000 to 2010, apply regression analysis using a logarithmic model, and use it to predict the number of home buyers for the year 2015.

Three kinds of functions that are often useful in mathematical models are linear functions, exponential functions, and logarithmic functions. If the data lies on a straight line, or seems to lie approximately along a straight line, a linear model may be best. If the data is non-linear, we often consider an exponential or logarithmic model, though other models, such as quadratic models, may also be considered.

In choosing between an exponential model and a logarithmic model, we look at the way the data curves. This is called the concavity. If we draw a line between two data points, and all (or most) of the data between those two points lies above that line, we say the curve is concave down. We can think of it as a bowl that bends downward and therefore cannot hold water. If all (or most) of the data between those two points lies below the line, we say the curve is concave up. In this case, we can think of a bowl that bends upward and can therefore hold water. An exponential curve, whether rising or falling, whether representing growth or decay, is always concave up away from its horizontal asymptote. A logarithmic curve is always concave away from its vertical asymptote. In the case of positive data, which is the most common case, an exponential curve is always concave up, and a logarithmic curve always concave down.

A logistic curve changes concavity. It starts out concave up and then changes to concave down beyond a certain point, called a point of inflection.

After using the graph to help us choose a type of function to use as a model, we substitute points, and solve to find the parameters. We reduce round-off error by choosing points as far apart as possible.

Example 4.71

Choosing a Mathematical Model

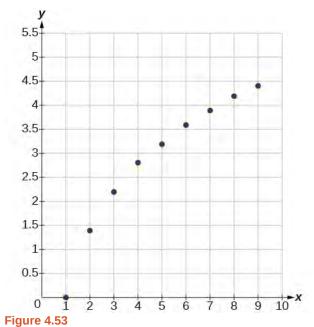
Does a linear, exponential, logarithmic, or logistic model best fit the values listed in **Table 4.17**? Find the model, and use a graph to check your choice.

x	1	2	3	4	5	6	7	8	9
у	0	1.386	2.197	2.773	3.219	3.584	3.892	4.159	4.394

Table 4.17

Solution

First, plot the data on a graph as in **Figure 4.53**. For the purpose of graphing, round the data to two significant digits.



Clearly, the points do not lie on a straight line, so we reject a linear model. If we draw a line between any two of the points, most or all of the points between those two points lie above the line, so the graph is concave down, suggesting a logarithmic model. We can try $y = a \ln(bx)$. Plugging in the first point, (1,0), gives $0 = a \ln b$.

We reject the case that a = 0 (if it were, all outputs would be 0), so we know ln(b) = 0. Thus b = 1 and y = aln(x). Next we can use the point (9,4.394) to solve for a:

$$y = a\ln(x)$$

$$4.394 = a\ln(9)$$

$$a = \frac{4.394}{\ln(9)}$$

Because $a = \frac{4.394}{\ln(9)} \approx 2$, an appropriate model for the data is $y = 2\ln(x)$.

To check the accuracy of the model, we graph the function together with the given points as in Figure 4.54.

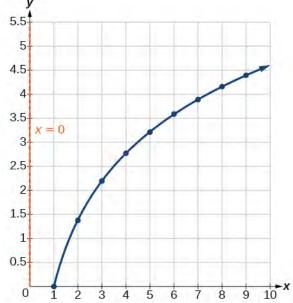


Figure 4.54 The graph of $y = 2\ln x$.

We can conclude that the model is a good fit to the data.

Compare **Figure 4.54** to the graph of $y = \ln(x^2)$ shown in **Figure 4.55**.

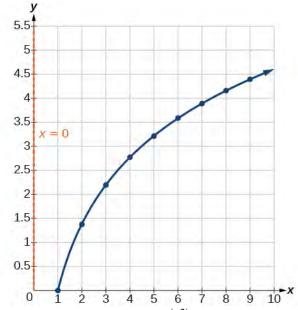


Figure 4.55 The graph of $y = \ln(x^2)$

The graphs appear to be identical when x > 0. A quick check confirms this conclusion: $y = \ln(x^2) = 2\ln(x)$ for x > 0.

However, if x < 0, the graph of $y = \ln(x^2)$ includes a "extra" branch, as shown in **Figure 4.56**. This occurs because, while $y = 2\ln(x)$ cannot have negative values in the domain (as such values would force the argument to be negative), the function $y = \ln(x^2)$ can have negative domain values.

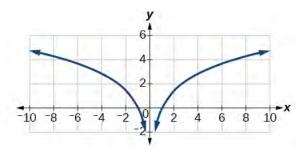


Figure 4.56



4.70 Does a linear, exponential, or logarithmic model best fit the data in **Table 4.18**? Find the model.

x	1	2	3	4	5	6	7	8	9
у	3.297	5.437	8.963	14.778	24.365	40.172	66.231	109.196	180.034

Table 4.18

Expressing an Exponential Model in Base e

While powers and logarithms of any base can be used in modeling, the two most common bases are 10 and e. In science and mathematics, the base e is often preferred. We can use laws of exponents and laws of logarithms to change any base to base e.



Given a model with the form $y = ab^x$, change it to the form $y = A_0 e^{kx}$.

- 1. Rewrite $y = ab^x$ as $y = ae^{\ln(b^x)}$.
- 2. Use the power rule of logarithms to rewrite y as $y = ae^{x\ln(b)} = ae^{\ln(b)x}$.
- 3. Note that $a = A_0$ and $k = \ln(b)$ in the equation $y = A_0 e^{kx}$.

Example 4.72

Changing to base e

Change the function $y = 2.5(3.1)^x$ so that this same function is written in the form $y = A_0 e^{kx}$.

Solution

The formula is derived as follows

$$y = 2.5(3.1)^x$$

= $2.5e^{\ln(3.1^x)}$ Insert exponential and its inverse.
= $2.5e^{x\ln 3.1}$ Laws of logs.
= $2.5e^{(\ln 3.1)}$ Commutative law of multiplication



4.71 Change the function $y = 3(0.5)^x$ to one having e as the base.



Access these online resources for additional instruction and practice with exponential and logarithmic models.

- Logarithm Application pH (http://openstaxcollege.org/l/logph)
- Exponential Model Age Using Half-Life (http://openstaxcollege.org/l/expmodelhalf)
- · Newton's Law of Cooling (http://openstaxcollege.org/l/newtoncooling)
- Exponential Growth Given Doubling Time (http://openstaxcollege.org/l/expgrowthdbl)
- Exponential Growth Find Initial Amount Given Doubling Time (http://openstaxcollege.org/l/initialdouble)

4.7 EXERCISES

Verbal

372. With what kind of exponential model would *half-life* be associated? What role does half-life play in these models?

373. What is carbon dating? Why does it work? Give an example in which carbon dating would be useful.

374. With what kind of exponential model would *doubling time* be associated? What role does doubling time play in these models?

375. Define Newton's Law of Cooling. Then name at least three real-world situations where Newton's Law of Cooling would be applied.

376. What is an order of magnitude? Why are orders of magnitude useful? Give an example to explain.

Numeric

377. The temperature of an object in degrees Fahrenheit after t minutes is represented by the equation $T(t) = 68e^{-0.0174t} + 72$. To the nearest degree, what is the temperature of the object after one and a half hours?

For the following exercises, use the logistic growth model $f(x) = \frac{150}{1 + 8e^{-2x}}$.

378. Find and interpret f(0). Round to the nearest tenth.

379. Find and interpret f(4). Round to the nearest tenth.

380. Find the carrying capacity.

381. Graph the model.

382. Determine whether the data from the table could best be represented as a function that is linear, exponential, or logarithmic. Then write a formula for a model that represents the data.

383.

x	f(x)
-2	0.694
-1	0.833
0	1
1	1.2
2	1.44
3	1.728
4	2.074
5	2.488

384. Rewrite $f(x) = 1.68(0.65)^x$ as an exponential equation with base e to five significant digits.

Technology

For the following exercises, enter the data from each table into a graphing calculator and graph the resulting scatter plots. Determine whether the data from the table could represent a function that is linear, exponential, or logarithmic.

385.

x	f(x)
1	2
2	4.079
3	5.296
4	6.159
5	6.828
6	7.375
7	7.838
8	8.238
9	8.592
10	8.908

x	f(x)
1	2.4
2	2.88
3	3.456
4	4.147
5	4.977
6	5.972
7	7.166
8	8.6
9	10.32
10	12.383

386. 387.

x	f(x)
4	9.429
5	9.972
6	10.415
7	10.79
8	11.115
9	11.401
10	11.657
11	11.889
12	12.101
13	12.295

x	f(x)
1.25	5.75
2.25	8.75
3.56	12.68
4.2	14.6
5.65	18.95
6.75	22.25
7.25	23.75
8.6	27.8
9.25	29.75
10.5	33.5

388.

For the following exercises, use a graphing calculator and this scenario: the population of a fish farm in t years is modeled by the equation $P(t) = \frac{1000}{1 + 9e^{-0.6t}}$.

- 389. Graph the function.
- 390. What is the initial population of fish?
- 391. To the nearest tenth, what is the doubling time for the fish population?
- 392. To the nearest whole number, what will the fish population be after 2 years?
- 393. To the nearest tenth, how long will it take for the population to reach $900\,?$
- 394. What is the carrying capacity for the fish population? Justify your answer using the graph of P.

Extensions

395. A substance has a half-life of 2.045 minutes. If the initial amount of the substance was 132.8 grams, how many

half-lives will have passed before the substance decays to 8.3 grams? What is the total time of decay?

396. The formula for an increasing population is given by $P(t) = P_0 e^{rt}$ where P_0 is the initial population and r > 0. Derive a general formula for the time t it takes for the population to increase by a factor of M.

397. Recall the formula for calculating the magnitude of an earthquake, $M = \frac{2}{3} log \left(\frac{S}{S_0} \right)$. Show each step for solving

this equation algebraically for the seismic moment S.

398. What is the *y*-intercept of the logistic growth model $y = \frac{c}{1 + ae^{-rx}}$? Show the steps for calculation. What does this point tell us about the population?

399. Prove that $b^x = e^{x \ln(b)}$ for positive $b \neq 1$.

Real-World Applications

For the following exercises, use this scenario: A doctor prescribes 125 milligrams of a therapeutic drug that decays by about 30% each hour.

- 400. To the nearest hour, what is the half-life of the drug?
- 401. Write an exponential model representing the amount of the drug remaining in the patient's system after t hours. Then use the formula to find the amount of the drug that would remain in the patient's system after 3 hours. Round to the nearest milligram.
- 402. Using the model found in the previous exercise, find f(10) and interpret the result. Round to the nearest hundredth.

For the following exercises, use this scenario: A tumor is injected with 0.5 grams of Iodine-125, which has a decay rate of 1.15% per day.

- 403. To the nearest day, how long will it take for half of the Iodine-125 to decay?
- 404. Write an exponential model representing the amount of Iodine-125 remaining in the tumor after t days. Then use the formula to find the amount of Iodine-125 that would remain in the tumor after 60 days. Round to the nearest tenth of a gram.
- 405. A scientist begins with 250 grams of a radioactive substance. After 250 minutes, the sample has decayed to 32 grams. Rounding to five significant digits, write an exponential equation representing this situation. To the nearest minute, what is the half-life of this substance?

406.

The half-life of Radium-226 is 1590 years. What is the annual decay rate? Express the decimal result to four significant digits and the percentage to two significant digits.

- 407. The half-life of Erbium-165 is 10.4 hours. What is the hourly decay rate? Express the decimal result to four significant digits and the percentage to two significant digits.
- 408. A wooden artifact from an archeological dig contains 60 percent of the carbon-14 that is present in living trees. To the nearest year, about how many years old is the artifact? (The half-life of carbon-14 is 5730 years.)
- 409. A research student is working with a culture of bacteria that doubles in size every twenty minutes. The initial population count was 1350 bacteria. Rounding to five significant digits, write an exponential equation representing this situation. To the nearest whole number, what is the population size after 3 hours?

For the following exercises, use this scenario: A biologist recorded a count of 360 bacteria present in a culture after 5 minutes and 1000 bacteria present after 20 minutes.

- 410. To the nearest whole number, what was the initial population in the culture?
- 411. Rounding to six significant digits, write an exponential equation representing this situation. To the nearest minute, how long did it take the population to double?

For the following exercises, use this scenario: A pot of boiling soup with an internal temperature of 100° Fahrenheit was taken off the stove to cool in a 69° F room. After fifteen minutes, the internal temperature of the soup was 95° F.

- 412. Use Newton's Law of Cooling to write a formula that models this situation.
- 413. To the nearest minute, how long will it take the soup to cool to 80° F?
- 414. To the nearest degree, what will the temperature be after 2 and a half hours?

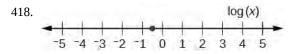
For the following exercises, use this scenario: A turkey is taken out of the oven with an internal temperature of $165^{\circ}F$ and is allowed to cool in a $75^{\circ}F$ room. After half an hour, the internal temperature of the turkey is $145^{\circ}F$.

- 415. Write a formula that models this situation.
- 416. To the nearest degree, what will the temperature be after 50 minutes?

417. To the nearest minute, how long will it take the turkey to cool to 110° F?

D. $f(t) = \frac{4.75}{1 + 13e^{-0.83925t}}$

For the following exercises, find the value of the number shown on each logarithmic scale. Round all answers to the nearest thousandth.



419.
$$\log(x)$$
-5 -4 -3 -2 -1 0 1 2 3 4 5

420. Plot each set of approximate values of intensity of sounds on a logarithmic scale: Whisper: $10^{-10} \frac{W}{m^2}$,

Vacuum:
$$10^{-4} \frac{W}{m^2}$$
, Jet: $10^2 \frac{W}{m^2}$

421. Recall the formula for calculating the magnitude of an earthquake, $M = \frac{2}{3} \log \left(\frac{S}{S_0} \right)$. One earthquake has

magnitude 3.9 on the MMS scale. If a second earthquake has 750 times as much energy as the first, find the magnitude of the second quake. Round to the nearest hundredth.

For the following exercises, use this scenario: The equation $N(t) = \frac{500}{1 + 49e^{-0.7t}}$ models the number of people in a

town who have heard a rumor after t days.

- 422. How many people started the rumor?
- 423. To the nearest whole number, how many people will have heard the rumor after 3 days?
- 424. As t increases without bound, what value does N(t) approach? Interpret your answer.

For the following exercise, choose the correct answer choice.

425. A doctor and injects a patient with 13 milligrams of radioactive dye that decays exponentially. After 12 minutes, there are 4.75 milligrams of dye remaining in the patient's system. Which is an appropriate model for this situation?

A.
$$f(t) = 13(0.0805)^t$$

B.
$$f(t) = 13e^{0.9195t}$$

C.
$$f(t) = 13e^{(-0.0839t)}$$

4.8 | Fitting Exponential Models to Data

Learning Objectives

In this section, you will:

- **4.8.1** Build an exponential model from data.
- 4.8.2 Build a logarithmic model from data.
- 4.8.3 Build a logistic model from data.

In previous sections of this chapter, we were either given a function explicitly to graph or evaluate, or we were given a set of points that were guaranteed to lie on the curve. Then we used algebra to find the equation that fit the points exactly. In this section, we use a modeling technique called *regression analysis* to find a curve that models data collected from real-world observations. With regression analysis, we don't expect all the points to lie perfectly on the curve. The idea is to find a model that best fits the data. Then we use the model to make predictions about future events.

Do not be confused by the word *model*. In mathematics, we often use the terms *function*, *equation*, and *model* interchangeably, even though they each have their own formal definition. The term *model* is typically used to indicate that the equation or function approximates a real-world situation.

We will concentrate on three types of regression models in this section: exponential, logarithmic, and logistic. Having already worked with each of these functions gives us an advantage. Knowing their formal definitions, the behavior of their graphs, and some of their real-world applications gives us the opportunity to deepen our understanding. As each regression model is presented, key features and definitions of its associated function are included for review. Take a moment to rethink each of these functions, reflect on the work we've done so far, and then explore the ways regression is used to model real-world phenomena.

Building an Exponential Model from Data

As we've learned, there are a multitude of situations that can be modeled by exponential functions, such as investment growth, radioactive decay, atmospheric pressure changes, and temperatures of a cooling object. What do these phenomena have in common? For one thing, all the models either increase or decrease as time moves forward. But that's not the whole story. It's the *way* data increase or decrease that helps us determine whether it is best modeled by an exponential equation. Knowing the behavior of exponential functions in general allows us to recognize when to use exponential regression, so let's review exponential growth and decay.

Recall that exponential functions have the form $y = ab^x$ or $y = A_0 e^{kx}$. When performing regression analysis, we use the form most commonly used on graphing utilities, $y = ab^x$. Take a moment to reflect on the characteristics we've already learned about the exponential function $y = ab^x$ (assume a > 0):

- *b* must be greater than zero and not equal to one.
- The initial value of the model is y = a.
 - \circ If b > 1, the function models exponential growth. As x increases, the outputs of the model increase slowly at first, but then increase more and more rapidly, without bound.
 - If 0 < b < 1, the function models exponential decay. As x increases, the outputs for the model decrease rapidly at first and then level off to become asymptotic to the x-axis. In other words, the outputs never become equal to or less than zero.

As part of the results, your calculator will display a number known as the *correlation coefficient*, labeled by the variable r, or r^2 . (You may have to change the calculator's settings for these to be shown.) The values are an indication of the "goodness of fit" of the regression equation to the data. We more commonly use the value of r^2 instead of r, but the closer either value is to 1, the better the regression equation approximates the data.

Exponential Regression

Exponential regression is used to model situations in which growth begins slowly and then accelerates rapidly without bound, or where decay begins rapidly and then slows down to get closer and closer to zero. We use the command "ExpReg" on a graphing utility to fit an exponential function to a set of data points. This returns an equation of the form, $y = ab^x$

Note that:

- *b* must be non-negative.
- when b > 1, we have an exponential growth model.
- when 0 < b < 1, we have an exponential decay model.



Given a set of data, perform exponential regression using a graphing utility.

- 1. Use the STAT then EDIT menu to enter given data.
 - a. Clear any existing data from the lists.
 - b. List the input values in the L1 column.
 - c. List the output values in the L2 column.
- 2. Graph and observe a scatter plot of the data using the STATPLOT feature.
 - a. Use ZOOM [9] to adjust axes to fit the data.
 - b. Verify the data follow an exponential pattern.
- 3. Find the equation that models the data.
 - a. Select "ExpReg" from the STAT then CALC menu.
 - b. Use the values returned for *a* and *b* to record the model, $y = ab^x$.
- 4. Graph the model in the same window as the scatterplot to verify it is a good fit for the data.

Example 4.73

Using Exponential Regression to Fit a Model to Data

In 2007, a university study was published investigating the crash risk of alcohol impaired driving. Data from 2,871 crashes were used to measure the association of a person's blood alcohol level (BAC) with the risk of being in an accident. **Table 4.19** shows results from the study ^[9]. The *relative risk* is a measure of how many times more likely a person is to crash. So, for example, a person with a BAC of 0.09 is 3.54 times as likely to crash as a person who has not been drinking alcohol.

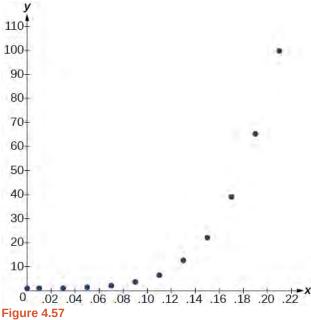
BAC	0	0.01	0.03	0.05	0.07	0.09
Relative Risk of Crashing	1	1.03	1.06	1.38	2.09	3.54
BAC	0.11	0.13	0.15	0.17	0.19	0.21
Relative Risk of Crashing	6.41	12.6	22.1	39.05	65.32	99.78

Table 4.19

- a. Let *x* represent the BAC level, and let *y* represent the corresponding relative risk. Use exponential regression to fit a model to these data.
- b. After 6 drinks, a person weighing 160 pounds will have a BAC of about 0.16. How many times more likely is a person with this weight to crash if they drive after having a 6-pack of beer? Round to the nearest hundredth.

Solution

a. Using the STAT then EDIT menu on a graphing utility, list the BAC values in L1 and the relative risk values in L2. Then use the STATPLOT feature to verify that the scatterplot follows the exponential pattern shown in **Figure 4.57**:



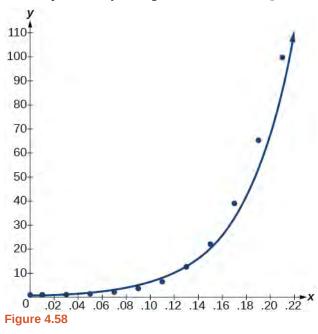
Use the "ExpReg" command from the STAT then CALC menu to obtain the exponential model,

$$y = 0.58304829(2.20720213E10)^{x}$$

Converting from scientific notation, we have:

$$y = 0.58304829(22,072,021,300)^x$$

Notice that $r^2 \approx 0.97$ which indicates the model is a good fit to the data. To see this, graph the model in the same window as the scatterplot to verify it is a good fit as shown in **Figure 4.58**:



b. Use the model to estimate the risk associated with a BAC of 0.16. Substitute 0.16 for x in the model and solve for y.

$$y = 0.58304829(22,072,021,300)^{x}$$
 Use the regression model found in part (a).
= $0.58304829(22,072,021,300)^{0.16}$ Substitute 0.16 for x.
 ≈ 26.35 Round to the nearest hundredth.

If a 160-pound person drives after having 6 drinks, he or she is about 26.35 times more likely to crash than if driving while sober.



4.72 Table 4.20 shows a recent graduate's credit card balance each month after graduation.

Month	1	2	3	4	5	6	7	8
Debt (\$)	620.00	761.88	899.80	1039.93	1270.63	1589.04	1851.31	2154.92

Table 4.20

- a. Use exponential regression to fit a model to these data.
- b. If spending continues at this rate, what will the graduate's credit card debt be one year after graduating?



Is it reasonable to assume that an exponential regression model will represent a situation indefinitely?

No. Remember that models are formed by real-world data gathered for regression. It is usually reasonable to make estimates within the interval of original observation (interpolation). However, when a model is used to make predictions, it is important to use reasoning skills to determine whether the model makes sense for inputs far beyond the original observation interval (extrapolation).

Building a Logarithmic Model from Data

Just as with exponential functions, there are many real-world applications for logarithmic functions: intensity of sound, pH levels of solutions, yields of chemical reactions, production of goods, and growth of infants. As with exponential models, data modeled by logarithmic functions are either always increasing or always decreasing as time moves forward. Again, it is the *way* they increase or decrease that helps us determine whether a logarithmic model is best.

Recall that logarithmic functions increase or decrease rapidly at first, but then steadily slow as time moves on. By reflecting on the characteristics we've already learned about this function, we can better analyze real world situations that reflect this type of growth or decay. When performing logarithmic regression analysis, we use the form of the logarithmic function most commonly used on graphing utilities, $y = a + b \ln(x)$. For this function

- All input values, *x*, must be greater than zero.
- The point (1, *a*) is on the graph of the model.
- If b > 0, the model is increasing. Growth increases rapidly at first and then steadily slows over time.
- If b < 0, the model is decreasing. Decay occurs rapidly at first and then steadily slows over time.

Logarithmic Regression

Logarithmic regression is used to model situations where growth or decay accelerates rapidly at first and then slows over time. We use the command "LnReg" on a graphing utility to fit a logarithmic function to a set of data points. This returns an equation of the form,

$$y = a + b \ln(x)$$

Note that

- all input values, *x*, must be non-negative.
- when b > 0, the model is increasing.
- when b < 0, the model is decreasing.



Given a set of data, perform logarithmic regression using a graphing utility.

- 1. Use the STAT then EDIT menu to enter given data.
 - a. Clear any existing data from the lists.
 - b. List the input values in the L1 column.
 - c. List the output values in the L2 column.
- 2. Graph and observe a scatter plot of the data using the STATPLOT feature.
 - a. Use ZOOM [9] to adjust axes to fit the data.
 - b. Verify the data follow a logarithmic pattern.
- 3. Find the equation that models the data.
 - a. Select "LnReg" from the STAT then CALC menu.
 - b. Use the values returned for *a* and *b* to record the model, $y = a + b \ln(x)$.
- 4. Graph the model in the same window as the scatterplot to verify it is a good fit for the data.

Example 4.74

Using Logarithmic Regression to Fit a Model to Data

Due to advances in medicine and higher standards of living, life expectancy has been increasing in most developed countries since the beginning of the 20th century.

Table 4.21 shows the average life expectancies, in years, of Americans from 1900–2010^[10].

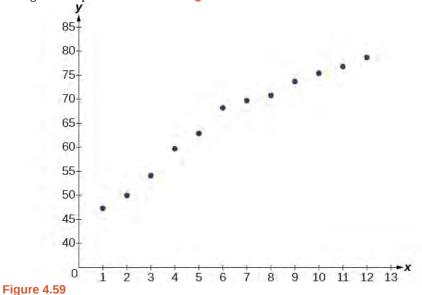
Year	1900	1910	1920	1930	1940	1950
Life Expectancy(Years)	47.3	50.0	54.1	59.7	62.9	68.2
Year	1960	1970	1980	1990	2000	2010
Life Expectancy(Years)	69.7	70.8	73.7	75.4	76.8	78.7

Table 4.21

- a. Let x represent time in decades starting with x = 1 for the year 1900, x = 2 for the year 1910, and so on. Let y represent the corresponding life expectancy. Use logarithmic regression to fit a model to these data.
- b. Use the model to predict the average American life expectancy for the year 2030.

Solution

a. Using the STAT then EDIT menu on a graphing utility, list the years using values 1–12 in L1 and the corresponding life expectancy in L2. Then use the STATPLOT feature to verify that the scatterplot follows a logarithmic pattern as shown in **Figure 4.59**:



Use the "LnReg" command from the STAT then CALC menu to obtain the logarithmic model,

$$y = 42.52722583 + 13.85752327\ln(x)$$

Next, graph the model in the same window as the scatterplot to verify it is a good fit as shown in **Figure 4.60**:

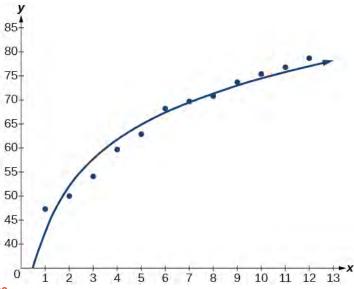


Figure 4.60

b. To predict the life expectancy of an American in the year 2030, substitute x = 14 for the in the model and solve for y:

```
y = 42.52722583 + 13.85752327 \ln(x) Use the regression model found in part (a).
= 42.52722583 + 13.85752327 \ln(14) Substitute 14 for x.
\approx 79.1 Round to the nearest tenth.
```

If life expectancy continues to increase at this pace, the average life expectancy of an American will be 79.1 by the year 2030.

Try It **4.73** Sales of a video game released in the year 2000 took off at first, but then steadily slowed as time moved on. **Table 4.22** shows the number of games sold, in thousands, from the years 2000–2010.

Year	2000	2001	2002	2003	2004	2005
Number Sold (thousands)	142	149	154	155	159	161
Year	2006	2007	2008	2009	2010	-
Number Sold (thousands)	163	164	164	166	167	-

Table 4.22

- a. Let x represent time in years starting with x = 1 for the year 2000. Let y represent the number of games sold in thousands. Use logarithmic regression to fit a model to these data.
- b. If games continue to sell at this rate, how many games will sell in 2015? Round to the nearest thousand.

Building a Logistic Model from Data

Like exponential and logarithmic growth, logistic growth increases over time. One of the most notable differences with logistic growth models is that, at a certain point, growth steadily slows and the function approaches an upper bound, or *limiting value*. Because of this, logistic regression is best for modeling phenomena where there are limits in expansion, such as availability of living space or nutrients.

It is worth pointing out that logistic functions actually model resource-limited exponential growth. There are many examples of this type of growth in real-world situations, including population growth and spread of disease, rumors, and even stains in fabric. When performing logistic regression analysis, we use the form most commonly used on graphing utilities:

$$y = \frac{c}{1 + ae^{-bx}}$$

Recall that:

- $\frac{c}{1+a}$ is the initial value of the model.
- when b > 0, the model increases rapidly at first until it reaches its point of maximum growth rate, $\left(\frac{\ln(a)}{b}, \frac{c}{2}\right)$. At that point, growth steadily slows and the function becomes asymptotic to the upper bound y = c.
- *c* is the limiting value, sometimes called the *carrying capacity*, of the model.

Logistic Regression

Logistic regression is used to model situations where growth accelerates rapidly at first and then steadily slows to an upper limit. We use the command "Logistic" on a graphing utility to fit a logistic function to a set of data points. This returns an equation of the form

$$y = \frac{c}{1 + ae^{-bx}}$$

Note that

• The initial value of the model is $\frac{c}{1+a}$.

• Output values for the model grow closer and closer to y = c as time increases.



Given a set of data, perform logistic regression using a graphing utility.

- 1. Use the STAT then EDIT menu to enter given data.
 - a. Clear any existing data from the lists.
 - b. List the input values in the L1 column.
 - c. List the output values in the L2 column.
- 2. Graph and observe a scatter plot of the data using the STATPLOT feature.
 - a. Use ZOOM [9] to adjust axes to fit the data.
 - b. Verify the data follow a logistic pattern.
- 3. Find the equation that models the data.
 - a. Select "Logistic" from the STAT then CALC menu.
 - b. Use the values returned for a, b, and c to record the model, $y = \frac{c}{1 + ae^{-bx}}$.
- 4. Graph the model in the same window as the scatterplot to verify it is a good fit for the data.

Example 4.75

Using Logistic Regression to Fit a Model to Data

Mobile telephone service has increased rapidly in America since the mid 1990s. Today, almost all residents have cellular service. **Table 4.23** shows the percentage of Americans with cellular service between the years 1995 and 2012 ^[11].

Year	Americans with Cellular Service (%)	Year	Americans with Cellular Service (%)
1995	12.69	2004	62.852
1996	16.35	2005	68.63
1997	20.29	2006	76.64
1998	25.08	2007	82.47
1999	30.81	2008	85.68
2000	38.75	2009	89.14
2001	45.00	2010	91.86
2002	49.16	2011	95.28
2003	55.15	2012	98.17

Table 4.23

- a. Let x represent time in years starting with x = 0 for the year 1995. Let y represent the corresponding percentage of residents with cellular service. Use logistic regression to fit a model to these data.
- b. Use the model to calculate the percentage of Americans with cell service in the year 2013. Round to the nearest tenth of a percent.
- c. Discuss the value returned for the upper limit, *c*. What does this tell you about the model? What would the limiting value be if the model were exact?

Solution

a. Using the STAT then EDIT menu on a graphing utility, list the years using values 0–15 in L1 and the corresponding percentage in L2. Then use the STATPLOT feature to verify that the scatterplot follows a logistic pattern as shown in **Figure 4.61**:

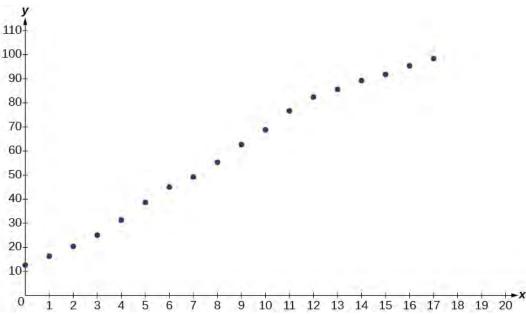


Figure 4.61

Use the "Logistic" command from the STAT then CALC menu to obtain the logistic model,

$$y = \frac{105.7379526}{1 + 6.88328979e^{-0.2595440013x}}$$

Next, graph the model in the same window as shown in **Figure 4.62** the scatterplot to verify it is a good fit:

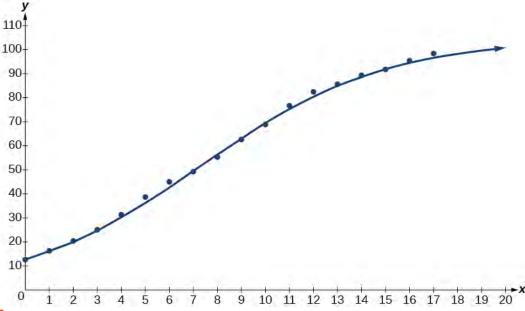


Figure 4.62

b. To approximate the percentage of Americans with cellular service in the year 2013, substitute x = 18 for the in the model and solve for y:

$$y = \frac{105.7379526}{1 + 6.88328979e^{-0.2595440013x}}$$
 Use the regression model found in part (a).

$$= \frac{105.7379526}{1 + 6.88328979e^{-0.2595440013(18)}}$$
 Substitute 18 for x.

$$\approx 99.3$$
 Round to the nearest tenth

According to the model, about 98.8% of Americans had cellular service in 2013.

c. The model gives a limiting value of about 105. This means that the maximum possible percentage of Americans with cellular service would be 105%, which is impossible. (How could over 100% of a population have cellular service?) If the model were exact, the limiting value would be c=100 and the model's outputs would get very close to, but never actually reach 100%. After all, there will always be someone out there without cellular service!



4.74 Table 4.24 shows the population, in thousands, of harbor seals in the Wadden Sea over the years 1997 to 2012.

Year	Seal Population (Thousands)	Year	Seal Population (Thousands)
1997	3.493	2005	19.590
1998	5.282	2006	21.955
1999	6.357	2007	22.862
2000	9.201	2008	23.869
2001	11.224	2009	24.243
2002	12.964	2010	24.344
2003	16.226	2011	24.919
2004	18.137	2012	25.108

Table 4.24

- a. Let x represent time in years starting with x = 0 for the year 1997. Let y represent the number of seals in thousands. Use logistic regression to fit a model to these data.
- b. Use the model to predict the seal population for the year 2020.
- c. To the nearest whole number, what is the limiting value of this model?



Access this online resource for additional instruction and practice with exponential function models.

• Exponential Regression on a Calculator (http://openstaxcollege.org/l/pregresscalc)

Visit this website (http://openstaxcollege.org/l/PreCalcLPC04) for additional practice questions from Learningpod.

4.8 EXERCISES

Verbal

- 426. What situations are best modeled by a logistic equation? Give an example, and state a case for why the example is a good fit.
- 427. What is a carrying capacity? What kind of model has a carrying capacity built into its formula? Why does this make sense?
- 428. What is regression analysis? Describe the process of performing regression analysis on a graphing utility.
- 429. What might a scatterplot of data points look like if it were best described by a logarithmic model?
- 430. What does the *y*-intercept on the graph of a logistic equation correspond to for a population modeled by that equation?

Graphical

For the following exercises, match the given function of best fit with the appropriate scatterplot in **Figure 4.63** through **Figure 4.67.** Answer using the letter beneath the matching graph.

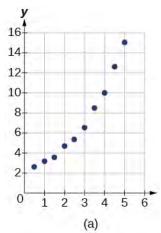


Figure 4.63

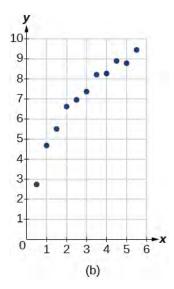


Figure 4.64

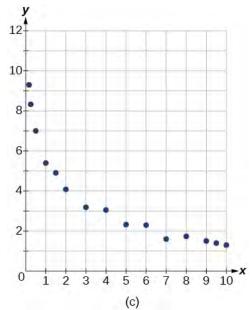


Figure 4.65

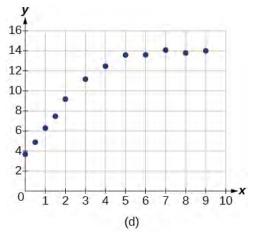


Figure 4.66

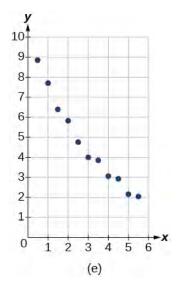


Figure 4.67

431.
$$y = 10.209e^{-0.294x}$$

432.
$$y = 5.598 - 1.912\ln(x)$$

433.
$$y = 2.104(1.479)^x$$

434.
$$y = 4.607 + 2.733\ln(x)$$

435.
$$y = \frac{14.005}{1 + 2.79e^{-0.812x}}$$

Numeric

436. To the nearest whole number, what is the initial value of a population modeled by the logistic equation $P(t) = \frac{175}{1 + 6.995e^{-0.68t}}$? What is the carrying capacity?

437.

Rewrite the exponential model $A(t) = 1550(1.085)^x$ as an equivalent model with base e. Express the exponent to four significant digits.

438. A logarithmic model is given by the equation $h(p) = 67.682 - 5.792\ln(p)$. To the nearest hundredth, for what value of p does h(p) = 62?

439. A logistic model is given by the equation $P(t) = \frac{90}{1 + 5e^{-0.42t}}$. To the nearest hundredth, for what value of t does P(t) = 45?

440. What is the *y*-intercept on the graph of the logistic model given in the previous exercise?

Technology

For the following exercises, use this scenario: The population *P* of a koi pond over *x* months is modeled by the function $P(x) = \frac{68}{1 + 16e^{-0.28x}}$.

- 441. Graph the population model to show the population over a span of 3 years.
- 442. What was the initial population of koi?
- 443. How many koi will the pond have after one and a half years?
- 444. How many months will it take before there are 20 koi in the pond?
- 445. Use the intersect feature to approximate the number of months it will take before the population of the pond reaches half its carrying capacity.

For the following exercises, use this scenario: The population P of an endangered species habitat for wolves is modeled by the function $P(x) = \frac{558}{1 + 54.8e^{-0.462x}}$, where x is given in years.

- 446. Graph the population model to show the population over a span of 10 years.
- 447. What was the initial population of wolves transported to the habitat?
- 448. How many wolves will the habitat have after 3 years?
- 449. How many years will it take before there are 100 wolves in the habitat?

450.

Use the intersect feature to approximate the number of years it will take before the population of the habitat reaches half its carrying capacity.

For the following exercises, refer to **Table 4.25**.

x	f(x)
1	1125
2	1495
3	2310
4	3294
5	4650
6	6361

Table 4.25

- 451. Use a graphing calculator to create a scatter diagram of the data.
- 452. Use the regression feature to find an exponential function that best fits the data in the table.
- 453. Write the exponential function as an exponential equation with base e.
- 454. Graph the exponential equation on the scatter diagram.
- 455. Use the intersect feature to find the value of x for which f(x) = 4000.

For the following exercises, refer to **Table 4.26**.

x	f(x)
1	555
2	383
3	307
4	210
5	158
6	122

Table 4.26

- 456. Use a graphing calculator to create a scatter diagram of the data.
- 457. Use the regression feature to find an exponential function that best fits the data in the table.
- 458. Write the exponential function as an exponential equation with base e.
- 459. Graph the exponential equation on the scatter diagram.
- 460. Use the intersect feature to find the value of x for which f(x) = 250.

For the following exercises, refer to **Table 4.27**.

х	f(x)
1	5.1
2	6.3
3	7.3
4	7.7
5	8.1
6	8.6

Table 4.27

- 461. Use a graphing calculator to create a scatter diagram of the data.
- 462. Use the LOGarithm option of the REGression feature to find a logarithmic function of the form $y = a + b \ln(x)$ that best fits the data in the table.
- 463. Use the logarithmic function to find the value of the function when x = 10.
- 464. Graph the logarithmic equation on the scatter diagram.
- 465. Use the intersect feature to find the value of x for which f(x) = 7.

For the following exercises, refer to **Table 4.28**.

x	f(x)
1	7.5
2	6
3	5.2
4	4.3
5	3.9
6	3.4
7	3.1
8	2.9

Table 4.28

- 466. Use a graphing calculator to create a scatter diagram of the data.
- 467. Use the LOGarithm option of the REGression feature to find a logarithmic function of the form $y = a + b \ln(x)$ that best fits the data in the table.
- 468. Use the logarithmic function to find the value of the function when x = 10.
- 469. Graph the logarithmic equation on the scatter diagram.
- 470. Use the intersect feature to find the value of x for which f(x) = 8.

For the following exercises, refer to **Table 4.29**.

x	f(x)
1	8.7
2	12.3
3	15.4
4	18.5
5	20.7
6	22.5
7	23.3
8	24
9	24.6
10	24.8

Table 4.29

- 471. Use a graphing calculator to create a scatter diagram of the data.
- 472. Use the LOGISTIC regression option to find a logistic growth model of the form $y = \frac{c}{1 + ae^{-bx}}$ that best

fits the data in the table.

- 473. Graph the logistic equation on the scatter diagram.
- 474. To the nearest whole number, what is the predicted carrying capacity of the model?
- 475. Use the intersect feature to find the value of x for which the model reaches half its carrying capacity.

For the following exercises, refer to **Table 4.30**.

х	f(x)
0	12
2	28.6
4	52.8
5	70.3
7	99.9
8	112.5
10	125.8
11	127.9
15	135.1
17	135.9

Table 4.30

- 476. Use a graphing calculator to create a scatter diagram of the data.
- 477. Use the LOGISTIC regression option to find a logistic growth model of the form $y = \frac{c}{1 + ae^{-bx}}$ that best

fits the data in the table.

- 478. Graph the logistic equation on the scatter diagram.
- 479. To the nearest whole number, what is the predicted carrying capacity of the model?
- 480. Use the intersect feature to find the value of x for which the model reaches half its carrying capacity.

Extensions

- 481. Recall that the general form of a logistic equation for a population is given by $P(t) = \frac{c}{1 + ae^{-bt}}$, such that the
- initial population at time t = 0 is $P(0) = P_0$. Show

algebraically that
$$\frac{c - P(t)}{P(t)} = \frac{c - P_0}{P_0} e^{-bt}$$
.

482. Use a graphing utility to find an exponential regression formula f(x) and a logarithmic regression formula g(x) for the points (1.5, 1.5) and (8.5, 8.5). Round all numbers to 6 decimal places. Graph the points and both formulas along with the line y = x on the same axis. Make a conjecture about the relationship of the regression formulas.

483. Verify the conjecture made in the previous exercise. Round all numbers to six decimal places when necessary.

484. Find the inverse function $f^{-1}(x)$ for the logistic function $f(x) = \frac{c}{1 + ae^{-bx}}$. Show all steps.

485. Use the result from the previous exercise to graph the logistic model $P(t) = \frac{20}{1 + 4e^{-0.5t}}$ along with its inverse

on the same axis. What are the intercepts and asymptotes of each function?

CHAPTER 4 REVIEW

KEY TERMS

annual percentage rate (APR) the yearly interest rate earned by an investment account, also called *nominal rate* **carrying capacity** in a logistic model, the limiting value of the output

change-of-base formula a formula for converting a logarithm with any base to a quotient of logarithms with any other base.

common logarithm the exponent to which 10 must be raised to get x; $\log_{10}(x)$ is written simply as $\log(x)$.

compound interest interest earned on the total balance, not just the principal

doubling time the time it takes for a quantity to double

exponential growth a model that grows by a rate proportional to the amount present

extraneous solution a solution introduced while solving an equation that does not satisfy the conditions of the original equation

half-life the length of time it takes for a substance to exponentially decay to half of its original quantity

logarithm the exponent to which b must be raised to get x; written $y = \log_b(x)$

logistic growth model a function of the form $f(x) = \frac{c}{1 + ae^{-bx}}$ where $\frac{c}{1 + a}$ is the initial value, c is the carrying capacity, or limiting value, and b is a constant determined by the rate of growth

natural logarithm the exponent to which the number e must be raised to get x; $\log_e(x)$ is written as $\ln(x)$.

Newton's Law of Cooling the scientific formula for temperature as a function of time as an object's temperature is equalized with the ambient temperature

nominal rate the yearly interest rate earned by an investment account, also called *annual percentage rate*

order of magnitude the power of ten, when a number is expressed in scientific notation, with one non-zero digit to the left of the decimal

power rule for logarithms a rule of logarithms that states that the log of a power is equal to the product of the exponent and the log of its base

product rule for logarithms a rule of logarithms that states that the log of a product is equal to a sum of logarithms

quotient rule for logarithms a rule of logarithms that states that the log of a quotient is equal to a difference of logarithms

KEY EQUATIONS

definition of the exponential function

 $f(x) = b^x$, where b > 0, $b \ne 1$

definition of exponential growth

 $f(x) = ab^x$, where a > 0, b > 0, $b \ne 1$

 $A(t) = P(1 + \frac{r}{n})^{nt}$, where

t is the number of years

A(t) is the account value at time t

compound interest formula

P is the initial investment, often called the principal r is the annual percentage rate (APR), or nominal rate n is the number of compounding periods in one year

 $A(t) = ae^{rt}$, where

t is the number of unit time periods of growth

continuous growth formula a is the starting amount (in the continuous compounding formula a is

replaced with P, the principal)

e is the mathematical constant, $e \approx 2.718282$

General Form for the Translation of the Parent Function $f(x) = b^x$ $f(x) = ab^{x+c} + d$

Definition of the logarithmic function

For x > 0, b > 0, $b \ne 1$, $y = \log_b(x)$ if and only if $b^y = x$.

Definition of the common logarithm

For x > 0, $y = \log(x)$ if and only if $10^y = x$.

Definition of the natural logarithm

For x > 0, $y = \ln(x)$ if and only if $e^y = x$.

General Form for the Translation of the Parent Logarithmic Function $f(x) = \log_b(x)$

 $f(x) = a\log_b(x+c) + d$

The Product Rule for Logarithms

 $\log_b(MN) = \log_b(M) + \log_b(N)$

The Quotient Rule for Logarithms

 $\log_b\left(\frac{M}{N}\right) = \log_b M - \log_b N$

The Power Rule for Logarithms

 $\log_b(M^n) = n\log_b M$

The Change-of-Base Formula

 $\log_b M = \frac{\log_n M}{\log_n b} \qquad n > 0, \ n \neq 1, \ b \neq 1$

One-to-one property for exponential functions

For any algebraic expressions S and T and any positive real number b, where

 $b^S = b^T$ if and only if S = T.

Definition of a logarithm

For any algebraic expression S and positive real numbers b and

c, where $b \neq 1$,

 $\log_b(S) = c$ if and only if $b^c = S$.

One-to-one property for logarithmic functions

For any algebraic expressions \boldsymbol{S} and \boldsymbol{T} and any positive real

number b, where $b \neq 1$,

 $\log_b S = \log_b T$ if and only if S = T.

Half-life formula

If $A = A_0 e^{kt}$, k < 0, the half-life is $t = -\frac{\ln(2)}{k}$.

 $t = \frac{\ln\left(\frac{A}{A_0}\right)}{-0.000121}$

Carbon-14 dating

 A_0 A is the amount of carbon-14 when the plant or animal died

t is the amount of carbon-14 remaining today

is the age of the fossil in years

Doubling time formula

If $A = A_0 e^{kt}$, k > 0, the doubling time is $t = \frac{\ln 2}{k}$

Newton's Law of Cooling

 $T(t) = Ae^{kt} + T_s$, where T_s is the ambient temperature, $A = T(0) - T_s$, and k is

the continuous rate of cooling.

KEY CONCEPTS

4.1 Exponential Functions

- An exponential function is defined as a function with a positive constant other than 1 raised to a variable exponent. See **Example 4.1**.
- A function is evaluated by solving at a specific value. See Example 4.2 and Example 4.3.
- An exponential model can be found when the growth rate and initial value are known. See **Example 4.4**.
- An exponential model can be found when the two data points from the model are known. See **Example 4.5**.
- An exponential model can be found using two data points from the graph of the model. See Example 4.6.
- An exponential model can be found using two data points from the graph and a calculator. See **Example 4.7**.
- The value of an account at any time *t* can be calculated using the compound interest formula when the principal, annual interest rate, and compounding periods are known. See **Example 4.8**.
- The initial investment of an account can be found using the compound interest formula when the value of the account, annual interest rate, compounding periods, and life span of the account are known. See **Example 4.9**.

- The number e is a mathematical constant often used as the base of real world exponential growth and decay models. Its decimal approximation is $e \approx 2.718282$.
- Scientific and graphing calculators have the key $[e^x]$ or $[\exp(x)]$ for calculating powers of e. See **Example 4.10.**
- Continuous growth or decay models are exponential models that use *e* as the base. Continuous growth and decay models can be found when the initial value and growth or decay rate are known. See Example 4.11 and Example 4.12.

4.2 Graphs of Exponential Functions

- The graph of the function $f(x) = b^x$ has a *y*-intercept at (0, 1), domain $(-\infty, \infty)$, range $(0, \infty)$, and horizontal asymptote y = 0. See **Example 4.13**.
- If b > 1, the function is increasing. The left tail of the graph will approach the asymptote y = 0, and the right tail will increase without bound.
- If 0 < b < 1, the function is decreasing. The left tail of the graph will increase without bound, and the right tail will approach the asymptote y = 0.
- The equation $f(x) = b^x + d$ represents a vertical shift of the parent function $f(x) = b^x$.
- The equation $f(x) = b^{x+c}$ represents a horizontal shift of the parent function $f(x) = b^x$. See **Example 4.14**.
- Approximate solutions of the equation $f(x) = b^{x+c} + d$ can be found using a graphing calculator. See **Example 4.15**.
- The equation $f(x) = ab^x$, where a > 0, represents a vertical stretch if |a| > 1 or compression if 0 < |a| < 1 of the parent function $f(x) = b^x$. See **Example 4.16**.
- When the parent function $f(x) = b^x$ is multiplied by -1, the result, $f(x) = -b^x$, is a reflection about the *x*-axis. When the input is multiplied by -1, the result, $f(x) = b^{-x}$, is a reflection about the *y*-axis. See **Example 4.17**.
- All translations of the exponential function can be summarized by the general equation $f(x) = ab^{x+c} + d$. See **Table 4.9**.
- Using the general equation $f(x) = ab^{x+c} + d$, we can write the equation of a function given its description. See **Example 4.18**.

4.3 Logarithmic Functions

- The inverse of an exponential function is a logarithmic function, and the inverse of a logarithmic function is an exponential function.
- Logarithmic equations can be written in an equivalent exponential form, using the definition of a logarithm. See
 Example 4.19.
- Exponential equations can be written in their equivalent logarithmic form using the definition of a logarithm See **Example 4.20**.
- Logarithmic functions with base *b* can be evaluated mentally using previous knowledge of powers of *b*. See **Example 4.21** and **Example 4.22**.
- Common logarithms can be evaluated mentally using previous knowledge of powers of 10. See Example 4.23.
- When common logarithms cannot be evaluated mentally, a calculator can be used. See Example 4.24.
- Real-world exponential problems with base 10 can be rewritten as a common logarithm and then evaluated using a calculator. See **Example 4.25.**

• Natural logarithms can be evaluated using a calculator **Example 4.26.**

4.4 Graphs of Logarithmic Functions

- To find the domain of a logarithmic function, set up an inequality showing the argument greater than zero, and solve for x. See Example 4.27 and Example 4.28
- The graph of the parent function $f(x) = \log_b(x)$ has an x-intercept at (1, 0), domain $(0, \infty)$, range $(-\infty, \infty)$, vertical asymptote x = 0, and
 - if b > 1, the function is increasing.
 - if 0 < b < 1, the function is decreasing.

See Example 4.29.

- The equation $f(x) = \log_h(x + c)$ shifts the parent function $y = \log_h(x)$ horizontally
 - left c units if c > 0.
 - right c units if c < 0.

See Example 4.30.

- The equation $f(x) = \log_h(x) + d$ shifts the parent function $y = \log_h(x)$ vertically
 - up d units if d > 0.
 - down d units if d < 0.

See Example 4.31.

- For any constant a > 0, the equation $f(x) = a \log_b(x)$
 - stretches the parent function $y = \log_b(x)$ vertically by a factor of a if |a| > 1.
 - ∘ compresses the parent function $y = \log_b(x)$ vertically by a factor of a if |a| < 1.

See Example 4.32 and Example 4.33.

- When the parent function $y = \log_b(x)$ is multiplied by -1, the result is a reflection about the *x*-axis. When the input is multiplied by -1, the result is a reflection about the *y*-axis.
 - The equation $f(x) = -\log_b(x)$ represents a reflection of the parent function about the *x*-axis.
 - The equation $f(x) = \log_b(-x)$ represents a reflection of the parent function about the *y*-axis.

See Example 4.34.

- A graphing calculator may be used to approximate solutions to some logarithmic equations See Example
 4.35.
- All translations of the logarithmic function can be summarized by the general equation $f(x) = a\log_h(x+c) + d$. See **Table 4.15**.
- Given an equation with the general form $f(x) = a\log_b(x+c) + d$, we can identify the vertical asymptote x = -c for the transformation. See **Example 4.36**.
- Using the general equation $f(x) = a\log_b(x+c) + d$, we can write the equation of a logarithmic function given its graph. See **Example 4.37**.

4.5 Logarithmic Properties

- We can use the product rule of logarithms to rewrite the log of a product as a sum of logarithms. See Example 4.38.
- We can use the quotient rule of logarithms to rewrite the log of a quotient as a difference of logarithms. See **Example 4.39**.
- We can use the power rule for logarithms to rewrite the log of a power as the product of the exponent and the log of its base. See **Example 4.40**, **Example 4.41**, and **Example 4.42**.
- We can use the product rule, the quotient rule, and the power rule together to combine or expand a logarithm with a complex input. See **Example 4.43**, **Example 4.44**, and **Example 4.45**.
- The rules of logarithms can also be used to condense sums, differences, and products with the same base as a single logarithm. See Example 4.46, Example 4.47, Example 4.48, and Example 4.49.
- We can convert a logarithm with any base to a quotient of logarithms with any other base using the change-of-base formula. See Example 4.50.
- The change-of-base formula is often used to rewrite a logarithm with a base other than 10 and e as the quotient of natural or common logs. That way a calculator can be used to evaluate. See **Example 4.51**.

4.6 Exponential and Logarithmic Equations

- We can solve many exponential equations by using the rules of exponents to rewrite each side as a power with the same base. Then we use the fact that exponential functions are one-to-one to set the exponents equal to one another and solve for the unknown.
- When we are given an exponential equation where the bases are explicitly shown as being equal, set the exponents equal to one another and solve for the unknown. See **Example 4.52**.
- When we are given an exponential equation where the bases are *not* explicitly shown as being equal, rewrite each side of the equation as powers of the same base, then set the exponents equal to one another and solve for the unknown. See **Example 4.53**, **Example 4.54**, and **Example 4.55**.
- When an exponential equation cannot be rewritten with a common base, solve by taking the logarithm of each side.
 See Example 4.56.
- We can solve exponential equations with base *e*, by applying the natural logarithm of both sides because exponential and logarithmic functions are inverses of each other. See **Example 4.57** and **Example 4.58**.
- After solving an exponential equation, check each solution in the original equation to find and eliminate any
 extraneous solutions. See Example 4.59.
- When given an equation of the form $\log_b(S) = c$, where S is an algebraic expression, we can use the definition of a logarithm to rewrite the equation as the equivalent exponential equation $b^c = S$, and solve for the unknown. See **Example 4.60** and **Example 4.61**.
- We can also use graphing to solve equations with the form log_b(S) = c. We graph both equations y = log_b(S) and y = c on the same coordinate plane and identify the solution as the x-value of the intersecting point. See Example 4.62.
- When given an equation of the form $\log_b S = \log_b T$, where S and T are algebraic expressions, we can use the one-to-one property of logarithms to solve the equation S = T for the unknown. See **Example 4.63**.
- Combining the skills learned in this and previous sections, we can solve equations that model real world situations, whether the unknown is in an exponent or in the argument of a logarithm. See **Example 4.64**.

4.7 Exponential and Logarithmic Models

- The basic exponential function is $f(x) = ab^x$. If b > 1, we have exponential growth; if 0 < b < 1, we have exponential decay.
- We can also write this formula in terms of continuous growth as $A = A_0 e^{kx}$, where A_0 is the starting value. If A_0 is positive, then we have exponential growth when k > 0 and exponential decay when k < 0. See **Example** 4.65.
- In general, we solve problems involving exponential growth or decay in two steps. First, we set up a model and use the model to find the parameters. Then we use the formula with these parameters to predict growth and decay. See **Example 4.66**.
- We can find the age, t, of an organic artifact by measuring the amount, k, of carbon-14 remaining in the artifact and using the formula $t = \frac{\ln(k)}{-0.000121}$ to solve for t. See **Example 4.67**.
- Given a substance's doubling time or half-time, we can find a function that represents its exponential growth or decay. See Example 4.68.
- We can use Newton's Law of Cooling to find how long it will take for a cooling object to reach a desired temperature, or to find what temperature an object will be after a given time. See **Example 4.69**.
- We can use logistic growth functions to model real-world situations where the rate of growth changes over time, such as population growth, spread of disease, and spread of rumors. See **Example 4.70**.
- We can use real-world data gathered over time to observe trends. Knowledge of linear, exponential, logarithmic, and logistic graphs help us to develop models that best fit our data. See **Example 4.71**.
- Any exponential function with the form $y = ab^x$ can be rewritten as an equivalent exponential function with the form $y = A_0 e^{kx}$ where $k = \ln b$. See **Example 4.72**.

4.8 Fitting Exponential Models to Data

- Exponential regression is used to model situations where growth begins slowly and then accelerates rapidly without bound, or where decay begins rapidly and then slows down to get closer and closer to zero.
- We use the command "ExpReg" on a graphing utility to fit function of the form $y = ab^x$ to a set of data points. See **Example 4.73**.
- Logarithmic regression is used to model situations where growth or decay accelerates rapidly at first and then slows
 over time.
- We use the command "LnReg" on a graphing utility to fit a function of the form $y = a + b \ln(x)$ to a set of data points. See **Example 4.74**.
- Logistic regression is used to model situations where growth accelerates rapidly at first and then steadily slows as the function approaches an upper limit.
- We use the command "Logistic" on a graphing utility to fit a function of the form $y = \frac{c}{1 + ae^{-bx}}$ to a set of data points. See **Example 4.75**.

CHAPTER 4 REVIEW EXERCISES

Exponential Functions

486. Determine whether the function $y = 156(0.825)^t$ represents exponential growth, exponential decay, or neither. Explain

487. The population of a herd of deer is represented by the function $A(t) = 205(1.13)^t$, where t is given in years. To the nearest whole number, what will the herd population be after 6 years?

488. Find an exponential equation that passes through the points (2, 2.25) and (5, 60.75).

489. Determine whether **Table 4.31** could represent a function that is linear, exponential, or neither. If it appears to be exponential, find a function that passes through the points.

х	1	2	3	4
f(x)	3	0.9	0.27	0.081

Table 4.31

490. A retirement account is opened with an initial deposit of \$8,500 and earns 8.12% interest compounded monthly. What will the account be worth in 20 years?

491. Hsu-Mei wants to save \$5,000 for a down payment on a car. To the nearest dollar, how much will she need to invest in an account now with 7.5% APR, compounded daily, in order to reach her goal in 3 years?

492. Does the equation $y = 2.294e^{-0.654t}$ represent continuous growth, continuous decay, or neither? Explain.

493. Suppose an investment account is opened with an initial deposit of \$10,500 earning 6.25% interest, compounded continuously. How much will the account be worth after 25 years?

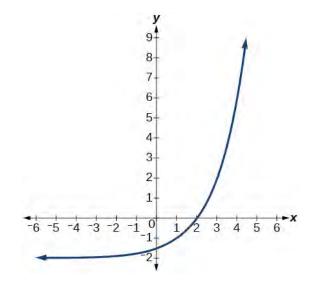
Graphs of Exponential Functions

494. Graph the function $f(x) = 3.5(2)^x$. State the domain and range and give the *y*-intercept.

495. Graph the function $f(x) = 4\left(\frac{1}{8}\right)^x$ and its reflection about the *y*-axis on the same axes, and give the *y*-intercept.

496. The graph of $f(x) = 6.5^x$ is reflected about the *y*-axis and stretched vertically by a factor of 7. What is the equation of the new function, g(x)? State its *y*-intercept, domain, and range.

497. The graph below shows transformations of the graph of $f(x) = 2^x$. What is the equation for the transformation?



Logarithmic Functions

498. Rewrite $\log_{17}(4913) = x$ as an equivalent exponential equation.

499. Rewrite ln(s) = t as an equivalent exponential equation.

500. Rewrite $a^{-\frac{2}{5}} = b$ as an equivalent logarithmic equation.

501. Rewrite $e^{-3.5} = h$ as an equivalent logarithmic equation.

502. Solve for $x \log_{64}(x) = \frac{1}{3}$ to exponential form.

503. Evaluate $\log_5\left(\frac{1}{125}\right)$ without using a calculator.

504. Evaluate log(0.000001) without using a calculator.

505. Evaluate log(4.005) using a calculator. Round to the nearest thousandth.

506. Evaluate $\ln(e^{-0.8648})$ without using a calculator.

507. Evaluate $\ln(\sqrt[3]{18})$ using a calculator. Round to the nearest thousandth.

Graphs of Logarithmic Functions

508. Graph the function $g(x) = \log(7x + 21) - 4$.

- **509.** Graph the function $h(x) = 2\ln(9 3x) + 1$.
- **510.** State the domain, vertical asymptote, and end behavior of the function $g(x) = \ln(4x + 20) 17$.

Logarithmic Properties

- **511.** Rewrite $ln(7r \cdot 11st)$ in expanded form.
- **512.** Rewrite $\log_8(x) + \log_8(5) + \log_8(y) + \log_8(13)$ in compact form.
- **513.** Rewrite $\log_m\left(\frac{67}{83}\right)$ in expanded form.
- **514.** Rewrite ln(z) ln(x) ln(y) in compact form.
- **515.** Rewrite $\ln\left(\frac{1}{x^5}\right)$ as a product.
- **516.** Rewrite $-\log_y(\frac{1}{12})$ as a single logarithm.
- **517.** Use properties of logarithms to expand $\log \left(\frac{r^2 s^{11}}{t^{14}} \right)$.
- **518.** Use properties of logarithms to expand $\ln\left(2b\sqrt{\frac{b+1}{b-1}}\right)$.
- **519.** Condense the expression $5\ln(b) + \ln(c) + \frac{\ln(4-a)}{2}$ to a single logarithm.
- **520.** Condense the expression $3\log_7 v + 6\log_7 w \frac{\log_7 u}{3}$ to a single logarithm.
- **521.** Rewrite $\log_3(12.75)$ to base *e*.
- **522.** Rewrite $5^{12x-17} = 125$ as a logarithm. Then apply the change of base formula to solve for *x* using the common log. Round to the nearest thousandth.

Exponential and Logarithmic Equations

523. Solve $216^{3x} \cdot 216^x = 36^{3x+2}$ by rewriting each side with a common base.

524. Solve $\frac{125}{\left(\frac{1}{625}\right)^{-x-3}} = 5^3$ by rewriting each side with

a common base.

- **525.** Use logarithms to find the exact solution for $7 \cdot 17^{-9x} 7 = 49$. If there is no solution, write *no* solution.
- **526.** Use logarithms to find the exact solution for $3e^{6n-2} + 1 = -60$. If there is no solution, write *no solution*.
- **527.** Find the exact solution for $5e^{3x} 4 = 6$. If there is no solution, write *no solution*.
- **528.** Find the exact solution for $2e^{5x-2} 9 = -56$. If there is no solution, write *no solution*.
- **529.** Find the exact solution for $5^{2x-3} = 7^{x+1}$. If there is no solution, write *no solution*.
- **530.** Find the exact solution for $e^{2x} e^x 110 = 0$. If there is no solution, write *no solution*.
- **531.** Use the definition of a logarithm to solve. $-5\log_7(10n) = 5$.
- **532.** 47. Use the definition of a logarithm to find the exact solution for $9 + 6\ln(a + 3) = 33$.
- **533.** Use the one-to-one property of logarithms to find an exact solution for $\log_8(7) + \log_8(-4x) = \log_8(5)$. If there is no solution, write *no solution*.
- **534.** Use the one-to-one property of logarithms to find an exact solution for $ln(5) + ln(5x^2 5) = ln(56)$. If there is no solution, write *no solution*.
- **535.** The formula for measuring sound intensity in decibels *D* is defined by the equation $D = 10\log(\frac{I}{I_0})$, where *I* is the intensity of the sound in watts per square mater and $I_0 = 10^{-12}$ is the lowest level of sound that the

meter and $I_0 = 10^{-12}$ is the lowest level of sound that the average person can hear. How many decibels are emitted from a large orchestra with a sound intensity of $6.3 \cdot 10^{-3}$ watts per square meter?

536. The population of a city is modeled by the equation P(t) = 256, $114e^{0.25t}$ where t is measured in years. If the city continues to grow at this rate, how many years will it take for the population to reach one million?

537. Find the inverse function f^{-1} for the exponential function $f(x) = 2 \cdot e^{x+1} - 5$.

538. Find the inverse function f^{-1} for the logarithmic function $f(x) = 0.25 \cdot \log_2(x^3 + 1)$.

Exponential and Logarithmic Models

For the following exercises, use this scenario: A doctor prescribes 300 milligrams of a therapeutic drug that decays by about 17% each hour.

539. To the nearest minute, what is the half-life of the drug?

540. Write an exponential model representing the amount of the drug remaining in the patient's system after t hours. Then use the formula to find the amount of the drug that would remain in the patient's system after 24 hours. Round to the nearest hundredth of a gram.

For the following exercises, use this scenario: A soup with an internal temperature of 350° Fahrenheit was taken off the stove to cool in a 71°F room. After fifteen minutes, the internal temperature of the soup was 175°F.

541. Use Newton's Law of Cooling to write a formula that models this situation.

542. How many minutes will it take the soup to cool to $85^{\circ}F$?

For the following exercises, use this scenario: The equation $N(t) = \frac{1200}{1 + 199e^{-0.625t}}$ models the number of people in

a school who have heard a rumor after t days.

543. How many people started the rumor?

544. To the nearest tenth, how many days will it be before the rumor spreads to half the carrying capacity?

545. What is the carrying capacity?

For the following exercises, enter the data from each table into a graphing calculator and graph the resulting scatter plots. Determine whether the data from the table would likely represent a function that is linear, exponential, or logarithmic.

546.

х	f(x)
1	3.05
2	4.42
3	6.4
4	9.28
5	13.46
6	19.52
7	28.3
8	41.04
9	59.5
10	86.28

547.

x	f(x)
0.5	18.05
1	17
3	15.33
5	14.55
7	14.04
10	13.5
12	13.22
13	13.1
15	12.88
17	12.69
20	12.45

model. Then use the appropriate regression feature to find an equation that models the data. When necessary, round values to five decimal places.

551.

x	f(x)
1	409.4
2	260.7
3	170.4
4	110.6
5	74
6	44.7
7	32.4
8	19.5
9	12.7
10	8.1

548. Find a formula for an exponential equation that goes through the points (-2, 100) and (0, 4). Then express the formula as an equivalent equation with base e.

Fitting Exponential Models to Data

for the model?

549. What is the carrying capacity for a population modeled by the logistic equation $P(t) = \frac{250,000}{1 + 499e^{-0.45t}}$? What is the initial population

550. The population of a culture of bacteria is modeled by the logistic equation $P(t) = \frac{14,250}{1+29e^{-0.62t}}$, where t

is in days. To the nearest tenth, how many days will it take the culture to reach 75% of its carrying capacity?

For the following exercises, use a graphing utility to create a scatter diagram of the data given in the table. Observe the shape of the scatter diagram to determine whether the data is best described by an exponential, logarithmic, or logistic 552.

x	f(x)
0.15	36.21
0.25	28.88
0.5	24.39
0.75	18.28
1	16.5
1.5	12.99
2	9.91
2.25	8.57
2.75	7.23
3	5.99
3.5	4.81

х	f(x)
0	9
2	22.6
4	44.2
5	62.1
7	96.9
8	113.4
10	133.4
11	137.6
15	148.4
17	149.3

553.

CHAPTER 4 PRACTICE TEST

554. The population of a pod of bottlenose dolphins is modeled by the function $A(t) = 8(1.17)^t$, where t is given in years. To the nearest whole number, what will the pod population be after 3 years?

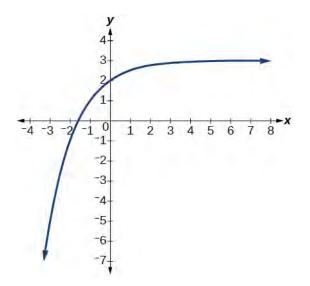
555. Find an exponential equation that passes through the points (0, 4) and (2, 9).

556. Drew wants to save \$2,500 to go to the next World Cup. To the nearest dollar, how much will he need to invest in an account now with 6.25% APR, compounding daily, in order to reach his goal in 4 years?

557. An investment account was opened with an initial deposit of \$9,600 and earns 7.4% interest, compounded continuously. How much will the account be worth after 15 years?

558. Graph the function $f(x) = 5(0.5)^{-x}$ and its reflection across the *y*-axis on the same axes, and give the *y*-intercept.

559. The graph shows transformations of the graph of $f(x) = \left(\frac{1}{2}\right)^x$. What is the equation for the transformation?



560. Rewrite $\log_{8.5}(614.125) = a$ as an equivalent exponential equation.

561. Rewrite $e^{\frac{1}{2}} = m$ as an equivalent logarithmic equation.

562. Solve for *x* by converting the logarithmic equation $log_{\frac{1}{7}}(x) = 2$ to exponential form.

563. Evaluate log(10,000,000) without using a calculator.

564. Evaluate ln(0.716) using a calculator. Round to the nearest thousandth.

565. Graph the function $g(x) = \log(12 - 6x) + 3$.

566. State the domain, vertical asymptote, and end behavior of the function $f(x) = \log_5(39 - 13x) + 7$.

567. Rewrite $\log(17a \cdot 2b)$ as a sum.

568. Rewrite $\log_t(96) - \log_t(8)$ in compact form.

569. Rewrite $\log_8\left(a^{\frac{1}{b}}\right)$ as a product.

570. Use properties of logarithm to expand $\ln(y^3z^2\cdot\sqrt[3]{x-4})$.

571. Condense the expression $4\ln(c) + \ln(d) + \frac{\ln(a)}{3} + \frac{\ln(b+3)}{3}$ to a single logarithm.

572. Rewrite $16^{3x-5} = 1000$ as a logarithm. Then apply the change of base formula to solve for x using the natural log. Round to the nearest thousandth.

573. Solve $\left(\frac{1}{81}\right)^x \cdot \frac{1}{243} = \left(\frac{1}{9}\right)^{-3x-1}$ by rewriting each side with a common base.

574. Use logarithms to find the exact solution for $-9e^{10a-8}-5=-41$. If there is no solution, write *no solution*.

575. Find the exact solution for $10e^{4x+2} + 5 = 56$. If there is no solution, write *no solution*.

576. Find the exact solution for $-5e^{-4x-1} - 4 = 64$. If there is no solution, write *no solution*.

577. Find the exact solution for $2^{x-3} = 6^{2x-1}$. If there is no solution, write *no solution*.

578. Find the exact solution for $e^{2x} - e^x - 72 = 0$. If there is no solution, write *no solution*.

579. Use the definition of a logarithm to find the exact solution for $4\log(2n) - 7 = -11$

580. Use the one-to-one property of logarithms to find an exact solution for $\log(4x^2 - 10) + \log(3) = \log(51)$ If there is no solution, write *no solution*.

581. The formula for measuring sound intensity in decibels *D* is defined by the equation $D = 10\log\left(\frac{I}{I_0}\right)$,

where I is the intensity of the sound in watts per square meter and $I_0 = 10^{-12}$ is the lowest level of sound that the average person can hear. How many decibels are emitted from a rock concert with a sound intensity of $4.7 \cdot 10^{-1}$ watts per square meter?

582. A radiation safety officer is working with 112 grams of a radioactive substance. After 17 days, the sample has decayed to 80 grams. Rounding to five significant digits, write an exponential equation representing this situation. To the nearest day, what is the half-life of this substance?

583. Write the formula found in the previous exercise as an equivalent equation with base e. Express the exponent to five significant digits.

584. A bottle of soda with a temperature of 71° Fahrenheit was taken off a shelf and placed in a refrigerator with an internal temperature of 35° F. After ten minutes, the internal temperature of the soda was 63° F. Use Newton's Law of Cooling to write a formula that models this situation. To the nearest degree, what will the temperature of the soda be after one hour?

585. The population of a wildlife habitat is modeled by the equation $P(t) = \frac{360}{1 + 6.2e^{-0.35t}}$, where *t* is given in

years. How many animals were originally transported to the habitat? How many years will it take before the habitat reaches half its capacity?

586. Enter the data from **Table 4.32** into a graphing calculator and graph the resulting scatter plot. Determine whether the data from the table would likely represent a function that is linear, exponential, or logarithmic.

х	f(x)
1	3
2	8.55
3	11.79
4	14.09
5	15.88
6	17.33
7	18.57
8	19.64
9	20.58
10	21.42

Table 4.32

587. The population of a lake of fish is modeled by the logistic equation $P(t) = \frac{16, 120}{1 + 25e^{-0.75t}}$, where *t* is time

in years. To the nearest hundredth, how many years will it take the lake to reach 80% of its carrying capacity?

For the following exercises, use a graphing utility to create a scatter diagram of the data given in the table. Observe the shape of the scatter diagram to determine whether the data is best described by an exponential, logarithmic, or logistic model. Then use the appropriate regression feature to find an equation that models the data. When necessary, round values to five decimal places.

588.

х	f(x)
1	20
2	21.6
3	29.2
4	36.4
5	46.6
6	55.7
7	72.6
8	87.1
9	107.2
10	138.1

589.

х	f(x)
3	13.98
4	17.84
5	20.01
6	22.7
7	24.1
8	26.15
9	27.37
10	28.38
11	29.97
12	31.07
13	31.43

х	f(x)
0	2.2
0.5	2.9
1	3.9
1.5	4.8
2	6.4
3	9.3
4	12.3
5	15
6	16.2
7	17.3
8	17.9

590.

5 | TRIGONOMETRIC FUNCTIONS



Figure 5.1 The tide rises and falls at regular, predictable intervals. (credit: Andrea Schaffer, Flickr)

Chapter Outline

- 5.1 Angles
- 5.2 Unit Circle: Sine and Cosine Functions
- 5.3 The Other Trigonometric Functions
- **5.4** Right Triangle Trigonometry

Introduction

Life is dense with phenomena that repeat in regular intervals. Each day, for example, the tides rise and fall in response to the gravitational pull of the moon. Similarly, the progression from day to night occurs as a result of Earth's rotation, and the pattern of the seasons repeats in response to Earth's revolution around the sun. Outside of nature, many stocks that mirror a company's profits are influenced by changes in the economic business cycle.

In mathematics, a function that repeats its values in regular intervals is known as a periodic function. The graphs of such functions show a general shape reflective of a pattern that keeps repeating. This means the graph of the function has the same output at exactly the same place in every cycle. And this translates to all the cycles of the function having exactly the same length. So, if we know all the details of one full cycle of a true periodic function, then we know the state of the function's outputs at all times, future and past. In this chapter, we will investigate various examples of periodic functions.

5.1 | Angles

Learning Objectives

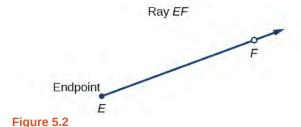
In this section, you will:

- **5.1.1** Draw angles in standard position.
- **5.1.2** Convert between degrees and radians.
- **5.1.3** Find coterminal angles.
- **5.1.4** Find the length of a circular arc.
- **5.1.5** Use linear and angular speed to describe motion on a circular path.

A golfer swings to hit a ball over a sand trap and onto the green. An airline pilot maneuvers a plane toward a narrow runway. A dress designer creates the latest fashion. What do they all have in common? They all work with angles, and so do all of us at one time or another. Sometimes we need to measure angles exactly with instruments. Other times we estimate them or judge them by eye. Either way, the proper angle can make the difference between success and failure in many undertakings. In this section, we will examine properties of angles.

Drawing Angles in Standard Position

Properly defining an angle first requires that we define a ray. A **ray** consists of one point on a line and all points extending in one direction from that point. The first point is called the endpoint of the ray. We can refer to a specific ray by stating its endpoint and any other point on it. The ray in **Figure 5.2** can be named as ray EF, or in symbol form \overrightarrow{EF} .



An **angle** is the union of two rays having a common endpoint. The endpoint is called the **vertex** of the angle, and the two rays are the sides of the angle. The angle in **Figure 5.3** is formed from \overrightarrow{ED} and \overrightarrow{EF} . Angles can be named using a point on each ray and the vertex, such as angle DEF, or in symbol form $\angle DEF$.

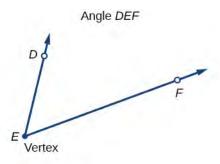


Figure 5.3

Greek letters are often used as variables for the measure of an angle. **Table 5.1** is a list of Greek letters commonly used to represent angles, and a sample angle is shown in **Figure 5.4**.

θ	φ or ϕ	α	β	γ
theta	phi	alpha	beta	gamma

Table 5.1

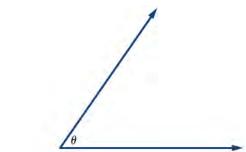


Figure 5.4 Angle theta, shown as $\angle \theta$

Angle creation is a dynamic process. We start with two rays lying on top of one another. We leave one fixed in place, and rotate the other. The fixed ray is the **initial side**, and the rotated ray is the **terminal side**. In order to identify the different sides, we indicate the rotation with a small arc and arrow close to the vertex as in **Figure 5.5**.

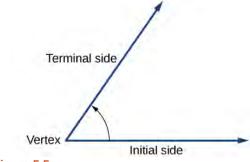


Figure 5.5

As we discussed at the beginning of the section, there are many applications for angles, but in order to use them correctly, we must be able to measure them. The **measure of an angle** is the amount of rotation from the initial side to the terminal side. Probably the most familiar unit of angle measurement is the degree. One **degree** is $\frac{1}{360}$ of a circular rotation, so a

complete circular rotation contains 360 degrees. An angle measured in degrees should always include the unit "degrees" after the number, or include the degree symbol $^{\circ}$. For example, 90 degrees = 90 $^{\circ}$.

To formalize our work, we will begin by drawing angles on an x-y coordinate plane. Angles can occur in any position on the coordinate plane, but for the purpose of comparison, the convention is to illustrate them in the same position whenever possible. An angle is in **standard position** if its vertex is located at the origin, and its initial side extends along the positive x-axis. See **Figure 5.6**.

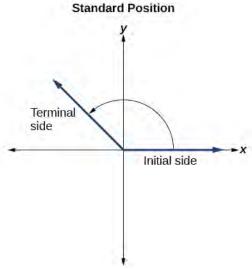
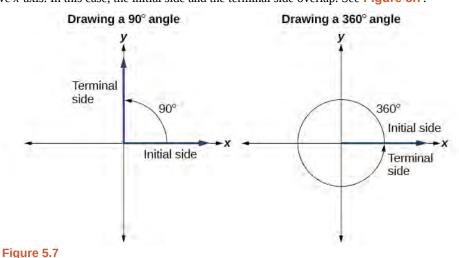


Figure 5.6

If the angle is measured in a counterclockwise direction from the initial side to the terminal side, the angle is said to be a **positive angle**. If the angle is measured in a clockwise direction, the angle is said to be a **negative angle**.

Drawing an angle in standard position always starts the same way—draw the initial side along the positive *x*-axis. To place the terminal side of the angle, we must calculate the fraction of a full rotation the angle represents. We do that by dividing the angle measure in degrees by 360°. For example, to draw a 90° angle, we calculate that $\frac{90^{\circ}}{360^{\circ}} = \frac{1}{4}$. So, the terminal side will be one-fourth of the way around the circle, moving counterclockwise from the positive *x*-axis. To draw a 360° angle, we calculate that $\frac{360^{\circ}}{360^{\circ}} = 1$. So the terminal side will be 1 complete rotation around the circle, moving counterclockwise from the positive *x*-axis. In this case, the initial side and the terminal side overlap. See **Figure 5.7**.



Since we define an angle in standard position by its terminal side, we have

Since we define an angle in standard position by its terminal side, we have a special type of angle whose terminal side lies on an axis, a **quadrantal angle**. This type of angle can have a measure of 0°, 90°, 180°, 270° or 360°. See **Figure 5.8**.

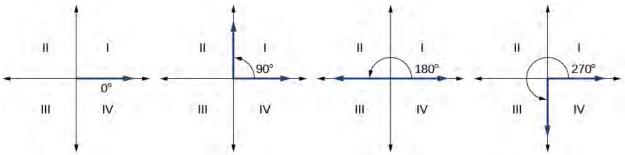


Figure 5.8 Quadrantal angles have a terminal side that lies along an axis. Examples are shown.

Quadrantal Angles

Quadrantal angles are angles whose terminal side lies on an axis, including 0°, 90°, 180°, 270°, or 360°.



Given an angle measure in degrees, draw the angle in standard position.

- 1. Express the angle measure as a fraction of 360°.
- 2. Reduce the fraction to simplest form.
- 3. Draw an angle that contains that same fraction of the circle, beginning on the positive *x*-axis and moving counterclockwise for positive angles and clockwise for negative angles.

Example 5.1

Drawing an Angle in Standard Position Measured in Degrees

- a. Sketch an angle of 30° in standard position.
- b. Sketch an angle of -135° in standard position.

Solution

a. Divide the angle measure by 360°.

$$\frac{30^{\circ}}{360^{\circ}} = \frac{1}{12}$$

To rewrite the fraction in a more familiar fraction, we can recognize that

$$\frac{1}{12} = \frac{1}{3} \left(\frac{1}{4} \right)$$

One-twelfth equals one-third of a quarter, so by dividing a quarter rotation into thirds, we can sketch a line at 30° as in **Figure 5.9**.

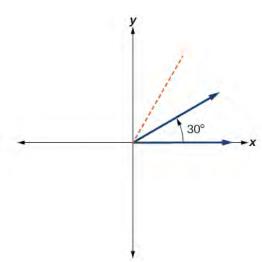


Figure 5.9

b. Divide the angle measure by 360°.

$$\frac{-135^{\circ}}{360^{\circ}} = -\frac{3}{8}$$

In this case, we can recognize that

$$-\frac{3}{8} = -\frac{3}{2}(\frac{1}{4})$$

Negative three-eighths is one and one-half times a quarter, so we place a line by moving clockwise one full quarter and one-half of another quarter, as in **Figure 5.10**.

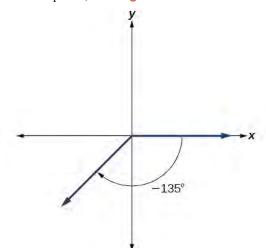


Figure 5.10



5.1 Show an angle of 240° on a circle in standard position.

Converting Between Degrees and Radians

Dividing a circle into 360 parts is an arbitrary choice, although it creates the familiar degree measurement. We may choose other ways to divide a circle. To find another unit, think of the process of drawing a circle. Imagine that you stop before the circle is completed. The portion that you drew is referred to as an arc. An arc may be a portion of a full circle, a full circle,

or more than a full circle, represented by more than one full rotation. The length of the arc around an entire circle is called the circumference of that circle.

The circumference of a circle is $C=2\pi r$. If we divide both sides of this equation by r, we create the ratio of the circumference to the radius, which is always 2π regardless of the length of the radius. So the circumference of any circle is $2\pi\approx 6.28$ times the length of the radius. That means that if we took a string as long as the radius and used it to measure consecutive lengths around the circumference, there would be room for six full string-lengths and a little more than a quarter of a seventh, as shown in **Figure 5.11**.

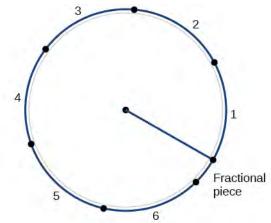


Figure 5.11

This brings us to our new angle measure. One radian is the measure of a central angle of a circle that intercepts an arc equal in length to the radius of that circle. A central angle is an angle formed at the center of a circle by two radii. Because the total circumference equals 2π times the radius, a full circular rotation is 2π radians. So

$$2\pi \text{ radians} = 360^{\circ}$$

 $\pi \text{ radians} = \frac{360^{\circ}}{2} = 180^{\circ}$
 $1 \text{ radian} = \frac{180^{\circ}}{\pi} \approx 57.3^{\circ}$

See **Figure 5.12**. Note that when an angle is described without a specific unit, it refers to radian measure. For example, an angle measure of 3 indicates 3 radians. In fact, radian measure is dimensionless, since it is the quotient of a length (circumference) divided by a length (radius) and the length units cancel out.

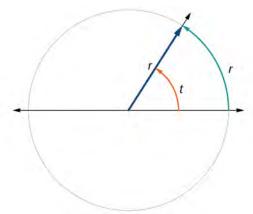


Figure 5.12 The angle t sweeps out a measure of one radian. Note that the length of the intercepted arc is the same as the length of the radius of the circle.

Relating Arc Lengths to Radius

An **arc length** *s* is the length of the curve along the arc. Just as the full circumference of a circle always has a constant ratio to the radius, the arc length produced by any given angle also has a constant relation to the radius, regardless of the length of the radius.

This ratio, called the radian measure, is the same regardless of the radius of the circle—it depends only on the angle. This property allows us to define a measure of any angle as the ratio of the arc length s to the radius r. See **Figure 5.13**.

$$s = r\theta$$
$$\theta = \frac{s}{r}$$

If s = r, then $\theta = \frac{r}{r} = 1$ radian.

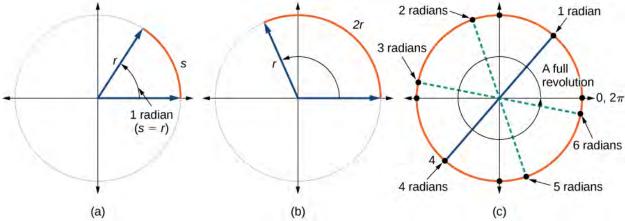


Figure 5.13 (a) In an angle of 1 radian, the arc length s equals the radius r. (b) An angle of 2 radians has an arc length s = 2r. (c) A full revolution is 2π or about 6.28 radians.

To elaborate on this idea, consider two circles, one with radius 2 and the other with radius 3. Recall the circumference of a circle is $C = 2\pi r$, where r is the radius. The smaller circle then has circumference $2\pi(2) = 4\pi$ and the larger has circumference $2\pi(3) = 6\pi$. Now we draw a 45° angle on the two circles, as in **Figure 5.14**.

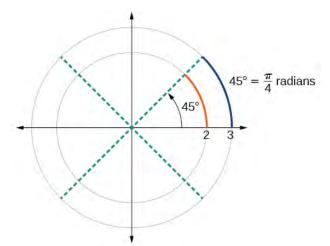


Figure 5.14 A 45° angle contains one-eighth of the circumference of a circle, regardless of the radius.

Notice what happens if we find the ratio of the arc length divided by the radius of the circle.

Smaller circle:
$$\frac{\frac{1}{2}\pi}{2} = \frac{1}{4}\pi$$

Larger circle: $\frac{\frac{3}{4}\pi}{3} = \frac{1}{4}\pi$

Since both ratios are $\frac{1}{4}\pi$, the angle measures of both circles are the same, even though the arc length and radius differ.

Radians

One **radian** is the measure of the central angle of a circle such that the length of the arc between the initial side and the terminal side is equal to the radius of the circle. A full revolution (360°) equals 2π radians. A half revolution (180°) is equivalent to π radians.

The **radian measure** of an angle is the ratio of the length of the arc subtended by the angle to the radius of the circle. In other words, if s is the length of an arc of a circle, and r is the radius of the circle, then the central angle containing that arc measures $\frac{s}{r}$ radians. In a circle of radius 1, the radian measure corresponds to the length of the arc.



A measure of 1 radian looks to be about 60°. Is that correct?

Yes. It is approximately 57.3°. Because 2π radians equals 360°, 1 radian equals $\frac{360^{\circ}}{2\pi} \approx 57.3^{\circ}$.

Using Radians

Because radian measure is the ratio of two lengths, it is a unitless measure. For example, in **Figure 5.13**, suppose the radius were 2 inches and the distance along the arc were also 2 inches. When we calculate the radian measure of the angle, the "inches" cancel, and we have a result without units. Therefore, it is not necessary to write the label "radians" after a radian measure, and if we see an angle that is not labeled with "degrees" or the degree symbol, we can assume that it is a radian measure.

Considering the most basic case, the unit circle (a circle with radius 1), we know that 1 rotation equals 360 degrees, 360°. We can also track one rotation around a circle by finding the circumference, $C = 2\pi r$, and for the unit circle $C = 2\pi$.

These two different ways to rotate around a circle give us a way to convert from degrees to radians.

1 rotation =
$$360^{\circ}$$
 = 2π radians
 $\frac{1}{2}$ rotation = 180° = π radians
 $\frac{1}{4}$ rotation = 90° = $\frac{\pi}{2}$ radians

Identifying Special Angles Measured in Radians

In addition to knowing the measurements in degrees and radians of a quarter revolution, a half revolution, and a full revolution, there are other frequently encountered angles in one revolution of a circle with which we should be familiar. It is common to encounter multiples of 30, 45, 60, and 90 degrees. These values are shown in **Figure 5.15**. Memorizing these angles will be very useful as we study the properties associated with angles.

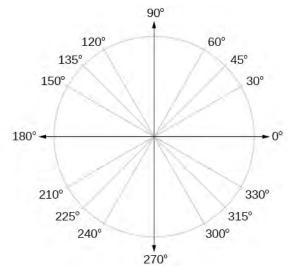


Figure 5.15 Commonly encountered angles measured in degrees

Now, we can list the corresponding radian values for the common measures of a circle corresponding to those listed in **Figure 5.15**, which are shown in **Figure 5.16**. Be sure you can verify each of these measures.

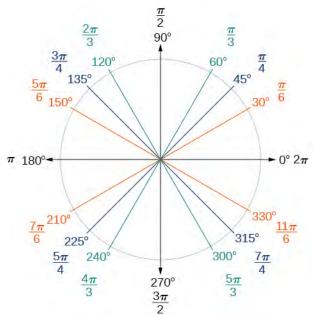


Figure 5.16 Commonly encountered angles measured in radians

Example 5.2

Finding a Radian Measure

Find the radian measure of one-third of a full rotation.

Solution

For any circle, the arc length along such a rotation would be one-third of the circumference. We know that

1 rotation =
$$2\pi r$$

So,

$$s = \frac{1}{3}(2\pi r)$$
$$= \frac{2\pi r}{3}$$

The radian measure would be the arc length divided by the radius.

radian measure =
$$\frac{2\pi r}{3}$$

= $\frac{2\pi r}{3r}$
= $\frac{2\pi}{3}$



5.2 Find the radian measure of three-fourths of a full rotation.

Converting between Radians and Degrees

Because degrees and radians both measure angles, we need to be able to convert between them. We can easily do so using a proportion.

$$\frac{\theta}{180} = \frac{\theta^R}{\pi}$$

This proportion shows that the measure of angle θ in degrees divided by 180 equals the measure of angle θ in radians divided by π . Or, phrased another way, degrees is to 180 as radians is to π .

$$\frac{\text{Degrees}}{180} = \frac{\text{Radians}}{\pi}$$

Converting between Radians and Degrees

To convert between degrees and radians, use the proportion

$$\frac{\theta}{180} = \frac{\theta^R}{\pi}$$

Example 5.3

Converting Radians to Degrees

Convert each radian measure to degrees.

- a. $\frac{\pi}{6}$
- b. 3

Solution

Because we are given radians and we want degrees, we should set up a proportion and solve it.

a. We use the proportion, substituting the given information.

$$\frac{\theta}{180} = \frac{\theta^R}{\pi}$$

$$\frac{\theta}{180} = \frac{\frac{\pi}{6}}{\pi}$$

$$\theta = \frac{180}{6}$$

$$\theta = 30^\circ$$

b. We use the proportion, substituting the given information.

$$\frac{\theta}{180} = \frac{\theta^R}{\pi}$$

$$\frac{\theta}{180} = \frac{3}{\pi}$$

$$\theta = \frac{3(180)}{\pi}$$

$$\theta \approx 172^{\circ}$$



5.3 Convert $-\frac{3\pi}{4}$ radians to degrees.

Example 5.4

Converting Degrees to Radians

Convert 15 degrees to radians.

Solution

In this example, we start with degrees and want radians, so we again set up a proportion and solve it, but we substitute the given information into a different part of the proportion.

$$\frac{\theta}{180} = \frac{\theta^R}{\pi}$$
$$\frac{15}{180} = \frac{\theta^R}{\pi}$$
$$\frac{15\pi}{180} = \theta^R$$
$$\frac{\pi}{12} = \theta^R$$

Analysis

Another way to think about this problem is by remembering that $30^{\circ} = \frac{\pi}{6}$. Because $15^{\circ} = \frac{1}{2}(30^{\circ})$, we can find that $\frac{1}{2}(\frac{\pi}{6})$ is $\frac{\pi}{12}$.

5.4 Convert 126° to radians.

Finding Coterminal Angles

Converting between degrees and radians can make working with angles easier in some applications. For other applications, we may need another type of conversion. Negative angles and angles greater than a full revolution are more awkward to work with than those in the range of 0° to 360° , or 0 to 2π . It would be convenient to replace those out-of-range angles with a corresponding angle within the range of a single revolution.

It is possible for more than one angle to have the same terminal side. Look at **Figure 5.17**. The angle of 140° is a positive angle, measured counterclockwise. The angle of -220° is a negative angle, measured clockwise. But both angles have the same terminal side. If two angles in standard position have the same terminal side, they are coterminal angles. Every angle greater than 360° or less than 0° is coterminal with an angle between 0° and 360° , and it is often more convenient to find the coterminal angle within the range of 0° to 360° than to work with an angle that is outside that range.

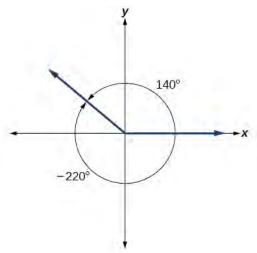


Figure 5.17 An angle of 140° and an angle of –220° are coterminal angles.

Any angle has infinitely many coterminal angles because each time we add 360° to that angle—or subtract 360° from it—the resulting value has a terminal side in the same location. For example, 100° and 460° are coterminal for this reason, as is -260° . Recognizing that any angle has infinitely many coterminal angles explains the repetitive shape in the graphs of trigonometric functions.

An angle's reference angle is the measure of the smallest, positive, acute angle *t* formed by the terminal side of the angle *t* and the horizontal axis. Thus positive reference angles have terminal sides that lie in the first quadrant and can be used as models for angles in other quadrants. See **Figure 5.18** for examples of reference angles for angles in different quadrants.

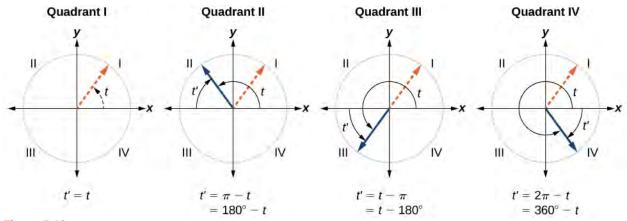


Figure 5.18

Coterminal and Reference Angles

Coterminal angles are two angles in standard position that have the same terminal side.

An angle's **reference angle** is the size of the smallest acute angle, t', formed by the terminal side of the angle t and the horizontal axis.



Given an angle greater than 360°, find a coterminal angle between 0° and 360°.

- 1. Subtract 360° from the given angle.
- 2. If the result is still greater than 360°, subtract 360° again till the result is between 0° and 360°.
- 3. The resulting angle is coterminal with the original angle.

Example 5.5

Finding an Angle Coterminal with an Angle of Measure Greater Than 360°

Find the least positive angle θ that is coterminal with an angle measuring 800°, where $0^{\circ} \le \theta < 360^{\circ}$.

Solution

An angle with measure 800° is coterminal with an angle with measure $800 - 360 = 440^{\circ}$, but 440° is still greater than 360° , so we subtract 360° again to find another coterminal angle: $440 - 360 = 80^{\circ}$.

The angle $\theta = 80^{\circ}$ is coterminal with 800°. To put it another way, 800° equals 80° plus two full rotations, as shown in **Figure 5.19**.

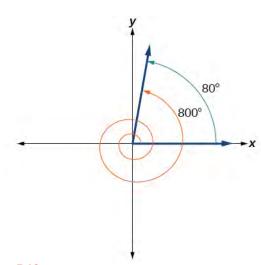


Figure 5.19



5.5 Find an angle α that is coterminal with an angle measuring 870°, where $0^{\circ} \le \alpha < 360^{\circ}$.



Given an angle with measure less than 0°, find a coterminal angle having a measure between 0° and 360°.

- 1. Add 360° to the given angle.
- 2. If the result is still less than 0°, add 360° again until the result is between 0° and 360°.
- 3. The resulting angle is coterminal with the original angle.

Example 5.6

Finding an Angle Coterminal with an Angle Measuring Less Than 0°

Show the angle with measure -45° on a circle and find a positive coterminal angle α such that $0^{\circ} \le \alpha < 360^{\circ}$.

Solution

Since 45° is half of 90°, we can start at the positive horizontal axis and measure clockwise half of a 90° angle.

Because we can find coterminal angles by adding or subtracting a full rotation of 360° , we can find a positive coterminal angle here by adding 360° :

$$-45^{\circ} + 360^{\circ} = 315^{\circ}$$

We can then show the angle on a circle, as in Figure 5.20.

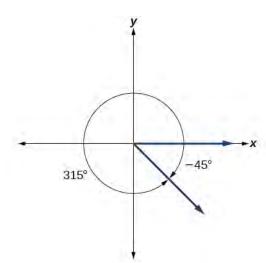


Figure 5.20



5.6 Find an angle β that is coterminal with an angle measuring -300° such that $0^{\circ} \le \beta < 360^{\circ}$.

Finding Coterminal Angles Measured in Radians

We can find coterminal angles measured in radians in much the same way as we have found them using degrees. In both cases, we find coterminal angles by adding or subtracting one or more full rotations.



Given an angle greater than 2π , find a coterminal angle between 0 and 2π .

- 1. Subtract 2π from the given angle.
- 2. If the result is still greater than 2π , subtract 2π again until the result is between 0 and 2π .
- 3. The resulting angle is coterminal with the original angle.

Example 5.7

Finding Coterminal Angles Using Radians

Find an angle β that is coterminal with $\frac{19\pi}{4}$, where $0 \le \beta < 2\pi$.

Solution

When working in degrees, we found coterminal angles by adding or subtracting 360 degrees, a full rotation. Likewise, in radians, we can find coterminal angles by adding or subtracting full rotations of 2π radians:

$$\frac{19\pi}{4} - 2\pi = \frac{19\pi}{4} - \frac{8\pi}{4}$$
$$= \frac{11\pi}{4}$$

The angle $\frac{11\pi}{4}$ is coterminal, but not less than 2π , so we subtract another rotation:

$$\frac{11\pi}{4} - 2\pi = \frac{11\pi}{4} - \frac{8\pi}{4}$$
$$= \frac{3\pi}{4}$$

The angle $\frac{3\pi}{4}$ is coterminal with $\frac{19\pi}{4}$, as shown in **Figure 5.21**.

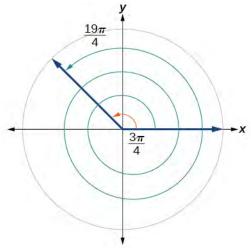


Figure 5.21



5.7 Find an angle of measure θ that is coterminal with an angle of measure $-\frac{17\pi}{6}$ where $0 \le \theta < 2\pi$.

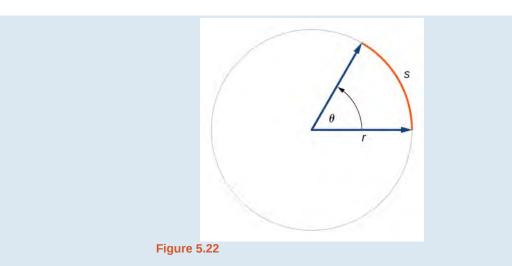
Determining the Length of an Arc

Recall that the radian measure θ of an angle was defined as the ratio of the arc length s of a circular arc to the radius r of the circle, $\theta = \frac{s}{r}$. From this relationship, we can find arc length along a circle, given an angle.

Arc Length on a Circle

In a circle of radius r, the length of an arc s subtended by an angle with measure θ in radians, shown in **Figure 5.22**, is

$$s = r\theta \tag{5.1}$$





Given a circle of radius r, calculate the length s of the arc subtended by a given angle of measure θ .

- 1. If necessary, convert θ to radians.
- 2. Multiply the radius r by the radian measure of θ : $s = r\theta$.

Example 5.8

Finding the Length of an Arc

Assume the orbit of Mercury around the sun is a perfect circle. Mercury is approximately 36 million miles from the sun.

- a. In one Earth day, Mercury completes 0.0114 of its total revolution. How many miles does it travel in one day?
- b. Use your answer from part (a) to determine the radian measure for Mercury's movement in one Earth day.

Solution

a. Let's begin by finding the circumference of Mercury's orbit.

$$C = 2\pi r$$

= $2\pi(36 \text{ million miles})$
 $\approx 226 \text{ million miles}$

Since Mercury completes 0.0114 of its total revolution in one Earth day, we can now find the distance traveled:

(0.0114)226 million miles = 2.58 million miles

b. Now, we convert to radians:

$$radian = \frac{\text{arclength}}{\text{radius}}$$
$$= \frac{2.58 \text{ million miles}}{36 \text{ million miles}}$$
$$= 0.0717$$



5.8 Find the arc length along a circle of radius 10 units subtended by an angle of 215°.

Finding the Area of a Sector of a Circle

In addition to arc length, we can also use angles to find the area of a sector of a circle. A sector is a region of a circle bounded by two radii and the intercepted arc, like a slice of pizza or pie. Recall that the area of a circle with radius r can be found using the formula $A = \pi r^2$. If the two radii form an angle of θ , measured in radians, then $\frac{\theta}{2\pi}$ is the ratio of the angle measure to the measure of a full rotation and is also, therefore, the ratio of the area of the sector to the area of the circle. Thus, the **area of a sector** is the fraction $\frac{\theta}{2\pi}$ multiplied by the entire area. (Always remember that this formula only applies if θ is in radians.)

Area of sector =
$$\left(\frac{\theta}{2\pi}\right)\pi r^2$$

= $\frac{\theta\pi r^2}{2\pi}$
= $\frac{1}{2}\theta r^2$

Area of a Sector

The **area of a sector** of a circle with radius r subtended by an angle θ , measured in radians, is

$$A = \frac{1}{2}\theta r^2 \tag{5.2}$$

See Figure 5.23.

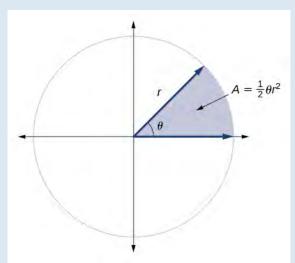


Figure 5.23 The area of the sector equals half the square of the radius times the central angle measured in radians.



Given a circle of radius r, find the area of a sector defined by a given angle θ .

- 1. If necessary, convert θ to radians.
- 2. Multiply half the radian measure of θ by the square of the radius r: $A = \frac{1}{2}\theta r^2$.

Example 5.9

Finding the Area of a Sector

An automatic lawn sprinkler sprays a distance of 20 feet while rotating 30 degrees, as shown in **Figure 5.24**. What is the area of the sector of grass the sprinkler waters?

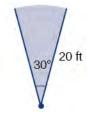


Figure 5.24 The sprinkler sprays 20 ft within an arc of 30°.

Solution

First, we need to convert the angle measure into radians. Because 30 degrees is one of our special angles, we already know the equivalent radian measure, but we can also convert:

30 degrees =
$$30 \cdot \frac{\pi}{180}$$

= $\frac{\pi}{6}$ radians

The area of the sector is then

Area =
$$\frac{1}{2} \left(\frac{\pi}{6} \right) (20)^2$$

 ≈ 104.72

So the area is about 104.72 ft^2 .



5.9 In central pivot irrigation, a large irrigation pipe on wheels rotates around a center point. A farmer has a central pivot system with a radius of 400 meters. If water restrictions only allow her to water 150 thousand square meters a day, what angle should she set the system to cover? Write the answer in radian measure to two decimal places.

Use Linear and Angular Speed to Describe Motion on a Circular Path

In addition to finding the area of a sector, we can use angles to describe the speed of a moving object. An object traveling in a circular path has two types of speed. **Linear speed** is speed along a straight path and can be determined by the distance it moves along (its **displacement**) in a given time interval. For instance, if a wheel with radius 5 inches rotates once a second, a point on the edge of the wheel moves a distance equal to the circumference, or 10π inches, every second. So the linear speed of the point is 10π in./s. The equation for linear speed is as follows where v is linear speed, s is displacement, and t is time.

$$v = \frac{s}{t}$$

Angular speed results from circular motion and can be determined by the angle through which a point rotates in a given time interval. In other words, angular speed is angular rotation per unit time. So, for instance, if a gear makes a full rotation every 4 seconds, we can calculate its angular speed as $\frac{360 \text{ degrees}}{4 \text{ seconds}} = 90 \text{ degrees per second}$. Angular speed can be given

in radians per second, rotations per minute, or degrees per hour for example. The equation for angular speed is as follows, where ω (read as omega) is angular speed, θ is the angle traversed, and t is time.

$$\omega = \frac{\theta}{t}$$

Combining the definition of angular speed with the arc length equation, $s = r\theta$, we can find a relationship between angular and linear speeds. The angular speed equation can be solved for θ , giving $\theta = \omega t$. Substituting this into the arc length equation gives:

$$s = r\theta$$
$$= r\omega t$$

Substituting this into the linear speed equation gives:

$$v = \frac{S}{t}$$
$$= \frac{r\omega t}{t}$$
$$= r\omega$$

Angular and Linear Speed

As a point moves along a circle of radius r, its **angular speed**, ω , is the angular rotation θ per unit time, t.

$$\omega = \frac{\theta}{t} \tag{5.3}$$

The **linear speed.** v, of the point can be found as the distance traveled, arc length s, per unit time, t.

$$v = \frac{S}{t} \tag{5.4}$$

When the angular speed is measured in radians per unit time, linear speed and angular speed are related by the equation

$$v = r\omega \tag{5.5}$$

This equation states that the angular speed in radians, ω , representing the amount of rotation occurring in a unit of time, can be multiplied by the radius r to calculate the total arc length traveled in a unit of time, which is the definition of linear speed.



Given the amount of angle rotation and the time elapsed, calculate the angular speed.

- 1. If necessary, convert the angle measure to radians.
- 2. Divide the angle in radians by the number of time units elapsed: $\omega = \frac{\theta}{t}$.
- 3. The resulting speed will be in radians per time unit.

Example 5.10

Finding Angular Speed

A water wheel, shown in **Figure 5.25**, completes 1 rotation every 5 seconds. Find the angular speed in radians per second.

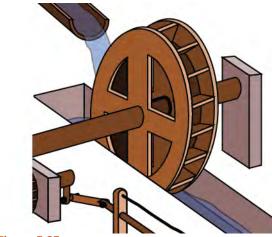


Figure 5.25

Solution

The wheel completes 1 rotation, or passes through an angle of 2π radians in 5 seconds, so the angular speed would be $\omega = \frac{2\pi}{5} \approx 1.257$ radians per second.



5.10 An old vinyl record is played on a turntable rotating clockwise at a rate of 45 rotations per minute. Find the angular speed in radians per second.



Given the radius of a circle, an angle of rotation, and a length of elapsed time, determine the linear speed.

- 1. Convert the total rotation to radians if necessary.
- 2. Divide the total rotation in radians by the elapsed time to find the angular speed: apply $\omega = \frac{\theta}{t}$.
- 3. Multiply the angular speed by the length of the radius to find the linear speed, expressed in terms of the length unit used for the radius and the time unit used for the elapsed time: apply $v = r\omega$.

Example 5.11

Finding a Linear Speed

A bicycle has wheels 28 inches in diameter. A tachometer determines the wheels are rotating at 180 RPM (revolutions per minute). Find the speed the bicycle is traveling down the road.

Solution

Here, we have an angular speed and need to find the corresponding linear speed, since the linear speed of the outside of the tires is the speed at which the bicycle travels down the road.

We begin by converting from rotations per minute to radians per minute. It can be helpful to utilize the units to make this conversion:

$$180 \frac{\text{rotations}}{\text{minute}} \cdot \frac{2\pi \text{ radians}}{\text{rotation}} = 360\pi \frac{\text{radians}}{\text{minute}}$$

Using the formula from above along with the radius of the wheels, we can find the linear speed:

$$v = (14 \text{ inches}) \left(360 \pi \frac{\text{radians}}{\text{minute}} \right)$$
$$= 5040 \pi \frac{\text{inches}}{\text{minute}}$$

Remember that radians are a unitless measure, so it is not necessary to include them.

Finally, we may wish to convert this linear speed into a more familiar measurement, like miles per hour.

$$5040\pi\frac{\text{inches}}{\text{minute}} \cdot \frac{1 \text{ feet}}{12 \text{ inches}} \cdot \frac{1 \text{ mile}}{5280 \text{ feet}} \cdot \frac{60 \text{ minutes}}{1 \text{ hour}} \approx 14.99 \text{ miles per hour (mph)}$$



5.11 A satellite is rotating around Earth at 0.25 radians per hour at an altitude of 242 km above Earth. If the radius of Earth is 6378 kilometers, find the linear speed of the satellite in kilometers per hour.



Access these online resources for additional instruction and practice with angles, arc length, and areas of sectors.

- Angles in Standard Position (http://openstaxcollege.org/l/standardpos)
- Angle of Rotation (http://openstaxcollege.org/l/angleofrotation)
- · Coterminal Angles (http://openstaxcollege.org/l/coterminal)
- Determining Coterminal Angles (http://openstaxcollege.org/l/detcoterm)
- Positive and Negative Coterminal Angles (http://openstaxcollege.org/l/posnegcoterm)
- · Radian Measure (http://openstaxcollege.org/l/radianmeas)
- · Coterminal Angles in Radians (http://openstaxcollege.org/l/cotermrad)
- Arc Length and Area of a Sector (http://openstaxcollege.org/l/arclength)

5.1 EXERCISES

Verbal

- 1. Draw an angle in standard position. Label the vertex, initial side, and terminal side.
- 2. Explain why there are an infinite number of angles that are coterminal to a certain angle.
- 3. State what a positive or negative angle signifies, and explain how to draw each.
- 4. How does radian measure of an angle compare to the degree measure? Include an explanation of 1 radian in your paragraph.
- 5. Explain the differences between linear speed and angular speed when describing motion along a circular path.

Graphical

For the following exercises, draw an angle in standard position with the given measure.

- 6. 30°
- 7. 300°
- 8. -80°
- 9. 135°
- 10. -150°
- 11. $\frac{2\pi}{3}$
- 12. $\frac{7\pi}{4}$
- 13. $\frac{5\pi}{6}$
- 14. $\frac{\pi}{2}$
- 15. $-\frac{\pi}{10}$
- 16. 415°
- 17. -120°
- 18. -315°
- 19. $\frac{22\pi}{3}$
- 20. $-\frac{\pi}{6}$

21.
$$-\frac{4\pi}{3}$$

For the following exercises, refer to **Figure 5.26**. Round to two decimal places.

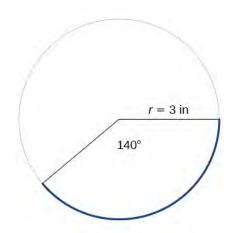


Figure 5.26

- 22. Find the arc length.
- 23. Find the area of the sector.

For the following exercises, refer to **Figure 5.27**. Round to two decimal places.

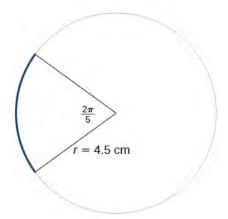


Figure 5.27

- 24. Find the arc length.
- 25. Find the area of the sector.

Algebraic

For the following exercises, convert angles in radians to degrees.

26.

 $\frac{3\pi}{4}$ radians

- 27. $\frac{\pi}{9}$ radians
- 28. $-\frac{5\pi}{4}$ radians
- 29. $\frac{\pi}{3}$ radians
- 30. $-\frac{7\pi}{3}$ radians
- 31. $-\frac{5\pi}{12}$ radians
- 32. $\frac{11\pi}{6}$ radians

For the following exercises, convert angles in degrees to radians.

- 33. 90°
- 34. 100°
- 35. -540°
- 36. -120°
- 37. 180°
- 38. -315°
- 39. 150°

For the following exercises, use to given information to find the length of a circular arc. Round to two decimal places.

- 40. Find the length of the arc of a circle of radius 12 inches subtended by a central angle of $\frac{\pi}{4}$ radians.
- 41. Find the length of the arc of a circle of radius 5.02 miles subtended by the central angle of $\frac{\pi}{3}$.
- 42. Find the length of the arc of a circle of diameter 14 meters subtended by the central angle of $\frac{5\pi}{6}$.
- 43. Find the length of the arc of a circle of radius 10 centimeters subtended by the central angle of 50°.
- 44. Find the length of the arc of a circle of radius 5 inches subtended by the central angle of 220°.
- 45. Find the length of the arc of a circle of diameter 12 meters subtended by the central angle is 63°.

For the following exercises, use the given information to find the area of the sector. Round to four decimal places.

- 46. A sector of a circle has a central angle of 45° and a radius 6 cm.
- 47. A sector of a circle has a central angle of 30° and a radius of 20 cm.
- 48. A sector of a circle with diameter 10 feet and an angle of $\frac{\pi}{2}$ radians.
- 49. A sector of a circle with radius of 0.7 inches and an angle of π radians.

For the following exercises, find the angle between 0° and 360° that is coterminal to the given angle.

- 50. -40°
- 51. -110°
- 52. 700°
- 53. 1400°

For the following exercises, find the angle between 0 and 2π in radians that is coterminal to the given angle.

- 54. $-\frac{\pi}{9}$
- 55. $\frac{10\pi}{3}$
- 56. $\frac{13\pi}{6}$
- 57. $\frac{44\pi}{9}$

Real-World Applications

- 58. A truck with 32-inch diameter wheels is traveling at 60 mi/h. Find the angular speed of the wheels in rad/min. How many revolutions per minute do the wheels make?
- 59. A bicycle with 24-inch diameter wheels is traveling at 15 mi/h. Find the angular speed of the wheels in rad/min. How many revolutions per minute do the wheels make?
- 60. A wheel of radius 8 inches is rotating 15°/s. What is the linear speed ν , the angular speed in RPM, and the angular speed in rad/s?
- 61. A wheel of radius 14 inches is rotating 0.5 rad/s. What is the linear speed v, the angular speed in RPM, and the angular speed in deg/s?
- 62. A CD has diameter of 120 millimeters. When playing audio, the angular speed varies to keep the linear speed

constant where the disc is being read. When reading along the outer edge of the disc, the angular speed is about 200 RPM (revolutions per minute). Find the linear speed.

- 63. When being burned in a writable CD-R drive, the angular speed of a CD is often much faster than when playing audio, but the angular speed still varies to keep the linear speed constant where the disc is being written. When writing along the outer edge of the disc, the angular speed of one drive is about 4800 RPM (revolutions per minute). Find the linear speed if the CD has diameter of 120 millimeters.
- 64. A person is standing on the equator of Earth (radius 3960 miles). What are his linear and angular speeds?
- 65. Find the distance along an arc on the surface of Earth that subtends a central angle of 5 minutes $\left(1 \text{ minute} = \frac{1}{60} \text{ degree}\right)$. The radius of Earth is 3960 miles
- 66. Find the distance along an arc on the surface of Earth that subtends a central angle of 7 minutes $\left(1 \text{ minute} = \frac{1}{60} \text{ degree}\right)$. The radius of Earth is 3960 miles.
- 67. Consider a clock with an hour hand and minute hand. What is the measure of the angle the minute hand traces in 20 minutes?

Extensions

- 68. Two cities have the same longitude. The latitude of city A is 9.00 degrees north and the latitude of city B is 30.00 degree north. Assume the radius of the earth is 3960 miles. Find the distance between the two cities.
- 69. A city is located at 40 degrees north latitude. Assume the radius of the earth is 3960 miles and the earth rotates once every 24 hours. Find the linear speed of a person who resides in this city.
- 70. A city is located at 75 degrees north latitude. Assume the radius of the earth is 3960 miles and the earth rotates once every 24 hours. Find the linear speed of a person who resides in this city.
- 71. Find the linear speed of the moon if the average distance between the earth and moon is 239,000 miles, assuming the orbit of the moon is circular and requires about 28 days. Express answer in miles per hour.
- 72. A bicycle has wheels 28 inches in diameter. A tachometer determines that the wheels are rotating at 180 RPM (revolutions per minute). Find the speed the bicycle is travelling down the road.

73.

A car travels 3 miles. Its tires make 2640 revolutions. What is the radius of a tire in inches?

74. A wheel on a tractor has a 24-inch diameter. How many revolutions does the wheel make if the tractor travels 4 miles?

5.2 | Unit Circle: Sine and Cosine Functions

Learning Objectives

In this section, you will:

- **5.2.1** Find function values for the sine and cosine of 30° or $\left(\frac{\pi}{6}\right)$, 45° or $\left(\frac{\pi}{4}\right)$ and 60° or $\left(\frac{\pi}{3}\right)$.
- **5.2.2** Identify the domain and range of sine and cosine functions.
- **5.2.3** Use reference angles to evaluate trigonometric functions.



Figure 5.28 The Singapore Flyer is the world's tallest Ferris wheel. (credit: "Vibin JK"/Flickr)

Looking for a thrill? Then consider a ride on the Singapore Flyer, the world's tallest Ferris wheel. Located in Singapore, the Ferris wheel soars to a height of 541 feet—a little more than a tenth of a mile! Described as an observation wheel, riders enjoy spectacular views as they travel from the ground to the peak and down again in a repeating pattern. In this section, we will examine this type of revolving motion around a circle. To do so, we need to define the type of circle first, and then place that circle on a coordinate system. Then we can discuss circular motion in terms of the coordinate pairs.

Finding Function Values for the Sine and Cosine

To define our trigonometric functions, we begin by drawing a unit circle, a circle centered at the origin with radius 1, as shown in **Figure 5.29**. The angle (in radians) that t intercepts forms an arc of length s. Using the formula s = rt, and knowing that r = 1, we see that for a unit circle, s = t.

Recall that the *x*- and *y*-axes divide the coordinate plane into four quarters called quadrants. We label these quadrants to mimic the direction a positive angle would sweep. The four quadrants are labeled I, II, III, and IV.

For any angle t, we can label the intersection of the terminal side and the unit circle as by its coordinates, (x, y). The coordinates x and y will be the outputs of the trigonometric functions $f(t) = \cos t$ and $f(t) = \sin t$, respectively. This means $x = \cos t$ and $y = \sin t$.

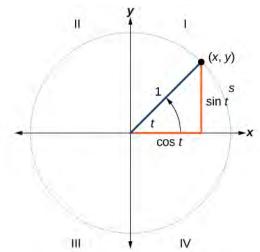


Figure 5.29 Unit circle where the central angle is *t* radians

Unit Circle

A **unit circle** has a center at (0, 0) and radius 1. In a unit circle, the length of the intercepted arc is equal to the radian measure of the central angle 1.

Let (x, y) be the endpoint on the unit circle of an arc of arc length s. The (x, y) coordinates of this point can be described as functions of the angle.

Defining Sine and Cosine Functions

Now that we have our unit circle labeled, we can learn how the (x, y) coordinates relate to the arc length and angle. The **sine function** relates a real number t to the y-coordinate of the point where the corresponding angle intercepts the unit circle. More precisely, the sine of an angle t equals the y-value of the endpoint on the unit circle of an arc of length t. In **Figure 5.29**, the sine is equal to y. Like all functions, the sine function has an input and an output. Its input is the measure of the angle; its output is the y-coordinate of the corresponding point on the unit circle.

The **cosine function** of an angle t equals the x-value of the endpoint on the unit circle of an arc of length t. In **Figure 5.30**, the cosine is equal to x.

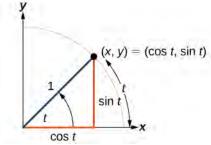


Figure 5.30

Because it is understood that sine and cosine are functions, we do not always need to write them with parentheses: $\sin t$ is the same as $\sin(t)$ and $\cos t$ is the same as $\cos(t)$. Likewise, $\cos^2 t$ is a commonly used shorthand notation for $(\cos(t))^2$. Be aware that many calculators and computers do not recognize the shorthand notation. When in doubt, use the extra parentheses when entering calculations into a calculator or computer.

Sine and Cosine Functions

If t is a real number and a point (x, y) on the unit circle corresponds to an angle of t, then

$$\cos t = x \tag{5.6}$$

$$\sin t = y \tag{5.7}$$



Given a point P(x, y) on the unit circle corresponding to an angle of t, find the sine and cosine.

- **1**. The sine of t is equal to the y-coordinate of point P: $\sin t = y$.
- 2. The cosine of t is equal to the x-coordinate of point P: $\cos t = x$.

Example 5.12

Finding Function Values for Sine and Cosine

Point P is a point on the unit circle corresponding to an angle of t, as shown in **Figure 5.31**. Find $\cos(t)$ and $\sin(t)$.

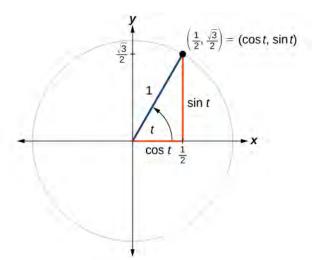


Figure 5.31

Solution

We know that $\cos t$ is the *x*-coordinate of the corresponding point on the unit circle and $\sin t$ is the *y*-coordinate of the corresponding point on the unit circle. So:

$$x = \cos t = \frac{1}{2}$$

$$y = \sin t = \frac{\sqrt{3}}{2}$$

Try It **5.12** A certain angle t corresponds to a point on the unit circle at $\left(-\frac{\sqrt{2}}{2}, \frac{\sqrt{2}}{2}\right)$ as shown in **Figure 5.32**. Find $\cos t$ and $\sin t$.

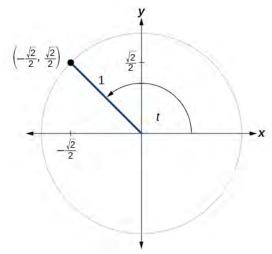


Figure 5.32

Finding Sines and Cosines of Angles on an Axis

For quadrantral angles, the corresponding point on the unit circle falls on the x- or y-axis. In that case, we can easily calculate cosine and sine from the values of x and y.

Example 5.13

Calculating Sines and Cosines along an Axis

Find $cos(90^\circ)$ and $sin(90^\circ)$.

Solution

Moving 90° counterclockwise around the unit circle from the positive *x*-axis brings us to the top of the circle, where the (x, y) coordinates are (0, 1), as shown in **Figure 5.33**.

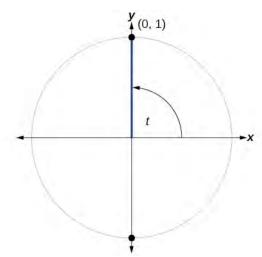


Figure 5.33

Using our definitions of cosine and sine,

$$x = \cos t = \cos(90^\circ) = 0$$

 $y = \sin t = \sin(90^\circ) = 1$

The cosine of 90° is 0; the sine of 90° is 1.



5.13 Find cosine and sine of the angle π .

The Pythagorean Identity

Now that we can define sine and cosine, we will learn how they relate to each other and the unit circle. Recall that the equation for the unit circle is $x^2 + y^2 = 1$. Because $x = \cos t$ and $y = \sin t$, we can substitute for x and y to get $\cos^2 t + \sin^2 t = 1$. This equation, $\cos^2 t + \sin^2 t = 1$, is known as the **Pythagorean Identity**. See **Figure 5.34**.

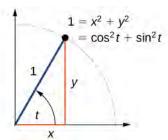


Figure 5.34

We can use the Pythagorean Identity to find the cosine of an angle if we know the sine, or vice versa. However, because the equation yields two solutions, we need additional knowledge of the angle to choose the solution with the correct sign. If we know the quadrant where the angle is, we can easily choose the correct solution.

Pythagorean Identity

The **Pythagorean Identity** states that, for any real number t,

$$\cos^2 t + \sin^2 t = 1 \tag{5.8}$$



Given the sine of some angle t and its quadrant location, find the cosine of t.

- 1. Substitute the known value of sin(t) into the Pythagorean Identity.
- 2. Solve for cos(t).
- 3. Choose the solution with the appropriate sign for the *x*-values in the quadrant where *t* is located.

Example 5.14

Finding a Cosine from a Sine or a Sine from a Cosine

If $sin(t) = \frac{3}{7}$ and t is in the second quadrant, find cos(t).

Solution

If we drop a vertical line from the point on the unit circle corresponding to *t*, we create a right triangle, from which we can see that the Pythagorean Identity is simply one case of the Pythagorean Theorem. See **Figure 5.35**.

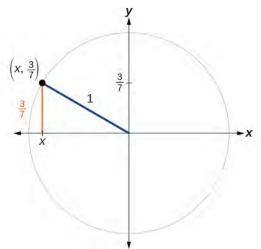


Figure 5.35

Substituting the known value for sine into the Pythagorean Identity,

$$\cos^{2}(t) + \sin^{2}(t) = 1$$

$$\cos^{2}(t) + \frac{9}{49} = 1$$

$$\cos^{2}(t) = \frac{40}{49}$$

$$\cos(t) = \pm \sqrt{\frac{40}{49}} = \pm \frac{\sqrt{40}}{7} = \pm \frac{2\sqrt{10}}{7}$$

Because the angle is in the second quadrant, we know the *x*-value is a negative real number, so the cosine is also negative. So $\cos(t) = -\frac{2\sqrt{10}}{7}$



5.14 If $cos(t) = \frac{24}{25}$ and t is in the fourth quadrant, find sin(t).

Finding Sines and Cosines of Special Angles

We have already learned some properties of the special angles, such as the conversion from radians to degrees. We can also calculate sines and cosines of the special angles using the Pythagorean Identity and our knowledge of triangles.

Finding Sines and Cosines of 45° Angles

First, we will look at angles of 45° or $\frac{\pi}{4}$, as shown in **Figure 5.36** . A $45^{\circ} - 45^{\circ} - 90^{\circ}$ triangle is an isosceles triangle, so the *x*- and *y*-coordinates of the corresponding point on the circle are the same. Because the *x*- and *y*-values are the same, the sine and cosine values will also be equal.

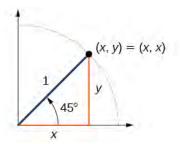


Figure 5.36

At $t = \frac{\pi}{4}$, which is 45 degrees, the radius of the unit circle bisects the first quadrantal angle. This means the radius lies along the line y = x. A unit circle has a radius equal to 1. So, the right triangle formed below the line y = x has sides x and y (y = x), and a radius = 1. See **Figure 5.37.**

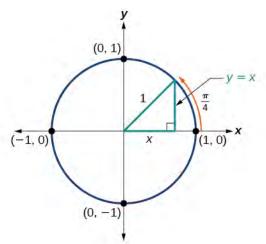


Figure 5.37

$$x^2 + y^2 = 1$$

Substituting y = x, we get

$$x^2 + x^2 = 1$$

Combining like terms we get

$$2x^2 = 1$$

And solving for x, we get

$$x^2 = \frac{1}{2}$$
$$x = \pm \frac{1}{\sqrt{2}}$$

In quadrant I, $x = \frac{1}{\sqrt{2}}$.

At $t = \frac{\pi}{4}$ or 45 degrees,

$$(x, y) = (x, x) = \left(\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}\right)$$

 $x = \frac{1}{\sqrt{2}}, y = \frac{1}{\sqrt{2}}$
 $\cos t = \frac{1}{\sqrt{2}}, \sin t = \frac{1}{\sqrt{2}}$

If we then rationalize the denominators, we get

$$\cos t = \frac{1}{\sqrt{2}} \frac{\sqrt{2}}{\sqrt{2}}$$
$$= \frac{\sqrt{2}}{2}$$
$$\sin t = \frac{1}{\sqrt{2}} \frac{\sqrt{2}}{\sqrt{2}}$$
$$= \frac{\sqrt{2}}{2}$$

Therefore, the (x, y) coordinates of a point on a circle of radius 1 at an angle of 45° are $\left(\frac{\sqrt{2}}{2}, \frac{\sqrt{2}}{2}\right)$.

Finding Sines and Cosines of 30° and 60° Angles

Next, we will find the cosine and sine at an angle of 30° , or $\frac{\pi}{6}$. First, we will draw a triangle inside a circle with one side at an angle of 30° , and another at an angle of -30° , as shown in **Figure 5.38**. If the resulting two right triangles are combined into one large triangle, notice that all three angles of this larger triangle will be 60° , as shown in **Figure 5.39**.

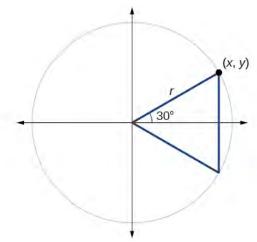


Figure 5.38

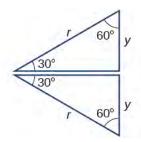


Figure 5.39

Because all the angles are equal, the sides are also equal. The vertical line has length 2y, and since the sides are all equal, we can also conclude that r=2y or $y=\frac{1}{2}r$. Since $\sin t=y$,

$$\sin\!\left(\frac{\pi}{6}\right) = \frac{1}{2}r$$

And since r = 1 in our unit circle,

$$\sin\left(\frac{\pi}{6}\right) = \frac{1}{2}(1)$$
$$= \frac{1}{2}$$

Using the Pythagorean Identity, we can find the cosine value.

$$\cos^2\frac{\pi}{6} + \sin^2\left(\frac{\pi}{6}\right) = 1$$

$$\cos^2\left(\frac{\pi}{6}\right) + \left(\frac{1}{2}\right)^2 = 1$$

$$\cos^2\left(\frac{\pi}{6}\right) = \frac{3}{4}$$
Use the square root property.
$$\cos\left(\frac{\pi}{6}\right) = \frac{\pm\sqrt{3}}{\pm\sqrt{4}} = \frac{\sqrt{3}}{2}$$
 Since y is positive, choose the positive root.

The (x, y) coordinates for the point on a circle of radius 1 at an angle of 30° are $\left(\frac{\sqrt{3}}{2}, \frac{1}{2}\right)$. At $t = \frac{\pi}{3}$ (60°), the radius of the unit circle, 1, serves as the hypotenuse of a 30-60-90 degree right triangle, BAD, as shown in **Figure 5.40**. Angle A has measure 60° . At point B, we draw an angle ABC with measure of 60° . We know the angles in a triangle sum to 180° , so the measure of angle C is also 60° . Now we have an equilateral triangle. Because each side of the equilateral triangle ABC is the same length, and we know one side is the radius of the unit circle, all sides must be of length 1.

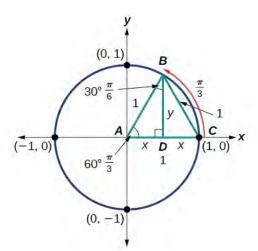


Figure 5.40

The measure of angle ABD is 30° . So, if double, angle ABC is 60° . BD is the perpendicular bisector of AC, so it cuts AC in half. This means that AD is $\frac{1}{2}$ the radius, or $\frac{1}{2}$. Notice that AD is the x-coordinate of point B, which is at the intersection of the 60° angle and the unit circle. This gives us a triangle BAD with hypotenuse of 1 and side x of length $\frac{1}{2}$.

From the Pythagorean Theorem, we get

$$x^2 + y^2 = 1$$

Substituting $x = \frac{1}{2}$, we get

$$\left(\frac{1}{2}\right)^2 + y^2 = 1$$

Solving for y, we get

$$\frac{1}{4} + y^2 = 1$$

$$y^2 = 1 - \frac{1}{4}$$

$$y^2 = \frac{3}{4}$$

$$y = \pm \frac{\sqrt{3}}{2}$$

Since $t = \frac{\pi}{3}$ has the terminal side in quadrant I where the *y*-coordinate is positive, we choose $y = \frac{\sqrt{3}}{2}$, the positive value.

At $t = \frac{\pi}{3}$ (60°), the (x, y) coordinates for the point on a circle of radius 1 at an angle of 60° are $\left(\frac{1}{2}, \frac{\sqrt{3}}{2}\right)$, so we can find the sine and cosine.

$$(x, y) = \left(\frac{1}{2}, \frac{\sqrt{3}}{2}\right)$$
$$x = \frac{1}{2}, y = \frac{\sqrt{3}}{2}$$
$$\cos t = \frac{1}{2}, \sin t = \frac{\sqrt{3}}{2}$$

We have now found the cosine and sine values for all of the most commonly encountered angles in the first quadrant of the unit circle. **Table 5.2** summarizes these values.

Angle	0	$\frac{\pi}{6}$, or 30	$\frac{\pi}{4}$, or 45°	$\frac{\pi}{3}$, or 60°	$\frac{\pi}{2}$, or 90°
Cosine	1	$\frac{\sqrt{3}}{2}$	$\frac{\sqrt{2}}{2}$	$\frac{1}{2}$	0
Sine	0	$\frac{1}{2}$	$\frac{\sqrt{2}}{2}$	$\frac{\sqrt{3}}{2}$	1

Table 5.2

Figure 5.41 shows the common angles in the first quadrant of the unit circle.

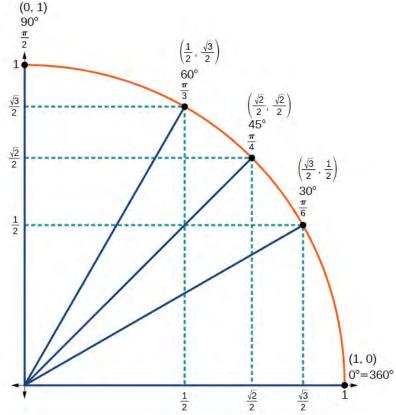


Figure 5.41

Using a Calculator to Find Sine and Cosine

To find the cosine and sine of angles other than the special angles, we turn to a computer or calculator. **Be aware**: Most calculators can be set into "degree" or "radian" mode, which tells the calculator the units for the input value. When we evaluate $\cos(30)$ on our calculator, it will evaluate it as the cosine of 30 degrees if the calculator is in degree mode, or the cosine of 30 radians if the calculator is in radian mode.

How To:

Given an angle in radians, use a graphing calculator to find the cosine.

- 1. If the calculator has degree mode and radian mode, set it to radian mode.
- 2. Press the COS key.
- 3. Enter the radian value of the angle and press the close-parentheses key ")".
- 4. Press ENTER.

Example 5.15

Using a Graphing Calculator to Find Sine and Cosine

Evaluate $\cos\left(\frac{5\pi}{3}\right)$ using a graphing calculator or computer.

Solution

Enter the following keystrokes:

$$COS(5 \times \pi \div 3)$$
 ENTER

$$\cos\left(\frac{5\pi}{3}\right) = 0.5$$

Analysis

We can find the cosine or sine of an angle in degrees directly on a calculator with degree mode. For calculators or software that use only radian mode, we can find the sign of 20° , for example, by including the conversion factor to radians as part of the input:

SIN(
$$20 \times \pi \div 180$$
) ENTER



5.15 Evaluate $\sin\left(\frac{\pi}{3}\right)$.

Identifying the Domain and Range of Sine and Cosine Functions

Now that we can find the sine and cosine of an angle, we need to discuss their domains and ranges. What are the domains of the sine and cosine functions? That is, what are the smallest and largest numbers that can be inputs of the functions? Because angles smaller than 0 and angles larger than 2π can still be graphed on the unit circle and have real values of x, y, and r, there is no lower or upper limit to the angles that can be inputs to the sine and cosine functions. The input to the sine and cosine functions is the rotation from the positive x-axis, and that may be any real number.

What are the ranges of the sine and cosine functions? What are the least and greatest possible values for their output? We can see the answers by examining the unit circle, as shown in **Figure 5.42**. The bounds of the *x*-coordinate are [-1, 1]. The bounds of the *y*-coordinate are also [-1, 1]. Therefore, the range of both the sine and cosine functions is [-1, 1].

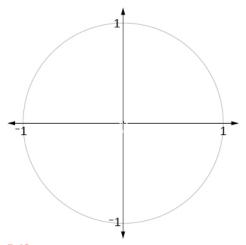


Figure 5.42

Finding Reference Angles

We have discussed finding the sine and cosine for angles in the first quadrant, but what if our angle is in another quadrant? For any given angle in the first quadrant, there is an angle in the second quadrant with the same sine value. Because the sine value is the *y*-coordinate on the unit circle, the other angle with the same sine will share the same *y*-value, but have the opposite *x*-value. Therefore, its cosine value will be the opposite of the first angle's cosine value.

Likewise, there will be an angle in the fourth quadrant with the same cosine as the original angle. The angle with the same cosine will share the same *x*-value but will have the opposite *y*-value. Therefore, its sine value will be the opposite of the original angle's sine value.

As shown in **Figure 5.43**, angle α has the same sine value as angle t; the cosine values are opposites. Angle β has the same cosine value as angle t; the sine values are opposites.

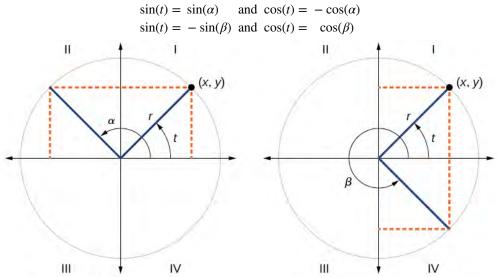


Figure 5.43

Recall that an angle's reference angle is the acute angle, t, formed by the terminal side of the angle t and the horizontal axis. A reference angle is always an angle between 0 and 90°, or 0 and $\frac{\pi}{2}$ radians. As we can see from **Figure 5.44**, for any angle in quadrants II, III, or IV, there is a reference angle in quadrant I.

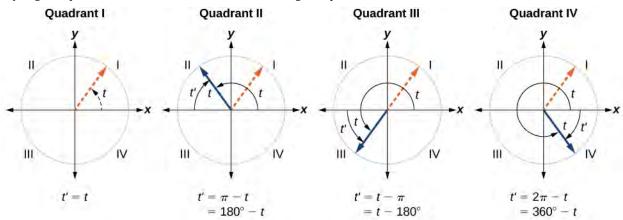


Figure 5.44



Given an angle between 0 and 2π , find its reference angle.

- 1. An angle in the first quadrant is its own reference angle.
- 2. For an angle in the second or third quadrant, the reference angle is $|\pi t|$ or $|180^{\circ} t|$.
- 3. For an angle in the fourth quadrant, the reference angle is $2\pi t$ or 360° –t.
- 4. If an angle is less than 0 or greater than 2π , add or subtract 2π as many times as needed to find an equivalent angle between 0 and 2π .

Example 5.16

Finding a Reference Angle

Find the reference angle of 225° as shown in **Figure 5.45**.

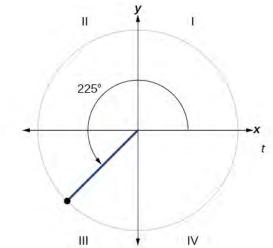


Figure 5.45

Solution

Because 225° is in the third quadrant, the reference angle is

$$|(180^{\circ}-225^{\circ})| = |-45^{\circ}| = 45^{\circ}$$



5.16 Find the reference angle of $\frac{5\pi}{3}$.

Using Reference Angles

Now let's take a moment to reconsider the Ferris wheel introduced at the beginning of this section. Suppose a rider snaps a photograph while stopped twenty feet above ground level. The rider then rotates three-quarters of the way around the circle. What is the rider's new elevation? To answer questions such as this one, we need to evaluate the sine or cosine functions at angles that are greater than 90 degrees or at a negative angle. Reference angles make it possible to evaluate trigonometric functions for angles outside the first quadrant. They can also be used to find (x, y) coordinates for those angles. We will use the reference angle of the angle of rotation combined with the quadrant in which the terminal side of the angle lies.

Using Reference Angles to Evaluate Trigonometric Functions

We can find the cosine and sine of any angle in any quadrant if we know the cosine or sine of its reference angle. The absolute values of the cosine and sine of an angle are the same as those of the reference angle. The sign depends on the quadrant of the original angle. The cosine will be positive or negative depending on the sign of the *x*-values in that quadrant. The sine will be positive or negative depending on the sign of the *y*-values in that quadrant.

Using Reference Angles to Find Cosine and Sine

Angles have cosines and sines with the same absolute value as their reference angles. The sign (positive or negative) can be determined from the quadrant of the angle.



Given an angle in standard position, find the reference angle, and the cosine and sine of the original angle.

- 1. Measure the angle between the terminal side of the given angle and the horizontal axis. That is the reference angle.
- 2. Determine the values of the cosine and sine of the reference angle.
- 3. Give the cosine the same sign as the *x*-values in the quadrant of the original angle.
- 4. Give the sine the same sign as the *y*-values in the quadrant of the original angle.

Example 5.17

Using Reference Angles to Find Sine and Cosine

- a. Using a reference angle, find the exact value of $\cos(150^\circ)$ and $\sin(150^\circ)$.
- b. Using the reference angle, find $\cos \frac{5\pi}{4}$ and $\sin \frac{5\pi}{4}$.

Solution

a. 150° is located in the second quadrant. The angle it makes with the *x*-axis is $180^{\circ} - 150^{\circ} = 30^{\circ}$, so the reference angle is 30° .

This tells us that 150° has the same sine and cosine values as 30°, except for the sign. We know that

$$\cos(30^{\circ}) = \frac{\sqrt{3}}{2}$$
 and $\sin(30^{\circ}) = \frac{1}{2}$.

Since 150° is in the second quadrant, the *x*-coordinate of the point on the circle is negative, so the cosine value is negative. The *y*-coordinate is positive, so the sine value is positive.

$$\cos(150^{\circ}) = -\frac{\sqrt{3}}{2}$$
 and $\sin(150^{\circ}) = \frac{1}{2}$

b. $\frac{5\pi}{4}$ is in the third quadrant. Its reference angle is $\frac{5\pi}{4} - \pi = \frac{\pi}{4}$. The cosine and sine of $\frac{\pi}{4}$ are both $\frac{\sqrt{2}}{2}$. In the third quadrant, both x and y are negative, so:

$$\cos\frac{5\pi}{4} = -\frac{\sqrt{2}}{2}$$
 and $\sin\frac{5\pi}{4} = -\frac{\sqrt{2}}{2}$



- **5.17** a. Use the reference angle of 315° to find $\cos(315^{\circ})$ and $\sin(315^{\circ})$.
 - b. Use the reference angle of $-\frac{\pi}{6}$ to find $\cos\left(-\frac{\pi}{6}\right)$ and $\sin\left(-\frac{\pi}{6}\right)$.

Using Reference Angles to Find Coordinates

Now that we have learned how to find the cosine and sine values for special angles in the first quadrant, we can use symmetry and reference angles to fill in cosine and sine values for the rest of the special angles on the unit circle. They are shown in **Figure 5.46**. Take time to learn the (x, y) coordinates of all of the major angles in the first quadrant.

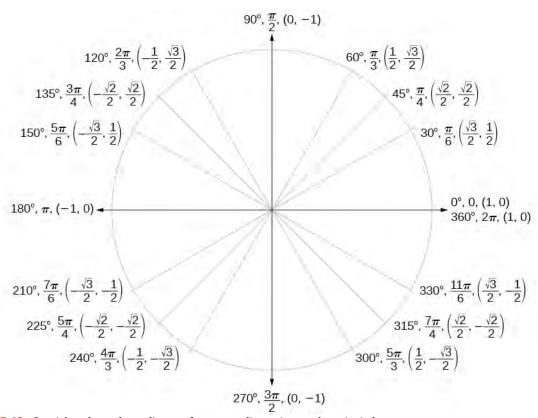


Figure 5.46 Special angles and coordinates of corresponding points on the unit circle

In addition to learning the values for special angles, we can use reference angles to find (x, y) coordinates of any point on the unit circle, using what we know of reference angles along with the identities

$$x = \cos t$$
$$y = \sin t$$

First we find the reference angle corresponding to the given angle. Then we take the sine and cosine values of the reference angle, and give them the signs corresponding to the *y*- and *x*-values of the quadrant.



Given the angle of a point on a circle and the radius of the circle, find the (x, y) coordinates of the point.

- 1. Find the reference angle by measuring the smallest angle to the *x*-axis.
- 2. Find the cosine and sine of the reference angle.
- 3. Determine the appropriate signs for *x* and *y* in the given quadrant.

Example 5.18

Using the Unit Circle to Find Coordinates

Find the coordinates of the point on the unit circle at an angle of $\frac{7\pi}{6}$.

Solution

We know that the angle $\frac{7\pi}{6}$ is in the third quadrant.

First, let's find the reference angle by measuring the angle to the *x*-axis. To find the reference angle of an angle whose terminal side is in quadrant III, we find the difference of the angle and π .

$$\frac{7\pi}{6} - \pi = \frac{\pi}{6}$$

Next, we will find the cosine and sine of the reference angle:

$$\cos\left(\frac{\pi}{6}\right) = \frac{\sqrt{3}}{2} \quad \sin\left(\frac{\pi}{6}\right) = \frac{1}{2}$$

We must determine the appropriate signs for x and y in the given quadrant. Because our original angle is in the third quadrant, where both x and y are negative, both cosine and sine are negative.

$$\cos\left(\frac{7\pi}{6}\right) = -\frac{\sqrt{3}}{2}$$
$$\sin\left(\frac{7\pi}{6}\right) = -\frac{1}{2}$$

Now we can calculate the (x, y) coordinates using the identities $x = \cos \theta$ and $y = \sin \theta$.

The coordinates of the point are $\left(-\frac{\sqrt{3}}{2}, -\frac{1}{2}\right)$ on the unit circle.



5.18 Find the coordinates of the point on the unit circle at an angle of $\frac{5\pi}{3}$.



Access these online resources for additional instruction and practice with sine and cosine functions.

- Trigonometric Functions Using the Unit Circle (http://openstaxcollege.org/l/trigunitcir)
- Sine and Cosine from the Unit Circle (http://openstaxcollege.org/l/sincosuc)
- Sine and Cosine from the Unit Circle and Multiples of Pi Divided by Six (http://openstaxcollege.org/l/sincosmult)
- Sine and Cosine from the Unit Circle and Multiples of Pi Divided by Four (http://openstaxcollege.org/l/sincosmult4)
- Trigonometric Functions Using Reference Angles (http://openstaxcollege.org/l/trigrefang)

5.2 EXERCISES

Verbal

- 75. Describe the unit circle.
- 76. What do the *x* and *y*-coordinates of the points on the unit circle represent?
- 77. Discuss the difference between a coterminal angle and a reference angle.
- 78. Explain how the cosine of an angle in the second quadrant differs from the cosine of its reference angle in the unit circle.
- 79. Explain how the sine of an angle in the second quadrant differs from the sine of its reference angle in the unit circle.

Algebraic

For the following exercises, use the given sign of the sine and cosine functions to find the quadrant in which the terminal point determined by t lies.

- 80. $\sin(t) < 0$ and $\cos(t) < 0$
- 81. $\sin(t) > 0$ and $\cos(t) > 0$
- 82. $\sin(t) > 0$ and $\cos(t) < 0$
- 83. $\sin(t) < 0$ and $\cos(t) > 0$

For the following exercises, find the exact value of each trigonometric function.

- 84. $\sin \frac{\pi}{2}$
- 85. $\sin \frac{\pi}{3}$
- 86. $\cos \frac{\pi}{2}$
- 87. $\cos \frac{\pi}{3}$
- 88. $\sin \frac{\pi}{4}$
- 89. $\cos \frac{\pi}{4}$
- 90. $\sin \frac{\pi}{6}$
- 91. $\sin \pi$
- 92. $\sin \frac{3\pi}{2}$

- 93. $\cos \pi$
- 94. cos 0
- 95. $\cos \frac{\pi}{6}$
- 96. sin 0

Numeric

For the following exercises, state the reference angle for the given angle.

- 97. 240°
- 98. -170°
- 99. 100°
- 100. −315°
- 101. 135°
- 102. $\frac{5\pi}{4}$
- 103. $\frac{2\pi}{3}$
- 104. $\frac{5\pi}{6}$
- 105. $\frac{-11\pi}{3}$
- 106. $\frac{-7\pi}{4}$
- 107. $\frac{-\pi}{8}$

For the following exercises, find the reference angle, the quadrant of the terminal side, and the sine and cosine of each angle. If the angle is not one of the angles on the unit circle, use a calculator and round to three decimal places.

- 108. 225°
- 109. 300°
- 110. 320°
- 111. 135°
- 112. 210°
- 113. 120°

- 114. 250°
- 115. 150°
- 116. $\frac{5\pi}{4}$
- 117. $\frac{7\pi}{6}$
- 118. $\frac{5\pi}{3}$
- 119. $\frac{3\pi}{4}$
- 120. $\frac{4\pi}{3}$
- 121. $\frac{2\pi}{3}$
- 122. $\frac{5\pi}{6}$
- 123. $\frac{7\pi}{4}$

For the following exercises, find the requested value.

- 124. If $cos(t) = \frac{1}{7}$ and t is in the 4th quadrant, find sin(t).
- 125. If $cos(t) = \frac{2}{9}$ and *t* is in the 1st quadrant, find sin(t).
- 126. If $sin(t) = \frac{3}{8}$ and t is in the 2nd quadrant, find cos(t).
- ¹²⁷. If $sin(t) = -\frac{1}{4}$ and *t* is in the 3rd quadrant, find cos(t).
- 128. Find the coordinates of the point on a circle with radius 15 corresponding to an angle of 220° .
- 129. Find the coordinates of the point on a circle with radius 20 corresponding to an angle of 120° .
- 130. Find the coordinates of the point on a circle with radius 8 corresponding to an angle of $\frac{7\pi}{4}$.
- 131. Find the coordinates of the point on a circle with radius 16 corresponding to an angle of $\frac{5\pi}{9}$.

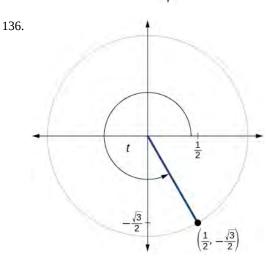
- 132. State the domain of the sine and cosine functions.
- 133. State the range of the sine and cosine functions.

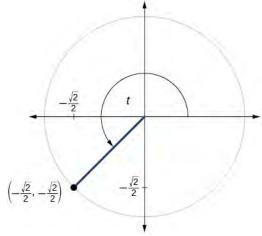
Graphical

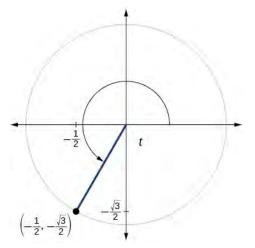
For the following exercises, use the given point on the unit circle to find the value of the sine and cosine of $\it t$.

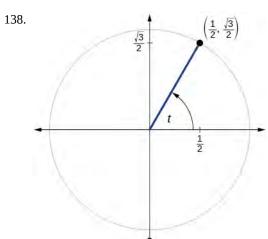
134. $\frac{\sqrt{2}}{2}$

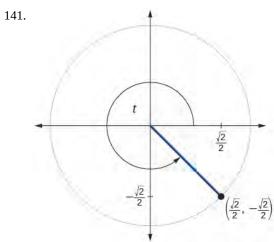
135. $\left(-\frac{\sqrt{3}}{2}, \frac{1}{2}\right)$ $\frac{1}{2}$ t

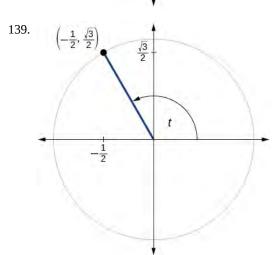


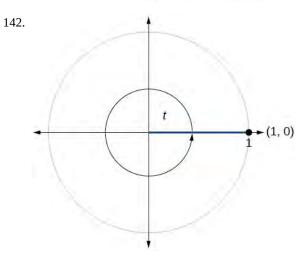




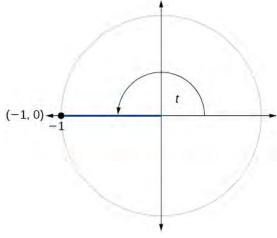


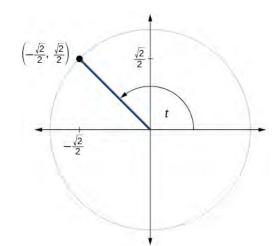


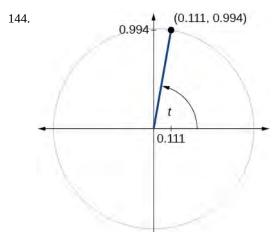


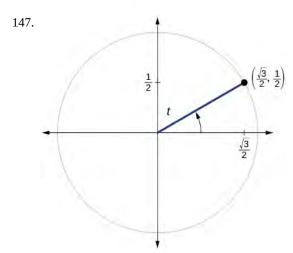


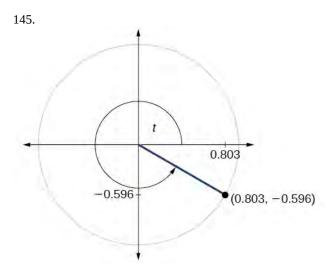
140. 143.

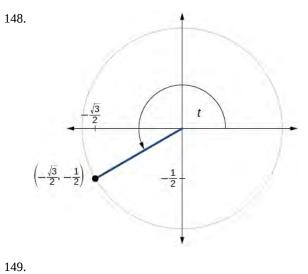




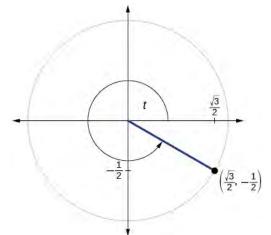


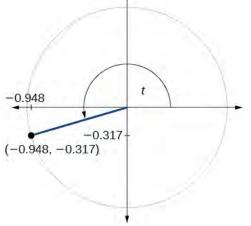


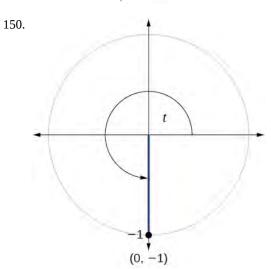


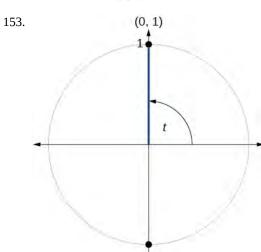


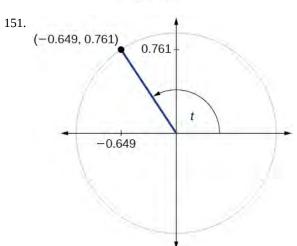
146.











Technology

For the following exercises, use a graphing calculator to evaluate.

- 154. $\sin \frac{5\pi}{9}$
- 155. $\cos \frac{5\pi}{9}$
- 156. $\sin \frac{\pi}{10}$
- 157. $\cos \frac{\pi}{10}$
- 158. $\sin \frac{3\pi}{4}$
- 159. $\cos \frac{3\pi}{4}$
- 160. sin 98°
- 161. cos 98°
- 162.

cos 310°

163. sin 310°

Extensions

164.
$$\sin\left(\frac{11\pi}{3}\right)\cos\left(\frac{-5\pi}{6}\right)$$

165.
$$\sin\left(\frac{3\pi}{4}\right)\cos\left(\frac{5\pi}{3}\right)$$

166.
$$\sin\left(-\frac{4\pi}{3}\right)\cos\left(\frac{\pi}{2}\right)$$

167.
$$\sin\left(\frac{-9\pi}{4}\right)\cos\left(\frac{-\pi}{6}\right)$$

168.
$$\sin\left(\frac{\pi}{6}\right)\cos\left(\frac{-\pi}{3}\right)$$

169.
$$\sin\left(\frac{7\pi}{4}\right)\cos\left(\frac{-2\pi}{3}\right)$$

170.
$$\cos\left(\frac{5\pi}{6}\right)\cos\left(\frac{2\pi}{3}\right)$$

171.
$$\cos\left(\frac{-\pi}{3}\right)\cos\left(\frac{\pi}{4}\right)$$

172.
$$\sin\left(\frac{-5\pi}{4}\right)\sin\left(\frac{11\pi}{6}\right)$$

173.
$$\sin(\pi)\sin(\frac{\pi}{6})$$

Real-World Applications

For the following exercises, use this scenario: A child enters a carousel that takes one minute to revolve once around. The child enters at the point (0, 1), that is, on the due north position. Assume the carousel revolves counter clockwise.

- 174. What are the coordinates of the child after 45 seconds?
- 175. What are the coordinates of the child after 90 seconds?
- 176. What is the coordinates of the child after 125 seconds?
- 177. When will the child have coordinates (0.707, –0.707) if the ride lasts 6 minutes? (There are multiple answers.)
- 178. When will the child have coordinates (-0.866, -0.5) if the ride last 6 minutes?

5.3 | The Other Trigonometric Functions

Learning Objectives

In this section, you will:

- **5.3.1** Find exact values of the trigonometric functions secant, cosecant, tangent, and cotangent of $\frac{\pi}{3}$, $\frac{\pi}{4}$, and $\frac{\pi}{6}$.
- **5.3.2** Use reference angles to evaluate the trigonometric functions secant, cosecant, tangent, and cotangent.
- **5.3.3** Use properties of even and odd trigonometric functions.
- 5.3.4 Recognize and use fundamental identities.
- 5.3.5 Evaluate trigonometric functions with a calculator.

A wheelchair ramp that meets the standards of the Americans with Disabilities Act must make an angle with the ground whose tangent is $\frac{1}{12}$ or less, regardless of its length. A tangent represents a ratio, so this means that for every 1 inch of

rise, the ramp must have 12 inches of run. Trigonometric functions allow us to specify the shapes and proportions of objects independent of exact dimensions. We have already defined the sine and cosine functions of an angle. Though sine and cosine are the trigonometric functions most often used, there are four others. Together they make up the set of six trigonometric functions. In this section, we will investigate the remaining functions.

Finding Exact Values of the Trigonometric Functions Secant, Cosecant, Tangent, and Cotangent

To define the remaining functions, we will once again draw a unit circle with a point (x, y) corresponding to an angle of t, as shown in **Figure 5.47**. As with the sine and cosine, we can use the (x, y) coordinates to find the other functions.

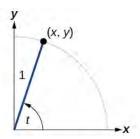


Figure 5.47

The first function we will define is the tangent. The **tangent** of an angle is the ratio of the *y*-value to the *x*-value of the corresponding point on the unit circle. In **Figure 5.47**, the tangent of angle *t* is equal to $\frac{y}{x}$, $x\neq 0$. Because the *y*-value is equal to the sine of *t*, and the *x*-value is equal to the cosine of *t*, the tangent of angle *t* can also be defined as $\frac{\sin t}{\cos t}$, $\cos t \neq 0$. The tangent function is abbreviated as tan. The remaining three functions can all be expressed as reciprocals of functions we have already defined.

- The **secant** function is the reciprocal of the cosine function. In **Figure 5.47**, the secant of angle *t* is equal to $\frac{1}{\cos t} = \frac{1}{x}$, $x \ne 0$. The secant function is abbreviated as sec.
- The **cotangent** function is the reciprocal of the tangent function. In **Figure 5.47**, the cotangent of angle t is equal to $\frac{\cos t}{\sin t} = \frac{x}{y}$, $y \neq 0$. The cotangent function is abbreviated as cot.

• The **cosecant** function is the reciprocal of the sine function. In **Figure 5.47**, the cosecant of angle t is equal to $\frac{1}{\sin t} = \frac{1}{y}$, $y \ne 0$. The cosecant function is abbreviated as csc.

Tangent, Secant, Cosecant, and Cotangent Functions

If t is a real number and (x, y) is a point where the terminal side of an angle of t radians intercepts the unit circle, then

$$\tan t = \frac{y}{x}, \ x \neq 0$$

$$\sec t = \frac{1}{x}, \ x \neq 0$$

$$\csc t = \frac{1}{y}, \ y \neq 0$$

$$\cot t = \frac{x}{y}, \ y \neq 0$$

Example 5.19

Finding Trigonometric Functions from a Point on the Unit Circle

The point $\left(-\frac{\sqrt{3}}{2}, \frac{1}{2}\right)$ is on the unit circle, as shown in **Figure 5.48**. Find $\sin t$, $\cos t$, $\tan t$, $\sec t$, $\csc t$, and $\cot t$.

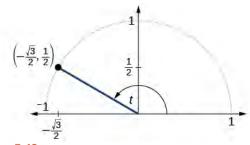


Figure 5.48

Solution

Because we know the (x, y) coordinates of the point on the unit circle indicated by angle t, we can use those coordinates to find the six functions:

$$\sin t = y = \frac{1}{2}$$

$$\cos t = x = -\frac{\sqrt{3}}{2}$$

$$\tan t = \frac{y}{x} = \frac{\frac{1}{2}}{-\frac{\sqrt{3}}{2}} = \frac{1}{2} \left(-\frac{2}{\sqrt{3}} \right) = -\frac{1}{\sqrt{3}} = -\frac{\sqrt{3}}{3}$$

$$\sec t = \frac{1}{x} = \frac{1}{-\frac{\sqrt{3}}{2}} = -\frac{2}{\sqrt{3}} = -\frac{2\sqrt{3}}{3}$$

$$\csc t = \frac{1}{y} = \frac{1}{\frac{1}{2}} = 2$$

$$\cot t = \frac{x}{y} = \frac{\frac{1}{2}}{\frac{\sqrt{3}}{2}} = -\frac{\sqrt{3}}{2} \left(\frac{2}{1} \right) = -\sqrt{3}$$

Try

5.19 The point $\left(\frac{\sqrt{2}}{2}, -\frac{\sqrt{2}}{2}\right)$ is on the unit circle, as shown in **Figure 5.49**. Find $\sin t$, $\cos t$, $\tan t$, $\sec t$, $\csc t$, and $\cot t$.

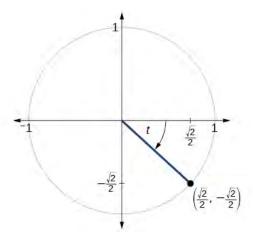


Figure 5.49

Example 5.20

Finding the Trigonometric Functions of an Angle

Find $\sin t$, $\cos t$, $\tan t$, $\sec t$, $\csc t$, and $\cot t$ when $t = \frac{\pi}{6}$.

Solution

We have previously used the properties of equilateral triangles to demonstrate that $\sin \frac{\pi}{6} = \frac{1}{2}$ and $\cos \frac{\pi}{6} = \frac{\sqrt{3}}{2}$.

We can use these values and the definitions of tangent, secant, cosecant, and cotangent as functions of sine and cosine to find the remaining function values.

$$\tan \frac{\pi}{6} = \frac{\sin \frac{\pi}{6}}{\cos \frac{\pi}{6}}$$

$$= \frac{\frac{1}{2}}{\frac{\sqrt{3}}{2}} = \frac{1}{\sqrt{3}} = \frac{\sqrt{3}}{3}$$

$$\sec \frac{\pi}{6} = \frac{1}{\cos \frac{\pi}{6}}$$

$$= \frac{1}{\frac{\sqrt{3}}{2}} = \frac{2}{\sqrt{3}} = \frac{2\sqrt{3}}{3}$$

$$\csc \frac{\pi}{6} = \frac{1}{\sin \frac{\pi}{6}} = \frac{1}{\frac{1}{2}} = 2$$

$$\cot \frac{\pi}{6} = \frac{\cos \frac{\pi}{6}}{\sin \frac{\pi}{6}}$$

$$= \frac{\frac{\sqrt{3}}{2}}{\frac{1}{2}} = \sqrt{3}$$

Try It **5.20** Find sin t, cos t, tan t, sec t, csc t, and cot t when $t = \frac{\pi}{3}$.

Because we know the sine and cosine values for the common first-quadrant angles, we can find the other function values for those angles as well by setting x equal to the cosine and y equal to the sine and then using the definitions of tangent, secant, cosecant, and cotangent. The results are shown in **Table 5.3**.

Angle	0	$\frac{\pi}{6}$, or 30°	$\frac{\pi}{4}$, or 45°	$\frac{\pi}{3}$, or 60°	$\frac{\pi}{2}$, or 90°
Cosine	1	$\frac{\sqrt{3}}{2}$	$\frac{\sqrt{2}}{2}$	$\frac{1}{2}$	0
Sine	0	$\frac{1}{2}$	$\frac{\sqrt{2}}{2}$	$\frac{\sqrt{3}}{2}$	1
Tangent	0	$\frac{\sqrt{3}}{3}$	1	√3	Undefined
Secant	1	$\frac{2\sqrt{3}}{3}$	$\sqrt{2}$	2	Undefined
Cosecant	Undefined	2	$\sqrt{2}$	$\frac{2\sqrt{3}}{3}$	1
Cotangent	Undefined	$\sqrt{3}$	1	$\frac{\sqrt{3}}{3}$	0

Table 5.3

Using Reference Angles to Evaluate Tangent, Secant, Cosecant, and Cotangent

We can evaluate trigonometric functions of angles outside the first quadrant using reference angles as we have already done with the sine and cosine functions. The procedure is the same: Find the reference angle formed by the terminal side of the given angle with the horizontal axis. The trigonometric function values for the original angle will be the same as those for the reference angle, except for the positive or negative sign, which is determined by *x*- and *y*-values in the original quadrant. **Figure 5.50** shows which functions are positive in which quadrant.

To help us remember which of the six trigonometric functions are positive in each quadrant, we can use the mnemonic phrase "A Smart Trig Class." Each of the four words in the phrase corresponds to one of the four quadrants, starting with quadrant I and rotating counterclockwise. In quadrant I, which is "A," all of the six trigonometric functions are positive. In quadrant II, "Smart," only sine and its reciprocal function, cosecant, are positive. In quadrant III, "Trig," only tangent and its reciprocal function, cotangent, are positive. Finally, in quadrant IV, "Class," only cosine and its reciprocal function, secant, are positive.

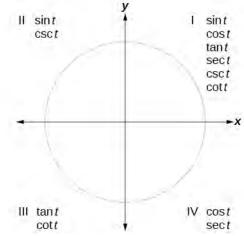


Figure 5.50



Given an angle not in the first quadrant, use reference angles to find all six trigonometric functions.

- 1. Measure the angle formed by the terminal side of the given angle and the horizontal axis. This is the reference angle.
- 2. Evaluate the function at the reference angle.
- 3. Observe the quadrant where the terminal side of the original angle is located. Based on the quadrant, determine whether the output is positive or negative.

Example 5.21

Using Reference Angles to Find Trigonometric Functions

Use reference angles to find all six trigonometric functions of $-\frac{5\pi}{6}$.

Solution

The angle between this angle's terminal side and the *x*-axis is $\frac{\pi}{6}$, so that is the reference angle. Since $-\frac{5\pi}{6}$ is in the third quadrant, where both *x* and *y* are negative, cosine, sine, secant, and cosecant will be negative, while tangent and cotangent will be positive.

$$\cos\left(-\frac{5\pi}{6}\right) = -\frac{\sqrt{3}}{2}, \sin\left(-\frac{5\pi}{6}\right) = -\frac{1}{2}, \tan\left(-\frac{5\pi}{6}\right) = \frac{\sqrt{3}}{3}$$
$$\sec\left(-\frac{5\pi}{6}\right) = -\frac{2\sqrt{3}}{3}, \csc\left(-\frac{5\pi}{6}\right) = -2, \cot\left(-\frac{5\pi}{6}\right) = \sqrt{3}$$



5.21 Use reference angles to find all six trigonometric functions of $-\frac{7\pi}{4}$.

Using Even and Odd Trigonometric Functions

To be able to use our six trigonometric functions freely with both positive and negative angle inputs, we should examine how each function treats a negative input. As it turns out, there is an important difference among the functions in this regard.

Consider the function $f(x) = x^2$, shown in **Figure 5.51**. The graph of the function is symmetrical about the *y*-axis. All along the curve, any two points with opposite *x*-values have the same function value. This matches the result of calculation: $(4)^2 = (-4)^2$, $(-5)^2 = (5)^2$, and so on. So $f(x) = x^2$ is an even function, a function such that two inputs that are opposites have the same output. That means f(-x) = f(x).

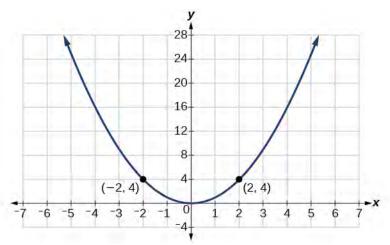


Figure 5.51 The function $f(x) = x^2$ is an even function.

Now consider the function $f(x) = x^3$, shown in **Figure 5.52**. The graph is not symmetrical about the *y*-axis. All along the graph, any two points with opposite *x*-values also have opposite *y*-values. So $f(x) = x^3$ is an odd function, one such that two inputs that are opposites have outputs that are also opposites. That means f(-x) = -f(x).

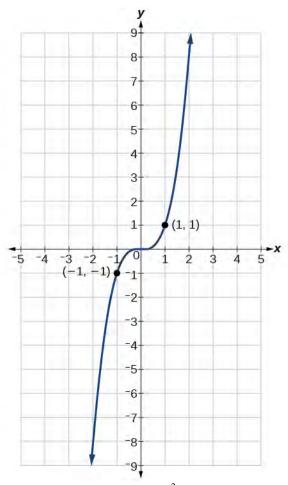


Figure 5.52 The function $f(x) = x^3$ is an odd function.

We can test whether a trigonometric function is even or odd by drawing a unit circle with a positive and a negative angle, as in **Figure 5.53**. The sine of the positive angle is y. The sine of the negative angle is -y. The sine function, then, is an odd function. We can test each of the six trigonometric functions in this fashion. The results are shown in **Table 5.4**.

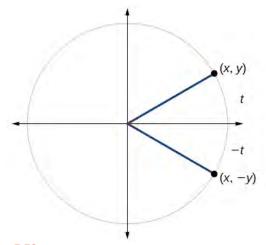


Figure 5.53

$\sin t = y$ $\sin(-t) = -y$ $\sin t \neq \sin(-t)$	$\cos t = x$ $\cos(-t) = x$ $\cos t = \cos(-t)$	$\tan(t) = \frac{y}{x}$ $\tan(-t) = -\frac{y}{x}$ $\tan t \neq \tan(-t)$
$\sec t = \frac{1}{x}$ $\sec(-t) = \frac{1}{x}$ $\sec t = \sec(-t)$	$\csc t = \frac{1}{y}$ $\csc(-t) = \frac{1}{-y}$ $\csc t \neq \csc(-t)$	$\cot t = \frac{x}{y}$ $\cot(-t) = \frac{x}{-y}$ $\cot t \neq \cot(-t)$

Table 5.4

Even and Odd Trigonometric Functions

An even function is one in which f(-x) = f(x).

An odd function is one in which f(-x) = -f(x).

Cosine and secant are even:

$$cos(-t) = cos t$$
$$sec(-t) = sec t$$

Sine, tangent, cosecant, and cotangent are odd:

$$sin(-t) = -sin t$$

$$tan(-t) = -tan t$$

$$csc(-t) = -csc t$$

$$cot(-t) = -cot t$$

Example 5.22

Using Even and Odd Properties of Trigonometric Functions

If the secant of angle t is 2, what is the secant of -t?

Solution

Secant is an even function. The secant of an angle is the same as the secant of its opposite. So if the secant of angle t is 2, the secant of -t is also 2.



5.22 If the cotangent of angle *t* is $\sqrt{3}$, what is the cotangent of -t?

Recognizing and Using Fundamental Identities

We have explored a number of properties of trigonometric functions. Now, we can take the relationships a step further, and derive some fundamental identities. Identities are statements that are true for all values of the input on which they are defined. Usually, identities can be derived from definitions and relationships we already know. For example, the Pythagorean Identity we learned earlier was derived from the Pythagorean Theorem and the definitions of sine and cosine.

Fundamental Identities

We can derive some useful identities from the six trigonometric functions. The other four trigonometric functions can be related back to the sine and cosine functions using these basic relationships:

$$\tan t = \frac{\sin t}{\cos t} \tag{5.9}$$

$$\sec t = \frac{1}{\cos t} \tag{5.10}$$

$$\csc t = \frac{1}{\sin t} \tag{5.11}$$

$$\cot t = \frac{1}{\tan t} = \frac{\cos t}{\sin t} \tag{5.12}$$

Example 5.23

Using Identities to Evaluate Trigonometric Functions

- a. Given $\sin(45^\circ) = \frac{\sqrt{2}}{2}$, $\cos(45^\circ) = \frac{\sqrt{2}}{2}$, evaluate $\tan(45^\circ)$.
- b. Given $\sin\left(\frac{5\pi}{6}\right) = \frac{1}{2}$, $\cos\left(\frac{5\pi}{6}\right) = -\frac{\sqrt{3}}{2}$, evaluate $\sec\left(\frac{5\pi}{6}\right)$.

Solution

Because we know the sine and cosine values for these angles, we can use identities to evaluate the other functions.

$$\tan(45^\circ) = \frac{\sin(45^\circ)}{\cos(45^\circ)}$$
a.
$$= \frac{\frac{\sqrt{2}}{2}}{\frac{\sqrt{2}}{2}}$$

$$= 1$$

$$\sec\left(\frac{5\pi}{6}\right) = \frac{1}{\cos\left(\frac{5\pi}{6}\right)}$$

$$= \frac{1}{-\frac{\sqrt{3}}{2}}$$
b.
$$= \frac{-2\sqrt{3}}{1}$$

$$= \frac{-2\sqrt{3}}{3}$$

$$= -\frac{2\sqrt{3}}{3}$$



5.23 Evaluate $\csc\left(\frac{7\pi}{6}\right)$.

Example 5.24

Using Identities to Simplify Trigonometric Expressions

Simplify $\frac{\sec t}{\tan t}$.

Solution

We can simplify this by rewriting both functions in terms of sine and cosine.

$$\frac{\sec t}{\tan t} = \frac{\frac{1}{\cos t}}{\frac{\sin t}{\cos t}}$$
To divide the functions, we multiply by the reciprocal.
$$= \frac{1}{\cos t} \frac{\cos t}{\sin t}$$
Divide out the cosines.
$$= \frac{1}{\sin t}$$
Simplify and use the identity.
$$= \csc t$$

By showing that $\frac{\sec t}{\tan t}$ can be simplified to $\csc t$, we have, in fact, established a new identity.

$$\frac{\sec t}{\tan t} = \csc t$$



5.24 Simplify $\tan t(\cos t)$.

Alternate Forms of the Pythagorean Identity

We can use these fundamental identities to derive alternative forms of the Pythagorean Identity, $\cos^2 t + \sin^2 t = 1$. One form is obtained by dividing both sides by $\cos^2 t$:

$$\frac{\cos^2 t}{\cos^2 t} + \frac{\sin^2 t}{\cos^2 t} = \frac{1}{\cos^2 t}$$
$$1 + \tan^2 t = \sec^2 t$$

The other form is obtained by dividing both sides by $\sin^2 t$:

$$\frac{\cos^2 t}{\sin^2 t} + \frac{\sin^2 t}{\sin^2 t} = \frac{1}{\sin^2 t}$$
$$\cot^2 t + 1 = \csc^2 t$$

Alternate Forms of the Pythagorean Identity

$$1 + \tan^2 t = \sec^2 t$$
$$\cot^2 t + 1 = \csc^2 t$$

Example 5.25

Using Identities to Relate Trigonometric Functions

If $cos(t) = \frac{12}{13}$ and t is in quadrant IV, as shown in **Figure 5.54**, find the values of the other five trigonometric functions.

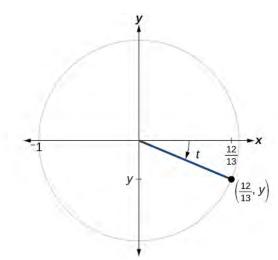


Figure 5.54

Solution

We can find the sine using the Pythagorean Identity, $\cos^2 t + \sin^2 t = 1$, and the remaining functions by relating them to sine and cosine.

$$\left(\frac{12}{13}\right)^2 + \sin^2 t = 1$$

$$\sin^2 t = 1 - \left(\frac{12}{13}\right)^2$$

$$\sin^2 t = 1 - \frac{144}{169}$$

$$\sin^2 t = \frac{25}{169}$$

$$\sin t = \pm \sqrt{\frac{25}{169}}$$

$$\sin t = \pm \frac{\sqrt{25}}{\sqrt{169}}$$

$$\sin t = \pm \frac{5}{13}$$

The sign of the sine depends on the *y*-values in the quadrant where the angle is located. Since the angle is in quadrant IV, where the *y*-values are negative, its sine is negative, $-\frac{5}{13}$.

The remaining functions can be calculated using identities relating them to sine and cosine.

$$\tan t = \frac{\sin t}{\cos t} = \frac{-\frac{5}{13}}{\frac{12}{13}} = -\frac{5}{12}$$

$$\sec t = \frac{1}{\cos t} = \frac{1}{\frac{12}{13}} = \frac{13}{12}$$

$$\csc t = \frac{1}{\sin t} = \frac{1}{-\frac{5}{13}} = -\frac{13}{5}$$

$$\cot t = \frac{1}{\tan t} = \frac{1}{-\frac{5}{12}} = -\frac{12}{5}$$

5.25 If $\sec(t) = -\frac{17}{8}$ and $0 < t < \pi$, find the values of the other five functions.

As we discussed in the chapter opening, a function that repeats its values in regular intervals is known as a periodic function. The trigonometric functions are periodic. For the four trigonometric functions, sine, cosine, cosecant and secant, a revolution of one circle, or 2π , will result in the same outputs for these functions. And for tangent and cotangent, only a half a revolution will result in the same outputs.

Other functions can also be periodic. For example, the lengths of months repeat every four years. If x represents the length time, measured in years, and f(x) represents the number of days in February, then f(x + 4) = f(x). This pattern repeats over and over through time. In other words, every four years, February is guaranteed to have the same number of days as it did 4 years earlier. The positive number 4 is the smallest positive number that satisfies this condition and is called the period. A **period** is the shortest interval over which a function completes one full cycle—in this example, the period is 4 and represents the time it takes for us to be certain February has the same number of days.

Period of a Function

The **period** P of a repeating function f is the number representing the interval such that f(x + P) = f(x) for any value of x.

The period of the cosine, sine, secant, and cosecant functions is 2π .

The period of the tangent and cotangent functions is π .

Example 5.26

Finding the Values of Trigonometric Functions

Find the values of the six trigonometric functions of angle *t* based on **Figure 5.55.**

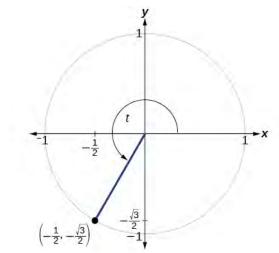


Figure 5.55

Solution

$$\sin t = y = -\frac{\sqrt{3}}{2}$$

$$\cos t = x = -\frac{1}{2}$$

$$\tan t = \frac{\sin t}{\cos t} = \frac{-\frac{\sqrt{3}}{2}}{-\frac{1}{2}} = \sqrt{3}$$

$$\sec t = \frac{1}{\cos t} = \frac{1}{-\frac{1}{2}} = -2$$

$$\csc t = \frac{1}{\sin t} = \frac{1}{-\frac{\sqrt{3}}{2}} = -\frac{2\sqrt{3}}{3}$$

$$\cot t = \frac{1}{\tan t} = \frac{1}{\sqrt{3}} = \frac{\sqrt{3}}{3}$$

Try

5.26 Find the values of the six trigonometric functions of angle t based on **Figure 5.56.**

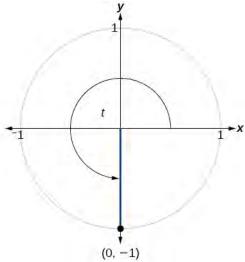


Figure 5.56

Example 5.27

Finding the Value of Trigonometric Functions

If $sin(t) = -\frac{\sqrt{3}}{2}$ and $cos(t) = \frac{1}{2}$, find sec(t), csc(t), tan(t), cot(t).

Solution

$$\sec t = \frac{1}{\cos t} = \frac{1}{\frac{1}{2}} = 2$$

$$\csc t = \frac{1}{\sin t} = \frac{1}{-\frac{\sqrt{3}}{2}} - \frac{2\sqrt{3}}{3}$$

$$\tan t = \frac{\sin t}{\cos t} = \frac{-\frac{\sqrt{3}}{2}}{\frac{1}{2}} = -\sqrt{3}$$

$$\cot t = \frac{1}{\tan t} = \frac{1}{-\sqrt{3}} = -\frac{\sqrt{3}}{3}$$



5.27 If $\sin(t) = \frac{\sqrt{2}}{2}$ and $\cos(t) = \frac{\sqrt{2}}{2}$, find $\sec(t)$, $\csc(t)$, $\tan(t)$, and $\cot(t)$.

Evaluating Trigonometric Functions with a Calculator

We have learned how to evaluate the six trigonometric functions for the common first-quadrant angles and to use them as reference angles for angles in other quadrants. To evaluate trigonometric functions of other angles, we use a scientific or graphing calculator or computer software. If the calculator has a degree mode and a radian mode, confirm the correct mode is chosen before making a calculation.

Evaluating a tangent function with a scientific calculator as opposed to a graphing calculator or computer algebra system is like evaluating a sine or cosine: Enter the value and press the TAN key. For the reciprocal functions, there may not be any dedicated keys that say CSC, SEC, or COT. In that case, the function must be evaluated as the reciprocal of a sine, cosine, or tangent.

If we need to work with degrees and our calculator or software does not have a degree mode, we can enter the degrees multiplied by the conversion factor $\frac{\pi}{180}$ to convert the degrees to radians. To find the secant of 30°, we could press

(for a scientific calcula or):
$$\frac{1}{30 \times \frac{\pi}{180}}$$
COS

or

(for a graphing calculator):
$$\frac{1}{\cos(\frac{30\pi}{180})}$$



Given an angle measure in radians, use a scientific calculator to find the cosecant.

- 1. If the calculator has degree mode and radian mode, set it to radian mode.
- 2. Enter: 1/
- 3. Enter the value of the angle inside parentheses.
- 4. Press the SIN key.
- 5. Press the = key.



Given an angle measure in radians, use a graphing utility/calculator to find the cosecant.

- 1. If the graphing utility has degree mode and radian mode, set it to radian mode.
- 2. Enter: 1/
- 3. Press the SIN key.
- 4. Enter the value of the angle inside parentheses.
- 5. Press the ENTER key.

Example 5.28

Evaluating the Secant Using Technology

Evaluate the cosecant of $\frac{5\pi}{7}$.

Solution

For a scientific calculator, enter information as follows:

$$1/(5 \times \pi/7) \text{ SIN} = \csc\left(\frac{5\pi}{7}\right) \approx 1.279$$



5.28 Evaluate the cotangent of $-\frac{\pi}{8}$.



Access these online resources for additional instruction and practice with other trigonometric functions.

- Determining Trig Function Values (http://openstaxcollege.org/l/trigfuncval)
- More Examples of Determining Trig Functions (http://openstaxcollege.org/l/moretrigfun)
- · Pythagorean Identities (http://openstaxcollege.org/l/pythagiden)
- Trig Functions on a Calculator (http://openstaxcollege.org/l/trigcalc)

5.3 EXERCISES

Verbal

- 179. On an interval of $[0, 2\pi)$, can the sine and cosine values of a radian measure ever be equal? If so, where?
- 180. What would you estimate the cosine of π degrees to be? Explain your reasoning.
- 181. For any angle in quadrant II, if you knew the sine of the angle, how could you determine the cosine of the angle?
- 182. Describe the secant function.
- 183. Tangent and cotangent have a period of π . What does this tell us about the output of these functions?

Algebraic

For the following exercises, find the exact value of each expression.

184.
$$\tan \frac{\pi}{6}$$

- 185. $\sec \frac{\pi}{6}$
- 186. $\csc \frac{\pi}{6}$
- 187. $\cot \frac{\pi}{6}$
- 188. $\tan \frac{\pi}{4}$
- 189. $\sec \frac{\pi}{4}$
- 190. $\csc \frac{\pi}{4}$
- 191. $\cot \frac{\pi}{4}$
- 192. $\tan \frac{\pi}{3}$
- 193. $\sec \frac{\pi}{3}$
- 194. $\csc \frac{\pi}{3}$
- 195. $\cot \frac{\pi}{3}$

For the following exercises, use reference angles to evaluate the expression.

196.
$$\tan \frac{5\pi}{6}$$

- 197. $\sec \frac{7\pi}{6}$
- 198. $\csc \frac{11\pi}{6}$
- 199. $\cot \frac{13\pi}{6}$
- 200. $\tan \frac{7\pi}{4}$
- 201. $\sec \frac{3\pi}{4}$
- 202. $\csc \frac{5\pi}{4}$
- 203. $\cot \frac{11\pi}{4}$
- 204. $\tan \frac{8\pi}{3}$
- 205. $\sec \frac{4\pi}{3}$
- 206. $\csc \frac{2\pi}{3}$
- 207. $\cot \frac{5\pi}{3}$
- 208. tan 225°
- 209. sec 300°
- 210. $csc 150^\circ$
- 211. cot 240°
- 212. $tan 330^{\circ}$
- 213. sec 120°
- 214. csc 210°
- 215. cot 315°
- 216. If $\sin t = \frac{3}{4}$, and *t* is in quadrant II, find $\cos t$, $\sec t$, $\csc t$, $\tan t$, $\cot t$.
- 217. If $\cos t = -\frac{1}{3}$, and *t* is in quadrant III, find $\sin t$, $\sec t$, $\csc t$, $\tan t$, $\cot t$.
- 218.

If
$$\tan t = \frac{12}{5}$$
,

and
$$0 \le t < \frac{\pi}{2}$$
,

find

 $\sin t$, $\cos t$, $\sec t$, $\csc t$, and $\cot t$.

219. If
$$\sin t = \frac{\sqrt{3}}{2}$$
 and $\cos t = \frac{1}{2}$, find $\sec t$, $\csc t$, $\tan t$, and $\cot t$.

220. If

 $\sin 40^{\circ} \approx 0.643 \cos 40^{\circ} \approx 0.766 \sec 40^{\circ}, \csc 40^{\circ}, \tan 40^{\circ}, \text{ and } \cot 40^{\circ}.$

221. If
$$\sin t = \frac{\sqrt{2}}{2}$$
, what is the $\sin(-t)$?

222. If
$$\cos t = \frac{1}{2}$$
, what is the $\cos(-t)$?

223. If
$$\sec t = 3.1$$
, what is the $\sec(-t)$?

224. If
$$\csc t = 0.34$$
, what is the $\csc(-t)$?

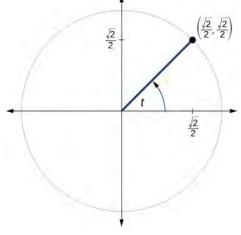
225. If
$$\tan t = -1.4$$
, what is the $\tan(-t)$?

226. If
$$\cot t = 9.23$$
, what is the $\cot(-t)$?

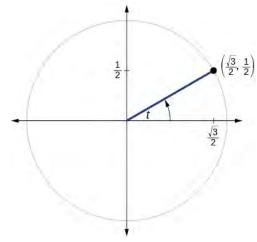
Graphical

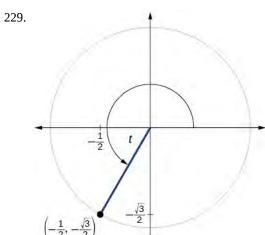
For the following exercises, use the angle in the unit circle to find the value of the each of the six trigonometric functions.





228.





Technology

For the following exercises, use a graphing calculator to evaluate.

230.
$$\csc \frac{5\pi}{9}$$

231.
$$\cot \frac{4\pi}{7}$$

232.
$$\sec \frac{\pi}{10}$$

233.
$$\tan \frac{5\pi}{8}$$

234.
$$\sec \frac{3\pi}{4}$$

235.
$$\csc \frac{\pi}{4}$$

238.
$$\cot 140^{\circ}$$

239. sec 310°

Extensions

For the following exercises, use identities to evaluate the expression.

- 240. If $tan(t) \approx 2.7$, and $sin(t) \approx 0.94$, find cos(t).
- 241. If $tan(t) \approx 1.3$, and $cos(t) \approx 0.61$, find sin(t).
- 242. If $\csc(t) \approx 3.2$, and $\cos(t) \approx 0.95$, find $\tan(t)$.
- 243. If $cot(t) \approx 0.58$, and $cos(t) \approx 0.5$, find csc(t).
- 244. Determine whether the function $f(x) = 2\sin x \cos x$ is even, odd, or neither.
- 245. Determine whether the function $f(x) = 3\sin^2 x \cos x + \sec x$ is even, odd, or neither.
- 246. Determine whether the function $f(x) = \sin x 2\cos^2 x$ is even, odd, or neither.
- 247. Determine whether the function $f(x) = \csc^2 x + \sec x$ is even, odd, or neither.

For the following exercises, use identities to simplify the expression.

248. csc t tan t

249. $\frac{\sec t}{\csc t}$

Real-World Applications

- 250. The amount of sunlight in a certain city can be modeled by the function $h = 15\cos\left(\frac{1}{600}d\right)$, where h represents the hours of sunlight, and d is the day of the year. Use the equation to find how many hours of sunlight there are on February 10, the $42^{\rm nd}$ day of the year. State the period of the function.
- 251. The amount of sunlight in a certain city can be modeled by the function $h = 16\cos\left(\frac{1}{500}d\right)$, where h represents the hours of sunlight, and d is the day of the year. Use the equation to find how many hours of sunlight there are on September 24, the 267^{th} day of the year. State the period of the function.
- 252. The equation $P = 20\sin(2\pi t) + 100$ models the blood pressure, P, where t represents time in seconds. (a) Find the blood pressure after 15 seconds. (b) What are the maximum and minimum blood pressures?

- 253. The height of a piston, h, in inches, can be modeled by the equation $y = 2\cos x + 6$, where x represents the crank angle. Find the height of the piston when the crank angle is 55° .
- 254. The height of a piston, h, in inches, can be modeled by the equation $y = 2\cos x + 5$, where x represents the crank angle. Find the height of the piston when the crank angle is 55° .

5.4 | Right Triangle Trigonometry

Learning Objectives

In this section, you will:

- 5.4.1 Use right triangles to evaluate trigonometric functions.
- **5.4.2** Find function values for $30^{\circ} \left(\frac{\pi}{6}\right)$, $45^{\circ} \left(\frac{\pi}{4}\right)$, and $60^{\circ} \left(\frac{\pi}{3}\right)$.
- **5.4.3** Use cofunctions of complementary angles.
- **5.4.4** Use the definitions of trigonometric functions of any angle.
- **5.4.5** Use right triangle trigonometry to solve applied problems.

We have previously defined the sine and cosine of an angle in terms of the coordinates of a point on the unit circle intersected by the terminal side of the angle:

$$\cos t = x$$
$$\sin t = y$$

In this section, we will see another way to define trigonometric functions using properties of right triangles.

Using Right Triangles to Evaluate Trigonometric Functions

In earlier sections, we used a unit circle to define the trigonometric functions. In this section, we will extend those definitions so that we can apply them to right triangles. The value of the sine or cosine function of t is its value at t radians. First, we need to create our right triangle. **Figure 5.57** shows a point on a unit circle of radius 1. If we drop a vertical line segment from the point (x, y) to the x-axis, we have a right triangle whose vertical side has length y and whose horizontal side has length y. We can use this right triangle to redefine sine, cosine, and the other trigonometric functions as ratios of the sides of a right triangle.

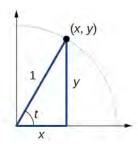


Figure 5.57

We know

$$\cos t = \frac{x}{1} = x$$

Likewise, we know

$$\sin t = \frac{y}{1} = y$$

These ratios still apply to the sides of a right triangle when no unit circle is involved and when the triangle is not in standard position and is not being graphed using (x, y) coordinates. To be able to use these ratios freely, we will give the sides more general names: Instead of x, we will call the side between the given angle and the right angle the **adjacent side** to angle t. (Adjacent means "next to.") Instead of y, we will call the side most distant from the given angle the **opposite side** from angle t. And instead of 1, we will call the side of a right triangle opposite the right angle the **hypotenuse**. These sides are labeled in **Figure 5.58**.

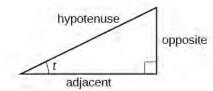


Figure 5.58 The sides of a right triangle in relation to angle *t*.

Understanding Right Triangle Relationships

Given a right triangle with an acute angle of t,

$$\sin(t) = \frac{\text{opposite}}{\text{hypotenuse}}$$

$$\cos(t) = \frac{\text{adjacent}}{\text{hypotenuse}}$$

$$\tan(t) = \frac{\text{opposite}}{\text{adjacent}}$$

A common mnemonic for remembering these relationships is SohCahToa, formed from the first letters of " \underline{S} ine is \underline{o} pposite over \underline{h} ypotenuse, \underline{C} osine is \underline{a} djacent over \underline{h} ypotenuse, \underline{T} angent is \underline{o} pposite over \underline{a} djacent."

How To:

Given the side lengths of a right triangle and one of the acute angles, find the sine, cosine, and tangent of that angle.

- 1. Find the sine as the ratio of the opposite side to the hypotenuse.
- 2. Find the cosine as the ratio of the adjacent side to the hypotenuse.
- 3. Find the tangent is the ratio of the opposite side to the adjacent side.

Example 5.29

Evaluating a Trigonometric Function of a Right Triangle

Given the triangle shown in **Figure 5.59**, find the value of $\cos \alpha$.

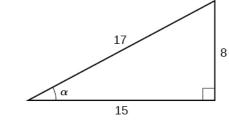


Figure 5.59

Solution

The side adjacent to the angle is 15, and the hypotenuse of the triangle is 17, so:

$$cos(\alpha) = \frac{adjacent}{hypotenuse}$$
$$= \frac{15}{17}$$



5.29 Given the triangle shown in **Figure 5.60**, find the value of sin *t*.

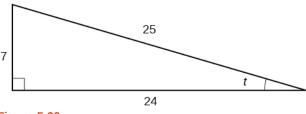


Figure 5.60

Relating Angles and Their Functions

When working with right triangles, the same rules apply regardless of the orientation of the triangle. In fact, we can evaluate the six trigonometric functions of either of the two acute angles in the triangle in **Figure 5.61**. The side opposite one acute angle is the side adjacent to the other acute angle, and vice versa.

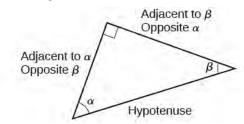


Figure 5.61 The side adjacent to one angle is opposite the other.

We will be asked to find all six trigonometric functions for a given angle in a triangle. Our strategy is to find the sine, cosine, and tangent of the angles first. Then, we can find the other trigonometric functions easily because we know that the reciprocal of sine is cosecant, the reciprocal of cosine is secant, and the reciprocal of tangent is cotangent.



Given the side lengths of a right triangle, evaluate the six trigonometric functions of one of the acute angles.

- 1. If needed, draw the right triangle and label the angle provided.
- 2. Identify the angle, the adjacent side, the side opposite the angle, and the hypotenuse of the right triangle.
- 3. Find the required function:
 - sine as the ratio of the opposite side to the hypotenuse
 - cosine as the ratio of the adjacent side to the hypotenuse
 - tangent as the ratio of the opposite side to the adjacent side
 - secant as the ratio of the hypotenuse to the adjacent side
 - · cosecant as the ratio of the hypotenuse to the opposite side
 - cotangent as the ratio of the adjacent side to the opposite side

Example 5.30

Evaluating Trigonometric Functions of Angles Not in Standard Position

Using the triangle shown in Figure 5.62, evaluate $\sin \alpha$, $\cos \alpha$, $\tan \alpha$, $\sec \alpha$, $\csc \alpha$, and $\cot \alpha$.

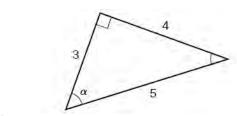


Figure 5.62

Solution

$$\sin \alpha = \frac{\text{opposite } \alpha}{\text{hypotenuse}} = \frac{4}{5}$$

$$\cos \alpha = \frac{\text{adjacent to } \alpha}{\text{hypotenuse}} = \frac{3}{5}$$

$$\tan \alpha = \frac{\text{opposite } \alpha}{\text{adjacent to } \alpha} = \frac{4}{3}$$

$$\sec \alpha = \frac{\text{hypotenuse}}{\text{adjacent to } \alpha} = \frac{5}{3}$$

$$\csc \alpha = \frac{\text{hypotenuse}}{\text{opposite } \alpha} = \frac{5}{4}$$

$$\cot \alpha = \frac{\text{adjacent to } \alpha}{\text{opposite } \alpha} = \frac{3}{4}$$

Try

5.30 Using the triangle shown in Figure 5.63, evaluate $\sin t$, $\cos t$, $\tan t$, $\sec t$, $\csc t$, and $\cot t$.

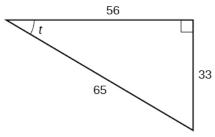


Figure 5.63

Finding Trigonometric Functions of Special Angles Using Side Lengths

We have already discussed the trigonometric functions as they relate to the special angles on the unit circle. Now, we can use those relationships to evaluate triangles that contain those special angles. We do this because when we evaluate the special angles in trigonometric functions, they have relatively friendly values, values that contain either no or just one square root in the ratio. Therefore, these are the angles often used in math and science problems. We will use multiples of 30° , 60° , and 45° , however, remember that when dealing with right triangles, we are limited to angles between 0° and 90° .

Suppose we have a 30°, 60°, 90° triangle, which can also be described as a $\frac{\pi}{6}$, $\frac{\pi}{3}$, $\frac{\pi}{2}$ triangle. The sides have lengths in the relation s, $\sqrt{3}s$, 2s. The sides of a 45°, 45°, 90° triangle, which can also be described as a $\frac{\pi}{4}$, $\frac{\pi}{4}$, $\frac{\pi}{2}$ triangle, have lengths in the relation s, s, $\sqrt{2}s$. These relations are shown in **Figure 5.64**.

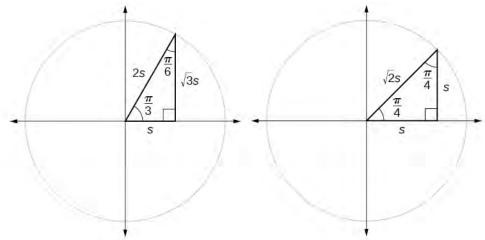


Figure 5.64 Side lengths of special triangles

We can then use the ratios of the side lengths to evaluate trigonometric functions of special angles.



Given trigonometric functions of a special angle, evaluate using side lengths.

- 1. Use the side lengths shown in **Figure 5.64** for the special angle you wish to evaluate.
- 2. Use the ratio of side lengths appropriate to the function you wish to evaluate.

Example 5.31

Evaluating Trigonometric Functions of Special Angles Using Side Lengths

Find the exact value of the trigonometric functions of $\frac{\pi}{3}$, using side lengths.

Solution

$$\sin\left(\frac{\pi}{3}\right) = \frac{\text{opp}}{\text{hyp}} = \frac{\sqrt{3}s}{2s} = \frac{\sqrt{3}}{2}$$

$$\cos\left(\frac{\pi}{3}\right) = \frac{\text{adj}}{\text{hyp}} = \frac{s}{2s} = \frac{1}{2}$$

$$\tan\left(\frac{\pi}{3}\right) = \frac{\text{opp}}{\text{adj}} = \frac{\sqrt{3}s}{s} = \sqrt{3}$$

$$\sec\left(\frac{\pi}{3}\right) = \frac{\text{hyp}}{\text{adj}} = \frac{2s}{s} = 2$$

$$\csc\left(\frac{\pi}{3}\right) = \frac{\text{hyp}}{\text{opp}} = \frac{2s}{\sqrt{3}s} = \frac{2}{\sqrt{3}} = \frac{2\sqrt{3}}{3}$$

$$\cot\left(\frac{\pi}{3}\right) = \frac{\text{adj}}{\text{opp}} = \frac{s}{\sqrt{3}s} = \frac{1}{\sqrt{3}} = \frac{\sqrt{3}}{3}$$



5.31 Find the exact value of the trigonometric functions of $\frac{\pi}{4}$, using side lengths.

Using Equal Cofunction of Complements

If we look more closely at the relationship between the sine and cosine of the special angles relative to the unit circle, we will notice a pattern. In a right triangle with angles of $\frac{\pi}{6}$ and $\frac{\pi}{3}$, we see that the sine of $\frac{\pi}{3}$, namely $\frac{\sqrt{3}}{2}$, is also the cosine of $\frac{\pi}{6}$, while the sine of $\frac{\pi}{6}$, namely $\frac{1}{2}$, is also the cosine of $\frac{\pi}{3}$.

$$\sin\frac{\pi}{3} = \cos\frac{\pi}{6} = \frac{\sqrt{3}s}{2s} = \frac{\sqrt{3}}{2}$$
$$\sin\frac{\pi}{6} = \cos\frac{\pi}{3} = \frac{s}{2s} = \frac{1}{2}$$

See Figure 5.65

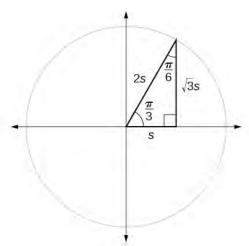


Figure 5.65 The sine of $\frac{\pi}{3}$ equals the cosine of $\frac{\pi}{6}$ and vice versa.

This result should not be surprising because, as we see from **Figure 5.65**, the side opposite the angle of $\frac{\pi}{3}$ is also the side adjacent to $\frac{\pi}{6}$, so $\sin(\frac{\pi}{3})$ and $\cos(\frac{\pi}{6})$ are exactly the same ratio of the same two sides, $\sqrt{3}s$ and 2s. Similarly, $\cos(\frac{\pi}{3})$ and $\sin(\frac{\pi}{6})$ are also the same ratio using the same two sides, s and s.

The interrelationship between the sines and cosines of $\frac{\pi}{6}$ and $\frac{\pi}{3}$ also holds for the two acute angles in any right triangle, since in every case, the ratio of the same two sides would constitute the sine of one angle and the cosine of the other. Since the three angles of a triangle add to π , and the right angle is $\frac{\pi}{2}$, the remaining two angles must also add up to $\frac{\pi}{2}$. That means that a right triangle can be formed with any two angles that add to $\frac{\pi}{2}$ —in other words, any two complementary angles. So we may state a *cofunction identity*: If any two angles are complementary, the sine of one is the cosine of the other, and vice versa. This identity is illustrated in **Figure 5.66**.

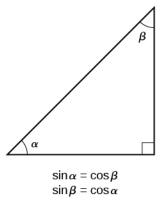


Figure 5.66 Cofunction identity of sine and cosine of complementary angles

Using this identity, we can state without calculating, for instance, that the sine of $\frac{\pi}{12}$ equals the cosine of $\frac{5\pi}{12}$, and that the sine of $\frac{5\pi}{12}$ equals the cosine of $\frac{\pi}{12}$. We can also state that if, for a certain angle t, $\cos t = \frac{5}{13}$, then $\sin(\frac{\pi}{2} - t) = \frac{5}{13}$ as well.

Cofunction Identities

The cofunction identities in radians are listed in **Table 5.5**.

$\cos t = \sin\left(\frac{\pi}{2} - t\right)$	$\sin t = \cos\left(\frac{\pi}{2} - t\right)$
$\tan t = \cot\left(\frac{\pi}{2} - t\right)$	$\cot t = \tan\left(\frac{\pi}{2} - t\right)$
$\sec t = \csc\left(\frac{\pi}{2} - t\right)$	$\csc t = \sec\left(\frac{\pi}{2} - t\right)$

Table 5.5



Given the sine and cosine of an angle, find the sine or cosine of its complement.

- 1. To find the sine of the complementary angle, find the cosine of the original angle.
- 2. To find the cosine of the complementary angle, find the sine of the original angle.

Example 5.32

Using Cofunction Identities

If
$$\sin t = \frac{5}{12}$$
, find $\left(\cos\frac{\pi}{2} - t\right)$.

Solution

According to the cofunction identities for sine and cosine,

$$\sin t = \cos\left(\frac{\pi}{2} - t\right).$$

So

$$\cos\left(\frac{\pi}{2} - t\right) = \frac{5}{12}.$$



5.32 If
$$\csc\left(\frac{\pi}{6}\right) = 2$$
, find $\sec\left(\frac{\pi}{3}\right)$.

Using Trigonometric Functions

In previous examples, we evaluated the sine and cosine in triangles where we knew all three sides. But the real power of right-triangle trigonometry emerges when we look at triangles in which we know an angle but do not know all the sides.



Given a right triangle, the length of one side, and the measure of one acute angle, find the remaining sides.

- 1. For each side, select the trigonometric function that has the unknown side as either the numerator or the denominator. The known side will in turn be the denominator or the numerator.
- 2. Write an equation setting the function value of the known angle equal to the ratio of the corresponding sides.
- 3. Using the value of the trigonometric function and the known side length, solve for the missing side length.

Example 5.33

Finding Missing Side Lengths Using Trigonometric Ratios

Find the unknown sides of the triangle in **Figure 5.67**.

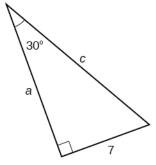


Figure 5.67

Solution

We know the angle and the opposite side, so we can use the tangent to find the adjacent side.

$$\tan(30^\circ) = \frac{7}{a}$$

We rearrange to solve for a.

$$a = \frac{7}{\tan(30^\circ)}$$
$$= 12.1$$

We can use the sine to find the hypotenuse.

$$\sin(30^\circ) = \frac{7}{c}$$

Again, we rearrange to solve for c.

$$c = \frac{7}{\sin(30^\circ)}$$
$$= 14$$



5.33 A right triangle has one angle of $\frac{\pi}{3}$ and a hypotenuse of 20. Find the unknown sides and angle of the triangle.

Using Right Triangle Trigonometry to Solve Applied Problems

Right-triangle trigonometry has many practical applications. For example, the ability to compute the lengths of sides of a triangle makes it possible to find the height of a tall object without climbing to the top or having to extend a tape measure along its height. We do so by measuring a distance from the base of the object to a point on the ground some distance away, where we can look up to the top of the tall object at an angle. The **angle of elevation** of an object above an observer relative to the observer is the angle between the horizontal and the line from the object to the observer's eye. The right triangle this position creates has sides that represent the unknown height, the measured distance from the base, and the angled line of sight from the ground to the top of the object. Knowing the measured distance to the base of the object and the angle of the line of sight, we can use trigonometric functions to calculate the unknown height. Similarly, we can form a triangle from the top of a tall object by looking downward. The **angle of depression** of an object below an observer relative to the observer is the angle between the horizontal and the line from the object to the observer's eye. See **Figure 5.68**.

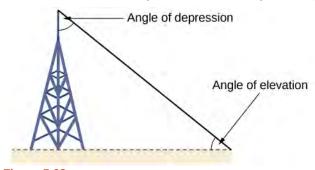


Figure 5.68



Given a tall object, measure its height indirectly.

- 1. Make a sketch of the problem situation to keep track of known and unknown information.
- 2. Lay out a measured distance from the base of the object to a point where the top of the object is clearly visible.
- 3. At the other end of the measured distance, look up to the top of the object. Measure the angle the line of sight makes with the horizontal.
- 4. Write an equation relating the unknown height, the measured distance, and the tangent of the angle of the line of sight.
- 5. Solve the equation for the unknown height.

Example 5.34

Measuring a Distance Indirectly

To find the height of a tree, a person walks to a point 30 feet from the base of the tree. She measures an angle of 57° between a line of sight to the top of the tree and the ground, as shown in **Figure 5.69**. Find the height of the tree.



Figure 5.69

Solution

We know that the angle of elevation is 57° and the adjacent side is 30 ft long. The opposite side is the unknown height.

The trigonometric function relating the side opposite to an angle and the side adjacent to the angle is the tangent. So we will state our information in terms of the tangent of 57° , letting h be the unknown height.

$$\tan \theta = \frac{\text{opposite}}{\text{adjacent}}$$

$$\tan(57^\circ) = \frac{h}{30} \qquad \text{Solve for } h.$$

$$h = 30\tan(57^\circ) \quad \text{Multiply.}$$

$$h \approx 46.2 \qquad \text{Use a calculator.}$$

The tree is approximately 46 feet tall.



5.34 How long a ladder is needed to reach a windowsill 50 feet above the ground if the ladder rests against the building making an angle of $\frac{5\pi}{12}$ with the ground? Round to the nearest foot.



Access these online resources for additional instruction and practice with right triangle trigonometry.

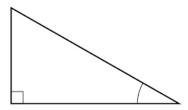
- Finding Trig Functions on Calculator (http://openstaxcollege.org/l/findtrigcal)
- Finding Trig Functions Using a Right Triangle (http://openstaxcollege.org/l/trigrttri)
- · Relate Trig Functions to Sides of a Right Triangle (http://openstaxcollege.org/l/reltrigtri)
- Determine Six Trig Functions from a Triangle (http://openstaxcollege.org/l/sixtrigfunc)
- Determine Length of Right Triangle Side (http://openstaxcollege.org/l/rttriside)

Visit **this website (http://openstaxcollege.org/l/PreCalcLPC05)** for additional practice questions from Learningpod.

5.4 EXERCISES

Verbal

255. For the given right triangle, label the adjacent side, opposite side, and hypotenuse for the indicated angle.



256. When a right triangle with a hypotenuse of 1 is placed in the unit circle, which sides of the triangle correspond to the *x*- and *y*-coordinates?

257. The tangent of an angle compares which sides of the right triangle?

258. What is the relationship between the two acute angles in a right triangle?

259. Explain the cofunction identity.

Algebraic

For the following exercises, use cofunctions of complementary angles.

260.
$$\cos(34^{\circ}) = \sin(\underline{}^{\circ})$$

261.
$$\cos(\frac{\pi}{3}) = \sin(\underline{\hspace{1cm}})$$

262.
$$\csc(21^{\circ}) = \sec(\underline{\hspace{1cm}}^{\circ})$$

263.
$$\tan\left(\frac{\pi}{4}\right) = \cot(\underline{})$$

For the following exercises, find the lengths of the missing sides if side a is opposite angle A, side b is opposite angle B, and side c is the hypotenuse.

264.
$$\cos B = \frac{4}{5}$$
, $a = 10$

265.
$$\sin B = \frac{1}{2}$$
, $a = 20$

266.
$$\tan A = \frac{5}{12}$$
, $b = 6$

267.
$$\tan A = 100, b = 100$$

268.
$$\sin B = \frac{1}{\sqrt{3}}, \quad a = 2$$

269.
$$a = 5$$
, $\angle A = 60^{\circ}$

270.
$$c = 12$$
, $\angle A = 45^{\circ}$

Graphical

For the following exercises, use **Figure 5.70** to evaluate each trigonometric function of angle A.

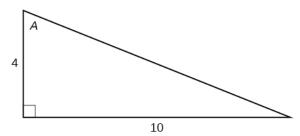


Figure 5.70

271. sin A

272. $\cos A$

273. tan *A*

274. $\csc A$

275. sec A

276. cot A

For the following exercises, use **Figure 5.71** to evaluate each trigonometric function of angle *A*.

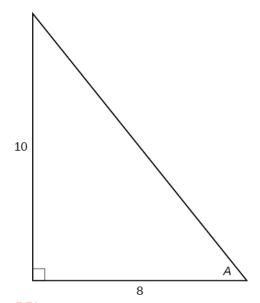


Figure 5.71

 $\sin A$

278. $\cos A$

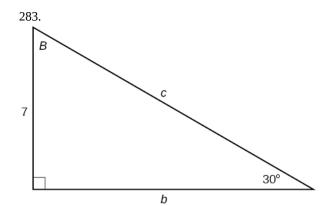
279. tan A

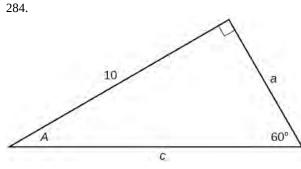
280. $\csc A$

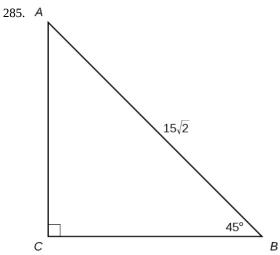
281. sec A

282. cot A

For the following exercises, solve for the unknown sides of the given triangle.



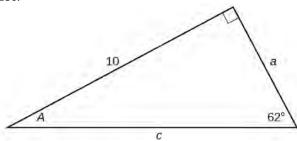




Technology

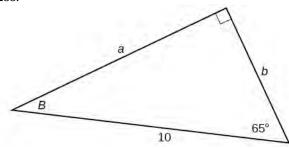
For the following exercises, use a calculator to find the length of each side to four decimal places.

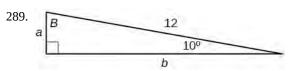
286.



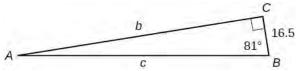
287. B c 35°

288.





290.



291. b = 15, $\angle B = 15^{\circ}$

292. c = 200, $\angle B = 5^{\circ}$

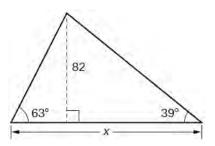
293. c = 50, $\angle B = 21^{\circ}$

294. a = 30, $\angle A = 27^{\circ}$

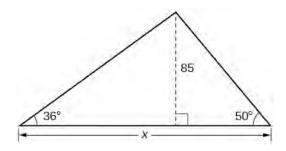
295. b = 3.5. $\angle A = 78^{\circ}$

Extensions

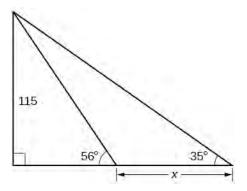
296. Find *x*.



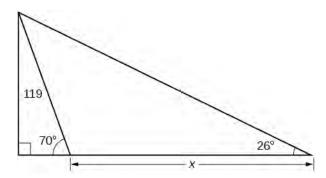
297. Find *x*.



298. Find x.



299. Find x.



300. A radio tower is located 400 feet from a building. From a window in the building, a person determines that the angle of elevation to the top of the tower is 36° , and

that the angle of depression to the bottom of the tower is 23°. How tall is the tower?

301. A radio tower is located 325 feet from a building. From a window in the building, a person determines that the angle of elevation to the top of the tower is 43° , and that the angle of depression to the bottom of the tower is 31° . How tall is the tower?

302. A 200-foot tall monument is located in the distance. From a window in a building, a person determines that the angle of elevation to the top of the monument is 15° , and that the angle of depression to the bottom of the tower is 2° . How far is the person from the monument?

303. A 400-foot tall monument is located in the distance. From a window in a building, a person determines that the angle of elevation to the top of the monument is 18° , and that the angle of depression to the bottom of the tower is 3° . How far is the person from the monument?

304. There is an antenna on the top of a building. From a location 300 feet from the base of the building, the angle of elevation to the top of the building is measured to be 40° . From the same location, the angle of elevation to the top of the antenna is measured to be 43° . Find the height of the antenna.

305. There is lightning rod on the top of a building. From a location 500 feet from the base of the building, the angle of elevation to the top of the building is measured to be 36° . From the same location, the angle of elevation to the top of the lightning rod is measured to be 38° . Find the height of the lightning rod.

Real-World Applications

306. A 33-ft ladder leans against a building so that the angle between the ground and the ladder is 80° . How high does the ladder reach up the side of the building?

307. A 23-ft ladder leans against a building so that the angle between the ground and the ladder is 80° . How high does the ladder reach up the side of the building?

308. The angle of elevation to the top of a building in New York is found to be 9 degrees from the ground at a distance of 1 mile from the base of the building. Using this information, find the height of the building.

309. The angle of elevation to the top of a building in Seattle is found to be 2 degrees from the ground at a distance of 2 miles from the base of the building. Using this information, find the height of the building.

310. Assuming that a 370-foot tall giant redwood grows vertically, if I walk a certain distance from the tree and

measure the angle of elevation to the top of the tree to be $60^{\circ},\;\; how$ far from the base of the tree am I?

CHAPTER 5 REVIEW

KEY TERMS

adjacent side in a right triangle, the side between a given angle and the right angle

angle the union of two rays having a common endpoint

angle of depression the angle between the horizontal and the line from the object to the observer's eye, assuming the object is positioned lower than the observer

angle of elevation the angle between the horizontal and the line from the object to the observer's eye, assuming the object is positioned higher than the observer

angular speed the angle through which a rotating object travels in a unit of time

arc length the length of the curve formed by an arc

area of a sector area of a portion of a circle bordered by two radii and the intercepted arc; the fraction $\frac{\theta}{2\pi}$ multiplied by the area of the entire circle

cosecant the reciprocal of the sine function: on the unit circle, $\csc t = \frac{1}{y}$, $y \neq 0$

cosine function the *x*-value of the point on a unit circle corresponding to a given angle

cotangent the reciprocal of the tangent function: on the unit circle, $\cot t = \frac{x}{y}$, $y \neq 0$

coterminal angles description of positive and negative angles in standard position sharing the same terminal side

degree a unit of measure describing the size of an angle as one-360th of a full revolution of a circle

hypotenuse the side of a right triangle opposite the right angle

identities statements that are true for all values of the input on which they are defined

initial side the side of an angle from which rotation begins

linear speed the distance along a straight path a rotating object travels in a unit of time; determined by the arc length

measure of an angle the amount of rotation from the initial side to the terminal side

negative angle description of an angle measured clockwise from the positive *x*-axis

opposite side in a right triangle, the side most distant from a given angle

period the smallest interval *P* of a repeating function *f* such that f(x + P) = f(x)

positive angle description of an angle measured counterclockwise from the positive *x*-axis

Pythagorean Identity a corollary of the Pythagorean Theorem stating that the square of the cosine of a given angle plus the square of the sine of that angle equals 1

quadrantal angle an angle whose terminal side lies on an axis

radian the measure of a central angle of a circle that intercepts an arc equal in length to the radius of that circle

radian measure the ratio of the arc length formed by an angle divided by the radius of the circle

ray one point on a line and all points extending in one direction from that point; one side of an angle

reference angle the measure of the acute angle formed by the terminal side of the angle and the horizontal axis

secant the reciprocal of the cosine function: on the unit circle, $\sec t = \frac{1}{x}$, $x \neq 0$

sine function the *y*-value of the point on a unit circle corresponding to a given angle

standard position the position of an angle having the vertex at the origin and the initial side along the positive *x*-axis

tangent

the quotient of the sine and cosine: on the unit circle, $\tan t = \frac{y}{x}, \ x \neq 0$

terminal side the side of an angle at which rotation ends

unit circle a circle with a center at (0, 0) and radius 1.

vertex the common endpoint of two rays that form an angle

KEY EQUATIONS

arc length

$$s = r\theta$$

area of a sector

$$A = \frac{1}{2}\theta r^2$$

angular speed

$$\omega = \frac{\theta}{t}$$

linear speed

$$v = \frac{s}{t}$$

linear speed related to angular speed

$$v = r\omega$$

Cosine

$$\cos t = x$$

Sine

$$\sin t = y$$

Pythagorean Identity

$$\cos^2 t + \sin^2 t = 1$$

Tangent function

$$\tan t = \frac{\sin t}{\cos t}$$

Secant function

$$\sec t = \frac{1}{\cos t}$$

Cosecant function

$$\csc t = \frac{1}{\sin t}$$

Cotangent function

$$\cot t = \frac{1}{\tan t} = \frac{\cos t}{\sin t}$$

$$\cos t = \sin\left(\frac{\pi}{2} - t\right)$$

$$\sin t = \cos\left(\frac{\pi}{2} - t\right)$$

$$\tan t = \cot\left(\frac{\pi}{2} - t\right)$$

$$\cot t = \tan\left(\frac{\pi}{2} - t\right)$$

$$\sec t = \csc\left(\frac{\pi}{2} - t\right)$$

$$\csc t = \sec\left(\frac{\pi}{2} - t\right)$$

KEY CONCEPTS

5.1 Angles

- An angle is formed from the union of two rays, by keeping the initial side fixed and rotating the terminal side. The amount of rotation determines the measure of the angle.
- An angle is in standard position if its vertex is at the origin and its initial side lies along the positive *x*-axis. A positive angle is measured counterclockwise from the initial side and a negative angle is measured clockwise.
- To draw an angle in standard position, draw the initial side along the positive *x*-axis and then place the terminal side according to the fraction of a full rotation the angle represents. See **Example 5.1.**
- In addition to degrees, the measure of an angle can be described in radians. See **Example 5.2.**
- To convert between degrees and radians, use the proportion $\frac{\theta}{180} = \frac{\theta^R}{\pi}$. See **Example 5.3** and **Example 5.4**.
- Two angles that have the same terminal side are called coterminal angles.
- We can find coterminal angles by adding or subtracting 360° or 2π . See **Example 5.5** and **Example 5.6**.
- Coterminal angles can be found using radians just as they are for degrees. See **Example 5.7.**
- The length of a circular arc is a fraction of the circumference of the entire circle. See Example 5.8.
- The area of sector is a fraction of the area of the entire circle. See **Example 5.9.**
- An object moving in a circular path has both linear and angular speed.
- The angular speed of an object traveling in a circular path is the measure of the angle through which it turns in a unit of time. See **Example 5.10.**
- The linear speed of an object traveling along a circular path is the distance it travels in a unit of time. See **Example 5.11.**

5.2 Unit Circle: Sine and Cosine Functions

- Finding the function values for the sine and cosine begins with drawing a unit circle, which is centered at the origin and has a radius of 1 unit.
- Using the unit circle, the sine of an angle *t* equals the *y*-value of the endpoint on the unit circle of an arc of length *t* whereas the cosine of an angle *t* equals the *x*-value of the endpoint. See **Example 5.12**.
- The sine and cosine values are most directly determined when the corresponding point on the unit circle falls on an axis. See **Example 5.13**.
- When the sine or cosine is known, we can use the Pythagorean Identity to find the other. The Pythagorean Identity is also useful for determining the sines and cosines of special angles. See **Example 5.14**.
- Calculators and graphing software are helpful for finding sines and cosines if the proper procedure for entering information is known. See Example 5.15.

- The domain of the sine and cosine functions is all real numbers.
- The range of both the sine and cosine functions is [-1, 1].
- The sine and cosine of an angle have the same absolute value as the sine and cosine of its reference angle.
- The signs of the sine and cosine are determined from the *x* and *y*-values in the quadrant of the original angle.
- An angle's reference angle is the size angle, *t*, formed by the terminal side of the angle *t* and the horizontal axis. See **Example 5.16**.
- Reference angles can be used to find the sine and cosine of the original angle. See **Example 5.17**.
- Reference angles can also be used to find the coordinates of a point on a circle. See Example 5.18.

5.3 The Other Trigonometric Functions

- The tangent of an angle is the ratio of the *y*-value to the *x*-value of the corresponding point on the unit circle.
- The secant, cotangent, and cosecant are all reciprocals of other functions. The secant is the reciprocal of the cosine
 function, the cotangent is the reciprocal of the tangent function, and the cosecant is the reciprocal of the sine
 function.
- The six trigonometric functions can be found from a point on the unit circle. See Example 5.19.
- Trigonometric functions can also be found from an angle. See **Example 5.20**.
- Trigonometric functions of angles outside the first quadrant can be determined using reference angles. See **Example 5.21**.
- A function is said to be even if f(-x) = f(x) and odd if f(-x) = -f(x).
- Cosine and secant are even; sine, tangent, cosecant, and cotangent are odd.
- Even and odd properties can be used to evaluate trigonometric functions. See **Example 5.22**.
- The Pythagorean Identity makes it possible to find a cosine from a sine or a sine from a cosine.
- Identities can be used to evaluate trigonometric functions. See Example 5.23 and Example 5.24.
- Fundamental identities such as the Pythagorean Identity can be manipulated algebraically to produce new identities. See **Example 5.25**.
- The trigonometric functions repeat at regular intervals.
- The period *P* of a repeating function *f* is the smallest interval such that f(x+P) = f(x) for any value of *x*.
- The values of trigonometric functions of special angles can be found by mathematical analysis.
- To evaluate trigonometric functions of other angles, we can use a calculator or computer software. See Example 5.28.

5.4 Right Triangle Trigonometry

- We can define trigonometric functions as ratios of the side lengths of a right triangle. See **Example 5.29**.
- The same side lengths can be used to evaluate the trigonometric functions of either acute angle in a right triangle.
 See Example 5.30.
- We can evaluate the trigonometric functions of special angles, knowing the side lengths of the triangles in which they occur. See **Example 5.31**.
- Any two complementary angles could be the two acute angles of a right triangle.
- If two angles are complementary, the cofunction identities state that the sine of one equals the cosine of the other and vice versa. See **Example 5.32**.
- We can use trigonometric functions of an angle to find unknown side lengths.
- Select the trigonometric function representing the ratio of the unknown side to the known side. See **Example 5.33**.
- Right-triangle trigonometry permits the measurement of inaccessible heights and distances.

• The unknown height or distance can be found by creating a right triangle in which the unknown height or distance is one of the sides, and another side and angle are known. See **Example 5.34**.

CHAPTER 5 REVIEW EXERCISES

Angles

For the following exercises, convert the angle measures to degrees.

311.
$$\frac{\pi}{4}$$

312.
$$-\frac{5\pi}{3}$$

For the following exercises, convert the angle measures to radians.

- **315.** Find the length of an arc in a circle of radius 7 meters subtended by the central angle of 85°.
- **316.** Find the area of the sector of a circle with diameter 32 feet and an angle of $\frac{3\pi}{5}$ radians.

For the following exercises, find the angle between 0° and 360° that is coterminal with the given angle.

318.
$$-80^{\circ}$$

For the following exercises, find the angle between 0 and 2π in radians that is coterminal with the given angle.

319.
$$-\frac{20\pi}{11}$$

320.
$$\frac{14\pi}{5}$$

For the following exercises, draw the angle provided in standard position on the Cartesian plane.

323.
$$\frac{5\pi}{4}$$

324.
$$-\frac{\pi}{3}$$

- **325.** Find the linear speed of a point on the equator of the earth if the earth has a radius of 3,960 miles and the earth rotates on its axis every 24 hours. Express answer in miles per hour.
- **326.** A car wheel with a diameter of 18 inches spins at the rate of 10 revolutions per second. What is the car's speed in miles per hour?

Unit Circle: Sine and Cosine Functions

- **327.** Find the exact value of $\sin \frac{\pi}{3}$.
- **328.** Find the exact value of $\cos \frac{\pi}{4}$.
- **329.** Find the exact value of $\cos \pi$.
- **330.** State the reference angle for 300°.
- **331.** State the reference angle for $\frac{3\pi}{4}$.
- **332.** Compute cosine of 330°.
- **333.** Compute sine of $\frac{5\pi}{4}$.
- **334.** State the domain of the sine and cosine functions.
- **335.** State the range of the sine and cosine functions.

The Other Trigonometric Functions

For the following exercises, find the exact value of the given expression.

336.
$$\cos \frac{\pi}{6}$$

337.
$$\tan \frac{\pi}{4}$$

338.
$$\csc \frac{\pi}{3}$$

339.
$$\sec \frac{\pi}{4}$$

For the following exercises, use reference angles to evaluate the given expression.

- **340.** $\sec \frac{11\pi}{3}$
- **341.** sec 315°
- **342.** If $\sec(t) = -2.5$, what is the $\sec(-t)$?
- **343.** If tan(t) = -0.6, what is the tan(-t)?
- **344.** If $\tan(t) = \frac{1}{3}$, find $\tan(t \pi)$.
- **345.** If $\cos(t) = \frac{\sqrt{2}}{2}$, find $\sin(t + 2\pi)$.
- **346.** Which trigonometric functions are even?
- **347.** Which trigonometric functions are odd?

Right Triangle Trigonometry

For the following exercises, use side lengths to evaluate.

348.
$$\cos \frac{\pi}{4}$$

- **349.** $\cot \frac{\pi}{3}$
- **350.** $\tan \frac{\pi}{6}$
- 351. $\cos\left(\frac{\pi}{2}\right) = \sin(\underline{}^{\circ})$
- **352.** $\csc(18^{\circ}) = \sec(\underline{\hspace{0.5em}}^{\circ})$

For the following exercises, use the given information to find the lengths of the other two sides of the right triangle.

353.
$$\cos B = \frac{3}{5}, a = 6$$

354.
$$\tan A = \frac{5}{9}, b = 6$$

For the following exercises, use **Figure 5.72** to evaluate each trigonometric function.

CHAPTER 5 PRACTICE TEST

- **361.** Convert $\frac{5\pi}{6}$ radians to degrees.
- **362.** Convert -620° to radians.

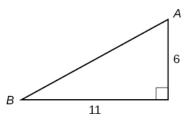
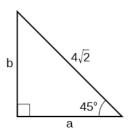


Figure 5.72

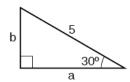
- **355.** sin *A*
- **356.** tan *B*

For the following exercises, solve for the unknown sides of the given triangle.

357.



358.

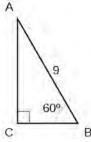


- **359.** A 15-ft ladder leans against a building so that the angle between the ground and the ladder is 70° . How high does the ladder reach up the side of the building?
- **360.** The angle of elevation to the top of a building in Baltimore is found to be 4 degrees from the ground at a distance of 1 mile from the base of the building. Using this information, find the height of the building.

- **363.** Find the length of a circular arc with a radius 12 centimeters subtended by the central angle of 30° .
- **364.** Find the area of the sector with radius of 8 feet and an angle of $\frac{5\pi}{4}$ radians.

- **365.** Find the angle between 0° and 360° that is coterminal with 375° .
- **366.** Find the angle between 0 and 2π in radians that is coterminal with $-\frac{4\pi}{7}$.
- **367.** Draw the angle 315° in standard position on the Cartesian plane.
- **368.** Draw the angle $-\frac{\pi}{6}$ in standard position on the Cartesian plane.
- **369.** A carnival has a Ferris wheel with a diameter of 80 feet. The time for the Ferris wheel to make one revolution is 75 seconds. What is the linear speed in feet per second of a point on the Ferris wheel? What is the angular speed in radians per second?
- **370.** Find the exact value of $\sin \frac{\pi}{6}$.
- **371.** Compute sine of 240° .
- **372.** State the domain of the sine and cosine functions.
- **373.** State the range of the sine and cosine functions.
- **374.** Find the exact value of $\cot \frac{\pi}{4}$.
- **375.** Find the exact value of $\tan \frac{\pi}{3}$.
- **376.** Use reference angles to evaluate $\csc \frac{7\pi}{4}$.
- **377.** Use reference angles to evaluate $\tan 210^{\circ}$.
- **378.** If $\csc t = 0.68$, what is the $\csc(-t)$?
- **379.** If $\cos t = \frac{\sqrt{3}}{2}$, find $\cos(t 2\pi)$.
- **380.** Which trigonometric functions are even?
- **381.** Find the missing angle: $\cos\left(\frac{\pi}{6}\right) = \sin(\underline{})$
- **382.** Find the missing sides of the triangle ABC: $\sin B = \frac{3}{4}$, c = 12

383. Find the missing sides of the triangle.



384. The angle of elevation to the top of a building in Chicago is found to be 9 degrees from the ground at a distance of 2000 feet from the base of the building. Using this information, find the height of the building.

6 PERIODIC FUNCTIONS



Figure 6.1 (credit: "Maxxer_", Flickr)

Chapter Outline

- **6.1** Graphs of the Sine and Cosine Functions
- **6.2** Graphs of the Other Trigonometric Functions
- **6.3** Inverse Trigonometric Functions

Introduction

Each day, the sun rises in an easterly direction, approaches some maximum height relative to the celestial equator, and sets in a westerly direction. The celestial equator is an imaginary line that divides the visible universe into two halves in much the same way Earth's equator is an imaginary line that divides the planet into two halves. The exact path the sun appears to follow depends on the exact location on Earth, but each location observes a predictable pattern over time.

The pattern of the sun's motion throughout the course of a year is a periodic function. Creating a visual representation of a periodic function in the form of a graph can help us analyze the properties of the function. In this chapter, we will investigate graphs of sine, cosine, and other trigonometric functions.

6.1 | Graphs of the Sine and Cosine Functions

Learning Objectives

In this section, you will:

- **6.1.1** Graph variations of $y=\sin(x)$ and $y=\cos(x)$.
- **6.1.2** Use phase shifts of sine and cosine curves.

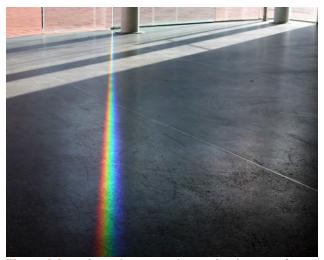


Figure 6.2 Light can be separated into colors because of its wavelike properties. (credit: "wonderferret"/ Flickr)

White light, such as the light from the sun, is not actually white at all. Instead, it is a composition of all the colors of the rainbow in the form of waves. The individual colors can be seen only when white light passes through an optical prism that separates the waves according to their wavelengths to form a rainbow.

Light waves can be represented graphically by the sine function. In the chapter on **Trigonometric Functions**, we examined trigonometric functions such as the sine function. In this section, we will interpret and create graphs of sine and cosine functions.

Graphing Sine and Cosine Functions

Recall that the sine and cosine functions relate real number values to the *x*- and *y*-coordinates of a point on the unit circle. So what do they look like on a graph on a coordinate plane? Let's start with the sine function. We can create a table of values and use them to sketch a graph. **Table 6.1** lists some of the values for the sine function on a unit circle.

x	0	<u>π</u> 6	$\frac{\pi}{4}$	$\frac{\pi}{3}$	<u>π</u> 2	$\frac{2\pi}{3}$	$\frac{3\pi}{4}$	$\frac{5\pi}{6}$	π
sin(x)	0	$\frac{1}{2}$	$\frac{\sqrt{2}}{2}$	$\frac{\sqrt{3}}{2}$	1	$\frac{\sqrt{3}}{2}$	$\frac{\sqrt{2}}{2}$	$\frac{1}{2}$	0

Table 6.1

Plotting the points from the table and continuing along the *x*-axis gives the shape of the sine function. See **Figure 6.3**.

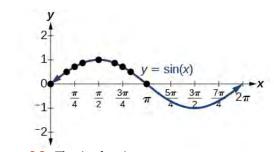


Figure 6.3 The sine function

Notice how the sine values are positive between 0 and π , which correspond to the values of the sine function in quadrants I and II on the unit circle, and the sine values are negative between π and 2π , which correspond to the values of the sine function in quadrants III and IV on the unit circle. See **Figure 6.4**.

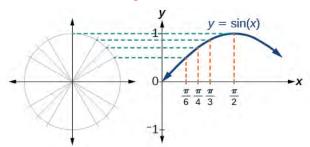


Figure 6.4 Plotting values of the sine function

Now let's take a similar look at the cosine function. Again, we can create a table of values and use them to sketch a graph. **Table 6.2** lists some of the values for the cosine function on a unit circle.

x	0	<u>π</u>	$\frac{\pi}{4}$	$\frac{\pi}{3}$	$\frac{\pi}{2}$	$\frac{2\pi}{3}$	$\frac{3\pi}{4}$	<u>5π</u> 6	π
cos(x)	1	$\frac{\sqrt{3}}{2}$	$\frac{\sqrt{2}}{2}$	$\frac{1}{2}$	0	$-\frac{1}{2}$	$-\frac{\sqrt{2}}{2}$	$-\frac{\sqrt{3}}{2}$	-1

Table 6.2

As with the sine function, we can plots points to create a graph of the cosine function as in **Figure 6.5**.

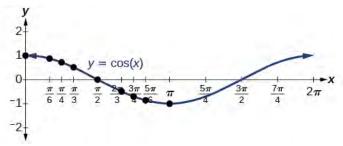


Figure 6.5 The cosine function

Because we can evaluate the sine and cosine of any real number, both of these functions are defined for all real numbers. By thinking of the sine and cosine values as coordinates of points on a unit circle, it becomes clear that the range of both functions must be the interval [-1, 1].

In both graphs, the shape of the graph repeats after 2π , which means the functions are periodic with a period of 2π . A **periodic function** is a function for which a specific horizontal shift, P, results in a function equal to the original function: f(x + P) = f(x) for all values of x in the domain of f. When this occurs, we call the smallest such horizontal shift with P > 0 the period of the function. **Figure 6.6** shows several periods of the sine and cosine functions.

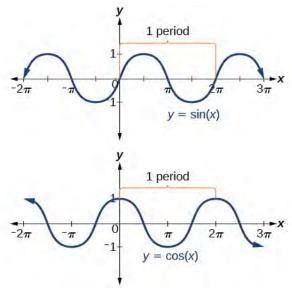


Figure 6.6

Looking again at the sine and cosine functions on a domain centered at the *y*-axis helps reveal symmetries. As we can see in **Figure 6.7**, the sine function is symmetric about the origin. Recall from **The Other Trigonometric Functions** that we determined from the unit circle that the sine function is an odd function because $\sin(-x) = -\sin x$. Now we can clearly see this property from the graph.

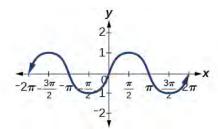


Figure 6.7 Odd symmetry of the sine function

Figure 6.8 shows that the cosine function is symmetric about the *y*-axis. Again, we determined that the cosine function is an even function. Now we can see from the graph that $\cos(-x) = \cos x$.

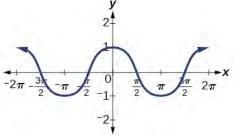


Figure 6.8 Even symmetry of the cosine function

Characteristics of Sine and Cosine Functions

The sine and cosine functions have several distinct characteristics:

- They are periodic functions with a period of 2π .
- The domain of each function is $(-\infty, \infty)$ and the range is [-1, 1].
- The graph of $y = \sin x$ is symmetric about the origin, because it is an odd function.
- The graph of $y = \cos x$ is symmetric about the *y* axis, because it is an even function.

Investigating Sinusoidal Functions

As we can see, sine and cosine functions have a regular period and range. If we watch ocean waves or ripples on a pond, we will see that they resemble the sine or cosine functions. However, they are not necessarily identical. Some are taller or longer than others. A function that has the same general shape as a sine or cosine function is known as a **sinusoidal function**. The general forms of sinusoidal functions are

$$y = A\sin(Bx - C) + D$$
and
$$y = A\cos(Bx - C) + D$$
(6.1)

Determining the Period of Sinusoidal Functions

Looking at the forms of sinusoidal functions, we can see that they are transformations of the sine and cosine functions. We can use what we know about transformations to determine the period.

In the general formula, B is related to the period by $P = \frac{2\pi}{|B|}$. If |B| > 1, then the period is less than 2π and the function undergoes a horizontal compression, whereas if |B| < 1, then the period is greater than 2π and the function undergoes a horizontal stretch. For example, $f(x) = \sin(x)$, B = 1, so the period is 2π , which we knew. If $f(x) = \sin(2x)$, then B = 2, so the period is π and the graph is compressed. If $f(x) = \sin(\frac{x}{2})$, then $B = \frac{1}{2}$, so the period is 4π and the graph is stretched. Notice in **Figure 6.9** how the period is indirectly related to |B|.

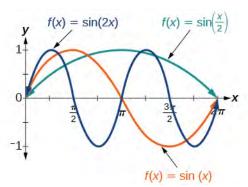


Figure 6.9

Period of Sinusoidal Functions

If we let C = 0 and D = 0 in the general form equations of the sine and cosine functions, we obtain the forms

$$y = A\sin(Bx)$$

$$y = A\cos(Bx)$$

The period is $\frac{2\pi}{|B|}$.

Example 6.1

Identifying the Period of a Sine or Cosine Function

Determine the period of the function $f(x) = \sin(\frac{\pi}{6}x)$.

Solution

Let's begin by comparing the equation to the general form $y = A\sin(Bx)$.

In the given equation, $B = \frac{\pi}{6}$, so the period will be

$$P = \frac{2\pi}{|B|}$$

$$= \frac{2\pi}{\frac{\pi}{6}}$$

$$= 2\pi \cdot \frac{6}{\pi}$$

$$= 12$$



6.1 Determine the period of the function $g(x) = \cos(\frac{x}{3})$.

Determining Amplitude

Returning to the general formula for a sinusoidal function, we have analyzed how the variable B relates to the period. Now let's turn to the variable A so we can analyze how it is related to the **amplitude**, or greatest distance from rest. A represents the vertical stretch factor, and its absolute value |A| is the amplitude. The local maxima will be a distance |A| above the vertical **midline** of the graph, which is the line x = D; because D = 0 in this case, the midline is the x-axis. The local minima will be the same distance below the midline. If |A| > 1, the function is stretched. For example, the amplitude of $f(x) = 4 \sin x$ is twice the amplitude of $f(x) = 2 \sin x$. If |A| < 1, the function is compressed. **Figure 6.10** compares several sine functions with different amplitudes.

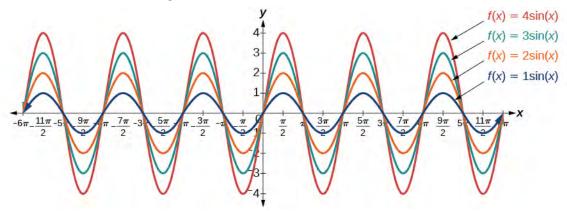


Figure 6.10

Amplitude of Sinusoidal Functions

If we let C = 0 and D = 0 in the general form equations of the sine and cosine functions, we obtain the forms

$$y = A\sin(Bx)$$
 and $y = A\cos(Bx)$

The **amplitude** is A, and the vertical height from the **midline** is |A|. In addition, notice in the example that

$$|A| = \text{amplitude} = \frac{1}{2}|\text{maximum} - \text{minimum}|$$

Example 6.2

Identifying the Amplitude of a Sine or Cosine Function

What is the amplitude of the sinusoidal function $f(x) = -4\sin(x)$? Is the function stretched or compressed vertically?

Solution

Let's begin by comparing the function to the simplified form $y = A\sin(Bx)$.

In the given function, A = -4, so the amplitude is |A| = |-4| = 4. The function is stretched.

Analysis

The negative value of *A* results in a reflection across the *x*-axis of the sine function, as shown in **Figure 6.11**.

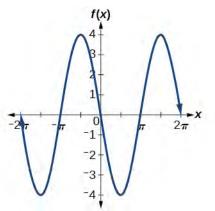


Figure 6.11



6.2 What is the amplitude of the sinusoidal function $f(x) = \frac{1}{2}\sin(x)$? Is the function stretched or compressed vertically?

Analyzing Graphs of Variations of $y = \sin x$ and $y = \cos x$

Now that we understand how A and B relate to the general form equation for the sine and cosine functions, we will explore the variables C and D. Recall the general form:

$$y = A\sin(Bx - C) + D \text{ and } y = A\cos(Bx - C) + D$$

$$or$$

$$y = A\sin(B(x - \frac{C}{B})) + D \text{ and } y = A\cos(B(x - \frac{C}{B})) + D$$

The value $\frac{C}{B}$ for a sinusoidal function is called the **phase shift**, or the horizontal displacement of the basic sine or cosine function. If C > 0, the graph shifts to the right. If C < 0, the graph shifts to the left. The greater the value of |C|, the

more the graph is shifted. **Figure 6.12** shows that the graph of $f(x) = \sin(x - \pi)$ shifts to the right by π units, which is more than we see in the graph of $f(x) = \sin\left(x - \frac{\pi}{4}\right)$, which shifts to the right by $\frac{\pi}{4}$ units.

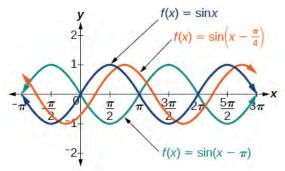


Figure 6.12

While C relates to the horizontal shift, D indicates the vertical shift from the midline in the general formula for a sinusoidal function. See **Figure 6.13**. The function $y = \cos(x) + D$ has its midline at y = D.

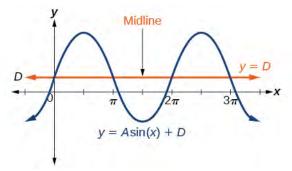


Figure 6.13

Any value of D other than zero shifts the graph up or down. **Figure 6.14** compares $f(x) = \sin x$ with $f(x) = \sin x + 2$, which is shifted 2 units up on a graph.

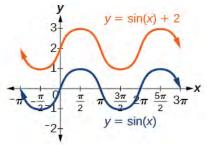


Figure 6.14

Variations of Sine and Cosine Functions

Given an equation in the form $f(x) = A\sin(Bx - C) + D$ or $f(x) = A\cos(Bx - C) + D$, $\frac{C}{B}$ is the **phase shift** and D is the vertical shift.

Example 6.3

Identifying the Phase Shift of a Function

Determine the direction and magnitude of the phase shift for $f(x) = \sin(x + \frac{\pi}{6}) - 2$.

Solution

Let's begin by comparing the equation to the general form $y = A\sin(Bx - C) + D$.

In the given equation, notice that B=1 and $C=-\frac{\pi}{6}$. So the phase shift is

$$\frac{C}{B} = -\frac{\frac{\pi}{6}}{1}$$
$$= -\frac{\pi}{6}$$

or $\frac{\pi}{6}$ units to the left.

Analysis

We must pay attention to the sign in the equation for the general form of a sinusoidal function. The equation shows a minus sign before C. Therefore $f(x) = \sin\left(x + \frac{\pi}{6}\right) - 2$ can be rewritten as $f(x) = \sin\left(x - \left(-\frac{\pi}{6}\right)\right) - 2$. If the value of C is negative, the shift is to the left.



6.3 Determine the direction and magnitude of the phase shift for $f(x) = 3\cos\left(x - \frac{\pi}{2}\right)$.

Example 6.4

Identifying the Vertical Shift of a Function

Determine the direction and magnitude of the vertical shift for $f(x) = \cos(x) - 3$.

Solution

Let's begin by comparing the equation to the general form $y = A\cos(Bx - C) + D$.

In the given equation, D = -3 so the shift is 3 units downward.



6.4 Determine the direction and magnitude of the vertical shift for $f(x) = 3\sin(x) + 2$.



Given a sinusoidal function in the form $f(x) = A\sin(Bx - C) + D$, identify the midline, amplitude, period, and phase shift.

- 1. Determine the amplitude as |A|.
- 2. Determine the period as $P = \frac{2\pi}{|B|}$.
- 3. Determine the phase shift as $\frac{C}{B}$.
- 4. Determine the midline as y = D.

Example 6.5

Identifying the Variations of a Sinusoidal Function from an Equation

Determine the midline, amplitude, period, and phase shift of the function $y = 3\sin(2x) + 1$.

Solution

Let's begin by comparing the equation to the general form $y = A\sin(Bx - C) + D$.

A = 3, so the amplitude is |A| = 3.

Next, B = 2, so the period is $P = \frac{2\pi}{|B|} = \frac{2\pi}{2} = \pi$.

There is no added constant inside the parentheses, so C=0 and the phase shift is $\frac{C}{B}=\frac{0}{2}=0$.

Finally, D = 1, so the midline is y = 1.

Analysis

Inspecting the graph, we can determine that the period is π , the midline is y = 1, and the amplitude is 3. See **Figure 6.15**.

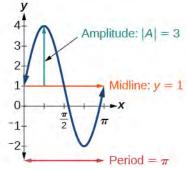


Figure 6.15



6.5 Determine the midline, amplitude, period, and phase shift of the function $y = \frac{1}{2} \cos(\frac{x}{3} - \frac{\pi}{3})$.

Example 6.6

Identifying the Equation for a Sinusoidal Function from a Graph

Determine the formula for the cosine function in **Figure 6.16**.

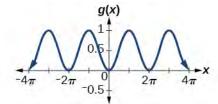


Figure 6.16

Solution

To determine the equation, we need to identify each value in the general form of a sinusoidal function.

$$y = A\sin(Bx - C) + D$$
$$y = A\cos(Bx - C) + D$$

The graph could represent either a sine or a cosine function that is shifted and/or reflected. When x = 0, the graph has an extreme point, (0, 0). Since the cosine function has an extreme point for x = 0, let us write our equation in terms of a cosine function.

Let's start with the midline. We can see that the graph rises and falls an equal distance above and below y = 0.5. This value, which is the midline, is D in the equation, so D = 0.5.

The greatest distance above and below the midline is the amplitude. The maxima are 0.5 units above the midline and the minima are 0.5 units below the midline. So |A| = 0.5. Another way we could have determined the amplitude is by recognizing that the difference between the height of local maxima and minima is 1, so $|A| = \frac{1}{2} = 0.5$. Also, the graph is reflected about the *x*-axis so that A = -0.5.

The graph is not horizontally stretched or compressed, so B = 1; and the graph is not shifted horizontally, so C = 0.

Putting this all together,

$$g(x) = -0.5\cos(x) + 0.5$$



6.6 Determine the formula for the sine function in **Figure 6.17**.

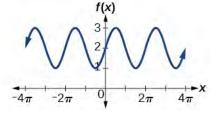


Figure 6.17

Example 6.7

Identifying the Equation for a Sinusoidal Function from a Graph

Determine the equation for the sinusoidal function in **Figure 6.18**.

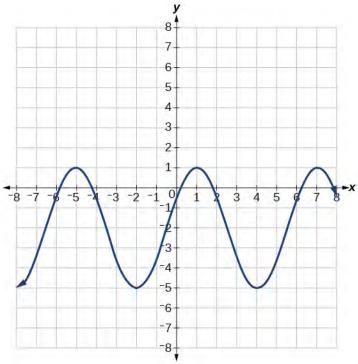


Figure 6.18

Solution

With the highest value at 1 and the lowest value at -5, the midline will be halfway between at -2. So D = -2.

The distance from the midline to the highest or lowest value gives an amplitude of |A| = 3.

The period of the graph is 6, which can be measured from the peak at x=1 to the next peak at x=7, or from the distance between the lowest points. Therefore, $P=\frac{2\pi}{|B|}=6$. Using the positive value for B, we find that

$$B = \frac{2\pi}{P} = \frac{2\pi}{6} = \frac{\pi}{3}$$

So far, our equation is either $y = 3\sin(\frac{\pi}{3}x - C) - 2$ or $y = 3\cos(\frac{\pi}{3}x - C) - 2$. For the shape and shift, we have more than one option. We could write this as any one of the following:

- · a cosine shifted to the right
- · a negative cosine shifted to the left
- a sine shifted to the left
- · a negative sine shifted to the right

While any of these would be correct, the cosine shifts are easier to work with than the sine shifts in this case because they involve integer values. So our function becomes

$$y = 3\cos\left(\frac{\pi}{3}x - \frac{\pi}{3}\right) - 2 \text{ or } y = -3\cos\left(\frac{\pi}{3}x + \frac{2\pi}{3}\right) - 2$$

Again, these functions are equivalent, so both yield the same graph.



6.7 Write a formula for the function graphed in **Figure 6.19**.

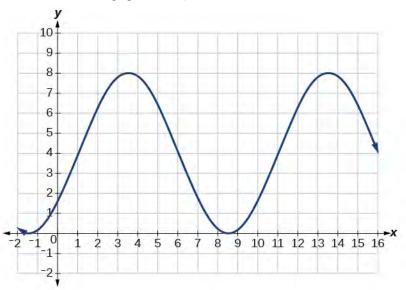


Figure 6.19

Graphing Variations of $y = \sin x$ and $y = \cos x$

Throughout this section, we have learned about types of variations of sine and cosine functions and used that information to write equations from graphs. Now we can use the same information to create graphs from equations.

Instead of focusing on the general form equations

$$y = A\sin(Bx - C) + D$$
 and $y = A\cos(Bx - C) + D$,

we will let C = 0 and D = 0 and work with a simplified form of the equations in the following examples.



Given the function $y = A\sin(Bx)$, sketch its graph.

- 1. Identify the amplitude, |A|.
- 2. Identify the period, $P = \frac{2\pi}{|B|}$.
- 3. Start at the origin, with the function increasing to the right if *A* is positive or decreasing if *A* is negative.
- 4. At $x = \frac{\pi}{2|B|}$ there is a local maximum for A > 0 or a minimum for A < 0, with y = A.
- 5. The curve returns to the *x*-axis at $x = \frac{\pi}{|B|}$.
- 6. There is a local minimum for A > 0 (maximum for A < 0) at $x = \frac{3\pi}{2|B|}$ with y = -A.
- 7. The curve returns again to the *x*-axis at $x = \frac{\pi}{2|B|}$.

Example 6.8

Graphing a Function and Identifying the Amplitude and Period

Sketch a graph of $f(x) = -2\sin(\frac{\pi x}{2})$.

Solution

Let's begin by comparing the equation to the form $y = A\sin(Bx)$.

Step 1. We can see from the equation that A = -2, so the amplitude is 2.

$$|A| = 2$$

Step 2. The equation shows that $B = \frac{\pi}{2}$, so the period is

$$P = \frac{2\pi}{\frac{\pi}{2}}$$
$$= 2\pi \cdot \frac{2\pi}{\pi}$$
$$= 4$$

Step 3. Because *A* is negative, the graph descends as we move to the right of the origin.

Step 4–7. The *x*-intercepts are at the beginning of one period, x = 0, the horizontal midpoints are at x = 2 and at the end of one period at x = 4.

The quarter points include the minimum at x = 1 and the maximum at x = 3. A local minimum will occur 2 units below the midline, at x = 1, and a local maximum will occur at 2 units above the midline, at x = 3. **Figure 6.20** shows the graph of the function.

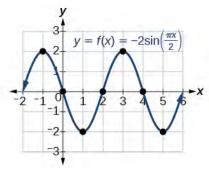


Figure 6.20



6.8 Sketch a graph of $g(x) = -0.8\cos(2x)$. Determine the midline, amplitude, period, and phase shift.

How To:

Given a sinusoidal function with a phase shift and a vertical shift, sketch its graph.

- 1. Express the function in the general form $y = A\sin(Bx C) + D$ or $y = A\cos(Bx C) + D$.
- 2. Identify the amplitude, |A|.
- 3. Identify the period, $P = \frac{2\pi}{|B|}$.
- 4. Identify the phase shift, $\frac{C}{B}$.
- 5. Draw the graph of $f(x) = A\sin(Bx)$ shifted to the right or left by $\frac{C}{B}$ and up or down by D.

Example 6.9

Graphing a Transformed Sinusoid

Sketch a graph of $f(x) = 3\sin(\frac{\pi}{4}x - \frac{\pi}{4})$.

Solution

Step 1. The function is already written in general form: $f(x) = 3\sin(\frac{\pi}{4}x - \frac{\pi}{4})$. This graph will have the shape of a sine function, starting at the midline and increasing to the right.

Step 2. |A| = |3| = 3. The amplitude is 3.

Step 3. Since $|B| = \left| \frac{\pi}{4} \right| = \frac{\pi}{4}$, we determine the period as follows.

$$P = \frac{2\pi}{|B|} = \frac{2\pi}{\frac{\pi}{4}} = 2\pi \cdot \frac{4}{\pi} = 8$$

The period is 8.

Step 4. Since $C = \frac{\pi}{4}$, the phase shift is

$$\frac{C}{B} = \frac{\frac{\pi}{4}}{\frac{\pi}{4}} = 1.$$

The phase shift is 1 unit.

Step 5. **Figure 6.21** shows the graph of the function.

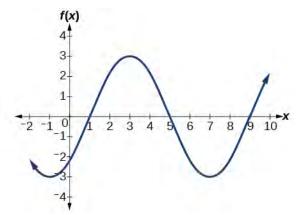


Figure 6.21 A horizontally compressed, vertically stretched, and horizontally shifted sinusoid



6.9 Draw a graph of $g(x) = -2\cos(\frac{\pi}{3}x + \frac{\pi}{6})$. Determine the midline, amplitude, period, and phase shift.

Example 6.10

Identifying the Properties of a Sinusoidal Function

Given $y = -2\cos(\frac{\pi}{2}x + \pi) + 3$, determine the amplitude, period, phase shift, and horizontal shift. Then graph the function.

Solution

Begin by comparing the equation to the general form and use the steps outlined in **Example 6.9**.

$$y = A\cos(Bx - C) + D$$

Step 1. The function is already written in general form.

Step 2. Since A = -2, the amplitude is |A| = 2.

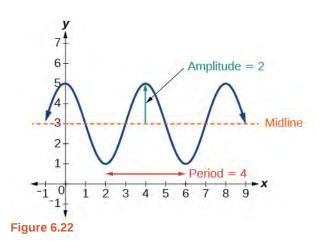
Step 3. $|B| = \frac{\pi}{2}$, so the period is $P = \frac{2\pi}{|B|} = \frac{2\pi}{\frac{\pi}{2}} = 2\pi \cdot \frac{2}{\pi} = 4$. The period is 4.

Step 4. $C=-\pi$, so we calculate the phase shift as $\frac{C}{B}=\frac{-\pi}{\frac{\pi}{2}}=-\pi\cdot\frac{2}{\pi}=-2$. The phase shift is -2.

Step 5. D = 3, so the midline is y = 3, and the vertical shift is up 3.

Since A is negative, the graph of the cosine function has been reflected about the x-axis.

Figure 6.22 shows one cycle of the graph of the function.



Using Transformations of Sine and Cosine Functions

We can use the transformations of sine and cosine functions in numerous applications. As mentioned at the beginning of the chapter, circular motion can be modeled using either the sine or cosine function.

Example 6.11

Finding the Vertical Component of Circular Motion

A point rotates around a circle of radius 3 centered at the origin. Sketch a graph of the *y*-coordinate of the point as a function of the angle of rotation.

Solution

Recall that, for a point on a circle of radius r, the y-coordinate of the point is $y = r \sin(x)$, so in this case, we get the equation $y(x) = 3\sin(x)$. The constant 3 causes a vertical stretch of the y-values of the function by a factor of 3, which we can see in the graph in **Figure 6.23**.

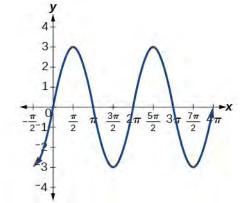


Figure 6.23

Analysis

Notice that the period of the function is still 2π ; as we travel around the circle, we return to the point (3, 0) for $x = 2\pi, 4\pi, 6\pi, \dots$ Because the outputs of the graph will now oscillate between -3 and 3, the amplitude of the sine wave is 3.



3.10 What is the amplitude of the function $f(x) = 7\cos(x)$? Sketch a graph of this function.

Example 6.12

Finding the Vertical Component of Circular Motion

A circle with radius 3 ft is mounted with its center 4 ft off the ground. The point closest to the ground is labeled *P*, as shown in **Figure 6.24**. Sketch a graph of the height above the ground of the point *P* as the circle is rotated; then find a function that gives the height in terms of the angle of rotation.

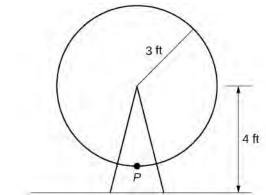


Figure 6.24

Solution

Sketching the height, we note that it will start 1 ft above the ground, then increase up to 7 ft above the ground, and continue to oscillate 3 ft above and below the center value of 4 ft, as shown in **Figure 6.25**.

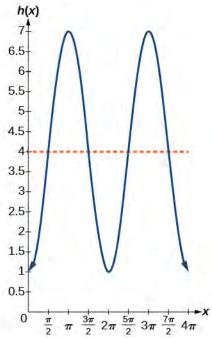


Figure 6.25

Although we could use a transformation of either the sine or cosine function, we start by looking for characteristics that would make one function easier to use than the other. Let's use a cosine function because it starts at the highest or lowest value, while a sine function starts at the middle value. A standard cosine starts at the highest value, and this graph starts at the lowest value, so we need to incorporate a vertical reflection.

Second, we see that the graph oscillates 3 above and below the center, while a basic cosine has an amplitude of 1, so this graph has been vertically stretched by 3, as in the last example.

Finally, to move the center of the circle up to a height of 4, the graph has been vertically shifted up by 4. Putting these transformations together, we find that

$$y = -3\cos(x) + 4$$



6.11 A weight is attached to a spring that is then hung from a board, as shown in **Figure 6.26**. As the spring oscillates up and down, the position y of the weight relative to the board ranges from -1 in. (at time x = 0) to -7 in. (at time $x = \pi$) below the board. Assume the position of y is given as a sinusoidal function of x. Sketch a graph of the function, and then find a cosine function that gives the position y in terms of x.

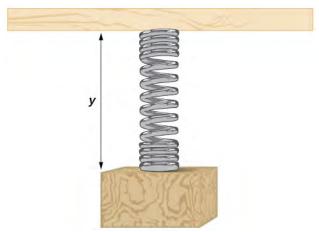


Figure 6.26

Example 6.13

Determining a Rider's Height on a Ferris Wheel

The London Eye is a huge Ferris wheel with a diameter of 135 meters (443 feet). It completes one rotation every 30 minutes. Riders board from a platform 2 meters above the ground. Express a rider's height above ground as a function of time in minutes.

Solution

With a diameter of 135 m, the wheel has a radius of 67.5 m. The height will oscillate with amplitude 67.5 m above and below the center.

Passengers board 2 m above ground level, so the center of the wheel must be located 67.5 + 2 = 69.5 m above ground level. The midline of the oscillation will be at 69.5 m.

The wheel takes 30 minutes to complete 1 revolution, so the height will oscillate with a period of 30 minutes.

Lastly, because the rider boards at the lowest point, the height will start at the smallest value and increase, following the shape of a vertically reflected cosine curve.

• Amplitude: 67.5, so A = 67.5

• Midline: 69.5, so D = 69.5

• Period: 30, so $B = \frac{2\pi}{30} = \frac{\pi}{15}$

• Shape: $-\cos(t)$

An equation for the rider's height would be

$$y = -67.5\cos(\frac{\pi}{15}t) + 69.5$$

where t is in minutes and y is measured in meters.



Access these online resources for additional instruction and practice with graphs of sine and cosine functions.

- Amplitude and Period of Sine and Cosine (http://openstaxcollege.org/l/ampperiod)
- Translations of Sine and Cosine (http://openstaxcollege.org/l/translasincos)
- Graphing Sine and Cosine Transformations (http://openstaxcollege.org/l/transformsincos)
- Graphing the Sine Function (http://openstaxcollege.org/l/graphsinefunc)

6.1 EXERCISES

Verbal

1. Why are the sine and cosine functions called periodic functions?

2. How does the graph of $y = \sin x$ compare with the graph of $y = \cos x$? Explain how you could horizontally translate the graph of $y = \sin x$ to obtain $y = \cos x$.

3. For the equation $A\cos(Bx + C) + D$, what constants affect the range of the function and how do they affect the range?

4. How does the range of a translated sine function relate to the equation $y = A \sin(Bx + C) + D$?

5. How can the unit circle be used to construct the graph of $f(t) = \sin t$?

Graphical

For the following exercises, graph two full periods of each function and state the amplitude, period, and midline. State the maximum and minimum y-values and their corresponding x-values on one period for x > 0. Round answers to two decimal places if necessary.

6.
$$f(x) = 2\sin x$$

$$7. \quad f(x) = \frac{2}{3}\cos x$$

8.
$$f(x) = -3\sin x$$

9.
$$f(x) = 4\sin x$$

10.
$$f(x) = 2\cos x$$

11.
$$f(x) = \cos(2x)$$

$$12. \quad f(x) = 2\sin\left(\frac{1}{2}x\right)$$

13.
$$f(x) = 4\cos(\pi x)$$

$$14. \quad f(x) = 3\cos\left(\frac{6}{5}x\right)$$

15.
$$y = 3\sin(8(x+4)) + 5$$

16.
$$y = 2\sin(3x - 21) + 4$$

17.
$$y = 5\sin(5x + 20) - 2$$

For the following exercises, graph one full period of each function, starting at x = 0. For each function, state the amplitude, period, and midline. State the maximum and minimum y-values and their corresponding x-values on one period for x > 0. State the phase shift and vertical translation, if applicable. Round answers to two decimal places if necessary.

18.
$$f(t) = 2\sin(t - \frac{5\pi}{6})$$

19.
$$f(t) = -\cos(t + \frac{\pi}{3}) + 1$$

20.
$$f(t) = 4\cos(2(t + \frac{\pi}{4})) - 3$$

21.
$$f(t) = -\sin(\frac{1}{2}t + \frac{5\pi}{3})$$

22.
$$f(x) = 4\sin(\frac{\pi}{2}(x-3)) + 7$$

23. Determine the amplitude, midline, period, and an equation involving the sine function for the graph shown in **Figure 6.27**.

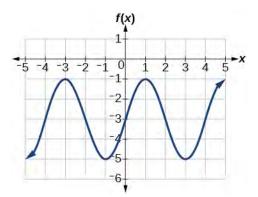


Figure 6.27

24. Determine the amplitude, period, midline, and an equation involving cosine for the graph shown in **Figure 6.28**.

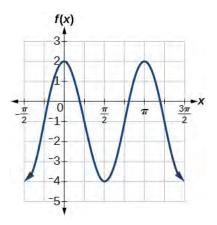


Figure 6.28

25. Determine the amplitude, period, midline, and an equation involving cosine for the graph shown in **Figure 6.29**.

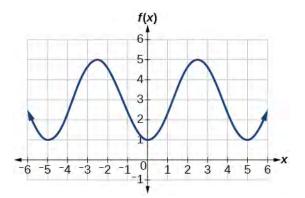


Figure 6.29

26. Determine the amplitude, period, midline, and an equation involving sine for the graph shown in **Figure 6.30**.

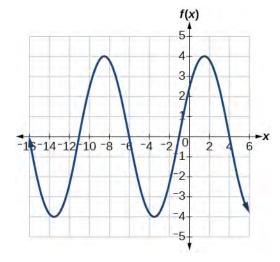


Figure 6.30

27. Determine the amplitude, period, midline, and an equation involving cosine for the graph shown in **Figure 6.31**.

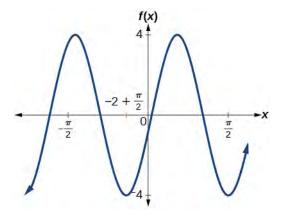


Figure 6.31

28. Determine the amplitude, period, midline, and an equation involving sine for the graph shown in **Figure 6.32**.

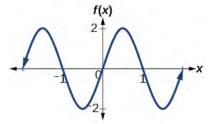


Figure 6.32

Determine the amplitude, period, midline, and an equation involving cosine for the graph shown in **Figure 6.33**.

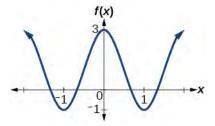


Figure 6.33

30. Determine the amplitude, period, midline, and an equation involving sine for the graph shown in **Figure 6.34**.

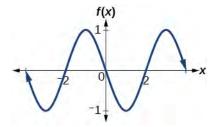


Figure 6.34

Algebraic

For the following exercises, let $f(x) = \sin x$.

- 31. On $[0, 2\pi)$, solve f(x) = 0.
- 32. On $[0, 2\pi)$, solve $f(x) = \frac{1}{2}$.
- 33. Evaluate $f(\frac{\pi}{2})$.
- 34. On $[0, 2\pi)$, $f(x) = \frac{\sqrt{2}}{2}$. Find all values of *x*.
- 35. On $[0, 2\pi)$, the maximum value(s) of the function occur(s) at what *x*-value(s)?
- 36. On $[0, 2\pi)$, the minimum value(s) of the function occur(s) at what *x*-value(s)?
- 37. Show that f(-x) = -f(x). This means that $f(x) = \sin x$ is an odd function and possesses symmetry with respect to ______.

For the following exercises, let $f(x) = \cos x$.

38. On $[0, 2\pi)$, solve the equation $f(x) = \cos x = 0$.

- 39. On $[0, 2\pi)$, solve $f(x) = \frac{1}{2}$.
- 40. On $[0, 2\pi)$, find the *x*-intercepts of $f(x) = \cos x$.
- 41. On $[0, 2\pi)$, find the *x*-values at which the function has a maximum or minimum value.
- 42. On $[0, 2\pi)$, solve the equation $f(x) = \frac{\sqrt{3}}{2}$.

Technology

- 43. Graph $h(x) = x + \sin x$ on $[0, 2\pi]$. Explain why the graph appears as it does.
- 44. Graph $h(x) = x + \sin x$ on [-100, 100]. Did the graph appear as predicted in the previous exercise?
- 45. Graph $f(x) = x \sin x$ on $[0, 2\pi]$ and verbalize how the graph varies from the graph of $f(x) = \sin x$.
- 46. Graph $f(x) = x \sin x$ on the window [-10, 10] and explain what the graph shows.
- 47. Graph $f(x) = \frac{\sin x}{x}$ on the window $[-5\pi, 5\pi]$ and explain what the graph shows.

Real-World Applications

- 48. A Ferris wheel is 25 meters in diameter and boarded from a platform that is 1 meter above the ground. The six o'clock position on the Ferris wheel is level with the loading platform. The wheel completes 1 full revolution in 10 minutes. The function h(t) gives a person's height in meters above the ground t minutes after the wheel begins to turn
 - a. Find the amplitude, midline, and period of h(t).
 - b. Find a formula for the height function h(t).
 - c. How high off the ground is a person after 5 minutes?

6.2 | Graphs of the Other Trigonometric Functions

Learning Objectives

In this section, you will:

- 6.2.1 Analyze the graph of y=tan x.
- **6.2.2** Graph variations of $y=\tan x$.
- **6.2.3** Analyze the graphs of $y=\sec x$ and $y=\csc x$.
- 6.2.4 Graph variations of y=sec x and y=csc x.
- 6.2.5 Analyze the graph of y=cot x.
- 6.2.6 Graph variations of y=cot x.

We know the tangent function can be used to find distances, such as the height of a building, mountain, or flagpole. But what if we want to measure repeated occurrences of distance? Imagine, for example, a police car parked next to a warehouse. The rotating light from the police car would travel across the wall of the warehouse in regular intervals. If the input is time, the output would be the distance the beam of light travels. The beam of light would repeat the distance at regular intervals. The tangent function can be used to approximate this distance. Asymptotes would be needed to illustrate the repeated cycles when the beam runs parallel to the wall because, seemingly, the beam of light could appear to extend forever. The graph of the tangent function would clearly illustrate the repeated intervals. In this section, we will explore the graphs of the tangent and other trigonometric functions.

Analyzing the Graph of $y = \tan x$

We will begin with the graph of the tangent function, plotting points as we did for the sine and cosine functions. Recall that

$$\tan x = \frac{\sin x}{\cos x}$$

The period of the tangent function is π because the graph repeats itself on intervals of $k\pi$ where k is a constant. If we graph the tangent function on $-\frac{\pi}{2}$ to $\frac{\pi}{2}$, we can see the behavior of the graph on one complete cycle. If we look at any larger interval, we will see that the characteristics of the graph repeat.

We can determine whether tangent is an odd or even function by using the definition of tangent.

$$tan(-x) = \frac{\sin(-x)}{\cos(-x)}$$
 Definition of angent.
 $= \frac{-\sin x}{\cos x}$ Sine is an odd function, cosine is even.
 $= -\frac{\sin x}{\cos x}$ The quotient of an odd and an even function is odd.
 $= -\tan x$ Definition of angent.

Therefore, tangent is an odd function. We can further analyze the graphical behavior of the tangent function by looking at values for some of the special angles, as listed in **Table 6.3**.

x	$-\frac{\pi}{2}$	$-\frac{\pi}{3}$	$-\frac{\pi}{4}$	$-\frac{\pi}{6}$	0	<u>π</u>	$\frac{\pi}{4}$	$\frac{\pi}{3}$	$\frac{\pi}{2}$
tan(x)	undefined	$-\sqrt{3}$	-1	$-\frac{\sqrt{3}}{3}$	0	$\frac{\sqrt{3}}{3}$	1	$\sqrt{3}$	undefined

Table 6.3

These points will help us draw our graph, but we need to determine how the graph behaves where it is undefined. If we look more closely at values when $\frac{\pi}{3} < x < \frac{\pi}{2}$, we can use a table to look for a trend. Because $\frac{\pi}{3} \approx 1.05$ and $\frac{\pi}{2} \approx 1.57$,

we will evaluate x at radian measures 1.05 < x < 1.57 as shown in **Table 6.4**.

x	1.3	1.5	1.55	1.56	
tan x	3.6	14.1	48.1	92.6	

Table 6.4

As x approaches $\frac{\pi}{2}$, the outputs of the function get larger and larger. Because $y = \tan x$ is an odd function, we see the corresponding table of negative values in **Table 6.5**.

x	-1.3	-1.5	-1.55	-1.56
tanx	-3.6	-14.1	-48.1	-92.6

Table 6.5

We can see that, as x approaches $-\frac{\pi}{2}$, the outputs get smaller and smaller. Remember that there are some values of x for which $\cos x = 0$. For example, $\cos\left(\frac{\pi}{2}\right) = 0$ and $\cos\left(\frac{3\pi}{2}\right) = 0$. At these values, the tangent function is undefined, so the graph of $y = \tan x$ has discontinuities at $x = \frac{\pi}{2}$ and $\frac{3\pi}{2}$. At these values, the graph of the tangent has vertical asymptotes. **Figure 6.35** represents the graph of $y = \tan x$. The tangent is positive from 0 to $\frac{\pi}{2}$ and from π to $\frac{3\pi}{2}$, corresponding to quadrants I and III of the unit circle.

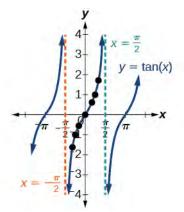


Figure 6.35 Graph of the tangent function

Graphing Variations of $y = \tan x$

As with the sine and cosine functions, the tangent function can be described by a general equation.

$$y = A \tan(Bx)$$

We can identify horizontal and vertical stretches and compressions using values of A and B. The horizontal stretch can typically be determined from the period of the graph. With tangent graphs, it is often necessary to determine a vertical stretch using a point on the graph.

Because there are no maximum or minimum values of a tangent function, the term *amplitude* cannot be interpreted as it is for the sine and cosine functions. Instead, we will use the phrase *stretching/compressing factor* when referring to the constant *A*.

Features of the Graph of $y = A \tan(Bx)$

- The stretching factor is |A|.
- The period is $P = \frac{\pi}{|B|}$.
- The domain is all real numbers x, where $x \neq \frac{\pi}{2|B|} + \frac{\pi}{|B|}k$ such that k is an integer.
- The range is $(-\infty, \infty)$.
- The asymptotes occur at $x = \frac{\pi}{2|B|} + \frac{\pi}{|B|}k$, where k is an integer.
- $y = A \tan(Bx)$ is an odd function.

Graphing One Period of a Stretched or Compressed Tangent Function

We can use what we know about the properties of the tangent function to quickly sketch a graph of any stretched and/ or compressed tangent function of the form $f(x) = A \tan(Bx)$. We focus on a single period of the function including the origin, because the periodic property enables us to extend the graph to the rest of the function's domain if we wish. Our limited domain is then the interval $\left(-\frac{P}{2}, \frac{P}{2}\right)$ and the graph has vertical asymptotes at $\pm \frac{P}{2}$ where $P = \frac{\pi}{B}$. On $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$, the graph will come up from the left asymptote at $x = -\frac{\pi}{2}$, cross through the origin, and continue to increase as it approaches the right asymptote at $x = \frac{\pi}{2}$. To make the function approach the asymptotes at the correct rate, we also need to set the vertical scale by actually evaluating the function for at least one point that the graph will pass through. For example, we can use

$$f\left(\frac{P}{4}\right) = A \tan\left(B\frac{P}{4}\right) = A \tan\left(B\frac{\pi}{4B}\right) = A$$

because $\tan\left(\frac{\pi}{4}\right) = 1$.



Given the function $f(x) = A \tan(Bx)$, graph one period.

- 1. Identify the stretching factor, |A|.
- 2. Identify *B* and determine the period, $P = \frac{\pi}{|B|}$
- 3. Draw vertical asymptotes at $x = -\frac{P}{2}$ and $x = \frac{P}{2}$.
- 4. For A > 0, the graph approaches the left asymptote at negative output values and the right asymptote at positive output values (reverse for A < 0).
- 5. Plot reference points at $(\frac{P}{4}, A)$, (0, 0), and $(-\frac{P}{4}, -A)$, and draw the graph through these points.

Example 6.14

Sketching a Compressed Tangent

Sketch a graph of one period of the function $y = 0.5\tan(\frac{\pi}{2}x)$.

Solution

First, we identify A and B.

$$y = 0.5 \tan(\frac{\pi}{2}x)$$

$$v = A \tan(Bx)$$

Because A=0.5 and $B=\frac{\pi}{2}$, we can find the stretching/compressing factor and period. The period is $\frac{\pi}{\frac{\pi}{2}}=2$,

so the asymptotes are at $x = \pm 1$. At a quarter period from the origin, we have

$$f(0.5) = 0.5 \tan\left(\frac{0.5\pi}{2}\right)$$

$$= 0.5 \tan\left(\frac{\pi}{4}\right)$$

$$= 0.5$$

This means the curve must pass through the points (0.5, 0.5), (0, 0), and (-0.5, -0.5). The only inflection point is at the origin. **Figure 6.36** shows the graph of one period of the function.

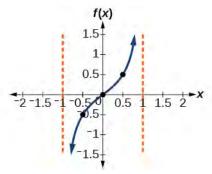


Figure 6.36



6.12 Sketch a graph of $f(x) = 3\tan\left(\frac{\pi}{6}x\right)$.

Graphing One Period of a Shifted Tangent Function

Now that we can graph a tangent function that is stretched or compressed, we will add a vertical and/or horizontal (or phase) shift. In this case, we add C and D to the general form of the tangent function.

$$f(x) = A\tan(Bx - C) + D \tag{6.3}$$

The graph of a transformed tangent function is different from the basic tangent function $\tan x$ in several ways:

Features of the Graph of $y = A \tan(Bx - C) + D$

- The stretching factor is |A|.
- The period is $\frac{\pi}{|B|}$.
- The domain is $x \neq \frac{C}{B} + \frac{\pi}{|B|}k$, where k is an integer.

- The range is $(-\infty, -|A|] \cup [|A|, \infty)$.
- The vertical asymptotes occur at $x = \frac{C}{B} + \frac{\pi}{2|B|}k$, where k is an odd integer.
- · There is no amplitude.

• $y = A \tan(Bx)$ is and odd function because it is the qoutient of odd and even functions(sin and cosine perspectively).



Given the function $y = A \tan(Bx - C) + D$, sketch the graph of one period.

- 1. Express the function given in the form $y = A \tan(Bx C) + D$.
- 2. Identify the stretching/compressing factor, |A|.
- 3. Identify *B* and determine the period, $P = \frac{\pi}{|B|}$
- 4. Identify C and determine the phase shift, $\frac{C}{B}$.
- 5. Draw the graph of $y = A \tan(Bx)$ shifted to the right by $\frac{C}{B}$ and up by D.
- 6. Sketch the vertical asymptotes, which occur at $x = \frac{C}{B} + \frac{\pi}{2|B|}k$, where k is an odd integer.
- 7. Plot any three reference points and draw the graph through these points.

Example 6.15

Graphing One Period of a Shifted Tangent Function

Graph one period of the function $y = -2\tan(\pi x + \pi) - 1$.

Solution

Step 1. The function is already written in the form $y = A \tan(Bx - C) + D$.

Step 2. A = -2, so the stretching factor is |A| = 2.

Step 3. $B = \pi$, so the period is $P = \frac{\pi}{|B|} = \frac{\pi}{\pi} = 1$.

Step 4. $C = -\pi$, so the phase shift is $\frac{C}{B} = \frac{-\pi}{\pi} = -1$.

Step 5-7. The asymptotes are at $x = -\frac{3}{2}$ and $x = -\frac{1}{2}$ and the three recommended reference points are (-1.25, 1), (-1,-1), and (-0.75,-3). The graph is shown in **Figure 6.37**.

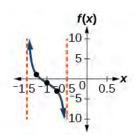


Figure 6.37

Analysis

Note that this is a decreasing function because A < 0.



6.13 How would the graph in **Example 6.15** look different if we made A = 2 instead of -2?



Given the graph of a tangent function, identify horizontal and vertical stretches.

- 1. Find the period *P* from the spacing between successive vertical asymptotes or *x*-intercepts.
- 2. Write $f(x) = A \tan(\frac{\pi}{P}x)$.
- 3. Determine a convenient point (x, f(x)) on the given graph and use it to determine A.

Example 6.16

Identifying the Graph of a Stretched Tangent

Find a formula for the function graphed in **Figure 6.38**.

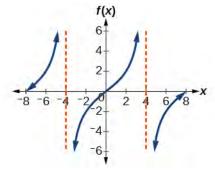


Figure 6.38 A stretched tangent function

Solution

The graph has the shape of a tangent function.

Step 1. One cycle extends from –4 to 4, so the period is P=8. Since $P=\frac{\pi}{|B|}$, we have $B=\frac{\pi}{P}=\frac{\pi}{8}$.

Step 2. The equation must have the form $f(x) = A \tan(\frac{\pi}{8}x)$.

Step 3. To find the vertical stretch A, we can use the point (2, 2).

$$2 = A \tan\left(\frac{\pi}{8} \cdot 2\right) = A \tan\left(\frac{\pi}{4}\right)$$

Because $\tan\left(\frac{\pi}{4}\right) = 1$, A = 2.

This function would have a formula $f(x) = 2\tan(\frac{\pi}{8}x)$.



6.14 Find a formula for the function in **Figure 6.39**.

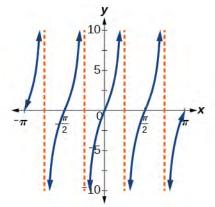


Figure 6.39

Analyzing the Graphs of $y = \sec x$ and $y = \csc x$

The secant was defined by the reciprocal identity $\sec x = \frac{1}{\cos x}$. Notice that the function is undefined when the cosine is 0, leading to vertical asymptotes at $\frac{\pi}{2}$, $\frac{3\pi}{2}$, etc. Because the cosine is never more than 1 in absolute value, the secant, being the reciprocal, will never be less than 1 in absolute value.

We can graph $y = \sec x$ by observing the graph of the cosine function because these two functions are reciprocals of one another. See **Figure 6.40**. The graph of the cosine is shown as a dashed orange wave so we can see the relationship. Where the graph of the cosine function decreases, the graph of the secant function increases. Where the graph of the cosine function increases, the graph of the secant function decreases. When the cosine function is zero, the secant is undefined.

The secant graph has vertical asymptotes at each value of x where the cosine graph crosses the x-axis; we show these in the graph below with dashed vertical lines, but will not show all the asymptotes explicitly on all later graphs involving the secant and cosecant.

Note that, because cosine is an even function, secant is also an even function. That is, $\sec(-x) = \sec x$.

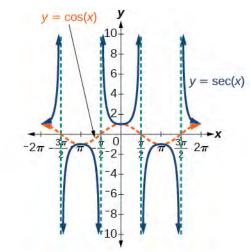


Figure 6.40 Graph of the secant function,

$$f(x) = \sec x = \frac{1}{\cos x}$$

As we did for the tangent function, we will again refer to the constant |A| as the stretching factor, not the amplitude.

Features of the Graph of $y = A \sec(Bx)$

- The stretching factor is |A|.
- The period is $\frac{2\pi}{|B|}$.
- The domain is $x \neq \frac{\pi}{2|B|}k$, where k is an odd integer.
- The range is $(-\infty, -|A|] \cup [|A|, \infty)$.
- The vertical asymptotes occur at $x = \frac{\pi}{2|B|}k$, where k is an odd integer.
- · There is no amplitude.
- $y = A\sec(Bx)$ is an even function because cosine is an even function.

Similar to the secant, the cosecant is defined by the reciprocal identity $\csc x = \frac{1}{\sin x}$. Notice that the function is undefined when the sine is 0, leading to a vertical asymptote in the graph at 0, π , etc. Since the sine is never more than 1 in absolute value, the cosecant, being the reciprocal, will never be less than 1 in absolute value.

We can graph $y = \csc x$ by observing the graph of the sine function because these two functions are reciprocals of one another. See **Figure 6.41**. The graph of sine is shown as a dashed orange wave so we can see the relationship. Where the graph of the sine function decreases, the graph of the cosecant function increases. Where the graph of the sine function increases, the graph of the cosecant function decreases.

The cosecant graph has vertical asymptotes at each value of *x* where the sine graph crosses the *x*-axis; we show these in the graph below with dashed vertical lines.

Note that, since sine is an odd function, the cosecant function is also an odd function. That is, $\csc(-x) = -\csc x$.

The graph of cosecant, which is shown in **Figure 6.41**, is similar to the graph of secant.

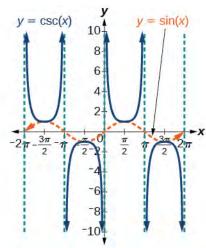


Figure 6.41 The graph of the cosecant function, $f(x) = \csc x = \frac{1}{\sin x}$

Features of the Graph of $y = A\csc(Bx)$

- The stretching factor is |A|.
- The period is $\frac{2\pi}{|B|}$.
- The domain is $x \neq \frac{\pi}{|B|}k$, where k is an integer.
- The range is $(-\infty, -|A|] \cup [|A|, \infty)$.
- The asymptotes occur at $x = \frac{\pi}{|B|}k$, where k is an integer.
- $y = A\csc(Bx)$ is an odd function because sine is an odd function.

Graphing Variations of $y = \sec x$ and $y = \csc x$

For shifted, compressed, and/or stretched versions of the secant and cosecant functions, we can follow similar methods to those we used for tangent and cotangent. That is, we locate the vertical asymptotes and also evaluate the functions for a few points (specifically the local extrema). If we want to graph only a single period, we can choose the interval for the period in more than one way. The procedure for secant is very similar, because the cofunction identity means that the secant graph is the same as the cosecant graph shifted half a period to the left. Vertical and phase shifts may be applied to the cosecant function in the same way as for the secant and other functions. The equations become the following.

$$y = A\sec(Bx - C) + D \tag{6.4}$$

$$y = A\csc(Bx - C) + D \tag{6.5}$$

Features of the Graph of $y = A \sec(Bx - C) + D$

- The stretching factor is |A|.
- The period is $\frac{2\pi}{|B|}$.
- The domain is $x \neq \frac{C}{B} + \frac{\pi}{2|B|}k$, where k is an odd integer.
- The range is $(-\infty, -|A|] \cup [|A|, \infty)$.

- The vertical asymptotes occur at $x = \frac{C}{B} + \frac{\pi}{2|B|}k$, where k is an odd integer.
- · There is no amplitude.
- $y = A\sec(Bx)$ is an even function because cosine is an even function.

Features of the Graph of $y = A\csc(Bx-C)+D$

- The stretching factor is |A|.
- The period is $\frac{2\pi}{|B|}$.
- The domain is $x \neq \frac{C}{B} + \frac{\pi}{2|B|}k$, where k is an integer.
- The range is $(-\infty, -|A|] \cup [|A|, \infty)$.
- The vertical asymptotes occur at $x = \frac{C}{B} + \frac{\pi}{|B|}k$, where k is an integer.
- · There is no amplitude.
- $y = A\csc(Bx)$ is an odd function because sine is an odd function.



Given a function of the form $y = A\sec(Bx)$, graph one period.

- 1. Express the function given in the form $y = A\sec(Bx)$.
- 2. Identify the stretching/compressing factor, |A|.
- 3. Identify *B* and determine the period, $P = \frac{2\pi}{|B|}$.
- 4. Sketch the graph of $y = A\cos(Bx)$.
- 5. Use the reciprocal relationship between $y = \cos x$ and $y = \sec x$ to draw the graph of $y = A\sec(Bx)$.
- 6. Sketch the asymptotes.
- 7. Plot any two reference points and draw the graph through these points.

Example 6.17

Graphing a Variation of the Secant Function

Graph one period of $f(x) = 2.5\sec(0.4x)$.

Solution

Step 1. The given function is already written in the general form, $y = A\sec(Bx)$.

Step 2. A = 2.5 so the stretching factor is 2.5.

Step 3. B = 0.4 so $P = \frac{2\pi}{0.4} = 5\pi$. The period is 5π units.

Step 4. Sketch the graph of the function $g(x) = 2.5\cos(0.4x)$.

Step 5. Use the reciprocal relationship of the cosine and secant functions to draw the cosecant function. *Steps 6–7*. Sketch two asymptotes at $x = 1.25\pi$ and $x = 3.75\pi$. We can use two reference points, the local minimum at (0, 2.5) and the local maximum at $(2.5\pi, -2.5)$. **Figure 6.42** shows the graph.

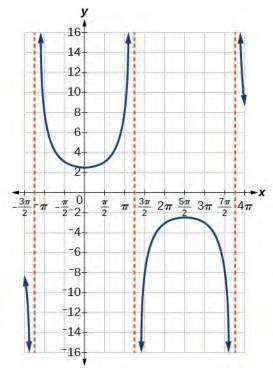


Figure 6.42



6.15 Graph one period of $f(x) = -2.5\sec(0.4x)$.



Do the vertical shift and stretch/compression affect the secant's range?

Yes. The range of $f(x) = A\sec(Bx - C) + D$ is $(-\infty, -|A| + D] \cup [|A| + D, \infty)$.



Given a function of the form $f(x) = A\sec(Bx - C) + D$, graph one period.

- 1. Express the function given in the form $y = A \sec(Bx C) + D$.
- 2. Identify the stretching/compressing factor, |A|.
- 3. Identify *B* and determine the period, $\frac{2\pi}{|B|}$.
- 4. Identify C and determine the phase shift, $\frac{C}{B}$.
- 5. Draw the graph of $y = A \sec(Bx)$. but shift it to the right by $\frac{C}{B}$ and up by D.
- 6. Sketch the vertical asymptotes, which occur at $x = \frac{C}{B} + \frac{\pi}{2|B|}k$, where k is an odd integer.

Example 6.18

Graphing a Variation of the Secant Function

Graph one period of $y = 4\sec(\frac{\pi}{3}x - \frac{\pi}{2}) + 1$.

Solution

Step 1. Express the function given in the form $y = 4\sec(\frac{\pi}{3}x - \frac{\pi}{2}) + 1$.

Step 2. The stretching/compressing factor is |A| = 4.

Step 3. The period is

$$\frac{2\pi}{|B|} = \frac{2\pi}{\frac{\pi}{3}}$$
$$= \frac{2\pi}{1} \cdot \frac{3}{\pi}$$
$$= 6$$

Step 4. The phase shift is

$$\frac{C}{B} = \frac{\frac{\pi}{2}}{\frac{\pi}{3}}$$
$$= \frac{\pi}{2} \cdot \frac{3}{\pi}$$
$$= 1.5$$

Step 5. Draw the graph of $y = A\sec(Bx)$, but shift it to the right by $\frac{C}{B} = 1.5$ and up by D = 6.

Step 6. Sketch the vertical asymptotes, which occur at x = 0, x = 3, and x = 6. There is a local minimum at (1.5, 5) and a local maximum at (4.5, -3). **Figure 6.43** shows the graph.

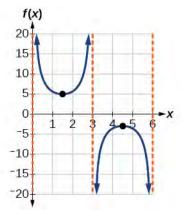


Figure 6.43



6.16 Graph one period of $f(x) = -6\sec(4x + 2) - 8$.



The domain of $\csc x$ was given to be all x such that $x \neq k\pi$ for any integer k. Would the domain of $y = A\csc(Bx - C) + D$ be $x \neq \frac{C + k\pi}{R}$?

Yes. The excluded points of the domain follow the vertical asymptotes. Their locations show the horizontal shift and compression or expansion implied by the transformation to the original function's input.



Given a function of the form $y = A\csc(Bx)$, graph one period.

- 1. Express the function given in the form $y = A\csc(Bx)$.
- 2. |A|.
- 3. Identify B and determine the period, $P = \frac{2\pi}{|B|}$.
- 4. Draw the graph of $y = A\sin(Bx)$.
- 5. Use the reciprocal relationship between $y = \sin x$ and $y = \csc x$ to draw the graph of $y = A\csc(Bx)$.
- 6. Sketch the asymptotes.
- 7. Plot any two reference points and draw the graph through these points.

Example 6.19

Graphing a Variation of the Cosecant Function

Graph one period of $f(x) = -3\csc(4x)$.

Solution

Step 1. The given function is already written in the general form, $y = A\csc(Bx)$.

Step 2. |A| = |-3| = 3, so the stretching factor is 3.

Step 3. B = 4, so $P = \frac{2\pi}{4} = \frac{\pi}{2}$. The period is $\frac{\pi}{2}$ units.

Step 4. Sketch the graph of the function $g(x) = -3\sin(4x)$.

Step 5. Use the reciprocal relationship of the sine and cosecant functions to draw the cosecant function.

Steps 6–7. Sketch three asymptotes at x = 0, $x = \frac{\pi}{4}$, and $x = \frac{\pi}{2}$. We can use two reference points, the local

maximum at $\left(\frac{\pi}{8}, -3\right)$ and the local minimum at $\left(\frac{3\pi}{8}, 3\right)$. Figure 6.44 shows the graph.

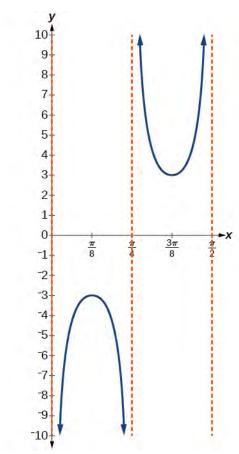


Figure 6.44



6.17 Graph one period of $f(x) = 0.5\csc(2x)$.



Given a function of the form $f(x) = A\csc(Bx - C) + D$, graph one period.

- 1. Express the function given in the form $y = A\csc(Bx C) + D$.
- 2. Identify the stretching/compressing factor, |A|.
- 3. Identify *B* and determine the period, $\frac{2\pi}{|B|}$.
- 4. Identify C and determine the phase shift, $\frac{C}{B}$.
- 5. Draw the graph of $y = A\csc(Bx)$ but shift it to the right by and up by D.
- 6. Sketch the vertical asymptotes, which occur at $x = \frac{C}{B} + \frac{\pi}{|B|}k$, where k is an integer.

Example 6.20

Graphing a Vertically Stretched, Horizontally Compressed, and Vertically Shifted Cosecant

Sketch a graph of $y = 2\csc(\frac{\pi}{2}x) + 1$. What are the domain and range of this function?

Solution

Step 1. Express the function given in the form $y = 2\csc(\frac{\pi}{2}x) + 1$.

Step 2. Identify the stretching/compressing factor, |A| = 2.

Step 3. The period is
$$\frac{2\pi}{|B|} = \frac{2\pi}{\frac{\pi}{2}} = \frac{2\pi}{1} \cdot \frac{2}{\pi} = 4$$
.

Step 4. The phase shift is $\frac{0}{\frac{\pi}{2}} = 0$.

Step 5. Draw the graph of $y = A\csc(Bx)$ but shift it up D = 1.

Step 6. Sketch the vertical asymptotes, which occur at x = 0, x = 2, x = 4.

The graph for this function is shown in **Figure 6.45**.

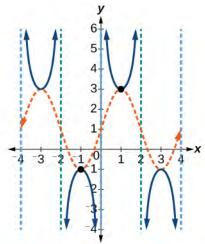


Figure 6.45 A transformed cosecant function

Analysis

The vertical asymptotes shown on the graph mark off one period of the function, and the local extrema in this interval are shown by dots. Notice how the graph of the transformed cosecant relates to the graph of $f(x) = 2\sin(\frac{\pi}{2}x) + 1$, shown as the orange dashed wave.

Try It **6.18** Given the graph of $f(x) = 2\cos(\frac{\pi}{2}x) + 1$ shown in **Figure 6.46**, sketch the graph of $g(x) = 2\sec(\frac{\pi}{2}x) + 1$ on the same axes.

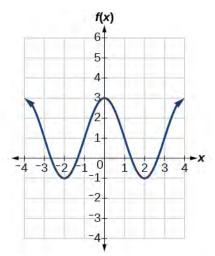


Figure 6.46

Analyzing the Graph of $y = \cot x$

The last trigonometric function we need to explore is cotangent. The cotangent is defined by the reciprocal identity $\cot x = \frac{1}{\tan x}$. Notice that the function is undefined when the tangent function is 0, leading to a vertical asymptote in the graph at 0, π , etc. Since the output of the tangent function is all real numbers, the output of the cotangent function is also all real numbers.

We can graph $y = \cot x$ by observing the graph of the tangent function because these two functions are reciprocals of one another. See **Figure 6.47**. Where the graph of the tangent function decreases, the graph of the cotangent function increases. Where the graph of the tangent function increases, the graph of the cotangent function decreases.

The cotangent graph has vertical asymptotes at each value of x where $\tan x = 0$; we show these in the graph below with dashed lines. Since the cotangent is the reciprocal of the tangent, $\cot x$ has vertical asymptotes at all values of x where $\tan x = 0$, and $\cot x = 0$ at all values of x where $\tan x$ has its vertical asymptotes.

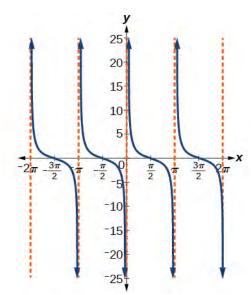


Figure 6.47 The cotangent function

Features of the Graph of $y = A\cot(Bx)$

- The stretching factor is |A|.
- The period is $P = \frac{\pi}{|B|}$.
- The domain is $x \neq \frac{\pi}{|B|}k$, where k is an integer.
- The range is $(-\infty, \infty)$.
- The asymptotes occur at $x = \frac{\pi}{|B|}k$, where k is an integer.
- $y = A\cot(Bx)$ is an odd function.

Graphing Variations of $y = \cot x$

We can transform the graph of the cotangent in much the same way as we did for the tangent. The equation becomes the following.

$$y = A\cot(Bx - C) + D \tag{6.6}$$

Properties of the Graph of $y = A\cot(Bx-C)+D$

- The stretching factor is |A|.
- The period is $\frac{\pi}{|B|}$.
- The domain is $x \neq \frac{C}{B} + \frac{\pi}{|B|}k$, where k is an integer.
- The range is $(-\infty, -|A|] \cup [|A|, \infty)$.
- The vertical asymptotes occur at $x = \frac{C}{B} + \frac{\pi}{|B|}k$, where k is an integer.
- There is no amplitude.

• $y = A\cot(Bx)$ is an odd function because it is the quotient of even and odd functions (cosine and sine, respectively)



Given a modified cotangent function of the form $f(x) = A\cot(Bx)$, graph one period.

- 1. Express the function in the form $f(x) = A\cot(Bx)$.
- 2. Identify the stretching factor, |A|.
- 3. Identify the period, $P = \frac{\pi}{|B|}$.
- 4. Draw the graph of $y = A \tan(Bx)$.
- 5. Plot any two reference points.
- 6. Use the reciprocal relationship between tangent and cotangent to draw the graph of $y = A\cot(Bx)$.
- 7. Sketch the asymptotes.

Example 6.21

Graphing Variations of the Cotangent Function

Determine the stretching factor, period, and phase shift of $y = 3\cot(4x)$, and then sketch a graph.

Solution

- *Step 1.* Expressing the function in the form $f(x) = A\cot(Bx)$ gives $f(x) = 3\cot(4x)$.
- *Step 2*. The stretching factor is |A| = 3.
- *Step 3*. The period is $P = \frac{\pi}{4}$.
- *Step 4.* Sketch the graph of $y = 3\tan(4x)$.
- *Step* 5. Plot two reference points. Two such points are $\left(\frac{\pi}{16}, 3\right)$ and $\left(\frac{3\pi}{16}, -3\right)$.
- *Step 6.* Use the reciprocal relationship to draw $y = 3\cot(4x)$.
- *Step 7*. Sketch the asymptotes, x = 0, $x = \frac{\pi}{4}$.

The orange graph in **Figure 6.48** shows $y = 3\tan(4x)$ and the blue graph shows $y = 3\cot(4x)$.

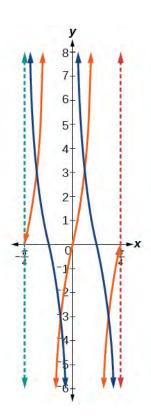


Figure 6.48

How To: Given a modified cotangent function of the form $f(x) = A\cot(Bx - C) + D$, graph one period.

- 1. Express the function in the form $f(x) = A\cot(Bx C) + D$.
- 2. Identify the stretching factor, |A|.
- 3. Identify the period, $P = \frac{\pi}{|B|}$.
- 4. Identify the phase shift, $\frac{C}{B}$.
- 5. Draw the graph of $y = A \tan(Bx)$ shifted to the right by $\frac{C}{B}$ and up by D.
- 6. Sketch the asymptotes $x = \frac{C}{B} + \frac{\pi}{|B|}k$, where k is an integer.
- 7. Plot any three reference points and draw the graph through these points.

Example 6.22

Graphing a Modified Cotangent

Sketch a graph of one period of the function $f(x) = 4\cot(\frac{\pi}{8}x - \frac{\pi}{2}) - 2$.

Solution

Step 1. The function is already written in the general form $f(x) = A\cot(Bx - C) + D$.

Step 2. A = 4, so the stretching factor is 4.

Step 3. $B = \frac{\pi}{8}$, so the period is $P = \frac{\pi}{|B|} = \frac{\pi}{\frac{\pi}{8}} = 8$.

Step 4. $C = \frac{\pi}{2}$, so the phase shift is $\frac{C}{B} = \frac{\frac{\pi}{2}}{\frac{\pi}{8}} = 4$.

Step 5. We draw $f(x) = 4\tan(\frac{\pi}{8}x - \frac{\pi}{2}) - 2$.

Step 6-7. Three points we can use to guide the graph are (6, 2), (8, -2), and (10, -6). We use the reciprocal relationship of tangent and cotangent to draw $f(x) = 4\cot\left(\frac{\pi}{8}x - \frac{\pi}{2}\right) - 2$.

Step 8. The vertical asymptotes are x = 4 and x = 12.

The graph is shown in Figure 6.49.

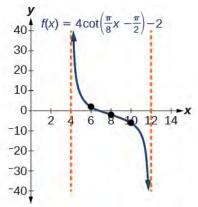


Figure 6.49 One period of a modified cotangent function

Using the Graphs of Trigonometric Functions to Solve Real-World Problems

Many real-world scenarios represent periodic functions and may be modeled by trigonometric functions. As an example, let's return to the scenario from the section opener. Have you ever observed the beam formed by the rotating light on a police car and wondered about the movement of the light beam itself across the wall? The periodic behavior of the distance the light shines as a function of time is obvious, but how do we determine the distance? We can use the tangent function.

Example 6.23

Using Trigonometric Functions to Solve Real-World Scenarios

Suppose the function $y = 5\tan(\frac{\pi}{4}t)$ marks the distance in the movement of a light beam from the top of a police car across a wall where t is the time in seconds and y is the distance in feet from a point on the wall directly across from the police car.

a. Find and interpret the stretching factor and period.

- b. Graph on the interval [0, 5].
- c. Evaluate f(1) and discuss the function's value at that input.

Solution

a. We know from the general form of $y = A \tan(Bt)$ that |A| is the stretching factor and $\frac{\pi}{R}$ is the period.



Figure 6.50

We see that the stretching factor is 5. This means that the beam of light will have moved 5 ft after half the period.

The period is $\frac{\pi}{\frac{\pi}{4}} = \frac{\pi}{1} \cdot \frac{4}{\pi} = 4$. This means that every 4 seconds, the beam of light sweeps the wall. The

distance from the spot across from the police car grows larger as the police car approaches.

b. To graph the function, we draw an asymptote at t = 2 and use the stretching factor and period. See **Figure 6.51**

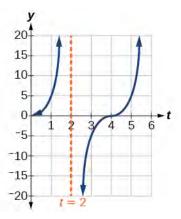


Figure 6.51

c. period: $f(1) = 5\tan(\frac{\pi}{4}(1)) = 5(1) = 5$; after 1 second, the beam of has moved 5 ft from the spot across from the police car.



Access these online resources for additional instruction and practice with graphs of other trigonometric functions.

- Graphing the Tangent (http://openstaxcollege.org/l/graphtangent)
- Graphing Cosecant and Secant (http://openstaxcollege.org/l/graphcscsec)
- · Graphing the Cotangent (http://openstaxcollege.org/l/graphcot)

6.2 EXERCISES

Verbal

49. Explain how the graph of the sine function can be used to graph $y = \csc x$.

50. How can the graph of $y = \cos x$ be used to construct the graph of $y = \sec x$?

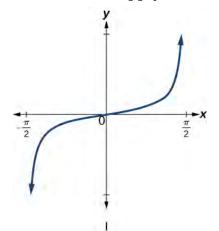
51. Explain why the period of $\tan x$ is equal to π .

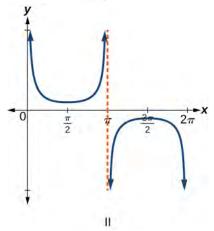
52. Why are there no intercepts on the graph of $y = \csc x$?

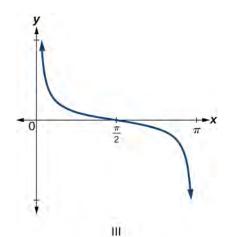
53. How does the period of $y = \csc x$ compare with the period of $y = \sin x$?

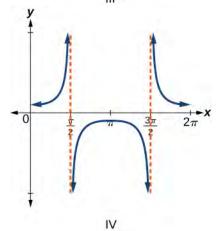
Algebraic

For the following exercises, match each trigonometric function with one of the following graphs.









54.
$$f(x) = \tan x$$

$$55. \quad f(x) = \sec x$$

56.
$$f(x) = \csc x$$

$$57. \quad f(x) = \cot x$$

For the following exercises, find the period and horizontal shift of each of the functions.

58.
$$f(x) = 2\tan(4x - 32)$$

59.
$$h(x) = 2\sec(\frac{\pi}{4}(x+1))$$

$$60. \quad m(x) = 6\csc\left(\frac{\pi}{3}x + \pi\right)$$

61. If
$$\tan x = -1.5$$
, find $\tan(-x)$.

62. If
$$\sec x = 2$$
, find $\sec(-x)$.

63. If
$$\csc x = -5$$
, find $\csc(-x)$.

64. If
$$x\sin x = 2$$
, find $(-x)\sin(-x)$.

For the following exercises, rewrite each expression such that the argument x is positive.

65.
$$\cot(-x)\cos(-x) + \sin(-x)$$

66.
$$\cos(-x) + \tan(-x)\sin(-x)$$

Graphical

For the following exercises, sketch two periods of the graph for each of the following functions. Identify the stretching factor, period, and asymptotes.

67.
$$f(x) = 2\tan(4x - 32)$$

68.
$$h(x) = 2\sec\left(\frac{\pi}{4}(x+1)\right)$$

$$69. \quad m(x) = 6\csc\left(\frac{\pi}{3}x + \pi\right)$$

$$70. \quad j(x) = \tan\left(\frac{\pi}{2}x\right)$$

71.
$$p(x) = \tan\left(x - \frac{\pi}{2}\right)$$

$$72. \quad f(x) = 4\tan(x)$$

73.
$$f(x) = \tan\left(x + \frac{\pi}{4}\right)$$

74.
$$f(x) = \pi \tan(\pi x - \pi) - \pi$$

75.
$$f(x) = 2\csc(x)$$

76.
$$f(x) = -\frac{1}{4}\csc(x)$$

77.
$$f(x) = 4\sec(3x)$$

78.
$$f(x) = -3\cot(2x)$$

79.
$$f(x) = 7\sec(5x)$$

80.
$$f(x) = \frac{9}{10}\csc(\pi x)$$

81.
$$f(x) = 2\csc\left(x + \frac{\pi}{4}\right) - 1$$

82.
$$f(x) = -\sec\left(x - \frac{\pi}{3}\right) - 2$$

83.
$$f(x) = \frac{7}{5}\csc\left(x - \frac{\pi}{4}\right)$$

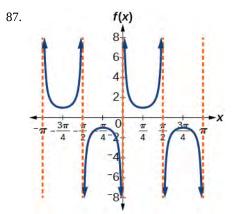
84.
$$f(x) = 5\left(\cot\left(x + \frac{\pi}{2}\right) - 3\right)$$

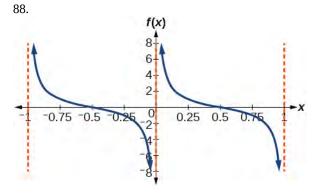
For the following exercises, find and graph two periods of the periodic function with the given stretching factor, |A|, period, and phase shift.

85. A tangent curve,
$$A=1$$
, period of $\frac{\pi}{3}$; and phase shift $(h, k) = \left(\frac{\pi}{4}, 2\right)$

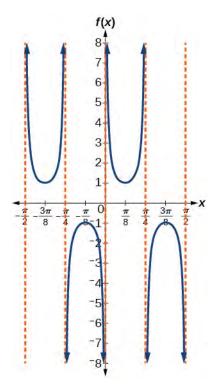
86. A tangent curve,
$$A=-2$$
, period of $\frac{\pi}{4}$, and phase shift $(h, k)=\left(-\frac{\pi}{4}, -2\right)$

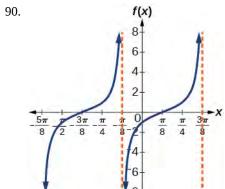
For the following exercises, find an equation for the graph of each function.

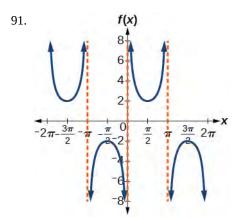




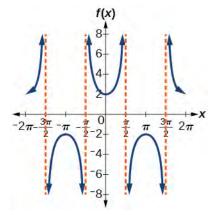
89.

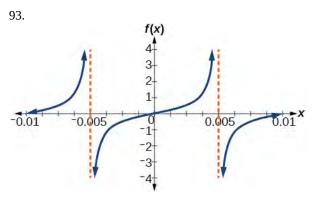






92.





Technology

For the following exercises, use a graphing calculator to graph two periods of the given function. Note: most graphing calculators do not have a cosecant button; therefore, you will need to input $\csc x$ as $\frac{1}{\sin x}$.

94.
$$f(x) = |\csc(x)|$$

95.
$$f(x) = |\cot(x)|$$

96.
$$f(x) = 2^{\csc(x)}$$

97.
$$f(x) = \frac{\csc(x)}{\sec(x)}$$

98. Graph $f(x) = 1 + \sec^2(x) - \tan^2(x)$. What is the function shown in the graph?

99.
$$f(x) = \sec(0.001x)$$

100.
$$f(x) = \cot(100\pi x)$$

101.
$$f(x) = \sin^2 x + \cos^2 x$$

Real-World Applications

102.

The function $f(x) = 20\tan\left(\frac{\pi}{10}x\right)$ marks the distance in the movement of a light beam from a police car across a wall for time x, in seconds, and distance f(x), in feet.

- a. Graph on the interval [0, 5].
- b. Find and interpret the stretching factor, period, and asymptote.
- c. Evaluate f(1) and f(2.5) and discuss the function's values at those inputs.

103. Standing on the shore of a lake, a fisherman sights a boat far in the distance to his left. Let x, measured in radians, be the angle formed by the line of sight to the ship and a line due north from his position. Assume due north is 0 and x is measured negative to the left and positive to the right. (See **Figure 6.52**.) The boat travels from due west to due east and, ignoring the curvature of the Earth, the distance d(x), in kilometers, from the fisherman to the boat is given by the function $d(x) = 1.5 \sec(x)$.

- a. What is a reasonable domain for d(x)?
- b. Graph d(x) on this domain.
- c. Find and discuss the meaning of any vertical asymptotes on the graph of d(x).
- d. Calculate and interpret $d\left(-\frac{\pi}{3}\right)$. Round to the second decimal place.
- e. Calculate and interpret $d\left(\frac{\pi}{6}\right)$. Round to the second decimal place.
- f. What is the minimum distance between the fisherman and the boat? When does this occur?

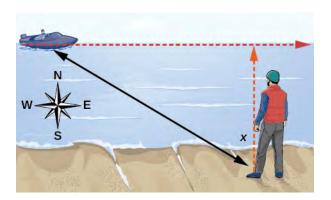


Figure 6.52

104. A laser rangefinder is locked on a comet approaching Earth. The distance g(x), in kilometers, of the comet after

x days, for *x* in the interval 0 to 30 days, is given by $g(x) = 250,000\csc\left(\frac{\pi}{30}x\right)$.

- a. Graph g(x) on the interval [0, 35].
- b. Evaluate g(5) and interpret the information.
- c. What is the minimum distance between the comet and Earth? When does this occur? To which constant in the equation does this correspond?
- d. Find and discuss the meaning of any vertical asymptotes.

105. A video camera is focused on a rocket on a launching pad 2 miles from the camera. The angle of elevation from the ground to the rocket after x seconds is $\frac{\pi}{120}x$.

- a. Write a function expressing the altitude h(x), in miles, of the rocket above the ground after x seconds. Ignore the curvature of the Earth.
- b. Graph h(x) on the interval (0, 60).
- c. Evaluate and interpret the values h(0) and h(30).
- d. What happens to the values of h(x) as x approaches 60 seconds? Interpret the meaning of this in terms of the problem.

6.3 | Inverse Trigonometric Functions

Learning Objectives

In this section, you will:

- **6.3.1** Understand and use the inverse sine, cosine, and tangent functions.
- **6.3.2** Find the exact value of expressions involving the inverse sine, cosine, and tangent functions.
- **6.3.3** Use a calculator to evaluate inverse trigonometric functions.
- 6.3.4 Find exact values of composite functions with inverse trigonometric functions.

For any right triangle, given one other angle and the length of one side, we can figure out what the other angles and sides are. But what if we are given only two sides of a right triangle? We need a procedure that leads us from a ratio of sides to an angle. This is where the notion of an inverse to a trigonometric function comes into play. In this section, we will explore the inverse trigonometric functions.

Understanding and Using the Inverse Sine, Cosine, and Tangent Functions

In order to use inverse trigonometric functions, we need to understand that an inverse trigonometric function "undoes" what the original trigonometric function "does," as is the case with any other function and its inverse. In other words, the domain of the inverse function is the range of the original function, and vice versa, as summarized in **Figure 6.53**.

Trig Functions

Domain: Measure of an angle

Range: Ratio Rang

Figure 6.53

Domain: Ratio
Range: Measure of an angle

Inverse Trig Functions

For example, if $f(x) = \sin x$, then we would write $f^{-1}(x) = \sin^{-1} x$. Be aware that $\sin^{-1} x$ does not mean $\frac{1}{\sin x}$. The following examples illustrate the inverse trigonometric functions:

- Since $\sin\left(\frac{\pi}{6}\right) = \frac{1}{2}$, then $\frac{\pi}{6} = \sin^{-1}\left(\frac{1}{2}\right)$.
- Since $\cos(\pi) = -1$, then $\pi = \cos^{-1}(-1)$.
- Since $\tan\left(\frac{\pi}{4}\right) = 1$, then $\frac{\pi}{4} = \tan^{-1}(1)$.

In previous sections, we evaluated the trigonometric functions at various angles, but at times we need to know what angle would yield a specific sine, cosine, or tangent value. For this, we need inverse functions. Recall that, for a one-to-one function, if f(a) = b, then an inverse function would satisfy $f^{-1}(b) = a$.

Bear in mind that the sine, cosine, and tangent functions are not one-to-one functions. The graph of each function would fail the horizontal line test. In fact, no periodic function can be one-to-one because each output in its range corresponds to at least one input in every period, and there are an infinite number of periods. As with other functions that are not one-to-one, we will need to restrict the domain of each function to yield a new function that is one-to-one. We choose a domain for each function that includes the number 0. **Figure 6.54** shows the graph of the sine function limited to $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$ and the graph of the cosine function limited to $\left[0, \pi\right]$.

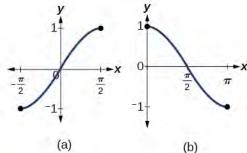


Figure 6.54 (a) Sine function on a restricted domain of $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$; (b) Cosine function on a restricted domain of $[0, \pi]$

Figure 6.55 shows the graph of the tangent function limited to $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$.

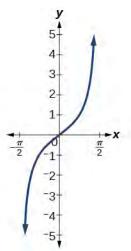


Figure 6.55 Tangent function on a restricted domain of $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$

These conventional choices for the restricted domain are somewhat arbitrary, but they have important, helpful characteristics. Each domain includes the origin and some positive values, and most importantly, each results in a one-to-one function that is invertible. The conventional choice for the restricted domain of the tangent function also has the useful property that it extends from one vertical asymptote to the next instead of being divided into two parts by an asymptote.

On these restricted domains, we can define the inverse trigonometric functions.

• The **inverse sine function** $y = \sin^{-1} x$ means $x = \sin y$. The inverse sine function is sometimes called the **arcsine** function, and notated arcsin*x*.

$$y = \sin^{-1} x$$
 has domain [-1, 1] and range $\left[-\frac{\pi}{2}, \frac{\pi}{2} \right]$

• The **inverse cosine function** $y = \cos^{-1} x$ means $x = \cos y$. The inverse cosine function is sometimes called the **arccosine** function, and notated arccos x.

$$y = \cos^{-1} x$$
 has domain [-1, 1] and range [0, π]

• The **inverse tangent function** $y = \tan^{-1} x$ means $x = \tan y$. The inverse tangent function is sometimes called the **arctangent** function, and notated arctan x.

$$y = \tan^{-1} x$$
 has domain $(-\infty, \infty)$ and range $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$

The graphs of the inverse functions are shown in **Figure 6.56**, **Figure 6.57**, and **Figure 6.58**. Notice that the output of each of these inverse functions is a *number*, an angle in radian measure. We see that $\sin^{-1} x$ has domain [-1, 1] and range $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$, $\cos^{-1} x$ has domain [-1, 1] and range $[0, \pi]$, and $\tan^{-1} x$ has domain of all real numbers and range $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$. To find the domain and range of inverse trigonometric functions, switch the domain and range of the original functions. Each graph of the inverse trigonometric function is a reflection of the graph of the original function about the line y = x.

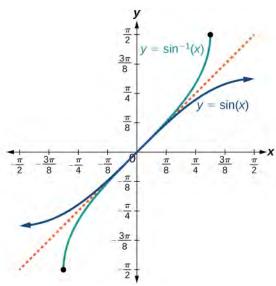


Figure 6.56 The sine function and inverse sine (or arcsine) function

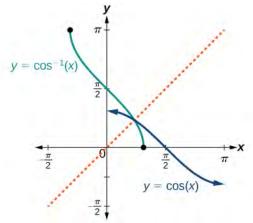


Figure 6.57 The cosine function and inverse cosine (or arccosine) function

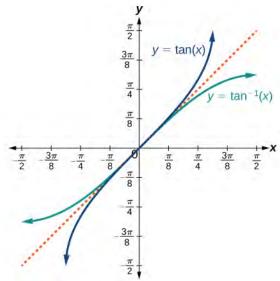


Figure 6.58 The tangent function and inverse tangent (or arctangent) function

Relations for Inverse Sine, Cosine, and Tangent Functions

For angles in the interval $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$, if $\sin y = x$, then $\sin^{-1} x = y$.

For angles in the interval $[0, \pi]$, if $\cos y = x$, then $\cos^{-1} x = y$.

For angles in the interval $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$, if $\tan y = x$, then $\tan^{-1} x = y$.

Example 6.24

Writing a Relation for an Inverse Function

Given $\sin\left(\frac{5\pi}{12}\right) \approx 0.96593$, write a relation involving the inverse sine.

Solution

Use the relation for the inverse sine. If $\sin y = x$, then $\sin^{-1} x = y$.

In this problem, x = 0.96593, and $y = \frac{5\pi}{12}$.

$$\sin^{-1}(0.96593) \approx \frac{5\pi}{12}$$



6.19 Given $cos(0.5) \approx 0.8776$, write a relation involving the inverse cosine.

Finding the Exact Value of Expressions Involving the Inverse Sine, Cosine, and Tangent Functions

Now that we can identify inverse functions, we will learn to evaluate them. For most values in their domains, we must evaluate the inverse trigonometric functions by using a calculator, interpolating from a table, or using some other numerical technique. Just as we did with the original trigonometric functions, we can give exact values for the inverse functions when we are using the special angles, specifically $\frac{\pi}{6}$ (30°), $\frac{\pi}{4}$ (45°), and $\frac{\pi}{3}$ (60°), and their reflections into other quadrants.



Given a "special" input value, evaluate an inverse trigonometric function.

- 1. Find angle *x* for which the original trigonometric function has an output equal to the given input for the inverse trigonometric function.
- 2. If *x* is not in the defined range of the inverse, find another angle *y* that is in the defined range and has the same sine, cosine, or tangent as *x*, depending on which corresponds to the given inverse function.

Example 6.25

Evaluating Inverse Trigonometric Functions for Special Input Values

Evaluate each of the following.

- a. $\sin^{-1}\left(\frac{1}{2}\right)$
- b. $\sin^{-1}\left(-\frac{\sqrt{2}}{2}\right)$
- c. $\cos^{-1}\left(-\frac{\sqrt{3}}{2}\right)$
- d. $tan^{-1}(1)$

Solution

- a. Evaluating $\sin^{-1}\left(\frac{1}{2}\right)$ is the same as determining the angle that would have a sine value of $\frac{1}{2}$. In other words, what angle x would satisfy $\sin(x) = \frac{1}{2}$? There are multiple values that would satisfy this relationship, such as $\frac{\pi}{6}$ and $\frac{5\pi}{6}$, but we know we need the angle in the interval $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$, so the answer will be $\sin^{-1}\left(\frac{1}{2}\right) = \frac{\pi}{6}$. Remember that the inverse is a function, so for each input, we will get exactly one output.
- b. To evaluate $\sin^{-1}\left(-\frac{\sqrt{2}}{2}\right)$, we know that $\frac{5\pi}{4}$ and $\frac{7\pi}{4}$ both have a sine value of $-\frac{\sqrt{2}}{2}$, but neither is in the interval $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$. For that, we need the negative angle coterminal with $\frac{7\pi}{4}$: $\sin^{-1}(-\frac{\sqrt{2}}{2}) = -\frac{\pi}{4}$.
- c. To evaluate $\cos^{-1}\left(-\frac{\sqrt{3}}{2}\right)$, we are looking for an angle in the interval $[0, \pi]$ with a cosine value of $-\frac{\sqrt{3}}{2}$. The angle that satisfies this is $\cos^{-1}\left(-\frac{\sqrt{3}}{2}\right) = \frac{5\pi}{6}$.

d. Evaluating $\tan^{-1}(1)$, we are looking for an angle in the interval $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$ with a tangent value of 1. The correct angle is $\tan^{-1}(1) = \frac{\pi}{4}$.



6.20 Evaluate each of the following.

- a. $\sin^{-1}(-1)$
- b. $tan^{-1}(-1)$
- c. $\cos^{-1}(-1)$
- d. $\cos^{-1}\left(\frac{1}{2}\right)$

Using a Calculator to Evaluate Inverse Trigonometric Functions

To evaluate inverse trigonometric functions that do not involve the special angles discussed previously, we will need to use a calculator or other type of technology. Most scientific calculators and calculator-emulating applications have specific keys or buttons for the inverse sine, cosine, and tangent functions. These may be labeled, for example, SIN-1, ARCSIN, or ASIN.

In the previous chapter, we worked with trigonometry on a right triangle to solve for the sides of a triangle given one side and an additional angle. Using the inverse trigonometric functions, we can solve for the angles of a right triangle given two sides, and we can use a calculator to find the values to several decimal places.

In these examples and exercises, the answers will be interpreted as angles and we will use θ as the independent variable. The value displayed on the calculator may be in degrees or radians, so be sure to set the mode appropriate to the application.

Example 6.26

Evaluating the Inverse Sine on a Calculator

Evaluate $\sin^{-1}(0.97)$ using a calculator.

Solution

Because the output of the inverse function is an angle, the calculator will give us a degree value if in degree mode and a radian value if in radian mode. Calculators also use the same domain restrictions on the angles as we are using.

In radian mode, $\sin^{-1}(0.97) \approx 1.3252$. In degree mode, $\sin^{-1}(0.97) \approx 75.93^{\circ}$. Note that in calculus and beyond we will use radians in almost all cases.



6.21 Evaluate $\cos^{-1}(-0.4)$ using a calculator.

Given two sides of a right triangle like the one shown in Figure 6.59, find an angle.

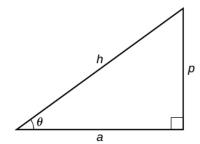


Figure 6.59

- 1. If one given side is the hypotenuse of length h and the side of length a adjacent to the desired angle is given, use the equation $\theta = \cos^{-1}\left(\frac{a}{h}\right)$.
- 2. If one given side is the hypotenuse of length h and the side of length p opposite to the desired angle is given, use the equation $\theta = \sin^{-1}\left(\frac{p}{h}\right)$.
- 3. If the two legs (the sides adjacent to the right angle) are given, then use the equation $\theta = \tan^{-1} \left(\frac{p}{a} \right)$.

Example 6.27

Applying the Inverse Cosine to a Right Triangle

Solve the triangle in **Figure 6.60** for the angle θ .

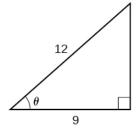


Figure 6.60

Solution

Because we know the hypotenuse and the side adjacent to the angle, it makes sense for us to use the cosine function.

$$\cos \theta = \frac{9}{12}$$

$$\theta = \cos^{-1}(\frac{9}{12})$$
 Apply definition of he inverse.
$$\theta \approx 0.7227 \text{ or about } 41.4096^{\circ}$$
 Evaluate.



6.22 Solve the triangle in **Figure 6.61** for the angle θ .

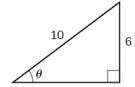


Figure 6.61

Finding Exact Values of Composite Functions with Inverse Trigonometric Functions

There are times when we need to compose a trigonometric function with an inverse trigonometric function. In these cases, we can usually find exact values for the resulting expressions without resorting to a calculator. Even when the input to the composite function is a variable or an expression, we can often find an expression for the output. To help sort out different cases, let f(x) and g(x) be two different trigonometric functions belonging to the set $\{\sin(x), \cos(x), \tan(x)\}$ and let $f^{-1}(y)$ and $g^{-1}(y)$ be their inverses.

Evaluating Compositions of the Form $f(f^{-1}(y))$ and $f^{-1}(f(x))$

For any trigonometric function, $f(f^{-1}(y)) = y$ for all y in the proper domain for the given function. This follows from the definition of the inverse and from the fact that the range of f was defined to be identical to the domain of f^{-1} . However, we have to be a little more careful with expressions of the form $f^{-1}(f(x))$.

Compositions of a trigonometric function and its inverse

$$\sin(\sin^{-1} x) = x \text{ for } -1 \le x \le 1$$

$$\cos(\cos^{-1} x) = x \text{ for } -1 \le x \le 1$$

$$\tan(\tan^{-1} x) = x \text{ for } -\infty < x < \infty$$

$$\sin^{-1}(\sin x) = x \text{ only for } -\frac{\pi}{2} \le x \le \frac{\pi}{2}$$
$$\cos^{-1}(\cos x) = x \text{ only for } 0 \le x \le \pi$$
$$\tan^{-1}(\tan x) = x \text{ only for } -\frac{\pi}{2} < x < \frac{\pi}{2}$$



Is it correct that $\sin^{-1}(\sin x) = x$?

No. This equation is correct if x belongs to the restricted domain $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$, but sine is defined for all real input values, and for x outside the restricted interval, the equation is not correct because its inverse always returns a value in $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$. The situation is similar for cosine and tangent and their inverses. For example, $\sin^{-1}\left(\sin\left(\frac{3\pi}{4}\right)\right) = \frac{\pi}{4}$.



Given an expression of the form $f^{-1}(f(\theta))$ where $f(\theta) = \sin \theta$, $\cos \theta$, or $\tan \theta$, evaluate.

- 1. If θ is in the restricted domain of f, then $f^{-1}(f(\theta)) = \theta$.
- 2. If not, then find an angle ϕ within the restricted domain of f such that $f(\phi) = f(\theta)$. Then $f^{-1}(f(\theta)) = \phi$.

Example 6.28

Using Inverse Trigonometric Functions

Evaluate the following:

1.
$$\sin^{-1}\left(\sin\left(\frac{\pi}{3}\right)\right)$$

2.
$$\sin^{-1}\left(\sin\left(\frac{2\pi}{3}\right)\right)$$

3.
$$\cos^{-1}\left(\cos\left(\frac{2\pi}{3}\right)\right)$$

4.
$$\cos^{-1}\left(\cos\left(-\frac{\pi}{3}\right)\right)$$

Solution

a.
$$\frac{\pi}{3}$$
 is in $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$, so $\sin^{-1}\left(\sin\left(\frac{\pi}{3}\right)\right) = \frac{\pi}{3}$.

b.
$$\frac{2\pi}{3}$$
 is not in $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$, but $\sin\left(\frac{2\pi}{3}\right) = \sin\left(\frac{\pi}{3}\right)$, so $\sin^{-1}\left(\sin\left(\frac{2\pi}{3}\right)\right) = \frac{\pi}{3}$.

c.
$$\frac{2\pi}{3}$$
 is in $[0, \pi]$, so $\cos^{-1}\left(\cos\left(\frac{2\pi}{3}\right)\right) = \frac{2\pi}{3}$.

d.
$$-\frac{\pi}{3}$$
 is not in $[0, \pi]$, but $\cos\left(-\frac{\pi}{3}\right) = \cos\left(\frac{\pi}{3}\right)$ because cosine is an even function.

e.
$$\frac{\pi}{3}$$
 is in $[0, \pi]$, so $\cos^{-1}(\cos(-\frac{\pi}{3})) = \frac{\pi}{3}$.



6.23 Evaluate $\tan^{-1}\left(\tan\left(\frac{\pi}{8}\right)\right)$ and $\tan^{-1}\left(\tan\left(\frac{11\pi}{9}\right)\right)$.

Evaluating Compositions of the Form $f^{-1}(g(x))$

Now that we can compose a trigonometric function with its inverse, we can explore how to evaluate a composition of a trigonometric function and the inverse of another trigonometric function. We will begin with compositions of the form $f^{-1}(g(x))$. For special values of x, we can exactly evaluate the inner function and then the outer, inverse function. However, we can find a more general approach by considering the relation between the two acute angles of a right triangle where one is θ , making the other $\frac{\pi}{2} - \theta$. Consider the sine and cosine of each angle of the right triangle in **Figure 6.62**.

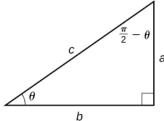


Figure 6.62 Right triangle illustrating the cofunction relationships

Because $\cos\theta = \frac{b}{c} = \sin(\frac{\pi}{2} - \theta)$, we have $\sin^{-1}(\cos\theta) = \frac{\pi}{2} - \theta$ if $0 \le \theta \le \pi$. If θ is not in this domain, then we need to find another angle that has the same cosine as θ and does belong to the restricted domain; we then subtract this angle from $\frac{\pi}{2}$. Similarly, $\sin\theta = \frac{a}{c} = \cos(\frac{\pi}{2} - \theta)$, so $\cos^{-1}(\sin\theta) = \frac{\pi}{2} - \theta$ if $-\frac{\pi}{2} \le \theta \le \frac{\pi}{2}$. These are just the function-cofunction relationships presented in another way.

How To: Given functions of the form $\sin^{-1}(\cos x)$ and $\cos^{-1}(\sin x)$, evaluate them.

- 1. If x is in $[0, \pi]$, then $\sin^{-1}(\cos x) = \frac{\pi}{2} x$.
- 2. If x is not in $[0, \pi]$, then find another angle y in $[0, \pi]$ such that $\cos y = \cos x$.

$$\sin^{-1}(\cos x) = \frac{\pi}{2} - y$$

- 3. If x is in $\left[-\frac{\pi}{2}, \frac{\pi}{2} \right]$, then $\cos^{-1}(\sin x) = \frac{\pi}{2} x$.
- 4. If *x* is not in $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$, then find another angle *y* in $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$ such that $\sin y = \sin x$.

$$\cos^{-1}(\sin x) = \frac{\pi}{2} - y$$

Example 6.29

Evaluating the Composition of an Inverse Sine with a Cosine

Evaluate $\sin^{-1}\left(\cos\left(\frac{13\pi}{6}\right)\right)$

- a. by direct evaluation.
- b. by the method described previously.

Solution

a. Here, we can directly evaluate the inside of the composition.

$$\cos(\frac{13\pi}{6}) = \cos(\frac{\pi}{6} + 2\pi)$$
$$= \cos(\frac{\pi}{6})$$
$$= \frac{\sqrt{3}}{2}$$

Now, we can evaluate the inverse function as we did earlier.

$$\sin^{-1}\left(\frac{\sqrt{3}}{2}\right) = \frac{\pi}{3}$$

b. We have $x = \frac{13\pi}{6}, y = \frac{\pi}{6}$, and

$$\sin^{-1}\left(\cos\left(\frac{13\pi}{6}\right)\right) = \frac{\pi}{2} - \frac{\pi}{6}$$
$$= \frac{\pi}{3}$$



6.24 Evaluate $\cos^{-1}\left(\sin\left(-\frac{11\pi}{4}\right)\right)$.

Evaluating Compositions of the Form $f(g^{-1}(x))$

To evaluate compositions of the form $f(g^{-1}(x))$, where f and g are any two of the functions sine, cosine, or tangent and x is any input in the domain of g^{-1} , we have exact formulas, such as $\sin(\cos^{-1}x) = \sqrt{1-x^2}$. When we need to use them, we can derive these formulas by using the trigonometric relations between the angles and sides of a right triangle, together with the use of Pythagoras's relation between the lengths of the sides. We can use the Pythagorean identity, $\sin^2 x + \cos^2 x = 1$, to solve for one when given the other. We can also use the inverse trigonometric functions to find compositions involving algebraic expressions.

Example 6.30

Evaluating the Composition of a Sine with an Inverse Cosine

Find an exact value for $\sin(\cos^{-1}(\frac{4}{5}))$.

Solution

Beginning with the inside, we can say there is some angle such that $\theta = \cos^{-1}\left(\frac{4}{5}\right)$, which means $\cos\theta = \frac{4}{5}$, and we are looking for $\sin\theta$. We can use the Pythagorean identity to do this.

$$\sin^2 \theta + \cos^2 \theta = 1$$
 Use our known value for cosine. $\sin^2 \theta + (\frac{4}{5})^2 = 1$ Solve for sine. $\sin^2 \theta = 1 - \frac{16}{25}$ $\sin \theta = \pm \sqrt{\frac{9}{25}} = \pm \frac{3}{5}$

Since $\theta = \cos^{-1}\left(\frac{4}{5}\right)$ is in quadrant I, $\sin\theta$ must be positive, so the solution is $\frac{3}{5}$. See **Figure 6.63**.

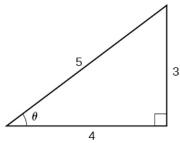


Figure 6.63 Right triangle illustrating that if $\cos \theta = \frac{4}{5}$,

then
$$\sin \theta = \frac{3}{5}$$

We know that the inverse cosine always gives an angle on the interval $[0, \pi]$, so we know that the sine of that angle must be positive; therefore $\sin(\cos^{-1}(\frac{4}{5})) = \sin \theta = \frac{3}{5}$.



6.25 Evaluate $\cos(\tan^{-1}(\frac{5}{12}))$.

Example 6.31

Evaluating the Composition of a Sine with an Inverse Tangent

Find an exact value for $\sin(\tan^{-1}(\frac{7}{4}))$.

Solution

While we could use a similar technique as in **Example 6.29**, we will demonstrate a different technique here. From the inside, we know there is an angle such that $\tan \theta = \frac{7}{4}$. We can envision this as the opposite and adjacent sides on a right triangle, as shown in **Figure 6.64**.

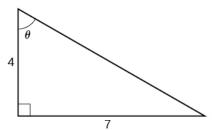


Figure 6.64 A right triangle with two sides known

Using the Pythagorean Theorem, we can find the hypotenuse of this triangle.

$$4^2 + 7^2 = \text{hypotenuse}^2$$

hypotenuse = $\sqrt{65}$

Now, we can evaluate the sine of the angle as the opposite side divided by the hypotenuse.

$$\sin\theta = \frac{7}{\sqrt{65}}$$

This gives us our desired composition.

$$\sin\left(\tan^{-1}\left(\frac{7}{4}\right)\right) = \sin\theta$$
$$= \frac{7}{\sqrt{65}}$$
$$= \frac{7\sqrt{65}}{65}$$



6.26 Evaluate $\cos\left(\sin^{-1}\left(\frac{7}{9}\right)\right)$.

Example 6.32

Finding the Cosine of the Inverse Sine of an Algebraic Expression

Find a simplified expression for $\cos\left(\sin^{-1}\left(\frac{x}{3}\right)\right)$ for $-3 \le x \le 3$.

Solution

We know there is an angle θ such that $\sin \theta = \frac{x}{3}$.

$$\sin^2\theta + \cos^2\theta = 1$$
 Use the Pythagorean Theorem.
$$\left(\frac{x}{3}\right)^2 + \cos^2\theta = 1$$
 Solve for cosine.
$$\cos^2\theta = 1 - \frac{x^2}{9}$$

$$\cos\theta = \pm \sqrt{\frac{9-x^2}{9}} = \pm \frac{\sqrt{9-x^2}}{3}$$

Because we know that the inverse sine must give an angle on the interval $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$, we can deduce that the cosine of that angle must be positive.

$$\cos\left(\sin^{-1}\left(\frac{x}{3}\right)\right) = \frac{\sqrt{9-x^2}}{3}$$



6.27 Find a simplified expression for $\sin(\tan^{-1}(4x))$ for $-\frac{1}{4} \le x \le \frac{1}{4}$.



Access this online resource for additional instruction and practice with inverse trigonometric functions.

• Evaluate Expressions Involving Inverse Trigonometric Functions (http://openstaxcollege.org/l/evalinverstrig)

Visit **this website (http://openstaxcollege.org/l/PreCalcLPC06)** for additional practice questions from Learningpod.

6.3 EXERCISES

Verbal

106. Why do the functions $f(x) = \sin^{-1} x$ and $g(x) = \cos^{-1} x$ have different ranges?

107. Since the functions $y = \cos x$ and $y = \cos^{-1} x$ are inverse functions, why is $\cos^{-1} \left(\cos \left(-\frac{\pi}{6} \right) \right)$ not equal to $-\frac{\pi}{6}$?

- 108. Explain the meaning of $\frac{\pi}{6} = \arcsin(0.5)$.
- 109. Most calculators do not have a key to evaluate $\sec^{-1}(2)$. Explain how this can be done using the cosine function or the inverse cosine function.
- 110. Why must the domain of the sine function, $\sin x$, be restricted to $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$ for the inverse sine function to exist?
- 111. Discuss why this statement is incorrect: $\arccos(\cos x) = x$ for all x.
- 112. Determine whether the following statement is true or false and explain your answer: $\arccos(-x) = \pi \arccos x$.

Algebraic

For the following exercises, evaluate the expressions.

113.
$$\sin^{-1}\left(\frac{\sqrt{2}}{2}\right)$$

114.
$$\sin^{-1}\left(-\frac{1}{2}\right)$$

115.
$$\cos^{-1}(\frac{1}{2})$$

116.
$$\cos^{-1}\left(-\frac{\sqrt{2}}{2}\right)$$

117.
$$tan^{-1}(1)$$

118.
$$\tan^{-1}(-\sqrt{3})$$

119.
$$tan^{-1}(-1)$$

120.
$$\tan^{-1}(\sqrt{3})$$

121.

$$\tan^{-1}\left(\frac{-1}{\sqrt{3}}\right)$$

For the following exercises, use a calculator to evaluate each expression. Express answers to the nearest hundredth.

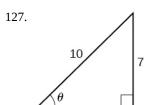
122.
$$\cos^{-1}(-0.4)$$

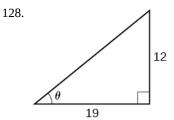
124.
$$\arccos\left(\frac{3}{5}\right)$$

125.
$$\cos^{-1}(0.8)$$

126.
$$tan^{-1}(6)$$

For the following exercises, find the angle θ in the given right triangle. Round answers to the nearest hundredth.





For the following exercises, find the exact value, if possible, without a calculator. If it is not possible, explain why.

129.
$$\sin^{-1}(\cos(\pi))$$

130.
$$\tan^{-1}(\sin(\pi))$$

131.
$$\cos^{-1} \left(\sin \left(\frac{\pi}{3} \right) \right)$$

132.
$$\tan^{-1}\left(\sin\left(\frac{\pi}{3}\right)\right)$$

133.
$$\sin^{-1}\left(\cos\left(\frac{-\pi}{2}\right)\right)$$

134.
$$\tan^{-1}\left(\sin\left(\frac{4\pi}{3}\right)\right)$$

135.
$$\sin^{-1}\left(\sin\left(\frac{5\pi}{6}\right)\right)$$

136.
$$\tan^{-1} \left(\sin \left(\frac{-5\pi}{2} \right) \right)$$

137.
$$\cos(\sin^{-1}(\frac{4}{5}))$$

138.
$$\sin(\cos^{-1}(\frac{3}{5}))$$

139.
$$\sin(\tan^{-1}(\frac{4}{3}))$$

140.
$$\cos(\tan^{-1}(\frac{12}{5}))$$

141.
$$\cos(\sin^{-1}(\frac{1}{2}))$$

For the following exercises, find the exact value of the expression in terms of x with the help of a reference triangle.

142.
$$\tan(\sin^{-1}(x-1))$$

143.
$$\sin(\cos^{-1}(1-x))$$

144.
$$\cos(\sin^{-1}\left(\frac{1}{x}\right))$$

145.
$$\cos(\tan^{-1}(3x-1))$$

146.
$$\tan(\sin^{-1}(x+\frac{1}{2}))$$

Extensions

For the following exercises, evaluate the expression without using a calculator. Give the exact value.

$$\frac{147.}{\cos^{-1}\left(\frac{1}{2}\right) - \cos^{-1}\left(\frac{\sqrt{2}}{2}\right) + \sin^{-1}\left(\frac{\sqrt{3}}{2}\right) - \cos^{-1}\left(1\right)}{\cos^{-1}\left(\frac{\sqrt{3}}{2}\right) - \sin^{-1}\left(\frac{\sqrt{2}}{2}\right) + \cos^{-1}\left(\frac{1}{2}\right) - \sin^{-1}\left(0\right)}$$

For the following exercises, find the function if $\sin t = \frac{x}{x+1}$.

- 148. cos *t*
- 149. sec t
- 150. cot t

151.
$$\cos\left(\sin^{-1}\left(\frac{x}{x+1}\right)\right)$$

152.
$$\tan^{-1} \left(\frac{x}{\sqrt{2x+1}} \right)$$

Graphical

- 153. Graph $y = \sin^{-1} x$ and state the domain and range of the function.
- 154. Graph $y = \arccos x$ and state the domain and range of the function.
- 155. Graph one cycle of $y = \tan^{-1} x$ and state the domain and range of the function.
- 156. For what value of x does $\sin x = \sin^{-1} x$? Use a graphing calculator to approximate the answer.
- 157. For what value of x does $\cos x = \cos^{-1} x$? Use a graphing calculator to approximate the answer.

Real-World Applications

- 158. Suppose a 13-foot ladder is leaning against a building, reaching to the bottom of a second-floor window 12 feet above the ground. What angle, in radians, does the ladder make with the building?
- 159. Suppose you drive 0.6 miles on a road so that the vertical distance changes from 0 to 150 feet. What is the angle of elevation of the road?
- 160. An isosceles triangle has two congruent sides of length 9 inches. The remaining side has a length of 8 inches. Find the angle that a side of 9 inches makes with the 8-inch side.
- 161. Without using a calculator, approximate the value of arctan(10,000). Explain why your answer is reasonable.
- 162. A truss for the roof of a house is constructed from two identical right triangles. Each has a base of 12 feet and height of 4 feet. Find the measure of the acute angle adjacent to the 4-foot side.
- 163. The line $y = \frac{3}{5}x$ passes through the origin in the *x*,*y*-plane. What is the measure of the angle that the line makes with the positive *x*-axis?
- 164. The line $y = \frac{-3}{7}x$ passes through the origin in the x,y-plane. What is the measure of the angle that the line makes with the negative x-axis?
- 165. What percentage grade should a road have if the angle of elevation of the road is 4 degrees? (The percentage grade is defined as the change in the altitude of the road over a 100-foot horizontal distance. For example a 5% grade

means that the road rises 5 feet for every 100 feet of horizontal distance.)

166. A 20-foot ladder leans up against the side of a building so that the foot of the ladder is 10 feet from the base of the building. If specifications call for the ladder's angle of elevation to be between 35 and 45 degrees, does the placement of this ladder satisfy safety specifications?

167. Suppose a 15-foot ladder leans against the side of a house so that the angle of elevation of the ladder is 42 degrees. How far is the foot of the ladder from the side of the house?

CHAPTER 6 REVIEW

KEY TERMS

amplitude the vertical height of a function; the constant *A* appearing in the definition of a sinusoidal function

arccosine another name for the inverse cosine; $\arccos x = \cos^{-1} x$

arcsine another name for the inverse sine; $\arcsin x = \sin^{-1} x$

arctangent another name for the inverse tangent; $\arctan x = \tan^{-1} x$

inverse cosine function the function $\cos^{-1} x$, which is the inverse of the cosine function and the angle that has a cosine equal to a given number

inverse sine function the function $\sin^{-1} x$, which is the inverse of the sine function and the angle that has a sine equal to a given number

inverse tangent function the function $tan^{-1}x$, which is the inverse of the tangent function and the angle that has a tangent equal to a given number

midline the horizontal line y = D, where D appears in the general form of a sinusoidal function

periodic function a function f(x) that satisfies f(x + P) = f(x) for a specific constant P and any value of x

phase shift the horizontal displacement of the basic sine or cosine function; the constant $\frac{C}{R}$

sinusoidal function any function that can be expressed in the form $f(x) = A\sin(Bx - C) + D$ or $f(x) = A\cos(Bx - C) + D$

KEY EQUATIONS

Sinusoidal functions
$$f(x) = A\sin(Bx - C) + D$$
$$f(x) = A\cos(Bx - C) + D$$

Shifted, compressed, and/or stretched tangent function $y = A \tan(Bx - C) + D$

Shifted, compressed, and/or stretched secant function $y = A \sec(Bx - C) + D$

Shifted, compressed, and/or stretched cosecant function $y = A \csc(Bx - C) + D$

Shifted, compressed, and/or stretched cotangent function $y = A \cot(Bx - C) + D$

KEY CONCEPTS

6.1 Graphs of the Sine and Cosine Functions

• Periodic functions repeat after a given value. The smallest such value is the period. The basic sine and cosine functions have a period of 2π .

• The function $\sin x$ is odd, so its graph is symmetric about the origin. The function $\cos x$ is even, so its graph is symmetric about the *y*-axis.

- The graph of a sinusoidal function has the same general shape as a sine or cosine function.
- In the general formula for a sinusoidal function, the period is $P = \frac{2\pi}{|B|}$. See **Example 6.1**.
- In the general formula for a sinusoidal function, |A| represents amplitude. If |A| > 1, the function is stretched, whereas if |A| < 1, the function is compressed. See **Example 6.2**.
- The value $\frac{C}{B}$ in the general formula for a sinusoidal function indicates the phase shift. See **Example 6.3**.
- The value *D* in the general formula for a sinusoidal function indicates the vertical shift from the midline. See **Example 6.4**.
- Combinations of variations of sinusoidal functions can be detected from an equation. See Example 6.5.
- The equation for a sinusoidal function can be determined from a graph. See Example 6.6 and Example 6.7.
- A function can be graphed by identifying its amplitude and period. See **Example 6.8** and **Example 6.9**.
- A function can also be graphed by identifying its amplitude, period, phase shift, and horizontal shift. See Example 6.10.
- Sinusoidal functions can be used to solve real-world problems. See Example 6.11, Example 6.12, and Example 6.13.

6.2 Graphs of the Other Trigonometric Functions

- The tangent function has period π .
- $f(x) = A\tan(Bx C) + D$ is a tangent with vertical and/or horizontal stretch/compression and shift. See **Example 6.14**, **Example 6.15**, and **Example 6.16**.
- The secant and cosecant are both periodic functions with a period of 2π . $f(x) = A\sec(Bx C) + D$ gives a shifted, compressed, and/or stretched secant function graph. See **Example 6.17** and **Example 6.18**.
- $f(x) = A\csc(Bx C) + D$ gives a shifted, compressed, and/or stretched cosecant function graph. See **Example 6.19** and **Example 6.20**.
- The cotangent function has period π and vertical asymptotes at $0, \pm \pi, \pm 2\pi, ...$
- The range of cotangent is $(-\infty, \infty)$, and the function is decreasing at each point in its range.
- The cotangent is zero at $\pm \frac{\pi}{2}$, $\pm \frac{3\pi}{2}$,
- $f(x) = A\cot(Bx C) + D$ is a cotangent with vertical and/or horizontal stretch/compression and shift. See **Example 6.21** and **Example 6.22**.
- Real-world scenarios can be solved using graphs of trigonometric functions. See Example 6.23.

6.3 Inverse Trigonometric Functions

- An inverse function is one that "undoes" another function. The domain of an inverse function is the range of the original function and the range of an inverse function is the domain of the original function.
- Because the trigonometric functions are not one-to-one on their natural domains, inverse trigonometric functions are defined for restricted domains.
- For any trigonometric function f(x), if $x = f^{-1}(y)$, then f(x) = y. However, f(x) = y only implies $x = f^{-1}(y)$ if x is in the restricted domain of f. See **Example 6.24**.

• Special angles are the outputs of inverse trigonometric functions for special input values; for example, $\frac{\pi}{4} = \tan^{-1}(1)$ and $\frac{\pi}{6} = \sin^{-1}\left(\frac{1}{2}\right)$. See **Example 6.25**.

- A calculator will return an angle within the restricted domain of the original trigonometric function. See Example 6.26.
- Inverse functions allow us to find an angle when given two sides of a right triangle. See Example 6.27.
- In function composition, if the inside function is an inverse trigonometric function, then there are exact expressions; for example, $\sin(\cos^{-1}(x)) = \sqrt{1-x^2}$. See **Example 6.28**.
- If the inside function is a trigonometric function, then the only possible combinations are $\sin^{-1}(\cos x) = \frac{\pi}{2} x$ if $0 \le x \le \pi$ and $\cos^{-1}(\sin x) = \frac{\pi}{2} x$ if $-\frac{\pi}{2} \le x \le \frac{\pi}{2}$. See **Example 6.29** and **Example 6.30**.
- When evaluating the composition of a trigonometric function with an inverse trigonometric function, draw a reference triangle to assist in determining the ratio of sides that represents the output of the trigonometric function. See Example 6.31.
- When evaluating the composition of a trigonometric function with an inverse trigonometric function, you may use trig identities to assist in determining the ratio of sides. See **Example 6.32**.

CHAPTER 6 REVIEW EXERCISES

Graphs of the Sine and Cosine Functions

For the following exercises, graph the functions for two periods and determine the amplitude or stretching factor, period, midline equation, and asymptotes.

168.
$$f(x) = -3\cos x + 3$$

169.
$$f(x) = \frac{1}{4}\sin x$$

170.
$$f(x) = 3\cos\left(x + \frac{\pi}{6}\right)$$

171.
$$f(x) = -2\sin\left(x - \frac{2\pi}{3}\right)$$

172.
$$f(x) = 3\sin\left(x - \frac{\pi}{4}\right) - 4$$

173.
$$f(x) = 2\left(\cos\left(x - \frac{4\pi}{3}\right) + 1\right)$$

174.
$$f(x) = 6\sin(3x - \frac{\pi}{6}) - 1$$

175.
$$f(x) = -100\sin(50x - 20)$$

Graphs of the Other Trigonometric Functions

For the following exercises, graph the functions for two periods and determine the amplitude or stretching factor, period, midline equation, and asymptotes.

176.
$$f(x) = \tan x - 4$$

177.
$$f(x) = 2\tan\left(x - \frac{\pi}{6}\right)$$

178.
$$f(x) = -3\tan(4x) - 2$$

179.
$$f(x) = 0.2\cos(0.1x) + 0.3$$

For the following exercises, graph two full periods. Identify the period, the phase shift, the amplitude, and asymptotes.

180.
$$f(x) = \frac{1}{3}\sec x$$

181.
$$f(x) = 3\cot x$$

182.
$$f(x) = 4\csc(5x)$$

183.
$$f(x) = 8\sec(\frac{1}{4}x)$$

184.
$$f(x) = \frac{2}{3}\csc(\frac{1}{2}x)$$

185.
$$f(x) = -\csc(2x + \pi)$$

For the following exercises, use this scenario: The population of a city has risen and fallen over a 20-year interval. Its population may be modeled by the following function: $y = 12,000 + 8,000\sin(0.628x)$, where

domain is the years since 1980 and the range is the population of the city.

186. What is the largest and smallest population the city may have?

187. Graph the function on the domain of [0, 40].

188. What are the amplitude, period, and phase shift for the function?

189. Over this domain, when does the population reach 18,000? 13,000?

190. What is the predicted population in 2007? 2010?

For the following exercises, suppose a weight is attached to a spring and bobs up and down, exhibiting symmetry.

191. Suppose the graph of the displacement function is shown in **Figure 6.65**, where the values on the *x*-axis represent the time in seconds and the *y*-axis represents the displacement in inches. Give the equation that models the vertical displacement of the weight on the spring.

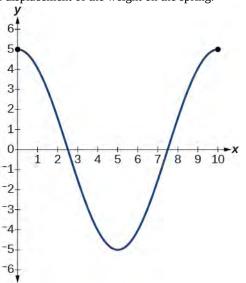


Figure 6.65

- **192.** At time = 0, what is the displacement of the weight?
- **193.** At what time does the displacement from the equilibrium point equal zero?
- **194.** What is the time required for the weight to return to its initial height of 5 inches? In other words, what is the period for the displacement function?

Inverse Trigonometric Functions

For the following exercises, find the exact value without the aid of a calculator.

195.
$$\sin^{-1}(1)$$

196.
$$\cos^{-1}\left(\frac{\sqrt{3}}{2}\right)$$

197.
$$\tan^{-1}(-1)$$

198.
$$\cos^{-1}\left(\frac{1}{\sqrt{2}}\right)$$

199.
$$\sin^{-1}\left(\frac{-\sqrt{3}}{2}\right)$$

200.
$$\sin^{-1}\left(\cos\left(\frac{\pi}{6}\right)\right)$$

201.
$$\cos^{-1}\left(\tan\left(\frac{3\pi}{4}\right)\right)$$

202.
$$\sin(\sec^{-1}(\frac{3}{5}))$$

203.
$$\cot(\sin^{-1}(\frac{3}{5}))$$

204.
$$\tan(\cos^{-1}(\frac{5}{13}))$$

205.
$$\sin\left(\cos^{-1}\left(\frac{x}{x+1}\right)\right)$$

206. Graph $f(x) = \cos x$ and $f(x) = \sec x$ on the interval $[0, 2\pi)$ and explain any observations.

207. Graph $f(x) = \sin x$ and $f(x) = \csc x$ and explain any observations.

208. Graph the function $f(x) = \frac{x}{1} - \frac{x^3}{3!} + \frac{x^5}{5!} - \frac{x^7}{7!}$ on the interval [-1, 1] and compare the graph to the graph of $f(x) = \sin x$ on the same interval. Describe any observations.

CHAPTER 6 PRACTICE TEST

For the following exercises, sketch the graph of each function for two full periods. Determine the amplitude, the period, and the equation for the midline.

209.
$$f(x) = 0.5\sin x$$

210.
$$f(x) = 5\cos x$$

211.
$$f(x) = 5\sin x$$

212.
$$f(x) = \sin(3x)$$

213.
$$f(x) = -\cos\left(x + \frac{\pi}{3}\right) + 1$$

214.
$$f(x) = 5\sin(3(x - \frac{\pi}{6})) + 4$$

215.
$$f(x) = 3\cos\left(\frac{1}{3}x - \frac{5\pi}{6}\right)$$

216.
$$f(x) = \tan(4x)$$

217.
$$f(x) = -2\tan\left(x - \frac{7\pi}{6}\right) + 2$$

218.
$$f(x) = \pi \cos(3x + \pi)$$

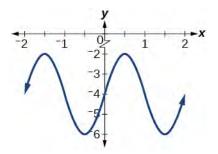
219.
$$f(x) = 5\csc(3x)$$

220.
$$f(x) = \pi \sec(\frac{\pi}{2}x)$$

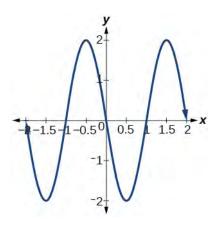
221.
$$f(x) = 2\csc\left(x + \frac{\pi}{4}\right) - 3$$

For the following exercises, determine the amplitude, period, and midline of the graph, and then find a formula for the function.

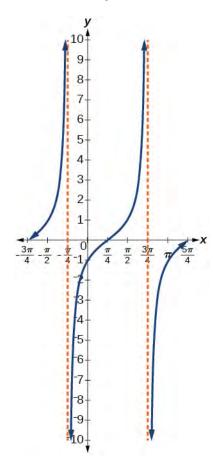
222. Give in terms of a sine function.



223. Give in terms of a sine function.



224. Give in terms of a tangent function.



For the following exercises, find the amplitude, period, phase shift, and midline.

225.
$$y = \sin(\frac{\pi}{6}x + \pi) - 3$$

226.
$$y = 8\sin\left(\frac{7\pi}{6}x + \frac{7\pi}{2}\right) + 6$$

227. The outside temperature over the course of a day can be modeled as a sinusoidal function. Suppose you know the temperature is $68^{\circ}F$ at midnight and the high and low temperatures during the day are $80^{\circ}F$ and $56^{\circ}F$, respectively. Assuming t is the number of hours since midnight, find a function for the temperature, D, in terms of t.

228. Water is pumped into a storage bin and empties according to a periodic rate. The depth of the water is 3 feet at its lowest at 2:00 a.m. and 71 feet at its highest, which occurs every 5 hours. Write a cosine function that models the depth of the water as a function of time, and then graph the function for one period.

For the following exercises, find the period and horizontal shift of each function.

229.
$$g(x) = 3\tan(6x + 42)$$

230.
$$n(x) = 4\csc\left(\frac{5\pi}{3}x - \frac{20\pi}{3}\right)$$

231. Write the equation for the graph in **Figure 6.66** in terms of the secant function and give the period and phase shift.

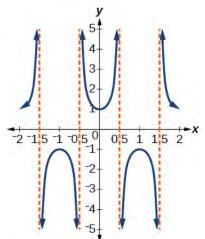


Figure 6.66

- **232.** If $\tan x = 3$, find $\tan(-x)$.
- **233.** If $\sec x = 4$, find $\sec(-x)$.

For the following exercises, graph the functions on the specified window and answer the questions.

234. Graph $m(x) = \sin(2x) + \cos(3x)$ on the viewing window [-10, 10] by [-3, 3]. Approximate the graph's period.

235. Graph $n(x) = 0.02\sin(50\pi x)$ on the following domains in x: [0, 1] and [0, 3]. Suppose this function models sound waves. Why would these views look so different?

236. Graph $f(x) = \frac{\sin x}{x}$ on [-0.5, 0.5] and explain any observations.

For the following exercises, let $f(x) = \frac{3}{5}\cos(6x)$.

- **237.** What is the largest possible value for f(x)?
- **238.** What is the smallest possible value for f(x)?
- **239.** Where is the function increasing on the interval $[0, 2\pi]$?

For the following exercises, find and graph one period of the periodic function with the given amplitude, period, and phase shift.

240. Sine curve with amplitude 3, period $\frac{\pi}{3}$, and phase shift $(h, k) = \left(\frac{\pi}{4}, 2\right)$

241. Cosine curve with amplitude 2, period $\frac{\pi}{6}$, and phase shift $(h, k) = \left(-\frac{\pi}{4}, 3\right)$

For the following exercises, graph the function. Describe the graph and, wherever applicable, any periodic behavior, amplitude, asymptotes, or undefined points.

242.
$$f(x) = 5\cos(3x) + 4\sin(2x)$$

243.
$$f(x) = e^{\sin t}$$

For the following exercises, find the exact value.

244.
$$\sin^{-1}\left(\frac{\sqrt{3}}{2}\right)$$

245.
$$\tan^{-1}(\sqrt{3})$$

246.
$$\cos^{-1}\left(-\frac{\sqrt{3}}{2}\right)$$

247.
$$\cos^{-1}(\sin(\pi))$$

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248.
$$\cos^{-1}\left(\tan\left(\frac{7\pi}{4}\right)\right)$$

249.
$$\cos(\sin^{-1}(1-2x))$$

250.
$$\cos^{-1}(-0.4)$$

251.
$$\cos(\tan^{-1}(x^2))$$

For the following exercises, suppose $\sin t = \frac{x}{x+1}$.

252. tan *t*

253. csc *t*

254. Given **Figure 6.67**, find the measure of angle θ to three decimal places. Answer in radians.

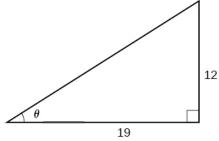


Figure 6.67

For the following exercises, determine whether the equation is true or false.

255.
$$\arcsin\left(\sin\left(\frac{5\pi}{6}\right)\right) = \frac{5\pi}{6}$$

256.
$$\operatorname{arccos}\left(\cos\left(\frac{5\pi}{6}\right)\right) = \frac{5\pi}{6}$$

257. The grade of a road is 7%. This means that for every horizontal distance of 100 feet on the road, the vertical rise is 7 feet. Find the angle the road makes with the horizontal in radians.

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7 TRIGONOMETRIC IDENTITIES AND EQUATIONS

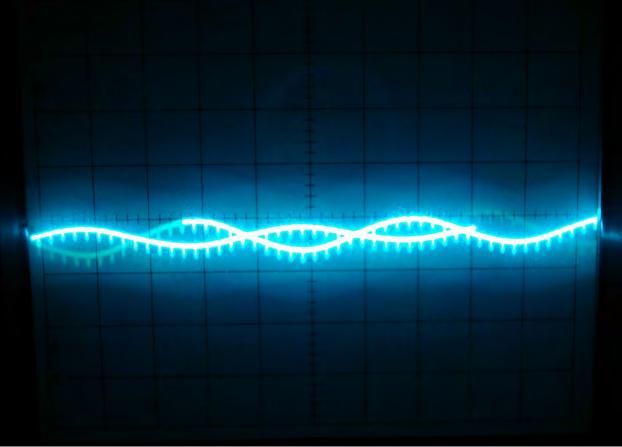


Figure 7.1 A sine wave models disturbance. (credit: modification of work by Mikael Altemark, Flickr).

Chapter Outline

- 7.1 Solving Trigonometric Equations with Identities
- 7.2 Sum and Difference Identities
- 7.3 Double-Angle, Half-Angle, and Reduction Formulas
- 7.4 Sum-to-Product and Product-to-Sum Formulas
- 7.5 Solving Trigonometric Equations
- 7.6 Modeling with Trigonometric Equations

Introduction

Math is everywhere, even in places we might not immediately recognize. For example, mathematical relationships describe the transmission of images, light, and sound. The sinusoidal graph in **Figure 7.1** models music playing on a phone, radio, or computer. Such graphs are described using trigonometric equations and functions. In this chapter, we discuss how to manipulate trigonometric equations algebraically by applying various formulas and trigonometric identities. We will also investigate some of the ways that trigonometric equations are used to model real-life phenomena.

7.1 | Solving Trigonometric Equations with Identities

Learning Objectives

In this section, you will:

- 7.1.1 Verify the fundamental trigonometric identities.
- **7.1.2** Simplify trigonometric expressions using algebra and the identities.



Figure 7.2 International passports and travel documents

In espionage movies, we see international spies with multiple passports, each claiming a different identity. However, we know that each of those passports represents the same person. The trigonometric identities act in a similar manner to multiple passports—there are many ways to represent the same trigonometric expression. Just as a spy will choose an Italian passport when traveling to Italy, we choose the identity that applies to the given scenario when solving a trigonometric equation.

In this section, we will begin an examination of the fundamental trigonometric identities, including how we can verify them and how we can use them to simplify trigonometric expressions.

Verifying the Fundamental Trigonometric Identities

Identities enable us to simplify complicated expressions. They are the basic tools of trigonometry used in solving trigonometric equations, just as factoring, finding common denominators, and using special formulas are the basic tools of solving algebraic equations. In fact, we use algebraic techniques constantly to simplify trigonometric expressions. Basic properties and formulas of algebra, such as the difference of squares formula and the perfect squares formula, will simplify the work involved with trigonometric expressions and equations. We already know that all of the trigonometric functions are related because they all are defined in terms of the unit circle. Consequently, any trigonometric identity can be written in many ways.

To verify the trigonometric identities, we usually start with the more complicated side of the equation and essentially rewrite the expression until it has been transformed into the same expression as the other side of the equation. Sometimes we have to factor expressions, expand expressions, find common denominators, or use other algebraic strategies to obtain the desired result. In this first section, we will work with the fundamental identities: the Pythagorean identities, the even-odd identities, the reciprocal identities, and the quotient identities.

We will begin with the **Pythagorean identities** (see **Table 7.1**), which are equations involving trigonometric functions based on the properties of a right triangle. We have already seen and used the first of these identifies, but now we will also use additional identities.

Pythagorean Identities		
$\sin^2\theta + \cos^2\theta = 1$	$1 + \cot^2 \theta = \csc^2 \theta$	$1 + \tan^2 \theta = \sec^2 \theta$

Table 7.1

The second and third identities can be obtained by manipulating the first. The identity $1 + \cot^2 \theta = \csc^2 \theta$ is found by rewriting the left side of the equation in terms of sine and cosine.

Prove:
$$1 + \cot^2 \theta = \csc^2 \theta$$

$$1 + \cot^2 \theta = \left(1 + \frac{\cos^2 \theta}{\sin^2 \theta}\right)$$
 Rewrite the left side.

$$= \left(\frac{\sin^2 \theta}{\sin^2 \theta}\right) + \left(\frac{\cos^2 \theta}{\sin^2 \theta}\right)$$
 Write both terms with the common denominator.

$$= \frac{\sin^2 \theta + \cos^2 \theta}{\sin^2 \theta}$$

$$= \frac{1}{\sin^2 \theta}$$

$$= \csc^2 \theta$$

Similarly, $1 + \tan^2 \theta = \sec^2 \theta$ can be obtained by rewriting the left side of this identity in terms of sine and cosine. This gives

$$1 + \tan^{2}\theta = 1 + \left(\frac{\sin\theta}{\cos\theta}\right)^{2}$$
 Rewrite left side.

$$= \left(\frac{\cos\theta}{\cos\theta}\right)^{2} + \left(\frac{\sin\theta}{\cos\theta}\right)^{2}$$
 Write both terms with the common denominator.

$$= \frac{\cos^{2}\theta + \sin^{2}\theta}{\cos^{2}\theta}$$

$$= \frac{1}{\cos^{2}\theta}$$

$$= \sec^{2}\theta$$

The next set of fundamental identities is the set of **even-odd identities**. The even-odd identities relate the value of a trigonometric function at a given angle to the value of the function at the opposite angle and determine whether the identity is odd or even. (See **Table 7.2**).

Even-Odd Identities		
$\tan(-\theta) = -\tan\theta$ $\cot(-\theta) = -\cot\theta$	$\sin(-\theta) = -\sin\theta$ $\csc(-\theta) = -\csc\theta$	$cos(-\theta) = cos \theta$ $sec(-\theta) = sec \theta$

Table 7.2

Recall that an odd function is one in which f(-x) = -f(x) for all x in the domain of f. The sine function is an odd function because $\sin(-\theta) = -\sin\theta$. The graph of an odd function is symmetric about the origin. For example, consider corresponding inputs of $\frac{\pi}{2}$ and $-\frac{\pi}{2}$. The output of $\sin(\frac{\pi}{2})$ is opposite the output of $\sin(-\frac{\pi}{2})$. Thus,

$$\sin\left(\frac{\pi}{2}\right) = 1$$
and
$$\sin\left(-\frac{\pi}{2}\right) = -\sin\left(\frac{\pi}{2}\right)$$

$$= -1$$

This is shown in Figure 7.3.

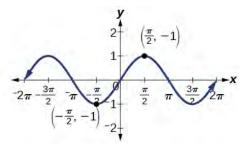


Figure 7.3 Graph of $y = \sin \theta$

Recall that an even function is one in which

$$f(-x) = f(x)$$
 for all x in the domain of f

The graph of an even function is symmetric about the *y*-axis. The cosine function is an even function because $\cos(-\theta) = \cos\theta$. For example, consider corresponding inputs $\frac{\pi}{4}$ and $-\frac{\pi}{4}$. The output of $\cos\left(\frac{\pi}{4}\right)$ is the same as the output of $\cos\left(-\frac{\pi}{4}\right)$. Thus,

$$\cos\left(-\frac{\pi}{4}\right) = \cos\left(\frac{\pi}{4}\right)$$

$$\approx 0.707$$

See Figure 7.4.

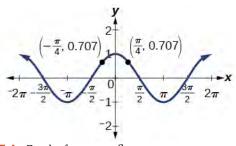


Figure 7.4 Graph of $y = \cos \theta$

For all θ in the domain of the sine and cosine functions, respectively, we can state the following:

- Since $\sin(-\theta) = -\sin \theta$, sine is an odd function.
- Since, $cos(-\theta) = cos \theta$, cosine is an even function.

The other even-odd identities follow from the even and odd nature of the sine and cosine functions. For example, consider the tangent identity, $\tan(-\theta) = -\tan\theta$. We can interpret the tangent of a negative angle as $\tan(-\theta) = \frac{\sin(-\theta)}{\cos(-\theta)} = \frac{-\sin\theta}{\cos\theta} = -\tan\theta$. Tangent is therefore an odd function, which means that $\tan(-\theta) = -\tan(\theta)$ for all θ in the domain of the tangent function.

The cotangent identity, $\cot(-\theta) = -\cot\theta$, also follows from the sine and cosine identities. We can interpret the cotangent of a negative angle as $\cot(-\theta) = \frac{\cos(-\theta)}{\sin(-\theta)} = \frac{\cos\theta}{-\sin\theta} = -\cot\theta$. Cotangent is therefore an odd function, which means that $\cot(-\theta) = -\cot(\theta)$ for all θ in the domain of the cotangent function.

The cosecant function is the reciprocal of the sine function, which means that the cosecant of a negative angle will be interpreted as $\csc(-\theta) = \frac{1}{\sin(-\theta)} = \frac{1}{-\sin\theta} = -\csc\theta$. The cosecant function is therefore odd.

Finally, the secant function is the reciprocal of the cosine function, and the secant of a negative angle is interpreted as $\sec(-\theta) = \frac{1}{\cos(-\theta)} = \frac{1}{\cos\theta} = \sec\theta$. The secant function is therefore even.

To sum up, only two of the trigonometric functions, cosine and secant, are even. The other four functions are odd, verifying the even-odd identities.

The next set of fundamental identities is the set of **reciprocal identities**, which, as their name implies, relate trigonometric functions that are reciprocals of each other. See **Table 7.3**.

Reciprocal Identities	
$\sin\theta = \frac{1}{\csc\theta}$	$\csc\theta = \frac{1}{\sin\theta}$
$\cos\theta = \frac{1}{\sec\theta}$	$\sec \theta = \frac{1}{\cos \theta}$
$\tan \theta = \frac{1}{\cot \theta}$	$\cot \theta = \frac{1}{\tan \theta}$

Table 7.3

The final set of identities is the set of quotient identities, which define relationships among certain trigonometric functions and can be very helpful in verifying other identities. See **Table 7.4**.

Quotient Identities	
$\tan \theta = \frac{\sin \theta}{\cos \theta}$	$\cot \theta = \frac{\cos \theta}{\sin \theta}$

Table 7.4

The reciprocal and quotient identities are derived from the definitions of the basic trigonometric functions.

Summarizing Trigonometric Identities

The **Pythagorean identities** are based on the properties of a right triangle.

$$\cos^2\theta + \sin^2\theta = 1 \tag{7.1}$$

$$1 + \cot^2 \theta = \csc^2 \theta \tag{7.2}$$

$$1 + \tan^2 \theta = \sec^2 \theta \tag{7.3}$$

The **even-odd identities** relate the value of a trigonometric function at a given angle to the value of the function at the opposite angle.

$$\tan(-\theta) = -\tan\theta \tag{7.4}$$

$$\cot(-\theta) = -\cot\theta \tag{7.5}$$

$$\sin(-\theta) = -\sin\theta \tag{7.6}$$

$$\csc(-\theta) = -\csc\theta \tag{7.7}$$

$$\cos(-\theta) = \cos\theta \tag{7.8}$$

$$\sec(-\theta) = \sec \theta \tag{7.9}$$

The **reciprocal identities** define reciprocals of the trigonometric functions.

$$\sin \theta = \frac{1}{\csc \theta} \tag{7.10}$$

$$\cos \theta = \frac{1}{\sec \theta} \tag{7.11}$$

$$an \theta = \frac{1}{\cot \theta}$$
 (7.12)

$$\csc \theta = \frac{1}{\sin \theta} \tag{7.13}$$

$$\sec \theta = \frac{1}{\cos \theta} \tag{7.14}$$

$$\cot \theta = \frac{1}{\tan \theta} \tag{7.15}$$

The quotient identities define the relationship among the trigonometric functions.

$$\tan \theta = \frac{\sin \theta}{\cos \theta} \tag{7.16}$$

$$\cot \theta = \frac{\cos \theta}{\sin \theta} \tag{7.17}$$

Example 7.1

Graphing the Equations of an Identity

Graph both sides of the identity $\cot \theta = \frac{1}{\tan \theta}$. In other words, on the graphing calculator, graph $y = \cot \theta$ and $y = \frac{1}{\tan \theta}$.

Solution

See Figure 7.5.

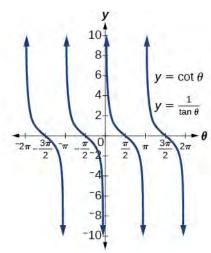


Figure 7.5

Analysis

We see only one graph because both expressions generate the same image. One is on top of the other. This is a good way to prove any identity. If both expressions give the same graph, then they must be identities.



Given a trigonometric identity, verify that it is true.

- **1**. Work on one side of the equation. It is usually better to start with the more complex side, as it is easier to simplify than to build.
- 2. Look for opportunities to factor expressions, square a binomial, or add fractions.
- 3. Noting which functions are in the final expression, look for opportunities to use the identities and make the proper substitutions.
- 4. If these steps do not yield the desired result, try converting all terms to sines and cosines.

Example 7.2

Verifying a Trigonometric Identity

Verify $\tan \theta \cos \theta = \sin \theta$.

Solution

We will start on the left side, as it is the more complicated side:

$$\tan \theta \cos \theta = \left(\frac{\sin \theta}{\cos \theta}\right) \cos \theta$$
$$= \left(\frac{\sin \theta}{\cos \theta}\right) \cos \theta$$
$$= \sin \theta$$

Analysis

This identity was fairly simple to verify, as it only required writing $\tan \theta$ in terms of $\sin \theta$ and $\cos \theta$.



7.1 Verify the identity $\csc \theta \cos \theta \tan \theta = 1$.

Example 7.3

Verifying the Equivalency Using the Even-Odd Identities

Verify the following equivalency using the even-odd identities:

$$(1 + \sin x)[1 + \sin(-x)] = \cos^2 x$$

Solution

Working on the left side of the equation, we have

$$(1 + \sin x)[1 + \sin(-x)] = (1 + \sin x)(1 - \sin x)$$
 Since $\sin(-x) = -\sin x$
= $1 - \sin^2 x$ Diffe ence of squares
= $\cos^2 x$ $\cos^2 x = 1 - \sin^2 x$

Example 7.4

Verifying a Trigonometric Identity Involving $sec^2\theta$

Verify the identity
$$\frac{\sec^2 \theta - 1}{\sec^2 \theta} = \sin^2 \theta$$

Solution

As the left side is more complicated, let's begin there.

$$\frac{\sec^2 \theta - 1}{\sec^2 \theta} = \frac{(\tan^2 \theta + 1) - 1}{\sec^2 \theta} \qquad \sec^2 \theta = \tan^2 \theta + 1$$

$$= \frac{\tan^2 \theta}{\sec^2 \theta}$$

$$= \tan^2 \theta \left(\frac{1}{\sec^2 \theta}\right)$$

$$= \tan^2 \theta (\cos^2 \theta) \qquad \cos^2 \theta = \frac{1}{\sec^2 \theta}$$

$$= \left(\frac{\sin^2 \theta}{\cos^2 \theta}\right) (\cos^2 \theta) \qquad \tan^2 \theta = \frac{\sin^2 \theta}{\cos^2 \theta}$$

$$= \sin^2 \theta$$

$$= \sin^2 \theta$$

There is more than one way to verify an identity. Here is another possibility. Again, we can start with the left side.

$$\frac{\sec^2 \theta - 1}{\sec^2 \theta} = \frac{\sec^2 \theta}{\sec^2 \theta} - \frac{1}{\sec^2 \theta}$$
$$= 1 - \cos^2 \theta$$
$$= \sin^2 \theta$$

Analysis

In the first method, we used the identity $\sec^2\theta = \tan^2\theta + 1$ and continued to simplify. In the second method, we split the fraction, putting both terms in the numerator over the common denominator. This problem illustrates that there are multiple ways we can verify an identity. Employing some creativity can sometimes simplify a procedure. As long as the substitutions are correct, the answer will be the same.



7.2 Show that $\frac{\cot \theta}{\csc \theta} = \cos \theta$.

Example 7.5

Creating and Verifying an Identity

Create an identity for the expression $2\tan\theta\sec\theta$ by rewriting strictly in terms of sine.

Solution

There are a number of ways to begin, but here we will use the quotient and reciprocal identities to rewrite the expression:

$$2 \tan \theta \sec \theta = 2 \left(\frac{\sin \theta}{\cos \theta} \right) \left(\frac{1}{\cos \theta} \right)$$
$$= \frac{2 \sin \theta}{\cos^2 \theta}$$
$$= \frac{2 \sin \theta}{1 - \sin^2 \theta} \qquad \text{Substitute } 1 - \sin^2 \theta \text{ for } \cos^2 \theta$$

Thus,

$$2\tan\theta\sec\theta = \frac{2\sin\theta}{1-\sin^2\theta}$$

Example 7.6

Verifying an Identity Using Algebra and Even/Odd Identities

Verify the identity:

$$\frac{\sin^2(-\theta) - \cos^2(-\theta)}{\sin(-\theta) - \cos(-\theta)} = \cos\theta - \sin\theta$$

Solution

Let's start with the left side and simplify:

$$\frac{\sin^{2}(-\theta) - \cos^{2}(-\theta)}{\sin(-\theta) - \cos(-\theta)} = \frac{[\sin(-\theta)]^{2} - [\cos(-\theta)]^{2}}{\sin(-\theta) - \cos(-\theta)}$$

$$= \frac{(-\sin\theta)^{2} - (\cos\theta)^{2}}{-\sin\theta - \cos\theta} \qquad \sin(-x) = -\sin x \text{ and } \cos(-x) = \cos x$$

$$= \frac{(\sin\theta)^{2} - (\cos\theta)^{2}}{-\sin\theta - \cos\theta} \qquad \text{Diffe ence of squares}$$

$$= \frac{(\sin\theta - \cos\theta)(\sin\theta + \cos\theta)}{-(\sin\theta + \cos\theta)}$$

$$= \frac{(\sin\theta - \cos\theta)(\sin\theta + \cos\theta)}{-(\sin\theta + \cos\theta)}$$

$$= \cos\theta - \sin\theta$$



Verify the identity $\frac{\sin^2 \theta - 1}{\tan \theta \sin \theta - \tan \theta} = \frac{\sin \theta + 1}{\tan \theta}$

Example 7.7

Verifying an Identity Involving Cosines and Cotangents

Verify the identity: $(1 - \cos^2 x)(1 + \cot^2 x) = 1$.

Solution

We will work on the left side of the equation.

$$(1 - \cos^2 x)(1 + \cot^2 x) = (1 - \cos^2 x)\left(1 + \frac{\cos^2 x}{\sin^2 x}\right)$$

$$= (1 - \cos^2 x)\left(\frac{\sin^2 x}{\sin^2 x} + \frac{\cos^2 x}{\sin^2 x}\right)$$
Find the common denominator.
$$= (1 - \cos^2 x)\left(\frac{\sin^2 x + \cos^2 x}{\sin^2 x}\right)$$

$$= (\sin^2 x)\left(\frac{1}{\sin^2 x}\right)$$

$$= 1$$

Using Algebra to Simplify Trigonometric Expressions

We have seen that algebra is very important in verifying trigonometric identities, but it is just as critical in simplifying trigonometric expressions before solving. Being familiar with the basic properties and formulas of algebra, such as the difference of squares formula, the perfect square formula, or substitution, will simplify the work involved with trigonometric expressions and equations.

For example, the equation $(\sin x + 1)(\sin x - 1) = 0$ resembles the equation (x + 1)(x - 1) = 0, which uses the factored form of the difference of squares. Using algebra makes finding a solution straightforward and familiar. We can set each factor equal to zero and solve. This is one example of recognizing algebraic patterns in trigonometric expressions or equations.

Another example is the difference of squares formula, $a^2 - b^2 = (a - b)(a + b)$, which is widely used in many areas other than mathematics, such as engineering, architecture, and physics. We can also create our own identities by continually expanding an expression and making the appropriate substitutions. Using algebraic properties and formulas makes many trigonometric equations easier to understand and solve.

Example 7.8

Writing the Trigonometric Expression as an Algebraic Expression

Write the following trigonometric expression as an algebraic expression: $2\cos^2\theta + \cos\theta - 1$.

Solution

Notice that the pattern displayed has the same form as a standard quadratic expression, $ax^2 + bx + c$. Letting $\cos \theta = x$, we can rewrite the expression as follows:

$$2x^2 + x - 1$$

This expression can be factored as (2x + 1)(x - 1). If it were set equal to zero and we wanted to solve the equation, we would use the zero factor property and solve each factor for x. At this point, we would replace x with $\cos \theta$ and solve for θ .

Example 7.9

Rewriting a Trigonometric Expression Using the Difference of Squares

Rewrite the trigonometric expression: $4\cos^2\theta - 1$.

Solution

Notice that both the coefficient and the trigonometric expression in the first term are squared, and the square of the number 1 is 1. This is the difference of squares. Thus,

$$4\cos^{2}\theta - 1 = (2\cos\theta)^{2} - 1$$

= $(2\cos\theta - 1)(2\cos\theta + 1)$

Analysis

If this expression were written in the form of an equation set equal to zero, we could solve each factor using the zero factor property. We could also use substitution like we did in the previous problem and let $\cos \theta = x$,

rewrite the expression as $4x^2 - 1$, and factor (2x - 1)(2x + 1). Then replace x with $\cos \theta$ and solve for the angle.



7.4 Rewrite the trigonometric expression: $25 - 9 \sin^2 \theta$.

Example 7.10

Simplify by Rewriting and Using Substitution

Simplify the expression by rewriting and using identities:

$$\csc^2\theta - \cot^2\theta$$

Solution

We can start with the Pythagorean identity.

$$1 + \cot^2 \theta = \csc^2 \theta$$

Now we can simplify by substituting $1 + \cot^2 \theta$ for $\csc^2 \theta$. We have

$$\csc^2 \theta - \cot^2 \theta = 1 + \cot^2 \theta - \cot^2 \theta$$
$$= 1$$



7.5 Use algebraic techniques to verify the identity: $\frac{\cos \theta}{1 + \sin \theta} = \frac{1 - \sin \theta}{\cos \theta}$.

(Hint: Multiply the numerator and denominator on the left side by $1 - \sin \theta$.)



Access these online resources for additional instruction and practice with the fundamental trigonometric identities.

- Fundamental Trigonometric Identities (http://openstaxcollege.org/l/funtrigiden)
- · Verifying Trigonometric Identities (http://openstaxcollege.org/l/verifytrigiden)

7.1 EXERCISES

Verbal

- 1. We know $g(x) = \cos x$ is an even function, and $f(x) = \sin x$ and $h(x) = \tan x$ are odd functions. What about $G(x) = \cos^2 x$, $F(x) = \sin^2 x$,
- $H(x) = \tan^2 x$? Are they even, odd, or neither? Why?
- 2. Examine the graph of $f(x) = \sec x$ on the interval $[-\pi, \pi]$. How can we tell whether the function is even or odd by only observing the graph of $f(x) = \sec x$?
- 3. After examining the reciprocal identity for $\sec t$, explain why the function is undefined at certain points.
- 4. All of the Pythagorean identities are related. Describe how to manipulate the equations to get from $\sin^2 t + \cos^2 t = 1$ to the other forms.

Algebraic

For the following exercises, use the fundamental identities to fully simplify the expression.

- 5. $\sin x \cos x \sec x$
- 6. $\sin(-x)\cos(-x)\csc(-x)$
- 7. $\tan x \sin x + \sec x \cos^2 x$
- 8. $\csc x + \cos x \cot(-x)$
- 9. $\frac{\cot t + \tan t}{\sec(-t)}$
- 10. $3\sin^3 t \csc t + \cos^2 t + 2\cos(-t)\cos t$
- 11. $-\tan(-x)\cot(-x)$
- 12. $\frac{-\sin(-x)\cos x \sec x \csc x \tan x}{\cot x}$
- 13. $\frac{1 + \tan^2 \theta}{\csc^2 \theta} + \sin^2 \theta + \frac{1}{\sec^2 \theta}$
- 14. $\left(\frac{\tan x}{\csc^2 x} + \frac{\tan x}{\sec^2 x}\right) \left(\frac{1 + \tan x}{1 + \cot x}\right) \frac{1}{\cos^2 x}$
- 15. $\frac{1-\cos^2 x}{\tan^2 x} + 2\sin^2 x$

For the following exercises, simplify the first trigonometric expression by writing the simplified form in terms of the second expression.

- 16. $\frac{\tan x + \cot x}{\csc x}$; $\cos x$
- 17. $\frac{\sec x + \csc x}{1 + \tan x}$; $\sin x$
- 18. $\frac{\cos x}{1 + \sin x} + \tan x; \cos x$
- 19. $\frac{1}{\sin x \cos x} \cot x; \cot x$
- 20. $\frac{1}{1-\cos x} \frac{\cos x}{1+\cos x}$; $\csc x$
- 21. $(\sec x + \csc x)(\sin x + \cos x) 2 \cot x$; $\tan x$
- 22. $\frac{1}{\csc x \sin x}$; sec x and tan x
- 23. $\frac{1 \sin x}{1 + \sin x} \frac{1 + \sin x}{1 \sin x}$; sec x and tan x
- 24. $\tan x$; $\sec x$
- 25. $\sec x$; $\cot x$
- 26. $\sec x$; $\sin x$
- 27. $\cot x$; $\sin x$
- 28. $\cot x$; $\csc x$

For the following exercises, verify the identity.

- 29. $\cos x \cos^3 x = \cos x \sin^2 x$
- $30. \cos x(\tan x \sec(-x)) = \sin x 1$
- 31. $\frac{1+\sin^2 x}{\cos^2 x} = \frac{1}{\cos^2 x} + \frac{\sin^2 x}{\cos^2 x} = 1 + 2\tan^2 x$
- 32. $(\sin x + \cos x)^2 = 1 + 2\sin x \cos x$
- 33. $\cos^2 x \tan^2 x = 2 \sin^2 x \sec^2 x$

Extensions

For the following exercises, prove or disprove the identity.

34.
$$\frac{1}{1+\cos x} - \frac{1}{1-\cos(-x)} = -2\cot x \csc x$$

35.
$$\csc^2 x (1 + \sin^2 x) = \cot^2 x$$

36.
$$\left(\frac{\sec^2(-x) - \tan^2 x}{\tan x} \right) \left(\frac{2 + 2\tan x}{2 + 2\cot x} \right) - 2\sin^2 x = \cos 2x$$

$$37. \ \frac{\tan x}{\sec x} \sin(-x) = \cos^2 x$$

38.
$$\frac{\sec(-x)}{\tan x + \cot x} = -\sin(-x)$$

39.
$$\frac{1 + \sin x}{\cos x} = \frac{\cos x}{1 + \sin(-x)}$$

For the following exercises, determine whether the identity is true or false. If false, find an appropriate equivalent expression.

40.
$$\frac{\cos^2\theta - \sin^2\theta}{1 - \tan^2\theta} = \sin^2\theta$$

41.
$$3\sin^2\theta + 4\cos^2\theta = 3 + \cos^2\theta$$

42.
$$\frac{\sec \theta + \tan \theta}{\cot \theta + \cos \theta} = \sec^2 \theta$$

7.2 | Sum and Difference Identities

Learning Objectives

In this section, you will:

- 7.2.1 Use sum and difference formulas for cosine.
- 7.2.2 Use sum and difference formulas for sine.
- 7.2.3 Use sum and difference formulas for tangent.
- 7.2.4 Use sum and difference formulas for cofunctions.
- 7.2.5 Use sum and difference formulas to verify identities.



Figure 7.6 Mount McKinley, in Denali National Park, Alaska, rises 20,237 feet (6,168 m) above sea level. It is the highest peak in North America. (credit: Daniel A. Leifheit, Flickr)

How can the height of a mountain be measured? What about the distance from Earth to the sun? Like many seemingly impossible problems, we rely on mathematical formulas to find the answers. The trigonometric identities, commonly used in mathematical proofs, have had real-world applications for centuries, including their use in calculating long distances.

The trigonometric identities we will examine in this section can be traced to a Persian astronomer who lived around 950 AD, but the ancient Greeks discovered these same formulas much earlier and stated them in terms of chords. These are special equations or postulates, true for all values input to the equations, and with innumerable applications.

In this section, we will learn techniques that will enable us to solve problems such as the ones presented above. The formulas that follow will simplify many trigonometric expressions and equations. Keep in mind that, throughout this section, the term *formula* is used synonymously with the word *identity*.

Using the Sum and Difference Formulas for Cosine

Finding the exact value of the sine, cosine, or tangent of an angle is often easier if we can rewrite the given angle in terms of two angles that have known trigonometric values. We can use the special angles, which we can review in the unit circle shown in **Figure 7.7**.

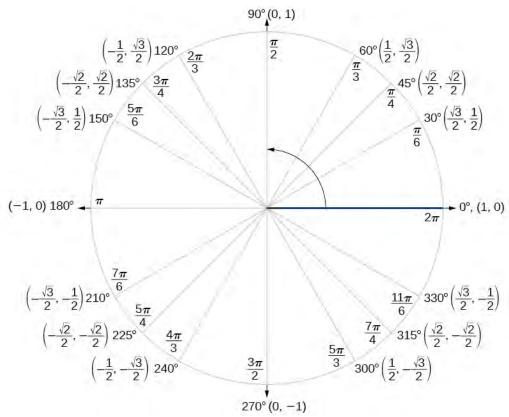


Figure 7.7 The Unit Circle

We will begin with the sum and difference formulas for cosine, so that we can find the cosine of a given angle if we can break it up into the sum or difference of two of the special angles. See **Table 7.5**.

Sum formula for cosine	$\cos(\alpha + \beta) = \cos \alpha \cos \beta - \sin \alpha \sin \beta$
Difference formula for cosine	$\cos(\alpha - \beta) = \cos \alpha \cos \beta + \sin \alpha \sin \beta$

Table 7.5

First, we will prove the difference formula for cosines. Let's consider two points on the unit circle. See **Figure 7.8**. Point *P* is at an angle α from the positive *x*-axis with coordinates $(\cos \alpha, \sin \alpha)$ and point *Q* is at an angle of β from the positive *x*-axis with coordinates $(\cos \beta, \sin \beta)$. Note the measure of angle POQ is $\alpha - \beta$.

Label two more points: A at an angle of $(\alpha - \beta)$ from the positive x-axis with coordinates $(\cos(\alpha - \beta), \sin(\alpha - \beta))$; and point B with coordinates (1, 0). Triangle POQ is a rotation of triangle AOB and thus the distance from P to Q is the same as the distance from A to B.

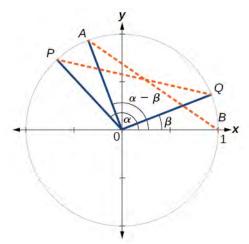


Figure 7.8

We can find the distance from P to Q using the distance formula.

$$\begin{split} d_{PQ} &= \sqrt{(\cos \alpha - \cos \beta)^2 + (\sin \alpha - \sin \beta)^2} \\ &= \sqrt{\cos^2 \alpha - 2\cos \alpha \cos \beta + \cos^2 \beta + \sin^2 \alpha - 2\sin \alpha \sin \beta + \sin^2 \beta} \end{split}$$

Then we apply the Pythagorean identity and simplify.

$$= \sqrt{(\cos^2 \alpha + \sin^2 \alpha) + (\cos^2 \beta + \sin^2 \beta) - 2\cos \alpha \cos \beta - 2\sin \alpha \sin \beta}$$

$$= \sqrt{1 + 1 - 2\cos \alpha \cos \beta - 2\sin \alpha \sin \beta}$$

$$= \sqrt{2 - 2\cos \alpha \cos \beta - 2\sin \alpha \sin \beta}$$

Similarly, using the distance formula we can find the distance from A to B.

$$\begin{aligned} d_{AB} &= \sqrt{(\cos(\alpha - \beta) - 1)^2 + (\sin(\alpha - \beta) - 0)^2} \\ &= \sqrt{\cos^2(\alpha - \beta) - 2\cos(\alpha - \beta) + 1 + \sin^2(\alpha - \beta)} \end{aligned}$$

Applying the Pythagorean identity and simplifying we get:

$$= \sqrt{(\cos^2(\alpha - \beta) + \sin^2(\alpha - \beta)) - 2\cos(\alpha - \beta) + 1}$$
$$= \sqrt{1 - 2\cos(\alpha - \beta) + 1}$$
$$= \sqrt{2 - 2\cos(\alpha - \beta)}$$

Because the two distances are the same, we set them equal to each other and simplify.

$$\sqrt{2 - 2\cos\alpha\cos\beta - 2\sin\alpha\sin\beta} = \sqrt{2 - 2\cos(\alpha - \beta)}$$
$$2 - 2\cos\alpha\cos\beta - 2\sin\alpha\sin\beta = 2 - 2\cos(\alpha - \beta)$$

Finally we subtract 2 from both sides and divide both sides by -2.

$$\cos \alpha \cos \beta + \sin \alpha \sin \beta = \cos(\alpha - \beta)$$

Thus, we have the difference formula for cosine. We can use similar methods to derive the cosine of the sum of two angles.

Sum and Difference Formulas for Cosine

These formulas can be used to calculate the cosine of sums and differences of angles.

$$\cos(\alpha + \beta) = \cos \alpha \cos \beta - \sin \alpha \sin \beta \tag{7.18}$$

(7.19)

$$\cos(\alpha - \beta) = \cos \alpha \cos \beta + \sin \alpha \sin \beta$$



Given two angles, find the cosine of the difference between the angles.

- 1. Write the difference formula for cosine.
- 2. Substitute the values of the given angles into the formula.
- 3. Simplify.

Example 7.11

Finding the Exact Value Using the Formula for the Cosine of the Difference of Two Angles

Using the formula for the cosine of the difference of two angles, find the exact value of $\cos\left(\frac{5\pi}{4} - \frac{\pi}{6}\right)$.

Solution

Use the formula for the cosine of the difference of two angles. We have

$$\cos(\alpha - \beta) = \cos \alpha \cos \beta + \sin \alpha \sin \beta$$

$$\cos\left(\frac{5\pi}{4} - \frac{\pi}{6}\right) = \cos\left(\frac{5\pi}{4}\right)\cos\left(\frac{\pi}{6}\right) + \sin\left(\frac{5\pi}{4}\right)\sin\left(\frac{\pi}{6}\right)$$

$$= \left(-\frac{\sqrt{2}}{2}\right)\left(\frac{\sqrt{3}}{2}\right) - \left(\frac{\sqrt{2}}{2}\right)\left(\frac{1}{2}\right)$$

$$= -\frac{\sqrt{6}}{4} - \frac{\sqrt{2}}{4}$$

$$= \frac{-\sqrt{6} - \sqrt{2}}{4}$$



7.6 Find the exact value of $\cos\left(\frac{\pi}{3} - \frac{\pi}{4}\right)$.

Example 7.12

Finding the Exact Value Using the Formula for the Sum of Two Angles for Cosine

Find the exact value of $cos(75^{\circ})$.

Solution

As $75^{\circ} = 45^{\circ} + 30^{\circ}$, we can evaluate $\cos(75^{\circ})$ as $\cos(45^{\circ} + 30^{\circ})$. Thus,

$$\cos(45^{\circ} + 30^{\circ}) = \cos(45^{\circ})\cos(30^{\circ}) - \sin(45^{\circ})\sin(30^{\circ})$$

$$= \frac{\sqrt{2}}{2} \left(\frac{\sqrt{3}}{2}\right) - \frac{\sqrt{2}}{2} \left(\frac{1}{2}\right)$$

$$= \frac{\sqrt{6}}{4} - \frac{\sqrt{2}}{4}$$

$$= \frac{\sqrt{6} - \sqrt{2}}{4}$$



7.7 Find the exact value of $cos(105^\circ)$.

Using the Sum and Difference Formulas for Sine

The sum and difference formulas for sine can be derived in the same manner as those for cosine, and they resemble the cosine formulas.

Sum and Difference Formulas for Sine

These formulas can be used to calculate the sines of sums and differences of angles.

$$\sin(\alpha + \beta) = \sin \alpha \cos \beta + \cos \alpha \sin \beta \tag{7.20}$$

$$\sin(\alpha - \beta) = \sin \alpha \cos \beta - \cos \alpha \sin \beta \tag{7.21}$$



Given two angles, find the sine of the difference between the angles.

- 1. Write the difference formula for sine.
- 2. Substitute the given angles into the formula.
- 3. Simplify.

Example 7.13

Using Sum and Difference Identities to Evaluate the Difference of Angles

Use the sum and difference identities to evaluate the difference of the angles and show that part *a* equals part *b*.

- a. $\sin(45^{\circ} 30^{\circ})$
- b. $\sin(135^{\circ} 120^{\circ})$

Solution

a. Let's begin by writing the formula and substitute the given angles.

$$\sin(\alpha - \beta) = \sin \alpha \cos \beta - \cos \alpha \sin \beta$$

$$\sin(45^{\circ} - 30^{\circ}) = \sin(45^{\circ})\cos(30^{\circ}) - \cos(45^{\circ})\sin(30^{\circ})$$

Next, we need to find the values of the trigonometric expressions.

$$\sin(45^\circ) = \frac{\sqrt{2}}{2}, \ \cos(30^\circ) = \frac{\sqrt{3}}{2}, \ \cos(45^\circ) = \frac{\sqrt{2}}{2}, \ \sin(30^\circ) = \frac{1}{2}$$

Now we can substitute these values into the equation and simplify.

$$\sin(45^\circ - 30^\circ) = \frac{\sqrt{2}}{2} \left(\frac{\sqrt{3}}{2}\right) - \frac{\sqrt{2}}{2} \left(\frac{1}{2}\right)$$
$$= \frac{\sqrt{6} - \sqrt{2}}{4}$$

b. Again, we write the formula and substitute the given angles.

$$\sin(\alpha - \beta) = \sin \alpha \cos \beta - \cos \alpha \sin \beta$$

$$\sin(135^{\circ} - 120^{\circ}) = \sin(135^{\circ})\cos(120^{\circ}) - \cos(135^{\circ})\sin(120^{\circ})$$

Next, we find the values of the trigonometric expressions.

$$\sin(135^\circ) = \frac{\sqrt{2}}{2}, \cos(120^\circ) = -\frac{1}{2}, \cos(135^\circ) = \frac{\sqrt{2}}{2}, \sin(120^\circ) = \frac{\sqrt{3}}{2}$$

Now we can substitute these values into the equation and simplify.

$$\sin(135^{\circ} - 120^{\circ}) = \frac{\sqrt{2}}{2} \left(-\frac{1}{2}\right) - \left(-\frac{\sqrt{2}}{2}\right) \left(\frac{\sqrt{3}}{2}\right)$$
$$= \frac{-\sqrt{2} + \sqrt{6}}{4}$$
$$= \frac{\sqrt{6} - \sqrt{2}}{4}$$

$$\sin(135^{\circ} - 120^{\circ}) = \frac{\sqrt{2}}{2} \left(-\frac{1}{2}\right) - \left(-\frac{\sqrt{2}}{2}\right) \left(\frac{\sqrt{3}}{2}\right)$$
$$= \frac{-\sqrt{2} + \sqrt{6}}{4}$$
$$= \frac{\sqrt{6} - \sqrt{2}}{4}$$

Example 7.14

Finding the Exact Value of an Expression Involving an Inverse Trigonometric Function

Find the exact value of $\sin\left(\cos^{-1}\frac{1}{2} + \sin^{-1}\frac{3}{5}\right)$.

Solution

The pattern displayed in this problem is $\sin(\alpha + \beta)$. Let $\alpha = \cos^{-1}\frac{1}{2}$ and $\beta = \sin^{-1}\frac{3}{5}$. Then we can write

$$\cos \alpha = \frac{1}{2}, \ 0 \le \alpha \le \pi$$
$$\sin \beta = \frac{3}{5}, \ -\frac{\pi}{2} \le \beta \le \frac{\pi}{2}$$

We will use the Pythagorean identities to find $\sin \alpha$ and $\cos \beta$.

$$\sin \alpha = \sqrt{1 - \cos^2 \alpha}$$

$$= \sqrt{1 - \frac{1}{4}}$$

$$= \sqrt{\frac{3}{4}}$$

$$= \frac{\sqrt{3}}{2}$$

$$\cos \beta = \sqrt{1 - \sin^2 \beta}$$

$$= \sqrt{1 - \frac{9}{25}}$$

$$= \sqrt{\frac{16}{25}}$$

$$= \frac{4}{5}$$

Using the sum formula for sine,

$$\sin\left(\cos^{-1}\frac{1}{2} + \sin^{-1}\frac{3}{5}\right) = \sin(\alpha + \beta)$$

$$= \sin\alpha\cos\beta + \cos\alpha\sin\beta$$

$$= \frac{\sqrt{3}}{2} \cdot \frac{4}{5} + \frac{1}{2} \cdot \frac{3}{5}$$

$$= \frac{4\sqrt{3} + 3}{10}$$

Using the Sum and Difference Formulas for Tangent

Finding exact values for the tangent of the sum or difference of two angles is a little more complicated, but again, it is a matter of recognizing the pattern.

Finding the sum of two angles formula for tangent involves taking quotient of the sum formulas for sine and cosine and simplifying. Recall, $\tan x = \frac{\sin x}{\cos x}$, $\cos x \neq 0$.

Let's derive the sum formula for tangent.

$$\tan(\alpha + \beta) = \frac{\sin(\alpha + \beta)}{\cos(\alpha + \beta)}$$

$$= \frac{\sin \alpha \cos \beta + \cos \alpha \sin \beta}{\cos \alpha \cos \beta - \sin \alpha \sin \beta}$$

$$= \frac{\frac{\sin \alpha \cos \beta + \cos \alpha \sin \beta}{\cos \alpha \cos \beta}}{\frac{\cos \alpha \cos \beta - \sin \alpha \sin \beta}{\cos \alpha \cos \beta}}$$
Divide the numerator and denominator by $\cos \alpha \cos \beta$

$$= \frac{\frac{\sin \alpha \cos \beta}{\cos \alpha \cos \beta} + \frac{\cos \alpha \sin \beta}{\cos \alpha \cos \beta}}{\frac{\cos \alpha \cos \beta}{\cos \alpha \cos \beta}}$$

$$= \frac{\frac{\sin \alpha \cos \beta}{\cos \alpha \cos \beta} - \frac{\sin \alpha \sin \beta}{\cos \alpha \cos \beta}}{1 - \frac{\sin \alpha \sin \beta}{\cos \alpha \cos \beta}}$$

$$= \frac{\tan \alpha + \tan \beta}{1 - \tan \alpha \tan \beta}$$

We can derive the difference formula for tangent in a similar way.

Sum and Difference Formulas for Tangent

The sum and difference formulas for tangent are:

$$\tan(\alpha + \beta) = \frac{\tan \alpha + \tan \beta}{1 - \tan \alpha \tan \beta}$$
(7.22)

$$\tan(\alpha - \beta) = \frac{\tan \alpha - \tan \beta}{1 + \tan \alpha \tan \beta}$$
(7.23)



Given two angles, find the tangent of the sum of the angles.

- 1. Write the sum formula for tangent.
- 2. Substitute the given angles into the formula.
- 3. Simplify.

Example 7.15

Finding the Exact Value of an Expression Involving Tangent

Find the exact value of $\tan\left(\frac{\pi}{6} + \frac{\pi}{4}\right)$.

Solution

Let's first write the sum formula for tangent and substitute the given angles into the formula.

$$\tan(\alpha + \beta) = \frac{\tan \alpha + \tan \beta}{1 - \tan \alpha \tan \beta}$$

$$\tan\left(\frac{\pi}{6} + \frac{\pi}{4}\right) = \frac{\tan\left(\frac{\pi}{6}\right) + \tan\left(\frac{\pi}{4}\right)}{1 - \left(\tan\left(\frac{\pi}{6}\right)\right)\left(\tan\left(\frac{\pi}{4}\right)\right)}$$

Next, we determine the individual tangents within the formula:

$$\tan\left(\frac{\pi}{6}\right) = \frac{1}{\sqrt{3}}, \tan\left(\frac{\pi}{4}\right) = 1$$

So we have

$$\tan\left(\frac{\pi}{6} + \frac{\pi}{4}\right) = \frac{\frac{1}{\sqrt{3}} + 1}{1 - \left(\frac{1}{\sqrt{3}}\right)(1)}$$

$$= \frac{\frac{1 + \sqrt{3}}{\sqrt{3}}}{\frac{\sqrt{3} - 1}{\sqrt{3}}}$$

$$= \frac{1 + \sqrt{3}}{\sqrt{3}} \left(\frac{\sqrt{3}}{\sqrt{3} - 1}\right)$$

$$= \frac{\sqrt{3} + 1}{\sqrt{3} - 1}$$



7.8 Find the exact value of $\tan\left(\frac{2\pi}{3} + \frac{\pi}{4}\right)$.

Example 7.16

Finding Multiple Sums and Differences of Angles

Given $\sin \alpha = \frac{3}{5}$, $0 < \alpha < \frac{\pi}{2}$, $\cos \beta = -\frac{5}{13}$, $\pi < \beta < \frac{3\pi}{2}$, find

- a. $\sin(\alpha + \beta)$
- b. $cos(\alpha + \beta)$
- c. $tan(\alpha + \beta)$
- d. $tan(\alpha \beta)$

Solution

We can use the sum and difference formulas to identify the sum or difference of angles when the ratio of sine, cosine, or tangent is provided for each of the individual angles. To do so, we construct what is called a reference triangle to help find each component of the sum and difference formulas.

a. To find $\sin(\alpha + \beta)$, we begin with $\sin \alpha = \frac{3}{5}$ and $0 < \alpha < \frac{\pi}{2}$. The side opposite α has length 3, the hypotenuse has length 5, and α is in the first quadrant. See **Figure 7.9**. Using the Pythagorean Theorem, we can find the length of side α :

$$a^2 + 3^2 = 5^2$$
$$a^2 = 16$$
$$a = 4$$

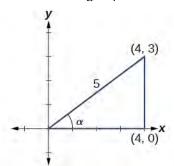


Figure 7.9

Since $\cos \beta = -\frac{5}{13}$ and $\pi < \beta < \frac{3\pi}{2}$, the side adjacent to β is -5, the hypotenuse is 13, and β is in the third quadrant. See **Figure 7.10**. Again, using the Pythagorean Theorem, we have

$$(-5)^{2} + a^{2} = 13^{2}$$

$$25 + a^{2} = 169$$

$$a^{2} = 144$$

$$a = \pm 12$$

Since β is in the third quadrant, a = -12.

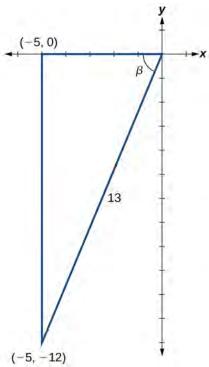


Figure 7.10

The next step is finding the cosine of α and the sine of β . The cosine of α is the adjacent side over the hypotenuse. We can find it from the triangle in **Figure 7.10**: $\cos \alpha = \frac{4}{5}$. We can also find the sine of β from the triangle in **Figure 7.10**, as opposite side over the hypotenuse: $\sin \beta = -\frac{12}{13}$. Now we are ready to evaluate $\sin(\alpha + \beta)$.

$$\sin(\alpha + \beta) = \sin \alpha \cos \beta + \cos \alpha \sin \beta$$

$$= \left(\frac{3}{5}\right)\left(-\frac{5}{13}\right) + \left(\frac{4}{5}\right)\left(-\frac{12}{13}\right)$$

$$= -\frac{15}{65} - \frac{48}{65}$$

$$= -\frac{63}{65}$$

b. We can find $\cos(\alpha + \beta)$ in a similar manner. We substitute the values according to the formula.

$$\cos(\alpha + \beta) = \cos \alpha \cos \beta - \sin \alpha \sin \beta$$
$$= \left(\frac{4}{5}\right)\left(-\frac{5}{13}\right) - \left(\frac{3}{5}\right)\left(-\frac{12}{13}\right)$$
$$= -\frac{20}{65} + \frac{36}{65}$$
$$= \frac{16}{65}$$

c. For $\tan(\alpha + \beta)$, if $\sin \alpha = \frac{3}{5}$ and $\cos \alpha = \frac{4}{5}$, then

$$\tan \alpha = \frac{\frac{3}{5}}{\frac{4}{5}} = \frac{3}{4}$$

If $\sin \beta = -\frac{12}{13}$ and $\cos \beta = -\frac{5}{13}$, then

$$\tan \beta = \frac{\frac{-12}{13}}{\frac{-5}{13}} = \frac{12}{5}$$

Then,

$$\tan(\alpha + \beta) = \frac{\tan \alpha + \tan \beta}{1 - \tan \alpha \tan \beta}$$

$$= \frac{\frac{3}{4} + \frac{12}{5}}{1 - \frac{3}{4}(\frac{12}{5})}$$

$$= \frac{\frac{63}{20}}{-\frac{16}{20}}$$

$$= -\frac{63}{16}$$

d. To find $tan(\alpha - \beta)$, we have the values we need. We can substitute them in and evaluate.

$$\tan(\alpha - \beta) = \frac{\tan \alpha - \tan \beta}{1 + \tan \alpha \tan \beta}$$

$$= \frac{\frac{3}{4} - \frac{12}{5}}{1 + \frac{3}{4}(\frac{12}{5})}$$

$$= \frac{-\frac{33}{20}}{\frac{56}{20}}$$

$$= -\frac{33}{56}$$

Analysis

A common mistake when addressing problems such as this one is that we may be tempted to think that α and β are angles in the same triangle, which of course, they are not. Also note that

$$\tan(\alpha + \beta) = \frac{\sin(\alpha + \beta)}{\cos(\alpha + \beta)}$$

Using Sum and Difference Formulas for Cofunctions

Now that we can find the sine, cosine, and tangent functions for the sums and differences of angles, we can use them to do the same for their cofunctions. You may recall from **Right Triangle Trigonometry** that, if the sum of two positive angles is $\frac{\pi}{2}$, those two angles are complements, and the sum of the two acute angles in a right triangle is $\frac{\pi}{2}$, so they are also complements. In **Figure 7.11**, notice that if one of the acute angles is labeled as θ , then the other acute angle must be labeled $\left(\frac{\pi}{2} - \theta\right)$.

Notice also that $\sin \theta = \cos \left(\frac{\pi}{2} - \theta\right)$: opposite over hypotenuse. Thus, when two angles are complimentary, we can say that the sine of θ equals the cofunction of the complement of θ . Similarly, tangent and cotangent are cofunctions, and secant and cosecant are cofunctions.

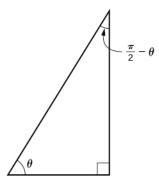


Figure 7.11

From these relationships, the cofunction identities are formed.

Cofunction Identities

The cofunction identities are summarized in **Table 7.6**.

$\sin \theta = \cos \left(\frac{\pi}{2} - \theta\right)$	$\cos\theta = \sin\left(\frac{\pi}{2} - \theta\right)$
$\tan \theta = \cot \left(\frac{\pi}{2} - \theta\right)$	$\cot \theta = \tan \left(\frac{\pi}{2} - \theta\right)$
$\sec \theta = \csc \left(\frac{\pi}{2} - \theta\right)$	$\csc\theta = \sec\left(\frac{\pi}{2} - \theta\right)$

Table 7.6

Notice that the formulas in the table may also justified algebraically using the sum and difference formulas. For example, using

$$\cos(\alpha - \beta) = \cos \alpha \cos \beta + \sin \alpha \sin \beta,$$

we can write

$$\cos\left(\frac{\pi}{2} - \theta\right) = \cos\frac{\pi}{2}\cos\theta + \sin\frac{\pi}{2}\sin\theta$$
$$= (0)\cos\theta + (1)\sin\theta$$
$$= \sin\theta$$

Example 7.17

Finding a Cofunction with the Same Value as the Given Expression

Write $\tan \frac{\pi}{9}$ in terms of its cofunction.

Solution

The cofunction of $\tan \theta = \cot \left(\frac{\pi}{2} - \theta\right)$. Thus,

$$\tan\left(\frac{\pi}{9}\right) = \cot\left(\frac{\pi}{2} - \frac{\pi}{9}\right)$$
$$= \cot\left(\frac{9\pi}{18} - \frac{2\pi}{18}\right)$$
$$= \cot\left(\frac{7\pi}{18}\right)$$



7.9 Write $\sin \frac{\pi}{7}$ in terms of its cofunction.

Using the Sum and Difference Formulas to Verify Identities

Verifying an identity means demonstrating that the equation holds for all values of the variable. It helps to be very familiar with the identities or to have a list of them accessible while working the problems. Reviewing the general rules from **Solving Trigonometric Equations with Identities** may help simplify the process of verifying an identity.



Given an identity, verify using sum and difference formulas.

- 1. Begin with the expression on the side of the equal sign that appears most complex. Rewrite that expression until it matches the other side of the equal sign. Occasionally, we might have to alter both sides, but working on only one side is the most efficient.
- 2. Look for opportunities to use the sum and difference formulas.
- 3. Rewrite sums or differences of quotients as single quotients.
- 4. If the process becomes cumbersome, rewrite the expression in terms of sines and cosines.

Example 7.18

Verifying an Identity Involving Sine

Verify the identity $\sin(\alpha + \beta) + \sin(\alpha - \beta) = 2\sin\alpha\cos\beta$.

Solution

We see that the left side of the equation includes the sines of the sum and the difference of angles.

$$\sin(\alpha + \beta) = \sin \alpha \cos \beta + \cos \alpha \sin \beta$$
$$\sin(\alpha - \beta) = \sin \alpha \cos \beta - \cos \alpha \sin \beta$$

We can rewrite each using the sum and difference formulas.

$$\sin(\alpha + \beta) + \sin(\alpha - \beta) = \sin \alpha \cos \beta + \cos \alpha \sin \beta + \sin \alpha \cos \beta - \cos \alpha \sin \beta$$
$$= 2 \sin \alpha \cos \beta$$

We see that the identity is verified.

Example 7.19

Verifying an Identity Involving Tangent

Verify the following identity.

$$\frac{\sin(\alpha - \beta)}{\cos \alpha \cos \beta} = \tan \alpha - \tan \beta$$

Solution

We can begin by rewriting the numerator on the left side of the equation.

$$\frac{\sin(\alpha - \beta)}{\cos \alpha \cos \beta} = \frac{\sin \alpha \cos \beta - \cos \alpha \sin \beta}{\cos \alpha \cos \beta}$$

$$= \frac{\sin \alpha \cos \beta}{\cos \alpha \cos \beta} - \frac{\cos \alpha \sin \beta}{\cos \alpha \cos \beta}$$
Rewrite using a common denominator.
$$= \frac{\sin \alpha}{\cos \alpha} - \frac{\sin \beta}{\cos \beta}$$
Cancel.
$$= \tan \alpha - \tan \beta$$
Rewrite in terms of tangent.

We see that the identity is verified. In many cases, verifying tangent identities can successfully be accomplished by writing the tangent in terms of sine and cosine.



7.10 Verify the identity: $tan(\pi - \theta) = -tan \theta$.

Example 7.20

Using Sum and Difference Formulas to Solve an Application Problem

Let L_1 and L_2 denote two non-vertical intersecting lines, and let θ denote the acute angle between L_1 and L_2 . See **Figure 7.12**. Show that

$$\tan\theta = \frac{m_2 - m_1}{1 + m_1 m_2}$$

where m_1 and m_2 are the slopes of L_1 and L_2 respectively. (**Hint:** Use the fact that $\tan \theta_1 = m_1$ and $\tan \theta_2 = m_2$.)

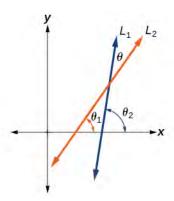


Figure 7.12

Solution

Using the difference formula for tangent, this problem does not seem as daunting as it might.

$$\begin{split} \tan\theta &= \tan(\theta_2 - \theta_1) \\ &= \frac{\tan\theta_2 - \tan\theta_1}{1 + \tan\theta_1 \tan\theta_2} \\ &= \frac{m_2 - m_1}{1 + m_1 m_2} \end{split}$$

Example 7.21

Investigating a Guy-wire Problem

For a climbing wall, a guy-wire R is attached 47 feet high on a vertical pole. Added support is provided by another guy-wire S attached 40 feet above ground on the same pole. If the wires are attached to the ground 50 feet from the pole, find the angle α between the wires. See **Figure 7.13**.

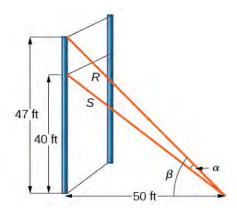


Figure 7.13

Solution

Let's first summarize the information we can gather from the diagram. As only the sides adjacent to the right angle are known, we can use the tangent function. Notice that $\tan \beta = \frac{47}{50}$, and $\tan(\beta - \alpha) = \frac{40}{50} = \frac{4}{5}$. We can then use difference formula for tangent.

$$\tan(\beta - \alpha) = \frac{\tan \beta - \tan \alpha}{1 + \tan \beta \tan \alpha}$$

Now, substituting the values we know into the formula, we have

$$\frac{4}{5} = \frac{\frac{47}{50} - \tan \alpha}{1 + \frac{47}{50} \tan \alpha}$$
$$4\left(1 + \frac{47}{50} \tan \alpha\right) = 5\left(\frac{47}{50} - \tan \alpha\right)$$

Use the distributive property, and then simplify the functions.

$$4(1) + 4\left(\frac{47}{50}\right)\tan \alpha = 5\left(\frac{47}{50}\right) - 5\tan \alpha$$

$$4 + 3.76\tan \alpha = 4.7 - 5\tan \alpha$$

$$5\tan \alpha + 3.76\tan \alpha = 0.7$$

$$8.76\tan \alpha = 0.7$$

$$\tan \alpha \approx 0.07991$$

$$\tan^{-1}(0.07991) \approx .079741$$

Now we can calculate the angle in degrees.

$$\alpha \approx 0.079741 \left(\frac{180}{\pi}\right) \approx 4.57^{\circ}$$

Analysis

Occasionally, when an application appears that includes a right triangle, we may think that solving is a matter of applying the Pythagorean Theorem. That may be partially true, but it depends on what the problem is asking and what information is given.



Access these online resources for additional instruction and practice with sum and difference identities.

- Sum and Difference Identities for Cosine (http://openstaxcollege.org/l/sumdifcos)
- Sum and Difference Identities for Sine (http://openstaxcollege.org/l/sumdifsin)
- · Sum and Difference Identities for Tangent (http://openstaxcollege.org/l/sumdiftan)

7.2 EXERCISES

Verbal

- 43. Explain the basis for the cofunction identities and when they apply.
- 44. Is there only one way to evaluate $\cos\left(\frac{5\pi}{4}\right)$? Explain

how to set up the solution in two different ways, and then compute to make sure they give the same answer.

45. Explain to someone who has forgotten the even-odd properties of sinusoidal functions how the addition and subtraction formulas can determine this characteristic for $f(x) = \sin(x)$ and $g(x) = \cos(x)$. (Hint: 0 - x = -x)

Algebraic

For the following exercises, find the exact value.

- 46. $\cos(\frac{7\pi}{12})$
- 47. $\cos\left(\frac{\pi}{12}\right)$
- 48. $\sin(\frac{5\pi}{12})$
- 49. $\sin(\frac{11\pi}{12})$
- 50. $\tan(-\frac{\pi}{12})$
- 51. $\tan(\frac{19\pi}{12})$

For the following exercises, rewrite in terms of $\sin x$ and $\cos x$.

- 52. $\sin(x + \frac{11\pi}{6})$
- 53. $\sin(x \frac{3\pi}{4})$
- 54. $\cos(x \frac{5\pi}{6})$
- 55. $\cos(x + \frac{2\pi}{3})$

For the following exercises, simplify the given expression.

- 56. $\csc\left(\frac{\pi}{2} t\right)$
- 57. $\sec\left(\frac{\pi}{2} \theta\right)$

- 58. $\cot\left(\frac{\pi}{2} x\right)$
- 59. $\tan\left(\frac{\pi}{2} x\right)$
- 60. $\sin(2x)\cos(5x) \sin(5x)\cos(2x)$
- 61. $\frac{\tan(\frac{3}{2}x) \tan(\frac{7}{5}x)}{1 + \tan(\frac{3}{2}x)\tan(\frac{7}{5}x)}$

For the following exercises, find the requested information.

- 62. Given that $\sin a = \frac{2}{3}$ and $\cos b = -\frac{1}{4}$, with a and b both in the interval $\left[\frac{\pi}{2}, \pi\right]$, find $\sin(a+b)$ and $\cos(a-b)$.
- 63. Given that $\sin a = \frac{4}{5}$, and $\cos b = \frac{1}{3}$, with a and b both in the interval $\left[0, \frac{\pi}{2}\right]$, find $\sin(a b)$ and $\cos(a + b)$.

For the following exercises, find the exact value of each expression.

- 64. $\sin(\cos^{-1}(0) \cos^{-1}(\frac{1}{2}))$
- 65. $\cos(\cos^{-1}(\frac{\sqrt{2}}{2}) + \sin^{-1}(\frac{\sqrt{3}}{2}))$
- 66. $\tan(\sin^{-1}(\frac{1}{2}) \cos^{-1}(\frac{1}{2}))$

Graphical

For the following exercises, simplify the expression, and then graph both expressions as functions to verify the graphs are identical.

- 67. $\cos\left(\frac{\pi}{2} x\right)$
- 68. $\sin(\pi x)$
- 69. $\tan(\frac{\pi}{3} + x)$
- 70. $\sin\left(\frac{\pi}{3} + x\right)$
- 71. $\tan\left(\frac{\pi}{4} x\right)$

72.
$$\cos\left(\frac{7\pi}{6} + x\right)$$

73.
$$\sin\left(\frac{\pi}{4} + x\right)$$

74.
$$\cos\left(\frac{5\pi}{4} + x\right)$$

For the following exercises, use a graph to determine whether the functions are the same or different. If they are the same, show why. If they are different, replace the second function with one that is identical to the first. (Hint: think 2x = x + x.)

75.
$$f(x) = \sin(4x) - \sin(3x)\cos x$$
, $g(x) = \sin x \cos(3x)$

76.
$$f(x) = \cos(4x) + \sin x \sin(3x), \ g(x) = -\cos x \cos(3x)$$

77.
$$f(x) = \sin(3x)\cos(6x)$$
, $g(x) = -\sin(3x)\cos(6x)$

78.
$$f(x) = \sin(4x), g(x) = \sin(5x)\cos x - \cos(5x)\sin x$$

79.
$$f(x) = \sin(2x), g(x) = 2\sin x \cos x$$

80.
$$f(\theta) = \cos(2\theta), g(\theta) = \cos^2 \theta - \sin^2 \theta$$

81.
$$f(\theta) = \tan(2\theta), g(\theta) = \frac{\tan \theta}{1 + \tan^2 \theta}$$

82.
$$f(x) = \sin(3x)\sin x, \ g(x) = \sin^2(2x)\cos^2 x - \cos^2(2x)\sin^2 x$$

83.
$$f(x) = \tan(-x), g(x) = \frac{\tan x - \tan(2x)}{1 - \tan x \tan(2x)}$$

Technology

For the following exercises, find the exact value algebraically, and then confirm the answer with a calculator to the fourth decimal point.

85.
$$\sin(195^{\circ})$$

87.
$$\cos(345^{\circ})$$

88.
$$tan(-15^{\circ})$$

Extensions

For the following exercises, prove the identities provided.

$$\tan(x + \frac{\pi}{4}) = \frac{\tan x + 1}{1 - \tan x}$$

90.
$$\frac{\tan(a+b)}{\tan(a-b)} = \frac{\sin a \cos a + \sin b \cos b}{\sin a \cos a - \sin b \cos b}$$

91.
$$\frac{\cos(a+b)}{\cos a \cos b} = 1 - \tan a \tan b$$

92.
$$\cos(x + y)\cos(x - y) = \cos^2 x - \sin^2 y$$

93.
$$\frac{\cos(x+h) - \cos x}{h} = \cos x \frac{\cos h - 1}{h} - \sin x \frac{\sin h}{h}$$

For the following exercises, prove or disprove the statements.

94.
$$\tan(u+v) = \frac{\tan u + \tan v}{1 - \tan u \tan v}$$

95.
$$\tan(u - v) = \frac{\tan u - \tan v}{1 + \tan u \tan v}$$

96.
$$\frac{\tan(x+y)}{1+\tan x \tan x} = \frac{\tan x + \tan y}{1-\tan^2 x \tan^2 y}$$

97. If α , β , and γ are angles in the same triangle, then prove or disprove $\sin(\alpha + \beta) = \sin \gamma$.

98. If α , β , and y are angles in the same triangle, then prove or disprove $\tan \alpha + \tan \beta + \tan \gamma = \tan \alpha \tan \beta \tan \gamma$

7.3 | Double-Angle, Half-Angle, and Reduction Formulas

Learning Objectives

In this section, you will:

- **7.3.1** Use double-angle formulas to find exact values.
- 7.3.2 Use double-angle formulas to verify identities.
- 7.3.3 Use reduction formulas to simplify an expression.
- 7.3.4 Use half-angle formulas to find exact values.

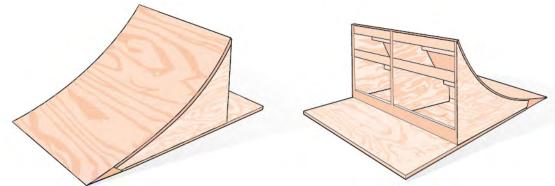


Figure 7.14 Bicycle ramps for advanced riders have a steeper incline than those designed for novices.

Bicycle ramps made for competition (see **Figure 7.14**) must vary in height depending on the skill level of the competitors. For advanced competitors, the angle formed by the ramp and the ground should be θ such that $\tan \theta = \frac{5}{3}$. The angle is

divided in half for novices. What is the steepness of the ramp for novices? In this section, we will investigate three additional categories of identities that we can use to answer questions such as this one.

Using Double-Angle Formulas to Find Exact Values

In the previous section, we used addition and subtraction formulas for trigonometric functions. Now, we take another look at those same formulas. The double-angle formulas are a special case of the sum formulas, where $\alpha = \beta$. Deriving the double-angle formula for sine begins with the sum formula,

$$\sin(\alpha + \beta) = \sin \alpha \cos \beta + \cos \alpha \sin \beta$$

If we let $\alpha = \beta = \theta$, then we have

$$\sin(\theta + \theta) = \sin\theta\cos\theta + \cos\theta\sin\theta$$
$$\sin(2\theta) = 2\sin\theta\cos\theta$$

Deriving the double-angle for cosine gives us three options. First, starting from the sum formula, $\cos(\alpha + \beta) = \cos \alpha \cos \beta - \sin \alpha \sin \beta$, and letting $\alpha = \beta = \theta$, we have

$$\cos(\theta + \theta) = \cos\theta\cos\theta - \sin\theta\sin\theta$$
$$\cos(2\theta) = \cos^2\theta - \sin^2\theta$$

Using the Pythagorean properties, we can expand this double-angle formula for cosine and get two more interpretations. The first one is:

$$cos(2\theta) = cos^{2}\theta - sin^{2}\theta$$
$$= (1 - sin^{2}\theta) - sin^{2}\theta$$
$$= 1 - 2sin^{2}\theta$$

The second interpretation is:

$$cos(2\theta) = cos^{2}\theta - sin^{2}\theta$$
$$= cos^{2}\theta - (1 - cos^{2}\theta)$$
$$= 2 cos^{2}\theta - 1$$

Similarly, to derive the double-angle formula for tangent, replacing $\alpha = \beta = \theta$ in the sum formula gives

$$\tan(\alpha + \beta) = \frac{\tan \alpha + \tan \beta}{1 - \tan \alpha \tan \beta}$$
$$\tan(\theta + \theta) = \frac{\tan \theta + \tan \theta}{1 - \tan \theta \tan \theta}$$
$$\tan(2\theta) = \frac{2\tan \theta}{1 - \tan^2 \theta}$$

Double-Angle Formulas

The **double-angle formulas** are summarized as follows:

$$\sin(2\theta) = 2\sin\theta\cos\theta \tag{7.24}$$

$$\cos(2\theta) = \cos^2 \theta - \sin^2 \theta$$

$$= 1 - 2\sin^2 \theta$$
(7.25)

$$=2\cos^2\theta-1$$

$$\tan(2\theta) = \frac{2\tan\theta}{1-\tan^2\theta} \tag{7.26}$$



Given the tangent of an angle and the quadrant in which it is located, use the double-angle formulas to find the exact value.

- 1. Draw a triangle to reflect the given information.
- 2. Determine the correct double-angle formula.
- 3. Substitute values into the formula based on the triangle.
- 4. Simplify.

Example 7.22

Using a Double-Angle Formula to Find the Exact Value Involving Tangent

Given that $\tan \theta = -\frac{3}{4}$ and θ is in quadrant II, find the following:

- a. $\sin(2\theta)$
- b. $cos(2\theta)$
- c. $tan(2\theta)$

Solution

If we draw a triangle to reflect the information given, we can find the values needed to solve the problems on the image. We are given $\tan\theta=-\frac{3}{4}$, such that θ is in quadrant II. The tangent of an angle is equal to the opposite

side over the adjacent side, and because θ is in the second quadrant, the adjacent side is on the *x*-axis and is negative. Use the Pythagorean Theorem to find the length of the hypotenuse:

$$(-4)^{2} + (3)^{2} = c^{2}$$

$$16 + 9 = c^{2}$$

$$25 = c^{2}$$

$$c = 5$$

Now we can draw a triangle similar to the one shown in **Figure 7.15**.

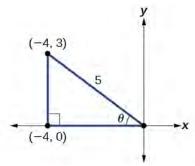


Figure 7.15

a. Let's begin by writing the double-angle formula for sine.

$$\sin(2\theta) = 2\sin\theta\cos\theta$$

We see that we to need to find $\sin \theta$ and $\cos \theta$. Based on **Figure 7.15**, we see that the hypotenuse equals 5, so $\sin \theta = \frac{3}{5}$, and $\cos \theta = -\frac{4}{5}$. Substitute these values into the equation, and simplify.

Thus,

$$\sin(2\theta) = 2\left(\frac{3}{5}\right)\left(-\frac{4}{5}\right)$$
$$= -\frac{24}{25}$$

b. Write the double-angle formula for cosine.

$$\cos(2\theta) = \cos^2\theta - \sin^2\theta$$

Again, substitute the values of the sine and cosine into the equation, and simplify.

$$cos(2\theta) = \left(-\frac{4}{5}\right)^2 - \left(\frac{3}{5}\right)^2$$
$$= \frac{16}{25} - \frac{9}{25}$$
$$= \frac{7}{25}$$

c. Write the double-angle formula for tangent.

$$\tan(2\theta) = \frac{2\tan\theta}{1 - \tan^2\theta}$$

In this formula, we need the tangent, which we were given as $\tan \theta = -\frac{3}{4}$. Substitute this value into the equation, and simplify.

$$\tan(2\theta) = \frac{2\left(-\frac{3}{4}\right)}{1 - \left(-\frac{3}{4}\right)^2}$$
$$= \frac{-\frac{3}{2}}{1 - \frac{9}{16}}$$
$$= -\frac{3}{2}\left(\frac{16}{7}\right)$$
$$= -\frac{24}{7}$$



7.11 Given $\sin \alpha = \frac{5}{8}$, with θ in quadrant I, find $\cos(2\alpha)$.

Example 7.23

Using the Double-Angle Formula for Cosine without Exact Values

Use the double-angle formula for cosine to write cos(6x) in terms of cos(3x).

Solution

$$cos(6x) = cos(3x + 3x)$$

$$= cos 3x cos 3x - sin 3x sin 3x$$

$$= cos2 3x - sin2 3x$$

Analysis

This example illustrates that we can use the double-angle formula without having exact values. It emphasizes that the pattern is what we need to remember and that identities are true for all values in the domain of the trigonometric function.

Using Double-Angle Formulas to Verify Identities

Establishing identities using the double-angle formulas is performed using the same steps we used to derive the sum and difference formulas. Choose the more complicated side of the equation and rewrite it until it matches the other side.

Example 7.24

Using the Double-Angle Formulas to Establish an Identity

Establish the following identity using double-angle formulas:

$$1 + \sin(2\theta) = (\sin\theta + \cos\theta)^2$$

Solution

We will work on the right side of the equal sign and rewrite the expression until it matches the left side.

$$(\sin \theta + \cos \theta)^2 = \sin^2 \theta + 2\sin \theta \cos \theta + \cos^2 \theta$$
$$= (\sin^2 \theta + \cos^2 \theta) + 2\sin \theta \cos \theta$$
$$= 1 + 2\sin \theta \cos \theta$$
$$= 1 + \sin(2\theta)$$

Analysis

This process is not complicated, as long as we recall the perfect square formula from algebra:

$$(a \pm b)^2 = a^2 \pm 2ab + b^2$$

where $a = \sin \theta$ and $b = \cos \theta$. Part of being successful in mathematics is the ability to recognize patterns. While the terms or symbols may change, the algebra remains consistent.



7.12 Establish the identity: $\cos^4 \theta - \sin^4 \theta = \cos(2\theta)$.

Example 7.25

Verifying a Double-Angle Identity for Tangent

Verify the identity:

$$\tan(2\theta) = \frac{2}{\cot \theta - \tan \theta}$$

Solution

In this case, we will work with the left side of the equation and simplify or rewrite until it equals the right side of the equation.

$$\tan(2\theta) = \frac{2\tan\theta}{1 - \tan^2\theta}$$
 Double-angle formula
$$= \frac{2\tan\theta\left(\frac{1}{\tan\theta}\right)}{\left(1 - \tan^2\theta\right)\left(\frac{1}{\tan\theta}\right)}$$
 Multiply by a term that results in desired numerator.
$$= \frac{2}{\frac{1}{\tan\theta} - \frac{\tan^2\theta}{\tan\theta}}$$

$$= \frac{2}{\cot\theta - \tan\theta}$$
 Use reciprocal identity for $\frac{1}{\tan\theta}$.

Analysis

Here is a case where the more complicated side of the initial equation appeared on the right, but we chose to work the left side. However, if we had chosen the left side to rewrite, we would have been working backwards to arrive at the equivalency. For example, suppose that we wanted to show

$$\frac{2\tan\theta}{1-\tan^2\theta} = \frac{2}{\cot\theta - \tan\theta}$$

Let's work on the right side.

$$\frac{2}{\cot \theta - \tan \theta} = \frac{2}{\frac{1}{\tan \theta} - \tan \theta} \left(\frac{\tan \theta}{\tan \theta} \right)$$
$$= \frac{2 \tan \theta}{\frac{1}{\tan \theta} (\tan \theta) - \tan \theta (\tan \theta)}$$
$$= \frac{2 \tan \theta}{1 - \tan^2 \theta}$$

When using the identities to simplify a trigonometric expression or solve a trigonometric equation, there are usually several paths to a desired result. There is no set rule as to what side should be manipulated. However, we should begin with the guidelines set forth earlier.



7.13 Verify the identity: $\cos(2\theta)\cos\theta = \cos^3\theta - \cos\theta\sin^2\theta$.

Use Reduction Formulas to Simplify an Expression

The double-angle formulas can be used to derive the reduction formulas, which are formulas we can use to reduce the power of a given expression involving even powers of sine or cosine. They allow us to rewrite the even powers of sine or cosine in terms of the first power of cosine. These formulas are especially important in higher-level math courses, calculus in particular. Also called the power-reducing formulas, three identities are included and are easily derived from the double-angle formulas.

We can use two of the three double-angle formulas for cosine to derive the reduction formulas for sine and cosine. Let's begin with $\cos(2\theta) = 1 - 2\sin^2\theta$. Solve for $\sin^2\theta$:

$$\cos(2\theta) = 1 - 2\sin^2\theta$$
$$2\sin^2\theta = 1 - \cos(2\theta)$$
$$\sin^2\theta = \frac{1 - \cos(2\theta)}{2}$$

Next, we use the formula $\cos(2\theta) = 2\cos^2\theta - 1$. Solve for $\cos^2\theta$:

$$\cos(2\theta) = 2\cos^2\theta - 1$$
$$1 + \cos(2\theta) = 2\cos^2\theta$$
$$\frac{1 + \cos(2\theta)}{2} = \cos^2\theta$$

The last reduction formula is derived by writing tangent in terms of sine and cosine:

$$\tan^{2}\theta = \frac{\sin^{2}\theta}{\cos^{2}\theta}$$

$$= \frac{\frac{1 - \cos(2\theta)}{2}}{\frac{1 + \cos(2\theta)}{2}}$$
Substitute the reduction formulas.
$$= \left(\frac{1 - \cos(2\theta)}{2}\right)\left(\frac{2}{1 + \cos(2\theta)}\right)$$

$$= \frac{1 - \cos(2\theta)}{1 + \cos(2\theta)}$$

Reduction Formulas

The **reduction formulas** are summarized as follows:

$$\sin^2 \theta = \frac{1 - \cos(2\theta)}{2} \tag{7.27}$$

$$\cos^2 \theta = \frac{1 + \cos(2\theta)}{2}$$
 (7.28)

$$\tan^2 \theta = \frac{1 - \cos(2\theta)}{1 + \cos(2\theta)} \tag{7.29}$$

Writing an Equivalent Expression Not Containing Powers Greater Than 1

Write an equivalent expression for $\cos^4 x$ that does not involve any powers of sine or cosine greater than 1.

Solution

We will apply the reduction formula for cosine twice.

$$\cos^{4} x = (\cos^{2} x)^{2}$$

$$= \left(\frac{1 + \cos(2x)}{2}\right)^{2}$$
Substitute reduction formula for $\cos^{2} x$.
$$= \frac{1}{4} \left(1 + 2\cos(2x) + \cos^{2}(2x)\right)$$

$$= \frac{1}{4} + \frac{1}{2}\cos(2x) + \frac{1}{4} \left(\frac{1 + \cos(2x)}{2}\right)$$
Substitute reduction formula for $\cos^{2} x$.
$$= \frac{1}{4} + \frac{1}{2}\cos(2x) + \frac{1}{8} + \frac{1}{8}\cos(4x)$$

$$= \frac{3}{8} + \frac{1}{2}\cos(2x) + \frac{1}{8}\cos(4x)$$

Analysis

The solution is found by using the reduction formula twice, as noted, and the perfect square formula from algebra.

Example 7.27

Using the Power-Reducing Formulas to Prove an Identity

Use the power-reducing formulas to prove

$$\sin^3(2x) = \left[\frac{1}{2}\sin(2x)\right][1 - \cos(4x)]$$

Solution

We will work on simplifying the left side of the equation:

$$\sin^{3}(2x) = [\sin(2x)][\sin^{2}(2x)]$$

$$= \sin(2x) \left[\frac{1 - \cos(4x)}{2}\right]$$
 Substitute the power-reduction formula.
$$= \sin(2x) \left(\frac{1}{2} \left[1 - \cos(4x)\right]\right]$$

$$= \frac{1}{2} [\sin(2x)][1 - \cos(4x)]$$

Analysis

Note that in this example, we substituted

$$\frac{1-\cos(4x)}{2}$$

for $\sin^2(2x)$. The formula states

$$\sin^2\theta = \frac{1 - \cos(2\theta)}{2}$$

We let $\theta = 2x$, so $2\theta = 4x$.



7.14 Use the power-reducing formulas to prove that $10\cos^4 x = \frac{15}{4} + 5\cos(2x) + \frac{5}{4}\cos(4x)$.

Using Half-Angle Formulas to Find Exact Values

The next set of identities is the set of **half-angle formulas**, which can be derived from the reduction formulas and we can use when we have an angle that is half the size of a special angle. If we replace θ with $\frac{\alpha}{2}$, the half-angle formula for sine

is found by simplifying the equation and solving for $\sin(\frac{\alpha}{2})$. Note that the half-angle formulas are preceded by a \pm sign.

This does not mean that both the positive and negative expressions are valid. Rather, it depends on the quadrant in which $\frac{\alpha}{2}$ terminates.

The half-angle formula for sine is derived as follows:

$$\sin^2 \theta = \frac{1 - \cos(2\theta)}{2}$$
$$\sin^2 \left(\frac{\alpha}{2}\right) = \frac{1 - \left(\cos 2 \cdot \frac{\alpha}{2}\right)}{2}$$
$$= \frac{1 - \cos \alpha}{2}$$
$$\sin\left(\frac{\alpha}{2}\right) = \pm \sqrt{\frac{1 - \cos \alpha}{2}}$$

To derive the half-angle formula for cosine, we have

$$\cos^2 \theta = \frac{1 + \cos(2\theta)}{2}$$
$$\cos^2 \left(\frac{\alpha}{2}\right) = \frac{1 + \cos\left(2 \cdot \frac{\alpha}{2}\right)}{2}$$
$$= \frac{1 + \cos\alpha}{2}$$
$$\cos\left(\frac{\alpha}{2}\right) = \pm\sqrt{\frac{1 + \cos\alpha}{2}}$$

For the tangent identity, we have

$$\tan^2 \theta = \frac{1 - \cos(2\theta)}{1 + \cos(2\theta)}$$
$$\tan^2 \left(\frac{\alpha}{2}\right) = \frac{1 - \cos\left(2 \cdot \frac{\alpha}{2}\right)}{1 + \cos\left(2 \cdot \frac{\alpha}{2}\right)}$$
$$= \frac{1 - \cos\alpha}{1 + \cos\alpha}$$
$$\tan\left(\frac{\alpha}{2}\right) = \pm\sqrt{\frac{1 - \cos\alpha}{1 + \cos\alpha}}$$

Half-Angle Formulas

The half-angle formulas are as follows:

$$\sin\left(\frac{\alpha}{2}\right) = \pm \sqrt{\frac{1 - \cos \alpha}{2}} \tag{7.30}$$

$$\cos\left(\frac{\alpha}{2}\right) = \pm \sqrt{\frac{1 + \cos \alpha}{2}} \tag{7.31}$$

$$\tan\left(\frac{\alpha}{2}\right) = \pm \sqrt{\frac{1 - \cos \alpha}{1 + \cos \alpha}}$$

$$= \frac{\sin \alpha}{1 + \cos \alpha}$$

$$= \frac{1 - \cos \alpha}{\sin \alpha}$$
(7.32)

Example 7.28

Using a Half-Angle Formula to Find the Exact Value of a Sine Function

Find sin(15°) using a half-angle formula.

Solution

Since $15^{\circ} = \frac{30^{\circ}}{2}$, we use the half-angle formula for sine:

$$\sin \frac{30^{\circ}}{2} = \sqrt{\frac{1 - \cos 30^{\circ}}{2}}$$

$$= \sqrt{\frac{1 - \frac{\sqrt{3}}{2}}{2}}$$

$$= \sqrt{\frac{\frac{2 - \sqrt{3}}{2}}{2}}$$

$$= \sqrt{\frac{2 - \sqrt{3}}{4}}$$

$$= \frac{\sqrt{2 - \sqrt{3}}}{2}$$

Analysis

Notice that we used only the positive root because $\sin(15^{\circ})$ is positive.



Given the tangent of an angle and the quadrant in which the angle lies, find the exact values of trigonometric functions of half of the angle.

- 1. Draw a triangle to represent the given information.
- 2. Determine the correct half-angle formula.
- 3. Substitute values into the formula based on the triangle.
- 4. Simplify.

Example 7.29

Finding Exact Values Using Half-Angle Identities

Given that $\tan \alpha = \frac{8}{15}$ and α lies in quadrant III, find the exact value of the following:

- a. $\sin\left(\frac{\alpha}{2}\right)$
- b. $\cos\left(\frac{\alpha}{2}\right)$
- c. $\tan\left(\frac{\alpha}{2}\right)$

Solution

Using the given information, we can draw the triangle shown in **Figure 7.16**. Using the Pythagorean Theorem, we find the hypotenuse to be 17. Therefore, we can calculate $\sin \alpha = -\frac{8}{17}$ and $\cos \alpha = -\frac{15}{17}$.

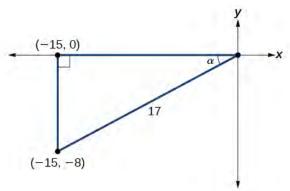


Figure 7.16

a. Before we start, we must remember that, if α is in quadrant III, then $180^\circ < \alpha < 270^\circ$, so $\frac{180^\circ}{2} < \frac{\alpha}{2} < \frac{270^\circ}{2}$. This means that the terminal side of $\frac{\alpha}{2}$ is in quadrant II, since $90^\circ < \frac{\alpha}{2} < 135^\circ$. To find $\sin \frac{\alpha}{2}$, we begin by writing the half-angle formula for sine. Then we substitute the value of the cosine we found from the triangle in **Figure 7.16** and simplify.

$$\sin \frac{\alpha}{2} = \pm \sqrt{\frac{1 - \cos \alpha}{2}}$$

$$= \pm \sqrt{\frac{1 - \left(-\frac{15}{17}\right)}{2}}$$

$$= \pm \sqrt{\frac{\frac{32}{17}}{2}}$$

$$= \pm \sqrt{\frac{32}{17} \cdot \frac{1}{2}}$$

$$= \pm \sqrt{\frac{16}{17}}$$

$$= \pm \frac{4}{\sqrt{17}}$$

$$= \frac{4\sqrt{17}}{17}$$

We choose the positive value of $\sin\frac{\alpha}{2}$ because the angle terminates in quadrant II and sine is positive in quadrant II.

b. To find $\cos \frac{\alpha}{2}$, we will write the half-angle formula for cosine, substitute the value of the cosine we found from the triangle in **Figure 7.16**, and simplify.

$$\cos \frac{\alpha}{2} = \pm \sqrt{\frac{1 + \cos \alpha}{2}}$$

$$= \pm \sqrt{\frac{1 + \left(-\frac{15}{17}\right)}{2}}$$

$$= \pm \sqrt{\frac{\frac{2}{17}}{2}}$$

$$= \pm \sqrt{\frac{2}{17} \cdot \frac{1}{2}}$$

$$= \pm \sqrt{\frac{1}{17}}$$

$$= -\frac{\sqrt{17}}{17}$$

We choose the negative value of $\cos \frac{\alpha}{2}$ because the angle is in quadrant II because cosine is negative in quadrant II.

c. To find $\tan \frac{\alpha}{2}$, we write the half-angle formula for tangent. Again, we substitute the value of the cosine we found from the triangle in **Figure 7.16** and simplify.

$$\tan \frac{\alpha}{2} = \pm \sqrt{\frac{1 - \cos \alpha}{1 + \cos \alpha}}$$

$$= \pm \sqrt{\frac{1 - (-\frac{15}{17})}{1 + (-\frac{15}{17})}}$$

$$= \pm \sqrt{\frac{\frac{32}{17}}{\frac{17}{2}}}$$

$$= \pm \sqrt{\frac{32}{2}}$$

$$= -\sqrt{16}$$

$$= -4$$

We choose the negative value of $\tan \frac{\alpha}{2}$ because $\frac{\alpha}{2}$ lies in quadrant II, and tangent is negative in quadrant II.



7.15 Given that $\sin \alpha = -\frac{4}{5}$ and α lies in quadrant IV, find the exact value of $\cos \left(\frac{\alpha}{2}\right)$.

Example 7.30

Finding the Measurement of a Half Angle

Now, we will return to the problem posed at the beginning of the section. A bicycle ramp is constructed for high-level competition with an angle of θ formed by the ramp and the ground. Another ramp is to be constructed half as steep for novice competition. If $\tan \theta = \frac{5}{3}$ for higher-level competition, what is the measurement of the angle for novice competition?

Solution

Since the angle for novice competition measures half the steepness of the angle for the high level competition, and $\tan \theta = \frac{5}{3}$ for high competition, we can find $\cos \theta$ from the right triangle and the Pythagorean theorem so that we can use the half-angle identities. See **Figure 7.17**.

$$3^{2} + 5^{2} = 34$$

$$c = \sqrt{34}$$

Figure 7.17

We see that $\cos\theta = \frac{3}{\sqrt{34}} = \frac{3\sqrt{34}}{34}$. We can use the half-angle formula for tangent: $\tan\frac{\theta}{2} = \sqrt{\frac{1-\cos\theta}{1+\cos\theta}}$. Since $\tan\theta$ is in the first quadrant, so is $\tan\frac{\theta}{2}$. Thus,

$$\tan \frac{\theta}{2} = \sqrt{\frac{1 - \frac{3\sqrt{34}}{34}}{1 + \frac{3\sqrt{34}}{34}}}$$

$$= \sqrt{\frac{\frac{34 - 3\sqrt{34}}{34}}{\frac{34 + 3\sqrt{34}}{34}}}$$

$$= \sqrt{\frac{34 - 3\sqrt{34}}{34 + 3\sqrt{34}}}$$

$$\approx 0.57$$

We can take the inverse tangent to find the angle: $\tan^{-1}(0.57) \approx 29.7^{\circ}$. So the angle of the ramp for novice competition is $\approx 29.7^{\circ}$.



Access these online resources for additional instruction and practice with double-angle, half-angle, and reduction formulas.

- Double-Angle Identities (http://openstaxcollege.org/l/doubleangiden)
- Half-Angle Identities (http://openstaxcollege.org/l/halfangleident)

7.3 EXERCISES

Verbal

99. Explain how to determine the reduction identities from the double-angle identity $cos(2x) = cos^2 x - sin^2 x$.

100. Explain how to determine the double-angle formula for tan(2x) using the double-angle formulas for cos(2x) and sin(2x).

101. We can determine the half-angle formula for $\tan\left(\frac{x}{2}\right) = \frac{\sqrt{1-\cos x}}{\sqrt{1+\cos x}}$ by dividing the formula for $\sin\left(\frac{x}{2}\right)$

by $\cos\left(\frac{x}{2}\right)$. Explain how to determine two formulas for $\tan\left(\frac{x}{2}\right)$ that do not involve any square roots.

102. For the half-angle formula given in the previous exercise for $\tan\left(\frac{x}{2}\right)$, explain why dividing by 0 is not a concern. (Hint: examine the values of $\cos x$ necessary for the denominator to be 0.)

Algebraic

For the following exercises, find the exact values of a) $\sin(2x)$, b) $\cos(2x)$, and c) $\tan(2x)$ without solving for x.

- 103. If $\sin x = \frac{1}{8}$, and *x* is in quadrant I.
- 104. If $\cos x = \frac{2}{3}$, and *x* is in quadrant I.
- 105. If $\cos x = -\frac{1}{2}$, and *x* is in quadrant III.
- 106. If $\tan x = -8$, and *x* is in quadrant IV.

For the following exercises, find the values of the six trigonometric functions if the conditions provided hold.

107.
$$\cos(2\theta) = \frac{3}{5}$$
 and $90^{\circ} \le \theta \le 180^{\circ}$

108.
$$\cos(2\theta) = \frac{1}{\sqrt{2}}$$
 and $180^{\circ} \le \theta \le 270^{\circ}$

For the following exercises, simplify to one trigonometric expression.

109.
$$2\sin\left(\frac{\pi}{4}\right)2\cos\left(\frac{\pi}{4}\right)$$

110.
$$4\sin\left(\frac{\pi}{8}\right)\cos\left(\frac{\pi}{8}\right)$$

For the following exercises, find the exact value using halfangle formulas.

111.
$$\sin\left(\frac{\pi}{8}\right)$$

112.
$$\cos(-\frac{11\pi}{12})$$

113.
$$\sin\left(\frac{11\pi}{12}\right)$$

114.
$$\cos(\frac{7\pi}{8})$$

115.
$$\tan(\frac{5\pi}{12})$$

116.
$$\tan(-\frac{3\pi}{12})$$

117.
$$\tan(-\frac{3\pi}{8})$$

For the following exercises, find the exact values of a) $\sin(\frac{x}{2})$, b) $\cos(\frac{x}{2})$, and c) $\tan(\frac{x}{2})$ without solving for x.

118. If
$$\tan x = -\frac{4}{3}$$
, and *x* is in quadrant IV.

119. If
$$\sin x = -\frac{12}{13}$$
, and *x* is in quadrant III.

- 120. If $\csc x = 7$, and x is in quadrant II.
- 121. If $\sec x = -4$, and x is in quadrant II.

For the following exercises, use **Figure 7.18** to find the requested half and double angles.

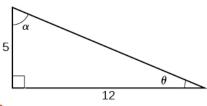


Figure 7.18

- 122. Find $\sin(2\theta)$, $\cos(2\theta)$, and $\tan(2\theta)$.
- 123. Find $\sin(2\alpha)$, $\cos(2\alpha)$, and $\tan(2\alpha)$.
- 124. Find $\sin(\frac{\theta}{2})$, $\cos(\frac{\theta}{2})$, and $\tan(\frac{\theta}{2})$.
- 125.

Find
$$\sin\left(\frac{\alpha}{2}\right)$$
, $\cos\left(\frac{\alpha}{2}\right)$, and $\tan\left(\frac{\alpha}{2}\right)$.

For the following exercises, simplify each expression. Do not evaluate.

126.
$$\cos^2(28^\circ) - \sin^2(28^\circ)$$

127.
$$2\cos^2(37^\circ) - 1$$

128.
$$1 - 2\sin^2(17^\circ)$$

129.
$$\cos^2(9x) - \sin^2(9x)$$

130.
$$4\sin(8x)\cos(8x)$$

131.
$$6\sin(5x)\cos(5x)$$

For the following exercises, prove the identity given.

132.
$$(\sin t - \cos t)^2 = 1 - \sin(2t)$$

133.
$$\sin(2x) = -2\sin(-x)\cos(-x)$$

134.
$$\cot x - \tan x = 2 \cot(2x)$$

135.
$$\frac{\sin(2\theta)}{1 + \cos(2\theta)} \tan^2 \theta = \tan \theta$$

For the following exercises, rewrite the expression with an exponent no higher than 1.

136.
$$\cos^2(5x)$$

137.
$$\cos^2(6x)$$

138.
$$\sin^4(8x)$$

139.
$$\sin^4(3x)$$

140.
$$\cos^2 x \sin^4 x$$

141.
$$\cos^4 x \sin^2 x$$

142.
$$\tan^2 x \sin^2 x$$

Technology

For the following exercises, reduce the equations to powers of one, and then check the answer graphically.

143.
$$\tan^4 x$$

144.
$$\sin^2(2x)$$

145.
$$\sin^2 x \cos^2 x$$

146.
$$\tan^2 x \sin x$$

147.
$$\tan^4 x \cos^2 x$$

148.
$$\cos^2 x \sin(2x)$$

149.
$$\cos^2(2x)\sin x$$

150.
$$\tan^2\left(\frac{x}{2}\right)\sin x$$

For the following exercises, algebraically find an equivalent function, only in terms of $\sin x$ and/or $\cos x$, and then check the answer by graphing both equations.

151.
$$\sin(4x)$$

152.
$$\cos(4x)$$

Extensions

For the following exercises, prove the identities.

153.
$$\sin(2x) = \frac{2\tan x}{1 + \tan^2 x}$$

154.
$$\cos(2\alpha) = \frac{1 - \tan^2 \alpha}{1 + \tan^2 \alpha}$$

155.
$$\tan(2x) = \frac{2\sin x \cos x}{2\cos^2 x - 1}$$

156.
$$(\sin^2 x - 1)^2 = \cos(2x) + \sin^4 x$$

157.
$$\sin(3x) = 3\sin x \cos^2 x - \sin^3 x$$

158.
$$\cos(3x) = \cos^3 x - 3\sin^2 x \cos x$$

$$\frac{159.}{\sin(2t) - \cos t} = \frac{2\cos t}{2\sin t - 1}$$

160.
$$\sin(16x) = 16\sin x \cos x \cos(2x)\cos(4x)\cos(8x)$$

$$\cos(16x) = \left(\cos^2(4x) - \sin^2(4x) - \sin(8x)\right)\left(\cos^2(4x) - \sin^2(4x) + \sin(8x)\right)$$

7.4 | Sum-to-Product and Product-to-Sum Formulas

Learning Objectives

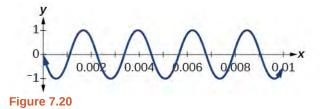
In this section, you will:

- 7.4.1 Express products as sums.
- 7.4.2 Express sums as products.



Figure 7.19 The UCLA marching band (credit: Eric Chan, Flickr).

A band marches down the field creating an amazing sound that bolsters the crowd. That sound travels as a wave that can be interpreted using trigonometric functions. For example, **Figure 7.20** represents a sound wave for the musical note A. In this section, we will investigate trigonometric identities that are the foundation of everyday phenomena such as sound waves.



Expressing Products as Sums

We have already learned a number of formulas useful for expanding or simplifying trigonometric expressions, but sometimes we may need to express the product of cosine and sine as a sum. We can use the product-to-sum formulas, which express products of trigonometric functions as sums. Let's investigate the cosine identity first and then the sine identity.

Expressing Products as Sums for Cosine

We can derive the product-to-sum formula from the sum and difference identities for cosine. If we add the two equations, we get:

$$\cos \alpha \cos \beta + \sin \alpha \sin \beta = \cos(\alpha - \beta)$$

$$+ \cos \alpha \cos \beta - \sin \alpha \sin \beta = \cos(\alpha + \beta)$$

$$2 \cos \alpha \cos \beta = \cos(\alpha - \beta) + \cos(\alpha + \beta)$$

Then, we divide by 2 to isolate the product of cosines:

$$\cos \alpha \cos \beta = \frac{1}{2} [\cos(\alpha - \beta) + \cos(\alpha + \beta)]$$



Given a product of cosines, express as a sum.

- 1. Write the formula for the product of cosines.
- 2. Substitute the given angles into the formula.
- 3. Simplify.

Example 7.31

Writing the Product as a Sum Using the Product-to-Sum Formula for Cosine

Write the following product of cosines as a sum: $2\cos(\frac{7x}{2})\cos(\frac{3x}{2})$.

Solution

We begin by writing the formula for the product of cosines:

$$\cos \alpha \cos \beta = \frac{1}{2} [\cos(\alpha - \beta) + \cos(\alpha + \beta)]$$

We can then substitute the given angles into the formula and simplify.

$$2\cos\left(\frac{7x}{2}\right)\cos\left(\frac{3x}{2}\right) = (2)\left(\frac{1}{2}\left[\cos\left(\frac{7x}{2} - \frac{3x}{2}\right) + \cos\left(\frac{7x}{2} + \frac{3x}{2}\right)\right]$$
$$= \left[\cos\left(\frac{4x}{2}\right) + \cos\left(\frac{10x}{2}\right)\right]$$
$$= \cos 2x + \cos 5x$$



7.16 Use the product-to-sum formula to write the product as a sum or difference: $\cos(2\theta)\cos(4\theta)$.

Expressing the Product of Sine and Cosine as a Sum

Next, we will derive the product-to-sum formula for sine and cosine from the sum and difference formulas for sine. If we add the sum and difference identities, we get:

$$\sin(\alpha + \beta) = \sin \alpha \cos \beta + \cos \alpha \sin \beta$$

$$+ \sin(\alpha - \beta) = \sin \alpha \cos \beta - \cos \alpha \sin \beta$$

$$\sin(\alpha + \beta) + \sin(\alpha - \beta) = 2 \sin \alpha \cos \beta$$

Then, we divide by 2 to isolate the product of cosine and sine:

$$\sin \alpha \cos \beta = \frac{1}{2} [\sin(\alpha + \beta) + \sin(\alpha - \beta)]$$

Writing the Product as a Sum Containing only Sine or Cosine

Express the following product as a sum containing only sine or cosine and no products: $\sin(4\theta)\cos(2\theta)$.

Solution

Write the formula for the product of sine and cosine. Then substitute the given values into the formula and simplify.

$$\sin \alpha \cos \beta = \frac{1}{2} [\sin(\alpha + \beta) + \sin(\alpha - \beta)]$$

$$\sin(4\theta)\cos(2\theta) = \frac{1}{2} [\sin(4\theta + 2\theta) + \sin(4\theta - 2\theta)]$$

$$= \frac{1}{2} [\sin(6\theta) + \sin(2\theta)]$$



7.17 Use the product-to-sum formula to write the product as a sum: sin(x + y)cos(x - y).

Expressing Products of Sines in Terms of Cosine

Expressing the product of sines in terms of cosine is also derived from the sum and difference identities for cosine. In this case, we will first subtract the two cosine formulas:

$$\cos(\alpha - \beta) = \cos \alpha \cos \beta + \sin \alpha \sin \beta$$

$$- \cos(\alpha + \beta) = -(\cos \alpha \cos \beta - \sin \alpha \sin \beta)$$

$$\cos(\alpha - \beta) - \cos(\alpha + \beta) = 2\sin \alpha \sin \beta$$

Then, we divide by 2 to isolate the product of sines:

$$\sin \alpha \sin \beta = \frac{1}{2} [\cos(\alpha - \beta) - \cos(\alpha + \beta)]$$

Similarly we could express the product of cosines in terms of sine or derive other product-to-sum formulas.

The Product-to-Sum Formulas

The **product-to-sum formulas** are as follows:

$$\cos \alpha \cos \beta = \frac{1}{2} [\cos(\alpha - \beta) + \cos(\alpha + \beta)]$$
 (7.33)

$$\sin \alpha \cos \beta = \frac{1}{2} [\sin(\alpha + \beta) + \sin(\alpha - \beta)]$$
 (7.34)

$$\sin \alpha \sin \beta = \frac{1}{2} [\cos(\alpha - \beta) - \cos(\alpha + \beta)] \tag{7.35}$$

$$\cos \alpha \sin \beta = \frac{1}{2} [\sin(\alpha + \beta) - \sin(\alpha - \beta)]$$
 (7.36)

Example 7.33

Express the Product as a Sum or Difference

Write $cos(3\theta) cos(5\theta)$ as a sum or difference.

Solution

We have the product of cosines, so we begin by writing the related formula. Then we substitute the given angles and simplify.

$$\cos \alpha \cos \beta = \frac{1}{2} [\cos(\alpha - \beta) + \cos(\alpha + \beta)]$$

$$\cos(3\theta)\cos(5\theta) = \frac{1}{2} [\cos(3\theta - 5\theta) + \cos(3\theta + 5\theta)]$$

$$= \frac{1}{2} [\cos(2\theta) + \cos(8\theta)]$$
 Use even-odd identity.



7.18 Use the product-to-sum formula to evaluate $\cos \frac{11\pi}{12} \cos \frac{\pi}{12}$.

Expressing Sums as Products

Some problems require the reverse of the process we just used. The sum-to-product formulas allow us to express sums of sine or cosine as products. These formulas can be derived from the product-to-sum identities. For example, with a few substitutions, we can derive the sum-to-product identity for sine. Let $\frac{u+v}{2} = \alpha$ and $\frac{u-v}{2} = \beta$.

Then,

$$\alpha + \beta = \frac{u+v}{2} + \frac{u-v}{2}$$

$$= \frac{2u}{2}$$

$$= u$$

$$\alpha - \beta = \frac{u+v}{2} - \frac{u-v}{2}$$

$$= \frac{2v}{2}$$

$$= v$$

Thus, replacing α and β in the product-to-sum formula with the substitute expressions, we have

$$\sin \alpha \cos \beta = \frac{1}{2} [\sin(\alpha + \beta) + \sin(\alpha - \beta)]$$

$$\sin\left(\frac{u+v}{2}\right) \cos\left(\frac{u-v}{2}\right) = \frac{1}{2} [\sin u + \sin v]$$
Substitute for $(\alpha + \beta)$ and $(\alpha - \beta)$

$$2\sin\left(\frac{u+v}{2}\right) \cos\left(\frac{u-v}{2}\right) = \sin u + \sin v$$

The other sum-to-product identities are derived similarly.

Sum-to-Product Formulas

The **sum-to-product formulas** are as follows:

$$\sin \alpha + \sin \beta = 2\sin\left(\frac{\alpha + \beta}{2}\right)\cos\left(\frac{\alpha - \beta}{2}\right) \tag{7.37}$$

$$\sin \alpha - \sin \beta = 2\sin\left(\frac{\alpha - \beta}{2}\right)\cos\left(\frac{\alpha + \beta}{2}\right) \tag{7.38}$$

$$\cos \alpha - \cos \beta = -2\sin\left(\frac{\alpha + \beta}{2}\right)\sin\left(\frac{\alpha - \beta}{2}\right) \tag{7.39}$$

$$\cos \alpha + \cos \beta = 2\cos\left(\frac{\alpha + \beta}{2}\right)\cos\left(\frac{\alpha - \beta}{2}\right) \tag{7.40}$$

Writing the Difference of Sines as a Product

Write the following difference of sines expression as a product: $\sin(4\theta) - \sin(2\theta)$.

Solution

We begin by writing the formula for the difference of sines.

$$\sin \alpha - \sin \beta = 2\sin\left(\frac{\alpha - \beta}{2}\right)\cos\left(\frac{\alpha + \beta}{2}\right)$$

Substitute the values into the formula, and simplify.

$$\sin(4\theta) - \sin(2\theta) = 2\sin\left(\frac{4\theta - 2\theta}{2}\right)\cos\left(\frac{4\theta + 2\theta}{2}\right)$$
$$= 2\sin\left(\frac{2\theta}{2}\right)\cos\left(\frac{6\theta}{2}\right)$$
$$= 2\sin\theta\cos(3\theta)$$



7.19 Use the sum-to-product formula to write the sum as a product: $\sin(3\theta) + \sin(\theta)$.

Example 7.35

Evaluating Using the Sum-to-Product Formula

Evaluate $cos(15^{\circ}) - cos(75^{\circ})$.

Solution

We begin by writing the formula for the difference of cosines.

$$\cos \alpha - \cos \beta = -2 \sin \left(\frac{\alpha + \beta}{2} \right) \sin \left(\frac{\alpha - \beta}{2} \right)$$

Then we substitute the given angles and simplify.

$$\cos(15^\circ) - \cos(75^\circ) = -2\sin\left(\frac{15^\circ + 75^\circ}{2}\right)\sin\left(\frac{15^\circ - 75^\circ}{2}\right)$$
$$= -2\sin(45^\circ)\sin(-30^\circ)$$
$$= -2\left(\frac{\sqrt{2}}{2}\right)\left(-\frac{1}{2}\right)$$
$$= \frac{\sqrt{2}}{2}$$

Proving an Identity

Prove the identity:

$$\frac{\cos(4t) - \cos(2t)}{\sin(4t) + \sin(2t)} = -\tan t$$

Solution

We will start with the left side, the more complicated side of the equation, and rewrite the expression until it matches the right side.

$$\frac{\cos(4t) - \cos(2t)}{\sin(4t) + \sin(2t)} = \frac{-2\sin\left(\frac{4t + 2t}{2}\right)\sin\left(\frac{4t - 2t}{2}\right)}{2\sin\left(\frac{4t + 2t}{2}\right)\cos\left(\frac{4t - 2t}{2}\right)}$$

$$= \frac{-2\sin(3t)\sin t}{2\sin(3t)\cos t}$$

$$= \frac{-2\sin(3t)\sin t}{2\sin(3t)\cos t}$$

$$= -\frac{\sin t}{\cos t}$$

$$= -\tan t$$

Analysis

Recall that verifying trigonometric identities has its own set of rules. The procedures for solving an equation are not the same as the procedures for verifying an identity. When we prove an identity, we pick one side to work on and make substitutions until that side is transformed into the other side.

Example 7.37

Verifying the Identity Using Double-Angle Formulas and Reciprocal Identities

Verify the identity $\csc^2 \theta - 2 = \frac{\cos(2\theta)}{\sin^2 \theta}$.

Solution

For verifying this equation, we are bringing together several of the identities. We will use the double-angle formula and the reciprocal identities. We will work with the right side of the equation and rewrite it until it matches the left side.

$$\frac{\cos(2\theta)}{\sin^2 \theta} = \frac{1 - 2\sin^2 \theta}{\sin^2 \theta}$$
$$= \frac{1}{\sin^2 \theta} - \frac{2\sin^2 \theta}{\sin^2 \theta}$$
$$= \csc^2 \theta - 2$$



7.20 Verify the identity $\tan \theta \cot \theta - \cos^2 \theta = \sin^2 \theta$.



Access these online resources for additional instruction and practice with the product-to-sum and sum-to-product identities.

- Sum to Product Identities (http://openstaxcollege.org/l/sumtoprod)
- Sum to Product and Product to Sum Identities (http://openstaxcollege.org/l/sumtpptsum)

7.4 EXERCISES

Verbal

- 162. Starting with the product to sum formula $\sin \alpha \cos \beta = \frac{1}{2} [\sin(\alpha + \beta) + \sin(\alpha \beta)]$, explain how to determine the formula for $\cos \alpha \sin \beta$.
- 163. Explain two different methods of calculating $\cos(195^\circ)\cos(105^\circ)$, one of which uses the product to sum. Which method is easier?
- 164. Explain a situation where we would convert an equation from a sum to a product and give an example.
- 165. Explain a situation where we would convert an equation from a product to a sum, and give an example.

Algebraic

For the following exercises, rewrite the product as a sum or difference.

- 166. $16\sin(16x)\sin(11x)$
- 167. $20\cos(36t)\cos(6t)$
- 168. $2\sin(5x)\cos(3x)$
- 169. $10\cos(5x)\sin(10x)$
- 170. $\sin(-x)\sin(5x)$
- 171. $\sin(3x)\cos(5x)$

For the following exercises, rewrite the sum or difference as a product.

- 172. $\cos(6t) + \cos(4t)$
- 173. $\sin(3x) + \sin(7x)$
- 174. $\cos(7x) + \cos(-7x)$
- 175. $\sin(3x) \sin(-3x)$
- 176. $\cos(3x) + \cos(9x)$
- 177. $\sin h \sin(3h)$

For the following exercises, evaluate the product for the following using a sum or difference of two functions. Evaluate exactly.

- 178. $\cos(45^{\circ})\cos(15^{\circ})$
- 179.

$$cos(45^\circ)sin(15^\circ)$$

- 180. $\sin(-345^{\circ})\sin(-15^{\circ})$
- 181. $\sin(195^{\circ})\cos(15^{\circ})$
- 182. $\sin(-45^{\circ})\sin(-15^{\circ})$

For the following exercises, evaluate the product using a sum or difference of two functions. Leave in terms of sine and cosine.

- 183. $\cos(23^{\circ})\sin(17^{\circ})$
- 184. $2\sin(100^{\circ})\sin(20^{\circ})$
- 185. $2\sin(-100^{\circ})\sin(-20^{\circ})$
- 186. sin(213°)cos(8°)
- 187. $2\cos(56^{\circ})\cos(47^{\circ})$

For the following exercises, rewrite the sum as a product of two functions. Leave in terms of sine and cosine.

- 188. $\sin(76^{\circ}) + \sin(14^{\circ})$
- 189. $\cos(58^{\circ}) \cos(12^{\circ})$
- 190. $\sin(101^{\circ}) \sin(32^{\circ})$
- 191. $\cos(100^\circ) + \cos(200^\circ)$
- 192. $\sin(-1^{\circ}) + \sin(-2^{\circ})$

For the following exercises, prove the identity.

- $\frac{193.}{\cos(a+b)} = \frac{1 \tan a \tan b}{1 + \tan a \tan b}$
- 194. $4\sin(3x)\cos(4x) = 2\sin(7x) 2\sin x$
- 195. $\frac{6\cos(8x)\sin(2x)}{\sin(-6x)} = -3\sin(10x)\csc(6x) + 3$
- 196. $\sin x + \sin(3x) = 4 \sin x \cos^2 x$
- 197. $2(\cos^3 x \cos x \sin^2 x) = \cos(3x) + \cos x$
- 198. $2 \tan x \cos(3x) = \sec x (\sin(4x) \sin(2x))$
- 199. $\cos(a+b) + \cos(a-b) = 2\cos a \cos b$

Numeric

For the following exercises, rewrite the sum as a product of two functions or the product as a sum of two functions. Give your answer in terms of sines and cosines. Then evaluate the final answer numerically, rounded to four decimal places.

200.
$$\cos(58^{\circ}) + \cos(12^{\circ})$$

201.
$$\sin(2^{\circ}) - \sin(3^{\circ})$$

202.
$$\cos(44^\circ) - \cos(22^\circ)$$

203.
$$\cos(176^{\circ})\sin(9^{\circ})$$

204.
$$\sin(-14^{\circ})\sin(85^{\circ})$$

Technology

For the following exercises, algebraically determine whether each of the given expressions is a true identity. If it is not an identity, replace the right-hand side with an expression equivalent to the left side. Verify the results by graphing both expressions on a calculator.

205.
$$2\sin(2x)\sin(3x) = \cos x - \cos(5x)$$

206.
$$\frac{\cos(10\theta) + \cos(6\theta)}{\cos(6\theta) - \cos(10\theta)} = \cot(2\theta)\cot(8\theta)$$

207.
$$\frac{\sin(3x) - \sin(5x)}{\cos(3x) + \cos(5x)} = \tan x$$

208.
$$2\cos(2x)\cos x + \sin(2x)\sin x = 2\sin x$$

209.
$$\frac{\sin(2x) + \sin(4x)}{\sin(2x) - \sin(4x)} = -\tan(3x)\cot x$$

For the following exercises, simplify the expression to one term, then graph the original function and your simplified version to verify they are identical.

210.
$$\frac{\sin(9t) - \sin(3t)}{\cos(9t) + \cos(3t)}$$

211.
$$2\sin(8x)\cos(6x) - \sin(2x)$$

212.
$$\frac{\sin(3x) - \sin x}{\sin x}$$

213.
$$\frac{\cos(5x) + \cos(3x)}{\sin(5x) + \sin(3x)}$$

214.
$$\sin x \cos(15x) - \cos x \sin(15x)$$

Extensions

For the following exercises, prove the following sum-to-product formulas.

215.
$$\sin x - \sin y = 2\sin\left(\frac{x-y}{2}\right)\cos\left(\frac{x+y}{2}\right)$$

216.
$$\cos x + \cos y = 2\cos(\frac{x+y}{2})\cos(\frac{x-y}{2})$$

For the following exercises, prove the identity.

217.
$$\frac{\sin(6x) + \sin(4x)}{\sin(6x) - \sin(4x)} = \tan(5x)\cot x$$

218.
$$\frac{\cos(3x) + \cos x}{\cos(3x) - \cos x} = -\cot(2x)\cot x$$

219.
$$\frac{\cos(6y) + \cos(8y)}{\sin(6y) - \sin(4y)} = \cot y \cos(7y)\sec(5y)$$

220.
$$\frac{\cos(2y) - \cos(4y)}{\sin(2y) + \sin(4y)} = \tan y$$

221.
$$\frac{\sin(10x) - \sin(2x)}{\cos(10x) + \cos(2x)} = \tan(4x)$$

$$222. \quad \cos x - \cos(3x) = 4\sin^2 x \cos x$$

223.
$$(\cos(2x) - \cos(4x))^2 + (\sin(4x) + \sin(2x))^2 = 4\sin^2(3x)$$

224.
$$\tan\left(\frac{\pi}{4} - t\right) = \frac{1 - \tan t}{1 + \tan t}$$

7.5 | Solving Trigonometric Equations

Learning Objectives

In this section, you will:

- **7.5.1** Solve linear trigonometric equations in sine and cosine.
- 7.5.2 Solve equations involving a single trigonometric function.
- 7.5.3 Solve trigonometric equations using a calculator.
- 7.5.4 Solve trigonometric equations that are quadratic in form.
- 7.5.5 Solve trigonometric equations using fundamental identities.
- 7.5.6 Solve trigonometric equations with multiple angles.
- 7.5.7 Solve right triangle problems.



Figure 7.21 Egyptian pyramids standing near a modern city. (credit: Oisin Mulvihill)

Thales of Miletus (circa 625–547 BC) is known as the founder of geometry. The legend is that he calculated the height of the Great Pyramid of Giza in Egypt using the theory of *similar triangles*, which he developed by measuring the shadow of his staff. Based on proportions, this theory has applications in a number of areas, including fractal geometry, engineering, and architecture. Often, the angle of elevation and the angle of depression are found using similar triangles.

In earlier sections of this chapter, we looked at trigonometric identities. Identities are true for all values in the domain of the variable. In this section, we begin our study of trigonometric equations to study real-world scenarios such as the finding the dimensions of the pyramids.

Solving Linear Trigonometric Equations in Sine and Cosine

Trigonometric equations are, as the name implies, equations that involve trigonometric functions. Similar in many ways to solving polynomial equations or rational equations, only specific values of the variable will be solutions, if there are solutions at all. Often we will solve a trigonometric equation over a specified interval. However, just as often, we will be asked to find all possible solutions, and as trigonometric functions are periodic, solutions are repeated within each period. In other words, trigonometric equations may have an infinite number of solutions. Additionally, like rational equations, the domain of the function must be considered before we assume that any solution is valid. The period of both the sine function and the cosine function is 2π . In other words, every 2π units, the *y*-values repeat. If we need to find all possible solutions, then we must add $2\pi k$, where k is an integer, to the initial solution. Recall the rule that gives the format for stating all possible solutions for a function where the period is 2π :

$$\sin\theta = \sin(\theta \pm 2k\pi)$$

There are similar rules for indicating all possible solutions for the other trigonometric functions. Solving trigonometric equations requires the same techniques as solving algebraic equations. We read the equation from left to right, horizontally, like a sentence. We look for known patterns, factor, find common denominators, and substitute certain expressions with

a variable to make solving a more straightforward process. However, with trigonometric equations, we also have the advantage of using the identities we developed in the previous sections.

Example 7.38

Solving a Linear Trigonometric Equation Involving the Cosine Function

Find all possible exact solutions for the equation $\cos \theta = \frac{1}{2}$.

Solution

From the unit circle, we know that

$$\cos \theta = \frac{1}{2}$$

$$\theta = \frac{\pi}{3}, \frac{5\pi}{3}$$

These are the solutions in the interval $[0, 2\pi]$. All possible solutions are given by

$$\theta = \frac{\pi}{3} \pm 2k\pi$$
 and $\theta = \frac{5\pi}{3} \pm 2k\pi$

where k is an integer.

Example 7.39

Solving a Linear Equation Involving the Sine Function

Find all possible exact solutions for the equation $\sin t = \frac{1}{2}$.

Solution

Solving for all possible values of t means that solutions include angles beyond the period of 2π . From **Figure 7.7**, we can see that the solutions are $t = \frac{\pi}{6}$ and $t = \frac{5\pi}{6}$. But the problem is asking for all possible values that solve the equation. Therefore, the answer is

$$t = \frac{\pi}{6} \pm 2\pi k$$
 and $t = \frac{5\pi}{6} \pm 2\pi k$

where k is an integer.



Given a trigonometric equation, solve using algebra.

- 1. Look for a pattern that suggests an algebraic property, such as the difference of squares or a factoring opportunity.
- 2. Substitute the trigonometric expression with a single variable, such as x or u.
- 3. Solve the equation the same way an algebraic equation would be solved.
- 4. Substitute the trigonometric expression back in for the variable in the resulting expressions.
- 5. Solve for the angle.

Solve the Trigonometric Equation in Linear Form

Solve the equation exactly: $2\cos\theta - 3 = -5$, $0 \le \theta < 2\pi$.

Solution

Use algebraic techniques to solve the equation.

$$2\cos\theta - 3 = -5$$
$$2\cos\theta = -2$$
$$\cos\theta = -1$$
$$\theta = \pi$$



7.21 Solve exactly the following linear equation on the interval $[0, 2\pi)$: $2\sin x + 1 = 0$.

Solving Equations Involving a Single Trigonometric Function

When we are given equations that involve only one of the six trigonometric functions, their solutions involve using algebraic techniques and the unit circle (see **Figure 7.7**). We need to make several considerations when the equation involves trigonometric functions other than sine and cosine. Problems involving the reciprocals of the primary trigonometric functions need to be viewed from an algebraic perspective. In other words, we will write the reciprocal function, and solve for the angles using the function. Also, an equation involving the tangent function is slightly different from one containing a sine or cosine function. First, as we know, the period of tangent is π , not 2π . Further, the domain of tangent is all real numbers with the exception of odd integer multiples of $\frac{\pi}{2}$, unless, of course, a problem places its own restrictions on the domain.

Example 7.41

Solving a Problem Involving a Single Trigonometric Function

Solve the problem exactly: $2\sin^2\theta - 1 = 0$, $0 \le \theta < 2\pi$.

Solution

As this problem is not easily factored, we will solve using the square root property. First, we use algebra to isolate $\sin \theta$. Then we will find the angles.

$$2\sin^2\theta - 1 = 0$$

$$2\sin^2\theta = 1$$

$$\sin^2\theta = \frac{1}{2}$$

$$\sqrt{\sin^2\theta} = \pm\sqrt{\frac{1}{2}}$$

$$\sin\theta = \pm\frac{1}{\sqrt{2}} = \pm\frac{\sqrt{2}}{2}$$

$$\theta = \frac{\pi}{4}, \frac{3\pi}{4}, \frac{5\pi}{4}, \frac{7\pi}{4}$$

Solving a Trigonometric Equation Involving Cosecant

Solve the following equation exactly: $\csc \theta = -2$, $0 \le \theta < 4\pi$.

Solution

We want all values of θ for which $\csc \theta = -2$ over the interval $0 \le \theta < 4\pi$.

$$\csc \theta = -2$$

$$\frac{1}{\sin \theta} = -2$$

$$\sin \theta = -\frac{1}{2}$$

$$\theta = \frac{7\pi}{6}, \frac{11\pi}{6}, \frac{19\pi}{6}, \frac{23\pi}{6}$$

Analysis

As $\sin \theta = -\frac{1}{2}$, notice that all four solutions are in the third and fourth quadrants.

Example 7.43

Solving an Equation Involving Tangent

Solve the equation exactly: $\tan\left(\theta - \frac{\pi}{2}\right) = 1, \ 0 \le \theta < 2\pi.$

Solution

Recall that the tangent function has a period of π . On the interval $[0, \pi)$, and at the angle of $\frac{\pi}{4}$, the tangent has a value of 1. However, the angle we want is $\left(\theta - \frac{\pi}{2}\right)$. Thus, if $\tan\left(\frac{\pi}{4}\right) = 1$, then

$$\theta - \frac{\pi}{2} = \frac{\pi}{4}$$
$$\theta = \frac{3\pi}{4} \pm k\pi$$

Over the interval $[0, 2\pi)$, we have two solutions:

$$\theta = \frac{3\pi}{4}$$
 and $\theta = \frac{3\pi}{4} + \pi = \frac{7\pi}{4}$



7.22 Find all solutions for $\tan x = \sqrt{3}$.

Example 7.44

Identify all Solutions to the Equation Involving Tangent

Identify all exact solutions to the equation $2(\tan x + 3) = 5 + \tan x$, $0 \le x < 2\pi$.

Solution

We can solve this equation using only algebra. Isolate the expression tan *x* on the left side of the equals sign.

$$2(\tan x) + 2(3) = 5 + \tan x$$

$$2\tan x + 6 = 5 + \tan x$$

$$2\tan x - \tan x = 5 - 6$$

$$\tan x = -1$$

There are two angles on the unit circle that have a tangent value of -1: $\theta = \frac{3\pi}{4}$ and $\theta = \frac{7\pi}{4}$.

Solve Trigonometric Equations Using a Calculator

Not all functions can be solved exactly using only the unit circle. When we must solve an equation involving an angle other than one of the special angles, we will need to use a calculator. Make sure it is set to the proper mode, either degrees or radians, depending on the criteria of the given problem.

Example 7.45

Using a Calculator to Solve a Trigonometric Equation Involving Sine

Use a calculator to solve the equation $\sin \theta = 0.8$, where θ is in radians.

Solution

Make sure mode is set to radians. To find θ , use the inverse sine function. On most calculators, you will need to push the 2^{ND} button and then the SIN button to bring up the \sin^{-1} function. What is shown on the screen is $\sin^{-1}($. The calculator is ready for the input within the parentheses. For this problem, we enter $\sin^{-1}(0.8)$, and press ENTER. Thus, to four decimals places,

$$\sin^{-1}(0.8) \approx 0.9273$$

The solution is

$$\theta \approx 0.9273 \pm 2\pi k$$

The angle measurement in degrees is

$$\theta \approx 53.1^{\circ}$$

 $\theta \approx 180^{\circ} - 53.1^{\circ}$
 $\approx 126.9^{\circ}$

Analysis

Note that a calculator will only return an angle in quadrants I or IV for the sine function, since that is the range of the inverse sine. The other angle is obtained by using $\pi - \theta$.

Using a Calculator to Solve a Trigonometric Equation Involving Secant

Use a calculator to solve the equation $\sec \theta = -4$, giving your answer in radians.

Solution

We can begin with some algebra.

$$\sec \theta = -4$$

$$\frac{1}{\cos \theta} = -4$$

$$\cos \theta = -\frac{1}{4}$$

Check that the MODE is in radians. Now use the inverse cosine function.

$$\cos^{-1}\left(-\frac{1}{4}\right) \approx 1.8235$$
$$\theta \approx 1.8235 + 2\pi k$$

Since $\frac{\pi}{2} \approx 1.57$ and $\pi \approx 3.14$, 1.8235 is between these two numbers, thus $\theta \approx 1.8235$ is in quadrant II.

Cosine is also negative in quadrant III. Note that a calculator will only return an angle in quadrants I or II for the cosine function, since that is the range of the inverse cosine. See **Figure 7.22**.

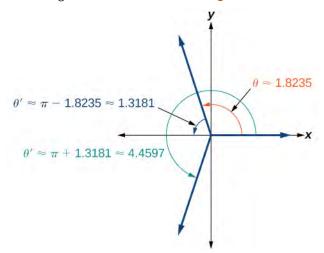


Figure 7.22

So, we also need to find the measure of the angle in quadrant III. In quadrant III, the reference angle is $\theta' \approx \pi - 1.8235 \approx 1.3181$. The other solution in quadrant III is $\theta' \approx \pi + 1.3181 \approx 4.4597$.

The solutions are $\theta \approx 1.8235 \pm 2\pi k$ and $\theta \approx 4.4597 \pm 2\pi k$.



7.23 Solve $\cos \theta = -0.2$.

Solving Trigonometric Equations in Quadratic Form

Solving a quadratic equation may be more complicated, but once again, we can use algebra as we would for any quadratic equation. Look at the pattern of the equation. Is there more than one trigonometric function in the equation, or is there only one? Which trigonometric function is squared? If there is only one function represented and one of the terms is squared, think about the standard form of a quadratic. Replace the trigonometric function with a variable such as x or u. If substitution makes the equation look like a quadratic equation, then we can use the same methods for solving quadratics to solve the trigonometric equations.

Example 7.47

Solving a Trigonometric Equation in Quadratic Form

Solve the equation exactly: $\cos^2 \theta + 3\cos \theta - 1 = 0$, $0 \le \theta < 2\pi$.

Solution

We begin by using substitution and replacing $\cos \theta$ with x. It is not necessary to use substitution, but it may make the problem easier to solve visually. Let $\cos \theta = x$. We have

$$x^2 + 3x - 1 = 0$$

The equation cannot be factored, so we will use the quadratic formula $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$.

$$x = \frac{-3 \pm \sqrt{(-3)^2 - 4(1)(-1)}}{2}$$
$$= \frac{-3 \pm \sqrt{13}}{2}$$

Replace x with $\cos \theta$, and solve. Thus,

$$\cos \theta = \frac{-3 \pm \sqrt{13}}{2}$$
$$\theta = \cos^{-1} \left(\frac{-3 + \sqrt{13}}{2} \right)$$

Note that only the + sign is used. This is because we get an error when we solve $\theta = \cos^{-1}\left(\frac{-3 - \sqrt{13}}{2}\right)$ on a calculator, since the domain of the inverse cosine function is [-1, 1]. However, there is a second solution:

$$\theta = \cos^{-1}\left(\frac{-3 + \sqrt{13}}{2}\right)$$

$$\approx 1.26$$

This terminal side of the angle lies in quadrant I. Since cosine is also positive in quadrant IV, the second solution is

$$\theta = 2\pi - \cos^{-1}\left(\frac{-3 + \sqrt{13}}{2}\right)$$
$$\approx 5.02$$

Solving a Trigonometric Equation in Quadratic Form by Factoring

Solve the equation exactly: $2\sin^2\theta - 5\sin\theta + 3 = 0$, $0 \le \theta \le 2\pi$.

Solution

Using grouping, this quadratic can be factored. Either make the real substitution, $\sin \theta = u$, or imagine it, as we factor:

$$2\sin^2\theta - 5\sin\theta + 3 = 0$$
$$(2\sin\theta - 3)(\sin\theta - 1) = 0$$

Now set each factor equal to zero.

$$2\sin\theta - 3 = 0$$
$$2\sin\theta = 3$$
$$\sin\theta = \frac{3}{2}$$

$$\sin \theta - 1 = 0$$
$$\sin \theta = 1$$

Next solve for θ : $\sin \theta \neq \frac{3}{2}$, as the range of the sine function is [-1, 1]. However, $\sin \theta = 1$, giving the solution $\theta = \frac{\pi}{2}$.

Analysis

Make sure to check all solutions on the given domain as some factors have no solution.



7.24 Solve $\sin^2 \theta = 2\cos \theta + 2$, $0 \le \theta \le 2\pi$. [Hint: Make a substitution to express the equation only in terms of cosine.]

Example 7.49

Solving a Trigonometric Equation Using Algebra

Solve exactly:

$$2\sin^2\theta + \sin\theta = 0; \ 0 \le \theta < 2\pi$$

Solution

This problem should appear familiar as it is similar to a quadratic. Let $\sin \theta = x$. The equation becomes $2x^2 + x = 0$. We begin by factoring:

$$2x^2 + x = 0$$
$$x(2x+1) = 0$$

Set each factor equal to zero.

$$x = 0$$

$$(2x + 1) = 0$$

$$x = -\frac{1}{2}$$

Then, substitute back into the equation the original expression $\sin\theta$ for x. Thus,

$$\sin \theta = 0$$

$$\theta = 0, \pi$$

$$\sin \theta = -\frac{1}{2}$$

$$\theta = \frac{7\pi}{6}, \frac{11\pi}{6}$$

The solutions within the domain $0 \le \theta < 2\pi$ are $\theta = 0$, π , $\frac{7\pi}{6}$, $\frac{11\pi}{6}$.

If we prefer not to substitute, we can solve the equation by following the same pattern of factoring and setting each factor equal to zero.

$$2\sin^{2}\theta + \sin\theta = 0$$

$$\sin\theta(2\sin\theta + 1) = 0$$

$$\theta = 0, \pi$$

$$2\sin\theta + 1 = 0$$

$$2\sin\theta = -1$$

$$\sin\theta = -\frac{1}{2}$$

$$\theta = \frac{7\pi}{6}, \frac{11\pi}{6}$$

Analysis

We can see the solutions on the graph in **Figure 7.23**. On the interval $0 \le \theta < 2\pi$, the graph crosses the *x*-axis four times, at the solutions noted. Notice that trigonometric equations that are in quadratic form can yield up to four solutions instead of the expected two that are found with quadratic equations. In this example, each solution (angle) corresponding to a positive sine value will yield two angles that would result in that value.

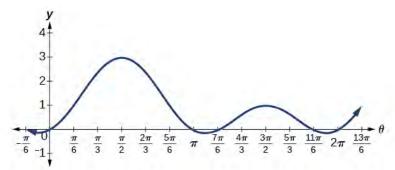


Figure 7.23

We can verify the solutions on the unit circle in Figure 7.7 as well.

Solving a Trigonometric Equation Quadratic in Form

Solve the equation quadratic in form exactly: $2\sin^2\theta - 3\sin\theta + 1 = 0$, $0 \le \theta < 2\pi$.

Solution

We can factor using grouping. Solution values of θ can be found on the unit circle:

$$(2\sin\theta - 1)(\sin\theta - 1) = 0$$

$$2\sin\theta - 1 = 0$$

$$\sin\theta = \frac{1}{2}$$

$$\theta = \frac{\pi}{6}, \frac{5\pi}{6}$$

$$\sin\theta = 1$$

$$\theta = \frac{\pi}{2}$$



7.25 Solve the quadratic equation $2\cos^2\theta + \cos\theta = 0$.

Solving Trigonometric Equations Using Fundamental Identities

While algebra can be used to solve a number of trigonometric equations, we can also use the fundamental identities because they make solving equations simpler. Remember that the techniques we use for solving are not the same as those for verifying identities. The basic rules of algebra apply here, as opposed to rewriting one side of the identity to match the other side. In the next example, we use two identities to simplify the equation.

Example 7.51

Use Identities to Solve an Equation

Use identities to solve exactly the trigonometric equation over the interval $0 \le x < 2\pi$.

$$\cos x \cos(2x) + \sin x \sin(2x) = \frac{\sqrt{3}}{2}$$

Solution

Notice that the left side of the equation is the difference formula for cosine.

$$\cos x \cos(2x) + \sin x \sin(2x) = \frac{\sqrt{3}}{2}$$

$$\cos(x - 2x) = \frac{\sqrt{3}}{2}$$
Difference formula for cosine
$$\cos(-x) = \frac{\sqrt{3}}{2}$$
Use the negative angle identity.
$$\cos x = \frac{\sqrt{3}}{2}$$

From the unit circle in **Figure 7.7**, we see that $\cos x = \frac{\sqrt{3}}{2}$ when $x = \frac{\pi}{6}, \frac{11\pi}{6}$.

Example 7.52

Solving the Equation Using a Double-Angle Formula

Solve the equation exactly using a double-angle formula: $\cos(2\theta) = \cos\theta$.

Solution

We have three choices of expressions to substitute for the double-angle of cosine. As it is simpler to solve for one trigonometric function at a time, we will choose the double-angle identity involving only cosine:

$$\cos(2\theta) = \cos\theta$$

$$2\cos^2\theta - 1 = \cos\theta$$

$$2\cos^2\theta - \cos\theta - 1 = 0$$

$$(2\cos\theta + 1)(\cos\theta - 1) = 0$$

$$2\cos\theta + 1 = 0$$

$$\cos\theta = -\frac{1}{2}$$

$$\cos\theta - 1 = 0$$

$$\cos\theta = 1$$

So, if $\cos \theta = -\frac{1}{2}$, then $\theta = \frac{2\pi}{3} \pm 2\pi k$ and $\theta = \frac{4\pi}{3} \pm 2\pi k$; if $\cos \theta = 1$, then $\theta = 0 \pm 2\pi k$.

Example 7.53

Solving an Equation Using an Identity

Solve the equation exactly using an identity: $3\cos\theta + 3 = 2\sin^2\theta$, $0 \le \theta < 2\pi$.

Solution

If we rewrite the right side, we can write the equation in terms of cosine:

$$3\cos\theta + 3 = 2\sin^2\theta$$

$$3\cos\theta + 3 = 2(1 - \cos^2\theta)$$

$$3\cos\theta + 3 = 2 - 2\cos^2\theta$$

$$2\cos^2\theta + 3\cos\theta + 1 = 0$$

$$(2\cos\theta + 1)(\cos\theta + 1) = 0$$

$$2\cos\theta + 1 = 0$$

$$\cos\theta = -\frac{1}{2}$$

$$\theta = \frac{2\pi}{3}, \frac{4\pi}{3}$$

$$\cos\theta + 1 = 0$$

$$\cos\theta = -1$$

$$\theta = \pi$$

Our solutions are $\theta = \frac{2\pi}{3}, \frac{4\pi}{3}, \pi$.

Solving Trigonometric Equations with Multiple Angles

Sometimes it is not possible to solve a trigonometric equation with identities that have a multiple angle, such as $\sin(2x)$ or $\cos(3x)$. When confronted with these equations, recall that $y = \sin(2x)$ is a horizontal compression by a factor of 2 of the function $y = \sin x$. On an interval of 2π , we can graph two periods of $y = \sin(2x)$, as opposed to one cycle of $y = \sin x$. This compression of the graph leads us to believe there may be twice as many x-intercepts or solutions to $\sin(2x) = 0$ compared to $\sin x = 0$. This information will help us solve the equation.

Example 7.54

Solving a Multiple Angle Trigonometric Equation

Solve exactly: $cos(2x) = \frac{1}{2}$ on $[0, 2\pi)$.

Solution

We can see that this equation is the standard equation with a multiple of an angle. If $\cos(\alpha) = \frac{1}{2}$, we know α is in quadrants I and IV. While $\theta = \cos^{-1}\frac{1}{2}$ will only yield solutions in quadrants I and II, we recognize that the solutions to the equation $\cos\theta = \frac{1}{2}$ will be in quadrants I and IV.

Therefore, the possible angles are $\theta = \frac{\pi}{3}$ and $\theta = \frac{5\pi}{3}$. So, $2x = \frac{\pi}{3}$ or $2x = \frac{5\pi}{3}$, which means that $x = \frac{\pi}{6}$ or $x = \frac{5\pi}{6}$. Does this make sense? Yes, because $\cos\left(2\left(\frac{\pi}{6}\right)\right) = \cos\left(\frac{\pi}{3}\right) = \frac{1}{2}$.

Are there any other possible answers? Let us return to our first step.

In quadrant I, $2x = \frac{\pi}{3}$, so $x = \frac{\pi}{6}$ as noted. Let us revolve around the circle again:

$$2x = \frac{\pi}{3} + 2\pi$$
$$= \frac{\pi}{3} + \frac{6\pi}{3}$$
$$= \frac{7\pi}{3}$$

so
$$x = \frac{7\pi}{6}$$
.

One more rotation yields

$$2x = \frac{\pi}{3} + 4\pi$$
$$= \frac{\pi}{3} + \frac{12\pi}{3}$$
$$= \frac{13\pi}{3}$$

 $x = \frac{13\pi}{6} > 2\pi$, so this value for x is larger than 2π , so it is not a solution on $[0, 2\pi)$.

In quadrant IV, $2x = \frac{5\pi}{3}$, so $x = \frac{5\pi}{6}$ as noted. Let us revolve around the circle again:

$$2x = \frac{5\pi}{3} + 2\pi$$
$$= \frac{5\pi}{3} + \frac{6\pi}{3}$$
$$= \frac{11\pi}{3}$$

so
$$x = \frac{11\pi}{6}$$
.

One more rotation yields

$$2x = \frac{5\pi}{3} + 4\pi$$
$$= \frac{5\pi}{3} + \frac{12\pi}{3}$$
$$= \frac{17\pi}{3}$$

 $x = \frac{17\pi}{6} > 2\pi$, so this value for x is larger than 2π , so it is not a solution on $[0, 2\pi)$.

Our solutions are $x = \frac{\pi}{6}$, $\frac{5\pi}{6}$, $\frac{7\pi}{6}$, and $\frac{11\pi}{6}$. Note that whenever we solve a problem in the form of $\sin(nx) = c$, we must go around the unit circle n times.

Solving Right Triangle Problems

We can now use all of the methods we have learned to solve problems that involve applying the properties of right triangles and the Pythagorean Theorem. We begin with the familiar Pythagorean Theorem, $a^2 + b^2 = c^2$, and model an equation to fit a situation.

Using the Pythagorean Theorem to Model an Equation

Use the Pythagorean Theorem, and the properties of right triangles to model an equation that fits the problem.

One of the cables that anchors the center of the London Eye Ferris wheel to the ground must be replaced. The center of the Ferris wheel is 69.5 meters above the ground, and the second anchor on the ground is 23 meters from the base of the Ferris wheel. Approximately how long is the cable, and what is the angle of elevation (from ground up to the center of the Ferris wheel)? See **Figure 7.24**.

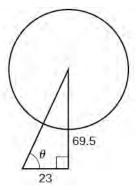


Figure 7.24

Solution

Using the information given, we can draw a right triangle. We can find the length of the cable with the Pythagorean Theorem.

$$a^2 + b^2 = c^2$$

 $(23)^2 + (69.5)^2 \approx 5359$
 $\sqrt{5359} \approx 73.2 \text{ m}$

The angle of elevation is θ , formed by the second anchor on the ground and the cable reaching to the center of the wheel. We can use the tangent function to find its measure. Round to two decimal places.

$$\tan \theta = \frac{69.5}{23}$$

$$\tan^{-1}\left(\frac{69.5}{23}\right) \approx 1.2522$$
$$\approx 71.69^{\circ}$$

The angle of elevation is approximately 71.7° , and the length of the cable is 73.2 meters.

Example 7.56

Using the Pythagorean Theorem to Model an Abstract Problem

OSHA safety regulations require that the base of a ladder be placed 1 foot from the wall for every 4 feet of ladder length. Find the angle that a ladder of any length forms with the ground and the height at which the ladder touches the wall.

Solution

For any length of ladder, the base needs to be a distance from the wall equal to one fourth of the ladder's length. Equivalently, if the base of the ladder is "a" feet from the wall, the length of the ladder will be 4a feet. See **Figure 7.25**.

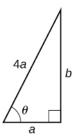


Figure 7.25

The side adjacent to θ is a and the hypotenuse is 4a. Thus,

$$\cos \theta = \frac{a}{4a} = \frac{1}{4}$$
$$\cos^{-1}\left(\frac{1}{4}\right) \approx 75.5^{\circ}$$

The elevation of the ladder forms an angle of 75.5° with the ground. The height at which the ladder touches the wall can be found using the Pythagorean Theorem:

$$a^{2} + b^{2} = (4a)^{2}$$
$$b^{2} = (4a)^{2} - a^{2}$$
$$b^{2} = 16a^{2} - a^{2}$$
$$b^{2} = 15a^{2}$$
$$b = \sqrt{15}a$$

Thus, the ladder touches the wall at $\sqrt{15}a$ feet from the ground.



Access these online resources for additional instruction and practice with solving trigonometric equations.

- Solving Trigonometric Equations I (http://openstaxcollege.org/l/solvetrigeql)
- Solving Trigonometric Equations II (http://openstaxcollege.org/l/solvetrigeqII)
- · Solving Trigonometric Equations III (http://openstaxcollege.org/l/solvetrigeqIII)
- Solving Trigonometric Equations IV (http://openstaxcollege.org/l/solvetrigeqIV)
- Solving Trigonometric Equations V (http://openstaxcollege.org/l/solvetrigeqV)
- Solving Trigonometric Equations VI (http://openstaxcollege.org/l/solvetrigeqVI)

7.5 EXERCISES

Verbal

- 225. Will there always be solutions to trigonometric function equations? If not, describe an equation that would not have a solution. Explain why or why not.
- 226. When solving a trigonometric equation involving more than one trig function, do we always want to try to rewrite the equation so it is expressed in terms of one trigonometric function? Why or why not?
- 227. When solving linear trig equations in terms of only sine or cosine, how do we know whether there will be solutions?

Algebraic

For the following exercises, find all solutions exactly on the interval $0 \le \theta < 2\pi$.

228.
$$2 \sin \theta = -\sqrt{2}$$

229.
$$2 \sin \theta = \sqrt{3}$$

230.
$$2\cos\theta = 1$$

231.
$$2\cos\theta = -\sqrt{2}$$

232.
$$\tan \theta = -1$$

233.
$$\tan x = 1$$

234.
$$\cot x + 1 = 0$$

235.
$$4\sin^2 x - 2 = 0$$

236.
$$\csc^2 x - 4 = 0$$

For the following exercises, solve exactly on $[0, 2\pi)$.

237.
$$2\cos\theta = \sqrt{2}$$

238.
$$2\cos\theta = -1$$

239.
$$2 \sin \theta = -1$$

240.
$$2 \sin \theta = -\sqrt{3}$$

241.
$$2\sin(3\theta) = 1$$

242.
$$2\sin(2\theta) = \sqrt{3}$$

243.
$$2\cos(3\theta) = -\sqrt{2}$$

$$\cos(2\theta) = -\frac{\sqrt{3}}{2}$$

245.
$$2\sin(\pi\theta) = 1$$

246.
$$2\cos\left(\frac{\pi}{5}\theta\right) = \sqrt{3}$$

For the following exercises, find all exact solutions on $[0, 2\pi)$.

247.
$$\sec(x)\sin(x) - 2\sin(x) = 0$$

248.
$$tan(x) - 2 sin(x)tan(x) = 0$$

249.
$$2\cos^2 t + \cos(t) = 1$$

250.
$$2 \tan^2(t) = 3 \sec(t)$$

251.
$$2\sin(x)\cos(x) - \sin(x) + 2\cos(x) - 1 = 0$$

252.
$$\cos^2 \theta = \frac{1}{2}$$

253.
$$\sec^2 x = 1$$

254.
$$\tan^2(x) = -1 + 2\tan(-x)$$

255.
$$8\sin^2(x) + 6\sin(x) + 1 = 0$$

256.
$$\tan^5(x) = \tan(x)$$

For the following exercises, solve with the methods shown in this section exactly on the interval $[0, 2\pi)$.

257.
$$\sin(3x)\cos(6x) - \cos(3x)\sin(6x) = -0.9$$

258.
$$\sin(6x)\cos(11x) - \cos(6x)\sin(11x) = -0.1$$

259.
$$\cos(2x)\cos x + \sin(2x)\sin x = 1$$

260.
$$6\sin(2t) + 9\sin t = 0$$

261.
$$9\cos(2\theta) = 9\cos^2\theta - 4$$

262.
$$\sin(2t) = \cos t$$

263.
$$\cos(2t) = \sin t$$

264.
$$\cos(6x) - \cos(3x) = 0$$

For the following exercises, solve exactly on the interval $[0, 2\pi)$. Use the quadratic formula if the equations do not factor.

265.
$$\tan^2 x - \sqrt{3} \tan x = 0$$

266.
$$\sin^2 x + \sin x - 2 = 0$$

267.
$$\sin^2 x - 2\sin x - 4 = 0$$

268.
$$5\cos^2 x + 3\cos x - 1 = 0$$

269.
$$3\cos^2 x - 2\cos x - 2 = 0$$

270.
$$5\sin^2 x + 2\sin x - 1 = 0$$

271.
$$\tan^2 x + 5\tan x - 1 = 0$$

272.
$$\cot^2 x = -\cot x$$

273.
$$-\tan^2 x - \tan x - 2 = 0$$

For the following exercises, find exact solutions on the interval $[0, 2\pi)$. Look for opportunities to use trigonometric identities.

$$274. \ \sin^2 x - \cos^2 x - \sin x = 0$$

275.
$$\sin^2 x + \cos^2 x = 0$$

276.
$$\sin(2x) - \sin x = 0$$

$$277. \cos(2x) - \cos x = 0$$

278.
$$\frac{2 \tan x}{2 - \sec^2 x} - \sin^2 x = \cos^2 x$$

279.
$$1 - \cos(2x) = 1 + \cos(2x)$$

280.
$$\sec^2 x = 7$$

281.
$$10 \sin x \cos x = 6 \cos x$$

$$282. -3\sin t = 15\cos t\sin t$$

283.
$$4\cos^2 x - 4 = 15\cos x$$

284.
$$8\sin^2 x + 6\sin x + 1 = 0$$

285.
$$8\cos^2\theta = 3 - 2\cos\theta$$

286.
$$6\cos^2 x + 7\sin x - 8 = 0$$

287.

$$12\sin^2 t + \cos t - 6 = 0$$

288.
$$\tan x = 3 \sin x$$

289.
$$\cos^3 t = \cos t$$

Graphical

For the following exercises, algebraically determine all solutions of the trigonometric equation exactly, then verify the results by graphing the equation and finding the zeros.

290.
$$6\sin^2 x - 5\sin x + 1 = 0$$

291.
$$8\cos^2 x - 2\cos x - 1 = 0$$

292.
$$100 \tan^2 x + 20 \tan x - 3 = 0$$

293.
$$2\cos^2 x - \cos x + 15 = 0$$

294.
$$20\sin^2 x - 27\sin x + 7 = 0$$

295.
$$2 \tan^2 x + 7 \tan x + 6 = 0$$

296.
$$130 \tan^2 x + 69 \tan x - 130 = 0$$

Technology

For the following exercises, use a calculator to find all solutions to four decimal places.

297.
$$\sin x = 0.27$$

298.
$$\sin x = -0.55$$

299.
$$\tan x = -0.34$$

300.
$$\cos x = 0.71$$

For the following exercises, solve the equations algebraically, and then use a calculator to find the values on the interval $[0, 2\pi)$. Round to four decimal places.

301.
$$\tan^2 x + 3 \tan x - 3 = 0$$

302.
$$6 \tan^2 x + 13 \tan x = -6$$

303.
$$\tan^2 x - \sec x = 1$$

304.
$$\sin^2 x - 2\cos^2 x = 0$$

305.
$$2 \tan^2 x + 9 \tan x - 6 = 0$$

306.
$$4\sin^2 x + \sin(2x)\sec x - 3 = 0$$

Extensions

For the following exercises, find all solutions exactly to the equations on the interval $[0, 2\pi)$.

307.
$$\csc^2 x - 3\csc x - 4 = 0$$

308.
$$\sin^2 x - \cos^2 x - 1 = 0$$

309.
$$\sin^2 x (1 - \sin^2 x) + \cos^2 x (1 - \sin^2 x) = 0$$

310.
$$3 \sec^2 x + 2 + \sin^2 x - \tan^2 x + \cos^2 x = 0$$

311.
$$\sin^2 x - 1 + 2\cos(2x) - \cos^2 x = 1$$

312.
$$\tan^2 x - 1 - \sec^3 x \cos x = 0$$

$$313. \quad \frac{\sin(2x)}{\sec^2 x} = 0$$

314.
$$\frac{\sin(2x)}{2\csc^2 x} = 0$$

315.
$$2\cos^2 x - \sin^2 x - \cos x - 5 = 0$$

316.
$$\frac{1}{\sec^2 x} + 2 + \sin^2 x + 4\cos^2 x = 4$$

Real-World Applications

- 317. An airplane has only enough gas to fly to a city 200 miles northeast of its current location. If the pilot knows that the city is 25 miles north, how many degrees north of east should the airplane fly?
- 318. If a loading ramp is placed next to a truck, at a height of 4 feet, and the ramp is 15 feet long, what angle does the ramp make with the ground?
- 319. If a loading ramp is placed next to a truck, at a height of 2 feet, and the ramp is 20 feet long, what angle does the ramp make with the ground?
- 320. A woman is watching a launched rocket currently 11 miles in altitude. If she is standing 4 miles from the launch pad, at what angle is she looking up from horizontal?
- 321. An astronaut is in a launched rocket currently 15 miles in altitude. If a man is standing 2 miles from the launch pad, at what angle is she looking down at him from horizontal? (Hint: this is called the angle of depression.)
- 322. A woman is standing 8 meters away from a 10-meter tall building. At what angle is she looking to the top of the building?

- A man is standing 10 meters away from a 6-meter tall building. Someone at the top of the building is looking down at him. At what angle is the person looking at him?
- 324. A 20-foot tall building has a shadow that is 55 feet long. What is the angle of elevation of the sun?
- 325. A 90-foot tall building has a shadow that is 2 feet long. What is the angle of elevation of the sun?
- 326. A spotlight on the ground 3 meters from a 2-meter tall man casts a 6 meter shadow on a wall 6 meters from the man. At what angle is the light?
- 327. A spotlight on the ground 3 feet from a 5-foot tall woman casts a 15-foot tall shadow on a wall 6 feet from the woman. At what angle is the light?

For the following exercises, find a solution to the following word problem algebraically. Then use a calculator to verify the result. Round the answer to the nearest tenth of a degree.

- 328. A person does a handstand with his feet touching a wall and his hands 1.5 feet away from the wall. If the person is 6 feet tall, what angle do his feet make with the wall?
- 329. A person does a handstand with her feet touching a wall and her hands 3 feet away from the wall. If the person is 5 feet tall, what angle do her feet make with the wall?
- 330. A 23-foot ladder is positioned next to a house. If the ladder slips at 7 feet from the house when there is not enough traction, what angle should the ladder make with the ground to avoid slipping?

7.6 | Modeling with Trigonometric Equations

Learning Objectives

In this section, you will:

- **7.6.1** Determine the amplitude and period of sinusoidal functions.
- 7.6.2 Model equations and graph sinusoidal functions.
- 7.6.3 Model periodic behavior.
- 7.6.4 Model harmonic motion functions.



Figure 7.26 The hands on a clock are periodic: they repeat positions every twelve hours. (credit: "zoutedrop"/Flickr)

Suppose we charted the average daily temperatures in New York City over the course of one year. We would expect to find the lowest temperatures in January and February and highest in July and August. This familiar cycle repeats year after year, and if we were to extend the graph over multiple years, it would resemble a periodic function.

Many other natural phenomena are also periodic. For example, the phases of the moon have a period of approximately 28 days, and birds know to fly south at about the same time each year.

So how can we model an equation to reflect periodic behavior? First, we must collect and record data. We then find a function that resembles an observed pattern. Finally, we make the necessary alterations to the function to get a model that is dependable. In this section, we will take a deeper look at specific types of periodic behavior and model equations to fit data.

Determining the Amplitude and Period of a Sinusoidal Function

Any motion that repeats itself in a fixed time period is considered periodic motion and can be modeled by a sinusoidal function. The amplitude of a sinusoidal function is the distance from the midline to the maximum value, or from the midline to the minimum value. The midline is the average value. Sinusoidal functions oscillate above and below the midline, are periodic, and repeat values in set cycles. Recall from **Graphs of the Sine and Cosine Functions** that the period of the sine function and the cosine function is 2π . In other words, for any value of x,

 $\sin(x \pm 2\pi k) = \sin x$ and $\cos(x \pm 2\pi k) = \cos x$ where k is an integer

Standard Form of Sinusoidal Equations

The general forms of a sinusoidal equation are given as

$$y = A\sin(Bt - C) + D \text{ or } y = A\cos(Bt - C) + D$$
 (7.41)

where amplitude = |A|, B is related to period such that the period = $\frac{2\pi}{B}$, C is the phase shift such that $\frac{C}{B}$ denotes the horizontal shift, and D represents the vertical shift from the graph's parent graph.

Note that the models are sometimes written as $y = a \sin(\omega t \pm C) + D$ or $y = a \cos(\omega t \pm C) + D$, and period is given as $\frac{2\pi}{\omega}$.

The difference between the sine and the cosine graphs is that the sine graph begins with the average value of the function and the cosine graph begins with the maximum or minimum value of the function.

Example 7.57

Showing How the Properties of a Trigonometric Function Can Transform a Graph

Show the transformation of the graph of $y = \sin x$ into the graph of $y = 2\sin\left(4x - \frac{\pi}{2}\right) + 2$.

Solution

Consider the series of graphs in Figure 7.27 and the way each change to the equation changes the image.

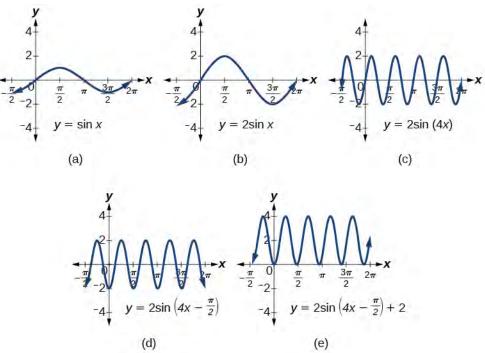


Figure 7.27 (a) The basic graph of $y = \sin x$ (b) Changing the amplitude from 1 to 2 generates the graph of $y = 2\sin x$. (c) The period of the sine function changes with the value of B, such that $\operatorname{period} = \frac{2\pi}{B}$. Here we have B = 4, which translates to a period of $\frac{\pi}{2}$. The graph completes one full cycle in $\frac{\pi}{2}$ units. (d) The graph displays a horizontal shift equal to $\frac{C}{B}$, or $\frac{\pi}{4} = \frac{\pi}{8}$. (e) Finally, the graph is shifted vertically by the value of D. In this case, the graph is shifted up by 2 units.

Example 7.58

Finding the Amplitude and Period of a Function

Find the amplitude and period of the following functions and graph one cycle.

a.
$$y = 2\sin(\frac{1}{4}x)$$

$$b. \quad y = -3\sin(2x + \frac{\pi}{2})$$

c.
$$y = \cos x + 3$$

Solution

We will solve these problems according to the models.

a.
$$y = 2\sin(\frac{1}{4}x)$$
 involves sine, so we use the form

$$y = A\sin(Bt + C) + D$$

We know that |A| is the amplitude, so the amplitude is 2. Period is $\frac{2\pi}{B}$, so the period is

$$\frac{2\pi}{B} = \frac{2\pi}{\frac{1}{4}}$$
$$= 8\pi$$

See the graph in **Figure 7.28**.

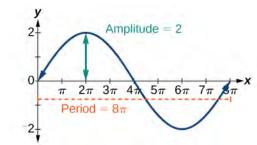


Figure 7.28

b. $y = -3\sin(2x + \frac{\pi}{2})$ involves sine, so we use the form

$$y = A\sin(Bt - C) + D$$

Amplitude is |A|, so the amplitude is |-3| = 3. Since A is negative, the graph is reflected over the x-axis. Period is $\frac{2\pi}{B}$, so the period is

$$\frac{2\pi}{R} = \frac{2\pi}{2} = \pi$$

The graph is shifted to the left by $\frac{C}{B} = \frac{\frac{\pi}{2}}{2} = \frac{\pi}{4}$ units. See **Figure 7.29**.

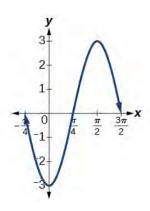


Figure 7.29

c. $y = \cos x + 3$ involves cosine, so we use the form

$$y = A\cos(Bt \pm C) + D$$

Amplitude is |A|, so the amplitude is 1. The period is 2π . See **Figure 7.30**. This is the standard cosine function shifted up three units.

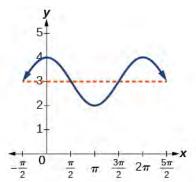


Figure 7.30



7.26 What are the amplitude and period of the function $y = 3\cos(3\pi x)$?

Finding Equations and Graphing Sinusoidal Functions

One method of graphing sinusoidal functions is to find five key points. These points will correspond to intervals of equal length representing $\frac{1}{4}$ of the period. The key points will indicate the location of maximum and minimum values. If there is no vertical shift, they will also indicate x-intercepts. For example, suppose we want to graph the function $y = \cos \theta$. We know that the period is 2π , so we find the interval between key points as follows.

$$\frac{2\pi}{4} = \frac{\pi}{2}$$

Starting with $\theta = 0$, we calculate the first *y*-value, add the length of the interval $\frac{\pi}{2}$ to 0, and calculate the second *y*-value. We then add $\frac{\pi}{2}$ repeatedly until the five key points are determined. The last value should equal the first value, as the calculations cover one full period. Making a table similar to **Table 7.7**, we can see these key points clearly on the graph shown in **Figure 7.31**.

θ	0	<u>π</u> 2	π	$\frac{3\pi}{2}$	2π
$y = \cos \theta$	1	0	-1	0	1

Table 7.7

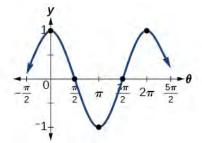


Figure 7.31

Example 7.59

Graphing Sinusoidal Functions Using Key Points

Graph the function $y = -4\cos(\pi x)$ using amplitude, period, and key points.

Solution

The amplitude is |-4|=4. The period is $\frac{2\pi}{\omega}=\frac{2\pi}{\pi}=2$. (Recall that we sometimes refer to B as ω .) One cycle of the graph can be drawn over the interval [0,2]. To find the key points, we divide the period by 4. Make a table similar to **Table 7.8**, starting with x=0 and then adding $\frac{1}{2}$ successively to x and calculate y. See the graph in **Figure 7.32**.

x	0	<u>1</u> 2	1	<u>3</u> 2	2
$y = -4\cos(\pi x)$	-4	0	4	0	-4

Table 7.8

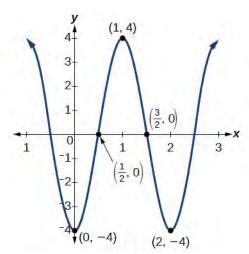


Figure 7.32



7.27 Graph the function $y = 3\sin(3x)$ using the amplitude, period, and five key points.

Modeling Periodic Behavior

We will now apply these ideas to problems involving periodic behavior.

Example 7.60

Modeling an Equation and Sketching a Sinusoidal Graph to Fit Criteria

The average monthly temperatures for a small town in Oregon are given in **Table 7.9**. Find a sinusoidal function of the form $y = A \sin(Bt - C) + D$ that fits the data (round to the nearest tenth) and sketch the graph.

Month	Temperature, ^o F
January	42.5
February	44.5
March	48.5
April	52.5
May	58
June	63
July	68.5
August	69
September	64.5
October	55.5
November	46.5
December	43.5

Table 7.9

Solution

Recall that amplitude is found using the formula

$$A = \frac{\text{largest value } - \text{smallest value}}{2}$$

Thus, the amplitude is

$$|A| = \frac{69 - 42.5}{2}$$
$$= 13.25$$

The data covers a period of 12 months, so $\frac{2\pi}{B} = 12$ which gives $B = \frac{2\pi}{12} = \frac{\pi}{6}$.

The vertical shift is found using the following equation.

$$D = \frac{\text{highest value} + \text{lowest value}}{2}$$

Thus, the vertical shift is

$$D = \frac{69 + 42.5}{2}$$

= 55.8

So far, we have the equation $y = 13.3 \sin(\frac{\pi}{6}x - C) + 55.8$.

To find the horizontal shift, we input the x and y values for the first month and solve for C.

$$42.5 = 13.3 \sin\left(\frac{\pi}{6}(1) - C\right) + 55.8$$

$$-13.3 = 13.3 \sin\left(\frac{\pi}{6} - C\right)$$

$$-1 = \sin\left(\frac{\pi}{6} - C\right)$$

$$\sin\theta = -1 \to \theta = -\frac{\pi}{2}$$

$$\frac{\pi}{6} - C = -\frac{\pi}{2}$$

$$\frac{\pi}{6} + \frac{\pi}{2} = C$$

$$= \frac{2\pi}{3}$$

We have the equation $y = 13.3 \sin\left(\frac{\pi}{6}x - \frac{2\pi}{3}\right) + 55.8$. See the graph in **Figure 7.33**.

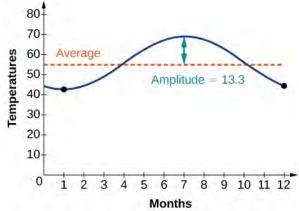


Figure 7.33

Example 7.61

Describing Periodic Motion

The hour hand of the large clock on the wall in Union Station measures 24 inches in length. At noon, the tip of the hour hand is 30 inches from the ceiling. At 3 PM, the tip is 54 inches from the ceiling, and at 6 PM, 78 inches. At 9 PM, it is again 54 inches from the ceiling, and at midnight, the tip of the hour hand returns to its original position 30 inches from the ceiling. Let y equal the distance from the tip of the hour hand to the ceiling x hours after noon. Find the equation that models the motion of the clock and sketch the graph.

Solution

Begin by making a table of values as shown in **Table 7.10**.

x	у	Points to plot
Noon	30 in	(0, 30)
3 PM	54 in	(3, 54)
6 PM	78 in	(6, 78)
9 PM	54 in	(9, 54)
Midnight	30 in	(12, 30)

Table 7.10

To model an equation, we first need to find the amplitude.

$$|A| = \left| \frac{78 - 30}{2} \right|$$
$$= 24$$

The clock's cycle repeats every 12 hours. Thus,

$$B = \frac{2\pi}{12}$$
$$= \frac{\pi}{6}$$

The vertical shift is

$$D = \frac{78 + 30}{2}$$
$$= 54$$

There is no horizontal shift, so C = 0. Since the function begins with the minimum value of y when x = 0 (as opposed to the maximum value), we will use the cosine function with the negative value for A. In the form $y = A\cos(Bx \pm C) + D$, the equation is

$$y = -24\cos\left(\frac{\pi}{6}x\right) + 54$$

See Figure 7.34.

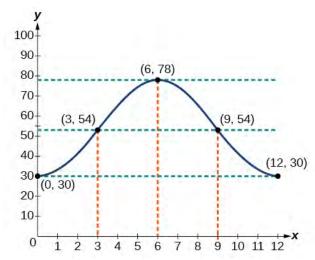


Figure 7.34

Example 7.62

Determining a Model for Tides

The height of the tide in a small beach town is measured along a seawall. Water levels oscillate between 7 feet at low tide and 15 feet at high tide. On a particular day, low tide occurred at 6 AM and high tide occurred at noon. Approximately every 12 hours, the cycle repeats. Find an equation to model the water levels.

Solution

As the water level varies from 7 ft to 15 ft, we can calculate the amplitude as

$$\begin{vmatrix} A \end{vmatrix} = \left| \frac{(15 - 7)}{2} \right|$$
$$= 4$$

The cycle repeats every 12 hours; therefore, B is

$$\frac{2\pi}{12} = \frac{\pi}{6}$$

There is a vertical translation of $\frac{(15+8)}{2} = 11.5$. Since the value of the function is at a maximum at t = 0, we will use the cosine function, with the positive value for A.

$$y = 4\cos\left(\frac{\pi}{6}\right)t + 11$$

See Figure 7.35.

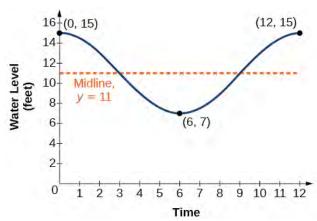


Figure 7.35



7.28 The daily temperature in the month of March in a certain city varies from a low of 24° F to a high of 40° F. Find a sinusoidal function to model daily temperature and sketch the graph. Approximate the time when the temperature reaches the freezing point 32° F. Let t = 0 correspond to noon.

Example 7.63

Interpreting the Periodic Behavior Equation

The average person's blood pressure is modeled by the function $f(t) = 20 \sin(160\pi t) + 100$, where f(t) represents the blood pressure at time t, measured in minutes. Interpret the function in terms of period and frequency. Sketch the graph and find the blood pressure reading.

Solution

The period is given by

$$\frac{2\pi}{\omega} = \frac{2\pi}{160\pi}$$
$$= \frac{1}{80}$$

In a blood pressure function, frequency represents the number of heart beats per minute. Frequency is the reciprocal of period and is given by

$$\frac{\omega}{2\pi} = \frac{160\pi}{2\pi}$$
$$= 80$$

See the graph in **Figure 7.36**.

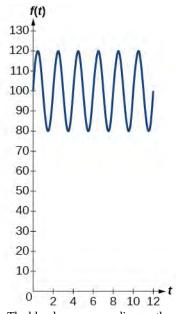


Figure 7.36 The blood pressure reading on the graph is $\frac{120}{80} \left(\frac{\text{maximum}}{\text{minimum}} \right)$.

Analysis

Blood pressure of $\frac{120}{80}$ is considered to be normal. The top number is the maximum or systolic reading, which measures the pressure in the arteries when the heart contracts. The bottom number is the minimum or diastolic reading, which measures the pressure in the arteries as the heart relaxes between beats, refilling with blood. Thus, normal blood pressure can be modeled by a periodic function with a maximum of 120 and a minimum of 80.

Modeling Harmonic Motion Functions

Harmonic motion is a form of periodic motion, but there are factors to consider that differentiate the two types. While general periodic motion applications cycle through their periods with no outside interference, harmonic motion requires a restoring force. Examples of harmonic motion include springs, gravitational force, and magnetic force.

Simple Harmonic Motion

A type of motion described as simple harmonic motion involves a restoring force but assumes that the motion will continue forever. Imagine a weighted object hanging on a spring, When that object is not disturbed, we say that the object is at rest, or in equilibrium. If the object is pulled down and then released, the force of the spring pulls the object back toward equilibrium and harmonic motion begins. The restoring force is directly proportional to the displacement of the object from its equilibrium point. When t = 0, d = 0.

Simple Harmonic Motion

We see that **simple harmonic motion** equations are given in terms of displacement:

$$d = a\cos(\omega t)$$
 or $d = a\sin(\omega t)$ (7.42)

where |a| is the amplitude, $\frac{2\pi}{\omega}$ is the period, and $\frac{\omega}{2\pi}$ is the frequency, or the number of cycles per unit of time.

Example 7.64

Finding the Displacement, Period, and Frequency, and Graphing a Function

For the given functions,

- 1. Find the maximum displacement of an object.
- 2. Find the period or the time required for one vibration.
- 3. Find the frequency.
- 4. Sketch the graph.
 - a. $y = 5\sin(3t)$
 - b. $y = 6\cos(\pi t)$
 - c. $y = 5\cos\left(\frac{\pi}{2}t\right)$

Solution

- a. $y = 5\sin(3t)$
 - 1. The maximum displacement is equal to the amplitude, |a|, which is 5.
 - 2. The period is $\frac{2\pi}{\omega} = \frac{2\pi}{3}$.
 - 3. The frequency is given as $\frac{\omega}{2\pi} = \frac{3}{2\pi}$.
 - 4. See **Figure 7.37**. The graph indicates the five key points.

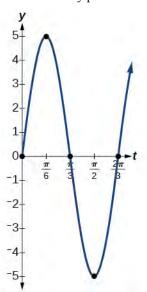


Figure 7.37

- b. $y = 6\cos(\pi t)$
 - 1. The maximum displacement is 6.

- 2. The period is $\frac{2\pi}{\omega} = \frac{2\pi}{\pi} = 2$.
- 3. The frequency is $\frac{\omega}{2\pi} = \frac{\pi}{2\pi} = \frac{1}{2}$.
- 4. See **Figure 7.38**.

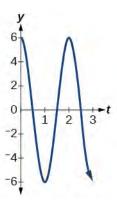


Figure 7.38

c.
$$y = 5\cos\left(\frac{\pi}{2}\right)t$$

- 1. The maximum displacement is 5.
- 2. The period is $\frac{2\pi}{\omega} = \frac{2\pi}{\frac{\pi}{2}} = 4$.
- 3. The frequency is $\frac{1}{4}$.
- 4. See **Figure 7.39**.

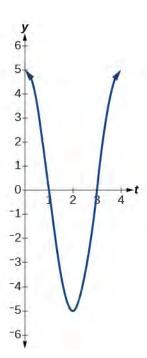


Figure 7.39

Damped Harmonic Motion

In reality, a pendulum does not swing back and forth forever, nor does an object on a spring bounce up and down forever. Eventually, the pendulum stops swinging and the object stops bouncing and both return to equilibrium. Periodic motion in which an energy-dissipating force, or damping factor, acts is known as damped harmonic motion. Friction is typically the damping factor.

In physics, various formulas are used to account for the damping factor on the moving object. Some of these are calculus-based formulas that involve derivatives. For our purposes, we will use formulas for basic damped harmonic motion models.

Damped Harmonic Motion

In **damped harmonic motion**, the displacement of an oscillating object from its rest position at time t is given as

$$f(t) = ae^{-ct}\sin(\omega t)$$
 or $f(t) = ae^{-ct}\cos(\omega t)$ (7.43)

where $\,c\,$ is a damping factor, $\,|a|\,$ is the initial displacement and $\,\frac{2\pi}{\omega}\,$ is the period.

Example 7.65

Modeling Damped Harmonic Motion

Model the equations that fit the two scenarios and use a graphing utility to graph the functions: Two mass-spring systems exhibit damped harmonic motion at a frequency of 0.5 cycles per second. Both have an initial displacement of 10 cm. The first has a damping factor of 0.5 and the second has a damping factor of 0.1.

Solution

At time t = 0, the displacement is the maximum of 10 cm, which calls for the cosine function. The cosine function will apply to both models.

We are given the frequency $f = \frac{\omega}{2\pi}$ of 0.5 cycles per second. Thus,

$$\frac{\omega}{2\pi} = 0.5$$

$$\omega = (0.5)2\pi$$

$$= \pi$$

The first spring system has a damping factor of c = 0.5. Following the general model for damped harmonic motion, we have

$$f(t) = 10e^{-0.5t}\cos(\pi t)$$

Figure 7.40 models the motion of the first spring system.

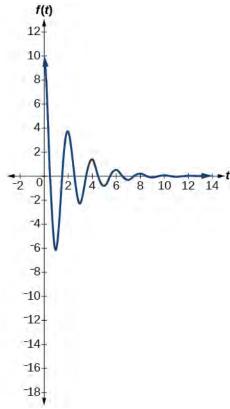


Figure 7.40

The second spring system has a damping factor of $\,c=0.1\,$ and can be modeled as

$$f(t) = 10e^{-0.1t}\cos(\pi t)$$

Figure 7.41 models the motion of the second spring system.

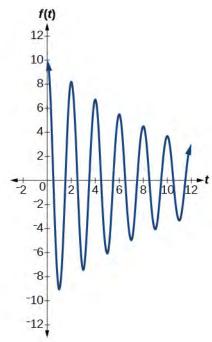


Figure 7.41

Analysis

Notice the differing effects of the damping constant. The local maximum and minimum values of the function with the damping factor c = 0.5 decreases much more rapidly than that of the function with c = 0.1.

Example 7.66

Finding a Cosine Function that Models Damped Harmonic Motion

Find and graph a function of the form $y = ae^{-ct}\cos(\omega t)$ that models the information given.

a.
$$a = 20, c = 0.05, p = 4$$

b.
$$a = 2, c = 1.5, f = 3$$

Solution

Substitute the given values into the model. Recall that period is $\frac{2\pi}{\omega}$ and frequency is $\frac{\omega}{2\pi}$.

a.
$$y = 20e^{-0.05t}\cos(\frac{\pi}{2}t)$$
. See **Figure 7.42**.

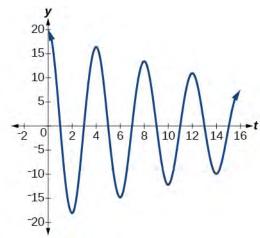


Figure 7.42

b. $y = 2e^{-1.5t}\cos(6\pi t)$. See **Figure 7.43**.

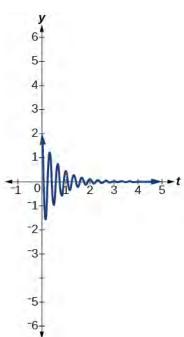


Figure 7.43



7.29 The following equation represents a damped harmonic motion model: $f(t) = 5e^{-6t}\cos(4t)$ Find the initial displacement, the damping constant, and the frequency.

Example 7.67

Finding a Sine Function that Models Damped Harmonic Motion

Find and graph a function of the form $y = ae^{-ct}\sin(\omega t)$ that models the information given.

a.
$$a = 7$$
, $c = 10$, $p = \frac{\pi}{6}$

b.
$$a = 0.3, c = 0.2, f = 20$$

Solution

Calculate the value of ω and substitute the known values into the model.

a. As period is $\frac{2\pi}{\omega}$, we have

$$\frac{\pi}{6} = \frac{2\pi}{\omega}$$

$$\omega \pi = 6(2\pi)$$

$$\omega = 12$$

The damping factor is given as 10 and the amplitude is 7. Thus, the model is $y = 7e^{-10t}\sin(12t)$. See **Figure 7.44**.

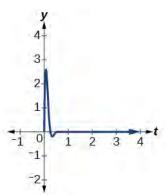
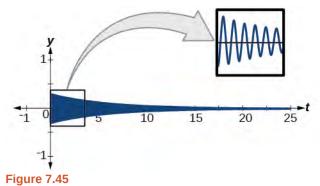


Figure 7.44

b. As frequency is $\frac{\omega}{2\pi}$, we have

$$20 = \frac{\omega}{2\pi}$$
$$40\pi = \omega$$

The damping factor is given as 0.2 and the amplitude is 0.3. The model is $y = 0.3e^{-0.2t}\sin(40\pi t)$. See **Figure 7.45**.



Analysis

A comparison of the last two examples illustrates how we choose between the sine or cosine functions to model sinusoidal criteria. We see that the cosine function is at the maximum displacement when t = 0, and the sine function is at the equilibrium point when t = 0. For example, consider the equation $y = 20e^{-0.05t}\cos(\frac{\pi}{2}t)$ from

Example 7.66. We can see from the graph that when t = 0, y = 20, which is the initial amplitude. Check this by setting t = 0 in the cosine equation:

$$y = 20e^{-0.05(0)}\cos(\frac{\pi}{2})(0)$$
$$= 20(1)(1)$$
$$= 20$$

Using the sine function yields

$$y = 20e^{-0.05(0)} \sin\left(\frac{\pi}{2}\right)(0)$$

= 20(1)(0)
= 0

Thus, cosine is the correct function.



7.30 Write the equation for damped harmonic motion given a = 10, c = 0.5, and p = 2.

Example 7.68

Modeling the Oscillation of a Spring

A spring measuring 10 inches in natural length is compressed by 5 inches and released. It oscillates once every 3 seconds, and its amplitude decreases by 30% every second. Find an equation that models the position of the spring t seconds after being released.

Solution

The amplitude begins at 5 in. and deceases 30% each second. Because the spring is initially compressed, we will write A as a negative value. We can write the amplitude portion of the function as

$$A(t) = 5(1 - 0.30)^t$$

We put $(1 - 0.30)^t$ in the form e^{ct} as follows:

$$0.7 = e^{c}$$

$$c = \ln .7$$

$$c = -0.357$$

Now let's address the period. The spring cycles through its positions every 3 seconds, this is the period, and we can use the formula to find omega.

$$3 = \frac{2\pi}{\omega}$$
$$\omega = \frac{2\pi}{3}$$

The natural length of 10 inches is the midline. We will use the cosine function, since the spring starts out at its maximum displacement. This portion of the equation is represented as

$$y = \cos\left(\frac{2\pi}{3}t\right) + 10$$

Finally, we put both functions together. Our the model for the position of the spring at t seconds is given as

$$y = -5e^{-0.357t}\cos(\frac{2\pi}{3}t) + 10$$

See the graph in **Figure 7.46**.

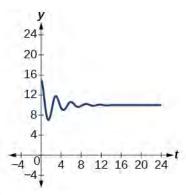


Figure 7.46



7.31 A mass suspended from a spring is raised a distance of 5 cm above its resting position. The mass is released at time t = 0 and allowed to oscillate. After $\frac{1}{3}$ second, it is observed that the mass returns to its highest position. Find a function to model this motion relative to its initial resting position.

Example 7.69

Finding the Value of the Damping Constant c According to the Given Criteria

A guitar string is plucked and vibrates in damped harmonic motion. The string is pulled and displaced 2 cm from its resting position. After 3 seconds, the displacement of the string measures 1 cm. Find the damping constant.

Solution

The displacement factor represents the amplitude and is determined by the coefficient ae^{-ct} in the model for damped harmonic motion. The damping constant is included in the term e^{-ct} . It is known that after 3 seconds, the local maximum measures one-half of its original value. Therefore, we have the equation

$$ae^{-c(t+3)} = \frac{1}{2}ae^{-ct}$$

Use algebra and the laws of exponents to solve for c.

$$ae^{-c(t+3)} = \frac{1}{2}ae^{-ct}$$

$$e^{-ct} \cdot e^{-3c} = \frac{1}{2}e^{-ct}$$
 Divide out a .
$$e^{-3c} = \frac{1}{2}$$
 Divide out e^{-ct} .
$$e^{3c} = 2$$
 Take reciprocals.

Then use the laws of logarithms.

$$e^{3c} = 2$$
$$3c = \ln 2$$
$$c = \frac{\ln 2}{3}$$

The damping constant is $\frac{\ln 2}{3}$.

Bounding Curves in Harmonic Motion

Harmonic motion graphs may be enclosed by bounding curves. When a function has a varying amplitude, such that the amplitude rises and falls multiple times within a period, we can determine the bounding curves from part of the function.

Example 7.70

Graphing an Oscillating Cosine Curve

Graph the function $f(x) = \cos(2\pi x)\cos(16\pi x)$.

Solution

The graph produced by this function will be shown in two parts. The first graph will be the exact function f(x) (see **Figure 7.47**), and the second graph is the exact function f(x) plus a bounding function (see **Figure 7.48**. The graphs look quite different.

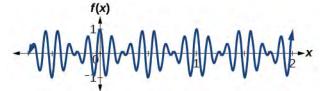


Figure 7.47

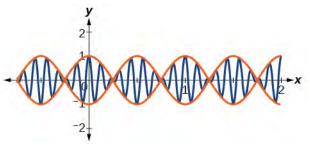


Figure 7.48

Analysis

The curves $y = \cos(2\pi x)$ and $y = -\cos(2\pi x)$ are bounding curves: they bound the function from above and below, tracing out the high and low points. The harmonic motion graph sits inside the bounding curves. This is an example of a function whose amplitude not only decreases with time, but actually increases and decreases multiple times within a period.



Access these online resources for additional instruction and practice with trigonometric applications.

- Solving Problems Using Trigonometry (http://openstaxcollege.org/l/solvetrigprob)
- Ferris Wheel Trigonometry (http://openstaxcollege.org/l/ferriswheel)
- Daily Temperatures and Trigonometry (http://openstaxcollege.org/l/dailytemp)
- Simple Harmonic Motion (http://openstaxcollege.org/l/simpleharm)

Visit **this website (http://openstaxcollege.org/l/PreCalcLPC07)** for additional practice questions from Learningpod.

7.6 EXERCISES

Verbal

331. Explain what types of physical phenomena are best modeled by sinusoidal functions. What are the characteristics necessary?

332. What information is necessary to construct a trigonometric model of daily temperature? Give examples of two different sets of information that would enable modeling with an equation.

333. If we want to model cumulative rainfall over the course of a year, would a sinusoidal function be a good model? Why or why not?

334. Explain the effect of a damping factor on the graphs of harmonic motion functions.

Algebraic

For the following exercises, find a possible formula for the trigonometric function represented by the given table of values.

335.

x	у
0	-4
3	-1
6	2
9	-1
12	-4
15	-1
18	2

337.

у
5
1
-3
1
5
1
-3

x	у
0	2
$\frac{\pi}{4}$	7
$\frac{\pi}{2}$	2
$\frac{3\pi}{4}$	-3
π	2
<u>5π</u> 4	7
$\frac{3\pi}{2}$	2

336.

x	у
0	2
$\frac{\pi}{4}$	7
$\frac{\pi}{2}$	2
$\frac{3\pi}{4}$	-3
π	2
<u>5π</u> 4	7
$\frac{3\pi}{2}$	2

x	y
0	-2
1	4
2	10
3	4
4	-2
5	4
6	10

339.

x	y
0	1
1	-3
2	-7
3	-3
4	1
5	-3
6	-7

341.

x	у
0	5
1	-3
2	5
3	13
4	5
5	-3
6	5

342.

340.

x	у
-3	$-1-\sqrt{2}$
-2	-1
-1	$1-\sqrt{2}$
0	0
1	$\sqrt{2}-1$
2	1
3	$\sqrt{2} + 1$

343.

x	у
-1	$\sqrt{3}-2$
0	0
1	$2-\sqrt{3}$
2	$\frac{\sqrt{3}}{3}$
3	1
4	$\sqrt{3}$
5	$2 + \sqrt{3}$

Graphical

For the following exercises, graph the given function, and then find a possible physical process that the equation could model.

344.
$$f(x) = -30\cos\left(\frac{x\pi}{6}\right) - 20\cos^2\left(\frac{x\pi}{6}\right) + 80$$
 [0, 12]

345.
$$f(x) = -18\cos(\frac{x\pi}{12}) - 5\sin(\frac{x\pi}{12}) + 100$$
 on the interval [0, 24]

346.
$$f(x) = 10 - \sin\left(\frac{x\pi}{6}\right) + 24\tan\left(\frac{x\pi}{240}\right)$$
 on the interval [0, 80]

Technology

For the following exercise, construct a function modeling behavior and use a calculator to find desired results.

347. A city's average yearly rainfall is currently 20 inches and varies seasonally by 5 inches. Due to unforeseen circumstances, rainfall appears to be decreasing by 15% each year. How many years from now would we expect rainfall to initially reach 0 inches? Note, the model is invalid once it predicts negative rainfall, so choose the first point at which it goes below 0.

Real-World Applications

For the following exercises, construct a sinusoidal function with the provided information, and then solve the equation for the requested values.

348. Outside temperatures over the course of a day can be modeled as a sinusoidal function. Suppose the high temperature of 105°F occurs at 5PM and the average temperature for the day is 85°F. Find the temperature, to the nearest degree, at 9AM.

349. Outside temperatures over the course of a day can be modeled as a sinusoidal function. Suppose the high temperature of 84°F occurs at 6PM and the average temperature for the day is 70°F. Find the temperature, to the nearest degree, at 7AM.

350. Outside temperatures over the course of a day can be modeled as a sinusoidal function. Suppose the temperature varies between 47°F and 63°F during the day and the average daily temperature first occurs at 10 AM. How many hours after midnight does the temperature first reach 51°F?

351. Outside temperatures over the course of a day can be modeled as a sinusoidal function. Suppose the temperature varies between 64°F and 86°F during the day and the average daily temperature first occurs at 12 AM. How many hours after midnight does the temperature first reach 70°F?

352. A Ferris wheel is 20 meters in diameter and boarded from a platform that is 2 meters above the ground. The six o'clock position on the Ferris wheel is level with the

loading platform. The wheel completes 1 full revolution in 6 minutes. How much of the ride, in minutes and seconds, is spent higher than 13 meters above the ground?

- 353. A Ferris wheel is 45 meters in diameter and boarded from a platform that is 1 meter above the ground. The six o'clock position on the Ferris wheel is level with the loading platform. The wheel completes 1 full revolution in 10 minutes. How many minutes of the ride are spent higher than 27 meters above the ground? Round to the nearest second
- 354. The sea ice area around the North Pole fluctuates between about 6 million square kilometers on September 1 to 14 million square kilometers on March 1. Assuming a sinusoidal fluctuation, when are there less than 9 million square kilometers of sea ice? Give your answer as a range of dates, to the nearest day.
- 355. The sea ice area around the South Pole fluctuates between about 18 million square kilometers in September to 3 million square kilometers in March. Assuming a sinusoidal fluctuation, when are there more than 15 million square kilometers of sea ice? Give your answer as a range of dates, to the nearest day.
- 356. During a 90-day monsoon season, daily rainfall can be modeled by sinusoidal functions. If the rainfall fluctuates between a low of 2 inches on day 10 and 12 inches on day 55, during what period is daily rainfall more than 10 inches?
- 357. During a 90-day monsoon season, daily rainfall can be modeled by sinusoidal functions. A low of 4 inches of rainfall was recorded on day 30, and overall the average daily rainfall was 8 inches. During what period was daily rainfall less than 5 inches?
- 358. In a certain region, monthly precipitation peaks at 8 inches on June 1 and falls to a low of 1 inch on December 1. Identify the periods when the region is under flood conditions (greater than 7 inches) and drought conditions (less than 2 inches). Give your answer in terms of the nearest day.
- 359. In a certain region, monthly precipitation peaks at 24 inches in September and falls to a low of 4 inches in March. Identify the periods when the region is under flood conditions (greater than 22 inches) and drought conditions (less than 5 inches). Give your answer in terms of the nearest day.

For the following exercises, find the amplitude, period, and frequency of the given function.

360. The displacement h(t) in centimeters of a mass suspended by a spring is modeled by the function $h(t) = 8\sin(6\pi t)$, where t is measured in seconds. Find the amplitude, period, and frequency of this displacement.

- 361. The displacement h(t) in centimeters of a mass suspended by a spring is modeled by the function $h(t) = 11\sin(12\pi t)$, where t is measured in seconds. Find the amplitude, period, and frequency of this displacement.
- 362. The displacement h(t) in centimeters of a mass suspended by a spring is modeled by the function $h(t) = 4\cos\left(\frac{\pi}{2}t\right)$, where t is measured in seconds. Find the amplitude, period, and frequency of this displacement.

For the following exercises, construct an equation that models the described behavior.

363. The displacement h(t), in centimeters, of a mass suspended by a spring is modeled by the function $h(t) = -5\cos(60\pi t)$, where t is measured in seconds. Find the amplitude, period, and frequency of this displacement.

For the following exercises, construct an equation that models the described behavior.

- 364. A deer population oscillates 19 above and below average during the year, reaching the lowest value in January. The average population starts at 800 deer and increases by 160 each year. Find a function that models the population, P, in terms of months since January, t.
- 365. A rabbit population oscillates 15 above and below average during the year, reaching the lowest value in January. The average population starts at 650 rabbits and increases by 110 each year. Find a function that models the population, P, in terms of months since January, t.
- 366. A muskrat population oscillates 33 above and below average during the year, reaching the lowest value in January. The average population starts at 900 muskrats and increases by 7% each month. Find a function that models the population, P, in terms of months since January, t.
- 367. A fish population oscillates 40 above and below average during the year, reaching the lowest value in January. The average population starts at 800 fish and increases by 4% each month. Find a function that models the population, P, in terms of months since January, t.
- 368. A spring attached to the ceiling is pulled 10 cm down from equilibrium and released. The amplitude decreases by 15% each second. The spring oscillates 18 times each second. Find a function that models the distance, D, the end of the spring is from equilibrium in terms of seconds, t, since the spring was released.
- 369. A spring attached to the ceiling is pulled 7 cm down from equilibrium and released. The amplitude decreases by

11% each second. The spring oscillates 20 times each second. Find a function that models the distance, D, the end of the spring is from equilibrium in terms of seconds, t, since the spring was released.

- 370. A spring attached to the ceiling is pulled 17 cm down from equilibrium and released. After 3 seconds, the amplitude has decreased to 13 cm. The spring oscillates 14 times each second. Find a function that models the distance, D, the end of the spring is from equilibrium in terms of seconds, t, since the spring was released.
- 371. A spring attached to the ceiling is pulled 19 cm down from equilibrium and released. After 4 seconds, the amplitude has decreased to 14 cm. The spring oscillates 13 times each second. Find a function that models the distance, D, the end of the spring is from equilibrium in terms of seconds, t, since the spring was released.

For the following exercises, create a function modeling the described behavior. Then, calculate the desired result using a calculator.

- 372. A certain lake currently has an average trout population of 20,000. The population naturally oscillates above and below average by 2,000 every year. This year, the lake was opened to fishermen. If fishermen catch 3,000 fish every year, how long will it take for the lake to have no more trout?
- 373. Whitefish populations are currently at 500 in a lake. The population naturally oscillates above and below by 25 each year. If humans overfish, taking 4% of the population every year, in how many years will the lake first have fewer than 200 whitefish?
- 374. A spring attached to a ceiling is pulled down 11 cm from equilibrium and released. After 2 seconds, the amplitude has decreased to 6 cm. The spring oscillates 8 times each second. Find when the spring first comes between -0.1 and 0.1 cm, effectively at rest.
- 375. A spring attached to a ceiling is pulled down 21 cm from equilibrium and released. After 6 seconds, the amplitude has decreased to 4 cm. The spring oscillates 20 times each second. Find when the spring first comes between -0.1 and 0.1 cm, effectively at rest.
- 376. Two springs are pulled down from the ceiling and released at the same time. The first spring, which oscillates 8 times per second, was initially pulled down 32 cm from equilibrium, and the amplitude decreases by 50% each second. The second spring, oscillating 18 times per second, was initially pulled down 15 cm from equilibrium and after 4 seconds has an amplitude of 2 cm. Which spring comes to rest first, and at what time? Consider "rest" as an amplitude less than 0.1 cm.

377. Two springs are pulled down from the ceiling and released at the same time. The first spring, which oscillates 14 times per second, was initially pulled down 2 cm from equilibrium, and the amplitude decreases by 8% each second. The second spring, oscillating 22 times per second, was initially pulled down 10 cm from equilibrium and after 3 seconds has an amplitude of 2 cm. Which spring comes to rest first, and at what time? Consider "rest" as an amplitude less than 0.1 cm.

Extensions

378. A plane flies 1 hour at 150 mph at 22° east of north, then continues to fly for 1.5 hours at 120 mph, this time at a bearing of 112° east of north. Find the total distance from the starting point and the direct angle flown north of east.

379. A plane flies 2 hours at 200 mph at a bearing of 60° , then continues to fly for 1.5 hours at the same speed, this time at a bearing of 150° . Find the distance from the starting point and the bearing from the starting point. Hint: bearing is measured counterclockwise from north.

For the following exercises, find a function of the form $y = ab^x + c\sin(\frac{\pi}{2}x)$ that fits the given data.

380.

x	0	1	2	3
у	6	29	96	379

381.

x	0	1	2	3
у	6	34	150	746

382.

x	0	1	2	3
у	4	0	16	-40

For the following exercises, find a function of the form $y = ab^x \cos(\frac{\pi}{2}x) + c$ that fits the given data.

383.

x	0	1	2	3
у	11	3	1	3

384.

x	0	1	2	3
у	4	1	-11	1

CHAPTER 7 REVIEW

KEY TERMS

- **damped harmonic motion** oscillating motion that resembles periodic motion and simple harmonic motion, except that the graph is affected by a damping factor, an energy dissipating influence on the motion, such as friction
- **double-angle formulas** identities derived from the sum formulas for sine, cosine, and tangent in which the angles are equal
- **even-odd identities** set of equations involving trigonometric functions such that if f(-x) = -f(x), the identity is odd, and if f(-x) = f(x), the identity is even
- **half-angle formulas** identities derived from the reduction formulas and used to determine half-angle values of trigonometric functions
- **product-to-sum formula** a trigonometric identity that allows the writing of a product of trigonometric functions as a sum or difference of trigonometric functions
- Pythagorean identities set of equations involving trigonometric functions based on the right triangle properties
- **quotient identities** pair of identities based on the fact that tangent is the ratio of sine and cosine, and cotangent is the ratio of cosine and sine
- reciprocal identities set of equations involving the reciprocals of basic trigonometric definitions
- **reduction formulas** identities derived from the double-angle formulas and used to reduce the power of a trigonometric function
- **simple harmonic motion** a repetitive motion that can be modeled by periodic sinusoidal oscillation
- **sum-to-product formula** a trigonometric identity that allows, by using substitution, the writing of a sum of trigonometric functions as a product of trigonometric functions

KEY EQUATIONS

Pythagorean identities
$$\sin^2 \theta + \cos^2 \theta = 1$$
$$1 + \cot^2 \theta = \csc^2 \theta$$
$$1 + \tan^2 \theta = \sec^2 \theta$$

$$\tan(-\theta) = -\tan\theta$$

$$\cot(-\theta) = -\cot\theta$$

$$\sin(-\theta) = -\sin\theta$$

$$\csc(-\theta) = -\csc\theta$$

$$\cos(-\theta) = \cos\theta$$

$$\sec(-\theta) = \sec\theta$$

$$\sin \theta = \frac{1}{\csc \theta}$$

$$\cos \theta = \frac{1}{\sec \theta}$$

$$\tan \theta = \frac{1}{\cot \theta}$$
Reciprocal identities
$$\csc \theta = \frac{1}{\sin \theta}$$

$$\sec \theta = \frac{1}{\cos \theta}$$

$$\cot \theta = \frac{1}{\tan \theta}$$

Quotient identities
$$\tan\theta = \frac{\sin\theta}{\cos\theta}$$

$$\cot\theta = \frac{\cos\theta}{\sin\theta}$$

Julii Tollilaia foi Cosilic	Sum Formula for Cosine	$\cos(\alpha + \beta) = \cos \alpha \cos \beta - \sin \alpha \sin \beta$
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Difference Formula for Cosine
$$\cos(\alpha - \beta) = \cos \alpha \cos \beta + \sin \alpha \sin \beta$$

Sum Formula for Sine
$$\sin(\alpha + \beta) = \sin \alpha \cos \beta + \cos \alpha \sin \beta$$

Difference Formula for Sine
$$\sin(\alpha - \beta) = \sin \alpha \cos \beta - \cos \alpha \sin \beta$$

Sum Formula for Tangent
$$\tan(\alpha + \beta) = \frac{\tan \alpha + \tan \beta}{1 - \tan \alpha \tan \beta}$$

Difference Formula for Tangent
$$\tan(\alpha - \beta) = \frac{\tan \alpha - \tan \beta}{1 + \tan \alpha \tan \beta}$$

$$\sin\theta = \cos\left(\frac{\pi}{2} - \theta\right)$$

$$\cos\theta = \sin\left(\frac{\pi}{2} - \theta\right)$$

$$\tan\theta = \cot\left(\frac{\pi}{2} - \theta\right)$$

Cofunction identities
$$\cot \theta = \tan \left(\frac{\pi}{2} - \theta\right)$$

$$\sec \theta = \csc \left(\frac{\pi}{2} - \theta\right)$$

$$\csc\theta = \sec\left(\frac{\pi}{2} - \theta\right)$$

$$\sin(2\theta) = 2\sin\theta\cos\theta$$

$$\cos(2\theta) = \cos^2\theta - \sin^2\theta$$

$$= 1 - 2\sin^2\theta$$

$$= 2\cos^2\theta - 1$$

$$\tan(2\theta) = \frac{2\tan\theta}{1 - \tan^2\theta}$$

$$\sin^2\theta = \frac{1 - \cos(2\theta)}{2}$$
 Reduction formulas
$$\cos^2\theta = \frac{1 + \cos(2\theta)}{2}$$

$$\tan^2\theta = \frac{1 - \cos(2\theta)}{1 + \cos(2\theta)}$$

$$\sin\frac{\alpha}{2} = \pm\sqrt{\frac{1-\cos\alpha}{2}}$$

$$\cos\frac{\alpha}{2} = \pm\sqrt{\frac{1+\cos\alpha}{2}}$$

$$\tan\frac{\alpha}{2} = \pm\sqrt{\frac{1-\cos\alpha}{1+\cos\alpha}}$$

$$= \frac{\sin\alpha}{1+\cos\alpha}$$

$$= \frac{1-\cos\alpha}{\sin\alpha}$$

$$\cos \alpha \cos \beta = \frac{1}{2}[\cos(\alpha - \beta) + \cos(\alpha + \beta)]$$
Product-to-sum Formulas
$$\sin \alpha \cos \beta = \frac{1}{2}[\sin(\alpha + \beta) + \sin(\alpha - \beta)]$$

$$\sin \alpha \sin \beta = \frac{1}{2}[\cos(\alpha - \beta) - \cos(\alpha + \beta)]$$

$$\cos \alpha \sin \beta = \frac{1}{2}[\sin(\alpha + \beta) - \sin(\alpha - \beta)]$$

 $\sin \alpha + \sin \beta = 2 \sin \left(\frac{\alpha + \beta}{2}\right) \cos \left(\frac{\alpha - \beta}{2}\right)$ $\sin \alpha - \sin \beta = 2 \sin \left(\frac{\alpha - \beta}{2}\right) \cos \left(\frac{\alpha + \beta}{2}\right)$ $\cos \alpha - \cos \beta = -2 \sin \left(\frac{\alpha + \beta}{2}\right) \sin \left(\frac{\alpha - \beta}{2}\right)$ $\cos \alpha + \cos \beta = 2 \cos \left(\frac{\alpha + \beta}{2}\right) \cos \left(\frac{\alpha - \beta}{2}\right)$

Standard form of sinusoidal equation $y = A\sin(Bt - C) + D \text{ or } y = A\cos(Bt - C) + D$ Simple harmonic motion $d = a\cos(\omega t) \text{ or } d = a\sin(\omega t)$

 $f(t) = ae^{-ct}\sin(\omega t)$ or $f(t) = ae^{-ct}\cos(\omega t)$

Damped harmonic motion

KEY CONCEPTS

7.1 Solving Trigonometric Equations with Identities

- There are multiple ways to represent a trigonometric expression. Verifying the identities illustrates how expressions can be rewritten to simplify a problem.
- Graphing both sides of an identity will verify it. See **Example 7.1**.
- Simplifying one side of the equation to equal the other side is another method for verifying an identity. See **Example 7.2** and **Example 7.3**.
- The approach to verifying an identity depends on the nature of the identity. It is often useful to begin on the more complex side of the equation. See **Example 7.4**.
- We can create an identity by simplifying an expression and then verifying it. See Example 7.5.
- Verifying an identity may involve algebra with the fundamental identities. See **Example 7.6** and **Example 7.7**.
- Algebraic techniques can be used to simplify trigonometric expressions. We use algebraic techniques throughout
 this text, as they consist of the fundamental rules of mathematics. See Example 7.8, Example 7.9, and Example
 7.10.

7.2 Sum and Difference Identities

- The sum formula for cosines states that the cosine of the sum of two angles equals the product of the cosines of the angles minus the product of the sines of the angles. The difference formula for cosines states that the cosine of the difference of two angles equals the product of the cosines of the angles plus the product of the sines of the angles.
- The sum and difference formulas can be used to find the exact values of the sine, cosine, or tangent of an angle. See **Example 7.11** and **Example 7.12**.
- The sum formula for sines states that the sine of the sum of two angles equals the product of the sine of the first angle and cosine of the second angle plus the product of the cosine of the first angle and the sine of the second angle. The difference formula for sines states that the sine of the difference of two angles equals the product of the sine of the first angle and cosine of the second angle minus the product of the cosine of the first angle and the sine of the second angle. See Example 7.13.
- The sum and difference formulas for sine and cosine can also be used for inverse trigonometric functions. See **Example 7.14**.
- The sum formula for tangent states that the tangent of the sum of two angles equals the sum of the tangents of the angles divided by 1 minus the product of the tangents of the angles. The difference formula for tangent states that the tangent of the difference of two angles equals the difference of the tangents of the angles divided by 1 plus the product of the tangents of the angles. See **Example 7.15**.
- The Pythagorean Theorem along with the sum and difference formulas can be used to find multiple sums and differences of angles. See Example 7.16.
- The cofunction identities apply to complementary angles and pairs of reciprocal functions. See Example 7.17.
- Sum and difference formulas are useful in verifying identities. See **Example 7.18** and **Example 7.19**.
- Application problems are often easier to solve by using sum and difference formulas. See Example 7.20 and Example 7.20.

7.3 Double-Angle, Half-Angle, and Reduction Formulas

- Double-angle identities are derived from the sum formulas of the fundamental trigonometric functions: sine, cosine, and tangent. See Example 7.22, Example 7.23, Example 7.24, and Example 7.25.
- Reduction formulas are especially useful in calculus, as they allow us to reduce the power of the trigonometric term.
 See Example 7.26 and Example 7.27.
- Half-angle formulas allow us to find the value of trigonometric functions involving half-angles, whether the original
 angle is known or not. See Example 7.28, Example 7.29, and Example 7.30.

7.4 Sum-to-Product and Product-to-Sum Formulas

- From the sum and difference identities, we can derive the product-to-sum formulas and the sum-to-product formulas for sine and cosine.
- We can use the product-to-sum formulas to rewrite products of sines, products of cosines, and products of sine and cosine as sums or differences of sines and cosines. See **Example 7.31**, **Example 7.32**, and **Example 7.33**.
- We can also derive the sum-to-product identities from the product-to-sum identities using substitution.
- We can use the sum-to-product formulas to rewrite sum or difference of sines, cosines, or products sine and cosine as products of sines and cosines. See **Example 7.34**.
- Trigonometric expressions are often simpler to evaluate using the formulas. See **Example 7.35**.
- The identities can be verified using other formulas or by converting the expressions to sines and cosines. To verify an identity, we choose the more complicated side of the equals sign and rewrite it until it is transformed into the other side. See **Example 7.36** and **Example 7.37**.

7.5 Solving Trigonometric Equations

- When solving linear trigonometric equations, we can use algebraic techniques just as we do solving algebraic equations. Look for patterns, like the difference of squares, quadratic form, or an expression that lends itself well to substitution. See Example 7.38, Example 7.39, and Example 7.40.
- Equations involving a single trigonometric function can be solved or verified using the unit circle. See **Example 7.41**, **Example 7.42**, and **Example 7.43**, and **Example 7.44**.
- We can also solve trigonometric equations using a graphing calculator. See Example 7.45 and Example 7.46.
- Many equations appear quadratic in form. We can use substitution to make the equation appear simpler, and then use the same techniques we use solving an algebraic quadratic: factoring, the quadratic formula, etc. See **Example 7.47**, **Example 7.48**, **Example 7.49**, and **Example 7.50**.
- We can also use the identities to solve trigonometric equation. See Example 7.51, Example 7.52, and Example 7.53
- We can use substitution to solve a multiple-angle trigonometric equation, which is a compression of a standard trigonometric function. We will need to take the compression into account and verify that we have found all solutions on the given interval. See **Example 7.54**.
- Real-world scenarios can be modeled and solved using the Pythagorean Theorem and trigonometric functions. See
 Example 7.55.

7.6 Modeling with Trigonometric Equations

- Sinusoidal functions are represented by the sine and cosine graphs. In standard form, we can find the amplitude, period, and horizontal and vertical shifts. See Example 7.57 and Example 7.58.
- Use key points to graph a sinusoidal function. The five key points include the minimum and maximum values and the midline values. See **Example 7.59**.
- Periodic functions can model events that reoccur in set cycles, like the phases of the moon, the hands on a clock, and the seasons in a year. See Example 7.60, Example 7.61, Example 7.62 and Example 7.63.
- Harmonic motion functions are modeled from given data. Similar to periodic motion applications, harmonic
 motion requires a restoring force. Examples include gravitational force and spring motion activated by weight. See
 Example 7.64.
- Damped harmonic motion is a form of periodic behavior affected by a damping factor. Energy dissipating factors, like friction, cause the displacement of the object to shrink. See **Example 7.65**, **Example 7.66**, **Example 7.67**, **Example 7.68**, and **Example 7.69**.
- Bounding curves delineate the graph of harmonic motion with variable maximum and minimum values. See
 Example 7.70.

CHAPTER 7 REVIEW EXERCISES

Solving Trigonometric Equations with Identities

For the following exercises, find all solutions exactly that exist on the interval $[0, 2\pi)$.

385.
$$\csc^2 t = 3$$

386.
$$\cos^2 x = \frac{1}{4}$$

387.
$$2\sin\theta = -1$$

388.
$$\tan x \sin x + \sin(-x) = 0$$

389.
$$9 \sin \omega - 2 = 4 \sin^2 \omega$$

390.
$$1 - 2\tan(\omega) = \tan^2(\omega)$$

For the following exercises, use basic identities to simplify the expression.

391.
$$\sec x \cos x + \cos x - \frac{1}{\sec x}$$

392.
$$\sin^3 x + \cos^2 x \sin x$$

For the following exercises, determine if the given identities are equivalent.

393.
$$\sin^2 x + \sec^2 x - 1 = \frac{(1 - \cos^2 x)(1 + \cos^2 x)}{\cos^2 x}$$

394.
$$\tan^3 x \csc^2 x \cot^2 x \cos x \sin x = 1$$

Sum and Difference Identities

For the following exercises, find the exact value.

395.
$$\tan(\frac{7\pi}{12})$$

396.
$$\cos(\frac{25\pi}{12})$$

397.
$$\sin(70^\circ)\cos(25^\circ) - \cos(70^\circ)\sin(25^\circ)$$

398.
$$\cos(83^{\circ})\cos(23^{\circ}) + \sin(83^{\circ})\sin(23^{\circ})$$

For the following exercises, prove the identity.

399.
$$\cos(4x) - \cos(3x)\cos x = \sin^2 x - 4\cos^2 x \sin^2 x$$

400.
$$\cos(3x) - \cos^3 x = -\cos x \sin^2 x - \sin x \sin(2x)$$

For the following exercise, simplify the expression.

401.
$$\frac{\tan(\frac{1}{2}x) + \tan(\frac{1}{8}x)}{1 - \tan(\frac{1}{8}x)\tan(\frac{1}{2}x)}$$

For the following exercises, find the exact value.

402.
$$\cos\left(\sin^{-1}(0) - \cos^{-1}\left(\frac{1}{2}\right)\right)$$

403.
$$\tan(\sin^{-1}(0) + \sin^{-1}(\frac{1}{2}))$$

Double-Angle, Half-Angle, and Reduction Formulas

For the following exercises, find the exact value.

404. Find
$$\sin(2\theta)$$
, $\cos(2\theta)$, and $\tan(2\theta)$ given $\cos \theta = -\frac{1}{3}$ and θ is in the interval $\left[\frac{\pi}{2}, \pi\right]$.

405. Find
$$\sin(2\theta)$$
, $\cos(2\theta)$, and $\tan(2\theta)$ given $\sec \theta = -\frac{5}{3}$ and θ is in the interval $\left[\frac{\pi}{2}, \pi\right]$.

406.
$$\sin(\frac{7\pi}{8})$$

407.
$$\sec(\frac{3\pi}{8})$$

For the following exercises, use **Figure 7.49** to find the desired quantities.

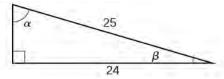


Figure 7.49

408.

 $\sin(2\beta)$, $\cos(2\beta)$, $\tan(2\beta)$, $\sin(2\alpha)$, $\cos(2\alpha)$, and $\tan(2\alpha)$

409.
$$\sin\left(\frac{\beta}{2}\right)$$
, $\cos\left(\frac{\beta}{2}\right)$, $\tan\left(\frac{\beta}{2}\right)$, $\sin\left(\frac{\alpha}{2}\right)$, $\cos\left(\frac{\alpha}{2}\right)$, and $\tan\left(\frac{\alpha}{2}\right)$

For the following exercises, prove the identity.

410.
$$\frac{2\cos(2x)}{\sin(2x)} = \cot x - \tan x$$

411.
$$\cot x \cos(2x) = -\sin(2x) + \cot x$$

For the following exercises, rewrite the expression with no powers.

412.
$$\cos^2 x \sin^4(2x)$$

413.
$$\tan^2 x \sin^3 x$$

Sum-to-Product and Product-to-Sum Formulas

For the following exercises, evaluate the product for the given expression using a sum or difference of two functions. Write the exact answer.

414.
$$\cos\left(\frac{\pi}{3}\right)\sin\left(\frac{\pi}{4}\right)$$

415.
$$2\sin\left(\frac{2\pi}{3}\right)\sin\left(\frac{5\pi}{6}\right)$$

416.
$$2\cos\left(\frac{\pi}{5}\right)\cos\left(\frac{\pi}{3}\right)$$

For the following exercises, evaluate the sum by using a product formula. Write the exact answer.

417.
$$\sin\left(\frac{\pi}{12}\right) - \sin\left(\frac{7\pi}{12}\right)$$

418.
$$\cos(\frac{5\pi}{12}) + \cos(\frac{7\pi}{12})$$

For the following exercises, change the functions from a product to a sum or a sum to a product.

419.
$$\sin(9x)\cos(3x)$$

420.
$$\cos(7x)\cos(12x)$$

421.
$$\sin(11x) + \sin(2x)$$

422.
$$\cos(6x) + \cos(5x)$$

Solving Trigonometric Equations

For the following exercises, find all exact solutions on the interval $[0, 2\pi)$.

423.
$$\tan x + 1 = 0$$

424.
$$2\sin(2x) + \sqrt{2} = 0$$

For the following exercises, find all exact solutions on the interval $[0, 2\pi)$.

425.
$$2\sin^2 x - \sin x = 0$$

426.
$$\cos^2 x - \cos x - 1 = 0$$

427.
$$2\sin^2 x + 5\sin x + 3 = 0$$

428.
$$\cos x - 5\sin(2x) = 0$$

429.
$$\frac{1}{\sec^2 x} + 2 + \sin^2 x + 4\cos^2 x = 0$$

For the following exercises, simplify the equation algebraically as much as possible. Then use a calculator to find the solutions on the interval $[0, 2\pi)$. Round to four decimal places.

430.
$$\sqrt{3} \cot^2 x + \cot x = 1$$

431.
$$\csc^2 x - 3\csc x - 4 = 0$$

For the following exercises, graph each side of the equation to find the zeroes on the interval $[0, 2\pi)$.

432.
$$20\cos^2 x + 21\cos x + 1 = 0$$

433.
$$\sec^2 x - 2\sec x = 15$$

Modeling with Trigonometric Equations

For the following exercises, graph the points and find a possible formula for the trigonometric values in the given table.

434.

<u> </u>							
x	0	1	2	3	4	5	
у	1	6	11	6	1	6	

435.

$ \begin{array}{c cccc} x & y \\ \hline 0 & -2 \\ \hline 1 & 1 \\ \hline 2 & -2 \\ \hline 3 & -5 \\ \hline 4 & -2 \\ \end{array} $		
1 1 2 -2 3 -5	x	у
2 -2 3 -5	0	-2
3 -5	1	1
	2	-2
4 -2	3	-5
	4	-2
5 1	5	1

436.

у
$3 + 2\sqrt{2}$
3
$2\sqrt{2}-1$
1
$3-2\sqrt{2}$
-1
$-1-2\sqrt{2}$

- **437.** A man with his eye level 6 feet above the ground is standing 3 feet away from the base of a 15-foot vertical ladder. If he looks to the top of the ladder, at what angle above horizontal is he looking?
- **438.** Using the ladder from the previous exercise, if a 6-foot-tall construction worker standing at the top of the

ladder looks down at the feet of the man standing at the bottom, what angle from the horizontal is he looking?

For the following exercises, construct functions that model the described behavior.

- **439.** A population of lemmings varies with a yearly low of 500 in March. If the average yearly population of lemmings is 950, write a function that models the population with respect to t, the month.
- **440.** Daily temperatures in the desert can be very extreme. If the temperature varies from 90°F to 30°F and the average daily temperature first occurs at 10 AM, write a function modeling this behavior.

For the following exercises, find the amplitude, frequency, and period of the given equations.

441.
$$y = 3\cos(x\pi)$$

442.
$$y = -2\sin(16x\pi)$$

For the following exercises, model the described behavior and find requested values.

- **443.** An invasive species of carp is introduced to Lake Freshwater. Initially there are 100 carp in the lake and the population varies by 20 fish seasonally. If by year 5, there are 625 carp, find a function modeling the population of carp with respect to *t*, the number of years from now.
- **444.** The native fish population of Lake Freshwater averages 2500 fish, varying by 100 fish seasonally. Due to competition for resources from the invasive carp, the native fish population is expected to decrease by 5% each year. Find a function modeling the population of native fish with respect to t, the number of years from now. Also determine how many years it will take for the carp to overtake the native fish population.

CHAPTER 7 PRACTICE TEST

For the following exercises, simplify the given expression.

445.
$$\cos(-x)\sin x \cot x + \sin^2 x$$

446.
$$\sin(-x)\cos(-2x)-\sin(-x)\cos(-2x)$$

For the following exercises, find the exact value.

447.
$$\cos(\frac{7\pi}{12})$$

448.
$$\tan(\frac{3\pi}{8})$$

449.
$$\tan \left(\sin^{-1} \left(\frac{\sqrt{2}}{2} \right) + \tan^{-1} \sqrt{3} \right)$$

450.
$$2\sin\left(\frac{\pi}{4}\right)\sin\left(\frac{\pi}{6}\right)$$

For the following exercises, find all exact solutions to the equation on $[0, 2\pi)$.

451.
$$\cos^2 x - \sin^2 x - 1 = 0$$

452.
$$\cos^2 x = \cos x \cdot 4 \sin^2 x + 2 \sin x - 3 = 0$$

453.
$$\cos(2x) + \sin^2 x = 0$$

454.
$$2\sin^2 x - \sin x = 0$$

- **455.** Rewrite the expression as a product instead of a sum: cos(2x) + cos(-8x).
- **456.** Find all solutions of $tan(x) \sqrt{3} = 0$.
- **457.** Find the solutions of $\sec^2 x 2 \sec x = 15$ on the interval $[0, 2\pi)$ algebraically; then graph both sides of the equation to determine the answer.

458. Find
$$\sin(2\theta)$$
, $\cos(2\theta)$, and $\tan(2\theta)$ given $\cot \theta = -\frac{3}{4}$ and θ is on the interval $\left[\frac{\pi}{2}, \pi\right]$.

459. Find
$$\sin\left(\frac{\theta}{2}\right)$$
, $\cos\left(\frac{\theta}{2}\right)$, and $\tan\left(\frac{\theta}{2}\right)$ given $\cos\theta = \frac{7}{25}$ and θ is in quadrant IV.

460. Rewrite the expression $\sin^4 x$ with no powers greater than 1.

For the following exercises, prove the identity.

461.
$$\tan^3 x - \tan x \sec^2 x = \tan(-x)$$

462.
$$\sin(3x) - \cos x \sin(2x) = \cos^2 x \sin x - \sin^3 x$$

463.
$$\frac{\sin(2x)}{\sin x} - \frac{\cos(2x)}{\cos x} = \sec x$$

464. Plot the points and find a function of the form $y = A\cos(Bx + C) + D$ that fits the given data.

x	0	1	2	3	4	5
у	-2	2	-2	2	-2	2

465. The displacement h(t) in centimeters of a mass suspended by a spring is modeled by the function $h(t) = \frac{1}{4}\sin(120\pi t)$, where t is measured in seconds.

Find the amplitude, period, and frequency of this displacement.

- **466.** A woman is standing 300 feet away from a 2000-foot building. If she looks to the top of the building, at what angle above horizontal is she looking? A bored worker looks down at her from the 15th floor (1500 feet above her). At what angle is he looking down at her? Round to the nearest tenth of a degree.
- **467.** Two frequencies of sound are played on an instrument governed by the equation $n(t) = 8\cos(20\pi t)\cos(1000\pi t)$. What are the period and frequency of the "fast" and "slow" oscillations? What is the amplitude?
- **468.** The average monthly snowfall in a small village in the Himalayas is 6 inches, with the low of 1 inch occurring in July. Construct a function that models this behavior. During what period is there more than 10 inches of snowfall?
- **469.** A spring attached to a ceiling is pulled down 20 cm. After 3 seconds, wherein it completes 6 full periods, the amplitude is only 15 cm. Find the function modeling the

position of the spring t seconds after being released. At what time will the spring come to rest? In this case, use 1 cm amplitude as rest.

470. Water levels near a glacier currently average 9 feet, varying seasonally by 2 inches above and below the average and reaching their highest point in January. Due to global warming, the glacier has begun melting faster than normal. Every year, the water levels rise by a steady 3 inches. Find a function modeling the depth of the water *t* months from now. If the docks are 2 feet above current water levels, at what point will the water first rise above the docks?

8 | FURTHER APPLICATIONS OF TRIGONOMETRY



Figure 8.1 General Sherman, the world's largest living tree. (credit: Mike Baird, Flickr)

Chapter Outline

- 8.1 Non-right Triangles: Law of Sines
- 8.2 Non-right Triangles: Law of Cosines
- **8.3** Polar Coordinates
- 8.4 Polar Coordinates: Graphs
- 8.5 Polar Form of Complex Numbers
- 8.6 Parametric Equations
- 8.7 Parametric Equations: Graphs
- 8.8 Vectors

Introduction

The world's largest tree by volume, named General Sherman, stands 274.9 feet tall and resides in Northern California. ^[1] Just how do scientists know its true height? A common way to measure the height involves determining the angle of elevation, which is formed by the tree and the ground at a point some distance away from the base of the tree. This method is much more practical than climbing the tree and dropping a very long tape measure.

In this chapter, we will explore applications of trigonometry that will enable us to solve many different kinds of problems, including finding the height of a tree. We extend topics we introduced in **Trigonometric Functions** and investigate applications more deeply and meaningfully.

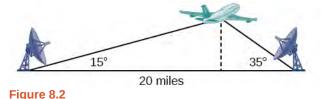
8.1 | Non-right Triangles: Law of Sines

Learning Objectives

In this section, you will:

- **8.1.1** Use the Law of Sines to solve oblique triangles.
- **8.1.2** Find the area of an oblique triangle using the sine function.
- 8.1.3 Solve applied problems using the Law of Sines.

Suppose two radar stations located 20 miles apart each detect an aircraft between them. The angle of elevation measured by the first station is 35 degrees, whereas the angle of elevation measured by the second station is 15 degrees. How can we determine the altitude of the aircraft? We see in **Figure 8.2** that the triangle formed by the aircraft and the two stations is not a right triangle, so we cannot use what we know about right triangles. In this section, we will find out how to solve problems involving non-right triangles.



Using the Law of Sines to Solve Oblique Triangles

In any triangle, we can draw an **altitude**, a perpendicular line from one vertex to the opposite side, forming two right triangles. It would be preferable, however, to have methods that we can apply directly to non-right triangles without first having to create right triangles.

Any triangle that is not a right triangle is an **oblique triangle**. Solving an oblique triangle means finding the measurements of all three angles and all three sides. To do so, we need to start with at least three of these values, including at least one of the sides. We will investigate three possible oblique triangle problem situations:

1. ASA (angle-side-angle) We know the measurements of two angles and the included side. See Figure 8.3.

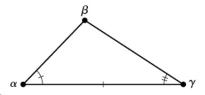


Figure 8.3

AAS (angle-angle-side) We know the measurements of two angles and a side that is not between the known angles. See Figure 8.4.

^{1.} Source: National Park Service. "The General Sherman Tree." http://www.nps.gov/seki/naturescience/sherman.htm. Accessed April 25, 2014.

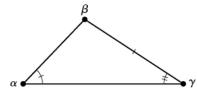


Figure 8.4

SSA (side-side-angle) We know the measurements of two sides and an angle that is not between the known sides. See Figure 8.5.

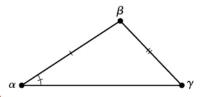


Figure 8.5

Knowing how to approach each of these situations enables us to solve oblique triangles without having to drop a perpendicular to form two right triangles. Instead, we can use the fact that the ratio of the measurement of one of the angles to the length of its opposite side will be equal to the other two ratios of angle measure to opposite side. Let's see how this statement is derived by considering the triangle shown in **Figure 8.6**.

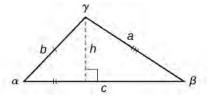


Figure 8.6

Using the right triangle relationships, we know that $\sin \alpha = \frac{h}{b}$ and $\sin \beta = \frac{h}{a}$. Solving both equations for h gives two different expressions for h.

$$h = b\sin \alpha$$
 and $h = a\sin \beta$

We then set the expressions equal to each other.

$$b\sin \alpha = a\sin \beta$$

$$\left(\frac{1}{ab}\right)(b\sin \alpha) = (a\sin \beta)\left(\frac{1}{ab}\right)$$
 Multiply both sides by $\frac{1}{ab}$.
$$\frac{\sin \alpha}{a} = \frac{\sin \beta}{b}$$

Similarly, we can compare the other ratios.

$$\frac{\sin \alpha}{a} = \frac{\sin \gamma}{c}$$
 and $\frac{\sin \beta}{b} = \frac{\sin \gamma}{c}$

Collectively, these relationships are called the **Law of Sines**.

$$\frac{\sin \alpha}{a} = \frac{\sin \beta}{b} = \frac{\sin \lambda}{c}$$

Note the standard way of labeling triangles: angle α (alpha) is opposite side a; angle β (beta) is opposite side b; and angle γ (gamma) is opposite side c. See **Figure 8.7**.

While calculating angles and sides, be sure to carry the exact values through to the final answer. Generally, final answers are rounded to the nearest tenth, unless otherwise specified.

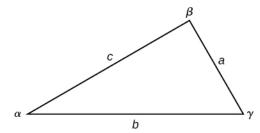


Figure 8.7

Law of Sines

Given a triangle with angles and opposite sides labeled as in **Figure 8.7**, the ratio of the measurement of an angle to the length of its opposite side will be equal to the other two ratios of angle measure to opposite side. All proportions will be equal. The **Law of Sines** is based on proportions and is presented symbolically two ways.

$$\frac{\sin \alpha}{a} = \frac{\sin \beta}{b} = \frac{\sin \gamma}{c} \tag{8.1}$$

$$\frac{a}{\sin \alpha} = \frac{b}{\sin \beta} = \frac{c}{\sin \gamma} \tag{8.2}$$

To solve an oblique triangle, use any pair of applicable ratios.

Example 8.1

Solving for Two Unknown Sides and Angle of an AAS Triangle

Solve the triangle shown in **Figure 8.8** to the nearest tenth.

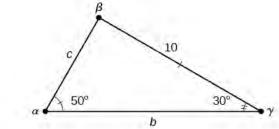


Figure 8.8

Solution

The three angles must add up to 180 degrees. From this, we can determine that

$$\beta = 180^{\circ} - 50^{\circ} - 30^{\circ}$$
$$= 100^{\circ}$$

To find an unknown side, we need to know the corresponding angle and a known ratio. We know that angle $\alpha = 50^{\circ}$ and its corresponding side a = 10. We can use the following proportion from the Law of Sines to find the length of c.

$$\begin{split} \frac{\sin(50^\circ)}{10} &= \frac{\sin(30^\circ)}{c} \\ c\frac{\sin(50^\circ)}{10} &= \sin(30^\circ) \\ c &= \sin(30^\circ) \frac{10}{\sin(50^\circ)} \end{split} \qquad \text{Multiply both sides by } c. \\ c &= \sin(30^\circ) \frac{10}{\sin(50^\circ)} \\ c &\approx 6.5 \end{split}$$

Similarly, to solve for b, we set up another proportion.

$$\frac{\sin(50^\circ)}{10} = \frac{\sin(100^\circ)}{b}$$

$$b\sin(50^\circ) = 10\sin(100^\circ)$$

$$b = \frac{10\sin(100^\circ)}{\sin(50^\circ)}$$
Multiply both sides by b.

Multiply by the reciprocal to isolate b.
$$b \approx 12.9$$

Therefore, the complete set of angles and sides is

$$\alpha = 50^{\circ}$$
 $a = 10$
 $\beta = 100^{\circ}$ $b \approx 12.9$
 $\gamma = 30^{\circ}$ $c \approx 6.5$



8.1 Solve the triangle shown in **Figure 8.9** to the nearest tenth.

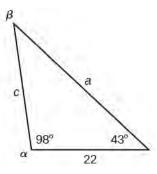


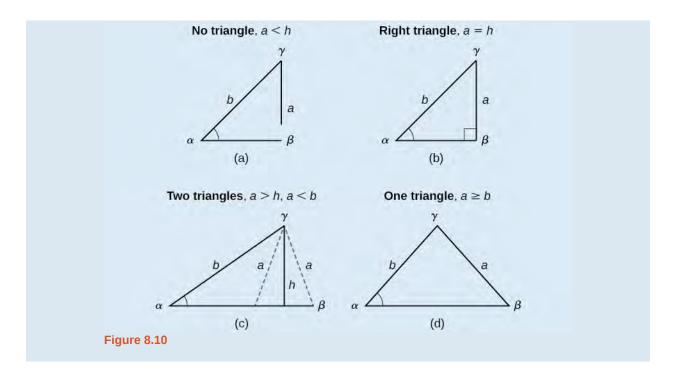
Figure 8.9

Using The Law of Sines to Solve SSA Triangles

We can use the Law of Sines to solve any oblique triangle, but some solutions may not be straightforward. In some cases, more than one triangle may satisfy the given criteria, which we describe as an **ambiguous case**. Triangles classified as SSA, those in which we know the lengths of two sides and the measurement of the angle opposite one of the given sides, may result in one or two solutions, or even no solution.

Possible Outcomes for SSA Triangles

Oblique triangles in the category SSA may have four different outcomes. **Figure 8.10** illustrates the solutions with the known sides a and b and known angle a.



Example 8.2

Solving an Oblique SSA Triangle

Solve the triangle in **Figure 8.11** for the missing side and find the missing angle measures to the nearest tenth.

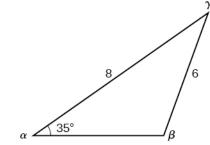


Figure 8.11

Solution

Use the Law of Sines to find angle β and angle γ , and then side c. Solving for β , we have the proportion

$$\frac{\sin \alpha}{a} = \frac{\sin \beta}{b}$$

$$\frac{\sin(35^\circ)}{6} = \frac{\sin \beta}{8}$$

$$\frac{8\sin(35^\circ)}{6} = \sin \beta$$

$$0.7648 \approx \sin \beta$$

$$\sin^{-1}(0.7648) \approx 49.9^\circ$$

$$\beta \approx 49.9^\circ$$

However, in the diagram, angle β appears to be an obtuse angle and may be greater than 90°. How did we get an acute angle, and how do we find the measurement of β ? Let's investigate further. Dropping a perpendicular from γ and viewing the triangle from a right angle perspective, we have **Figure 8.12**. It appears that there may be a second triangle that will fit the given criteria.

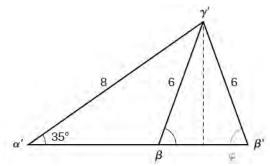


Figure 8.12

The angle supplementary to β is approximately equal to 49.9°, which means that $\beta = 180^{\circ} - 49.9^{\circ} = 130.1^{\circ}$. (Remember that the sine function is positive in both the first and second quadrants.) Solving for γ , we have

$$\gamma = 180^{\circ} - 35^{\circ} - 130.1^{\circ} \approx 14.9^{\circ}$$

We can then use these measurements to solve the other triangle. Since γ' is supplementary to γ , we have

$$\gamma' = 180^{\circ} - 35^{\circ} - 49.9^{\circ} \approx 95.1^{\circ}$$

Now we need to find c and c'.

We have

$$\frac{c}{\sin(14.9^\circ)} = \frac{6}{\sin(35^\circ)}$$
$$c = \frac{6\sin(14.9^\circ)}{\sin(35^\circ)} \approx 2.7$$

Finally,

$$\frac{c'}{\sin(95.1^\circ)} = \frac{6}{\sin(35^\circ)}$$
$$c' = \frac{6\sin(95.1^\circ)}{\sin(35^\circ)} \approx 10.4$$

To summarize, there are two triangles with an angle of 35°, an adjacent side of 8, and an opposite side of 6, as shown in **Figure 8.13**.

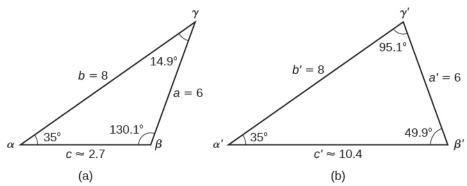


Figure 8.13

However, we were looking for the values for the triangle with an obtuse angle β . We can see them in the first triangle (a) in **Figure 8.13**.



8.2 Given $\alpha = 80^{\circ}$, a = 120, and b = 121, find the missing side and angles. If there is more than one possible solution, show both.

Example 8.3

Solving for the Unknown Sides and Angles of a SSA Triangle

In the triangle shown in **Figure 8.14**, solve for the unknown side and angles. Round your answers to the nearest tenth.

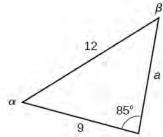


Figure 8.14

Solution

In choosing the pair of ratios from the Law of Sines to use, look at the information given. In this case, we know the angle $\gamma=85^{\circ}$, and its corresponding side c=12, and we know side b=9. We will use this proportion to solve for β .

$$\frac{\sin(85^\circ)}{12} = \frac{\sin \beta}{9}$$
 Isolate the unknown.
$$\frac{9\sin(85^\circ)}{12} = \sin \beta$$

To find β , apply the inverse sine function. The inverse sine will produce a single result, but keep in mind that there may be two values for β . It is important to verify the result, as there may be two viable solutions, only one solution (the usual case), or no solutions.

$$\beta = \sin^{-1} \left(\frac{9\sin(85^\circ)}{12} \right)$$
$$\beta \approx \sin^{-1}(0.7471)$$
$$\beta \approx 48.3^\circ$$

In this case, if we subtract β from 180°, we find that there may be a second possible solution. Thus, $\beta = 180^{\circ} - 48.3^{\circ} \approx 131.7^{\circ}$. To check the solution, subtract both angles, 131.7° and 85°, from 180°. This gives

$$\alpha = 180^{\circ} - 85^{\circ} - 131.7^{\circ} \approx -36.7^{\circ}$$

which is impossible, and so $\beta \approx 48.3^{\circ}$.

To find the remaining missing values, we calculate $\alpha = 180^{\circ} - 85^{\circ} - 48.3^{\circ} \approx 46.7^{\circ}$. Now, only side a is needed. Use the Law of Sines to solve for a by one of the proportions.

$$\frac{\sin(85°)}{12} = \frac{\sin(46.7°)}{a}$$

$$a\frac{\sin(85°)}{12} = \sin(46.7°)$$

$$a = \frac{12\sin(46.7°)}{\sin(85°)} \approx 8.8$$

The complete set of solutions for the given triangle is

$$\alpha \approx 46.7^{\circ}$$
 $a \approx 8.8$
 $\beta \approx 48.3^{\circ}$ $b = 9$
 $\gamma = 85^{\circ}$ $c = 12$



8.3 Given $\alpha = 80^{\circ}$, a = 100, b = 10, find the missing side and angles. If there is more than one possible solution, show both. Round your answers to the nearest tenth.

Example 8.4

Finding the Triangles That Meet the Given Criteria

Find all possible triangles if one side has length 4 opposite an angle of 50°, and a second side has length 10.

Solution

Using the given information, we can solve for the angle opposite the side of length 10. See Figure 8.15.

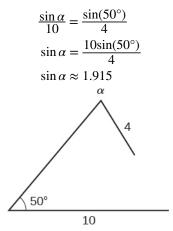


Figure 8.15

We can stop here without finding the value of α . Because the range of the sine function is [-1, 1], it is impossible for the sine value to be 1.915. In fact, inputting $\sin^{-1}(1.915)$ in a graphing calculator generates an ERROR DOMAIN. Therefore, no triangles can be drawn with the provided dimensions.



8.4 Determine the number of triangles possible given a = 31, b = 26, $\beta = 48^{\circ}$.

Finding the Area of an Oblique Triangle Using the Sine Function

Now that we can solve a triangle for missing values, we can use some of those values and the sine function to find the area of an oblique triangle. Recall that the area formula for a triangle is given as $\text{Area} = \frac{1}{2}bh$, where b is base and h is height. For oblique triangles, we must find h before we can use the area formula. Observing the two triangles in **Figure 8.16**, one acute and one obtuse, we can drop a perpendicular to represent the height and then apply the trigonometric property $\sin \alpha = \frac{\text{opposite}}{\text{hypotenuse}}$ to write an equation for area in oblique triangles. In the acute triangle, we have $\sin \alpha = \frac{h}{c}$ or

 $c\sin \alpha = h$. However, in the obtuse triangle, we drop the perpendicular outside the triangle and extend the base b to form a right triangle. The angle used in calculation is α' , or $180 - \alpha$.

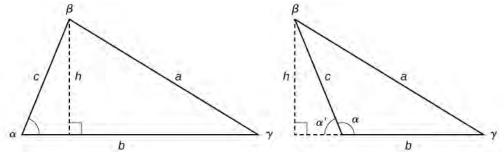


Figure 8.16

Thus,

Area =
$$\frac{1}{2}$$
(base)(height) = $\frac{1}{2}b(c\sin\alpha)$

Similarly,

Area =
$$\frac{1}{2}a(b\sin\gamma) = \frac{1}{2}a(c\sin\beta)$$

Area of an Oblique Triangle

The formula for the area of an oblique triangle is given by

Area =
$$\frac{1}{2}bc\sin\alpha$$
 (8.3)
= $\frac{1}{2}ac\sin\beta$
= $\frac{1}{2}ab\sin\gamma$

This is equivalent to one-half of the product of two sides and the sine of their included angle.

Example 8.5

Finding the Area of an Oblique Triangle

Find the area of a triangle with sides a = 90, b = 52, and angle $\gamma = 102^{\circ}$. Round the area to the nearest integer.

Solution

Using the formula, we have

Area =
$$\frac{1}{2}ab\sin\gamma$$

Area = $\frac{1}{2}(90)(52)\sin(102^\circ)$
Area ≈ 2289 square units



8.5 Find the area of the triangle given $\beta = 42^{\circ}$, a = 7.2 ft, c = 3.4 ft. Round the area to the nearest tenth.

Solving Applied Problems Using the Law of Sines

The more we study trigonometric applications, the more we discover that the applications are countless. Some are flat, diagram-type situations, but many applications in calculus, engineering, and physics involve three dimensions and motion.

Example 8.6

Finding an Altitude

Find the altitude of the aircraft in the problem introduced at the beginning of this section, shown in **Figure 8.17**. Round the altitude to the nearest tenth of a mile.

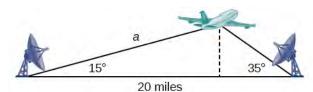


Figure 8.17

Solution

To find the elevation of the aircraft, we first find the distance from one station to the aircraft, such as the side a, and then use right triangle relationships to find the height of the aircraft, h.

Because the angles in the triangle add up to 180 degrees, the unknown angle must be $180^{\circ}-15^{\circ}-35^{\circ}=130^{\circ}$. This angle is opposite the side of length 20, allowing us to set up a Law of Sines relationship.

$$\frac{\sin(130^{\circ})}{20} = \frac{\sin(35^{\circ})}{a}$$

$$a\sin(130^{\circ}) = 20\sin(35^{\circ})$$

$$a = \frac{20\sin(35^{\circ})}{\sin(130^{\circ})}$$

$$a \approx 14.98$$

The distance from one station to the aircraft is about 14.98 miles.

Now that we know a, we can use right triangle relationships to solve for h.

$$\sin(15^\circ) = \frac{\text{opposite}}{\text{hypotenuse}}$$

$$\sin(15^\circ) = \frac{h}{a}$$

$$\sin(15^\circ) = \frac{h}{14.98}$$

$$h = 14.98\sin(15^\circ)$$

$$h \approx 3.88$$

The aircraft is at an altitude of approximately 3.9 miles.



8.6 The diagram shown in **Figure 8.18** represents the height of a blimp flying over a football stadium. Find the height of the blimp if the angle of elevation at the southern end zone, point A, is 70° , the angle of elevation from the northern end zone, point B, is 62° , and the distance between the viewing points of the two end zones is 145 yards.



Figure 8.18



Access these online resources for additional instruction and practice with trigonometric applications.

- Law of Sines: The Basics (http://openstaxcollege.org/l/sinesbasic)
- Law of Sines: The Ambiguous Case (http://openstaxcollege.org/l/sinesambiguous)

8.1 EXERCISES

Verbal

- 1. Describe the altitude of a triangle.
- 2. Compare right triangles and oblique triangles.
- 3. When can you use the Law of Sines to find a missing angle?
- 4. In the Law of Sines, what is the relationship between the angle in the numerator and the side in the denominator?
- 5. What type of triangle results in an ambiguous case?

Algebraic

For the following exercises, assume α is opposite side a, β is opposite side b, and γ is opposite side c. Solve each triangle, if possible. Round each answer to the nearest tenth.

6.
$$\alpha = 43^{\circ}, \gamma = 69^{\circ}, a = 20$$

7.
$$\alpha = 35^{\circ}, \gamma = 73^{\circ}, c = 20$$

8.
$$\alpha = 60^{\circ}$$
, $\beta = 60^{\circ}$, $\gamma = 60^{\circ}$

9.
$$a = 4$$
, $\alpha = 60^{\circ}$, $\beta = 100^{\circ}$

10.
$$b = 10$$
, $\beta = 95^{\circ}$, $\gamma = 30^{\circ}$

For the following exercises, use the Law of Sines to solve for the missing side for each oblique triangle. Round each answer to the nearest hundredth. Assume that angle A is opposite side a, angle B is opposite side b, and angle C is opposite side c.

- 11. Find side *b* when $A = 37^{\circ}$, $B = 49^{\circ}$, c = 5.
- 12. Find side *a* when $A = 132^{\circ}$, $C = 23^{\circ}$, b = 10.
- 13. Find side *c* when $B = 37^{\circ}$, C = 21, b = 23.

For the following exercises, assume α is opposite side a, β is opposite side b, and γ is opposite side c. Determine whether there is no triangle, one triangle, or two triangles. Then solve each triangle, if possible. Round each answer to the nearest tenth.

14.
$$\alpha = 119^{\circ}$$
, $a = 14$, $b = 26$

15.
$$\gamma = 113^{\circ}, b = 10, c = 32$$

16.
$$b = 3.5$$
, $c = 5.3$, $\gamma = 80^{\circ}$

17.
$$a = 12$$
, $c = 17$, $\alpha = 35^{\circ}$

18.
$$a = 20.5$$
, $b = 35.0$, $\beta = 25^{\circ}$

19.
$$a = 7$$
. $c = 9$. $\alpha = 43^{\circ}$

20.
$$a = 7, b = 3, \beta = 24^{\circ}$$

21.
$$b = 13, c = 5, \gamma = 10^{\circ}$$

22.
$$a = 2.3, c = 1.8, \gamma = 28^{\circ}$$

23.
$$\beta = 119^{\circ}, b = 8.2, a = 11.3$$

For the following exercises, use the Law of Sines to solve, if possible, the missing side or angle for each triangle or triangles in the ambiguous case. Round each answer to the nearest tenth.

- 24. Find angle *A* when a = 24, b = 5, $B = 22^{\circ}$.
- 25. Find angle *A* when a = 13, b = 6, $B = 20^{\circ}$.
- 26. Find angle *B* when $A = 12^{\circ}$, a = 2, b = 9.

For the following exercises, find the area of the triangle with the given measurements. Round each answer to the nearest tenth.

27.
$$a = 5, c = 6, \beta = 35^{\circ}$$

28.
$$b = 11, c = 8, \alpha = 28^{\circ}$$

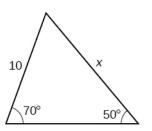
29.
$$a = 32$$
, $b = 24$, $\gamma = 75^{\circ}$

30.
$$a = 7.2, b = 4.5, \gamma = 43^{\circ}$$

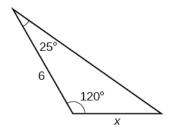
Graphical

For the following exercises, find the length of side *x*. Round to the nearest tenth.

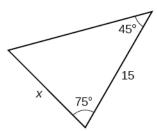
31.



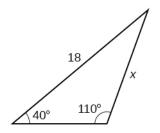
32.



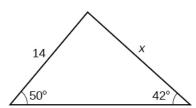
33.



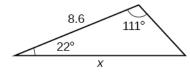
34.



35.

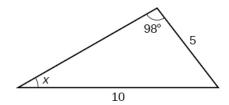


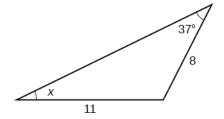
36.



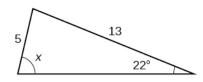
For the following exercises, find the measure of angle x, if possible. Round to the nearest tenth.

37.

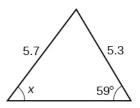




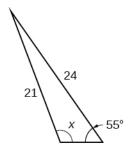
39.



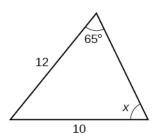
40.



41. Notice that x is an obtuse angle.



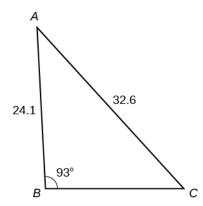
42.



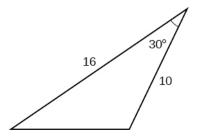
For the following exercises, find the area of each triangle. Round each answer to the nearest tenth.

43.

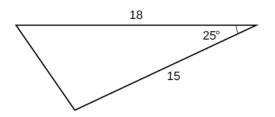
38.



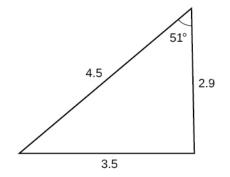
44.



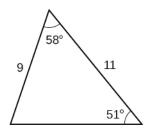
45.



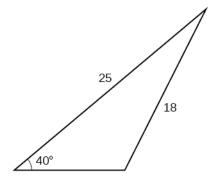
46.



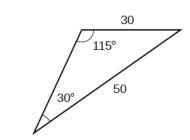
47.



48.



49.



Extensions

50. Find the radius of the circle in **Figure 8.19**. Round to the nearest tenth.

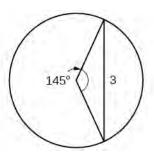


Figure 8.19

51. Find the diameter of the circle in **Figure 8.20**. Round to the nearest tenth.

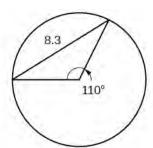


Figure 8.20

52. Find $m \angle ADC$ in **Figure 8.21**. Round to the nearest tenth.

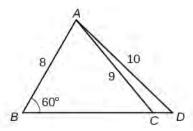


Figure 8.21

53. Find *AD* in **Figure 8.22**. Round to the nearest tenth.

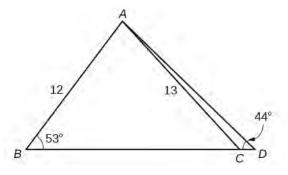


Figure 8.22

54. Solve both triangles in **Figure 8.23**. Round each answer to the nearest tenth.

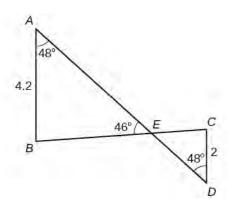


Figure 8.23

55. Find *AB* in the parallelogram shown in **Figure 8.24**.

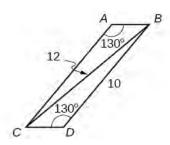


Figure 8.24

56. Solve the triangle in **Figure 8.25**. (Hint: Draw a perpendicular from H to JK). Round each answer to the nearest tenth.

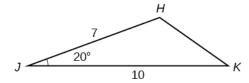


Figure 8.25

57. Solve the triangle in **Figure 8.26**. (Hint: Draw a perpendicular from N to LM). Round each answer to the nearest tenth.

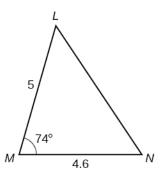


Figure 8.26

58. In **Figure 8.27**, ABCD is not a parallelogram. $\angle m$ is obtuse. Solve both triangles. Round each answer to the nearest tenth.

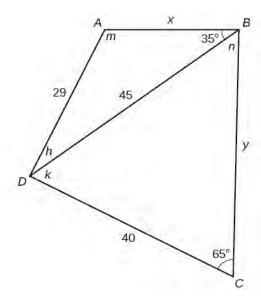


Figure 8.27

Real-World Applications

59. A pole leans away from the sun at an angle of 7° to the vertical, as shown in **Figure 8.28**. When the elevation of the sun is 55° , the pole casts a shadow 42 feet long on the level ground. How long is the pole? Round the answer to the nearest tenth.

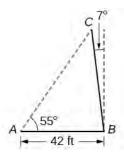


Figure 8.28

60. To determine how far a boat is from shore, two radar stations 500 feet apart find the angles out to the boat, as shown in **Figure 8.29**. Determine the distance of the boat from station *A* and the distance of the boat from shore. Round your answers to the nearest whole foot.

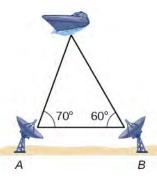


Figure 8.29

61. **Figure 8.30** shows a satellite orbiting Earth. The satellite passes directly over two tracking stations A and B, which are 69 miles apart. When the satellite is on one side of the two stations, the angles of elevation at A and B are measured to be 86.2° and 83.9°, respectively. How far is the satellite from station A and how high is the satellite above the ground? Round answers to the nearest whole mile.



Figure 8.30

62. A communications tower is located at the top of a steep hill, as shown in **Figure 8.31**. The angle of inclination of the hill is 67° . A guy wire is to be attached to the top of the tower and to the ground, 165 meters downhill from the base of the tower. The angle formed by the guy wire and the hill is 16° . Find the length of the cable required for the guy wire to the nearest whole meter.

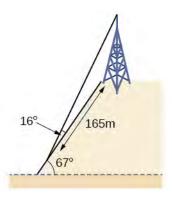


Figure 8.31

63. The roof of a house is at a 20° angle. An 8-foot solar panel is to be mounted on the roof and should be angled 38° relative to the horizontal for optimal results. (See **Figure 8.32**). How long does the vertical support holding up the back of the panel need to be? Round to the nearest tenth.

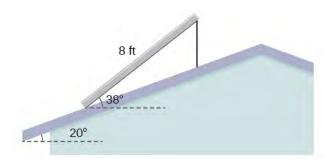


Figure 8.32

64. Similar to an angle of elevation, an *angle of depression* is the acute angle formed by a horizontal line and an observer's line of sight to an object below the horizontal. A pilot is flying over a straight highway. He determines the angles of depression to two mileposts, 6.6 km apart, to be 37° and 44° , as shown in **Figure 8.33**. Find the distance of the plane from point A to the nearest tenth of a kilometer.

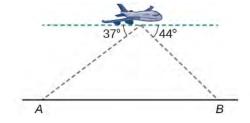


Figure 8.33

65. A pilot is flying over a straight highway. He determines the angles of depression to two mileposts, 4.3 km apart, to

be 32° and 56°, as shown in **Figure 8.34**. Find the distance of the plane from point A to the nearest tenth of a kilometer.

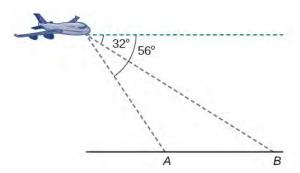


Figure 8.34

66. In order to estimate the height of a building, two students stand at a certain distance from the building at street level. From this point, they find the angle of elevation from the street to the top of the building to be 39°. They then move 300 feet closer to the building and find the angle of elevation to be 50°. Assuming that the street is level, estimate the height of the building to the nearest foot.

67. In order to estimate the height of a building, two students stand at a certain distance from the building at street level. From this point, they find the angle of elevation from the street to the top of the building to be 35°. They then move 250 feet closer to the building and find the angle of elevation to be 53°. Assuming that the street is level, estimate the height of the building to the nearest foot.

68. Points A and B are on opposite sides of a lake. Point C is 97 meters from A. The measure of angle BAC is determined to be 101°, and the measure of angle ACB is determined to be 53°. What is the distance from A to B, rounded to the nearest whole meter?

69. A man and a woman standing $3\frac{1}{2}$ miles apart spot a hot air balloon at the same time. If the angle of elevation from the man to the balloon is 27° , and the angle of elevation from the woman to the balloon is 41° , find the altitude of the balloon to the nearest foot.

70. Two search teams spot a stranded climber on a mountain. The first search team is 0.5 miles from the second search team, and both teams are at an altitude of 1 mile. The angle of elevation from the first search team to the stranded climber is 15°. The angle of elevation from the second search team to the climber is 22°. What is the altitude of the climber? Round to the nearest tenth of a mile.

71. A street light is mounted on a pole. A 6-foot-tall man is standing on the street a short distance from the pole, casting a shadow. The angle of elevation from the tip of the man's shadow to the top of his head of 28°. A 6-foot-tall woman is

standing on the same street on the opposite side of the pole from the man. The angle of elevation from the tip of her shadow to the top of her head is 28°. If the man and woman are 20 feet apart, how far is the street light from the tip of the shadow of each person? Round the distance to the nearest tenth of a foot.

- 72. Three cities, A, B, and C, are located so that city A is due east of city B. If city C is located 35° west of north from city B and is 100 miles from city A and 70 miles from city B, how far is city A from city B? Round the distance to the nearest tenth of a mile.
- 73. Two streets meet at an 80° angle. At the corner, a park is being built in the shape of a triangle. Find the area of the park if, along one road, the park measures 180 feet, and along the other road, the park measures 215 feet.
- 74. Brian's house is on a corner lot. Find the area of the front yard if the edges measure 40 and 56 feet, as shown in **Figure 8.35**.

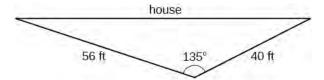


Figure 8.35

- 75. The Bermuda triangle is a region of the Atlantic Ocean that connects Bermuda, Florida, and Puerto Rico. Find the area of the Bermuda triangle if the distance from Florida to Bermuda is 1030 miles, the distance from Puerto Rico to Bermuda is 980 miles, and the angle created by the two distances is 62°.
- 76. A yield sign measures 30 inches on all three sides. What is the area of the sign?
- 77. Naomi bought a modern dining table whose top is in the shape of a triangle. Find the area of the table top if two of the sides measure 4 feet and 4.5 feet, and the smaller angles measure 32° and 42°, as shown in **Figure 8.36**.

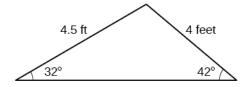


Figure 8.36

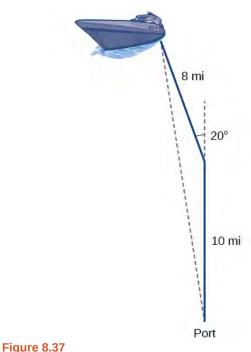
8.2 | Non-right Triangles: Law of Cosines

Learning Objectives

In this section, you will:

- 8.2.1 Use the Law of Cosines to solve oblique triangles.
- 8.2.2 Solve applied problems using the Law of Cosines.
- 8.2.3 Use Heron's formula to find the area of a triangle.

Suppose a boat leaves port, travels 10 miles, turns 20 degrees, and travels another 8 miles as shown in **Figure 8.37**. How far from port is the boat?



Unfortunately, while the Law of Sines enables us to address many non-right triangle cases, it does not help us with triangles where the known angle is between two known sides, a SAS (side-angle-side) triangle, or when all three sides are known, but no angles are known, a SSS (side-side-side) triangle. In this section, we will investigate another tool for solving oblique triangles described by these last two cases.

Using the Law of Cosines to Solve Oblique Triangles

The tool we need to solve the problem of the boat's distance from the port is the **Law of Cosines**, which defines the relationship among angle measurements and side lengths in oblique triangles. Three formulas make up the Law of Cosines. At first glance, the formulas may appear complicated because they include many variables. However, once the pattern is understood, the Law of Cosines is easier to work with than most formulas at this mathematical level.

Understanding how the Law of Cosines is derived will be helpful in using the formulas. The derivation begins with the **Generalized Pythagorean Theorem**, which is an extension of the Pythagorean Theorem to non-right triangles. Here is how it works: An arbitrary non-right triangle ABC is placed in the coordinate plane with vertex A at the origin, side c drawn along the x-axis, and vertex C located at some point (x, y) in the plane, as illustrated in **Figure 8.38**. Generally, triangles exist anywhere in the plane, but for this explanation we will place the triangle as noted.

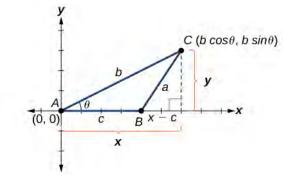


Figure 8.38

We can drop a perpendicular from *C* to the *x*-axis (this is the altitude or height). Recalling the basic trigonometric identities, we know that

$$\cos \theta = \frac{x(\text{adjacent})}{b(\text{hypotenuse})}$$
 and $\sin \theta = \frac{y(\text{opposite})}{b(\text{hypotenuse})}$

In terms of θ , $x = b\cos\theta$ and $y = b\sin\theta$. The (x, y) point located at C has coordinates $(b\cos\theta, b\sin\theta)$. Using the side (x - c) as one leg of a right triangle and y as the second leg, we can find the length of hypotenuse a using the Pythagorean Theorem. Thus,

$$a^{2} = (x - c)^{2} + y^{2}$$

$$= (b\cos\theta - c)^{2} + (b\sin\theta)^{2}$$
Substitute $(b\cos\theta)$ for x and $(b\sin\theta)$ for y .
$$= (b^{2}\cos^{2}\theta - 2bc\cos\theta + c^{2}) + b^{2}\sin^{2}\theta$$
Expand the perfect square.
$$= b^{2}\cos^{2}\theta + b^{2}\sin^{2}\theta + c^{2} - 2bc\cos\theta$$
Group terms noting that $\cos^{2}\theta + \sin^{2}\theta = 1$.
$$= b^{2}(\cos^{2}\theta + \sin^{2}\theta) + c^{2} - 2bc\cos\theta$$
Factor out b^{2} .

The formula derived is one of the three equations of the Law of Cosines. The other equations are found in a similar fashion. Keep in mind that it is always helpful to sketch the triangle when solving for angles or sides. In a real-world scenario, try to draw a diagram of the situation. As more information emerges, the diagram may have to be altered. Make those alterations to the diagram and, in the end, the problem will be easier to solve.

Law of Cosines

The **Law of Cosines** states that the square of any side of a triangle is equal to the sum of the squares of the other two sides minus twice the product of the other two sides and the cosine of the included angle. For triangles labeled as in **Figure 8.39**, with angles α , β , and γ , and opposite corresponding sides a, b, and c, respectively, the Law of Cosines is given as three equations.

$$a^{2} = b^{2} + c^{2} - 2bc \cos \alpha$$
 (8.4)
 $b^{2} = a^{2} + c^{2} - 2ac \cos \beta$
 $c^{2} = a^{2} + b^{2} - 2ab \cos \gamma$

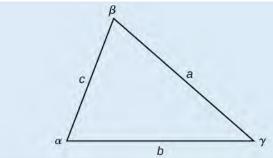


Figure 8.39

To solve for a missing side measurement, the corresponding opposite angle measure is needed.

When solving for an angle, the corresponding opposite side measure is needed. We can use another version of the Law of Cosines to solve for an angle.

$$\cos \alpha = \frac{b^2 + c^2 - a^2}{2bc}$$
$$\cos \beta = \frac{a^2 + c^2 - b^2}{2ac}$$
$$\cos \gamma = \frac{a^2 + b^2 - c^2}{2ab}$$



Given two sides and the angle between them (SAS), find the measures of the remaining side and angles of a triangle.

- 1. Sketch the triangle. Identify the measures of the known sides and angles. Use variables to represent the measures of the unknown sides and angles.
- 2. Apply the Law of Cosines to find the length of the unknown side or angle.
- 3. Apply the Law of Sines or Cosines to find the measure of a second angle.
- 4. Compute the measure of the remaining angle.

Example 8.7

Finding the Unknown Side and Angles of a SAS Triangle

Find the unknown side and angles of the triangle in **Figure 8.40**.

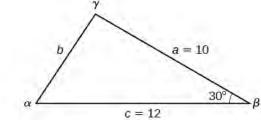


Figure 8.40

Solution

First, make note of what is given: two sides and the angle between them. This arrangement is classified as SAS and supplies the data needed to apply the Law of Cosines.

Each one of the three laws of cosines begins with the square of an unknown side opposite a known angle. For this example, the first side to solve for is side b, as we know the measurement of the opposite angle β .

$$b^2 = a^2 + c^2 - 2ac\cos\beta$$

$$b^2 = 10^2 + 12^2 - 2(10)(12)\cos(30^\circ)$$
Substitute the measurements for the known quantities.
$$b^2 = 100 + 144 - 240\left(\frac{\sqrt{3}}{2}\right)$$
Evaluate the cosine and begin to simplify.
$$b^2 = 244 - 120\sqrt{3}$$

$$b = \sqrt{244 - 120\sqrt{3}}$$
Use the square root property.
$$b \approx 6.013$$

Because we are solving for a length, we use only the positive square root. Now that we know the length b, we can use the Law of Sines to fill in the remaining angles of the triangle. Solving for angle α , we have

$$\frac{\sin \alpha}{a} = \frac{\sin \beta}{b}$$

$$\frac{\sin \alpha}{10} = \frac{\sin(30^\circ)}{6.013}$$

$$\sin \alpha = \frac{10\sin(30^\circ)}{6.013}$$
Multiply both sides of the equation by 10.
$$\alpha = \sin^{-1}\left(\frac{10\sin(30^\circ)}{6.013}\right)$$
Find the inverse sine of $\frac{10\sin(30^\circ)}{6.013}$.

The other possibility for α would be $\alpha=180^\circ-56.3^\circ\approx123.7^\circ$. In the original diagram, α is adjacent to the longest side, so α is an acute angle and, therefore, 123.7° does not make sense. Notice that if we choose to apply the Law of Cosines, we arrive at a unique answer. We do not have to consider the other possibilities, as cosine is unique for angles between 0° and 180° . Proceeding with $\alpha\approx56.3^\circ$, we can then find the third angle of the triangle.

$$\gamma = 180^{\circ} - 30^{\circ} - 56.3^{\circ} \approx 93.7^{\circ}$$

The complete set of angles and sides is

$$\alpha \approx 56.3^{\circ}$$
 $\beta = 30^{\circ}$
 $\alpha = 10$
 $b \approx 6.013$
 $\gamma \approx 93.7^{\circ}$
 $c = 12$



8.7 Find the missing side and angles of the given triangle: $\alpha = 30^{\circ}$, b = 12, c = 24.

Example 8.8

Solving for an Angle of a SSS Triangle

Find the angle α for the given triangle if side a = 20, side b = 25, and side c = 18.

Solution

For this example, we have no angles. We can solve for any angle using the Law of Cosines. To solve for angle α , we have

$$a^2 = b^2 + c^2 - 2bc\cos\alpha$$

$$20^2 = 25^2 + 18^2 - 2(25)(18)\cos\alpha$$
 Substitute the appropriate measurements.
$$400 = 625 + 324 - 900\cos\alpha$$
 Simplify in each step.
$$400 = 949 - 900\cos\alpha$$
 Isolate $\cos\alpha$.
$$-549 = -900\cos\alpha$$
 Isolate $\cos\alpha$.
$$\frac{-549}{-900} = \cos\alpha$$

$$0.61 \approx \cos\alpha$$

$$\cos^{-1}(0.61) \approx \alpha$$
 Find the inverse cosine.
$$\alpha \approx 52.4^{\circ}$$

See Figure 8.41.

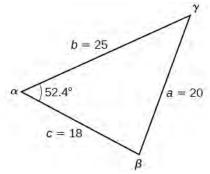


Figure 8.41

Analysis

Because the inverse cosine can return any angle between 0 and 180 degrees, there will not be any ambiguous cases using this method.



8.8 Given a = 5, b = 7, and c = 10, find the missing angles.

Solving Applied Problems Using the Law of Cosines

Just as the Law of Sines provided the appropriate equations to solve a number of applications, the Law of Cosines is applicable to situations in which the given data fits the cosine models. We may see these in the fields of navigation, surveying, astronomy, and geometry, just to name a few.

Example 8.9

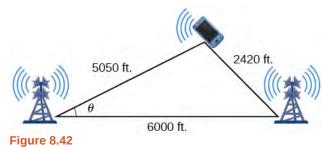
Using the Law of Cosines to Solve a Communication Problem

On many cell phones with GPS, an approximate location can be given before the GPS signal is received. This is accomplished through a process called triangulation, which works by using the distances from two known points. Suppose there are two cell phone towers within range of a cell phone. The two towers are located 6000 feet apart

along a straight highway, running east to west, and the cell phone is north of the highway. Based on the signal delay, it can be determined that the signal is 5050 feet from the first tower and 2420 feet from the second tower. Determine the position of the cell phone north and east of the first tower, and determine how far it is from the highway.

Solution

For simplicity, we start by drawing a diagram similar to Figure 8.42 and labeling our given information.



Using the Law of Cosines, we can solve for the angle θ . Remember that the Law of Cosines uses the square of one side to find the cosine of the opposite angle. For this example, let a = 2420, b = 5050, and c = 6000. Thus, θ corresponds to the opposite side a = 2420.

$$a^{2} = b^{2} + c^{2} - 2bc\cos\theta$$

$$(2420)^{2} = (5050)^{2} + (6000)^{2} - 2(5050)(6000)\cos\theta$$

$$(2420)^{2} - (5050)^{2} - (6000)^{2} = -2(5050)(6000)\cos\theta$$

$$\frac{(2420)^{2} - (5050)^{2} - (6000)^{2}}{-2(5050)(6000)} = \cos\theta$$

$$\cos\theta \approx 0.9183$$

$$\theta \approx \cos^{-1}(0.9183)$$

$$\theta \approx 23.3^{\circ}$$

To answer the questions about the phone's position north and east of the tower, and the distance to the highway, drop a perpendicular from the position of the cell phone, as in **Figure 8.43**. This forms two right triangles, although we only need the right triangle that includes the first tower for this problem.

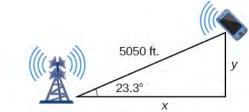


Figure 8.43

Using the angle $\,\theta=23.3^\circ\,$ and the basic trigonometric identities, we can find the solutions. Thus

$$\cos(23.3^{\circ}) = \frac{x}{5050}$$

$$x = 5050\cos(23.3^{\circ})$$

$$x \approx 4638.15 \text{ feet}$$

$$\sin(23.3^{\circ}) = \frac{y}{5050}$$

$$y = 5050\sin(23.3^{\circ})$$

$$y \approx 1997.5 \text{ feet}$$

The cell phone is approximately 4638 feet east and 1998 feet north of the first tower, and 1998 feet from the highway.

Example 8.10

Calculating Distance Traveled Using a SAS Triangle

Returning to our problem at the beginning of this section, suppose a boat leaves port, travels 10 miles, turns 20 degrees, and travels another 8 miles. How far from port is the boat? The diagram is repeated here in **Figure 8.44**.

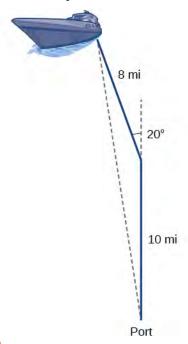


Figure 8.44

Solution

The boat turned 20 degrees, so the obtuse angle of the non-right triangle is the supplemental angle, $180^{\circ}-20^{\circ}=160^{\circ}$. With this, we can utilize the Law of Cosines to find the missing side of the obtuse triangle—the distance of the boat to the port.

$$x^{2} = 8^{2} + 10^{2} - 2(8)(10)\cos(160^{\circ})$$

$$x^{2} = 314.35$$

$$x = \sqrt{314.35}$$

$$x \approx 17.7 \text{ miles}$$

The boat is about 17.7 miles from port.

Using Heron's Formula to Find the Area of a Triangle

We already learned how to find the area of an oblique triangle when we know two sides and an angle. We also know the formula to find the area of a triangle using the base and the height. When we know the three sides, however, we can use Heron's formula instead of finding the height. Heron of Alexandria was a geometer who lived during the first century A.D. He discovered a formula for finding the area of oblique triangles when three sides are known.

Heron's Formula

Heron's formula finds the area of oblique triangles in which sides a, b, and c are known.

Area =
$$\sqrt{s(s-a)(s-b)(s-c)}$$
 (8.5)

where $s = \frac{(a+b+c)}{2}$ is one half of the perimeter of the triangle, sometimes called the semi-perimeter.

Example 8.11

Using Heron's Formula to Find the Area of a Given Triangle

Find the area of the triangle in **Figure 8.45** using Heron's formula.

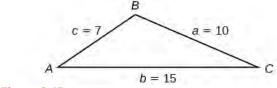


Figure 8.45

Solution

First, we calculate *s*.

$$s = \frac{(a+b+c)}{2}$$
$$s = \frac{(10+15+7)}{2} = 16$$

Then we apply the formula.

Area =
$$\sqrt{s(s-a)(s-b)(s-c)}$$

Area = $\sqrt{16(16-10)(16-15)(16-7)}$
Area ≈ 29.4

The area is approximately 29.4 square units.



8.9 Use Heron's formula to find the area of a triangle with sides of lengths a = 29.7 ft, b = 42.3 ft, and c = 38.4 ft.

Example 8.12

Applying Heron's Formula to a Real-World Problem

A Chicago city developer wants to construct a building consisting of artist's lofts on a triangular lot bordered by Rush Street, Wabash Avenue, and Pearson Street. The frontage along Rush Street is approximately 62.4 meters, along Wabash Avenue it is approximately 43.5 meters, and along Pearson Street it is approximately 34.1 meters. How many square meters are available to the developer? See **Figure 8.46** for a view of the city property.

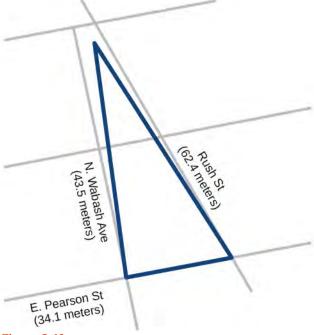


Figure 8.46

Solution

Find the measurement for s, which is one-half of the perimeter.

$$s = \frac{(62.4 + 43.5 + 34.1)}{2}$$
$$s = 70 \,\mathrm{m}$$

Apply Heron's formula.

Area =
$$\sqrt{70(70 - 62.4)(70 - 43.5)(70 - 34.1)}$$

Area = $\sqrt{506,118.2}$
Area ≈ 711.4

The developer has about 711.4 square meters.



8.10 Find the area of a triangle given a = 4.38 ft, b = 3.79 ft, and c = 5.22 ft.



Access these online resources for additional instruction and practice with the Law of Cosines.

- · Law of Cosines (http://openstaxcollege.org/l/lawcosines)
- Law of Cosines: Applications (http://openstaxcollege.org/l/cosineapp)
- · Law of Cosines: Applications 2 (http://openstaxcollege.org/l/cosineapp2)

8.2 EXERCISES

Verbal

78. If you are looking for a missing side of a triangle, what do you need to know when using the Law of Cosines?

79. If you are looking for a missing angle of a triangle, what do you need to know when using the Law of Cosines?

80. Explain what *s* represents in Heron's formula.

81. Explain the relationship between the Pythagorean Theorem and the Law of Cosines.

82. When must you use the Law of Cosines instead of the Pythagorean Theorem?

Algebraic

For the following exercises, assume α is opposite side a, β is opposite side b, and γ is opposite side c. If possible, solve each triangle for the unknown side. Round to the nearest tenth.

83.
$$\gamma = 41.2^{\circ}$$
, $a = 2.49$, $b = 3.13$

84.
$$\alpha = 120^{\circ}, b = 6, c = 7$$

85.
$$\beta = 58.7^{\circ}$$
, $a = 10.6$, $c = 15.7$

86.
$$\gamma = 115^{\circ}$$
, $a = 18$, $b = 23$

87.
$$\alpha = 119^{\circ}$$
, $a = 26$, $b = 14$

88.
$$\gamma = 113^{\circ}, b = 10, c = 32$$

89.
$$\beta = 67^{\circ}$$
, $a = 49$, $b = 38$

90.
$$\alpha = 43.1^{\circ}$$
, $a = 184.2$, $b = 242.8$

91.
$$\alpha = 36.6^{\circ}$$
, $a = 186.2$, $b = 242.2$

92.
$$\beta = 50^{\circ}$$
, $a = 105$, $b = 45$

For the following exercises, use the Law of Cosines to solve for the missing angle of the oblique triangle. Round to the nearest tenth.

93.
$$a = 42$$
, $b = 19$, $c = 30$; find angle A .

94.
$$a = 14$$
, $b = 13$, $c = 20$; find angle C .

95.
$$a = 16$$
, $b = 31$, $c = 20$; find angle B .

96.
$$a = 13$$
, $b = 22$, $c = 28$; find angle A .

97.
$$a = 108$$
, $b = 132$, $c = 160$; find angle C .

For the following exercises, solve the triangle. Round to the nearest tenth.

98.
$$A = 35^{\circ}, b = 8, c = 11$$

99.
$$B = 88^{\circ}$$
, $a = 4.4$, $c = 5.2$

100.
$$C = 121^{\circ}$$
, $a = 21$, $b = 37$

101.
$$a = 13, b = 11, c = 15$$

102.
$$a = 3.1, b = 3.5, c = 5$$

103.
$$a = 51, b = 25, c = 29$$

For the following exercises, use Heron's formula to find the area of the triangle. Round to the nearest hundredth.

104. Find the area of a triangle with sides of length 18 in, 21 in, and 32 in. Round to the nearest tenth.

105. Find the area of a triangle with sides of length 20 cm, 26 cm, and 37 cm. Round to the nearest tenth.

106.
$$a = \frac{1}{2}$$
 m, $b = \frac{1}{3}$ m, $c = \frac{1}{4}$ m

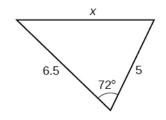
107.
$$a = 12.4 \text{ ft}, b = 13.7 \text{ ft}, c = 20.2 \text{ ft}$$

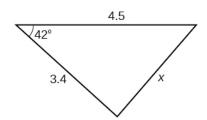
108.
$$a = 1.6$$
 yd, $b = 2.6$ yd, $c = 4.1$ yd

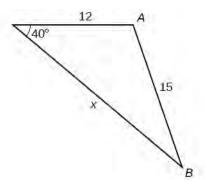
Graphical

For the following exercises, find the length of side x. Round to the nearest tenth.

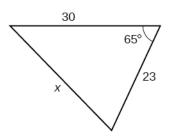
109.



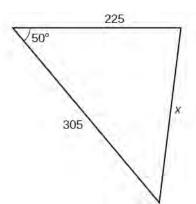




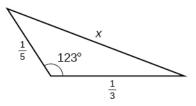
112.



113.

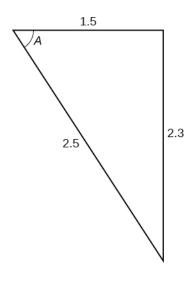


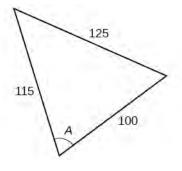
114.

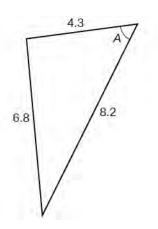


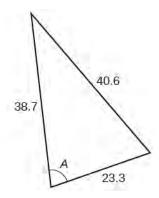
For the following exercises, find the measurement of angle $\boldsymbol{A}.$

115.









118.

116.

Find the measure of each angle in the triangle shown in **Figure 8.47**. Round to the nearest tenth.

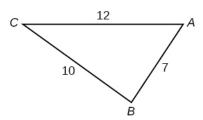
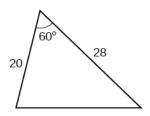


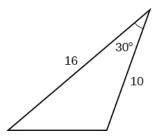
Figure 8.47

For the following exercises, solve for the unknown side. Round to the nearest tenth.

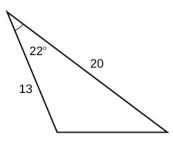
120.



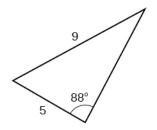
121.



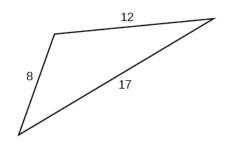
122.



123.

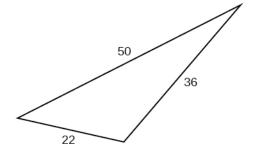


For the following exercises, find the area of the triangle. Round to the nearest hundredth.

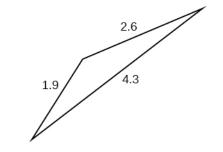


125.

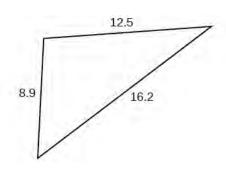
124.

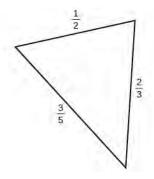


126.



127.





Extensions

- 129. A parallelogram has sides of length 16 units and 10 units. The shorter diagonal is 12 units. Find the measure of the longer diagonal.
- 130. The sides of a parallelogram are 11 feet and 17 feet. The longer diagonal is 22 feet. Find the length of the shorter diagonal.
- 131. The sides of a parallelogram are 28 centimeters and 40 centimeters. The measure of the larger angle is 100° . Find the length of the shorter diagonal.
- 132. A regular octagon is inscribed in a circle with a radius of 8 inches. (See **Figure 8.48**.) Find the perimeter of the octagon.

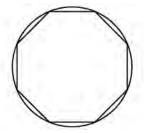


Figure 8.48

133. A regular pentagon is inscribed in a circle of radius 12 cm. (See **Figure 8.49**.) Find the perimeter of the pentagon. Round to the nearest tenth of a centimeter.

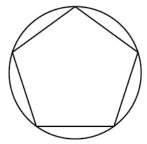


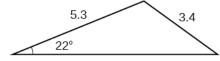
Figure 8.49

For the following exercises, suppose that $x^2 = 25 + 36 - 60\cos(52)$ represents the relationship of three sides of a triangle and the cosine of an angle.

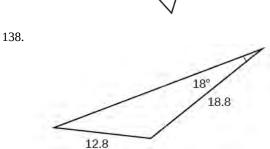
- 134. Draw the triangle.
- 135. Find the length of the third side.

For the following exercises, find the area of the triangle.

136.



137. 80°



Real-World Applications

139. A surveyor has taken the measurements shown in **Figure 8.50**. Find the distance across the lake. Round answers to the nearest tenth.

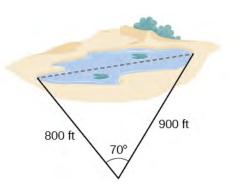


Figure 8.50

140. A satellite calculates the distances and angle shown in **Figure 8.51** (not to scale). Find the distance between the two cities. Round answers to the nearest tenth.

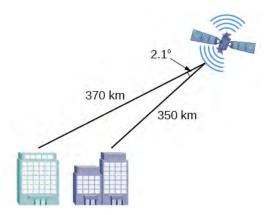


Figure 8.51

- 141. An airplane flies 220 miles with a heading of 40°, and then flies 180 miles with a heading of 170°. How far is the plane from its starting point, and at what heading? Round answers to the nearest tenth.
- 142. A 113-foot tower is located on a hill that is inclined 34° to the horizontal, as shown in **Figure 8.52**. A guy-wire is to be attached to the top of the tower and anchored at a point 98 feet uphill from the base of the tower. Find the length of wire needed.

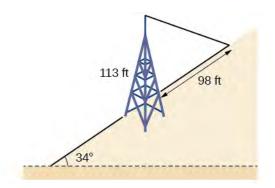


Figure 8.52

- 143. Two ships left a port at the same time. One ship traveled at a speed of 18 miles per hour at a heading of 320°. The other ship traveled at a speed of 22 miles per hour at a heading of 194°. Find the distance between the two ships after 10 hours of travel.
- 144. The graph in **Figure 8.53** represents two boats departing at the same time from the same dock. The first boat is traveling at 18 miles per hour at a heading of 327° and the second boat is traveling at 4 miles per hour at a heading of 60°. Find the distance between the two boats after 2 hours.

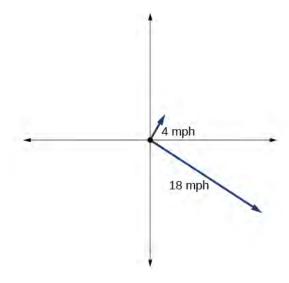


Figure 8.53

- 145. A triangular swimming pool measures 40 feet on one side and 65 feet on another side. These sides form an angle that measures 50°. How long is the third side (to the nearest tenth)?
- 146. A pilot flies in a straight path for 1 hour 30 min. She then makes a course correction, heading 10° to the right of her original course, and flies 2 hours in the new direction. If she maintains a constant speed of 680 miles per hour, how far is she from her starting position?
- 147. Los Angeles is 1,744 miles from Chicago, Chicago is 714 miles from New York, and New York is 2,451 miles from Los Angeles. Draw a triangle connecting these three cities, and find the angles in the triangle.
- 148. Philadelphia is 140 miles from Washington, D.C., Washington, D.C. is 442 miles from Boston, and Boston is 315 miles from Philadelphia. Draw a triangle connecting these three cities and find the angles in the triangle.
- 149. Two planes leave the same airport at the same time. One flies at 20° east of north at 500 miles per hour. The second flies at 30° east of south at 600 miles per hour. How far apart are the planes after 2 hours?
- 150. Two airplanes take off in different directions. One travels 300 mph due west and the other travels 25° north of west at 420 mph. After 90 minutes, how far apart are they, assuming they are flying at the same altitude?
- 151. A parallelogram has sides of length 15.4 units and 9.8 units. Its area is 72.9 square units. Find the measure of the longer diagonal.
- 152. The four sequential sides of a quadrilateral have lengths 4.5 cm, 7.9 cm, 9.4 cm, and 12.9 cm. The angle between the two smallest sides is 117°. What is the area of this quadrilateral?

- 153. The four sequential sides of a quadrilateral have lengths $5.7~\rm cm,~7.2~cm,~9.4~cm,~and~12.8~cm.$ The angle between the two smallest sides is 106° . What is the area of this quadrilateral?
- 154. Find the area of a triangular piece of land that measures 30 feet on one side and 42 feet on another; the included angle measures 132°. Round to the nearest whole square foot.
- 155. Find the area of a triangular piece of land that measures 110 feet on one side and 250 feet on another; the included angle measures 85°. Round to the nearest whole square foot.

8.3 | Polar Coordinates

Learning Objectives

In this section, you will:

- 8.3.1 Plot points using polar coordinates.
- **8.3.2** Convert from polar coordinates to rectangular coordinates.
- **8.3.3** Convert from rectangular coordinates to polar coordinates.
- 8.3.4 Transform equations between polar and rectangular forms.
- **8.3.5** Identify and graph polar equations by converting to rectangular equations.

Over 12 kilometers from port, a sailboat encounters rough weather and is blown off course by a 16-knot wind (see **Figure 8.54**). How can the sailor indicate his location to the Coast Guard? In this section, we will investigate a method of representing location that is different from a standard coordinate grid.

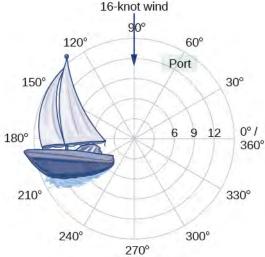


Figure 8.54

Plotting Points Using Polar Coordinates

When we think about plotting points in the plane, we usually think of rectangular coordinates (x, y) in the Cartesian coordinate plane. However, there are other ways of writing a coordinate pair and other types of grid systems. In this section, we introduce to **polar coordinates**, which are points labeled (r, θ) and plotted on a polar grid. The polar grid is represented as a series of concentric circles radiating out from the **pole**, or the origin of the coordinate plane.

The polar grid is scaled as the unit circle with the positive x-axis now viewed as the **polar axis** and the origin as the pole. The first coordinate r is the radius or length of the directed line segment from the pole. The angle θ , measured in radians, indicates the direction of r. We move counterclockwise from the polar axis by an angle of θ , and measure a directed line segment the length of r in the direction of θ . Even though we measure θ first and then r, the polar point is written with the r-coordinate first. For example, to plot the point $\left(2, \frac{\pi}{4}\right)$, we would move $\frac{\pi}{4}$ units in the counterclockwise direction and then a length of 2 from the pole. This point is plotted on the grid in **Figure 8.55**.

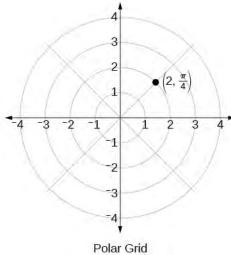


Figure 8.55

Example 8.13

Plotting a Point on the Polar Grid

Plot the point $(3, \frac{\pi}{2})$ on the polar grid.

Solution

The angle $\frac{\pi}{2}$ is found by sweeping in a counterclockwise direction 90° from the polar axis. The point is located at a length of 3 units from the pole in the $\frac{\pi}{2}$ direction, as shown in **Figure 8.56**.

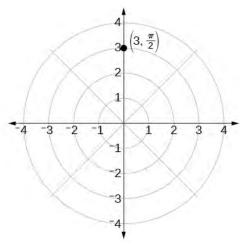


Figure 8.56



8.11 Plot the point $\left(2, \frac{\pi}{3}\right)$ in the polar grid.

Example 8.14

Plotting a Point in the Polar Coordinate System with a Negative Component

Plot the point $\left(-2, \frac{\pi}{6}\right)$ on the polar grid.

Solution

We know that $\frac{\pi}{6}$ is located in the first quadrant. However, r = -2. We can approach plotting a point with a negative r in two ways:

- 1. Plot the point $\left(2, \frac{\pi}{6}\right)$ by moving $\frac{\pi}{6}$ in the counterclockwise direction and extending a directed line segment 2 units into the first quadrant. Then retrace the directed line segment back through the pole, and continue 2 units into the third quadrant;
- 2. Move $\frac{\pi}{6}$ in the counterclockwise direction, and draw the directed line segment from the pole 2 units in the negative direction, into the third quadrant.

See **Figure 8.57**(a). Compare this to the graph of the polar coordinate $\left(2, \frac{\pi}{6}\right)$ shown in **Figure 8.57**(b).

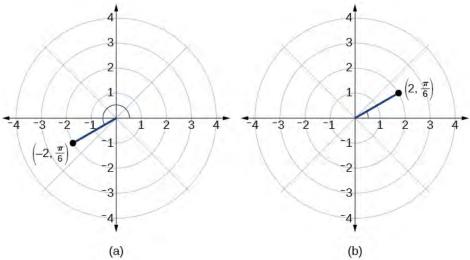


Figure 8.57



8.12 Plot the points $(3, -\frac{\pi}{6})$ and $(2, \frac{9\pi}{4})$ on the same polar grid.

Converting from Polar Coordinates to Rectangular Coordinates

When given a set of polar coordinates, we may need to convert them to rectangular coordinates. To do so, we can recall the relationships that exist among the variables x, y, r, and θ .

$$\cos\theta = \frac{x}{r} \to x = r\cos\theta$$

$$\sin \theta = \frac{y}{r} \rightarrow y = r \sin \theta$$

Dropping a perpendicular from the point in the plane to the *x*-axis forms a right triangle, as illustrated in **Figure 8.58**. An easy way to remember the equations above is to think of $\cos \theta$ as the adjacent side over the hypotenuse and $\sin \theta$ as the opposite side over the hypotenuse.

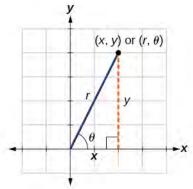


Figure 8.58

Converting from Polar Coordinates to Rectangular Coordinates

To convert polar coordinates (r, θ) to rectangular coordinates (x, y), let

$$\cos\theta = \frac{x}{r} \to x = r\cos\theta$$

$$\sin \theta = \frac{y}{r} \to y = r \sin \theta$$



Given polar coordinates, convert to rectangular coordinates.

- 1. Given the polar coordinate (r, θ) , write $x = r\cos\theta$ and $y = r\sin\theta$.
- 2. Evaluate $\cos \theta$ and $\sin \theta$.
- 3. Multiply $\cos \theta$ by r to find the x-coordinate of the rectangular form.
- 4. Multiply $\sin \theta$ by r to find the y-coordinate of the rectangular form.

Example 8.15

Writing Polar Coordinates as Rectangular Coordinates

Write the polar coordinates $(3, \frac{\pi}{2})$ as rectangular coordinates.

Solution

Use the equivalent relationships.

$$x = r\cos\theta$$

$$x = 3\cos\frac{\pi}{2} = 0$$

$$y = r\sin\theta$$

$$y = 3\sin\frac{\pi}{2} = 3$$

The rectangular coordinates are (0, 3). See **Figure 8.59**.

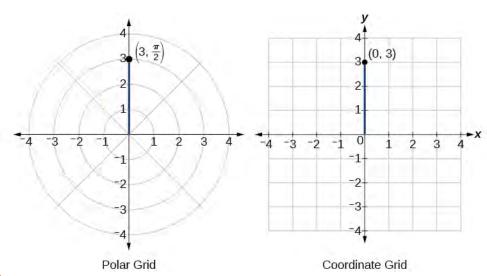


Figure 8.59

Example 8.16

Writing Polar Coordinates as Rectangular Coordinates

Write the polar coordinates (-2, 0) as rectangular coordinates.

Solution

See Figure 8.60. Writing the polar coordinates as rectangular, we have

$$x = r\cos\theta$$

$$x = -2\cos(0) = -2$$

$$y = r\sin\theta$$

$$y = -2\sin(0) = 0$$

The rectangular coordinates are also (-2, 0).

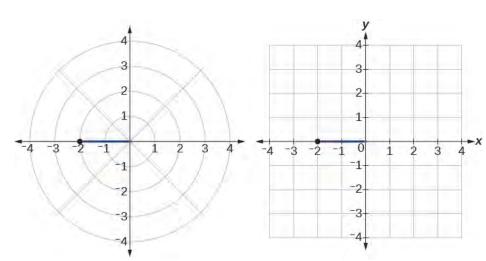


Figure 8.60



8.13 Write the polar coordinates $\left(-1, \frac{2\pi}{3}\right)$ as rectangular coordinates.

Converting from Rectangular Coordinates to Polar Coordinates

To convert rectangular coordinates to polar coordinates, we will use two other familiar relationships. With this conversion, however, we need to be aware that a set of rectangular coordinates will yield more than one polar point.

Converting from Rectangular Coordinates to Polar Coordinates

Converting from rectangular coordinates to polar coordinates requires the use of one or more of the relationships illustrated in **Figure 8.61**.

$$\cos \theta = \frac{x}{r} \text{ or } x = r \cos \theta$$

$$\sin \theta = \frac{y}{r} \text{ or } y = r \sin \theta$$

$$r^2 = x^2 + y^2$$

$$\tan \theta = \frac{y}{x}$$
(8.6)

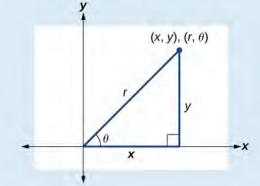


Figure 8.61

Example 8.17

Writing Rectangular Coordinates as Polar Coordinates

Convert the rectangular coordinates (3, 3) to polar coordinates.

Solution

We see that the original point (3, 3) is in the first quadrant. To find θ , use the formula $\tan \theta = \frac{y}{x}$. This gives

$$\tan \theta = \frac{3}{3}$$

$$\tan \theta = 1$$

$$\tan^{-1}(1) = \frac{\pi}{4}$$

To find r, we substitute the values for x and y into the formula $r = \sqrt{x^2 + y^2}$. We know that r must be positive, as $\frac{\pi}{4}$ is in the first quadrant. Thus

$$r = \sqrt{3^2 + 3^2}$$
$$r = \sqrt{9 + 9}$$
$$r = \sqrt{18} = 3\sqrt{2}$$

So, $r = 3\sqrt{2}$ and $\theta = \frac{\pi}{4}$, giving us the polar point $\left(3\sqrt{2}, \frac{\pi}{4}\right)$. See **Figure 8.62**.

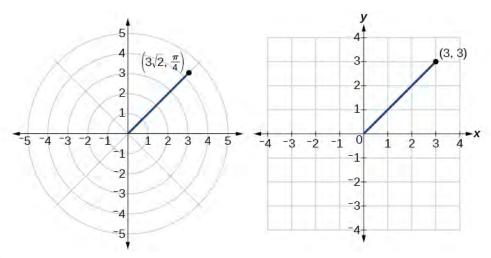


Figure 8.62

Analysis

There are other sets of polar coordinates that will be the same as our first solution. For example, the points $\left(-3\sqrt{2}, \frac{5\pi}{4}\right)$ and $\left(3\sqrt{2}, -\frac{7\pi}{4}\right)$ will coincide with the original solution of $\left(3\sqrt{2}, \frac{\pi}{4}\right)$. The point $\left(-3\sqrt{2}, \frac{5\pi}{4}\right)$ indicates a move further counterclockwise by π , which is directly opposite $\frac{\pi}{4}$. The radius is expressed as $-3\sqrt{2}$. However, the angle $\frac{5\pi}{4}$ is located in the third quadrant and, as r is negative, we extend the directed

line segment in the opposite direction, into the first quadrant. This is the same point as $\left(3\sqrt{2}, \frac{\pi}{4}\right)$. The point $\left(3\sqrt{2}, -\frac{7\pi}{4}\right)$ is a move further clockwise by $-\frac{7\pi}{4}$, from $\frac{\pi}{4}$. The radius, $3\sqrt{2}$, is the same.

Transforming Equations between Polar and Rectangular Forms

We can now convert coordinates between polar and rectangular form. Converting equations can be more difficult, but it can be beneficial to be able to convert between the two forms. Since there are a number of polar equations that cannot be expressed clearly in Cartesian form, and vice versa, we can use the same procedures we used to convert points between the coordinate systems. We can then use a graphing calculator to graph either the rectangular form or the polar form of the equation.



Given an equation in polar form, graph it using a graphing calculator.

- 1. Change the **MODE** to **POL**, representing polar form.
- 2. Press the **Y**= button to bring up a screen allowing the input of six equations: r_1, r_2, \ldots, r_6 .
- 3. Enter the polar equation, set equal to r.
- 4. Press **GRAPH**.

Example 8.18

Writing a Cartesian Equation in Polar Form

Write the Cartesian equation $x^2 + y^2 = 9$ in polar form.

Solution

The goal is to eliminate x and y from the equation and introduce r and θ . Ideally, we would write the equation r as a function of θ . To obtain the polar form, we will use the relationships between (x, y) and (r, θ) . Since $x = r\cos\theta$ and $y = r\sin\theta$, we can substitute and solve for r.

$$(r\cos\theta)^2 + (r\sin\theta)^2 = 9$$

 $r^2\cos^2\theta + r^2\sin^2\theta = 9$
 $r^2(\cos^2\theta + \sin^2\theta) = 9$
 $r^2(1) = 9$ Substitute $\cos^2\theta + \sin^2\theta = 1$.
 $r = \pm 3$ Use the square root property.

Thus, $x^2 + y^2 = 9$, r = 3, and r = -3 should generate the same graph. See **Figure 8.63**.

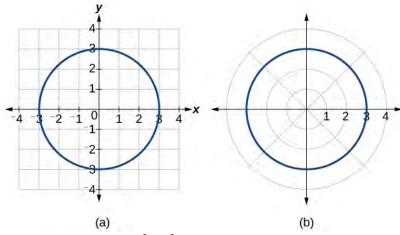


Figure 8.63 (a) Cartesian form $x^2 + y^2 = 9$ (b) Polar form r = 3

To graph a circle in rectangular form, we must first solve for *y*.

$$x^{2} + y^{2} = 9$$
$$y^{2} = 9 - x^{2}$$
$$y = \pm \sqrt{9 - x^{2}}$$

Note that this is two separate functions, since a circle fails the vertical line test. Therefore, we need to enter the positive and negative square roots into the calculator separately, as two equations in the form $Y_1 = \sqrt{9-x^2}$ and

$$Y_2 = -\sqrt{9 - x^2}$$
. Press **GRAPH.**

Example 8.19

Rewriting a Cartesian Equation as a Polar Equation

Rewrite the Cartesian equation $x^2 + y^2 = 6y$ as a polar equation.

Solution

This equation appears similar to the previous example, but it requires different steps to convert the equation.

We can still follow the same procedures we have already learned and make the following substitutions:

$$r^2 = 6y$$
 Use $x^2 + y^2 = r^2$.
 $r^2 = 6r\sin\theta$ Substitute $y = r\sin\theta$.
 $r^2 - 6r\sin\theta = 0$ Set equal to 0.
 $r(r - 6\sin\theta) = 0$ Factor and solve.
 $r = 0$ We reject $r = 0$, as it only represents one point, $(0, 0)$.
or $r = 6\sin\theta$

Therefore, the equations $x^2 + y^2 = 6y$ and $r = 6\sin\theta$ should give us the same graph. See **Figure 8.64**.

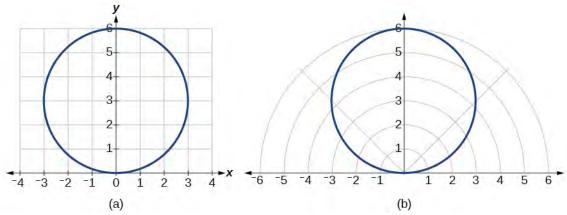


Figure 8.64 (a) Cartesian form $x^2 + y^2 = 6y$ (b) polar form $r = 6\sin\theta$

The Cartesian or rectangular equation is plotted on the rectangular grid, and the polar equation is plotted on the polar grid. Clearly, the graphs are identical.

Example 8.20

Rewriting a Cartesian Equation in Polar Form

Rewrite the Cartesian equation y = 3x + 2 as a polar equation.

Solution

We will use the relationships $x = r\cos\theta$ and $y = r\sin\theta$.

$$y = 3x + 2$$

$$r\sin\theta = 3r\cos\theta + 2$$

$$r\sin\theta - 3r\cos\theta = 2$$

$$r(\sin\theta - 3\cos\theta) = 2$$

$$r = \frac{2}{\sin\theta - 3\cos\theta}$$
Solve for r.



8.14 Rewrite the Cartesian equation $y^2 = 3 - x^2$ in polar form.

Identify and Graph Polar Equations by Converting to Rectangular Equations

We have learned how to convert rectangular coordinates to polar coordinates, and we have seen that the points are indeed the same. We have also transformed polar equations to rectangular equations and vice versa. Now we will demonstrate that their graphs, while drawn on different grids, are identical.

Example 8.21

Graphing a Polar Equation by Converting to a Rectangular Equation

Covert the polar equation $r = 2\sec \theta$ to a rectangular equation, and draw its corresponding graph.

Solution

The conversion is

$$r = 2\sec \theta$$

$$r = \frac{2}{\cos \theta}$$

$$r\cos \theta = 2$$

$$x = 2$$

Notice that the equation $r = 2\sec\theta$ drawn on the polar grid is clearly the same as the vertical line x = 2 drawn on the rectangular grid (see **Figure 8.65**). Just as x = c is the standard form for a vertical line in rectangular form, $r = c\sec\theta$ is the standard form for a vertical line in polar form.

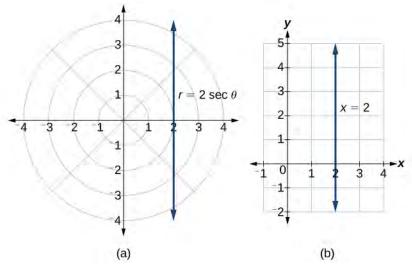


Figure 8.65 (a) Polar grid (b) Rectangular coordinate system

A similar discussion would demonstrate that the graph of the function $r = 2\csc\theta$ will be the horizontal line y = 2. In fact, $r = \csc\theta$ is the standard form for a horizontal line in polar form, corresponding to the rectangular form y = c.

Example 8.22

Rewriting a Polar Equation in Cartesian Form

Rewrite the polar equation $r = \frac{3}{1 - 2\cos\theta}$ as a Cartesian equation.

Solution

The goal is to eliminate θ and r, and introduce x and y. We clear the fraction, and then use substitution. In order to replace r with x and y, we must use the expression $x^2 + y^2 = r^2$.

$$r = \frac{3}{1 - 2\cos\theta}$$

$$r(1 - 2\cos\theta) = 3$$

$$r(1 - 2(\frac{x}{r})) = 3$$

$$r = 3 + 2x$$

$$r^2 = (3 + 2x)^2$$
Use $\cos\theta = \frac{x}{r}$ to eliminate θ .

Square both sides.
$$x^2 + y^2 = (3 + 2x)^2$$
Use $x^2 + y^2 = r^2$.

The Cartesian equation is $x^2 + y^2 = (3 + 2x)^2$. However, to graph it, especially using a graphing calculator or computer program, we want to isolate y.

$$x^{2} + y^{2} = (3 + 2x)^{2}$$
$$y^{2} = (3 + 2x)^{2} - x^{2}$$
$$y = \pm \sqrt{(3 + 2x)^{2} - x^{2}}$$

When our entire equation has been changed from r and θ to x and y, we can stop, unless asked to solve for y or simplify. See **Figure 8.66**.

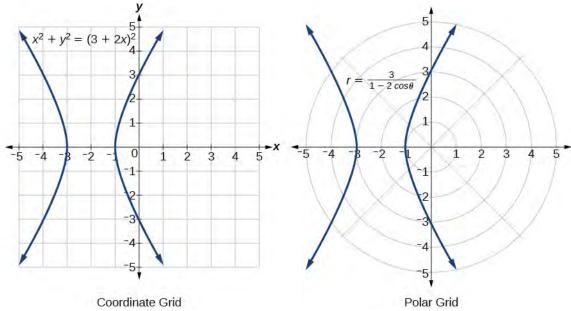


Figure 8.66

The "hour-glass" shape of the graph is called a *hyperbola*. Hyperbolas have many interesting geometric features and applications, which we will investigate further in **Analytic Geometry**.

Analysis

In this example, the right side of the equation can be expanded and the equation simplified further, as shown above. However, the equation cannot be written as a single function in Cartesian form. We may wish to write the rectangular equation in the hyperbola's standard form. To do this, we can start with the initial equation.

$$x^{2} + y^{2} = (3 + 2x)^{2}$$

$$x^{2} + y^{2} - (3 + 2x)^{2} = 0$$

$$x^{2} + y^{2} - (9 + 12x + 4x^{2}) = 0$$

$$x^{2} + y^{2} - 9 - 12x - 4x^{2} = 0$$

$$-3x^{2} - 12x + y^{2} = 9$$

$$3x^{2} + 12x - y^{2} = -9$$

$$3(x^{2} + 4x +) - y^{2} = -9$$

$$3(x^{2} + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$

$$3(x^{2} + 2x + 4x + 4) - y^{2} = -9 + 12$$



8.15 Rewrite the polar equation $r = 2\sin\theta$ in Cartesian form.

Example 8.23

Rewriting a Polar Equation in Cartesian Form

Rewrite the polar equation $r = \sin(2\theta)$ in Cartesian form.

Solution

$$r = \sin(2\theta)$$
 Use the double angle identity for sine.
$$r = 2\sin\theta\cos\theta$$
 Use $\cos\theta = \frac{x}{r}$ and $\sin\theta = \frac{y}{r}$.
$$r = 2\left(\frac{x}{r}\right)\left(\frac{y}{r}\right)$$
 Simplify.
$$r = \frac{2xy}{r^2}$$
 Multiply both sides by r^2 .
$$r^3 = 2xy$$

$$\left(\sqrt{x^2 + y^2}\right)^3 = 2xy$$
 As $x^2 + y^2 = r^2$, $r = \sqrt{x^2 + y^2}$.

This equation can also be written as

$$(x^2 + y^2)^{\frac{3}{2}} = 2xy \text{ or } x^2 + y^2 = (2xy)^{\frac{2}{3}}$$



Access these online resources for additional instruction and practice with polar coordinates.

- Introduction to Polar Coordinates (http://openstaxcollege.org/l/intropolar)
- Comparing Polar and Rectangular Coordinates (http://openstaxcollege.org/l/polarrect)

8.3 EXERCISES

Verbal

156. How are polar coordinates different from rectangular coordinates?

157. How are the polar axes different from the *x*- and *y*-axes of the Cartesian plane?

158. Explain how polar coordinates are graphed.

159. How are the points
$$\left(3, \frac{\pi}{2}\right)$$
 and $\left(-3, \frac{\pi}{2}\right)$ related?

160. Explain why the points $\left(-3, \frac{\pi}{2}\right)$ and $\left(3, -\frac{\pi}{2}\right)$ are the same.

Algebraic

For the following exercises, convert the given polar coordinates to Cartesian coordinates with r>0 and $0 \le \theta \le 2\pi$. Remember to consider the quadrant in which the given point is located when determining θ for the point.

161.
$$\left(7, \frac{7\pi}{6}\right)$$

162.
$$(5, \pi)$$

163.
$$\left(6, -\frac{\pi}{4}\right)$$

164.
$$\left(-3, \frac{\pi}{6}\right)$$

165.
$$\left(4, \frac{7\pi}{4}\right)$$

For the following exercises, convert the given Cartesian coordinates to polar coordinates with $r>0, \ 0\leq \theta < 2\pi$. Remember to consider the quadrant in which the given point is located.

166. (4, 2)

167. (-4, 6)

168. (3, -5)

169. (-10, -13)

170. (8, 8)

For the following exercises, convert the given Cartesian equation to a polar equation.

171.
$$x = 3$$

172.
$$y = 4$$

173.
$$y = 4x^2$$

174.
$$y = 2x^4$$

175.
$$x^2 + y^2 = 4y$$

176.
$$x^2 + y^2 = 3x$$

177.
$$x^2 - y^2 = x$$

178.
$$x^2 - y^2 = 3y$$

179.
$$x^2 + y^2 = 9$$

180.
$$x^2 = 9y$$

181.
$$v^2 = 9x$$

182.
$$9xy = 1$$

For the following exercises, convert the given polar equation to a Cartesian equation. Write in the standard form of a conic if possible, and identify the conic section represented.

183.
$$r = 3\sin\theta$$

184.
$$r = 4\cos\theta$$

185.
$$r = \frac{4}{\sin \theta + 7\cos \theta}$$

186.
$$r = \frac{6}{\cos \theta + 3\sin \theta}$$

187.
$$r = 2\sec \theta$$

188.
$$r = 3\csc\theta$$

189.
$$r = \sqrt{r \cos \theta + 2}$$

190.
$$r^2 = 4\sec\theta\csc\theta$$

191.
$$r = 4$$

192.
$$r^2 = 4$$

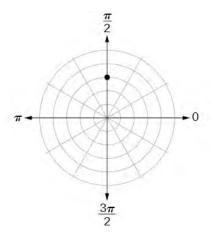
$$r = \frac{1}{4\cos\theta - 3\sin\theta}$$

$$194. \quad r = \frac{3}{\cos \theta - 5\sin \theta}$$

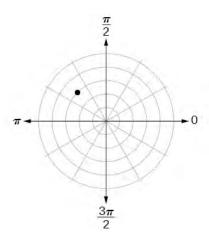
Graphical

For the following exercises, find the polar coordinates of the point.

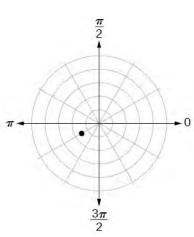
195.

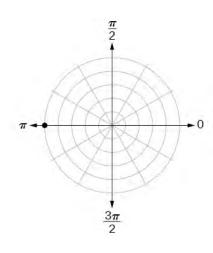


196.

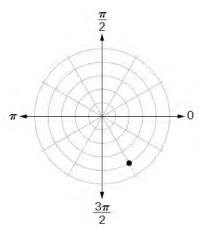


197.





199.



For the following exercises, plot the points.

200.
$$\left(-2, \frac{\pi}{3}\right)$$

201.
$$\left(-1, -\frac{\pi}{2}\right)$$

202.
$$(3.5, \frac{7\pi}{4})$$

203.
$$\left(-4, \frac{\pi}{3}\right)$$

204.
$$(5, \frac{\pi}{2})$$

205.
$$\left(4, \frac{-5\pi}{4}\right)$$

206.
$$(3, \frac{5\pi}{6})$$

207.
$$\left(-1.5, \frac{7\pi}{6}\right)$$

208.
$$\left(-2, \frac{\pi}{4}\right)$$

209.
$$\left(1, \frac{3\pi}{2}\right)$$

For the following exercises, convert the equation from rectangular to polar form and graph on the polar axis.

210.
$$5x - y = 6$$

211.
$$2x + 7y = -3$$

212.
$$x^2 + (y - 1)^2 = 1$$

213.
$$(x+2)^2 + (y+3)^2 = 13$$

214.
$$x = 2$$

215.
$$x^2 + y^2 = 5y$$

216.
$$x^2 + y^2 = 3x$$

For the following exercises, convert the equation from polar to rectangular form and graph on the rectangular plane.

217.
$$r = 6$$

218.
$$r = -4$$

219.
$$\theta = -\frac{2\pi}{3}$$

220.
$$\theta = \frac{\pi}{4}$$

221.
$$r = \sec \theta$$

222.
$$r = -10\sin\theta$$

223.
$$r = 3\cos\theta$$

Technology

224. Use a graphing calculator to find the rectangular coordinates of $\left(2, -\frac{\pi}{5}\right)$. Round to the nearest thousandth.

225. Use a graphing calculator to find the rectangular coordinates of $\left(-3, \frac{3\pi}{7}\right)$. Round to the nearest thousandth.

226. Use a graphing calculator to find the polar coordinates of (-7, 8) in degrees. Round to the nearest thousandth.

227. Use a graphing calculator to find the polar coordinates of (3, -4) in degrees. Round to the nearest hundredth.

228. Use a graphing calculator to find the polar coordinates of (-2, 0) in radians. Round to the nearest hundredth.

Extensions

229. Describe the graph of $r = a \sec \theta$; a > 0.

230. Describe the graph of $r = a \sec \theta$; a < 0.

231. Describe the graph of $r = a\csc\theta$; a > 0.

232. Describe the graph of $r = a\csc\theta$; a < 0.

233. What polar equations will give an oblique line?

For the following exercise, graph the polar inequality.

234.
$$r < 4$$

235.
$$0 \le \theta \le \frac{\pi}{4}$$

236.
$$\theta = \frac{\pi}{4}, \ r \ge 2$$

237.
$$\theta = \frac{\pi}{4}, \ r \ge -3$$

238.
$$0 \le \theta \le \frac{\pi}{3}, r < 2$$

239.
$$\frac{-\pi}{6} < \theta \le \frac{\pi}{3}, -3 < r < 2$$

8.4 | Polar Coordinates: Graphs

Learning Objectives

In this section you will:

- **8.4.1** Test polar equations for symmetry.
- **8.4.2** Graph polar equations by plotting points.

The planets move through space in elliptical, periodic orbits about the sun, as shown in **Figure 8.67**. They are in constant motion, so fixing an exact position of any planet is valid only for a moment. In other words, we can fix only a planet's *instantaneous* position. This is one application of polar coordinates, represented as (r, θ) . We interpret r as the distance from the sun and θ as the planet's angular bearing, or its direction from a fixed point on the sun. In this section, we will focus on the polar system and the graphs that are generated directly from polar coordinates.

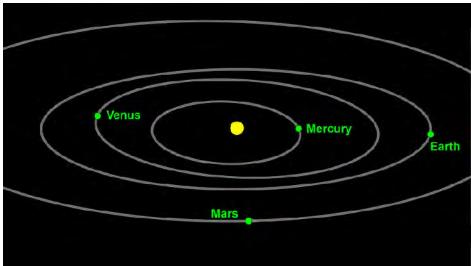


Figure 8.67 Planets follow elliptical paths as they orbit around the Sun. (credit: modification of work by NASA/JPL-Caltech)

Testing Polar Equations for Symmetry

Just as a rectangular equation such as $y = x^2$ describes the relationship between x and y on a Cartesian grid, a **polar equation** describes a relationship between r and θ on a polar grid. Recall that the coordinate pair (r, θ) indicates that we move counterclockwise from the polar axis (positive x-axis) by an angle of θ , and extend a ray from the pole (origin) r units in the direction of θ . All points that satisfy the polar equation are on the graph.

Symmetry is a property that helps us recognize and plot the graph of any equation. If an equation has a graph that is symmetric with respect to an axis, it means that if we folded the graph in half over that axis, the portion of the graph on one side would coincide with the portion on the other side. By performing three tests, we will see how to apply the properties of symmetry to polar equations. Further, we will use symmetry (in addition to plotting key points, zeros, and maximums of r) to determine the graph of a polar equation.

In the first test, we consider symmetry with respect to the line $\theta = \frac{\pi}{2}$ (*y*-axis). We replace (r, θ) with $(-r, -\theta)$ to determine if the new equation is equivalent to the original equation. For example, suppose we are given the equation $r = 2\sin\theta$;

$$r = 2\sin\theta$$

 $-r = 2\sin(-\theta)$ Replace (r, θ) with $(-r, -\theta)$.
 $-r = -2\sin\theta$ Identity: $\sin(-\theta) = -\sin\theta$.
 $r = 2\sin\theta$ Multiply both sides by -1 .

This equation exhibits symmetry with respect to the line $\theta = \frac{\pi}{2}$.

In the second test, we consider symmetry with respect to the polar axis (x-axis). We replace (r, θ) with (r, $-\theta$) or (-r, $\pi - \theta$) to determine equivalency between the tested equation and the original. For example, suppose we are given the equation $r = 1 - 2\cos\theta$.

$$r = 1 - 2\cos\theta$$

 $r = 1 - 2\cos(-\theta)$ Replace (r, θ) with $(r, -\theta)$.
 $r = 1 - 2\cos\theta$ Even/Odd identity

The graph of this equation exhibits symmetry with respect to the polar axis.

In the third test, we consider symmetry with respect to the pole (origin). We replace (r, θ) with $(-r, \theta)$ to determine if the tested equation is equivalent to the original equation. For example, suppose we are given the equation $r = 2\sin(3\theta)$.

$$r = 2\sin(3\theta)$$
$$-r = 2\sin(3\theta)$$

The equation has failed the symmetry test, but that does not mean that it is not symmetric with respect to the pole. Passing one or more of the symmetry tests verifies that symmetry will be exhibited in a graph. However, failing the symmetry tests does not necessarily indicate that a graph will not be symmetric about the line $\theta = \frac{\pi}{2}$, the polar axis, or the pole. In these

instances, we can confirm that symmetry exists by plotting reflecting points across the apparent axis of symmetry or the pole. Testing for symmetry is a technique that simplifies the graphing of polar equations, but its application is not perfect.

Symmetry Tests

A **polar equation** describes a curve on the polar grid. The graph of a polar equation can be evaluated for three types of symmetry, as shown in **Figure 8.68**.

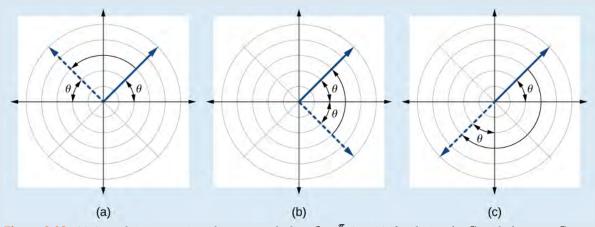


Figure 8.68 (a) A graph is symmetric with respect to the line $\theta = \frac{\pi}{2}$ (*y*-axis) if replacing (r, θ) with $(-r, -\theta)$ yields an equivalent equation. (b) A graph is symmetric with respect to the polar axis (*x*-axis) if replacing (r, θ) with $(r, -\theta)$ or $(-r, \pi - \theta)$ yields an equivalent equation. (c) A graph is symmetric with respect to the pole (origin) if replacing (r, θ) with $(-r, \theta)$ yields an equivalent equation.



Given a polar equation, test for symmetry.

- 1. Substitute the appropriate combination of components for (r, θ) : $(-r, -\theta)$ for $\theta = \frac{\pi}{2}$ symmetry; $(r, -\theta)$ for polar axis symmetry; and $(-r, \theta)$ for symmetry with respect to the pole.
- 2. If the resulting equations are equivalent in one or more of the tests, the graph produces the expected symmetry.

Example 8.24

Testing a Polar Equation for Symmetry

Test the equation $r = 2\sin\theta$ for symmetry.

Solution

Test for each of the three types of symmetry.

1) Replacing (r, θ) with $(-r, -\theta)$ yields the same result. Thus, the graph is symmetric with respect to the line $\theta = \frac{\pi}{2}$.	$-r = 2\sin(-\theta)$ $-r = -2\sin\theta \text{Even-odd identity}$ $r = 2\sin\theta \text{Multiply by } -1$ Passed
2) Replacing θ with $-\theta$ does not yield the same equation. Therefore, the graph fails the test and may or may not be symmetric with respect to the polar axis.	$r = 2\sin(-\theta)$ $r = -2\sin\theta$ Even-odd identity $r = -2\sin\theta \neq 2\sin\theta$ Failed
3) Replacing r with $-r$ changes the equation and fails the test. The graph may or may not be symmetric with respect to the pole.	$-r = 2\sin\theta$ $r = -2\sin\theta \neq 2\sin\theta$ Failed

Table 8.1

Analysis

Using a graphing calculator, we can see that the equation $r = 2\sin\theta$ is a circle centered at (0, 1) with radius r = 1 and is indeed symmetric to the line $\theta = \frac{\pi}{2}$. We can also see that the graph is not symmetric with the polar axis or the pole. See **Figure 8.69**.

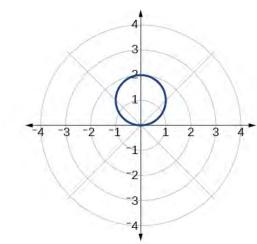


Figure 8.69



8.16 Test the equation for symmetry: $r = -2\cos\theta$.

Graphing Polar Equations by Plotting Points

To graph in the rectangular coordinate system we construct a table of x and y values. To graph in the polar coordinate system we construct a table of θ and r values. We enter values of θ into a polar equation and calculate r. However, using the properties of symmetry and finding key values of θ and r means fewer calculations will be needed.

Finding Zeros and Maxima

To find the zeros of a polar equation, we solve for the values of θ that result in r = 0. Recall that, to find the zeros of polynomial functions, we set the equation equal to zero and then solve for x. We use the same process for polar equations. Set r = 0, and solve for θ .

For many of the forms we will encounter, the maximum value of a polar equation is found by substituting those values of θ into the equation that result in the maximum value of the trigonometric functions. Consider $r = 5\cos\theta$; the maximum distance between the curve and the pole is 5 units. The maximum value of the cosine function is 1 when $\theta = 0$, so our polar equation is $5\cos\theta$, and the value $\theta = 0$ will yield the maximum |r|.

Similarly, the maximum value of the sine function is 1 when $\theta = \frac{\pi}{2}$, and if our polar equation is $r = 5\sin\theta$, the value $\theta = \frac{\pi}{2}$ will yield the maximum |r|. We may find additional information by calculating values of r when $\theta = 0$. These points would be polar axis intercepts, which may be helpful in drawing the graph and identifying the curve of a polar equation.

Example 8.25

Finding Zeros and Maximum Values for a Polar Equation

Using the equation in **Example 8.24**, find the zeros and maximum |r| and, if necessary, the polar axis intercepts of $r = 2\sin\theta$.

Solution

To find the zeros, set r equal to zero and solve for θ .

$$2\sin \theta = 0$$

$$\sin \theta = 0$$

$$\theta = \sin^{-1} 0$$

$$\theta = n\pi$$
 where *n* is an integer

Substitute any one of the θ values into the equation. We will use 0.

$$r = 2\sin(0)$$
$$r = 0$$

The points (0, 0) and $(0, \pm n\pi)$ are the zeros of the equation. They all coincide, so only one point is visible on the graph. This point is also the only polar axis intercept.

To find the maximum value of the equation, look at the maximum value of the trigonometric function $\sin \theta$, which occurs when $\theta = \frac{\pi}{2} \pm 2k\pi$ resulting in $\sin(\frac{\pi}{2}) = 1$. Substitute $\frac{\pi}{2}$ for θ .

$$r = 2\sin\left(\frac{\pi}{2}\right)$$
$$r = 2(1)$$
$$r = 2$$

Analysis

The point $\left(2, \frac{\pi}{2}\right)$ will be the maximum value on the graph. Let's plot a few more points to verify the graph of a circle. See **Table 8.1** and **Figure 8.70**.

θ	$r = 2\sin\theta$	r
0	$r = 2\sin(0) = 0$	0
$\frac{\pi}{6}$	$r = 2\sin\left(\frac{\pi}{6}\right) = 1$	1
$\frac{\pi}{3}$	$r = 2\sin\left(\frac{\pi}{3}\right) \approx 1.73$	1.73
$\frac{\pi}{2}$	$r = 2\sin\left(\frac{\pi}{2}\right) = 2$	2
$\frac{2\pi}{3}$	$r = 2\sin\left(\frac{2\pi}{3}\right) \approx 1.73$	1.73
<u>5π</u> 6	$r = 2\sin\left(\frac{5\pi}{6}\right) = 1$	1
π	$r = 2\sin(\pi) = 0$	0

Table 8.1

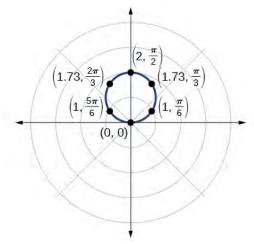


Figure 8.70



8.17 Without converting to Cartesian coordinates, test the given equation for symmetry and find the zeros and maximum values of |r|: $r = 3\cos\theta$.

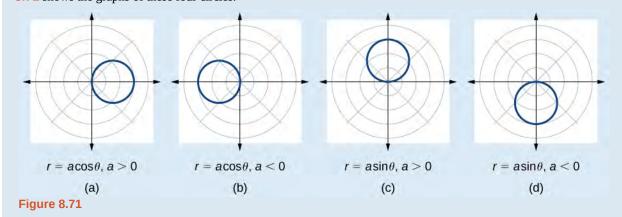
Investigating Circles

Now we have seen the equation of a circle in the polar coordinate system. In the last two examples, the same equation was used to illustrate the properties of symmetry and demonstrate how to find the zeros, maximum values, and plotted points that produced the graphs. However, the circle is only one of many shapes in the set of polar curves.

There are five classic polar curves: **cardioids**, **limaçons**, **lemniscates**, **rose curves**, and **Archimedes' spirals**. We will briefly touch on the polar formulas for the circle before moving on to the classic curves and their variations.

Formulas for the Equation of a Circle

Some of the formulas that produce the graph of a circle in polar coordinates are given by $r = a\cos\theta$ and $r = a\sin\theta$, where a is the diameter of the circle or the distance from the pole to the farthest point on the circumference. The radius is $\frac{|a|}{2}$, or one-half the diameter. For $r = a\cos\theta$, the center is $\left(\frac{a}{2}, 0\right)$. For $r = a\sin\theta$, the center is $\left(\frac{a}{2}, \pi\right)$. **Figure 8.71** shows the graphs of these four circles.



Example 8.26

Sketching the Graph of a Polar Equation for a Circle

Sketch the graph of $r = 4\cos\theta$.

Solution

First, testing the equation for symmetry, we find that the graph is symmetric about the polar axis. Next, we find the zeros and maximum |r| for $r=4\cos\theta$. First, set r=0, and solve for θ . Thus, a zero occurs at $\theta=\frac{\pi}{2}\pm k\pi$.

A key point to plot is $(0, \frac{\pi}{2})$.

To find the maximum value of r, note that the maximum value of the cosine function is 1 when $\theta = 0 \pm 2k\pi$. Substitute $\theta = 0$ into the equation:

$$r = 4\cos\theta$$
$$r = 4\cos(0)$$
$$r = 4(1) = 4$$

The maximum value of the equation is 4. A key point to plot is (4, 0).

As $r = 4\cos\theta$ is symmetric with respect to the polar axis, we only need to calculate r-values for θ over the interval $[0, \pi]$. Points in the upper quadrant can then be reflected to the lower quadrant. Make a table of values similar to **Table 8.2**. The graph is shown in **Figure 8.72**.

θ	0	<u>π</u> 6	<u>π</u> 4	$\frac{\pi}{3}$	$\frac{\pi}{2}$	$\frac{2\pi}{3}$	$\frac{3\pi}{4}$	<u>5π</u> 6	π
r	4	3.46	2.83	2	0	-2	-2.83	-3.46	4

Table 8.2

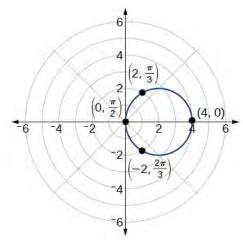
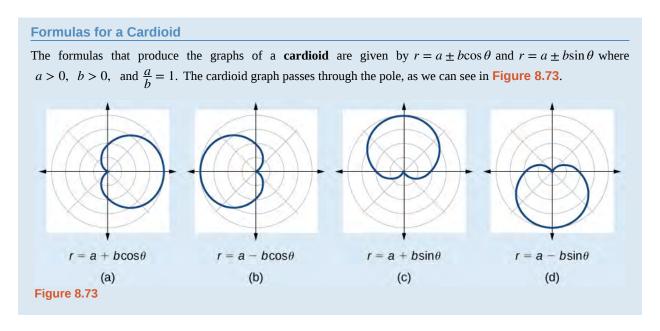


Figure 8.72

Investigating Cardioids

While translating from polar coordinates to Cartesian coordinates may seem simpler in some instances, graphing the classic curves is actually less complicated in the polar system. The next curve is called a cardioid, as it resembles a heart. This shape is often included with the family of curves called limaçons, but here we will discuss the cardioid on its own.





Given the polar equation of a cardioid, sketch its graph.

- 1. Check equation for the three types of symmetry.
- 2. Find the zeros. Set r = 0.
- 3. Find the maximum value of the equation according to the maximum value of the trigonometric expression.
- 4. Make a table of values for r and θ .
- 5. Plot the points and sketch the graph.

Example 8.27

Sketching the Graph of a Cardioid

Sketch the graph of $r = 2 + 2\cos\theta$.

Solution

First, testing the equation for symmetry, we find that the graph of this equation will be symmetric about the polar axis. Next, we find the zeros and maximums. Setting r = 0, we have $\theta = \pi + 2k\pi$. The zero of the equation is located at $(0, \pi)$. The graph passes through this point.

The maximum value of $r = 2 + 2\cos\theta$ occurs when $\cos\theta$ is a maximum, which is when $\cos\theta = 1$ or when $\theta = 0$. Substitute $\theta = 0$ into the equation, and solve for r.

$$r = 2 + 2\cos(0)$$
$$r = 2 + 2(1) = 4$$

The point (4, 0) is the maximum value on the graph.

We found that the polar equation is symmetric with respect to the polar axis, but as it extends to all four quadrants, we need to plot values over the interval $[0, \pi]$. The upper portion of the graph is then reflected over the polar axis. Next, we make a table of values, as in **Table 8.3**, and then we plot the points and draw the graph. See **Figure 8.74**.

θ	0	$\frac{\pi}{4}$	<u>\pi</u> 2	$\frac{2\pi}{3}$	π	
r	4	3.41	2	1	0	

Table 8.3

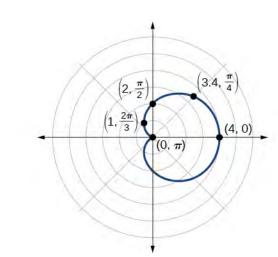
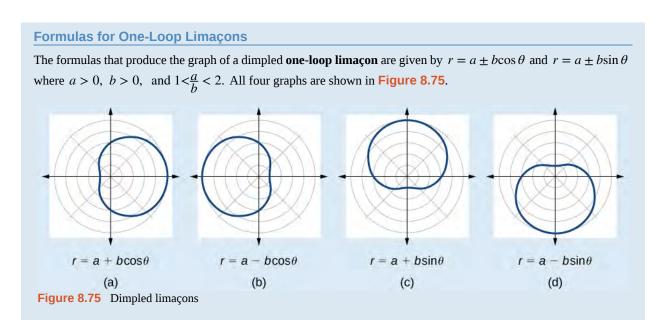


Figure 8.74

Investigating Limaçons

The word *limaçon* is Old French for "snail," a name that describes the shape of the graph. As mentioned earlier, the cardioid is a member of the limaçon family, and we can see the similarities in the graphs. The other images in this category include the one-loop limaçon and the two-loop (or inner-loop) limaçon. **One-loop limaçons** are sometimes referred to as **dimpled limaçons** when $1 < \frac{a}{b} < 2$ and **convex limaçons** when $\frac{a}{b} \ge 2$.





Given a polar equation for a one-loop limaçon, sketch the graph.

- 1. Test the equation for symmetry. Remember that failing a symmetry test does not mean that the shape will not exhibit symmetry. Often the symmetry may reveal itself when the points are plotted.
- 2. Find the zeros.
- 3. Find the maximum values according to the trigonometric expression.
- 4. Make a table.
- 5. Plot the points and sketch the graph.

Example 8.28

Sketching the Graph of a One-Loop Limaçon

Graph the equation $r = 4 - 3\sin\theta$.

Solution

First, testing the equation for symmetry, we find that it fails all three symmetry tests, meaning that the graph may or may not exhibit symmetry, so we cannot use the symmetry to help us graph it. However, this equation has a graph that clearly displays symmetry with respect to the line $\theta = \frac{\pi}{2}$, yet it fails all the three symmetry tests. A graphing calculator will immediately illustrate the graph's reflective quality.

Next, we find the zeros and maximum, and plot the reflecting points to verify any symmetry. Setting r=0 results in θ being undefined. What does this mean? How could θ be undefined? The angle θ is undefined for any value of $\sin \theta > 1$. Therefore, θ is undefined because there is no value of θ for which $\sin \theta > 1$. Consequently, the graph does not pass through the pole. Perhaps the graph does cross the polar axis, but not at the pole. We can investigate other intercepts by calculating r when $\theta = 0$.

$$r(0) = 4 - 3\sin(0)$$
$$r = 4 - 3 \cdot 0 = 4$$

So, there is at least one polar axis intercept at (4, 0).

Next, as the maximum value of the sine function is 1 when $\theta = \frac{\pi}{2}$, we will substitute $\theta = \frac{\pi}{2}$ into the equation and solve for r. Thus, r = 1.

Make a table of the coordinates similar to **Table 8.4**.

θ	0	<u>π</u> 6	<u>π</u> 3	<u>π</u> 2	$\frac{2\pi}{3}$	$\frac{5\pi}{6}$	π	$\frac{7\pi}{6}$	$\frac{4\pi}{3}$	$\frac{3\pi}{2}$	$\frac{5\pi}{3}$	$\frac{11\pi}{6}$	2π
r	4	2.5	1.4	1	1.4	2.5	4	5.5	6.6	7	6.6	5.5	4

Table 8.4

The graph is shown in Figure 8.76.

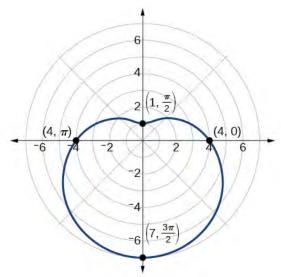


Figure 8.76 One-loop limaçon

Analysis

This is an example of a curve for which making a table of values is critical to producing an accurate graph. The symmetry tests fail; the zero is undefined. While it may be apparent that an equation involving $\sin\theta$ is likely symmetric with respect to the line $\theta = \frac{\pi}{2}$, evaluating more points helps to verify that the graph is correct.

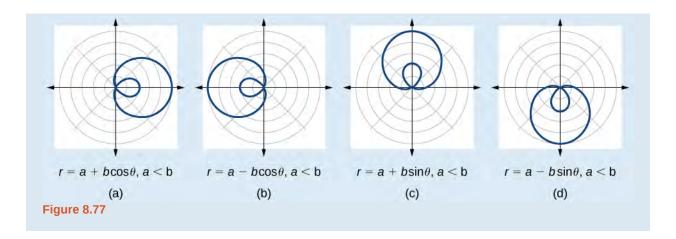


8.18 Sketch the graph of $r = 3 - 2\cos\theta$.

Another type of limaçon, the **inner-loop limaçon**, is named for the loop formed inside the general limaçon shape. It was discovered by the German artist Albrecht Dürer(1471-1528), who revealed a method for drawing the inner-loop limaçon in his 1525 book *Underweysung der Messing*. A century later, the father of mathematician Blaise Pascal, Étienne Pascal(1588-1651), rediscovered it.

Formulas for Inner-Loop Limaçons

The formulas that generate the **inner-loop limaçons** are given by $r = a \pm b\cos\theta$ and $r = a \pm b\sin\theta$ where a > 0, b > 0, and a < b. The graph of the inner-loop limaçon passes through the pole twice: once for the outer loop, and once for the inner loop. See **Figure 8.77** for the graphs.



Example 8.29

Sketching the Graph of an Inner-Loop Limaçon

Sketch the graph of $r = 2 + 5\cos\theta$.

Solution

Testing for symmetry, we find that the graph of the equation is symmetric about the polar axis. Next, finding the zeros reveals that when r=0, $\theta=1.98$. The maximum |r| is found when $\cos\theta=1$ or when $\theta=0$. Thus, the maximum is found at the point (7,0).

Even though we have found symmetry, the zero, and the maximum, plotting more points will help to define the shape, and then a pattern will emerge.

See Table 8.5.

θ	0	$\frac{\pi}{6}$	$\frac{\pi}{3}$	$\frac{\pi}{2}$	$\frac{2\pi}{3}$	$\frac{5\pi}{6}$	π	$\frac{7\pi}{6}$	$\frac{4\pi}{3}$	$\frac{3\pi}{2}$	$\frac{5\pi}{3}$	$\frac{11\pi}{6}$	2π
r	7	6.3	4.5	2	-0.5	-2.3	-3	-2.3	-0.5	2	4.5	6.3	7

Table 8.5

As expected, the values begin to repeat after $\theta = \pi$. The graph is shown in **Figure 8.78**.

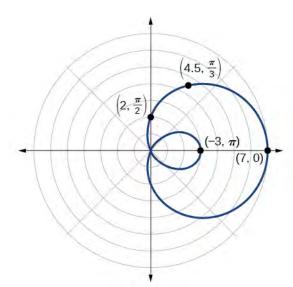


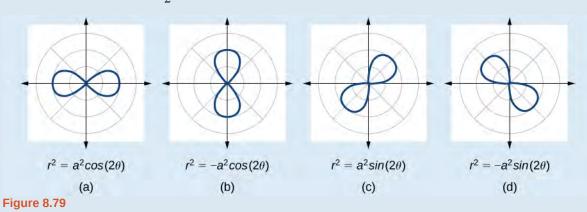
Figure 8.78 Inner-loop limaçon

Investigating Lemniscates

The lemniscate is a polar curve resembling the infinity symbol ∞ or a figure 8. Centered at the pole, a lemniscate is symmetrical by definition.

Formulas for Lemniscates

The formulas that generate the graph of a **lemniscate** are given by $r^2 = a^2 \cos 2\theta$ and $r^2 = a^2 \sin 2\theta$ where $a \neq 0$. The formula $r^2 = a^2 \sin 2\theta$ is symmetric with respect to the pole. The formula $r^2 = a^2 \cos 2\theta$ is symmetric with respect to the pole, the line $\theta = \frac{\pi}{2}$, and the polar axis. See **Figure 8.79** for the graphs.



Example 8.30

Sketching the Graph of a Lemniscate

Sketch the graph of $r^2 = 4\cos 2\theta$.

Solution

The equation exhibits symmetry with respect to the line $\theta = \frac{\pi}{2}$, the polar axis, and the pole.

Let's find the zeros. It should be routine by now, but we will approach this equation a little differently by making the substitution $u = 2\theta$.

$$0 = 4\cos 2\theta$$

$$0 = 4\cos u$$

$$0 = \cos u$$

$$\cos^{-1} 0 = \frac{\pi}{2}$$

$$u = \frac{\pi}{2}$$
Substitute 2θ back in for u .
$$2\theta = \frac{\pi}{2}$$

$$\theta = \frac{\pi}{4}$$

So, the point $\left(0, \frac{\pi}{4}\right)$ is a zero of the equation.

Now let's find the maximum value. Since the maximum of $\cos u = 1$ when u = 0, the maximum $\cos 2\theta = 1$ when $2\theta = 0$. Thus,

$$r^{2} = 4\cos(0)$$

$$r^{2} = 4(1) = 4$$

$$r = \pm \sqrt{4} = 2$$

We have a maximum at (2, 0). Since this graph is symmetric with respect to the pole, the line $\theta = \frac{\pi}{2}$, and the polar axis, we only need to plot points in the first quadrant.

Make a table similar to Table 8.6.

θ	0	<u>π</u> 6	<u>π</u> 4	$\frac{\pi}{3}$	$\frac{\pi}{2}$
r	2	$\sqrt{2}$	0	$\sqrt{2}$	0

Table 8.6

Plot the points on the graph, such as the one shown in Figure 8.80.

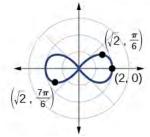


Figure 8.80 Lemniscate

Analysis

Making a substitution such as $u = 2\theta$ is a common practice in mathematics because it can make calculations simpler. However, we must not forget to replace the substitution term with the original term at the end, and then solve for the unknown.

Some of the points on this graph may not show up using the Trace function on the TI-84 graphing calculator, and the calculator table may show an error for these same points of r. This is because there are no real square roots for these values of θ . In other words, the corresponding r-values of $\sqrt{4\cos(2\theta)}$ are complex numbers because there is a negative number under the radical.

Investigating Rose Curves

The next type of polar equation produces a petal-like shape called a rose curve. Although the graphs look complex, a simple polar equation generates the pattern.

Rose Curves The formulas that generate the graph of a rose curve are given by $r = a\cos n\theta$ and $r = a\sin n\theta$ where $a \neq 0$. If n is even, the curve has 2n petals. If n is odd, the curve has n petals. See Figure 8.81. $r = a\cos(n\theta), n \text{ even}$ $r = a\sin(n\theta), n \text{ odd}$ (a) (b) Figure 8.81

Example 8.31

Sketching the Graph of a Rose Curve (n Even)

Sketch the graph of $r = 2\cos 4\theta$.

Solution

Testing for symmetry, we find again that the symmetry tests do not tell the whole story. The graph is not only symmetric with respect to the polar axis, but also with respect to the line $\theta = \frac{\pi}{2}$ and the pole.

Now we will find the zeros. First make the substitution $u = 4\theta$.

$$0 = 2\cos 4\theta$$
$$0 = \cos 4\theta$$
$$0 = \cos u$$
$$\cos^{-1} 0 = u$$
$$u = \frac{\pi}{2}$$
$$4\theta = \frac{\pi}{2}$$
$$\theta = \frac{\pi}{8}$$

The zero is $\theta = \frac{\pi}{8}$. The point $\left(0, \frac{\pi}{8}\right)$ is on the curve.

Next, we find the maximum |r|. We know that the maximum value of $\cos u = 1$ when $\theta = 0$. Thus,

$$r = 2\cos(4 \cdot 0)$$
$$r = 2\cos(0)$$
$$r = 2(1) = 2$$

The point (2, 0) is on the curve.

The graph of the rose curve has unique properties, which are revealed in **Table 8.7**.

θ	0	<u>π</u> 8	$\frac{\pi}{4}$	$\frac{3\pi}{8}$	<u>π</u> 2	<u>5π</u> 8	$\frac{3\pi}{4}$
r	2	0	-2	0	2	0	-2

Table 8.7

As r=0 when $\theta=\frac{\pi}{8}$, it makes sense to divide values in the table by $\frac{\pi}{8}$ units. A definite pattern emerges. Look at the range of r-values: 2, 0, -2, 0, 2, 0, -2, and so on. This represents the development of the curve one petal at a time. Starting at r=0, each petal extends out a distance of r=2, and then turns back to zero 2n times for a total of eight petals. See the graph in **Figure 8.82**.

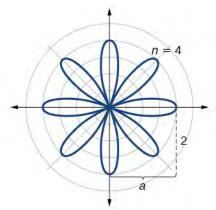


Figure 8.82 Rose curve, n even

Analysis

When these curves are drawn, it is best to plot the points in order, as in the **Table 8.7**. This allows us to see how the graph hits a maximum (the tip of a petal), loops back crossing the pole, hits the opposite maximum, and loops back to the pole. The action is continuous until all the petals are drawn.



8.19 Sketch the graph of $r = 4\sin(2\theta)$.

Example 8.32

Sketching the Graph of a Rose Curve (n Odd)

Sketch the graph of $r = 2\sin(5\theta)$.

Solution

The graph of the equation shows symmetry with respect to the line $\theta = \frac{\pi}{2}$. Next, find the zeros and maximum.

We will want to make the substitution $u = 5\theta$.

$$0 = 2\sin(5\theta)$$

$$0 = \sin u$$

$$\sin^{-1} 0 = 0$$

$$u = 0$$

$$5\theta = 0$$

$$\theta = 0$$

The maximum value is calculated at the angle where $\sin\theta$ is a maximum. Therefore,

$$r = 2\sin\left(5 \cdot \frac{\pi}{2}\right)$$
$$r = 2(1) = 2$$

Thus, the maximum value of the polar equation is 2. This is the length of each petal. As the curve for n odd yields the same number of petals as n, there will be five petals on the graph. See **Figure 8.83**.

Create a table of values similar to **Table 8.8**.

θ	0	<u>π</u> 6	<u>π</u> 3	<u>π</u> 2	$\frac{2\pi}{3}$	<u>5π</u> 6	π
r	0	1	-1.73	2	-1.73	1	0

Table 8.8

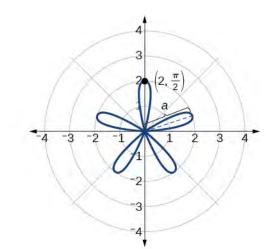


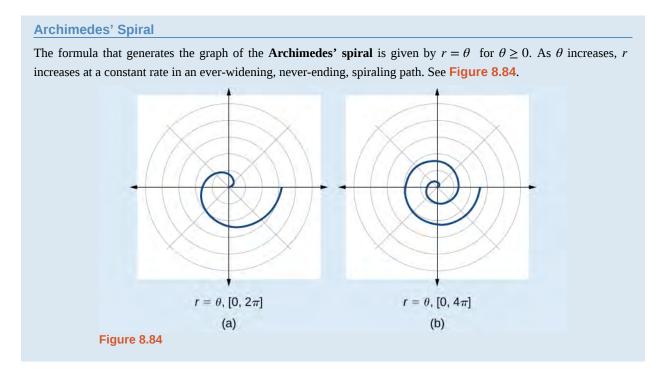
Figure 8.83 Rose curve, n odd



8.20 Sketch the graph of $r = 3\cos(3\theta)$.

Investigating the Archimedes' Spiral

The final polar equation we will discuss is the Archimedes' spiral, named for its discoverer, the Greek mathematician Archimedes (c. 287 BCE - c. 212 BCE), who is credited with numerous discoveries in the fields of geometry and mechanics.





Given an Archimedes' spiral over $[0, 2\pi]$, sketch the graph.

- **1**. Make a table of values for r and θ over the given domain.
- 2. Plot the points and sketch the graph.

Example 8.33

Sketching the Graph of an Archimedes' Spiral

Sketch the graph of $r = \theta$ over $[0, 2\pi]$.

Solution

As r is equal to θ , the plot of the Archimedes' spiral begins at the pole at the point (0, 0). While the graph hints of symmetry, there is no formal symmetry with regard to passing the symmetry tests. Further, there is no maximum value, unless the domain is restricted.

Create a table such as **Table 8.9**.

θ	$\frac{\pi}{4}$	<u>\pi</u> 2	π	$\frac{3\pi}{2}$	$\frac{7\pi}{4}$	2π
r	0.785	1.57	3.14	4.71	5.50	6.28

Table 8.9

Notice that the *r*-values are just the decimal form of the angle measured in radians. We can see them on a graph in **Figure 8.85**.

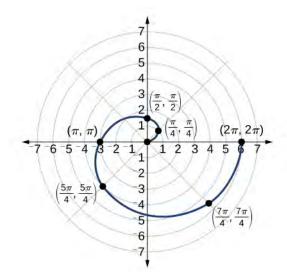


Figure 8.85 Archimedes' spiral

Analysis

The domain of this polar curve is $[0, 2\pi]$. In general, however, the domain of this function is $(-\infty, \infty)$. Graphing the equation of the Archimedes' spiral is rather simple, although the image makes it seem like it would be complex.



8.21 Sketch the graph of $r = -\theta$ over the interval $[0, 4\pi]$.

Summary of Curves

We have explored a number of seemingly complex polar curves in this section. **Figure 8.86** and **Figure 8.87** summarize the graphs and equations for each of these curves.

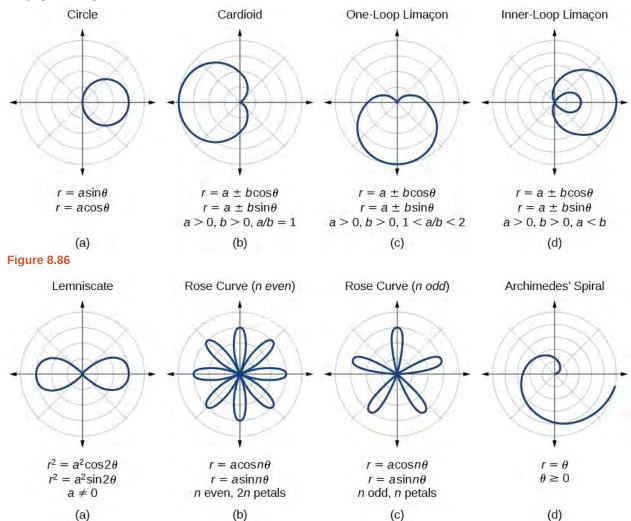


Figure 8.87



Access these online resources for additional instruction and practice with graphs of polar coordinates.

- Graphing Polar Equations Part 1 (http://openstaxcollege.org/l/polargraph1)
- Graphing Polar Equations Part 2 (http://openstaxcollege.org/l/polargraph2)
- · Animation: The Graphs of Polar Equations (http://openstaxcollege.org/l/polaranim)
- Graphing Polar Equations on the TI-84 (http://openstaxcollege.org/l/polarTI84)

8.4 EXERCISES

Verbal

- 240. Describe the three types of symmetry in polar graphs, and compare them to the symmetry of the Cartesian plane.
- 241. Which of the three types of symmetries for polar graphs correspond to the symmetries with respect to the *x*-axis, *y*-axis, and origin?
- 242. What are the steps to follow when graphing polar equations?
- 243. Describe the shapes of the graphs of cardioids, limaçons, and lemniscates.
- 244. What part of the equation determines the shape of the graph of a polar equation?

Graphical

For the following exercises, test the equation for symmetry.

245.
$$r = 5\cos 3\theta$$

246.
$$r = 3 - 3\cos\theta$$

247.
$$r = 3 + 2\sin\theta$$

248.
$$r = 3\sin 2\theta$$

249.
$$r = 4$$

250.
$$r = 2\theta$$

251.
$$r = 4\cos\frac{\theta}{2}$$

252.
$$r = \frac{2}{9}$$

253.
$$r = 3\sqrt{1 - \cos^2 \theta}$$

254.
$$r = \sqrt{5\sin 2\theta}$$

For the following exercises, graph the polar equation. Identify the name of the shape.

255.
$$r = 3\cos\theta$$

256.
$$r = 4\sin\theta$$

257.
$$r = 2 + 2\cos\theta$$

258.
$$r = 2 - 2\cos\theta$$

259.
$$r = 5 - 5\sin\theta$$

260.
$$r = 3 + 3\sin\theta$$

261.
$$r = 3 + 2\sin\theta$$

262.
$$r = 7 + 4\sin\theta$$

263.
$$r = 4 + 3\cos\theta$$

264.
$$r = 5 + 4\cos\theta$$

265.
$$r = 10 + 9\cos\theta$$

266.
$$r = 1 + 3\sin\theta$$

267.
$$r = 2 + 5\sin\theta$$

268.
$$r = 5 + 7\sin\theta$$

269.
$$r = 2 + 4\cos\theta$$

270.
$$r = 5 + 6\cos\theta$$

271.
$$r^2 = 36\cos(2\theta)$$

272.
$$r^2 = 10\cos(2\theta)$$

273.
$$r^2 = 4\sin(2\theta)$$

274.
$$r^2 = 10\sin(2\theta)$$

275.
$$r = 3\sin(2\theta)$$

276.
$$r = 3\cos(2\theta)$$

277.
$$r = 5\sin(3\theta)$$

278.
$$r = 4\sin(4\theta)$$

279.
$$r = 4\sin(5\theta)$$

280.
$$r = -\theta$$

281.
$$r = 2\theta$$

282.
$$r = -3\theta$$

Technology

For the following exercises, use a graphing calculator to sketch the graph of the polar equation.

283.
$$r = \frac{1}{\theta}$$

284.
$$r = \frac{1}{\sqrt{\theta}}$$

285. $r = 2\sin\theta \tan\theta$, a cissoid

286.
$$r = 2\sqrt{1 - \sin^2 \theta}$$
, a hippopede

287.
$$r = 5 + \cos(4\theta)$$

288.
$$r = 2 - \sin(2\theta)$$

289.
$$r = \theta^2$$

290.
$$r = \theta + 1$$

291.
$$r = \theta \sin \theta$$

292.
$$r = \theta \cos \theta$$

For the following exercises, use a graphing utility to graph each pair of polar equations on a domain of $[0, 4\pi]$ and then explain the differences shown in the graphs.

293.
$$r = \theta, r = -\theta$$

294.
$$r = \theta$$
, $r = \theta + \sin \theta$

295.
$$r = \sin \theta + \theta$$
, $r = \sin \theta - \theta$

296.
$$r = 2\sin(\frac{\theta}{2}), r = \theta\sin(\frac{\theta}{2})$$

297.
$$r = \sin(\cos(3\theta))$$
 $r = \sin(3\theta)$

298. On a graphing utility, graph $r = \sin\left(\frac{16}{5}\theta\right)$ on $[0, 4\pi]$, $[0, 8\pi]$, $[0, 12\pi]$, and $[0, 16\pi]$. Describe the effect of increasing the width of the domain.

299. On a graphing utility, graph and sketch
$$r = \sin \theta + \left(\sin\left(\frac{5}{2}\theta\right)\right)^3$$
 on $[0, 4\pi]$.

300. On a graphing utility, graph each polar equation. Explain the similarities and differences you observe in the graphs.

$$r_1 = 3\sin(3\theta)$$

$$r_2 = 2\sin(3\theta)$$

$$r_3 = \sin(3\theta)$$

301. On a graphing utility, graph each polar equation. Explain the similarities and differences you observe in the graphs.

$$r_1 = 3 + 3\cos\theta$$

$$r_2 = 2 + 2\cos\theta$$

$$r_3 = 1 + \cos \theta$$

302. On a graphing utility, graph each polar equation. Explain the similarities and differences you observe in the graphs.

$$r_1 = 3\theta$$

$$r_2 = 2\theta$$

$$r_3 = \theta$$

Extensions

For the following exercises, draw each polar equation on the same set of polar axes, and find the points of intersection.

303.
$$r_1 = 3 + 2\sin\theta$$
, $r_2 = 2$

304.
$$r_1 = 6 - 4\cos\theta$$
, $r_2 = 4$

305.
$$r_1 = 1 + \sin \theta$$
, $r_2 = 3\sin \theta$

306.
$$r_1 = 1 + \cos \theta$$
, $r_2 = 3\cos \theta$

307.
$$r_1 = \cos(2\theta), r_2 = \sin(2\theta)$$

308.
$$r_1 = \sin^2(2\theta), r_2 = 1 - \cos(4\theta)$$

309.
$$r_1 = \sqrt{3}, r_2 = 2\sin(\theta)$$

310.
$$r_1^2 = \sin \theta, r_2^2 = \cos \theta$$

311.
$$r_1 = 1 + \cos \theta$$
, $r_2 = 1 - \sin \theta$

8.5 | Polar Form of Complex Numbers

Learning Objectives

In this section, you will:

- **8.5.1** Plot complex numbers in the complex plane.
- **8.5.2** Find the absolute value of a complex number.
- **8.5.3** Write complex numbers in polar form.
- **8.5.4** Convert a complex number from polar to rectangular form.
- **8.5.5** Find products of complex numbers in polar form.
- 8.5.6 Find quotients of complex numbers in polar form.
- 8.5.7 Find powers of complex numbers in polar form.
- **8.5.8** Find roots of complex numbers in polar form.

"God made the integers; all else is the work of man." This rather famous quote by nineteenth-century German mathematician Leopold Kronecker sets the stage for this section on the polar form of a complex number. Complex numbers were invented by people and represent over a thousand years of continuous investigation and struggle by mathematicians such as Pythagoras, Descartes, De Moivre, Euler, Gauss, and others. Complex numbers answered questions that for centuries had puzzled the greatest minds in science.

We first encountered complex numbers in **Complex Numbers (https://cnx.org/content/m51255/latest/)**. In this section, we will focus on the mechanics of working with complex numbers: translation of complex numbers from polar form to rectangular form and vice versa, interpretation of complex numbers in the scheme of applications, and application of De Moivre's Theorem.

Plotting Complex Numbers in the Complex Plane

Plotting a complex number a + bi is similar to plotting a real number, except that the horizontal axis represents the real part of the number, a, and the vertical axis represents the imaginary part of the number, bi.



Given a complex number a + bi, plot it in the complex plane.

- 1. Label the horizontal axis as the *real* axis and the vertical axis as the *imaginary axis*.
- 2. Plot the point in the complex plane by moving a units in the horizontal direction and b units in the vertical direction.

Example 8.34

Plotting a Complex Number in the Complex Plane

Plot the complex number 2 - 3i in the complex plane.

Solution

From the origin, move two units in the positive horizontal direction and three units in the negative vertical direction. See **Figure 8.88**.

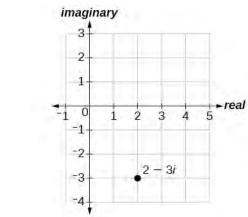


Figure 8.88

Try

8.22 Plot the point 1 + 5i in the complex plane.

Finding the Absolute Value of a Complex Number

The first step toward working with a complex number in polar form is to find the absolute value. The absolute value of a complex number is the same as its magnitude, or |z|. It measures the distance from the origin to a point in the plane. For example, the graph of z = 2 + 4i, in **Figure 8.89**, shows |z|.

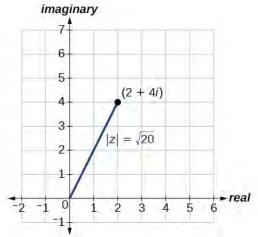


Figure 8.89

Absolute Value of a Complex Number

Given z = x + yi, a complex number, the absolute value of z is defined as

$$|z| = \sqrt{x^2 + y^2}$$

It is the distance from the origin to the point (x, y).

Notice that the absolute value of a real number gives the distance of the number from 0, while the absolute value of a complex number gives the distance of the number from the origin, (0, 0).

Example 8.35

Finding the Absolute Value of a Complex Number with a Radical

Find the absolute value of $z = \sqrt{5} - i$.

Solution

Using the formula, we have

$$|z| = \sqrt{x^2 + y^2}$$

$$|z| = \sqrt{(\sqrt{5})^2 + (-1)^2}$$

$$|z| = \sqrt{5 + 1}$$

$$|z| = \sqrt{6}$$

See Figure 8.90.

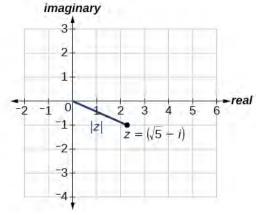


Figure 8.90



8.23 Find the absolute value of the complex number z = 12 - 5i.

Example 8.36

Finding the Absolute Value of a Complex Number

Given z = 3 - 4i, find |z|.

Solution

Using the formula, we have

$$|z| = \sqrt{x^2 + y^2}$$

$$|z| = \sqrt{(3)^2 + (-4)^2}$$

$$|z| = \sqrt{9 + 16}$$

$$|z| = \sqrt{25}$$

$$|z| = 5$$

The absolute value z is 5. See **Figure 8.91**.

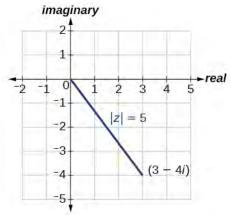


Figure 8.91



8.24 Given z = 1 - 7i, find |z|.

Writing Complex Numbers in Polar Form

The **polar form of a complex number** expresses a number in terms of an angle θ and its distance from the origin r. Given a complex number in rectangular form expressed as z = x + yi, we use the same conversion formulas as we do to write the number in trigonometric form:

$$x = r\cos\theta$$
$$y = r\sin\theta$$
$$r = \sqrt{x^2 + y^2}$$

We review these relationships in **Figure 8.92**.

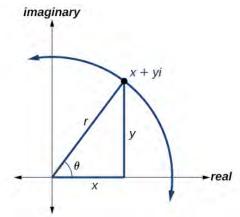


Figure 8.92

We use the term **modulus** to represent the absolute value of a complex number, or the distance from the origin to the point (x, y). The modulus, then, is the same as r, the radius in polar form. We use θ to indicate the angle of direction (just as with polar coordinates). Substituting, we have

$$z = x + yi$$

$$z = r\cos\theta + (r\sin\theta)i$$

$$z = r(\cos\theta + i\sin\theta)$$

Polar Form of a Complex Number

Writing a complex number in polar form involves the following conversion formulas:

$$x = r\cos\theta$$
$$y = r\sin\theta$$
$$r = \sqrt{x^2 + y^2}$$

Making a direct substitution, we have

$$z = x + yi$$

$$z = (r\cos\theta) + i(r\sin\theta)$$

$$z = r(\cos\theta + i\sin\theta)$$

where r is the **modulus** and θ is the **argument**. We often use the abbreviation $r \operatorname{cis} \theta$ to represent $r(\cos \theta + i \sin \theta)$.

Example 8.37

Expressing a Complex Number Using Polar Coordinates

Express the complex number 4i using polar coordinates.

Solution

On the complex plane, the number z = 4i is the same as z = 0 + 4i. Writing it in polar form, we have to calculate r first.

$$r = \sqrt{x^2 + y^2}$$

$$r = \sqrt{0^2 + 4^2}$$

$$r = \sqrt{16}$$

$$r = 4$$

Next, we look at x. If $x = r\cos\theta$, and x = 0, then $\theta = \frac{\pi}{2}$. In polar coordinates, the complex number z = 0 + 4i can be written as $z = 4\left(\cos\left(\frac{\pi}{2}\right) + i\sin\left(\frac{\pi}{2}\right)\right)$ or $4\operatorname{cis}\left(\frac{\pi}{2}\right)$. See **Figure 8.93**.

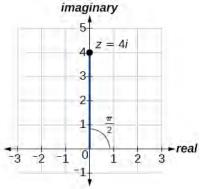


Figure 8.93



8.25 Express z = 3i as $r \operatorname{cis} \theta$ in polar form.

Example 8.38

Finding the Polar Form of a Complex Number

Find the polar form of -4 + 4i.

Solution

First, find the value of r.

$$r = \sqrt{x^2 + y^2}$$

$$r = \sqrt{(-4)^2 + (4^2)}$$

$$r = \sqrt{32}$$

$$r = 4\sqrt{2}$$

Find the angle θ using the formula:

$$\cos \theta = \frac{x}{r}$$

$$\cos \theta = \frac{-4}{4\sqrt{2}}$$

$$\cos \theta = -\frac{1}{\sqrt{2}}$$

$$\theta = \cos^{-1}\left(-\frac{1}{\sqrt{2}}\right) = \frac{3\pi}{4}$$

Thus, the solution is $4\sqrt{2}\operatorname{cis}\left(\frac{3\pi}{4}\right)$.



8.26 Write $z = \sqrt{3} + i$ in polar form.

Converting a Complex Number from Polar to Rectangular Form

Converting a complex number from polar form to rectangular form is a matter of evaluating what is given and using the distributive property. In other words, given $z = r(\cos \theta + i \sin \theta)$, first evaluate the trigonometric functions $\cos \theta$ and $\sin \theta$. Then, multiply through by r.

Example 8.39

Converting from Polar to Rectangular Form

Convert the polar form of the given complex number to rectangular form:

$$z = 12\left(\cos\left(\frac{\pi}{6}\right) + i\sin\left(\frac{\pi}{6}\right)\right)$$

Solution

We begin by evaluating the trigonometric expressions.

$$\cos\left(\frac{\pi}{6}\right) = \frac{\sqrt{3}}{2}$$
 and $\sin\left(\frac{\pi}{6}\right) = \frac{1}{2}$

After substitution, the complex number is

$$z = 12\left(\frac{\sqrt{3}}{2} + \frac{1}{2}i\right)$$

We apply the distributive property:

$$z = 12\left(\frac{\sqrt{3}}{2} + \frac{1}{2}i\right)$$
$$= (12)\frac{\sqrt{3}}{2} + (12)\frac{1}{2}i$$
$$= 6\sqrt{3} + 6i$$

The rectangular form of the given point in complex form is $6\sqrt{3} + 6i$.

Example 8.40

Finding the Rectangular Form of a Complex Number

Find the rectangular form of the complex number given r = 13 and $\tan \theta = \frac{5}{12}$.

Solution

If $\tan \theta = \frac{5}{12}$, and $\tan \theta = \frac{y}{x}$, we first determine $r = \sqrt{x^2 + y^2} = \sqrt{12^2 + 5^2} = 13$. We then find $\cos \theta = \frac{x}{r}$ and $\sin \theta = \frac{y}{r}$.

$$z = 13(\cos\theta + i\sin\theta)$$
$$= 13\left(\frac{12}{13} + \frac{5}{13}i\right)$$
$$= 12 + 5i$$

The rectangular form of the given number in complex form is 12 + 5i.



8.27 Convert the complex number to rectangular form:

$$z = 4\left(\cos\frac{11\pi}{6} + i\sin\frac{11\pi}{6}\right)$$

Finding Products of Complex Numbers in Polar Form

Now that we can convert complex numbers to polar form we will learn how to perform operations on complex numbers in polar form. For the rest of this section, we will work with formulas developed by French mathematician Abraham de Moivre (1667-1754). These formulas have made working with products, quotients, powers, and roots of complex numbers much simpler than they appear. The rules are based on multiplying the moduli and adding the arguments.

Products of Complex Numbers in Polar Form

If $z_1 = r_1(\cos\theta_1 + i\sin\theta_1)$ and $z_2 = r_2(\cos\theta_2 + i\sin\theta_2)$, then the product of these numbers is given as:

$$z_1 z_2 = r_1 r_2 [\cos(\theta_1 + \theta_2) + i\sin(\theta_1 + \theta_2)]$$

$$z_1 z_2 = r_1 r_2 \operatorname{cis}(\theta_1 + \theta_2)$$

Notice that the product calls for multiplying the moduli and adding the angles.

Example 8.41

Finding the Product of Two Complex Numbers in Polar Form

Find the product of $z_1 z_2$, given $z_1 = 4(\cos(80^\circ) + i\sin(80^\circ))$ and $z_2 = 2(\cos(145^\circ) + i\sin(145^\circ))$.

Solution

Follow the formula

$$z_{1}z_{2} = 4 \cdot 2[\cos(80^{\circ} + 145^{\circ}) + i\sin(80^{\circ} + 145^{\circ})]$$

$$z_{1}z_{2} = 8[\cos(225^{\circ}) + i\sin(225^{\circ})]$$

$$z_{1}z_{2} = 8\left[\cos\left(\frac{5\pi}{4}\right) + i\sin\left(\frac{5\pi}{4}\right)\right]$$

$$z_{1}z_{2} = 8\left[-\frac{\sqrt{2}}{2} + i\left(-\frac{\sqrt{2}}{2}\right)\right]$$

$$z_{1}z_{2} = -4\sqrt{2} - 4i\sqrt{2}$$

Finding Quotients of Complex Numbers in Polar Form

The quotient of two complex numbers in polar form is the quotient of the two moduli and the difference of the two arguments.

Quotients of Complex Numbers in Polar Form

If $z_1 = r_1(\cos\theta_1 + i\sin\theta_1)$ and $z_2 = r_2(\cos\theta_2 + i\sin\theta_2)$, then the quotient of these numbers is

$$\begin{split} \frac{z_1}{z_2} &= \frac{r_1}{r_2} [\cos(\theta_1 - \theta_2) + i \sin(\theta_1 - \theta_2)], \ z_2 \neq 0 \\ \frac{z_1}{z_2} &= \frac{r_1}{r_2} \text{cis}(\theta_1 - \theta_2), \ z_2 \neq 0 \end{split}$$

Notice that the moduli are divided, and the angles are subtracted.



Given two complex numbers in polar form, find the quotient.

- 1. Divide $\frac{r_1}{r_2}$.
- 2. Find $\theta_1 \theta_2$.
- 3. Substitute the results into the formula: $z = r(\cos \theta + i\sin \theta)$. Replace r with $\frac{r_1}{r_2}$, and replace θ with $\theta_1 \theta_2$.
- 4. Calculate the new trigonometric expressions and multiply through by r.

Example 8.42

Finding the Quotient of Two Complex Numbers

Find the quotient of $z_1 = 2(\cos(213^\circ) + i\sin(213^\circ))$ and $z_2 = 4(\cos(33^\circ) + i\sin(33^\circ))$.

Solution

Using the formula, we have

$$\frac{z_1}{z_2} = \frac{2}{4} [\cos(213^\circ - 33^\circ) + i\sin(213^\circ - 33^\circ)]$$

$$\frac{z_1}{z_2} = \frac{1}{2} [\cos(180^\circ) + i\sin(180^\circ)]$$

$$\frac{z_1}{z_2} = \frac{1}{2} [-1 + 0i]$$

$$\frac{z_1}{z_2} = -\frac{1}{2} + 0i$$

$$\frac{z_1}{z_2} = -\frac{1}{2}$$



8.28 Find the product and the quotient of $z_1 = 2\sqrt{3}(\cos(150^\circ) + i\sin(150^\circ))$ and $z_2 = 2(\cos(30^\circ) + i\sin(30^\circ))$.

Finding Powers of Complex Numbers in Polar Form

Finding powers of complex numbers is greatly simplified using **De Moivre's Theorem**. It states that, for a positive integer n, z^n is found by raising the modulus to the nth power and multiplying the argument by n. It is the standard method used in modern mathematics.

De Moivre's Theorem

If $z = r(\cos \theta + i\sin \theta)$ is a complex number, then

$$z^{n} = r^{n} [\cos(n\theta) + i\sin(n\theta)]$$
$$z^{n} = r^{n} \operatorname{cis}(n\theta)$$

where n is a positive integer.

Example 8.43

Evaluating an Expression Using De Moivre's Theorem

Evaluate the expression $(1+i)^5$ using De Moivre's Theorem.

Solution

Since De Moivre's Theorem applies to complex numbers written in polar form, we must first write (1 + i) in polar form. Let us find r.

$$r = \sqrt{x^2 + y^2}$$

$$r = \sqrt{(1)^2 + (1)^2}$$

$$r = \sqrt{2}$$

Then we find θ . Using the formula $\tan \theta = \frac{y}{x}$ gives

$$\tan \theta = \frac{1}{1}$$

$$\tan \theta = 1$$

$$\theta = \frac{\pi}{4}$$

Use De Moivre's Theorem to evaluate the expression.

$$(a+bi)^n = r^n [\cos(n\theta) + i\sin(n\theta)]$$

$$(1+i)^5 = (\sqrt{2})^5 \left[\cos\left(5 \cdot \frac{\pi}{4}\right) + i\sin\left(5 \cdot \frac{\pi}{4}\right)\right]$$

$$(1+i)^5 = 4\sqrt{2} \left[\cos\left(\frac{5\pi}{4}\right) + i\sin\left(\frac{5\pi}{4}\right)\right]$$

$$(1+i)^5 = 4\sqrt{2} \left[-\frac{\sqrt{2}}{2} + i\left(-\frac{\sqrt{2}}{2}\right)\right]$$

$$(1+i)^5 = -4 - 4i$$

Finding Roots of Complex Numbers in Polar Form

To find the *n*th root of a complex number in polar form, we use the *n*th Root Theorem or De Moivre's Theorem and raise the complex number to a power with a rational exponent. There are several ways to represent a formula for finding *n*th roots of complex numbers in polar form.

The nth Root Theorem

To find the *n*th root of a complex number in polar form, use the formula given as

$$z^{\frac{1}{n}} = r^{\frac{1}{n}} \left[\cos \left(\frac{\theta}{n} + \frac{2k\pi}{n} \right) + i \sin \left(\frac{\theta}{n} + \frac{2k\pi}{n} \right) \right]$$

where $k=0,\ 1,\ 2,\ 3,\ \ldots,\ n-1.$ We add $\frac{2k\pi}{n}$ to $\frac{\theta}{n}$ in order to obtain the periodic roots.

Example 8.44

Finding the nth Root of a Complex Number

Evaluate the cube roots of $z = 8\left(\cos\left(\frac{2\pi}{3}\right) + i\sin\left(\frac{2\pi}{3}\right)\right)$.

Solution

We have

$$z^{\frac{1}{3}} = 8^{\frac{1}{3}} \left[\cos \left(\frac{2\pi}{3} + \frac{2k\pi}{3} \right) + i \sin \left(\frac{2\pi}{3} + \frac{2k\pi}{3} \right) \right]$$
$$z^{\frac{1}{3}} = 2 \left[\cos \left(\frac{2\pi}{9} + \frac{2k\pi}{3} \right) + i \sin \left(\frac{2\pi}{9} + \frac{2k\pi}{3} \right) \right]$$

There will be three roots: k = 0, 1, 2. When k = 0, we have

$$z^{\frac{1}{3}} = 2\left(\cos\left(\frac{2\pi}{9}\right) + i\sin\left(\frac{2\pi}{9}\right)\right)$$

When k = 1, we have

$$z^{\frac{1}{3}} = 2\left[\cos\left(\frac{2\pi}{9} + \frac{6\pi}{9}\right) + i\sin\left(\frac{2\pi}{9} + \frac{6\pi}{9}\right)\right]$$
 Add $\frac{2(1)\pi}{3}$ to each angle.
$$z^{\frac{1}{3}} = 2\left(\cos\left(\frac{8\pi}{9}\right) + i\sin\left(\frac{8\pi}{9}\right)\right)$$

When k = 2, we have

$$z^{\frac{1}{3}} = 2\left[\cos\left(\frac{2\pi}{9} + \frac{12\pi}{9}\right) + i\sin\left(\frac{2\pi}{9} + \frac{12\pi}{9}\right)\right]$$
 Add $\frac{2(2)\pi}{3}$ to each angle.
$$z^{\frac{1}{3}} = 2\left(\cos\left(\frac{14\pi}{9}\right) + i\sin\left(\frac{14\pi}{9}\right)\right)$$

Remember to find the common denominator to simplify fractions in situations like this one. For k = 1, the angle simplification is

$$\frac{\frac{2\pi}{3}}{\frac{3}{3}} + \frac{2(1)\pi}{3} = \frac{2\pi}{3} \left(\frac{1}{3}\right) + \frac{2(1)\pi}{3} \left(\frac{3}{3}\right)$$
$$= \frac{2\pi}{9} + \frac{6\pi}{9}$$
$$= \frac{8\pi}{9}$$



8.29 Find the four fourth roots of $16(\cos(120^\circ) + i\sin(120^\circ))$.



Access these online resources for additional instruction and practice with polar forms of complex numbers.

- The Product and Quotient of Complex Numbers in Trigonometric Form (http://openstaxcollege.org/l/prodquocomplex)
- De Moivre's Theorem (http://openstaxcollege.org/l/demoivre)

8.5 EXERCISES

Verbal

312. A complex number is a + bi. Explain each part.

313. What does the absolute value of a complex number represent?

314. How is a complex number converted to polar form?

315. How do we find the product of two complex numbers?

316. What is De Moivre's Theorem and what is it used for?

Algebraic

For the following exercises, find the absolute value of the given complex number.

317. 5 + 3i

318. -7 + i

319. -3 - 3i

320. $\sqrt{2} - 6i$

321. 2i

322. 2.2 - 3.1i

For the following exercises, write the complex number in polar form.

323. 2 + 2i

324. 8-4i

325. $-\frac{1}{2} - \frac{1}{2}i$

326. $\sqrt{3} + i$

327. 3i

For the following exercises, convert the complex number from polar to rectangular form.

328. $z = 7 \text{cis}(\frac{\pi}{6})$

329. $z = 2 \operatorname{cis} \left(\frac{\pi}{3} \right)$

 $330. \quad z = 4\operatorname{cis}\left(\frac{7\pi}{6}\right)$

331. $z = 7 \text{cis}(25^\circ)$

332. $z = 3 \text{cis}(240^\circ)$

333. $z = \sqrt{2} \text{cis}(100^\circ)$

For the following exercises, find $z_1 z_2$ in polar form.

334. $z_1 = 2\sqrt{3}\operatorname{cis}(116^\circ); \ z_2 = 2\operatorname{cis}(82^\circ)$

335. $z_1 = \sqrt{2} \text{cis}(205^\circ); \ z_2 = 2\sqrt{2} \text{cis}(118^\circ)$

336. $z_1 = 3\operatorname{cis}(120^\circ); \ z_2 = \frac{1}{4}\operatorname{cis}(60^\circ)$

337. $z_1 = 3\operatorname{cis}\left(\frac{\pi}{4}\right); \ z_2 = 5\operatorname{cis}\left(\frac{\pi}{6}\right)$

338. $z_1 = \sqrt{5} \operatorname{cis}\left(\frac{5\pi}{8}\right); \ z_2 = \sqrt{15} \operatorname{cis}\left(\frac{\pi}{12}\right)$

339. $z_1 = 4\operatorname{cis}\left(\frac{\pi}{2}\right); \ z_2 = 2\operatorname{cis}\left(\frac{\pi}{4}\right)$

For the following exercises, find $\frac{z_1}{z_2}$ in polar form.

340. $z_1 = 21 \text{cis}(135^\circ); \ z_2 = 3 \text{cis}(65^\circ)$

341. $z_1 = \sqrt{2} \text{cis}(90^\circ); \ z_2 = 2 \text{cis}(60^\circ)$

342. $z_1 = 15 \text{cis}(120^\circ); \ z_2 = 3 \text{cis}(40^\circ)$

343. $z_1 = 6 \operatorname{cis}\left(\frac{\pi}{3}\right); \ z_2 = 2 \operatorname{cis}\left(\frac{\pi}{4}\right)$

344. $z_1 = 5\sqrt{2}\operatorname{cis}(\pi); \ z_2 = \sqrt{2}\operatorname{cis}\left(\frac{2\pi}{3}\right)$

345. $z_1 = 2\operatorname{cis}\left(\frac{3\pi}{5}\right); \ z_2 = 3\operatorname{cis}\left(\frac{\pi}{4}\right)$

For the following exercises, find the powers of each complex number in polar form.

346. Find z^3 when $z = 5 \text{cis}(45^\circ)$.

347. Find z^4 when $z = 2 \text{cis}(70^\circ)$.

348. Find z^2 when $z = 3 \text{cis}(120^\circ)$.

349. Find z^2 when $z = 4\operatorname{cis}\left(\frac{\pi}{4}\right)$.

350. Find z^4 when $z = \operatorname{cis}\left(\frac{3\pi}{16}\right)$.

351. Find
$$z^3$$
 when $z = 3\operatorname{cis}\left(\frac{5\pi}{3}\right)$.

For the following exercises, evaluate each root.

- 352. Evaluate the cube root of z when $z = 27 \text{cis}(240^\circ)$.
- 353. Evaluate the square root of z when $z = 16 \text{cis}(100^\circ)$.
- 354. Evaluate the cube root of *z* when $z = 32 \operatorname{cis}\left(\frac{2\pi}{3}\right)$.
- 355. Evaluate the square root of *z* when $z = 32 \operatorname{cis}(\pi)$.
- 356. Evaluate the cube root of *z* when $z = 8 \operatorname{cis} \left(\frac{7\pi}{4} \right)$.

Graphical

For the following exercises, plot the complex number in the complex plane.

- 357. 2 + 4i
- 358. -3 3i
- 359. 5-4i
- 360. -1 5i
- 361. 3 + 2i
- 362. 2i
- 363. -4
- 364. 6 2i
- 365. -2+i
- 366. 1 4i

Technology

For the following exercises, find all answers rounded to the nearest hundredth.

- 367. Use the rectangular to polar feature on the graphing calculator to change 5 + 5i to polar form.
- 368. Use the rectangular to polar feature on the graphing calculator to change 3 2i to polar form.
- 369. Use the rectangular to polar feature on the graphing calculator to change -3 8i to polar form.
- 370. Use the polar to rectangular feature on the graphing calculator to change $4 \text{cis}(120^\circ)$ to rectangular form.

- 371. Use the polar to rectangular feature on the graphing calculator to change $2 \text{cis}(45^\circ)$ to rectangular form.
- 372. Use the polar to rectangular feature on the graphing calculator to change $5 \text{cis}(210^\circ)$ to rectangular form.

8.6 | Parametric Equations

Learning Objectives

In this section, you will:

- 8.6.1 Parameterize a curve.
- **8.6.2** Eliminate the parameter.
- **8.6.3** Find a rectangular equation for a curve defined parametrically.
- **8.6.4** Find parametric equations for curves defined by rectangular equations.

Consider the path a moon follows as it orbits a planet, which simultaneously rotates around the sun, as seen in **Figure 8.94**. At any moment, the moon is located at a particular spot relative to the planet. But how do we write and solve the equation for the position of the moon when the distance from the planet, the speed of the moon's orbit around the planet, and the speed of rotation around the sun are all unknowns? We can solve only for one variable at a time.

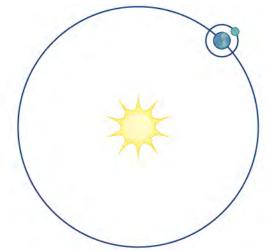


Figure 8.94

In this section, we will consider sets of equations given by x(t) and y(t) where t is the independent variable of time. We can use these parametric equations in a number of applications when we are looking for not only a particular position but also the direction of the movement. As we trace out successive values of t, the orientation of the curve becomes clear. This is one of the primary advantages of using parametric equations: we are able to trace the movement of an object along a path according to time. We begin this section with a look at the basic components of parametric equations and what it means to parameterize a curve. Then we will learn how to eliminate the parameter, translate the equations of a curve defined parametrically into rectangular equations, and find the parametric equations for curves defined by rectangular equations.

Parameterizing a Curve

When an object moves along a curve—or curvilinear path—in a given direction and in a given amount of time, the position of the object in the plane is given by the x-coordinate and the y-coordinate. However, both x and y vary over time and so are functions of time. For this reason, we add another variable, the **parameter**, upon which both x and y are dependent functions. In the example in the section opener, the parameter is time, t. The x position of the moon at time, t, is represented as the function x(t), and the y position of the moon at time, t, is represented as the function y(t). Together, y(t) are called parametric equations, and generate an ordered pair y(t). Parametric equations primarily describe motion and direction.

When we parameterize a curve, we are translating a single equation in two variables, such as x and y, into an equivalent pair of equations in three variables, x, y, and t. One of the reasons we parameterize a curve is because the parametric equations yield more information: specifically, the direction of the object's motion over time.

When we graph parametric equations, we can observe the individual behaviors of x and of y. There are a number of shapes that cannot be represented in the form y = f(x), meaning that they are not functions. For example, consider the graph of a circle, given as $r^2 = x^2 + y^2$. Solving for y gives $y = \pm \sqrt{r^2 - x^2}$, or two equations: $y_1 = \sqrt{r^2 - x^2}$ and $y_2 = -\sqrt{r^2 - x^2}$. If we graph y_1 and y_2 together, the graph will not pass the vertical line test, as shown in **Figure 8.95**. Thus, the equation for the graph of a circle is not a function.

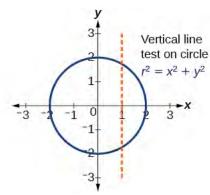


Figure 8.95

However, if we were to graph each equation on its own, each one would pass the vertical line test and therefore would represent a function. In some instances, the concept of breaking up the equation for a circle into two functions is similar to the concept of creating parametric equations, as we use two functions to produce a non-function. This will become clearer as we move forward.

Parametric Equations

Suppose t is a number on an interval, I. The set of ordered pairs, (x(t), y(t)), where x = f(t) and y = g(t), forms a plane curve based on the parameter t. The equations x = f(t) and y = g(t) are the parametric equations.

Example 8.45

Parameterizing a Curve

Parameterize the curve $y = x^2 - 1$ letting x(t) = t. Graph both equations.

Solution

If x(t) = t, then to find y(t) we replace the variable x with the expression given in x(t). In other words, $y(t) = t^2 - 1$. Make a table of values similar to **Table 8.10**, and sketch the graph.

t	x(t)	y(t)
-4	-4	$y(-4) = (-4)^2 - 1 = 15$
-3	-3	$y(-3) = (-3)^2 - 1 = 8$
-2	-2	$y(-2) = (-2)^2 - 1 = 3$
-1	-1	$y(-1) = (-1)^2 - 1 = 0$
0	0	$y(0) = (0)^2 - 1 = -1$
1	1	$y(1) = (1)^2 - 1 = 0$
2	2	$y(2) = (2)^2 - 1 = 3$
3	3	$y(3) = (3)^2 - 1 = 8$
4	4	$y(4) = (4)^2 - 1 = 15$

Table 8.10

See the graphs in **Figure 8.96**. It may be helpful to use the TRACE feature of a graphing calculator to see how the points are generated as *t* increases.

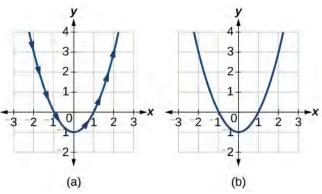


Figure 8.96 (a) Parametric $y(t) = t^2 - 1$ (b) Rectangular $y = x^2 - 1$

Analysis

The arrows indicate the direction in which the curve is generated. Notice the curve is identical to the curve of $y = x^2 - 1$.



8.30 Construct a table of values and plot the parametric equations: x(t) = t - 3, y(t) = 2t + 4; $-1 \le t \le 2$.

Example 8.46

Finding a Pair of Parametric Equations

Find a pair of parametric equations that models the graph of $y = 1 - x^2$, using the parameter x(t) = t. Plot some points and sketch the graph.

Solution

If x(t) = t and we substitute t for x into the y equation, then $y(t) = 1 - t^2$. Our pair of parametric equations is

$$x(t) = t$$
$$y(t) = 1 - t^2$$

To graph the equations, first we construct a table of values like that in **Table 8.11**. We can choose values around t = 0, from t = -3 to t = 3. The values in the x(t) column will be the same as those in the t column because x(t) = t. Calculate values for the column y(t).

t	x(t) = t	$y(t) = 1 - t^2$
-3	-3	$y(-3) = 1 - (-3)^2 = -8$
-2	-2	$y(-2) = 1 - (-2)^2 = -3$
-1	-1	$y(-1) = 1 - (-1)^2 = 0$
0	0	y(0) = 1 - 0 = 1
1	1	$y(1) = 1 - (1)^2 = 0$
2	2	$y(2) = 1 - (2)^2 = -3$
3	3	$y(3) = 1 - (3)^2 = -8$

Table 8.11

The graph of $y = 1 - t^2$ is a parabola facing downward, as shown in **Figure 8.97**. We have mapped the curve over the interval [-3, 3], shown as a solid line with arrows indicating the orientation of the curve according to t. Orientation refers to the path traced along the curve in terms of increasing values of t. As this parabola is symmetric with respect to the line x = 0, the values of x are reflected across the y-axis.

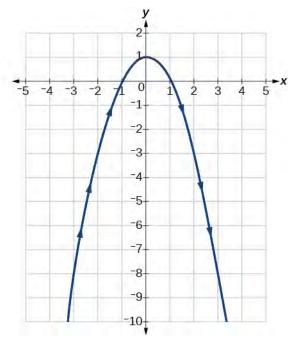


Figure 8.97



8.31 Parameterize the curve given by $x = y^3 - 2y$.

Example 8.47

Finding Parametric Equations That Model Given Criteria

An object travels at a steady rate along a straight path (-5, 3) to (3, -1) in the same plane in four seconds. The coordinates are measured in meters. Find parametric equations for the position of the object.

Solution

The parametric equations are simple linear expressions, but we need to view this problem in a step-by-step fashion. The *x*-value of the object starts at -5 meters and goes to 3 meters. This means the distance *x* has changed by 8 meters in 4 seconds, which is a rate of $\frac{8 \text{ m}}{4 \text{ s}}$, or 2 m/s. We can write the *x*-coordinate as a linear function with respect to time as x(t) = 2t - 5. In the linear function template y = mx + b, 2t = mx and -5 = b.

Similarly, the *y*-value of the object starts at 3 and goes to -1, which is a change in the distance *y* of -4 meters in 4 seconds, which is a rate of $\frac{-4 \text{ m}}{4 \text{ s}}$, or -1 m/s. We can also write the *y*-coordinate as the linear function y(t) = -t + 3. Together, these are the parametric equations for the position of the object, where x and y are expressed in meters and t represents time:

$$x(t) = 2t - 5$$
$$y(t) = -t + 3$$

Using these equations, we can build a table of values for t, x, and y (see **Table 8.12**). In this example, we limited values of t to non-negative numbers. In general, any value of t can be used.

t	x(t) = 2t - 5	y(t) = -t + 3
0	x = 2(0) - 5 = -5	y = -(0) + 3 = 3
1	x = 2(1) - 5 = -3	y = -(1) + 3 = 2
2	x = 2(2) - 5 = -1	y = -(2) + 3 = 1
3	x = 2(3) - 5 = 1	y = -(3) + 3 = 0
4	x = 2(4) - 5 = 3	y = -(4) + 3 = -1

Table 8.12

From this table, we can create three graphs, as shown in Figure 8.98.

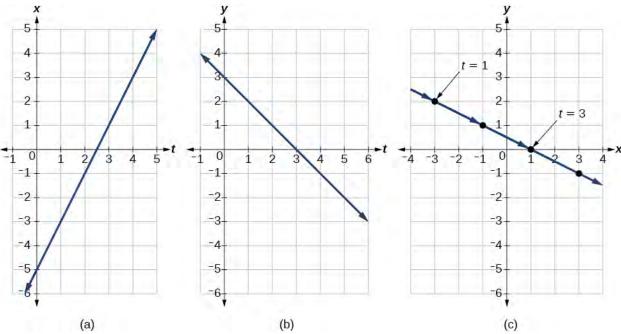


Figure 8.98 (a) A graph of x vs. t, representing the horizontal position over time. (b) A graph of y vs. t, representing the vertical position over time. (c) A graph of y vs. x, representing the position of the object in the plane at time t.

Analysis

Again, we see that, in **Figure 8.98**(c), when the parameter represents time, we can indicate the movement of the object along the path with arrows.

Eliminating the Parameter

In many cases, we may have a pair of parametric equations but find that it is simpler to draw a curve if the equation involves only two variables, such as x and y. Eliminating the parameter is a method that may make graphing some curves easier.

However, if we are concerned with the mapping of the equation according to time, then it will be necessary to indicate the orientation of the curve as well. There are various methods for eliminating the parameter t from a set of parametric equations; not every method works for every type of equation. Here we will review the methods for the most common types of equations.

Eliminating the Parameter from Polynomial, Exponential, and Logarithmic Equations

For polynomial, exponential, or logarithmic equations expressed as two parametric equations, we choose the equation that is most easily manipulated and solve for t. We substitute the resulting expression for t into the second equation. This gives one equation in x and y.

Example 8.48

Eliminating the Parameter in Polynomials

Given $x(t) = t^2 + 1$ and y(t) = 2 + t, eliminate the parameter, and write the parametric equations as a Cartesian equation.

Solution

We will begin with the equation for y because the linear equation is easier to solve for t.

$$y = 2 + t$$
$$y - 2 = t$$

Next, substitute y - 2 for t in x(t).

$$x = t^{2} + 1$$

$$x = (y - 2)^{2} + 1$$
Substitute the expression for t into x.
$$x = y^{2} - 4y + 4 + 1$$

$$x = y^{2} - 4y + 5$$

$$x = y^{2} - 4y + 5$$

The Cartesian form is $x = y^2 - 4y + 5$.

Analysis

This is an equation for a parabola in which, in rectangular terms, x is dependent on y. From the curve's vertex at (1, 2), the graph sweeps out to the right. See **Figure 8.99**. In this section, we consider sets of equations given by the functions x(t) and y(t), where t is the independent variable of time. Notice, both x and y are functions of time; so in general y is not a function of x.

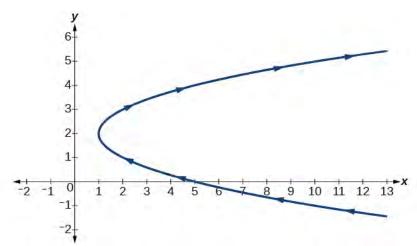


Figure 8.99



8.32 Given the equations below, eliminate the parameter and write as a rectangular equation for y as a function of x.

$$x(t) = 2t^2 + 6$$
$$y(t) = 5 - t$$

Example 8.49

Eliminating the Parameter in Exponential Equations

Eliminate the parameter and write as a Cartesian equation: $x(t) = e^{-t}$ and $y(t) = 3e^{t}$, t > 0.

Solution

Isolate e^t .

$$x = e^{-}$$
$$e^{t} = \frac{1}{x}$$

Substitute the expression into y(t).

$$y = 3e^{t}$$
$$y = 3\left(\frac{1}{x}\right)$$
$$y = \frac{3}{x}$$

The Cartesian form is $y = \frac{3}{x}$.

Analysis

The graph of the parametric equation is shown in **Figure 8.100(a)**. The domain is restricted to t > 0. The Cartesian equation, $y = \frac{3}{x}$ is shown in **Figure 8.100(b)** and has only one restriction on the domain, $x \neq 0$.

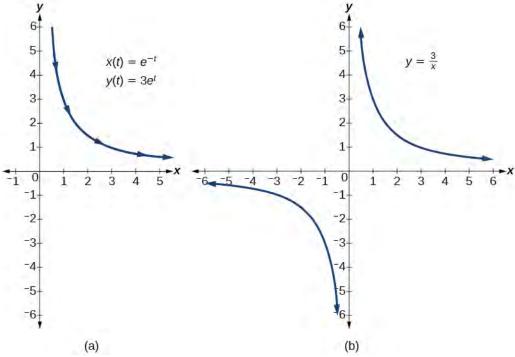


Figure 8.100

Example 8.50

Eliminating the Parameter in Logarithmic Equations

Eliminate the parameter and write as a Cartesian equation: $x(t) = \sqrt{t} + 2$ and $y(t) = \log(t)$.

Solution

Solve the first equation for t.

$$x = \sqrt{t} + 2$$

$$x - 2 = \sqrt{t}$$

$$(x - 2)^2 = t$$
 Square both sides.

Then, substitute the expression for t into the y equation.

$$y = \log(t)$$
$$y = \log(x - 2)^2$$

The Cartesian form is $y = \log(x - 2)^2$.

Analysis

To be sure that the parametric equations are equivalent to the Cartesian equation, check the domains. The parametric equations restrict the domain on $x = \sqrt{t} + 2$ to t > 0; we restrict the domain on x to x > 2. The domain for the parametric equation $y = \log(t)$ is restricted to t > 0; we limit the domain on $y = \log(x - 2)^2$ to x > 2.



8.33 Eliminate the parameter and write as a rectangular equation.

$$x(t) = t^2$$

$$y(t) = \ln t \quad t > 0$$

Eliminating the Parameter from Trigonometric Equations

Eliminating the parameter from trigonometric equations is a straightforward substitution. We can use a few of the familiar trigonometric identities and the Pythagorean Theorem.

First, we use the identities:

$$x(t) = a\cos t$$
$$y(t) = b\sin t$$

Solving for $\cos t$ and $\sin t$, we have

$$\frac{x}{a} = \cos t$$

$$\frac{y}{b} = \sin t$$

Then, use the Pythagorean Theorem:

$$\cos^2 t + \sin^2 t = 1$$

Substituting gives

$$\cos^2 t + \sin^2 t = \left(\frac{x}{a}\right)^2 + \left(\frac{y}{b}\right)^2 = 1$$

Example 8.51

Eliminating the Parameter from a Pair of Trigonometric Parametric Equations

Eliminate the parameter from the given pair of trigonometric equations where $0 \le t \le 2\pi$ and sketch the graph.

$$x(t) = 4\cos t$$
$$y(t) = 3\sin t$$

Solution

Solving for $\cos t$ and $\sin t$, we have

$$x = 4\cos t$$

$$\frac{x}{4} = \cos t$$

$$y = 3\sin t$$

$$\frac{y}{3} = \sin t$$

Next, use the Pythagorean identity and make the substitutions.

$$\cos^{2} t + \sin^{2} t = 1$$
$$\left(\frac{x}{4}\right)^{2} + \left(\frac{y}{3}\right)^{2} = 1$$
$$\frac{x^{2}}{16} + \frac{y^{2}}{9} = 1$$

The graph for the equation is shown in **Figure 8.101**.

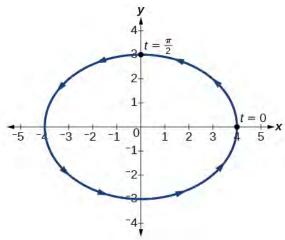


Figure 8.101

Analysis

Applying the general equations for conic sections (introduced in **Analytic Geometry**, we can identify $\frac{x^2}{16} + \frac{y^2}{9} = 1$ as an ellipse centered at (0, 0). Notice that when t = 0 the coordinates are (4, 0), and when $t = \frac{\pi}{2}$ the coordinates are (0, 3). This shows the orientation of the curve with increasing values of t.



8.34 Eliminate the parameter from the given pair of parametric equations and write as a Cartesian equation: $x(t) = 2\cos t$ and $y(t) = 3\sin t$.

Finding Cartesian Equations from Curves Defined Parametrically

When we are given a set of parametric equations and need to find an equivalent Cartesian equation, we are essentially "eliminating the parameter." However, there are various methods we can use to rewrite a set of parametric equations as a Cartesian equation. The simplest method is to set one equation equal to the parameter, such as x(t) = t. In this case, y(t) can be any expression. For example, consider the following pair of equations.

$$x(t) = t$$
$$y(t) = t^2 - 3$$

Rewriting this set of parametric equations is a matter of substituting x for t. Thus, the Cartesian equation is $y = x^2 - 3$.

Example 8.52

Finding a Cartesian Equation Using Alternate Methods

Use two different methods to find the Cartesian equation equivalent to the given set of parametric equations.

$$x(t) = 3t - 2$$
$$y(t) = t + 1$$

Solution

Method 1. First, let's solve the x equation for t. Then we can substitute the result into the y equation.

$$x = 3t - 2$$

$$x + 2 = 3t$$

$$\frac{x + 2}{3} = t$$

Now substitute the expression for t into the y equation.

$$y = t + 1$$

$$y = \left(\frac{x+2}{3}\right) + 1$$

$$y = \frac{x}{3} + \frac{2}{3} + 1$$

$$y = \frac{1}{3}x + \frac{5}{3}$$

Method 2. Solve the *y* equation for *t* and substitute this expression in the *x* equation.

$$y = t + 1$$
$$y - 1 = t$$

Make the substitution and then solve for y.

$$x = 3(y-1) - 2$$

$$x = 3y - 3 - 2$$

$$x = 3y - 5$$

$$x + 5 = 3y$$

$$\frac{x+5}{3} = y$$

$$y = \frac{1}{3}x + \frac{5}{3}$$



8.35 Write the given parametric equations as a Cartesian equation: $x(t) = t^3$ and $y(t) = t^6$.

Finding Parametric Equations for Curves Defined by Rectangular Equations

Although we have just shown that there is only one way to interpret a set of parametric equations as a rectangular equation, there are multiple ways to interpret a rectangular equation as a set of parametric equations. Any strategy we may use to find the parametric equations is valid if it produces equivalency. In other words, if we choose an expression to represent x, and then substitute it into the y equation, and it produces the same graph over the same domain as the rectangular equation, then the set of parametric equations is valid. If the domain becomes restricted in the set of parametric equations, and the function does not allow the same values for x as the domain of the rectangular equation, then the graphs will be different.

Example 8.53

Finding a Set of Parametric Equations for Curves Defined by Rectangular Equations

Find a set of equivalent parametric equations for $y = (x + 3)^2 + 1$.

Solution

An obvious choice would be to let x(t) = t. Then $y(t) = (t + 3)^2 + 1$. But let's try something more interesting. What if we let x = t + 3? Then we have

$$y = (x+3)^{2} + 1$$

$$y = ((t+3)+3)^{2} + 1$$

$$y = (t+6)^{2} + 1$$

The set of parametric equations is

$$x(t) = t + 3$$

 $y(t) = (t + 6)^{2} + 1$

See Figure 8.102.

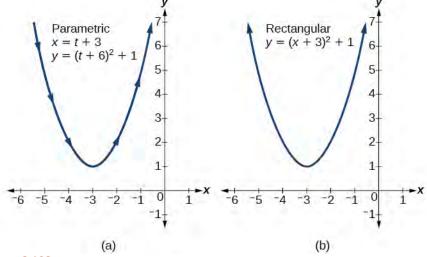


Figure 8.102



Access these online resources for additional instruction and practice with parametric equations.

- Introduction to Parametric Equations (http://openstaxcollege.org/l/introparametric)
- Converting Parametric Equations to Rectangular Form (http://openstaxcollege.org/l/convertpara)

8.6 EXERCISES

Verbal

373. What is a system of parametric equations?

374. Some examples of a third parameter are time, length, speed, and scale. Explain when time is used as a parameter.

375. Explain how to eliminate a parameter given a set of parametric equations.

376. What is a benefit of writing a system of parametric equations as a Cartesian equation?

377. What is a benefit of using parametric equations?

378. Why are there many sets of parametric equations to represent on Cartesian function?

Algebraic

For the following exercises, eliminate the parameter t to rewrite the parametric equation as a Cartesian equation.

379.
$$\begin{cases} x(t) = 5 - t \\ y(t) = 8 - 2t \end{cases}$$

380.
$$\begin{cases} x(t) = 6 - 3t \\ y(t) = 10 - t \end{cases}$$

381.
$$\begin{cases} x(t) = 2t + 1 \\ y(t) = 3\sqrt{t} \end{cases}$$

382.
$$\begin{cases} x(t) = 3t - 1 \\ y(t) = 2t^2 \end{cases}$$

383.
$$\begin{cases} x(t) = 2e^t \\ y(t) = 1 - 5t \end{cases}$$

384.
$$\begin{cases} x(t) = e^{-2t} \\ y(t) = 2e^{-t} \end{cases}$$

385.
$$\begin{cases} x(t) = 4\log(t) \\ y(t) = 3 + 2t \end{cases}$$

386.
$$\begin{cases} x(t) = \log(2t) \\ y(t) = \sqrt{t - 1} \end{cases}$$

387.
$$\begin{cases} x(t) = t^3 - t \\ y(t) = 2t \end{cases}$$

388.
$$\begin{cases} x(t) = t - t^4 \\ y(t) = t + 2 \end{cases}$$

389.
$$\begin{cases} x(t) = e^{2t} \\ y(t) = e^{6t} \end{cases}$$

390.
$$\begin{cases} x(t) = t^5 \\ y(t) = t^{10} \end{cases}$$

391.
$$\begin{cases} x(t) = 4\cos t \\ y(t) = 5\sin t \end{cases}$$

392.
$$\begin{cases} x(t) = 3\sin t \\ y(t) = 6\cos t \end{cases}$$

393.
$$\begin{cases} x(t) = 2\cos^2 t \\ y(t) = -\sin t \end{cases}$$

394.
$$\begin{cases} x(t) = \cos t + 4 \\ y(t) = 2\sin^2 t \end{cases}$$

395.
$$\begin{cases} x(t) = t - 1 \\ y(t) = t^2 \end{cases}$$

396.
$$\begin{cases} x(t) = -t \\ y(t) = t^3 + 1 \end{cases}$$

397.
$$\begin{cases} x(t) = 2t - 1 \\ y(t) = t^3 - 2 \end{cases}$$

For the following exercises, rewrite the parametric equation as a Cartesian equation by building an x-y table.

398.
$$\begin{cases} x(t) = 2t - 1 \\ y(t) = t + 4 \end{cases}$$

399.
$$\begin{cases} x(t) = 4 - t \\ y(t) = 3t + 2 \end{cases}$$

400.
$$\begin{cases} x(t) = 2t - 1 \\ y(t) = 5t \end{cases}$$

401.
$$\begin{cases} x(t) = 4t - 1 \\ y(t) = 4t + 2 \end{cases}$$

For the following exercises, parameterize (write parametric equations for) each Cartesian equation by setting x(t) = t or by setting y(t) = t.

402.
$$y(x) = 3x^2 + 3$$

403.
$$y(x) = 2\sin x + 1$$

404.
$$x(y) = 3\log(y) + y$$

405.
$$x(y) = \sqrt{y} + 2y$$

For the following exercises, parameterize (write parametric equations for) each Cartesian equation by using $x(t) = a\cos t$ and $y(t) = b\sin t$. Identify the curve.

406.
$$\frac{x^2}{4} + \frac{y^2}{9} = 1$$

407.
$$\frac{x^2}{16} + \frac{y^2}{36} = 1$$

408.
$$x^2 + y^2 = 16$$

409.
$$x^2 + y^2 = 10$$

410. Parameterize the line from (3, 0) to (-2, -5) so that the line is at (3, 0) at t = 0, and at (-2, -5) at t = 1.

411. Parameterize the line from (-1, 0) to (3, -2) so that the line is at (-1, 0) at t = 0, and at (3, -2) at t = 1.

412. Parameterize the line from (-1, 5) to (2, 3) so that the line is at (-1, 5) at t = 0, and at (2, 3) at t = 1.

413. Parameterize the line from (4, 1) to (6, -2) so that the line is at (4, 1) at t = 0, and at (6, -2) at t = 1.

Technology

For the following exercises, use the table feature in the graphing calculator to determine whether the graphs intersect.

414.
$$\begin{cases} x_1(t) = 3t \\ y_1(t) = 2t - 1 \end{cases} \text{ and } \begin{cases} x_2(t) = t + 3 \\ y_2(t) = 4t - 4 \end{cases}$$

415.
$$\begin{cases} x_1(t) = t^2 \\ y_1(t) = 2t - 1 \end{cases} \text{ and } \begin{cases} x_2(t) = -t + 6 \\ y_2(t) = t + 1 \end{cases}$$

For the following exercises, use a graphing calculator to complete the table of values for each set of parametric equations.

416.
$$\begin{cases} x_1(t) = 3t^2 - 3t + 7 \\ y_1(t) = 2t + 3 \end{cases}$$

t	x	у
-1		
0		
1		

417.
$$\begin{cases} x_1(t) = t^2 - 4 \\ y_1(t) = 2t^2 - 1 \end{cases}$$

t	x	у
1		
2		
3		

418.
$$\begin{cases} x_1(t) = t^4 \\ y_1(t) = t^3 + 4 \end{cases}$$

t	x	у
-1		
0		
1		
2		

Extensions

- 419. Find two different sets of parametric equations for $y = (x + 1)^2$.
- 420. Find two different sets of parametric equations for y = 3x 2.
- 421. Find two different sets of parametric equations for $y = x^2 4x + 4$.

8.7 | Parametric Equations: Graphs

Learning Objectives

In this section you will:

- **8.7.1** Graph plane curves described by parametric equations by plotting points.
- **8.7.2** Graph parametric equations.

It is the bottom of the ninth inning, with two outs and two men on base. The home team is losing by two runs. The batter swings and hits the baseball at 140 feet per second and at an angle of approximately 45° to the horizontal. How far will the ball travel? Will it clear the fence for a game-winning home run? The outcome may depend partly on other factors (for example, the wind), but mathematicians can model the path of a projectile and predict approximately how far it will travel using parametric equations. In this section, we'll discuss parametric equations and some common applications, such as projectile motion problems.



Figure 8.103 Parametric equations can model the path of a projectile. (credit: Paul Kreher, Flickr)

Graphing Parametric Equations by Plotting Points

In lieu of a graphing calculator or a computer graphing program, plotting points to represent the graph of an equation is the standard method. As long as we are careful in calculating the values, point-plotting is highly dependable.



Given a pair of parametric equations, sketch a graph by plotting points.

- 1. Construct a table with three columns: t, x(t), and y(t).
- 2. Evaluate *x* and *y* for values of *t* over the interval for which the functions are defined.
- 3. Plot the resulting pairs (x, y).

Example 8.54

Sketching the Graph of a Pair of Parametric Equations by Plotting Points

Sketch the graph of the parametric equations $x(t) = t^2 + 1$, y(t) = 2 + t.

Solution

Construct a table of values for t, x(t), and y(t), as in **Table 8.13**, and plot the points in a plane.

t	$x(t)=t^2+1$	y(t)=2+t
-5	26	-3
-4	17	-2
-3	10	-1
-2	5	0
-1	2	1
0	1	2
1	2	3
2	5	4
3	10	5
4	17	6
5	26	7

Table 8.13

The graph is a parabola with vertex at the point (1, 2), opening to the right. See **Figure 8.104**.

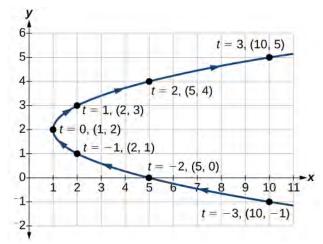


Figure 8.104

Analysis

As values for t progress in a positive direction from 0 to 5, the plotted points trace out the top half of the parabola. As values of t become negative, they trace out the lower half of the parabola. There are no restrictions on the domain. The arrows indicate direction according to increasing values of t. The graph does not represent a function, as it will fail the vertical line test. The graph is drawn in two parts: the positive values for t, and the negative values for t.



8.36 Sketch the graph of the parametric equations $x = \sqrt{t}$, y = 2t + 3, $0 \le t \le 3$.

Example 8.55

Sketching the Graph of Trigonometric Parametric Equations

Construct a table of values for the given parametric equations and sketch the graph:

$$x = 2\cos t$$
$$y = 4\sin t$$

Solution

Construct a table like that in **Table 8.14** using angle measure in radians as inputs for t, and evaluating x and y. Using angles with known sine and cosine values for t makes calculations easier.

t	$x = 2\cos t$	$y = 4\sin t$
0	$x = 2\cos(0) = 2$	$y = 4\sin(0) = 0$
<u>π</u> 6	$x = 2\cos\left(\frac{\pi}{6}\right) = \sqrt{3}$	$y = 4\sin\left(\frac{\pi}{6}\right) = 2$
$\frac{\pi}{3}$	$x = 2\cos\left(\frac{\pi}{3}\right) = 1$	$y = 4\sin\left(\frac{\pi}{3}\right) = 2\sqrt{3}$
$\frac{\pi}{2}$	$x = 2\cos\left(\frac{\pi}{2}\right) = 0$	$y = 4\sin\left(\frac{\pi}{2}\right) = 4$
$\frac{2\pi}{3}$	$x = 2\cos\left(\frac{2\pi}{3}\right) = -1$	$y = 4\sin\left(\frac{2\pi}{3}\right) = 2\sqrt{3}$
<u>5π</u> 6	$x = 2\cos\left(\frac{5\pi}{6}\right) = -\sqrt{3}$	$y = 4\sin\left(\frac{5\pi}{6}\right) = 2$
π	$x = 2\cos(\pi) = -2$	$y = 4\sin(\pi) = 0$
$\frac{7\pi}{6}$	$x = 2\cos\left(\frac{7\pi}{6}\right) = -\sqrt{3}$	$y = 4\sin\left(\frac{7\pi}{6}\right) = -2$
$\frac{4\pi}{3}$	$x = 2\cos\left(\frac{4\pi}{3}\right) = -1$	$y = 4\sin\left(\frac{4\pi}{3}\right) = -2\sqrt{3}$
$\frac{3\pi}{2}$	$x = 2\cos\left(\frac{3\pi}{2}\right) = 0$	$y = 4\sin\left(\frac{3\pi}{2}\right) = -4$
$\frac{5\pi}{3}$	$x = 2\cos\left(\frac{5\pi}{3}\right) = 1$	$y = 4\sin\left(\frac{5\pi}{3}\right) = -2\sqrt{3}$
$\frac{11\pi}{6}$	$x = 2\cos\left(\frac{11\pi}{6}\right) = \sqrt{3}$	$y = 4\sin\left(\frac{11\pi}{6}\right) = -2$
2π	$x = 2\cos(2\pi) = 2$	$y = 4\sin(2\pi) = 0$

Table 8.14

Figure 8.105 shows the graph.

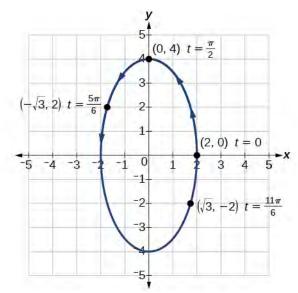


Figure 8.105

By the symmetry shown in the values of x and y, we see that the parametric equations represent an ellipse. The ellipse is mapped in a counterclockwise direction as shown by the arrows indicating increasing t values.

Analysis

We have seen that parametric equations can be graphed by plotting points. However, a graphing calculator will save some time and reveal nuances in a graph that may be too tedious to discover using only hand calculations.

Make sure to change the mode on the calculator to parametric (PAR). To confirm, the Y = window should show

$$X_{1T} = Y_{1T} =$$

instead of $Y_1 = .$



8.37 Graph the parametric equations: $x = 5\cos t$, $y = 3\sin t$.

Example 8.56

Graphing Parametric Equations and Rectangular Form Together

Graph the parametric equations $x = 5\cos t$ and $y = 2\sin t$. First, construct the graph using data points generated from the parametric form. Then graph the rectangular form of the equation. Compare the two graphs.

Solution

Construct a table of values like that in **Table 8.15**.

t	$x = 5\cos t$	$y = 2\sin t$
0	$x = 5\cos(0) = 5$	$y = 2\sin(0) = 0$
1	$x = 5\cos(1) \approx 2.7$	$y = 2\sin(1) \approx 1.7$
2	$x = 5\cos(2) \approx -2.1$	$y = 2\sin(2) \approx 1.8$
3	$x = 5\cos(3) \approx -4.95$	$y = 2\sin(3) \approx 0.28$
4	$x = 5\cos(4) \approx -3.3$	$y = 2\sin(4) \approx -1.5$
5	$x = 5\cos(5) \approx 1.4$	$y = 2\sin(5) \approx -1.9$
-1	$x = 5\cos(-1) \approx 2.7$	$y = 2\sin(-1) \approx -1.7$
-2	$x = 5\cos(-2) \approx -2.1$	$y = 2\sin(-2) \approx -1.8$
-3	$x = 5\cos(-3) \approx -4.95$	$y = 2\sin(-3) \approx -0.28$
-4	$x = 5\cos(-4) \approx -3.3$	$y = 2\sin(-4) \approx 1.5$
-5	$x = 5\cos(-5) \approx 1.4$	$y = 2\sin(-5) \approx 1.9$

Table 8.15

Plot the (x, y) values from the table. See **Figure 8.106**.

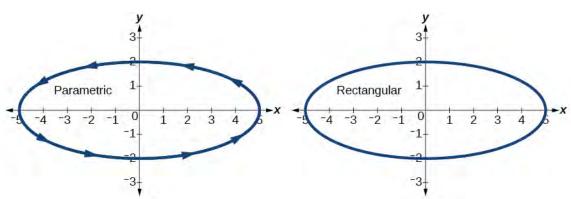


Figure 8.106

Next, translate the parametric equations to rectangular form. To do this, we solve for t in either x(t) or y(t), and then substitute the expression for t in the other equation. The result will be a function y(x) if solving for t as a function of x, or x(y) if solving for t as a function of y.

$$x = 5\cos t$$

$$\frac{x}{5} = \cos t$$
Solve for cos t.
$$y = 2\sin t$$
Solve for sin t.
$$\frac{y}{2} = \sin t$$

Then, use the Pythagorean Theorem.

$$\cos^2 t + \sin^2 t = 1$$
$$\left(\frac{x}{5}\right)^2 + \left(\frac{y}{2}\right)^2 = 1$$
$$\frac{x^2}{25} + \frac{y^2}{4} = 1$$

Analysis

In **Figure 8.107**, the data from the parametric equations and the rectangular equation are plotted together. The parametric equations are plotted in blue; the graph for the rectangular equation is drawn on top of the parametric in a dashed style colored red. Clearly, both forms produce the same graph.

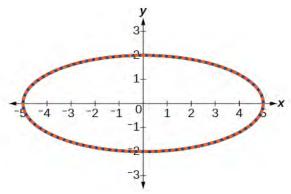


Figure 8.107

Example 8.57

Graphing Parametric Equations and Rectangular Equations on the Coordinate System

Graph the parametric equations x = t + 1 and $y = \sqrt{t}$, $t \ge 0$, and the rectangular equivalent $y = \sqrt{x - 1}$ on the same coordinate system.

Solution

Construct a table of values for the parametric equations, as we did in the previous example, and graph $y = \sqrt{t}$, $t \ge 0$ on the same grid, as in **Figure 8.108**.

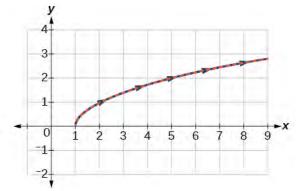


Figure 8.108

Analysis

With the domain on *t* restricted, we only plot positive values of *t*. The parametric data is graphed in blue and the graph of the rectangular equation is dashed in red. Once again, we see that the two forms overlap.



8.38 Sketch the graph of the parametric equations $x = 2\cos\theta$ and $y = 4\sin\theta$, along with the rectangular equation on the same grid.

Applications of Parametric Equations

Many of the advantages of parametric equations become obvious when applied to solving real-world problems. Although rectangular equations in x and y give an overall picture of an object's path, they do not reveal the position of an object at a specific time. Parametric equations, however, illustrate how the values of x and y change depending on t, as the location of a moving object at a particular time.

A common application of parametric equations is solving problems involving projectile motion. In this type of motion, an object is propelled forward in an upward direction forming an angle of θ to the horizontal, with an initial speed of v_0 , and at a height h above the horizontal.

The path of an object propelled at an inclination of θ to the horizontal, with initial speed v_0 , and at a height h above the horizontal, is given by

$$x = (v_0 \cos \theta)t$$

$$y = -\frac{1}{2}gt^2 + (v_0 \sin \theta)t + h$$

where g accounts for the effects of gravity and h is the initial height of the object. Depending on the units involved in the problem, use $g = 32 \,\text{ft/s}^2$ or $g = 9.8 \,\text{m/s}^2$. The equation for x gives horizontal distance, and the equation for y gives the vertical distance.



Given a projectile motion problem, use parametric equations to solve.

- 1. The horizontal distance is given by $x = (v_0 \cos \theta)t$. Substitute the initial speed of the object for v_0 .
- 2. The expression $\cos \theta$ indicates the angle at which the object is propelled. Substitute that angle in degrees for $\cos \theta$.
- 3. The vertical distance is given by the formula $y = -\frac{1}{2}gt^2 + (v_0\sin\theta)t + h$. The term $-\frac{1}{2}gt^2$ represents the effect of gravity. Depending on units involved, use g = 32 ft/s² or g = 9.8 m/s². Again, substitute the initial speed for v_0 , and the height at which the object was propelled for h.
- 4. Proceed by calculating each term to solve for *t*.

Example 8.58

Finding the Parametric Equations to Describe the Motion of a Baseball

Solve the problem presented at the beginning of this section. Does the batter hit the game-winning home run? Assume that the ball is hit with an initial velocity of 140 feet per second at an angle of 45° to the horizontal, making contact 3 feet above the ground.

- a. Find the parametric equations to model the path of the baseball.
- b. Where is the ball after 2 seconds?
- c. How long is the ball in the air?
- d. Is it a home run?

Solution

a. Use the formulas to set up the equations. The horizontal position is found using the parametric equation for *x*. Thus,

$$x = (v_0 \cos \theta)t$$
$$x = (140\cos(45^\circ))t$$

The vertical position is found using the parametric equation for y. Thus,

$$y = -16t^{2} + (v_{0}\sin\theta)t + h$$

$$y = -16t^{2} + (140\sin(45^{\circ}))t + 3$$

b. Substitute 2 into the equations to find the horizontal and vertical positions of the ball.

$$x = (140\cos(45^\circ))(2)$$

$$x = 198 \text{ feet}$$

$$y = -16(2)^2 + (140\sin(45^\circ))(2) + 3$$

$$y = 137 \text{ feet}$$

After 2 seconds, the ball is 198 feet away from the batter's box and 137 feet above the ground.

c. To calculate how long the ball is in the air, we have to find out when it will hit ground, or when y = 0. Thus,

$$y = -16t^2 + (140\sin(45^\circ))t + 3$$

 $y = 0$ Set $y(t) = 0$ and solve the quadratic.
 $t = 6.2173$

When t = 6.2173 seconds, the ball has hit the ground. (The quadratic equation can be solved in various ways, but this problem was solved using a computer math program.)

d. We cannot confirm that the hit was a home run without considering the size of the outfield, which varies from field to field. However, for simplicity's sake, let's assume that the outfield wall is 400 feet from home plate in the deepest part of the park. Let's also assume that the wall is 10 feet high. In order to determine whether the ball clears the wall, we need to calculate how high the ball is when x = 400 feet. So we will set x = 400, solve for t, and input t into t.

$$x = (140\cos(45^\circ))t$$

$$400 = (140\cos(45^\circ))t$$

$$t = 4.04$$

$$y = -16(4.04)^2 + (140\sin(45^\circ))(4.04) + 3$$

$$y = 141.8$$

The ball is 141.8 feet in the air when it soars out of the ballpark. It was indeed a home run. See **Figure 8.109**.

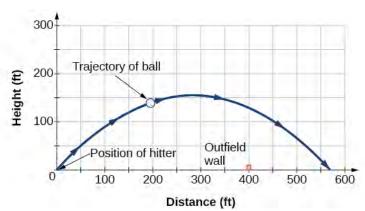


Figure 8.109



Access the following online resource for additional instruction and practice with graphs of parametric equations.

Graphing Parametric Equations on the TI-84 (http://openstaxcollege.org/l/graphpara84)

8.7 EXERCISES

Verbal

- 422. What are two methods used to graph parametric equations?
- 423. What is one difference in point-plotting parametric equations compared to Cartesian equations?
- 424. Why are some graphs drawn with arrows?
- 425. Name a few common types of graphs of parametric equations.
- 426. Why are parametric graphs important in understanding projectile motion?

Graphical

For the following exercises, graph each set of parametric equations by making a table of values. Include the orientation on the graph.

427.
$$\begin{cases} x(t) = t \\ y(t) = t^2 - 1 \end{cases}$$

t	x	у
-3		
-2		
-1		
0		
1		
2		
3		

428.
$$\begin{cases} x(t) = t - 1 \\ y(t) = t^2 \end{cases}$$

t	-3	-2	-1	0	1	2
x						
y						

429.
$$\begin{cases} x(t) = 2 + t \\ y(t) = 3 - 2t \end{cases}$$

t	-2	-1	0	1	2	3
x						
у						

430.
$$\begin{cases} x(t) = -2 - 2t \\ y(t) = 3 + t \end{cases}$$

t	-3	-2	-1	0	1
x					
у					

431.
$$\begin{cases} x(t) = t^3 \\ y(t) = t + 2 \end{cases}$$

t	-2	-1	0	1	2
x					
у					

432.
$$\begin{cases} x(t) = t^2 \\ y(t) = t + 3 \end{cases}$$

t	-2	-1	0	1	2
x					
у					

For the following exercises, sketch the curve and include the orientation.

433.
$$\begin{cases} x(t) = t \\ y(t) = \sqrt{t} \end{cases}$$

434.
$$\begin{cases} x(t) = -\sqrt{t} \\ y(t) = t \end{cases}$$

435.
$$\begin{cases} x(t) = 5 - |t| \\ y(t) = t + 2 \end{cases}$$

436.
$$\begin{cases} x(t) = -t + 2 \\ y(t) = 5 - |t| \end{cases}$$

437.
$$\begin{cases} x(t) = 4\sin t \\ y(t) = 2\cos t \end{cases}$$

438.
$$\begin{cases} x(t) = 2\sin t \\ y(t) = 4\cos t \end{cases}$$

439.
$$\begin{cases} x(t) = 3\cos^2 t \\ y(t) = -3\sin t \end{cases}$$

440.
$$\begin{cases} x(t) = 3\cos^2 t \\ y(t) = -3\sin^2 t \end{cases}$$

441.
$$\begin{cases} x(t) = \sec t \\ y(t) = \tan t \end{cases}$$

442.
$$\begin{cases} x(t) = \sec t \\ y(t) = \tan^2 t \end{cases}$$

443.
$$\begin{cases} x(t) = \frac{1}{e^{2t}} \\ y(t) = e^{-t} \end{cases}$$

For the following exercises, graph the equation and include the orientation. Then, write the Cartesian equation.

444.
$$\begin{cases} x(t) = t - 1 \\ y(t) = -t^2 \end{cases}$$

445.
$$\begin{cases} x(t) = t^3 \\ y(t) = t + 3 \end{cases}$$

446.
$$\begin{cases} x(t) = 2\cos t \\ y(t) = -\sin t \end{cases}$$

447.
$$\begin{cases} x(t) = 7\cos t \\ y(t) = 7\sin t \end{cases}$$

448.
$$\begin{cases} x(t) = e^{2t} \\ y(t) = -e^{t} \end{cases}$$

For the following exercises, graph the equation and include the orientation.

449.
$$x = t^2$$
, $y = 3t$, $0 \le t \le 5$

450.
$$x = 2t$$
, $y = t^2$, $-5 \le t \le 5$

451.
$$x = t$$
, $y = \sqrt{25 - t^2}$, $0 < t < 5$

452.
$$x(t) = -t, y(t) = \sqrt{t}, t \ge 0$$

453.
$$x = -2\cos t$$
, $y = 6\sin t$, $0 \le t \le \pi$

454.
$$x = -\sec t$$
, $y = \tan t$, $-\frac{\pi}{2} < t < \frac{\pi}{2}$

For the following exercises, use the parametric equations for integers *a* and *b*:

$$x(t) = a\cos((a+b)t)$$

$$y(t) = a\cos((a-b)t)$$

455. Graph on the domain $[-\pi, 0]$, where a = 2 and b = 1, and include the orientation.

456. Graph on the domain $[-\pi, 0]$, where a = 3 and b = 2, and include the orientation.

457. Graph on the domain $[-\pi, 0]$, where a = 4 and b = 3, and include the orientation.

458. Graph on the domain $[-\pi, 0]$, where a = 5 and b = 4, and include the orientation.

459. If a is 1 more than b, describe the effect the values of a and b have on the graph of the parametric equations.

460. Describe the graph if a = 100 and b = 99.

461. What happens if b is 1 more than a? Describe the graph.

462. If the parametric equations $x(t) = t^2$ and y(t) = 6 - 3t have the graph of a horizontal parabola opening to the right, what would change the direction of the curve?

For the following exercises, describe the graph of the set of parametric equations.

463.
$$x(t) = -t^2$$
 and $y(t)$ is linear

464.
$$y(t) = t^2$$
 and $x(t)$ is linear

465.
$$y(t) = -t^2$$
 and $x(t)$ is linear

466. Write the parametric equations of a circle with center (0, 0), radius 5, and a counterclockwise orientation.

467. Write the parametric equations of an ellipse with center (0, 0), major axis of length 10, minor axis of length 6, and a counterclockwise orientation.

For the following exercises, use a graphing utility to graph on the window [-3, 3] by [-3, 3] on the domain $[0, 2\pi)$ for the following values of a and b, and include the orientation.

$$\begin{cases} x(t) = \sin(at) \\ y(t) = \sin(bt) \end{cases}$$

468.
$$a = 1, b = 2$$

469.
$$a = 2, b = 1$$

470.
$$a = 3, b = 3$$

471.
$$a = 5, b = 5$$

472.
$$a = 2, b = 5$$

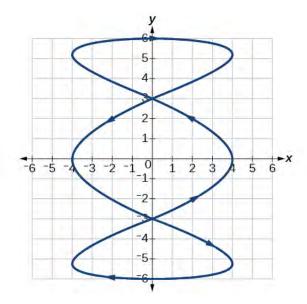
473.
$$a = 5, b = 2$$

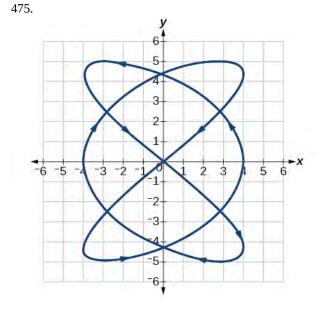
Technology

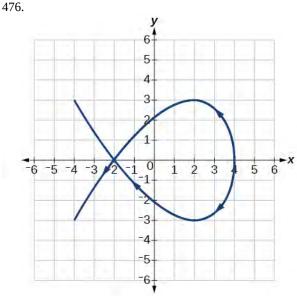
For the following exercises, look at the graphs that were created by parametric equations of the form $\begin{cases} x(t) = a\cos(bt) \\ y(t) = c\sin(dt) \end{cases}$. Use the parametric mode on the

graphing calculator to find the values of a, b, c, and d to achieve each graph.

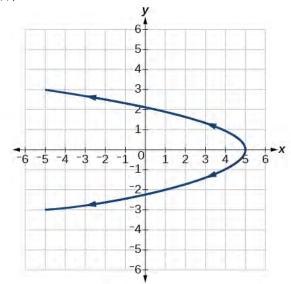
474.







477.



For the following exercises, use a graphing utility to graph the given parametric equations.

a.
$$\begin{cases} x(t) = \cos t - 1 \\ y(t) = \sin t + t \end{cases}$$

b.
$$\begin{cases} x(t) = \cos t + t \\ y(t) = \sin t - 1 \end{cases}$$

C.
$$\begin{cases} x(t) = t - \sin t \\ y(t) = \cos t - 1 \end{cases}$$

478. Graph all three sets of parametric equations on the domain $[0, 2\pi]$.

479. Graph all three sets of parametric equations on the domain $[0, 4\pi]$.

480. Graph all three sets of parametric equations on the domain $[-4\pi, 6\pi]$.

481. The graph of each set of parametric equations appears to "creep" along one of the axes. What controls which axis the graph creeps along?

482. Explain the effect on the graph of the parametric equation when we switched $\sin t$ and $\cos t$.

483. Explain the effect on the graph of the parametric equation when we changed the domain.

Extensions

484. An object is thrown in the air with vertical velocity of 20 ft/s and horizontal velocity of 15 ft/s. The object's height can be described by the equation $y(t) = -16t^2 + 20t$, while the object moves horizontally with constant velocity 15 ft/s. Write parametric equations for the object's position,

and then eliminate time to write height as a function of horizontal position.

485. A skateboarder riding on a level surface at a constant speed of 9 ft/s throws a ball in the air, the height of which can be described by the equation $y(t) = -16t^2 + 10t + 5$. Write parametric equations for the ball's position, and then eliminate time to write height as a function of horizontal position.

For the following exercises, use this scenario: A dart is thrown upward with an initial velocity of 65 ft/s at an angle of elevation of 52° . Consider the position of the dart at any time t. Neglect air resistance.

486. Find parametric equations that model the problem situation.

487. Find all possible values of x that represent the situation.

488. When will the dart hit the ground?

489. Find the maximum height of the dart.

490. At what time will the dart reach maximum height?

For the following exercises, look at the graphs of each of the four parametric equations. Although they look unusual and beautiful, they are so common that they have names, as indicated in each exercise. Use a graphing utility to graph each on the indicated domain.

491. An epicycloid:
$$\begin{cases} x(t) = 14\cos t - \cos(14t) \\ y(t) = 14\sin t + \sin(14t) \end{cases}$$
 on the domain $[0, 2\pi]$.

492. A hypocycloid:
$$\begin{cases} x(t) = 6\sin t + 2\sin(6t) \\ y(t) = 6\cos t - 2\cos(6t) \end{cases}$$
 on the domain $[0, 2\pi]$.

493. A hypotrochoid:
$$\begin{cases} x(t) = 2\sin t + 5\cos(6t) \\ y(t) = 5\cos t - 2\sin(6t) \end{cases}$$
 on the domain $[0, 2\pi]$.

494. A rose:
$$\begin{cases} x(t) = 5\sin(2t)\sin t \\ y(t) = 5\sin(2t)\cos t \end{cases}$$
 on the domain [0, 2π].

8.8 | Vectors

Learning Objectives

In this section you will:

- 8.8.1 View vectors geometrically.
- **8.8.2** Find magnitude and direction.
- **8.8.3** Perform vector addition and scalar multiplication.
- **8.8.4** Find the component form of a vector.
- **8.8.5** Find the unit vector in the direction of v.
- **8.8.6** Perform operations with vectors in terms of i and j.
- **8.8.7** Find the dot product of two vectors.

An airplane is flying at an airspeed of 200 miles per hour headed on a SE bearing of 140°. A north wind (from north to south) is blowing at 16.2 miles per hour, as shown in **Figure 8.110**. What are the ground speed and actual bearing of the plane?

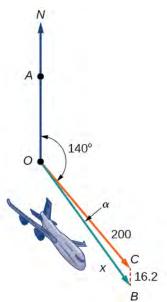


Figure 8.110

Ground speed refers to the speed of a plane relative to the ground. Airspeed refers to the speed a plane can travel relative to its surrounding air mass. These two quantities are not the same because of the effect of wind. In an earlier section, we used triangles to solve a similar problem involving the movement of boats. Later in this section, we will find the airplane's groundspeed and bearing, while investigating another approach to problems of this type. First, however, let's examine the basics of vectors.

A Geometric View of Vectors

A **vector** is a specific quantity drawn as a line segment with an arrowhead at one end. It has an **initial point**, where it begins, and a **terminal point**, where it ends. A vector is defined by its **magnitude**, or the length of the line, and its direction, indicated by an arrowhead at the terminal point. Thus, a vector is a directed line segment. There are various symbols that distinguish vectors from other quantities:

• Lower case, boldfaced type, with or without an arrow on top such as v, u, w, \overrightarrow{v} , \overrightarrow{u} , \overrightarrow{w} .

- Given initial point P and terminal point Q, a vector can be represented as \overrightarrow{PQ} . The arrowhead on top is what indicates that it is not just a line, but a directed line segment.
- Given an initial point of (0, 0) and terminal point (a, b), a vector may be represented as $\langle a, b \rangle$.

This last symbol $\langle a, b \rangle$ has special significance. It is called the **standard position**. The position vector has an initial point (0, 0) and a terminal point $\langle a, b \rangle$. To change any vector into the position vector, we think about the change in the *x*-coordinates and the change in the *y*-coordinates. Thus, if the initial point of a vector \overrightarrow{CD} is $C(x_1, y_1)$ and the terminal point is $D(x_2, y_2)$, then the position vector is found by calculating

$$\overrightarrow{AB} = \langle x_2 - x_1, y_2 - y_1 \rangle$$

= $\langle a, b \rangle$

In **Figure 8.111**, we see the original vector \overrightarrow{CD} and the position vector \overrightarrow{AB} .

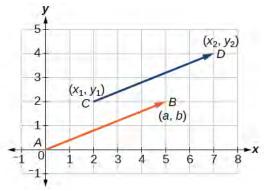


Figure 8.111

Properties of Vectors

A vector is a directed line segment with an initial point and a terminal point. Vectors are identified by magnitude, or the length of the line, and direction, represented by the arrowhead pointing toward the terminal point. The position vector has an initial point at (0, 0) and is identified by its terminal point $\langle a, b \rangle$.

Example 8.59

Find the Position Vector

Consider the vector whose initial point is P(2, 3) and terminal point is Q(6, 4). Find the position vector.

Solution

The position vector is found by subtracting one x-coordinate from the other x-coordinate, and one y-coordinate from the other y-coordinate. Thus

$$v = \langle 6 - 2, 4 - 3 \rangle$$
$$= \langle 4, 1 \rangle$$

The position vector begins at (0, 0) and terminates at (4, 1). The graphs of both vectors are shown in **Figure 8.112**.

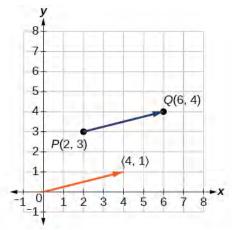


Figure 8.112

We see that the position vector is $\langle 4, 1 \rangle$.

Example 8.60

Drawing a Vector with the Given Criteria and Its Equivalent Position Vector

Find the position vector given that vector v has an initial point at (-3, 2) and a terminal point at (4, 5), then graph both vectors in the same plane.

Solution

The position vector is found using the following calculation:

$$v = \langle 4 - (-3), 5 - 2 \rangle$$

= $\langle 7, 3 \rangle$

Thus, the position vector begins at (0, 0) and terminates at (7, 3). See **Figure 8.113**.

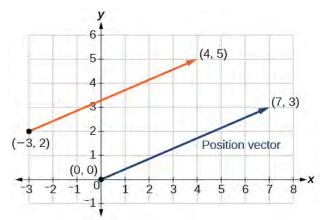


Figure 8.113

8.39 Draw a vector v that connects from the origin to the point (3, 5).

Finding Magnitude and Direction

To work with a vector, we need to be able to find its magnitude and its direction. We find its magnitude using the Pythagorean Theorem or the distance formula, and we find its direction using the inverse tangent function.

Magnitude and Direction of a Vector

Given a position vector $\mathbf{v} = \langle a, b \rangle$, the magnitude is found by $|\mathbf{v}| = \sqrt{a^2 + b^2}$. The direction is equal to the angle formed with the *x*-axis, or with the *y*-axis, depending on the application. For a position vector, the direction is found by $\tan \theta = \left(\frac{b}{a}\right) \Rightarrow \theta = \tan^{-1}\left(\frac{b}{a}\right)$, as illustrated in **Figure 8.114**.

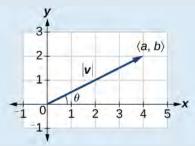


Figure 8.114

Two vectors **v** and **u** are considered equal if they have the same magnitude and the same direction. Additionally, if both vectors have the same position vector, they are equal.

Example 8.61

Finding the Magnitude and Direction of a Vector

Find the magnitude and direction of the vector with initial point P(-8, 1) and terminal point Q(-2, -5). Draw the vector.

Solution

First, find the position vector.

$$u = \langle -2, -(-8), -5-1 \rangle$$

= $\langle 6, -6 \rangle$

We use the Pythagorean Theorem to find the magnitude.

$$|u| = \sqrt{(6)^2 + (-6)^2}$$
$$= \sqrt{72}$$
$$= 6\sqrt{2}$$

The direction is given as

$$\tan \theta = \frac{-6}{6} = -1 \Rightarrow \theta = \tan^{-1}(-1)$$
$$= -45^{\circ}$$

However, the angle terminates in the fourth quadrant, so we add 360° to obtain a positive angle. Thus, $-45^{\circ} + 360^{\circ} = 315^{\circ}$. See **Figure 8.115**.

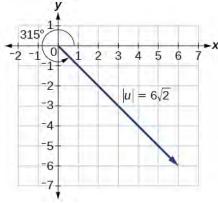


Figure 8.115

Example 8.62

Showing That Two Vectors Are Equal

Show that vector \mathbf{v} with initial point at (5, -3) and terminal point at (-1, 2) is equal to vector \mathbf{u} with initial point at (-1, -3) and terminal point at (-7, 2). Draw the position vector on the same grid as \mathbf{v} and \mathbf{u} . Next, find the magnitude and direction of each vector.

Solution

As shown in **Figure 8.116**, draw the vector v starting at initial (5, -3) and terminal point (-1, 2). Draw the vector u with initial point (-1, -3) and terminal point (-7, 2). Find the standard position for each.

Next, find and sketch the position vector for v and u. We have

$$v = \langle -1 - 5, 2 - (-3) \rangle$$

= $\langle -6, 5 \rangle$
 $u = \langle -7 - (-1), 2 - (-3) \rangle$
= $\langle -6, 5 \rangle$

Since the position vectors are the same, \boldsymbol{v} and \boldsymbol{u} are the same.

An alternative way to check for vector equality is to show that the magnitude and direction are the same for both vectors. To show that the magnitudes are equal, use the Pythagorean Theorem.

$$|v| = \sqrt{(-1-5)^2 + (2-(-3))^2}$$

$$= \sqrt{(-6)^2 + (5)^2}$$

$$= \sqrt{36+25}$$

$$= \sqrt{61}$$

$$|u| = \sqrt{(-7-(-1))^2 + (2-(-3))^2}$$

$$= \sqrt{(-6)^2 + (5)^2}$$

$$= \sqrt{36+25}$$

$$= \sqrt{61}$$

As the magnitudes are equal, we now need to verify the direction. Using the tangent function with the position vector gives

$$\tan \theta = -\frac{5}{6} \Rightarrow \theta = \tan^{-1} \left(-\frac{5}{6} \right)$$
$$= -39.8^{\circ}$$

However, we can see that the position vector terminates in the second quadrant, so we add 180° . Thus, the direction is $-39.8^{\circ} + 180^{\circ} = 140.2^{\circ}$.

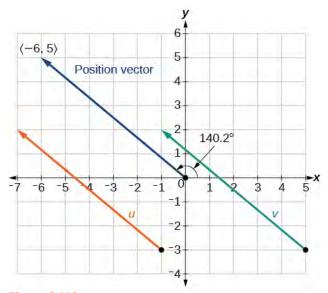
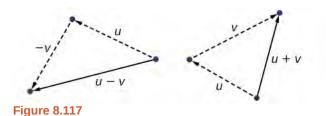


Figure 8.116

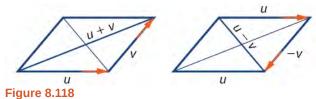
Performing Vector Addition and Scalar Multiplication

Now that we understand the properties of vectors, we can perform operations involving them. While it is convenient to think of the vector $\mathbf{u} = \langle x, y \rangle$ as an arrow or directed line segment from the origin to the point (x, y), vectors can be situated anywhere in the plane. The sum of two vectors \mathbf{u} and \mathbf{v} , or **vector addition**, produces a third vector $\mathbf{u} + \mathbf{v}$, the **resultant** vector.

To find u + v, we first draw the vector u, and from the terminal end of u, we drawn the vector v. In other words, we have the initial point of v meet the terminal end of u. This position corresponds to the notion that we move along the first vector and then, from its terminal point, we move along the second vector. The sum u + v is the resultant vector because it results from addition or subtraction of two vectors. The resultant vector travels directly from the beginning of u to the end of v in a straight path, as shown in **Figure 8.117**.



Vector subtraction is similar to vector addition. To find u - v, view it as u + (-v). Adding -v is reversing direction of v and adding it to the end of u. The new vector begins at the start of u and stops at the end point of -v. See **Figure 8.118** for a visual that compares vector addition and vector subtraction using parallelograms.



Example 8.63

Adding and Subtracting Vectors

Given $u = \langle 3, -2 \rangle$ and $v = \langle -1, 4 \rangle$, find two new vectors u + v, and u - v.

Solution

To find the sum of two vectors, we add the components. Thus,

$$u+v = \langle 3, -2 \rangle + \langle -1, 4 \rangle$$

= $\langle 3+(-1), -2+4 \rangle$
= $\langle 2, 2 \rangle$

See Figure 8.119(a).

To find the difference of two vectors, add the negative components of v to u. Thus,

$$u + (-v) = \langle 3, -2 \rangle + \langle 1, -4 \rangle$$
$$= \langle 3 + 1, -2 + (-4) \rangle$$
$$= \langle 4, -6 \rangle$$

See Figure 8.119(b).

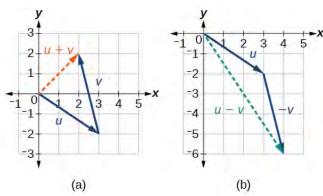


Figure 8.119 (a) Sum of two vectors (b) Difference of two vectors

Multiplying By a Scalar

While adding and subtracting vectors gives us a new vector with a different magnitude and direction, the process of multiplying a vector by a **scalar**, a constant, changes only the magnitude of the vector or the length of the line. Scalar multiplication has no effect on the direction unless the scalar is negative, in which case the direction of the resulting vector is opposite the direction of the original vector.

Scalar Multiplication

Scalar multiplication involves the product of a vector and a scalar. Each component of the vector is multiplied by the scalar. Thus, to multiply $\mathbf{v} = \langle a, b \rangle$ by k, we have

$$kv = \langle ka, kb \rangle$$

Only the magnitude changes, unless k is negative, and then the vector reverses direction.

Example 8.64

Performing Scalar Multiplication

Given vector $\mathbf{v} = \langle 3, 1 \rangle$, find $3\mathbf{v}$, $\frac{1}{2} \mathbf{v}$, and $-\mathbf{v}$.

Solution

See **Figure 8.120** for a geometric interpretation. If $v = \langle 3, 1 \rangle$, then

$$3v = \langle 3 \cdot 3, 3 \cdot 1 \rangle$$

$$= \langle 9, 3 \rangle$$

$$\frac{1}{2}v = \langle \frac{1}{2} \cdot 3, \frac{1}{2} \cdot 1 \rangle$$

$$= \langle \frac{3}{2}, \frac{1}{2} \rangle$$

$$-v = \langle -3, -1 \rangle$$

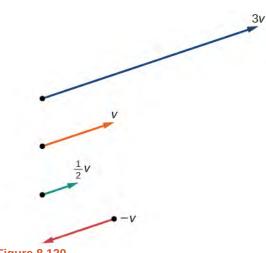


Figure 8.120

Analysis

Notice that the vector $3\mathbf{v}$ is three times the length of \mathbf{v} , $\frac{1}{2}\mathbf{v}$ is half the length of \mathbf{v} , and $-\mathbf{v}$ is the same length of \mathbf{v} , but in the opposite direction.



8.40 Find the scalar multiple 3 u given $u = \langle 5, 4 \rangle$.

Example 8.65

Using Vector Addition and Scalar Multiplication to Find a New Vector

Given $\mathbf{u} = \langle 3, -2 \rangle$ and $\mathbf{v} = \langle -1, 4 \rangle$, find a new vector $\mathbf{w} = 3\mathbf{u} + 2\mathbf{v}$.

Solution

First, we must multiply each vector by the scalar.

$$3u = 3 \langle 3, -2 \rangle$$

$$= \langle 9, -6 \rangle$$

$$2v = 2 \langle -1, 4 \rangle$$

$$= \langle -2, 8 \rangle$$

Then, add the two together.

$$w = 3u + 2v$$

$$= \langle 9, -6 \rangle + \langle -2, 8 \rangle$$

$$= \langle 9 - 2, -6 + 8 \rangle$$

$$= \langle 7, 2 \rangle$$

So,
$$\mathbf{w} = \langle 7, 2 \rangle$$
.

Finding Component Form

In some applications involving vectors, it is helpful for us to be able to break a vector down into its components. Vectors are comprised of two components: the horizontal component is the *x* direction, and the vertical component is the *y* direction.

For example, we can see in the graph in **Figure 8.121** that the position vector $\langle 2, 3 \rangle$ comes from adding the vectors v_1 and v_2 . We have v_1 with initial point (0, 0) and terminal point (2, 0).

$$v_1 = \langle 2 - 0, 0 - 0 \rangle$$

= $\langle 2, 0 \rangle$

We also have v_2 with initial point (0, 0) and terminal point (0, 3).

$$v_2 = \langle 0 - 0, 3 - 0 \rangle$$

= $\langle 0, 3 \rangle$

Therefore, the position vector is

$$v = \langle 2 + 0, 3 + 0 \rangle$$
$$= \langle 2, 3 \rangle$$

Using the Pythagorean Theorem, the magnitude of v_1 is 2, and the magnitude of v_2 is 3. To find the magnitude of v, use the formula with the position vector.

$$|v| = \sqrt{|v_1|^2 + |v_2|^2}$$

$$= \sqrt{2^2 + 3^2}$$

$$= \sqrt{13}$$

The magnitude of *v* is $\sqrt{13}$. To find the direction, we use the tangent function $\tan \theta = \frac{y}{x}$.

$$\tan \theta = \frac{v_2}{v_1}$$

$$\tan \theta = \frac{3}{2}$$

$$\theta = \tan^{-1}\left(\frac{3}{2}\right) = 56.3^{\circ}$$

$$y$$

$$(2, 3)$$

$$\begin{vmatrix} y \\ 4 \\ 3 \end{vmatrix}$$

$$\begin{vmatrix} y \\ 2 \\ v_2 \\ 1 \end{vmatrix}$$

$$\begin{vmatrix} -2 \\ 1 \end{vmatrix}$$

$$\begin{vmatrix} -1 \\ 2 \\ 3 \end{vmatrix}$$

$$\begin{vmatrix} -1 \\ 2 \\ 3 \end{vmatrix}$$

Figure 8.121

Thus, the magnitude of v is $\sqrt{13}$ and the direction is 56.3° off the horizontal.

Example 8.66

Finding the Components of the Vector

Find the components of the vector v with initial point (3, 2) and terminal point (7, 4).

Solution

First find the standard position.

$$v = \langle 7 - 3, 4 - 2 \rangle$$
$$= \langle 4, 2 \rangle$$

See the illustration in **Figure 8.122**.

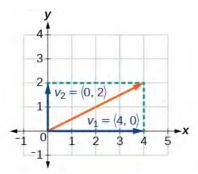


Figure 8.122

The horizontal component is $v_1 = \langle 4, 0 \rangle$ and the vertical component is $v_2 = \langle 0, 2 \rangle$.

Finding the Unit Vector in the Direction of *v*

In addition to finding a vector's components, it is also useful in solving problems to find a vector in the same direction as the given vector, but of magnitude 1. We call a vector with a magnitude of 1 a **unit vector**. We can then preserve the direction of the original vector while simplifying calculations.

Unit vectors are defined in terms of components. The horizontal unit vector is written as $i = \langle 1, 0 \rangle$ and is directed along the positive horizontal axis. The vertical unit vector is written as $j = \langle 0, 1 \rangle$ and is directed along the positive vertical axis. See **Figure 8.123**.

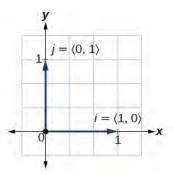


Figure 8.123

The Unit Vectors

If v is a nonzero vector, then $\frac{v}{|v|}$ is a unit vector in the direction of v. Any vector divided by its magnitude is a unit vector. Notice that magnitude is always a scalar, and dividing by a scalar is the same as multiplying by the reciprocal of the scalar.

Example 8.67

Finding the Unit Vector in the Direction of v

Find a unit vector in the same direction as $v = \langle -5, 12 \rangle$.

Solution

First, we will find the magnitude.

$$|v| = \sqrt{(-5)^2 + (12)^2}$$

$$= \sqrt{25 + 144}$$

$$= \sqrt{169}$$

$$= 13$$

Then we divide each component by |v|, which gives a unit vector in the same direction as v:

$$\frac{v}{|v|} = -\frac{5}{13}i + \frac{12}{13}j$$

or, in component form

$$\frac{v}{|v|} = \langle -\frac{5}{13}, \frac{12}{13} \rangle$$

See Figure 8.124.

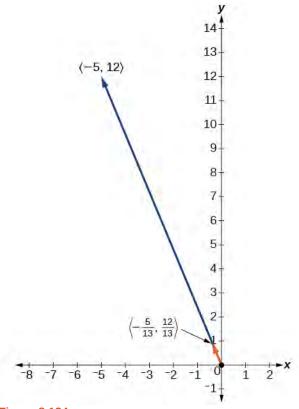


Figure 8.124

Verify that the magnitude of the unit vector equals 1. The magnitude of $-\frac{5}{13}i + \frac{12}{13}j$ is given as

$$\sqrt{\left(-\frac{5}{13}\right)^2 + \left(\frac{12}{13}\right)^2} = \sqrt{\frac{25}{169} + \frac{144}{169}}$$
$$= \sqrt{\frac{169}{169}} = 1$$

The vector $\mathbf{u} = \frac{5}{13}\mathbf{i} + \frac{12}{13}\mathbf{j}$ is the unit vector in the same direction as $\mathbf{v} = \langle -5, 12 \rangle$.

Performing Operations with Vectors in Terms of *i* and *j*

So far, we have investigated the basics of vectors: magnitude and direction, vector addition and subtraction, scalar multiplication, the components of vectors, and the representation of vectors geometrically. Now that we are familiar with the general strategies used in working with vectors, we will represent vectors in rectangular coordinates in terms of i and j.

Vectors in the Rectangular Plane

Given a vector \mathbf{v} with initial point $P = (x_1, y_1)$ and terminal point $Q = (x_2, y_2)$, \mathbf{v} is written as

$$v = (x_2 - x_1)i + (y_1 - y_2)j$$

The position vector from (0, 0) to (a, b), where $(x_2 - x_1) = a$ and $(y_2 - y_1) = b$, is written as $\mathbf{v} = a\mathbf{i} + b\mathbf{j}$. This vector sum is called a linear combination of the vectors \mathbf{i} and \mathbf{j} .

The magnitude of $\mathbf{v} = a\mathbf{i} + b\mathbf{j}$ is given as $|\mathbf{v}| = \sqrt{a^2 + b^2}$. See **Figure 8.125**.

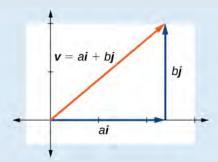


Figure 8.125

Example 8.68

Writing a Vector in Terms of i and j

Given a vector \mathbf{v} with initial point P = (2, -6) and terminal point Q = (-6, 6), write the vector in terms of \mathbf{i} and \mathbf{j} .

Solution

Begin by writing the general form of the vector. Then replace the coordinates with the given values.

$$v = (x_2 - x_1)i + (y_2 - y_1)j$$

= $(-6 - 2)i + (6 - (-6))j$
= $-8i + 12j$

Example 8.69

Writing a Vector in Terms of *i* and *j* Using Initial and Terminal Points

Given initial point $P_1 = (-1, 3)$ and terminal point $P_2 = (2, 7)$, write the vector \mathbf{v} in terms of \mathbf{i} and \mathbf{j} .

Solution

Begin by writing the general form of the vector. Then replace the coordinates with the given values.

$$v = (x_2 - x_1)i + (y_2 - y_1)j$$

$$v = (2 - (-1))i + (7 - 3)j$$

$$= 3i + 4j$$



8.41 Write the vector \boldsymbol{u} with initial point P = (-1, 6) and terminal point Q = (7, -5) in terms of \boldsymbol{i} and \boldsymbol{j} .

Performing Operations on Vectors in Terms of *i* and *j*

When vectors are written in terms of i and j, we can carry out addition, subtraction, and scalar multiplication by performing operations on corresponding components.

Adding and Subtracting Vectors in Rectangular Coordinates

Given $\mathbf{v} = a\mathbf{i} + b\mathbf{j}$ and $\mathbf{u} = c\mathbf{i} + d\mathbf{j}$, then

$$v + u = (a + c)i + (b + d)j$$

 $v - u = (a - c)i + (b - d)j$

Example 8.70

Finding the Sum of the Vectors

Find the sum of $v_1 = 2i - 3j$ and $v_2 = 4i + 5j$.

Solution

According to the formula, we have

$$v_1 + v_2 = (2+4)i + (-3+5)j$$

= $6i + 2j$

Calculating the Component Form of a Vector: Direction

We have seen how to draw vectors according to their initial and terminal points and how to find the position vector. We have also examined notation for vectors drawn specifically in the Cartesian coordinate plane using i and j. For any of these vectors, we can calculate the magnitude. Now, we want to combine the key points, and look further at the ideas of magnitude and direction.

Calculating direction follows the same straightforward process we used for polar coordinates. We find the direction of the vector by finding the angle to the horizontal. We do this by using the basic trigonometric identities, but with |v| replacing r.

Vector Components in Terms of Magnitude and Direction

Given a position vector $v = \langle x, y \rangle$ and a direction angle θ ,

$$\cos \theta = \frac{x}{|v|}$$
 and $\sin \theta = \frac{y}{|v|}$
 $x = |v|\cos \theta$ $y = |v|\sin \theta$

Thus, $v = xi + yj = |v|\cos\theta i + |v|\sin\theta j$, and magnitude is expressed as $|v| = \sqrt{x^2 + y^2}$.

Example 8.71

Writing a Vector in Terms of Magnitude and Direction

Write a vector with length 7 at an angle of 135° to the positive *x*-axis in terms of magnitude and direction.

Solution

Using the conversion formulas $x = |v|\cos\theta i$ and $y = |v|\sin\theta j$, we find that

$$x = 7\cos(135^\circ)i$$

$$= -\frac{7\sqrt{2}}{2}$$

$$y = 7\sin(135^\circ)j$$

$$= \frac{7\sqrt{2}}{2}$$

This vector can be written as $v = 7\cos(135^\circ)i + 7\sin(135^\circ)j$ or simplified as

$$v = -\frac{7\sqrt{2}}{2}i + \frac{7\sqrt{2}}{2}j$$



8.42 A vector travels from the origin to the point (3, 5). Write the vector in terms of magnitude and direction.

Finding the Dot Product of Two Vectors

As we discussed earlier in the section, scalar multiplication involves multiplying a vector by a scalar, and the result is a vector. As we have seen, multiplying a vector by a number is called scalar multiplication. If we multiply a vector by a vector, there are two possibilities: the *dot product* and the *cross product*. We will only examine the dot product here; you may encounter the cross product in more advanced mathematics courses.

The dot product of two vectors involves multiplying two vectors together, and the result is a scalar.

Dot Product

The **dot product** of two vectors $v = \langle a, b \rangle$ and $u = \langle c, d \rangle$ is the sum of the product of the horizontal components and the product of the vertical components.

$$v \cdot u = ac + bd$$

To find the angle between the two vectors, use the formula below.

$$\cos\theta = \frac{v}{|v|} \cdot \frac{u}{|u|}$$

Example 8.72

Finding the Dot Product of Two Vectors

Find the dot product of $v = \langle 5, 12 \rangle$ and $u = \langle -3, 4 \rangle$.

Solution

Using the formula, we have

$$v \cdot u = \langle 5, 12 \rangle \cdot \langle -3, 4 \rangle$$

= $5 \cdot (-3) + 12 \cdot 4$
= $-15 + 48$
= 33

Example 8.73

Finding the Dot Product of Two Vectors and the Angle between Them

Find the dot product of $v_1 = 5i + 2j$ and $v_2 = 3i + 7j$. Then, find the angle between the two vectors.

Solution

Finding the dot product, we multiply corresponding components.

$$v_1 \cdot v_2 = \langle 5, 2 \rangle \cdot \langle 3, 7 \rangle$$

$$= 5 \cdot 3 + 2 \cdot 7$$

$$= 15 + 14$$

$$= 29$$

To find the angle between them, we use the formula $\cos \theta = \frac{v}{|v|} \cdot \frac{u}{|u|}$.

$$\frac{v}{|v|} \cdot \frac{u}{|u|} = \langle \frac{5}{\sqrt{29}} + \frac{2}{\sqrt{29}} \rangle \cdot \langle \frac{3}{\sqrt{58}} + \frac{7}{\sqrt{58}} \rangle$$

$$= \frac{5}{\sqrt{29}} \cdot \frac{3}{\sqrt{58}} + \frac{2}{\sqrt{29}} \cdot \frac{7}{\sqrt{58}}$$

$$= \frac{15}{\sqrt{1682}} + \frac{14}{\sqrt{1682}} = \frac{29}{\sqrt{1682}}$$

$$= 0.707107$$

$$\cos^{-1}(0.707107) = 45^{\circ}$$

See Figure 8.126.

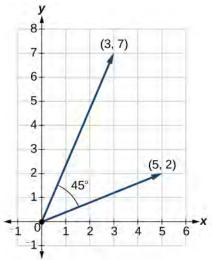


Figure 8.126

Example 8.74

Finding the Angle between Two Vectors

Find the angle between $u = \langle -3, 4 \rangle$ and $v = \langle 5, 12 \rangle$.

Solution

Using the formula, we have

$$\theta = \cos^{-1}\left(\frac{u}{|u|} \cdot \frac{v}{|v|}\right)$$

$$\left(\frac{u}{|u|} \cdot \frac{v}{|v|}\right) = \frac{-3i + 4j}{5} \cdot \frac{5i + 12j}{13}$$

$$= \left(-\frac{3}{5} \cdot \frac{5}{13}\right) + \left(\frac{4}{5} \cdot \frac{12}{13}\right)$$

$$= -\frac{15}{65} + \frac{48}{65}$$

$$= \frac{33}{65}$$

$$\theta = \cos^{-1}\left(\frac{33}{65}\right)$$

$$= 59.5^{\circ}$$

See Figure 8.127.

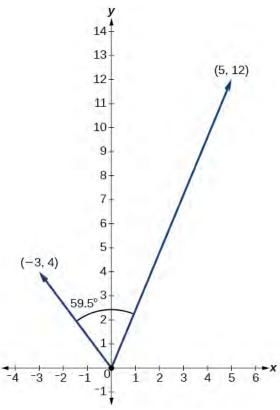


Figure 8.127

Example 8.75

Finding Ground Speed and Bearing Using Vectors

We now have the tools to solve the problem we introduced in the opening of the section.

An airplane is flying at an airspeed of 200 miles per hour headed on a SE bearing of 140°. A north wind (from north to south) is blowing at 16.2 miles per hour. What are the ground speed and actual bearing of the plane? See **Figure 8.128**.

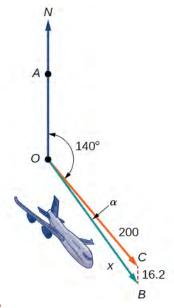


Figure 8.128

Solution

The ground speed is represented by x in the diagram, and we need to find the angle α in order to calculate the adjusted bearing, which will be $140^{\circ} + \alpha$.

Notice in **Figure 8.128**, that angle BCO must be equal to angle AOC by the rule of alternating interior angles, so angle BCO is 140°. We can find x by the Law of Cosines:

$$x^{2} = (16.2)^{2} + (200)^{2} - 2(16.2)(200)\cos(140^{\circ})$$

$$x^{2} = 45, 226.41$$

$$x = \sqrt{45, 226.41}$$

$$x = 212.7$$

The ground speed is approximately 213 miles per hour. Now we can calculate the bearing using the Law of Sines.

$$\frac{\sin \alpha}{16.2} = \frac{\sin(140^\circ)}{212.7}$$

$$\sin \alpha = \frac{16.2\sin(140^\circ)}{212.7}$$

$$= 0.04896$$

$$\sin^{-1}(0.04896) = 2.8^\circ$$

Therefore, the plane has a SE bearing of 140°+2.8°=142.8°. The ground speed is 212.7 miles per hour.



Access these online resources for additional instruction and practice with vectors.

- Introduction to Vectors (http://openstaxcollege.org/l/introvectors)
- Vector Operations (http://openstaxcollege.org/l/vectoroperation)
- · The Unit Vector (http://openstaxcollege.org/l/unitvector)

8.8 EXERCISES

Verbal

495. What are the characteristics of the letters that are commonly used to represent vectors?

496. How is a vector more specific than a line segment?

497. What are i and j, and what do they represent?

498. What is component form?

499. When a unit vector is expressed as $\langle a, b \rangle$, which letter is the coefficient of the i and which the j?

Algebraic

500. Given a vector with initial point (5, 2) and terminal point (-1, -3), find an equivalent vector whose initial point is (0, 0). Write the vector in component form $\langle a, b \rangle$.

501. Given a vector with initial point (-4, 2) and terminal point (3, -3), find an equivalent vector whose initial point is (0, 0). Write the vector in component form $\langle a, b \rangle$.

502. Given a vector with initial point (7, -1) and terminal point (-1, -7), find an equivalent vector whose initial point is (0, 0). Write the vector in component form $\langle a, b \rangle$.

For the following exercises, determine whether the two vectors \mathbf{u} and \mathbf{v} are equal, where \mathbf{u} has an initial point P_1 and a terminal point P_2 and \mathbf{v} has an initial point P_3 and a terminal point P_4 .

503.
$$P_1 = (5, 1), P_2 = (3, -2), P_3 = (-1, 3), \text{ and}$$

 $P_4 = (9, -4)$

504.
$$P_1 = (2, -3), P_2 = (5, 1), P_3 = (6, -1),$$
 and $P_4 = (9, 3)$

505.
$$P_1 = (-1, -1), P_2 = (-4, 5), P_3 = (-10, 6),$$

and $P_4 = (-13, 12)$

506.
$$P_1 = (3, 7), P_2 = (2, 1), P_3 = (1, 2), \text{ and}$$

 $P_4 = (-1, -4)$

507.

$$P_1 = (8, 3), P_2 = (6, 5), P_3 = (11, 8),$$
and $P_4 = (9, 10)$

508. Given initial point $P_1 = (-3, 1)$ and terminal point $P_2 = (5, 2)$, write the vector \mathbf{v} in terms of \mathbf{i} and \mathbf{j} .

509. Given initial point $P_1 = (6, 0)$ and terminal point $P_2 = (-1, -3)$, write the vector \mathbf{v} in terms of \mathbf{i} and \mathbf{j} .

For the following exercises, use the vectors $\mathbf{u} = \mathbf{i} + 5\mathbf{j}$, $\mathbf{v} = -2\mathbf{i} - 3\mathbf{j}$, and $\mathbf{w} = 4\mathbf{i} - \mathbf{j}$.

510. Find
$$u + (v - w)$$

511. Find
$$4v + 2u$$

For the following exercises, use the given vectors to compute u + v, u - v, and 2u - 3v.

512.
$$u = \langle 2, -3 \rangle, v = \langle 1, 5 \rangle$$

513.
$$u = \langle -3, 4 \rangle, v = \langle -2, 1 \rangle$$

514. Let $\mathbf{v} = -4\mathbf{i} + 3\mathbf{j}$. Find a vector that is half the length and points in the same direction as \mathbf{v} .

515. Let v = 5i + 2j. Find a vector that is twice the length and points in the opposite direction as v.

For the following exercises, find a unit vector in the same direction as the given vector.

516.
$$a = 3i + 4j$$

517.
$$b = -2i + 5j$$

518.
$$c = 10i - j$$

$$519. \quad d = -\frac{1}{3}i + \frac{5}{2}j$$

520.
$$u = 100i + 200j$$

521.
$$u = -14i + 2j$$

For the following exercises, find the magnitude and direction of the vector, $0 \le \theta < 2\pi$.

524.
$$\langle 2, -5 \rangle$$

526. Given u = 3i - 4j and v = -2i + 3j, calculate $u \cdot v$.

527. Given u = -i - j and v = i + 5j, calculate $u \cdot v$.

528. Given $u = \langle -2, 4 \rangle$ and $v = \langle -3, 1 \rangle$, calculate $u \cdot v$.

529. Given $\mathbf{u} = \langle -1, 6 \rangle$ and $\mathbf{v} = \langle 6, -1 \rangle$, calculate $\mathbf{u} \cdot \mathbf{v}$.

Graphical

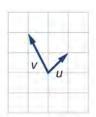
For the following exercises, given v, draw v, 3v and $\frac{1}{2}v$.

531.
$$\langle -1, 4 \rangle$$

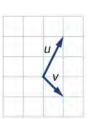
532.
$$\langle -3, -2 \rangle$$

For the following exercises, use the vectors shown to sketch u + v, u - v, and 2u.

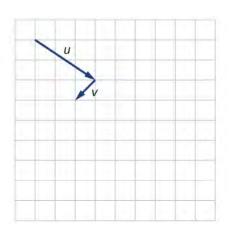
533.



534.

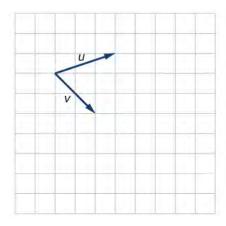


535.

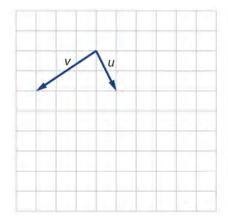


For the following exercises, use the vectors shown to sketch 2u + v.

536.

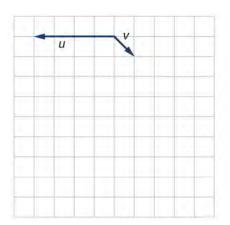


537.

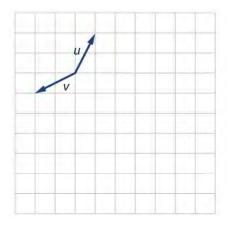


For the following exercises, use the vectors shown to sketch u - 3v.

538.



539.

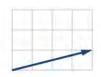


For the following exercises, write the vector shown in component form.

540.



541.



542. Given initial point $P_1 = (2, 1)$ and terminal point $P_2 = (-1, 2)$, write the vector \mathbf{v} in terms of \mathbf{i} and \mathbf{j} , then draw the vector on the graph.

543. Given initial point $P_1 = (4, -1)$ and terminal point $P_2 = (-3, 2)$, write the vector \mathbf{v} in terms of \mathbf{i} and \mathbf{j} . Draw the points and the vector on the graph.

544. Given initial point $P_1 = (3, 3)$ and terminal point $P_2 = (-3, 3)$, write the vector \mathbf{v} in terms of \mathbf{i} and \mathbf{j} . Draw the points and the vector on the graph.

Extensions

For the following exercises, use the given magnitude and direction in standard position, write the vector in component form.

545.
$$|v| = 6$$
, $\theta = 45$ °

546.
$$|v| = 8$$
, $\theta = 220^{\circ}$

547.
$$|v| = 2$$
, $\theta = 300^{\circ}$

548.
$$|v| = 5$$
, $\theta = 135^{\circ}$

549.

A 60-pound box is resting on a ramp that is inclined 12°. Rounding to the nearest tenth,

- a. Find the magnitude of the normal (perpendicular) component of the force.
- b. Find the magnitude of the component of the force that is parallel to the ramp.

550. A 25-pound box is resting on a ramp that is inclined 8°. Rounding to the nearest tenth,

- a. Find the magnitude of the normal (perpendicular) component of the force.
- b. Find the magnitude of the component of the force that is parallel to the ramp.

551. Find the magnitude of the horizontal and vertical components of a vector with magnitude 8 pounds pointed in a direction of 27° above the horizontal. Round to the nearest hundredth.

552. Find the magnitude of the horizontal and vertical components of the vector with magnitude 4 pounds pointed in a direction of 127° above the horizontal. Round to the nearest hundredth.

553. Find the magnitude of the horizontal and vertical components of a vector with magnitude 5 pounds pointed in a direction of 55° above the horizontal. Round to the nearest hundredth.

554. Find the magnitude of the horizontal and vertical components of the vector with magnitude 1 pound pointed in a direction of 8° above the horizontal. Round to the nearest hundredth.

Real-World Applications

555. A woman leaves home and walks 3 miles west, then 2 miles southwest. How far from home is she, and in what direction must she walk to head directly home?

556. A boat leaves the marina and sails 6 miles north, then 2 miles northeast. How far from the marina is the boat, and in what direction must it sail to head directly back to the marina?

557. A man starts walking from home and walks 4 miles east, 2 miles southeast, 5 miles south, 4 miles southwest, and 2 miles east. How far has he walked? If he walked straight home, how far would he have to walk?

558. A woman starts walking from home and walks 4 miles east, 7 miles southeast, 6 miles south, 5 miles southwest, and 3 miles east. How far has she walked? If she walked straight home, how far would she have to walk?

559. A man starts walking from home and walks 3 miles at 20° north of west, then 5 miles at 10° west of south, then 4 miles at 15° north of east. If he walked straight home, how far would he have to the walk, and in what direction?

- 560. A woman starts walking from home and walks 6 miles at 40° north of east, then 2 miles at 15° east of south, then 5 miles at 30° south of west. If she walked straight home, how far would she have to walk, and in what direction?
- 561. An airplane is heading north at an airspeed of 600 km/hr, but there is a wind blowing from the southwest at 80 km/hr. How many degrees off course will the plane end up flying, and what is the plane's speed relative to the ground?
- 562. An airplane is heading north at an airspeed of 500 km/hr, but there is a wind blowing from the northwest at 50 km/hr. How many degrees off course will the plane end up flying, and what is the plane's speed relative to the ground?
- 563. An airplane needs to head due north, but there is a wind blowing from the southwest at 60 km/hr. The plane flies with an airspeed of 550 km/hr. To end up flying due north, how many degrees west of north will the pilot need to fly the plane?
- 564. An airplane needs to head due north, but there is a wind blowing from the northwest at 80 km/hr. The plane flies with an airspeed of 500 km/hr. To end up flying due north, how many degrees west of north will the pilot need to fly the plane?
- 565. As part of a video game, the point (5, 7) is rotated counterclockwise about the origin through an angle of 35° . Find the new coordinates of this point.
- 566. As part of a video game, the point (7, 3) is rotated counterclockwise about the origin through an angle of 40°. Find the new coordinates of this point.
- 567. Two children are throwing a ball back and forth straight across the back seat of a car. The ball is being thrown 10 mph relative to the car, and the car is traveling 25 mph down the road. If one child doesn't catch the ball, and it flies out the window, in what direction does the ball fly (ignoring wind resistance)?
- 568. Two children are throwing a ball back and forth straight across the back seat of a car. The ball is being thrown 8 mph relative to the car, and the car is traveling 45 mph down the road. If one child doesn't catch the ball, and it flies out the window, in what direction does the ball fly (ignoring wind resistance)?
- 569. A 50-pound object rests on a ramp that is inclined 19°. Find the magnitude of the components of the force parallel to and perpendicular to (normal) the ramp to the nearest tenth of a pound.
- 570. Suppose a body has a force of 10 pounds acting on it to the right, 25 pounds acting on it upward, and 5 pounds acting on it 45° from the horizontal. What single force is the resultant force acting on the body?

- 571. Suppose a body has a force of 10 pounds acting on it to the right, 25 pounds acting on it -135° from the horizontal, and 5 pounds acting on it directed 150° from the horizontal. What single force is the resultant force acting on the body?
- 572. The condition of equilibrium is when the sum of the forces acting on a body is the zero vector. Suppose a body has a force of 2 pounds acting on it to the right, 5 pounds acting on it upward, and 3 pounds acting on it 45° from the horizontal. What single force is needed to produce a state of equilibrium on the body?
- 573. Suppose a body has a force of 3 pounds acting on it to the left, 4 pounds acting on it upward, and 2 pounds acting on it 30° from the horizontal. What single force is needed to produce a state of equilibrium on the body? Draw the vector.

CHAPTER 8 REVIEW

KEY TERMS

altitude a perpendicular line from one vertex of a triangle to the opposite side, or in the case of an obtuse triangle, to the line containing the opposite side, forming two right triangles

ambiguous case a scenario in which more than one triangle is a valid solution for a given oblique SSA triangle

Archimedes' spiral a polar curve given by $r = \theta$. When multiplied by a constant, the equation appears as $r = a\theta$. As $r = \theta$, the curve continues to widen in a spiral path over the domain.

argument the angle associated with a complex number; the angle between the line from the origin to the point and the positive real axis

cardioid a member of the limaçon family of curves, named for its resemblance to a heart; its equation is given as $r = a \pm b \cos \theta$ and $r = a \pm b \sin \theta$, where $\frac{a}{b} = 1$

convex limaçon a type of one-loop limaçon represented by $r = a \pm b\cos\theta$ and $r = a \pm b\sin\theta$ such that $\frac{a}{b} \ge 2$

De Moivre's Theorem formula used to find the nth power or nth roots of a complex number; states that, for a positive integer n, z^n is found by raising the modulus to the nth power and multiplying the angles by n

dimpled limaçon a type of one-loop limaçon represented by $r = a \pm b \cos \theta$ and $r = a \pm b \sin \theta$ such that $1 < \frac{a}{b} < 2$

dot product given two vectors, the sum of the product of the horizontal components and the product of the vertical components

Generalized Pythagorean Theorem an extension of the Law of Cosines; relates the sides of an oblique triangle and is used for SAS and SSS triangles

initial point the origin of a vector

inner-loop limaçon a polar curve similar to the cardioid, but with an inner loop; passes through the pole twice; represented by $r = a \pm b\cos\theta$ and $r = a \pm b\sin\theta$ where a < b

Law of Cosines states that the square of any side of a triangle is equal to the sum of the squares of the other two sides minus twice the product of the other two sides and the cosine of the included angle

Law of Sines states that the ratio of the measurement of one angle of a triangle to the length of its opposite side is equal to the remaining two ratios of angle measure to opposite side; any pair of proportions may be used to solve for a missing angle or side

lemniscate a polar curve resembling a figure 8 and given by the equation $r^2 = a^2 \cos 2\theta$ and $r^2 = a^2 \sin 2\theta$, $a \neq 0$

magnitude the length of a vector; may represent a quantity such as speed, and is calculated using the Pythagorean Theorem

modulus the absolute value of a complex number, or the distance from the origin to the point (x, y); also called the amplitude

oblique triangle any triangle that is not a right triangle

one-loop limaçon a polar curve represented by $r = a \pm b\cos\theta$ and $r = a \pm b\sin\theta$ such that a > 0, b > 0, and $\frac{a}{b} > 1$; may be dimpled or convex; does not pass through the pole

parameter a variable, often representing time, upon which *x* and *y* are both dependent

polar axis on the polar grid, the equivalent of the positive *x*-axis on the rectangular grid

polar coordinates on the polar grid, the coordinates of a point labeled (r, θ) , where θ indicates the angle of rotation from the polar axis and r represents the radius, or the distance of the point from the pole in the direction of θ

polar equation an equation describing a curve on the polar grid.

polar form of a complex number a complex number expressed in terms of an angle θ and its distance from the origin r; can be found by using conversion formulas $x = r\cos\theta$, $y = r\sin\theta$, and $r = \sqrt{x^2 + y^2}$

pole the origin of the polar grid

resultant a vector that results from addition or subtraction of two vectors, or from scalar multiplication

rose curve a polar equation resembling a flower, given by the equations $r = a\cos n\theta$ and $r = a\sin n\theta$; when n is even there are 2n petals, and the curve is highly symmetrical; when n is odd there are n petals.

scalar a quantity associated with magnitude but not direction; a constant

scalar multiplication the product of a constant and each component of a vector

standard position the placement of a vector with the initial point at (0, 0) and the terminal point (a, b), represented by the change in the *x*-coordinates and the change in the *y*-coordinates of the original vector

terminal point the end point of a vector, usually represented by an arrow indicating its direction

unit vector a vector that begins at the origin and has magnitude of 1; the horizontal unit vector runs along the *x*-axis and is defined as $v_1 = \langle 1, 0 \rangle$ the vertical unit vector runs along the *y*-axis and is defined as $v_2 = \langle 0, 1 \rangle$.

vector a quantity associated with both magnitude and direction, represented as a directed line segment with a starting point (initial point) and an end point (terminal point)

vector addition the sum of two vectors, found by adding corresponding components

KEY EQUATIONS

Law of Sines
$$\frac{\sin \alpha}{a} = \frac{\sin \beta}{b} = \frac{\sin \gamma}{c}$$

$$\frac{a}{\sin \alpha} = \frac{b}{\sin \beta} = \frac{c}{\sin \gamma}$$

$$\text{Area} = \frac{1}{2}bc\sin\alpha$$

$$= \frac{1}{2}ac\sin\beta$$

$$= \frac{1}{2}ab\sin\gamma$$

$$a^2 = b^2 + c^2 - 2bc\cos\alpha$$
 Law of Cosines
$$b^2 = a^2 + c^2 - 2ac\cos\beta$$

$$c^2 = a^2 + b^2 - 2ab\cos\gamma$$

$$Area = \sqrt{s(s-a)(s-b)(s-c)}$$
 Heron's formula
$$where \ s = \frac{(a+b+c)}{2}$$

$$\cos \theta = \frac{x}{r} \to x = r \cos \theta$$

$$\sin \theta = \frac{y}{r} \to y = r \sin \theta$$

$$r^2 = x^2 + y^2$$

$$\tan \theta = \frac{y}{x}$$

KEY CONCEPTS

8.1 Non-right Triangles: Law of Sines

- The Law of Sines can be used to solve oblique triangles, which are non-right triangles.
- According to the Law of Sines, the ratio of the measurement of one of the angles to the length of its opposite side equals the other two ratios of angle measure to opposite side.
- There are three possible cases: ASA, AAS, SSA. Depending on the information given, we can choose the appropriate equation to find the requested solution. See **Example 8.1**.
- The ambiguous case arises when an oblique triangle can have different outcomes.
- There are three possible cases that arise from SSA arrangement—a single solution, two possible solutions, and no solution. See Example 8.2 and Example 8.3.
- The Law of Sines can be used to solve triangles with given criteria. See Example 8.4.
- The general area formula for triangles translates to oblique triangles by first finding the appropriate height value. See **Example 8.5**.
- There are many trigonometric applications. They can often be solved by first drawing a diagram of the given information and then using the appropriate equation. See Example 8.6.

8.2 Non-right Triangles: Law of Cosines

- The Law of Cosines defines the relationship among angle measurements and lengths of sides in oblique triangles.
- The Generalized Pythagorean Theorem is the Law of Cosines for two cases of oblique triangles: SAS and SSS. Dropping an imaginary perpendicular splits the oblique triangle into two right triangles or forms one right triangle, which allows sides to be related and measurements to be calculated. See **Example 8.7** and **Example 8.8**.
- The Law of Cosines is useful for many types of applied problems. The first step in solving such problems is generally to draw a sketch of the problem presented. If the information given fits one of the three models (the three equations), then apply the Law of Cosines to find a solution. See **Example 8.9** and **Example 8.10**.
- Heron's formula allows the calculation of area in oblique triangles. All three sides must be known to apply Heron's formula. See Example 8.11 and See Example 8.12.

8.3 Polar Coordinates

- The polar grid is represented as a series of concentric circles radiating out from the pole, or origin.
- To plot a point in the form (*r*, θ), θ > 0, move in a counterclockwise direction from the polar axis by an angle of θ, and then extend a directed line segment from the pole the length of *r* in the direction of θ. If θ is negative, move in a clockwise direction, and extend a directed line segment the length of *r* in the direction of θ. See Example 8.13.
- If r is negative, extend the directed line segment in the opposite direction of θ . See **Example 8.14**.
- To convert from polar coordinates to rectangular coordinates, use the formulas $x = r\cos\theta$ and $y = r\sin\theta$. See **Example 8.15** and **Example 8.16**.

- To convert from rectangular coordinates to polar coordinates, use one or more of the formulas: $\cos \theta = \frac{x}{r}$, $\sin \theta = \frac{y}{r}$, $\tan \theta = \frac{y}{r}$, and $r = \sqrt{x^2 + y^2}$. See **Example 8.17**.
- Transforming equations between polar and rectangular forms means making the appropriate substitutions based on the available formulas, together with algebraic manipulations. See Example 8.18, Example 8.19, and Example 8.20.
- Using the appropriate substitutions makes it possible to rewrite a polar equation as a rectangular equation, and then
 graph it in the rectangular plane. See Example 8.21, Example 8.22, and Example 8.23.

8.4 Polar Coordinates: Graphs

- It is easier to graph polar equations if we can test the equations for symmetry with respect to the line $\theta = \frac{\pi}{2}$, the polar axis, or the pole.
- There are three symmetry tests that indicate whether the graph of a polar equation will exhibit symmetry. If an
 equation fails a symmetry test, the graph may or may not exhibit symmetry. See Example 8.24.
- Polar equations may be graphed by making a table of values for θ and r.
- The maximum value of a polar equation is found by substituting the value θ that leads to the maximum value of the trigonometric expression.
- The zeros of a polar equation are found by setting r = 0 and solving for θ . See **Example 8.25**.
- Some formulas that produce the graph of a circle in polar coordinates are given by $r = a\cos\theta$ and $r = a\sin\theta$. See **Example 8.26**.
- The formulas that produce the graphs of a cardioid are given by $r = a \pm b\cos\theta$ and $r = a \pm b\sin\theta$, for a > 0, b > 0, and $\frac{a}{b} = 1$. See **Example 8.27**.
- The formulas that produce the graphs of a one-loop limaçon are given by $r = a \pm b\cos\theta$ and $r = a \pm b\sin\theta$ for $1 < \frac{a}{b} < 2$. See **Example 8.28**.
- The formulas that produce the graphs of an inner-loop limaçon are given by $r = a \pm b\cos\theta$ and $r = a \pm b\sin\theta$ for a > 0, b > 0, and a < b. See **Example 8.29**.
- The formulas that produce the graphs of a lemniscates are given by $r^2 = a^2 \cos 2\theta$ and $r^2 = a^2 \sin 2\theta$, where $a \neq 0$. See **Example 8.30**.
- The formulas that produce the graphs of rose curves are given by $r = a\cos n\theta$ and $r = a\sin n\theta$, where $a \neq 0$; if n is even, there are 2n petals, and if n is odd, there are n petals. See **Example 8.31** and **Example 8.32**.
- The formula that produces the graph of an Archimedes' spiral is given by $r = \theta$, $\theta \ge 0$. See **Example 8.33**.

8.5 Polar Form of Complex Numbers

- Complex numbers in the form *a* + *bi* are plotted in the complex plane similar to the way rectangular coordinates are plotted in the rectangular plane. Label the *x*-axis as the *real* axis and the *y*-axis as the *imaginary* axis. See Example 8.34.
- The absolute value of a complex number is the same as its magnitude. It is the distance from the origin to the point: $|z| = \sqrt{a^2 + b^2}$. See **Example 8.35** and **Example 8.36**.
- To write complex numbers in polar form, we use the formulas $x = r\cos\theta$, $y = r\sin\theta$, and $r = \sqrt{x^2 + y^2}$. Then, $z = r(\cos\theta + i\sin\theta)$. See **Example 8.37** and **Example 8.38**.

- To convert from polar form to rectangular form, first evaluate the trigonometric functions. Then, multiply through by *r*. See **Example 8.39** and **Example 8.40**.
- To find the product of two complex numbers, multiply the two moduli and add the two angles. Evaluate the trigonometric functions, and multiply using the distributive property. See **Example 8.41**.
- To find the quotient of two complex numbers in polar form, find the quotient of the two moduli and the difference of the two angles. See **Example 8.42**.
- To find the power of a complex number z^n , raise r to the power n, and multiply θ by n. See **Example** 8.43
- Finding the roots of a complex number is the same as raising a complex number to a power, but using a rational exponent. See **Example 8.44**.

8.6 Parametric Equations

- Parameterizing a curve involves translating a rectangular equation in two variables, *x* and *y*, into two equations in three variables, *x*, *y*, and *t*. Often, more information is obtained from a set of parametric equations. See **Example 8.45**, **Example 8.46**, and **Example 8.47**.
- Sometimes equations are simpler to graph when written in rectangular form. By eliminating *t*, an equation in *x* and *y* is the result.
- To eliminate *t*, solve one of the equations for *t*, and substitute the expression into the second equation. See **Example 8.48**, **Example 8.49**, **Example 8.50**, and **Example 8.51**.
- Finding the rectangular equation for a curve defined parametrically is basically the same as eliminating the
 parameter. Solve for *t* in one of the equations, and substitute the expression into the second equation. See Example
 8.52.
- There are an infinite number of ways to choose a set of parametric equations for a curve defined as a rectangular equation.
- Find an expression for *x* such that the domain of the set of parametric equations remains the same as the original rectangular equation. See **Example 8.53**.

8.7 Parametric Equations: Graphs

- When there is a third variable, a third parameter on which *x* and *y* depend, parametric equations can be used.
- To graph parametric equations by plotting points, make a table with three columns labeled t, x(t), and y(t). Choose values for t in increasing order. Plot the last two columns for x and y. See **Example 8.54** and **Example 8.55**.
- When graphing a parametric curve by plotting points, note the associated *t*-values and show arrows on the graph indicating the orientation of the curve. See **Example 8.56** and **Example 8.57**.
- Parametric equations allow the direction or the orientation of the curve to be shown on the graph. Equations that are
 not functions can be graphed and used in many applications involving motion. See Example 8.58.
- Projectile motion depends on two parametric equations: $x = (v_0 \cos \theta)t$ and $y = -16t^2 + (v_0 \sin \theta)t + h$. Initial velocity is symbolized as v_0 . θ represents the initial angle of the object when thrown, and h represents the height at which the object is propelled.

8.8 Vectors

- The position vector has its initial point at the origin. See **Example 8.59**.
- If the position vector is the same for two vectors, they are equal. See **Example 8.60**.

- Vectors are defined by their magnitude and direction. See Example 8.61.
- If two vectors have the same magnitude and direction, they are equal. See **Example 8.62**.
- Vector addition and subtraction result in a new vector found by adding or subtracting corresponding elements. See
 Example 8.63.
- Scalar multiplication is multiplying a vector by a constant. Only the magnitude changes; the direction stays the same. See **Example 8.64** and **Example 8.65**.
- Vectors are comprised of two components: the horizontal component along the positive *x*-axis, and the vertical component along the positive *y*-axis. See **Example 8.66**.
- The unit vector in the same direction of any nonzero vector is found by dividing the vector by its magnitude.
- The magnitude of a vector in the rectangular coordinate system is $|v| = \sqrt{a^2 + b^2}$. See **Example 8.67.**
- In the rectangular coordinate system, unit vectors may be represented in terms of i and j where i represents the horizontal component and j represents the vertical component. Then, v = ai + bj is a scalar multiple of v by real numbers a and b. See **Example 8.68** and **Example 8.69**.
- Adding and subtracting vectors in terms of *i* and *j* consists of adding or subtracting corresponding coefficients of *i* and corresponding coefficients of *j*. See **Example 8.70**.
- A vector v = ai + bj is written in terms of magnitude and direction as $v = |v|\cos\theta i + |v|\sin\theta j$. See **Example 8.71**.
- The dot product of two vectors is the product of the *i* terms plus the product of the *j* terms. See **Example 8.72**.
- We can use the dot product to find the angle between two vectors. **Example 8.73** and **Example 8.74**.
- Dot products are useful for many types of physics applications. See **Example 8.75**.

CHAPTER 8 REVIEW EXERCISES

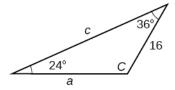
Non-right Triangles: Law of Sines

For the following exercises, assume α is opposite side a, β is opposite side b, and γ is opposite side c. Solve each triangle, if possible. Round each answer to the nearest tenth.

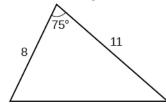
574.
$$\beta = 50^{\circ}$$
, $a = 105$, $b = 45$

575.
$$\alpha = 43.1^{\circ}, a = 184.2, b = 242.8$$

576. Solve the triangle.



577. Find the area of the triangle.



578. A pilot is flying over a straight highway. He determines the angles of depression to two mileposts, 2.1 km apart, to be 25° and 49°, as shown in **Figure 8.129**. Find the distance of the plane from point A and the elevation of the plane.

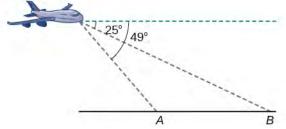


Figure 8.129

Non-right Triangles: Law of Cosines

579. Solve the triangle, rounding to the nearest tenth, assuming α is opposite side a, β is opposite side b, and γ s opposite side c: a = 4, b = 6, c = 8.

580. Solve the triangle in **Figure 8.130**, rounding to the nearest tenth.

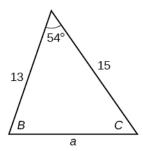


Figure 8.130

581. Find the area of a triangle with sides of length 8.3, 6.6, and 9.1.

582. To find the distance between two cities, a satellite calculates the distances and angle shown in **Figure 8.131** (not to scale). Find the distance between the cities. Round answers to the nearest tenth.

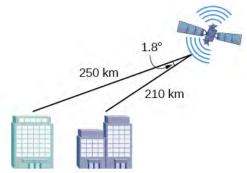


Figure 8.131

Polar Coordinates

583. Plot the point with polar coordinates $\left(3, \frac{\pi}{6}\right)$.

584. Plot the point with polar coordinates $\left(5, -\frac{2\pi}{3}\right)$

585. Convert $\left(6, -\frac{3\pi}{4}\right)$ to rectangular coordinates.

586. Convert $\left(-2, \frac{3\pi}{2}\right)$ to rectangular coordinates.

587. Convert (7, -2) to polar coordinates.

588. Convert (-9, -4) to polar coordinates.

For the following exercises, convert the given Cartesian equation to a polar equation.

589. x = -2

590. $x^2 + y^2 = 64$

591.
$$x^2 + y^2 = -2y$$

For the following exercises, convert the given polar equation to a Cartesian equation.

592.
$$r = 7\cos\theta$$

593.
$$r = \frac{-2}{4\cos\theta + \sin\theta}$$

For the following exercises, convert to rectangular form and graph.

594.
$$\theta = \frac{3\pi}{4}$$

595.
$$r = 5\sec \theta$$

Polar Coordinates: Graphs

For the following exercises, test each equation for symmetry.

596.
$$r = 4 + 4\sin\theta$$

597.
$$r = 7$$

598. Sketch a graph of the polar equation $r = 1 - 5\sin\theta$. Label the axis intercepts.

599. Sketch a graph of the polar equation $r = 5\sin(7\theta)$.

600. Sketch a graph of the polar equation $r = 3 - 3\cos\theta$

Polar Form of Complex Numbers

For the following exercises, find the absolute value of each complex number.

601.
$$-2 + 6i$$

602.
$$4-3i$$

Write the complex number in polar form.

603.
$$5 + 9i$$

604.
$$\frac{1}{2} - \frac{\sqrt{3}}{2}i$$

For the following exercises, convert the complex number from polar to rectangular form.

605.
$$z = 5 \operatorname{cis} \left(\frac{5\pi}{6} \right)$$

606.
$$z = 3 \text{cis}(40^\circ)$$

For the following exercises, find the product z_1z_2 in polar form.

607.
$$z_1 = 2 \text{cis}(89^\circ)$$

$$z_2 = 5 \text{cis}(23^\circ)$$

608.
$$z_1 = 10 \operatorname{cis}\left(\frac{\pi}{6}\right)$$

$$z_2 = 6\operatorname{cis}\left(\frac{\pi}{3}\right)$$

For the following exercises, find the quotient $\frac{z_1}{z_2}$ in polar form.

609.
$$z_1 = 12 \text{cis}(55^\circ)$$

$$z_2 = 3 \text{cis}(18^\circ)$$

610.
$$z_1 = 27 \operatorname{cis}\left(\frac{5\pi}{3}\right)$$

$$z_2 = 9 \operatorname{cis}\left(\frac{\pi}{3}\right)$$

For the following exercises, find the powers of each complex number in polar form.

611. Find
$$z^4$$
 when $z = 2 \text{cis}(70^\circ)$

612. Find
$$z^2$$
 when $z = 5\operatorname{cis}\left(\frac{3\pi}{4}\right)$

For the following exercises, evaluate each root.

- **613.** Evaluate the cube root of *z* when $z = 64 \text{cis}(210^\circ)$.
- **614.** Evaluate the square root of z when $z = 25 \operatorname{cis} \left(\frac{3\pi}{2} \right)$.

For the following exercises, plot the complex number in the complex plane.

615.
$$6-2i$$

616.
$$-1 + 3i$$

Parametric Equations

For the following exercises, eliminate the parameter t to rewrite the parametric equation as a Cartesian equation.

617.
$$\begin{cases} x(t) = 3t - 1 \\ y(t) = \sqrt{t} \end{cases}$$

618.
$$\begin{cases} x(t) = -\cos t \\ y(t) = 2\sin^2 t \end{cases}$$

619. Parameterize (write a parametric equation for) each Cartesian equation by using $x(t) = a\cos t$ and $y(t) = b\sin t$ for $\frac{x^2}{25} + \frac{y^2}{16} = 1$.

620. Parameterize the line from (-2, 3) to (4, 7) so that the line is at (-2, 3) at t = 0 and (4, 7) at t = 1.

Parametric Equations: Graphs

For the following exercises, make a table of values for each set of parametric equations, graph the equations, and include an orientation; then write the Cartesian equation.

621.
$$\begin{cases} x(t) = 3t^2 \\ y(t) = 2t - 1 \end{cases}$$

622.
$$\begin{cases} x(t) = e^t \\ y(t) = -2e^{5t} \end{cases}$$

623.
$$\begin{cases} x(t) = 3\cos t \\ y(t) = 2\sin t \end{cases}$$

- **624.** A ball is launched with an initial velocity of 80 feet per second at an angle of 40° to the horizontal. The ball is released at a height of 4 feet above the ground.
 - a. Find the parametric equations to model the path of the ball.
 - b. Where is the ball after 3 seconds?
 - c. How long is the ball in the air?

Vectors

For the following exercises, determine whether the two vectors, \mathbf{u} and \mathbf{v} , are equal, where \mathbf{u} has an initial point P_1 and a terminal point P_2 , and \mathbf{v} has an initial point P_3 and a terminal point P_4 .

625.
$$P_1 = (-1, 4), P_2 = (3, 1), P_3 = (5, 5)$$
 and $P_4 = (9, 2)$

626.
$$P_1 = (6, 11), P_2 = (-2, 8), P_3 = (0, -1)$$
 and $P_4 = (-8, 2)$

For the following exercises, use the vectors u = 2i - j, v = 4i - 3j, and w = -2i + 5j to evaluate the expression.

627.
$$u - v$$

628.
$$2v - u + w$$

For the following exercises, find a unit vector in the same direction as the given vector.

629.
$$a = 8i - 6j$$

630.
$$b = -3i - j$$

For the following exercises, find the magnitude and direction of the vector.

631.
$$\langle 6, -2 \rangle$$

632.
$$\langle -3, -3 \rangle$$

For the following exercises, calculate $u \cdot v$.

633.
$$u = -2i + j$$
 and $v = 3i + 7j$

634.
$$u = i + 4j$$
 and $v = 4i + 3j$

635. Given
$$\mathbf{v} = \langle -3, 4 \rangle$$
 draw $\mathbf{v}, 2\mathbf{v}$, and $\frac{1}{2}\mathbf{v}$.

636. Given the vectors shown in **Figure 8.132**, sketch u + v, u - v and 3v.

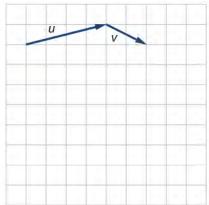
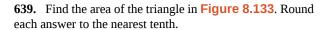


Figure 8.132

637. Given initial point $P_1 = (3, 2)$ and terminal point $P_2 = (-5, -1)$, write the vector \mathbf{v} in terms of \mathbf{i} and \mathbf{j} . Draw the points and the vector on the graph.

CHAPTER 8 PRACTICE TEST

638. Assume α is opposite side a, β is opposite side b, and γ is opposite side c. Solve the triangle, if possible, and round each answer to the nearest tenth, given $\beta = 68^{\circ}$, b = 21, c = 16.



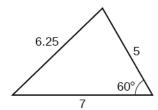


Figure 8.133

- **640.** A pilot flies in a straight path for 2 hours. He then makes a course correction, heading 15° to the right of his original course, and flies 1 hour in the new direction. If he maintains a constant speed of 575 miles per hour, how far is he from his starting position?
- **641.** Convert (2, 2) to polar coordinates, and then plot the point.
- **642.** Convert $\left(2, \frac{\pi}{3}\right)$ to rectangular coordinates.
- **643.** Convert the polar equation to a Cartesian equation: $x^2 + y^2 = 5y$.
- **644.** Convert to rectangular form and graph: $r = -3\csc\theta$.
- **645.** Test the equation for symmetry: $r = -4\sin(2\theta)$.
- **646.** Graph $r = 3 + 3\cos\theta$.
- **647.** Graph $r = 3 5\sin\theta$.
- **648.** Find the absolute value of the complex number 5-9i.
- **649.** Write the complex number in polar form: 4 + i.
- **650.** Convert the complex number from polar to rectangular form: $z = 5 \text{cis} \left(\frac{2\pi}{3} \right)$.

Given $z_1 = 8 \text{cis}(36^\circ)$ and $z_2 = 2 \text{cis}(15^\circ)$, evaluate each expression.

- **651.** $z_1 z_2$
- **652.** $\frac{z_1}{z_2}$
- **653.** $(z_2)^3$
- **654.** $\sqrt{z_1}$
- **655.** Plot the complex number -5 i in the complex plane.

- **656.** Eliminate the parameter t to rewrite the following parametric equations as a Cartesian equation: $\begin{cases} x(t) = t + 1 \\ y(t) = 2t^2 \end{cases}$
- **657.** Parameterize (write a parametric equation for) the following Cartesian equation by using $x(t) = a\cos t$ and

$$y(t) = b\sin t$$
: $\frac{x^2}{36} + \frac{y^2}{100} = 1$.

- **658.** Graph the set of parametric equations and find the Cartesian equation: $\begin{cases} x(t) = -2\sin t \\ y(t) = 5\cos t \end{cases}$.
- **659.** A ball is launched with an initial velocity of 95 feet per second at an angle of 52° to the horizontal. The ball is released at a height of 3.5 feet above the ground.
 - a. Find the parametric equations to model the path of the ball.
 - b. Where is the ball after 2 seconds?
 - c. How long is the ball in the air?

For the following exercises, use the vectors $\mathbf{u} = \mathbf{i} - 3\mathbf{j}$ and $\mathbf{v} = 2\mathbf{i} + 3\mathbf{j}$.

- **660.** Find 2u 3v.
- **661.** Calculate *u · v*.
- **662.** Find a unit vector in the same direction as v.
- **663.** Given vector \mathbf{v} has an initial point $P_1 = (2, 2)$ and terminal point $P_2 = (-1, 0)$, write the vector \mathbf{v} in terms of \mathbf{i} and \mathbf{j} . On the graph, draw \mathbf{v} , and $-\mathbf{v}$.

9 SYSTEMS OF EQUATIONS AND INEQUALITIES



Figure 9.1 Enigma machines like this one, once owned by Italian dictator Benito Mussolini, were used by government and military officials for enciphering and deciphering top-secret communications during World War II. (credit: Dave Addey, Flickr)

Chapter Outline

- 9.1 Systems of Linear Equations: Two Variables
- 9.2 Systems of Linear Equations: Three Variables
- 9.3 Systems of Nonlinear Equations and Inequalities: Two Variables
- 9.4 Partial Fractions
- 9.5 Matrices and Matrix Operations
- 9.6 Solving Systems with Gaussian Elimination
- 9.7 Solving Systems with Inverses
- 9.8 Solving Systems with Cramer's Rule

Introduction

By 1943, it was obvious to the Nazi regime that defeat was imminent unless it could build a weapon with unlimited destructive power, one that had never been seen before in the history of the world. In September, Adolf Hitler ordered German scientists to begin building an atomic bomb. Rumors and whispers began to spread from across the ocean. Refugees and diplomats told of the experiments happening in Norway. However, Franklin D. Roosevelt wasn't sold, and even doubted British Prime Minister Winston Churchill's warning. Roosevelt wanted undeniable proof. Fortunately, he soon received the proof he wanted when a group of mathematicians cracked the "Enigma" code, proving beyond a doubt that Hitler was building an atomic bomb. The next day, Roosevelt gave the order that the United States begin work on the same.

The Enigma is perhaps the most famous cryptographic device ever known. It stands as an example of the pivotal role cryptography has played in society. Now, technology has moved cryptanalysis to the digital world.

Many ciphers are designed using invertible matrices as the method of message transference, as finding the inverse of a matrix is generally part of the process of decoding. In addition to knowing the matrix and its inverse, the receiver must also know the key that, when used with the matrix inverse, will allow the message to be read.

In this chapter, we will investigate matrices and their inverses, and various ways to use matrices to solve systems of equations. First, however, we will study systems of equations on their own: linear and nonlinear, and then partial fractions. We will not be breaking any secret codes here, but we will lay the foundation for future courses.

9.1 | Systems of Linear Equations: Two Variables

Learning Objectives

In this section, you will:

- 9.1.1 Solve systems of equations by graphing.
- 9.1.2 Solve systems of equations by substitution.
- **9.1.3** Solve systems of equations by addition.
- **9.1.4** Identify inconsistent systems of equations containing two variables.
- 9.1.5 Express the solution of a system of dependent equations containing two variables.



Figure 9.2 (credit: Thomas Sørenes)

A skateboard manufacturer introduces a new line of boards. The manufacturer tracks its costs, which is the amount it spends to produce the boards, and its revenue, which is the amount it earns through sales of its boards. How can the company determine if it is making a profit with its new line? How many skateboards must be produced and sold before a profit is possible? In this section, we will consider linear equations with two variables to answer these and similar questions.

Introduction to Systems of Equations

In order to investigate situations such as that of the skateboard manufacturer, we need to recognize that we are dealing with more than one variable and likely more than one equation. A **system of linear equations** consists of two or more linear equations made up of two or more variables such that all equations in the system are considered simultaneously. To find the unique solution to a system of linear equations, we must find a numerical value for each variable in the system that will satisfy all equations in the system at the same time. Some linear systems may not have a solution and others may have an

infinite number of solutions. In order for a linear system to have a unique solution, there must be at least as many equations as there are variables. Even so, this does not guarantee a unique solution.

In this section, we will look at systems of linear equations in two variables, which consist of two equations that contain two different variables. For example, consider the following system of linear equations in two variables.

$$2x + y = 15$$
$$3x - y = 5$$

The *solution* to a system of linear equations in two variables is any ordered pair that satisfies each equation independently. In this example, the ordered pair (4, 7) is the solution to the system of linear equations. We can verify the solution by substituting the values into each equation to see if the ordered pair satisfies both equations. Shortly we will investigate methods of finding such a solution if it exists.

$$2(4) + (7) = 15$$
 True $3(4) - (7) = 5$ True

In addition to considering the number of equations and variables, we can categorize systems of linear equations by the number of solutions. A **consistent system** of equations has at least one solution. A consistent system is considered to be an **independent system** if it has a single solution, such as the example we just explored. The two lines have different slopes and intersect at one point in the plane. A consistent system is considered to be a **dependent system** if the equations have the same slope and the same *y*-intercepts. In other words, the lines coincide so the equations represent the same line. Every point on the line represents a coordinate pair that satisfies the system. Thus, there are an infinite number of solutions.

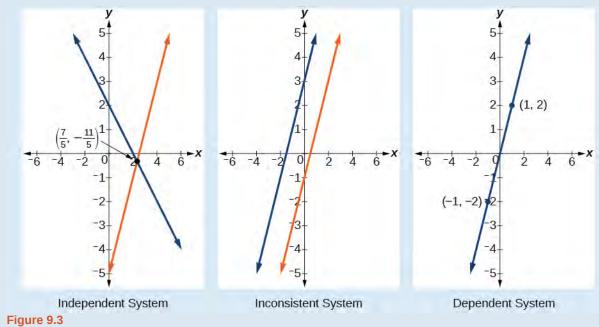
Another type of system of linear equations is an **inconsistent system**, which is one in which the equations represent two parallel lines. The lines have the same slope and different *y*-intercepts. There are no points common to both lines; hence, there is no solution to the system.

Types of Linear Systems

There are three types of systems of linear equations in two variables, and three types of solutions.

- An **independent system** has exactly one solution pair (x, y). The point where the two lines intersect is the only solution.
- An **inconsistent system** has no solution. Notice that the two lines are parallel and will never intersect.
- A **dependent system** has infinitely many solutions. The lines are coincident. They are the same line, so every coordinate pair on the line is a solution to both equations.

Figure 9.3 compares graphical representations of each type of system.





Given a system of linear equations and an ordered pair, determine whether the ordered pair is a solution.

- 1. Substitute the ordered pair into each equation in the system.
- 2. Determine whether true statements result from the substitution in both equations; if so, the ordered pair is a solution.

Example 9.1

Determining Whether an Ordered Pair Is a Solution to a System of Equations

Determine whether the ordered pair (5, 1) is a solution to the given system of equations.

$$x + 3y = 8$$
$$2x - 9 = y$$

Solution

Substitute the ordered pair (5, 1) into both equations.

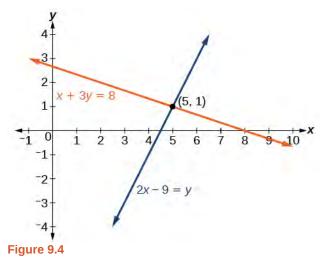
$$(5) + 3(1) = 8$$

 $8 = 8$ True
 $2(5) - 9 = (1)$
 $1=1$ True

The ordered pair (5, 1) satisfies both equations, so it is the solution to the system.

Analysis

We can see the solution clearly by plotting the graph of each equation. Since the solution is an ordered pair that satisfies both equations, it is a point on both of the lines and thus the point of intersection of the two lines. See **Figure 9.4**.





9.1 Determine whether the ordered pair (8, 5) is a solution to the following system.

$$5x-4y = 20$$
$$2x + 1 = 3y$$

Solving Systems of Equations by Graphing

There are multiple methods of solving systems of linear equations. For a system of linear equations in two variables, we can determine both the type of system and the solution by graphing the system of equations on the same set of axes.

Example 9.2

Solving a System of Equations in Two Variables by Graphing

Solve the following system of equations by graphing. Identify the type of system.

$$2x + y = -8$$
$$x - y = -1$$

Solution

Solve the first equation for y.

$$2x + y = -8$$
$$y = -2x - 8$$

Solve the second equation for y.

$$x - y = -1$$
$$y = x + 1$$

Graph both equations on the same set of axes as in Figure 9.5.

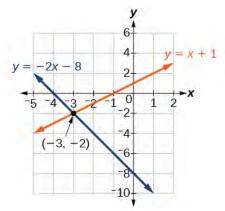


Figure 9.5

The lines appear to intersect at the point (-3,-2). We can check to make sure that this is the solution to the system by substituting the ordered pair into both equations.

$$2(-3) + (-2) = -8$$

 $-8 = -8$ True
 $(-3) - (-2) = -1$
 $-1 = -1$ True

The solution to the system is the ordered pair (-3,-2), so the system is independent.



9.2 Solve the following system of equations by graphing.

$$2x - 5y = -25$$
$$-4x + 5y = 35$$



Can graphing be used if the system is inconsistent or dependent?

Yes, in both cases we can still graph the system to determine the type of system and solution. If the two lines are parallel, the system has no solution and is inconsistent. If the two lines are identical, the system has infinite solutions and is a dependent system.

Solving Systems of Equations by Substitution

Solving a linear system in two variables by graphing works well when the solution consists of integer values, but if our solution contains decimals or fractions, it is not the most precise method. We will consider two more methods of solving a system of linear equations that are more precise than graphing. One such method is solving a system of equations by the **substitution method**, in which we solve one of the equations for one variable and then substitute the result into the second equation to solve for the second variable. Recall that we can solve for only one variable at a time, which is the reason the substitution method is both valuable and practical.



Given a system of two equations in two variables, solve using the substitution method.

- 1. Solve one of the two equations for one of the variables in terms of the other.
- 2. Substitute the expression for this variable into the second equation, then solve for the remaining variable.
- 3. Substitute that solution into either of the original equations to find the value of the first variable. If possible, write the solution as an ordered pair.
- 4. Check the solution in both equations.

Example 9.3

Solving a System of Equations in Two Variables by Substitution

Solve the following system of equations by substitution.

$$-x + y = -5$$
$$2x - 5y = 1$$

Solution

First, we will solve the first equation for *y*.

$$-x + y = -5$$
$$y = x - 5$$

Now we can substitute the expression x–5 for y in the second equation.

$$2x - 5y = 1$$

$$2x - 5(x - 5) = 1$$

$$2x - 5x + 25 = 1$$

$$-3x = -24$$

$$x = 8$$

Now, we substitute x = 8 into the first equation and solve for y.

$$-(8) + y = -5$$
$$y = 3$$

Our solution is (8, 3).

Check the solution by substituting (8, 3) into both equations.

$$-x + y = -5$$

 $-(8) + (3) = -5$ True
 $2x - 5y = 1$
 $2(8) - 5(3) = 1$ True



9.3 Solve the following system of equations by substitution.

$$x = y + 3$$
$$4 = 3x - 2y$$



Can the substitution method be used to solve any linear system in two variables?

Yes, but the method works best if one of the equations contains a coefficient of 1 or -1 so that we do not have to deal with fractions.

Solving Systems of Equations in Two Variables by the Addition Method

A third method of solving systems of linear equations is the **addition method**. In this method, we add two terms with the same variable, but opposite coefficients, so that the sum is zero. Of course, not all systems are set up with the two terms of one variable having opposite coefficients. Often we must adjust one or both of the equations by multiplication so that one variable will be eliminated by addition.



Given a system of equations, solve using the addition method.

- 1. Write both equations with *x* and *y*-variables on the left side of the equal sign and constants on the right.
- 2. Write one equation above the other, lining up corresponding variables. If one of the variables in the top equation has the opposite coefficient of the same variable in the bottom equation, add the equations together, eliminating one variable. If not, use multiplication by a nonzero number so that one of the variables in the top equation has the opposite coefficient of the same variable in the bottom equation, then add the equations to eliminate the variable.
- 3. Solve the resulting equation for the remaining variable.
- 4. Substitute that value into one of the original equations and solve for the second variable.
- 5. Check the solution by substituting the values into the other equation.

Example 9.4

Solving a System by the Addition Method

Solve the given system of equations by addition.

$$x + 2y = -1$$
$$-x + y = 3$$

Solution

Both equations are already set equal to a constant. Notice that the coefficient of x in the second equation, -1, is the opposite of the coefficient of x in the first equation, 1. We can add the two equations to eliminate x without needing to multiply by a constant.

$$x + 2y = -1$$

$$-x + y = 3$$

$$3y = 2$$

Now that we have eliminated x, we can solve the resulting equation for y.

$$3y = 2$$
$$y = \frac{2}{3}$$

Then, we substitute this value for y into one of the original equations and solve for x.

$$-x + y = 3$$

$$-x + \frac{2}{3} = 3$$

$$-x = 3 - \frac{2}{3}$$

$$-x = \frac{7}{3}$$

$$x = -\frac{7}{3}$$

The solution to this system is $\left(-\frac{7}{3}, \frac{2}{3}\right)$.

Check the solution in the first equation.

$$x + 2y = -1$$

$$\left(-\frac{7}{3}\right) + 2\left(\frac{2}{3}\right) =$$

$$-\frac{7}{3} + \frac{4}{3} =$$

$$-\frac{3}{3} =$$

$$-1 = -1$$
 True

Analysis

We gain an important perspective on systems of equations by looking at the graphical representation. See **Figure 9.6** to find that the equations intersect at the solution. We do not need to ask whether there may be a second solution because observing the graph confirms that the system has exactly one solution.

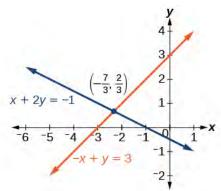


Figure 9.6

Example 9.5

Using the Addition Method When Multiplication of One Equation Is Required

Solve the given system of equations by the addition method.

$$3x + 5y = -11$$
$$x - 2y = 11$$

Solution

Adding these equations as presented will not eliminate a variable. However, we see that the first equation has 3x in it and the second equation has x. So if we multiply the second equation by -3, the x-terms will add to zero.

$$x-2y = 11$$

 $-3(x-2y) = -3(11)$ Multiply both sides by -3 .
 $-3x + 6y = -33$ Use the distributive property.

Now, let's add them.

$$3x + 5y = -11$$

$$-3x + 6y = -33$$

$$11y = -44$$

$$y = -4$$

For the last step, we substitute y = -4 into one of the original equations and solve for x.

$$3x + 5y = -11$$
$$3x + 5(-4) = -11$$
$$3x - 20 = -11$$
$$3x = 9$$
$$x = 3$$

Our solution is the ordered pair (3, -4). See **Figure 9.7**. Check the solution in the original second equation.

$$x - 2y = 11$$

(3) $-2(-4) = 3 + 8$
= 11 True

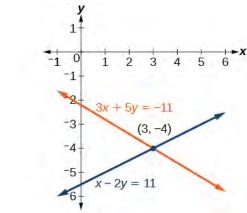


Figure 9.7



9.4 Solve the system of equations by addition.

$$2x - 7y = 2$$
$$3x + y = -20$$

Example 9.6

Using the Addition Method When Multiplication of Both Equations Is Required

Solve the given system of equations in two variables by addition.

$$2x + 3y = -16$$
$$5x - 10y = 30$$

Solution

One equation has 2x and the other has 5x. The least common multiple is 10x so we will have to multiply both equations by a constant in order to eliminate one variable. Let's eliminate x by multiplying the first equation by -5 and the second equation by 2.

$$-5(2x + 3y) = -5(-16)$$

$$-10x - 15y = 80$$

$$2(5x - 10y) = 2(30)$$

$$10x - 20y = 60$$

Then, we add the two equations together.

$$-10x-15y = 80$$
$$10x-20y = 60$$
$$-35y = 140$$
$$y = -4$$

Substitute y = -4 into the original first equation.

$$2x + 3(-4) = -16$$
$$2x - 12 = -16$$
$$2x = -4$$
$$x = -2$$

The solution is (-2, -4). Check it in the other equation.

$$5x-10y = 30$$
$$5(-2)-10(-4) = 30$$
$$-10 + 40 = 30$$
$$30 = 30$$

See Figure 9.8.

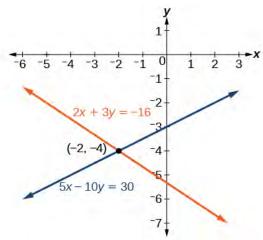


Figure 9.8

Example 9.7

Using the Addition Method in Systems of Equations Containing Fractions

Solve the given system of equations in two variables by addition.

$$\frac{x}{3} + \frac{y}{6} = 3$$
$$\frac{x}{2} - \frac{y}{4} = 1$$

Solution

First clear each equation of fractions by multiplying both sides of the equation by the least common denominator.

$$6\left(\frac{x}{3} + \frac{y}{6}\right) = 6(3)$$
$$2x + y = 18$$
$$4\left(\frac{x}{2} - \frac{y}{4}\right) = 4(1)$$
$$2x - y = 4$$

Now multiply the second equation by -1 so that we can eliminate the *x*-variable.

$$-1(2x - y) = -1(4)$$
$$-2x + y = -4$$

Add the two equations to eliminate the *x*-variable and solve the resulting equation.

$$2x + y = 18$$

$$-2x + y = -4$$

$$2y = 14$$

$$y = 7$$

Substitute y = 7 into the first equation.

$$2x + (7) = 18$$
$$2x = 11$$
$$x = \frac{11}{2}$$
$$= 7.5$$

The solution is $(\frac{11}{2}, 7)$. Check it in the other equation.

$$\frac{x}{2} - \frac{y}{4} = 1$$

$$\frac{11}{2} - \frac{7}{4} = 1$$

$$\frac{11}{4} - \frac{7}{4} = 1$$

$$\frac{4}{4} = 1$$



9.5 Solve the system of equations by addition.

$$2x + 3y = 8$$
$$3x + 5y = 10$$

Identifying Inconsistent Systems of Equations Containing Two Variables

Now that we have several methods for solving systems of equations, we can use the methods to identify inconsistent systems. Recall that an inconsistent system consists of parallel lines that have the same slope but different y-intercepts. They will never intersect. When searching for a solution to an inconsistent system, we will come up with a false statement, such as 12 = 0.

Example 9.8

Solving an Inconsistent System of Equations

Solve the following system of equations.

$$x = 9-2y$$
$$x + 2y = 13$$

Solution

We can approach this problem in two ways. Because one equation is already solved for x, the most obvious step is to use substitution.

$$x + 2y = 13$$
$$(9 - 2y) + 2y = 13$$
$$9 + 0y = 13$$
$$9 = 13$$

Clearly, this statement is a contradiction because $9 \neq 13$. Therefore, the system has no solution.

The second approach would be to first manipulate the equations so that they are both in slope-intercept form. We manipulate the first equation as follows.

$$x = 9-2y$$

$$2y = -x+9$$

$$y = -\frac{1}{2}x + \frac{9}{2}$$

We then convert the second equation expressed to slope-intercept form.

$$x + 2y = 13$$
$$2y = -x + 13$$
$$y = -\frac{1}{2}x + \frac{13}{2}$$

Comparing the equations, we see that they have the same slope but different *y*-intercepts. Therefore, the lines are parallel and do not intersect.

$$y = -\frac{1}{2}x + \frac{9}{2}$$
$$y = -\frac{1}{2}x + \frac{13}{2}$$

Analysis

Writing the equations in slope-intercept form confirms that the system is inconsistent because all lines will intersect eventually unless they are parallel. Parallel lines will never intersect; thus, the two lines have no points in common. The graphs of the equations in this example are shown in **Figure 9.9**.

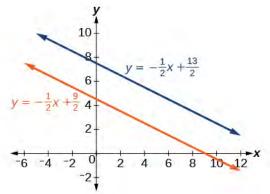


Figure 9.9



9.6 Solve the following system of equations in two variables.

$$2y-2x = 2$$
$$2y-2x = 6$$

Expressing the Solution of a System of Dependent Equations Containing Two Variables

Recall that a dependent system of equations in two variables is a system in which the two equations represent the same line. Dependent systems have an infinite number of solutions because all of the points on one line are also on the other line. After using substitution or addition, the resulting equation will be an identity, such as 0 = 0.

Example 9.9

Finding a Solution to a Dependent System of Linear Equations

Find a solution to the system of equations using the addition method.

$$x + 3y = 2$$
$$3x + 9y = 6$$

Solution

With the addition method, we want to eliminate one of the variables by adding the equations. In this case, let's focus on eliminating x. If we multiply both sides of the first equation by -3, then we will be able to eliminate the x-variable.

$$x + 3y = 2$$

$$(-3)(x + 3y) = (-3)(2)$$

$$-3x - 9y = -6$$

Now add the equations.

$$-3x - 9y = -6$$

$$+ 3x + 9y = 6$$

$$0 = 0$$

We can see that there will be an infinite number of solutions that satisfy both equations.

Analysis

If we rewrote both equations in the slope-intercept form, we might know what the solution would look like before adding. Let's look at what happens when we convert the system to slope-intercept form.

$$x + 3y = 2$$

$$3y = -x + 2$$

$$y = -\frac{1}{3}x + \frac{2}{3}$$

$$3x + 9y = 6$$

$$9y = -3x + 6$$

$$y = -\frac{3}{9}x + \frac{6}{9}$$

$$y = -\frac{1}{3}x + \frac{2}{3}$$

See **Figure 9.10**. Notice the results are the same. The general solution to the system is $\left(x, -\frac{1}{3}x + \frac{2}{3}\right)$.

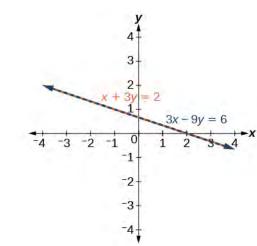


Figure 9.10

Try lt **9.7** Solve the following system of equations in two variables.

$$y-2x = 5$$

$$-3y + 6x = -15$$

Using Systems of Equations to Investigate Profits

Using what we have learned about systems of equations, we can return to the skateboard manufacturing problem at the beginning of the section. The skateboard manufacturer's **revenue function** is the function used to calculate the amount of money that comes into the business. It can be represented by the equation R = xp, where x = y quantity and y = y price.

The revenue function is shown in orange in **Figure 9.11**.

The **cost function** is the function used to calculate the costs of doing business. It includes fixed costs, such as rent and salaries, and variable costs, such as utilities. The cost function is shown in blue in **Figure 9.11**. The x-axis represents quantity in hundreds of units. The y-axis represents either cost or revenue in hundreds of dollars.

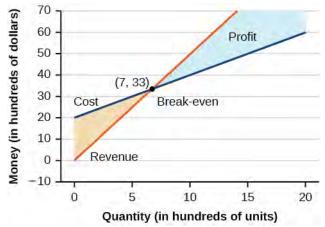


Figure 9.11

The point at which the two lines intersect is called the **break-even point**. We can see from the graph that if 700 units are produced, the cost is \$3,300 and the revenue is also \$3,300. In other words, the company breaks even if they produce and sell 700 units. They neither make money nor lose money.

The shaded region to the right of the break-even point represents quantities for which the company makes a profit. The shaded region to the left represents quantities for which the company suffers a loss. The **profit function** is the revenue function minus the cost function, written as P(x) = R(x) - C(x). Clearly, knowing the quantity for which the cost equals the revenue is of great importance to businesses.

Example 9.10

Finding the Break-Even Point and the Profit Function Using Substitution

Given the cost function $C(x) = 0.85x + 35{,}000$ and the revenue function R(x) = 1.55x, find the break-even point and the profit function.

Solution

Write the system of equations using y to replace function notation.

$$y = 0.85x + 35,000$$
$$y = 1.55x$$

Substitute the expression 0.85x + 35,000 from the first equation into the second equation and solve for x.

$$0.85x + 35,000 = 1.55x$$
$$35,000 = 0.7x$$
$$50,000 = x$$

Then, we substitute x = 50,000 into either the cost function or the revenue function.

$$1.55(50,000) = 77,500$$

The break-even point is (50,000, 77,500).

The profit function is found using the formula P(x) = R(x) - C(x).

$$P(x) = 1.55x - (0.85x + 35,000)$$
$$= 0.7x - 35,000$$

The profit function is P(x) = 0.7x - 35,000.

Analysis

The cost to produce 50,000 units is \$77,500, and the revenue from the sales of 50,000 units is also \$77,500. To make a profit, the business must produce and sell more than 50,000 units. See **Figure 9.12**.

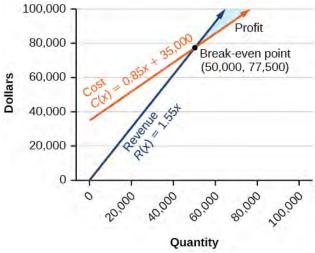


Figure 9.12

We see from the graph in **Figure 9.13** that the profit function has a negative value until x = 50,000, when the graph crosses the *x*-axis. Then, the graph emerges into positive *y*-values and continues on this path as the profit function is a straight line. This illustrates that the break-even point for businesses occurs when the profit function is 0. The area to the left of the break-even point represents operating at a loss.

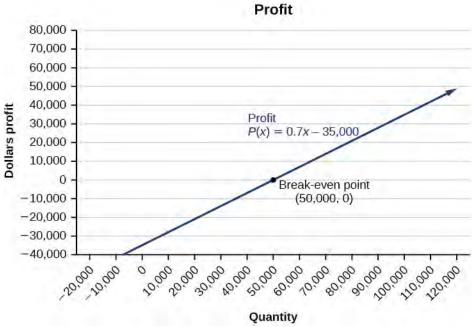


Figure 9.13

Example 9.11

Writing and Solving a System of Equations in Two Variables

The cost of a ticket to the circus is \$25.00 for children and \$50.00 for adults. On a certain day, attendance at the circus is 2,000 and the total gate revenue is \$70,000. How many children and how many adults bought tickets?

Solution

Let c = the number of children and a = the number of adults in attendance.

The total number of people is 2,000. We can use this to write an equation for the number of people at the circus that day.

$$c + a = 2,000$$

The revenue from all children can be found by multiplying \$25.00 by the number of children, 25c. The revenue from all adults can be found by multiplying \$50.00 by the number of adults, 50a. The total revenue is \$70,000. We can use this to write an equation for the revenue.

$$25c + 50a = 70,000$$

We now have a system of linear equations in two variables.

$$c + a = 2,000$$

 $25c + 50a = 70,000$

In the first equation, the coefficient of both variables is 1. We can quickly solve the first equation for either c or a. We will solve for a.

$$c + a = 2,000$$

 $a = 2,000 - c$

Substitute the expression $2{,}000 - c$ in the second equation for a and solve for c.

$$25c + 50(2,000 - c) = 70,000$$
$$25c + 100,000 - 50c = 70,000$$
$$-25c = -30,000$$
$$c = 1,200$$

Substitute c = 1,200 into the first equation to solve for a.

$$1,200 + a = 2,000$$

 $a = 800$

We find that 1,200 children and 800 adults bought tickets to the circus that day.



9.8 Meal tickets at the circus cost \$4.00 for children and \$12.00 for adults. If 1,650 meal tickets were bought for a total of \$14,200, how many children and how many adults bought meal tickets?



Access these online resources for additional instruction and practice with systems of linear equations.

- Solving Systems of Equations Using Substitution (http://openstaxcollege.org/l/syssubst)
- Solving Systems of Equations Using Elimination (http://openstaxcollege.org/l/syselim)
- Applications of Systems of Equations (http://openstaxcollege.org/l/sysapp)

9.1 EXERCISES

Verbal

- 1. Can a system of linear equations have exactly two solutions? Explain why or why not.
- 2. If you are performing a break-even analysis for a business and their cost and revenue equations are dependent, explain what this means for the company's profit margins.
- 3. If you are solving a break-even analysis and get a negative break-even point, explain what this signifies for the company?
- 4. If you are solving a break-even analysis and there is no break-even point, explain what this means for the company. How should they ensure there is a break-even point?
- 5. Given a system of equations, explain at least two different methods of solving that system.

Algebraic

For the following exercises, determine whether the given ordered pair is a solution to the system of equations.

6.
$$5x - y = 4$$

 $x + 6y = 2$ and $(4, 0)$

7.
$$-3x - 5y = 13$$

- $x + 4y = 10$ and $(-6, 1)$

8.
$$3x + 7y = 1$$

 $2x + 4y = 0$ and (2, 3)

9.
$$-2x + 5y = 7$$

 $2x + 9y = 7$ and $(-1, 1)$

10.
$$x + 8y = 43$$

 $3x-2y = -1$ and (3, 5)

For the following exercises, solve each system by substitution.

11.
$$x + 3y = 5$$

 $2x + 3y = 4$

12.
$$3x-2y = 18$$

 $5x + 10y = -10$

13.
$$4x + 2y = -10$$

 $3x + 9y = 0$

14.
$$2x + 4y = -3.8$$

 $9x - 5y = 1.3$

15.
$$-2x + 3y = 1.2$$
$$-3x - 6y = 1.8$$

16.
$$x-0.2y = 1$$

 $-10x + 2y = 5$

17.
$$3x + 5y = 9$$

 $30x + 50y = -90$

18.
$$-3x + y = 2$$

 $12x-4y = -8$

19.
$$\frac{1}{2}x + \frac{1}{3}y = 16$$

 $\frac{1}{6}x + \frac{1}{4}y = 9$

20.
$$-\frac{1}{4}x + \frac{3}{2}y = 11$$
$$-\frac{1}{8}x + \frac{1}{3}y = 3$$

For the following exercises, solve each system by addition.

21.
$$-2x + 5y = -42$$

 $7x + 2y = 30$

22.
$$6x-5y = -34$$

 $2x + 6y = 4$

23.
$$5x - y = -2.6$$

 $-4x - 6y = 1.4$

24.
$$7x-2y = 3$$

 $4x + 5y = 3.25$

25.
$$-x + 2y = -1$$

 $5x - 10y = 6$

26.
$$7x + 6y = 2$$
$$-28x - 24y = -8$$

27.
$$\frac{5}{6}x + \frac{1}{4}y = 0$$

 $\frac{1}{8}x - \frac{1}{2}y = -\frac{43}{120}$

28.
$$\frac{1}{3}x + \frac{1}{9}y = \frac{2}{9}$$

 $-\frac{1}{2}x + \frac{4}{5}y = -\frac{1}{3}$

29.
$$-0.2x + 0.4y = 0.6$$

 $x-2y = -3$

$$30. \quad -0.1x + 0.2y = 0.6$$
$$5x - 10y = 1$$

For the following exercises, solve each system by any method.

31.
$$5x + 9y = 16$$

 $x + 2y = 4$

32.
$$6x-8y = -0.6$$

 $3x + 2y = 0.9$

33.
$$5x-2y = 2.25$$

 $7x-4y = 3$

34.
$$x - \frac{5}{12}y = -\frac{55}{12}$$
$$-6x + \frac{5}{2}y = \frac{55}{2}$$

35.
$$7x-4y = \frac{7}{6}$$
$$2x + 4y = \frac{1}{3}$$

36.
$$3x + 6y = 11$$

 $2x + 4y = 9$

37.
$$\frac{7}{3}x - \frac{1}{6}y = 2$$
$$-\frac{21}{6}x + \frac{3}{12}y = -3$$

38.
$$\frac{1}{2}x + \frac{1}{3}y = \frac{1}{3}$$

 $\frac{3}{2}x + \frac{1}{4}y = -\frac{1}{8}$

39.
$$2.2x + 1.3y = -0.1$$

 $4.2x + 4.2y = 2.1$

40.
$$0.1x + 0.2y = 2$$

 $0.35x - 0.3y = 0$

Graphical

For the following exercises, graph the system of equations and state whether the system is consistent, inconsistent, or dependent and whether the system has one solution, no solution, or infinite solutions.

$$3x - y = 0.6$$
$$x - 2y = 1.3$$

$$42. \quad -x + 2y = 4$$
$$2x - 4y = 1$$

43.
$$x + 2y = 7$$

 $2x + 6y = 12$

44.
$$3x-5y = 7$$

 $x-2y = 3$

45.
$$3x-2y = 5$$

 $-9x + 6y = -15$

Technology

For the following exercises, use the intersect function on a graphing device to solve each system. Round all answers to the nearest hundredth.

46.
$$0.1x + 0.2y = 0.3$$

 $-0.3x + 0.5y = 1$

47.
$$-0.01x + 0.12y = 0.62$$

 $0.15x + 0.20y = 0.52$

48.
$$0.5x + 0.3y = 4$$

 $0.25x - 0.9y = 0.46$

49.
$$0.15x + 0.27y = 0.39$$

 $-0.34x + 0.56y = 1.8$

50.
$$-0.71x + 0.92y = 0.13$$

 $0.83x + 0.05y = 2.1$

Extensions

For the following exercises, solve each system in terms of A, B, C, D, E, and F where A - F are nonzero numbers. Note that $A \neq B$ and $AE \neq BD$.

51.
$$x + y = A$$
$$x - y = B$$

$$52. \quad x + Ay = 1$$
$$x + By = 1$$

53.
$$Ax + y = 0$$

 $Bx + y = 1$

$$54. \quad Ax + By = C$$
$$x + y = 1$$

55.
$$Ax + By = C$$

 $Dx + Ey = F$

Real-World Applications

For the following exercises, solve for the desired quantity.

- 56. A stuffed animal business has a total cost of production C = 12x + 30 and a revenue function R = 20x. Find the break-even point.
- 57. A fast-food restaurant has a cost of production C(x) = 11x + 120 and a revenue function R(x) = 5x. When does the company start to turn a profit?
- 58. A cell phone factory has a cost of production C(x) = 150x + 10, 000 and a revenue function R(x) = 200x. What is the break-even point?
- 59. A musician charges C(x) = 64x + 20,000, where x is the total number of attendees at the concert. The venue charges \$80 per ticket. After how many people buy tickets does the venue break even, and what is the value of the total tickets sold at that point?
- 60. A guitar factory has a cost of production C(x) = 75x + 50,000. If the company needs to break even after 150 units sold, at what price should they sell each guitar? Round up to the nearest dollar, and write the revenue function.

For the following exercises, use a system of linear equations with two variables and two equations to solve.

- 61. Find two numbers whose sum is 28 and difference is 13.
- 62. A number is 9 more than another number. Twice the sum of the two numbers is 10. Find the two numbers.
- 63. The startup cost for a restaurant is \$120,000, and each meal costs \$10 for the restaurant to make. If each meal is then sold for \$15, after how many meals does the restaurant break even?
- 64. A moving company charges a flat rate of \$150, and an additional \$5 for each box. If a taxi service would charge \$20 for each box, how many boxes would you need for it to be cheaper to use the moving company, and what would be the total cost?
- 65. A total of 1,595 first- and second-year college students gathered at a pep rally. The number of freshmen exceeded the number of sophomores by 15. How many freshmen and sophomores were in attendance?
- 66. 276 students enrolled in a freshman-level chemistry class. By the end of the semester, 5 times the number of

- students passed as failed. Find the number of students who passed, and the number of students who failed.
- 67. There were 130 faculty at a conference. If there were 18 more women than men attending, how many of each gender attended the conference?
- 68. A jeep and BMW enter a highway running east-west at the same exit heading in opposite directions. The jeep entered the highway 30 minutes before the BMW did, and traveled 7 mph slower than the BMW. After 2 hours from the time the BMW entered the highway, the cars were 306.5 miles apart. Find the speed of each car, assuming they were driven on cruise control.
- 69. If a scientist mixed 10% saline solution with 60% saline solution to get 25 gallons of 40% saline solution, how many gallons of 10% and 60% solutions were mixed?
- 70. An investor earned triple the profits of what she earned last year. If she made \$500,000.48 total for both years, how much did she earn in profits each year?
- 71. An investor who dabbles in real estate invested 1.1 million dollars into two land investments. On the first investment, Swan Peak, her return was a 110% increase on the money she invested. On the second investment, Riverside Community, she earned 50% over what she invested. If she earned \$1 million in profits, how much did she invest in each of the land deals?
- 72. If an investor invests a total of \$25,000 into two bonds, one that pays 3% simple interest, and the other that pays $2\frac{7}{8}$ % interest, and the investor earns \$737.50 annual interest, how much was invested in each account?
- 73. If an investor invests \$23,000 into two bonds, one that pays 4% in simple interest, and the other paying 2% simple interest, and the investor earns \$710.00 annual interest, how much was invested in each account?
- 74. CDs cost \$5.96 more than DVDs at All Bets Are Off Electronics. How much would 6 CDs and 2 DVDs cost if 5 CDs and 2 DVDs cost \$127.73?
- 75. A store clerk sold 60 pairs of sneakers. The high-tops sold for \$98.99 and the low-tops sold for \$129.99. If the receipts for the two types of sales totaled \$6,404.40, how many of each type of sneaker were sold?
- 76. A concert manager counted 350 ticket receipts the day after a concert. The price for a student ticket was \$12.50, and the price for an adult ticket was \$16.00. The register confirms that \$5,075 was taken in. How many student tickets and adult tickets were sold?
- 77. Admission into an amusement park for 4 children and 2 adults is \$116.90. For 6 children and 3 adults, the admission is \$175.35. Assuming a different price for children and

adults, what is the price of the child's ticket and the price of the adult ticket?

9.2 | Systems of Linear Equations: Three Variables

Learning Objectives

In this section, you will:

- 9.2.1 Solve systems of three equations in three variables.
- 9.2.2 Identify inconsistent systems of equations containing three variables.
- 9.2.3 Express the solution of a system of dependent equations containing three variables.



Figure 9.14 (credit: "Elembis," Wikimedia Commons)

John received an inheritance of \$12,000 that he divided into three parts and invested in three ways: in a money-market fund paying 3% annual interest; in municipal bonds paying 4% annual interest; and in mutual funds paying 7% annual interest. John invested \$4,000 more in municipal funds than in municipal bonds. He earned \$670 in interest the first year. How much did John invest in each type of fund?

Understanding the correct approach to setting up problems such as this one makes finding a solution a matter of following a pattern. We will solve this and similar problems involving three equations and three variables in this section. Doing so uses similar techniques as those used to solve systems of two equations in two variables. However, finding solutions to systems of three equations requires a bit more organization and a touch of visual gymnastics.

Solving Systems of Three Equations in Three Variables

In order to solve systems of equations in three variables, known as three-by-three systems, the primary tool we will be using is called Gaussian elimination, named after the prolific German mathematician Karl Friedrich Gauss. While there is no definitive order in which operations are to be performed, there are specific guidelines as to what type of moves can be made. We may number the equations to keep track of the steps we apply. The goal is to eliminate one variable at a time to achieve upper triangular form, the ideal form for a three-by-three system because it allows for straightforward back-substitution to find a solution (x, y, z), which we call an ordered triple. A system in upper triangular form looks like the following:

$$Ax + By + Cz = D$$
$$Ey + Fz = G$$
$$Hz = K$$

The third equation can be solved for z, and then we back-substitute to find y and x. To write the system in upper triangular form, we can perform the following operations:

- 1. Interchange the order of any two equations.
- 2. Multiply both sides of an equation by a nonzero constant.
- 3. Add a nonzero multiple of one equation to another equation.

The **solution set** to a three-by-three system is an ordered triple $\{(x, y, z)\}$. Graphically, the ordered triple defines the point that is the intersection of three planes in space. You can visualize such an intersection by imagining any corner in a rectangular room. A corner is defined by three planes: two adjoining walls and the floor (or ceiling). Any point where two walls and the floor meet represents the intersection of three planes.

Number of Possible Solutions

Figure 9.15 and **Figure 9.16** illustrate possible solution scenarios for three-by-three systems.

- Systems that have a single solution are those which, after elimination, result in a **solution set** consisting of an ordered triple {(*x*, *y*, *z*)}. Graphically, the ordered triple defines a point that is the intersection of three planes in space.
- Systems that have an infinite number of solutions are those which, after elimination, result in an expression that is always true, such as 0 = 0. Graphically, an infinite number of solutions represents a line or coincident plane that serves as the intersection of three planes in space.
- Systems that have no solution are those that, after elimination, result in a statement that is a contradiction, such as 3 = 0. Graphically, a system with no solution is represented by three planes with no point in common.

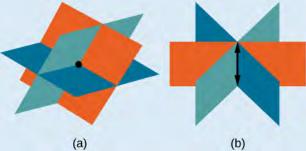


Figure 9.15 (a)Three planes intersect at a single point, representing a three-by-three system with a single solution. (b) Three planes intersect in a line, representing a three-by-three system with infinite solutions.

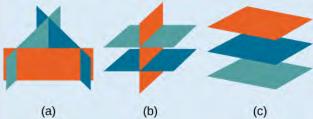


Figure 9.16 All three figures represent three-by-three systems with no solution. (a) The three planes intersect with each other, but not at a common point. (b) Two of the planes are parallel and intersect with the third plane, but not with each other. (c) All three planes are parallel, so there is no point of intersection.

Example 9.12

Determining Whether an Ordered Triple Is a Solution to a System

Determine whether the ordered triple (3, -2, 1) is a solution to the system.

$$x + y + z = 2$$

$$6x - 4y + 5z = 31$$

$$5x + 2y + 2z = 13$$

Solution

We will check each equation by substituting in the values of the ordered triple for x, y, and z.

$$x + y + z = 2$$
 $6x-4y+5z = 31$ $5x + 2y + 2z = 13$ $5(3) + (-2) + (1) = 2$ $6(3)-4(-2) + 5(1) = 31$ $5(3) + 2(-2) + 2(1) = 13$ True $18 + 8 + 5 = 31$ $15-4+2=13$ True

The ordered triple (3, -2, 1) is indeed a solution to the system.



Given a linear system of three equations, solve for three unknowns.

- 1. Pick any pair of equations and solve for one variable.
- 2. Pick another pair of equations and solve for the same variable.
- 3. You have created a system of two equations in two unknowns. Solve the resulting two-by-two system.
- 4. Back-substitute known variables into any one of the original equations and solve for the missing variable.

Example 9.13

Solving a System of Three Equations in Three Variables by Elimination

Find a solution to the following system:

$$x-2y+3z = 9$$
 (1)
 $-x+3y-z = -6$ (2)
 $2x-5y+5z = 17$ (3)

Solution

There will always be several choices as to where to begin, but the most obvious first step here is to eliminate x by adding equations (1) and (2).

$$x - 2y + 3z = 9 (1)$$

$$-x + 3y - z = -6 (2)$$

$$y + 2z = 3 (3)$$

The second step is multiplying equation (1) by -2 and adding the result to equation (3). These two steps will eliminate the variable x.

$$-2x + 4y - 6z = -18$$
 (1) multiplied by -2

$$2x - 5y + 5z = 17$$
 (3)

$$-y - z = -1$$
 (5)

In equations (4) and (5), we have created a new two-by-two system. We can solve for z by adding the two equations.

$$y + 2z = 3$$
 (4)
 $-y - z = -1$ (5)
 $z = 2$ (6)

Choosing one equation from each new system, we obtain the upper triangular form:

$$x-2y + 3z = 9$$
 (1)
 $y + 2z = 3$ (4)
 $z = 2$ (6)

Next, we back-substitute z = 2 into equation (4) and solve for y.

$$y + 2(2) = 3$$
$$y + 4 = 3$$
$$y = -1$$

Finally, we can back-substitute z = 2 and y = -1 into equation (1). This will yield the solution for x.

$$x-2(-1) + 3(2) = 9$$
$$x + 2 + 6 = 9$$
$$x = 1$$

The solution is the ordered triple (1, -1, 2). See **Figure 9.17**.

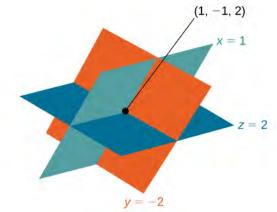


Figure 9.17

Example 9.14

Solving a Real-World Problem Using a System of Three Equations in Three Variables

In the problem posed at the beginning of the section, John invested his inheritance of \$12,000 in three different funds: part in a money-market fund paying 3% interest annually; part in municipal bonds paying 4% annually; and the rest in mutual funds paying 7% annually. John invested \$4,000 more in mutual funds than he invested in municipal bonds. The total interest earned in one year was \$670. How much did he invest in each type of fund?

Solution

To solve this problem, we use all of the information given and set up three equations. First, we assign a variable to each of the three investment amounts:

x = amount invested in money-market fund

y = amount invested in municipal bonds

z = amount invested in mutual funds

The first equation indicates that the sum of the three principal amounts is \$12,000.

$$x + y + z = 12,000$$

We form the second equation according to the information that John invested \$4,000 more in mutual funds than he invested in municipal bonds.

$$z = y + 4,000$$

The third equation shows that the total amount of interest earned from each fund equals \$670.

$$0.03x + 0.04y + 0.07z = 670$$

Then, we write the three equations as a system.

$$x + y + z = 12,000$$
$$- y + z = 4,000$$
$$0.03x + 0.04y + 0.07z = 670$$

To make the calculations simpler, we can multiply the third equation by 100. Thus,

$$x + y + z = 12,000$$
 (1)
 $-y + z = 4,000$ (2)
 $3x + 4y + 7z = 67,000$ (3)

Step 1. Interchange equation (2) and equation (3) so that the two equations with three variables will line up.

$$x + y + z = 12,000$$

 $3x + 4y + 7z = 67,000$
 $-y + z = 4,000$

Step 2. Multiply equation (1) by -3 and add to equation (2). Write the result as row 2.

$$x + y + z = 12,000$$
$$y + 4z = 31,000$$
$$- y + z = 4,000$$

Step 3. Add equation (2) to equation (3) and write the result as equation (3).

$$x + y + z = 12,000$$

 $y + 4z = 31,000$
 $5z = 35,000$

Step 4. Solve for z in equation (3). Back-substitute that value in equation (2) and solve for y. Then, back-substitute the values for z and y into equation (1) and solve for x.

$$5z = 35,000$$

$$z = 7,000$$

$$y + 4(7,000) = 31,000$$

$$y = 3,000$$

$$x + 3,000 + 7,000 = 12,000$$

$$x = 2,000$$

John invested \$2,000 in a money-market fund, \$3,000 in municipal bonds, and \$7,000 in mutual funds.



9.9 Solve the system of equations in three variables.

$$2x + y-2z = -1$$
$$3x-3y - z = 5$$
$$x-2y + 3z = 6$$

Identifying Inconsistent Systems of Equations Containing Three Variables

Just as with systems of equations in two variables, we may come across an inconsistent system of equations in three variables, which means that it does not have a solution that satisfies all three equations. The equations could represent three parallel planes, two parallel planes and one intersecting plane, or three planes that intersect the other two but not at the same location. The process of elimination will result in a false statement, such as 3 = 7 or some other contradiction.

Example 9.15

Solving an Inconsistent System of Three Equations in Three Variables

Solve the following system.

$$x-3y+z=4$$
 (1)
 $-x+2y-5z=3$ (2)
 $5x-13y+13z=8$ (3)

Solution

Looking at the coefficients of x, we can see that we can eliminate x by adding equation (1) to equation (2).

$$x-3y+z=4 (1)$$

$$-x+2y-5z=3 (2)$$

$$-y-4z=7 (4)$$

Next, we multiply equation (1) by -5 and add it to equation (3).

$$-5x + 15y - 5z = -20 (1) \text{ multiplied by } -5$$

$$5x - 13y + 13z = 8 (3)$$

$$2y + 8z = -12 (5)$$

Then, we multiply equation (4) by 2 and add it to equation (5).

$$-2y - 8z = 14$$
 (4) multiplied by 2
 $2y + 8z = -12$ (5)
 $0 = 2$

The final equation 0 = 2 is a contradiction, so we conclude that the system of equations in inconsistent and, therefore, has no solution.

Analysis

In this system, each plane intersects the other two, but not at the same location. Therefore, the system is inconsistent.



9.10 Solve the system of three equations in three variables.

$$x + y + z = 2$$
$$y-3z = 1$$
$$2x + y + 5z = 0$$

Expressing the Solution of a System of Dependent Equations Containing Three Variables

We know from working with systems of equations in two variables that a dependent system of equations has an infinite number of solutions. The same is true for dependent systems of equations in three variables. An infinite number of solutions can result from several situations. The three planes could be the same, so that a solution to one equation will be the solution to the other two equations. All three equations could be different but they intersect on a line, which has infinite solutions. Or two of the equations could be the same and intersect the third on a line.

Example 9.16

Finding the Solution to a Dependent System of Equations

Find the solution to the given system of three equations in three variables.

$$2x + y - 3z = 0 (1)$$

$$4x + 2y - 6z = 0 (2)$$

$$x - y + z = 0 (3)$$

Solution

First, we can multiply equation (1) by -2 and add it to equation (2).

$$-4x-2y+6z = 0 equation (1) multiplied by -2$$
$$4x+2y-6z = 0 (2)$$
$$0 = 0$$

We do not need to proceed any further. The result we get is an identity, 0 = 0, which tells us that this system has an infinite number of solutions. There are other ways to begin to solve this system, such as multiplying equation (3) by -2, and adding it to equation (1). We then perform the same steps as above and find the same result, 0 = 0

When a system is dependent, we can find general expressions for the solutions. Adding equations (1) and (3), we have

$$2x + y - 3z = 0$$
$$x - y + z = 0$$
$$3x - 2z = 0$$

We then solve the resulting equation for z.

$$3x - 2z = 0$$
$$z = \frac{3}{2}x$$

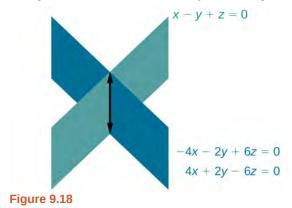
We back-substitute the expression for z into one of the equations and solve for y.

$$2x + y - 3\left(\frac{3}{2}x\right) = 0$$
$$2x + y - \frac{9}{2}x = 0$$
$$y = \frac{9}{2}x - 2x$$
$$y = \frac{5}{2}x$$

So the general solution is $\left(x, \frac{5}{2}x, \frac{3}{2}x\right)$. In this solution, x can be any real number. The values of y and z are dependent on the value selected for x.

Analysis

As shown in **Figure 9.18**, two of the planes are the same and they intersect the third plane on a line. The solution set is infinite, as all points along the intersection line will satisfy all three equations.



Q₁

Does the generic solution to a dependent system always have to be written in terms of x?

No, you can write the generic solution in terms of any of the variables, but it is common to write it in terms of x and if needed x and y.



9.11 Solve the following system.

$$x + y + z = 7$$
$$3x - 2y - z = 4$$
$$x + 6y + 5z = 24$$



Access these online resources for additional instruction and practice with systems of equations in three variables.

- Ex 1: System of Three Equations with Three Unknowns Using Elimination (http://openstaxcollege.org/l/systhree)
- Ex. 2: System of Three Equations with Three Unknowns Using Elimination (http://openstaxcollege.org/l/systhelim)

9.2 EXERCISES

Verbal

78. Can a linear system of three equations have exactly two solutions? Explain why or why not

79. If a given ordered triple solves the system of equations, is that solution unique? If so, explain why. If not, give an example where it is not unique.

80. If a given ordered triple does not solve the system of equations, is there no solution? If so, explain why. If not, give an example.

81. Using the method of addition, is there only one way to solve the system?

82. Can you explain whether there can be only one method to solve a linear system of equations? If yes, give an example of such a system of equations. If not, explain why not.

Algebraic

For the following exercises, determine whether the ordered triple given is the solution to the system of equations.

83.
$$2x-6y+6z = -12$$

 $x + 4y + 5z = -1$ and $(0, 1, -1)$
 $-x + 2y + 3z = -1$

84.
$$6x - y + 3z = 6$$

 $3x + 5y + 2z = 0$ and $(3, -3, -5)$
 $x + y = 0$

85.
$$6x-7y+z=2$$

 $-x-y+3z=4$ and $(4, 2, -6)$
 $2x+y-z=1$

86.
$$x - y = 0$$

 $x - z = 5$ and $(4, 4, -1)$
 $x - y + z = -1$

87.
$$-x - y + 2z = 3$$

 $5x + 8y - 3z = 4$ and $(4, 1, -7)$
 $-x + 3y - 5z = -5$

For the following exercises, solve each system by substitution.

88.
$$3x-4y+2z = -15$$

 $2x + 4y + z = 16$
 $2x + 3y + 5z = 20$

89.

$$5x-2y + 3z = 20$$
$$2x-4y-3z = -9$$
$$x + 6y-8z = 21$$

90.
$$5x + 2y + 4z = 9$$

 $-3x + 2y + z = 10$
 $4x-3y+5z = -3$

91.
$$4x-3y + 5z = 31$$

 $-x + 2y + 4z = 20$
 $x + 5y-2z = -29$

92.
$$5x-2y + 3z = 4$$

 $-4x + 6y-7z = -1$
 $3x + 2y - z = 4$

93.
$$4x + 6y + 9z = 0$$
$$-5x + 2y - 6z = 3$$
$$7x - 4y + 3z = -3$$

For the following exercises, solve each system by Gaussian elimination.

94.
$$2x - y + 3z = 17$$

 $-5x + 4y - 2z = -46$
 $2y + 5z = -7$

95.
$$5x-6y+3z = 50$$

 $-x+4y = 10$
 $2x-z = 10$

96.
$$2x + 3y - 6z = 1$$
$$-4x - 6y + 12z = -2$$
$$x + 2y + 5z = 10$$

97.
$$4x + 6y - 2z = 8$$

 $6x + 9y - 3z = 12$
 $-2x - 3y + z = -4$

98.
$$2x + 3y-4z = 5$$

 $-3x + 2y + z = 11$
 $-x + 5y + 3z = 4$

99.
$$10x + 2y - 14z = 8$$
$$-x - 2y - 4z = -1$$
$$-12x - 6y + 6z = -12$$

100.

$$x + y + z = 14$$
$$2y + 3z = -14$$
$$-16y-24z = -112$$

101.
$$5x-3y+4z = -1$$

 $-4x + 2y-3z = 0$
 $-x + 5y + 7z = -11$

102.
$$x + y + z = 0$$
$$2x - y + 3z = 0$$
$$x - z = 0$$

103.
$$3x + 2y - 5z = 6$$

 $5x - 4y + 3z = -12$
 $4x + 5y - 2z = 15$

104.
$$x + y + z = 0$$
$$2x - y + 3z = 0$$
$$x - z = 1$$

105.
$$3x - \frac{1}{2}y - z = -\frac{1}{2}$$

 $4x + z = 3$
 $-x + \frac{3}{2}y = \frac{5}{2}$

106.
$$6x-5y+6z = 38$$
$$\frac{1}{5}x - \frac{1}{2}y + \frac{3}{5}z = 1$$
$$-4x - \frac{3}{2}y - z = -74$$

107.
$$\frac{1}{2}x - \frac{1}{5}y + \frac{2}{5}z = -\frac{13}{10}$$
$$\frac{1}{4}x - \frac{2}{5}y - \frac{1}{5}z = -\frac{7}{20}$$
$$-\frac{1}{2}x - \frac{3}{4}y - \frac{1}{2}z = -\frac{5}{4}$$

108.
$$-\frac{1}{3}x - \frac{1}{2}y - \frac{1}{4}z = \frac{3}{4}$$
$$-\frac{1}{2}x - \frac{1}{4}y - \frac{1}{2}z = 2$$
$$-\frac{1}{4}x - \frac{3}{4}y - \frac{1}{2}z = -\frac{1}{2}$$

109.
$$\frac{1}{2}x - \frac{1}{4}y + \frac{3}{4}z = 0$$
$$\frac{1}{4}x - \frac{1}{10}y + \frac{2}{5}z = -2$$
$$\frac{1}{8}x + \frac{1}{5}y - \frac{1}{8}z = 2$$

$$\frac{4}{5}x - \frac{7}{8}y + \frac{1}{2}z = 1$$
$$-\frac{4}{5}x - \frac{3}{4}y + \frac{1}{3}z = -8$$
$$-\frac{2}{5}x - \frac{7}{8}y + \frac{1}{2}z = -5$$

111.
$$-\frac{1}{3}x - \frac{1}{8}y + \frac{1}{6}z = -\frac{4}{3}$$
$$-\frac{2}{3}x - \frac{7}{8}y + \frac{1}{3}z = -\frac{23}{3}$$
$$-\frac{1}{3}x - \frac{5}{8}y + \frac{5}{6}z = 0$$

112.
$$-\frac{1}{4}x - \frac{5}{4}y + \frac{5}{2}z = -5$$
$$-\frac{1}{2}x - \frac{5}{3}y + \frac{5}{4}z = \frac{55}{12}$$
$$-\frac{1}{3}x - \frac{1}{3}y + \frac{1}{3}z = \frac{5}{3}$$

113.
$$\frac{1}{40}x + \frac{1}{60}y + \frac{1}{80}z = \frac{1}{100}$$
$$-\frac{1}{2}x - \frac{1}{3}y - \frac{1}{4}z = -\frac{1}{5}$$
$$\frac{3}{8}x + \frac{3}{12}y + \frac{3}{16}z = \frac{3}{20}$$

114.
$$0.1x-0.2y + 0.3z = 2$$

 $0.5x-0.1y + 0.4z = 8$
 $0.7x-0.2y + 0.3z = 8$

115.
$$0.2x + 0.1y - 0.3z = 0.2$$

 $0.8x + 0.4y - 1.2z = 0.1$
 $1.6x + 0.8y - 2.4z = 0.2$

116.
$$1.1x + 0.7y - 3.1z = -1.79$$

 $2.1x + 0.5y - 1.6z = -0.13$
 $0.5x + 0.4y - 0.5z = -0.07$

117.
$$0.5x-0.5y + 0.5z = 10$$

 $0.2x-0.2y + 0.2z = 4$
 $0.1x-0.1y + 0.1z = 2$

118.
$$0.1x + 0.2y + 0.3z = 0.37$$

 $0.1x - 0.2y - 0.3z = -0.27$
 $0.5x - 0.1y - 0.3z = -0.03$

119.
$$0.5x-0.5y-0.3z = 0.13$$

 $0.4x-0.1y-0.3z = 0.11$
 $0.2x-0.8y-0.9z = -0.32$

120.
$$0.5x + 0.2y - 0.3z = 1$$

 $0.4x - 0.6y + 0.7z = 0.8$
 $0.3x - 0.1y - 0.9z = 0.6$

121.
$$0.3x + 0.3y + 0.5z = 0.6$$

 $0.4x + 0.4y + 0.4z = 1.8$
 $0.4x + 0.2y + 0.1z = 1.6$

122.
$$0.8x + 0.8y + 0.8z = 2.4$$

 $0.3x - 0.5y + 0.2z = 0$
 $0.1x + 0.2y + 0.3z = 0.6$

Extensions

For the following exercises, solve the system for x, y, and z.

123.
$$x + y + z = 3$$
$$\frac{x-1}{2} + \frac{y-3}{2} + \frac{z+1}{2} = 0$$
$$\frac{x-2}{3} + \frac{y+4}{3} + \frac{z-3}{3} = \frac{2}{3}$$

124.
$$5x-3y - \frac{z+1}{2} = \frac{1}{2}$$

 $6x + \frac{y-9}{2} + 2z = -3$
 $\frac{x+8}{2} - 4y + z = 4$

125.
$$\frac{x+4}{7} - \frac{y-1}{6} + \frac{z+2}{3} = 1$$
$$\frac{x-2}{4} + \frac{y+1}{8} - \frac{z+8}{12} = 0$$
$$\frac{x+6}{3} - \frac{y+2}{3} + \frac{z+4}{2} = 3$$

126.
$$\frac{x-3}{6} + \frac{y+2}{2} - \frac{z-3}{3} = 2$$
$$\frac{x+2}{4} + \frac{y-5}{2} + \frac{z+4}{2} = 1$$
$$\frac{x+6}{2} - \frac{y-3}{2} + z + 1 = 9$$

127.
$$\frac{x-1}{3} + \frac{y+3}{4} + \frac{z+2}{6} = 1$$
$$4x + 3y - 2z = 11$$
$$0.02x + 0.015y - 0.01z = 0.065$$

Real-World Applications

- 128. Three even numbers sum up to 108. The smaller is half the larger and the middle number is $\frac{3}{4}$ the larger. What are the three numbers?
- 129. Three numbers sum up to 147. The smallest number is half the middle number, which is half the largest number. What are the three numbers?
- 130. At a family reunion, there were only blood relatives, consisting of children, parents, and grandparents, in

attendance. There were 400 people total. There were twice as many parents as grandparents, and 50 more children than parents. How many children, parents, and grandparents were in attendance?

- 131. An animal shelter has a total of 350 animals comprised of cats, dogs, and rabbits. If the number of rabbits is 5 less than one-half the number of cats, and there are 20 more cats than dogs, how many of each animal are at the shelter?
- 132. Your roommate, Sarah, offered to buy groceries for you and your other roommate. The total bill was \$82. She forgot to save the individual receipts but remembered that your groceries were \$0.05 cheaper than half of her groceries, and that your other roommate's groceries were \$2.10 more than your groceries. How much was each of your share of the groceries?
- 133. Your roommate, John, offered to buy household supplies for you and your other roommate. You live near the border of three states, each of which has a different sales tax. The total amount of money spent was \$100.75. Your supplies were bought with 5% tax, John's with 8% tax, and your third roommate's with 9% sales tax. The total amount of money spent without taxes is \$93.50. If your supplies before tax were \$1 more than half of what your third roommate's supplies were before tax, how much did each of you spend? Give your answer both with and without taxes.
- 134. Three coworkers work for the same employer. Their jobs are warehouse manager, office manager, and truck driver. The sum of the annual salaries of the warehouse manager and office manager is \$82,000. The office manager makes \$4,000 more than the truck driver annually. The annual salaries of the warehouse manager and the truck driver total \$78,000. What is the annual salary of each of the co-workers?
- 135. At a carnival, \$2,914.25 in receipts were taken at the end of the day. The cost of a child's ticket was \$20.50, an adult ticket was \$29.75, and a senior citizen ticket was \$15.25. There were twice as many senior citizens as adults in attendance, and 20 more children than senior citizens. How many children, adult, and senior citizen tickets were
- 136. A local band sells out for their concert. They sell all 1,175 tickets for a total purse of \$28,112.50. The tickets were priced at \$20 for student tickets, \$22.50 for children, and \$29 for adult tickets. If the band sold twice as many adult as children tickets, how many of each type was sold?
- 137. In a bag, a child has 325 coins worth \$19.50. There were three types of coins: pennies, nickels, and dimes. If the bag contained the same number of nickels as dimes, how many of each type of coin was in the bag?

138.

Last year, at Haven's Pond Car Dealership, for a particular model of BMW, Jeep, and Toyota, one could purchase all three cars for a total of \$140,000. This year, due to inflation, the same cars would cost \$151,830. The cost of the BMW increased by 8%, the Jeep by 5%, and the Toyota by 12%. If the price of last year's Jeep was \$7,000 less than the price of last year's BMW, what was the price of each of the three cars last year?

139. A recent college graduate took advantage of his business education and invested in three investments immediately after graduating. He invested \$80,500 into three accounts, one that paid 4% simple interest, one that paid $3\frac{1}{8}\%$ simple interest, and one that paid $2\frac{1}{2}\%$ simple

interest. He earned \$2,670 interest at the end of one year. If the amount of the money invested in the second account was four times the amount invested in the third account, how much was invested in each account?

- 140. You inherit one million dollars. You invest it all in three accounts for one year. The first account pays 3% compounded annually, the second account pays 4% compounded annually, and the third account pays 2% compounded annually. After one year, you earn \$34,000 in interest. If you invest four times the money into the account that pays 3% compared to 2%, how much did you invest in each account?
- 141. You inherit one hundred thousand dollars. You invest it all in three accounts for one year. The first account pays 4% compounded annually, the second account pays 3% compounded annually, and the third account pays 2% compounded annually. After one year, you earn \$3,650 in interest. If you invest five times the money in the account that pays 4% compared to 3%, how much did you invest in each account?
- 142. The top three countries in oil consumption in a certain year are as follows: the United States, Japan, and China. In millions of barrels per day, the three top countries consumed 39.8% of the world's consumed oil. The United States consumed 0.7% more than four times China's consumption. The United States consumed 5% more than triple Japan's consumption. What percent of the world oil consumption did the United States, Japan, and China consume?^[1]
- 143. The top three countries in oil production in the same year are Saudi Arabia, the United States, and Russia. In millions of barrels per day, the top three countries produced 31.4% of the world's produced oil. Saudi Arabia and the United States combined for 22.1% of the world's

production, and Saudi Arabia produced 2% more oil than Russia. What percent of the world oil production did Saudi Arabia, the United States, and Russia produce?^[2]

- 144. The top three sources of oil imports for the United States in the same year were Saudi Arabia, Mexico, and Canada. The three top countries accounted for 47% of oil imports. The United States imported 1.8% more from Saudi Arabia than they did from Mexico, and 1.7% more from Saudi Arabia than they did from Canada. What percent of the United States oil imports were from these three countries?^[3]
- 145. The top three oil producers in the United States in a certain year are the Gulf of Mexico, Texas, and Alaska. The three regions were responsible for 64% of the United States oil production. The Gulf of Mexico and Texas combined for 47% of oil production. Texas produced 3% more than Alaska. What percent of United States oil production came from these regions?^[4]
- 146. At one time, in the United States, 398 species of animals were on the endangered species list. The top groups were mammals, birds, and fish, which comprised 55% of the endangered species. Birds accounted for 0.7% more than fish, and fish accounted for 1.5% more than mammals. What percent of the endangered species came from mammals, birds, and fish?
- 147. Meat consumption in the United States can be broken into three categories: red meat, poultry, and fish. If fish makes up 4% less than one-quarter of poultry consumption, and red meat consumption is 18.2% higher than poultry consumption, what are the percentages of meat consumption?^[5]

^{1. &}quot;Oil reserves, production and consumption in 2001," accessed April 6, 2014, http://scaruffi.com/politics/oil.html.

^{2. &}quot;Oil reserves, production and consumption in 2001," accessed April 6, 2014, http://scaruffi.com/politics/oil.html.

^{3. &}quot;Oil reserves, production and consumption in 2001," accessed April 6, 2014, http://scaruffi.com/politics/oil.html.

^{4. &}quot;USA: The coming global oil crisis," accessed April 6, 2014, http://www.oilcrisis.com/us/.

^{5. &}quot;The United States Meat Industry at a Glance," accessed April 6, 2014, http://www.meatami.com/ht/d/sp/i/47465/pid/47465.

9.3 | Systems of Nonlinear Equations and Inequalities: Two Variables

Learning Objectives

In this section, you will:

- **9.3.1** Solve a system of nonlinear equations using substitution.
- **9.3.2** Solve a system of nonlinear equations using elimination.
- 9.3.3 Graph a nonlinear inequality.
- 9.3.4 Graph a system of nonlinear inequalities.

Halley's Comet (**Figure 9.19**) orbits the sun about once every 75 years. Its path can be considered to be a very elongated ellipse. Other comets follow similar paths in space. These orbital paths can be studied using systems of equations. These systems, however, are different from the ones we considered in the previous section because the equations are not linear.



Figure 9.19 Halley's Comet (credit: "NASA Blueshift"/Flickr)

In this section, we will consider the intersection of a parabola and a line, a circle and a line, and a circle and an ellipse. The methods for solving systems of nonlinear equations are similar to those for linear equations.

Solving a System of Nonlinear Equations Using Substitution

A **system of nonlinear equations** is a system of two or more equations in two or more variables containing at least one equation that is not linear. Recall that a linear equation can take the form Ax + By + C = 0. Any equation that cannot be written in this form in nonlinear. The substitution method we used for linear systems is the same method we will use for nonlinear systems. We solve one equation for one variable and then substitute the result into the second equation to solve for another variable, and so on. There is, however, a variation in the possible outcomes.

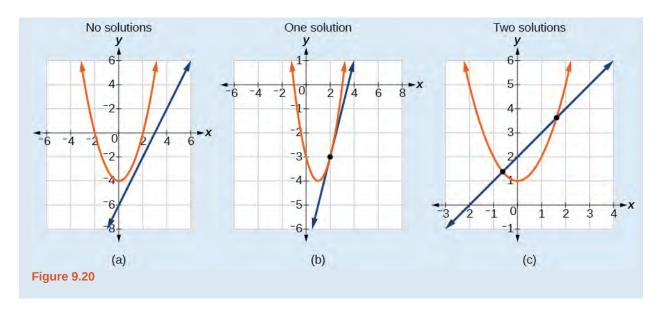
Intersection of a Parabola and a Line

There are three possible types of solutions for a system of nonlinear equations involving a parabola and a line.

Possible Types of Solutions for Points of Intersection of a Parabola and a Line

Figure 9.20 illustrates possible solution sets for a system of equations involving a parabola and a line.

- No solution. The line will never intersect the parabola.
- One solution. The line is tangent to the parabola and intersects the parabola at exactly one point.
- Two solutions. The line crosses on the inside of the parabola and intersects the parabola at two points.





Given a system of equations containing a line and a parabola, find the solution.

- 1. Solve the linear equation for one of the variables.
- 2. Substitute the expression obtained in step one into the parabola equation.
- 3. Solve for the remaining variable.
- 4. Check your solutions in both equations.

Example 9.17

Solving a System of Nonlinear Equations Representing a Parabola and a Line

Solve the system of equations.

$$x - y = -1$$
$$y = x^2 + 1$$

Solution

Solve the first equation for x and then substitute the resulting expression into the second equation.

$$x - y = -1$$

 $x = y - 1$ Solve for x .
 $y = x^2 + 1$
 $y = (y - 1)^2 + 1$ Substitute expression for x .

Expand the equation and set it equal to zero.

$$y = (y-1)^{2}$$

$$= (y^{2}-2y+1)+1$$

$$= y^{2}-2y+2$$

$$0 = y^{2}-3y+2$$

$$= (y-2)(y-1)$$

Solving for y gives y = 2 and y = 1. Next, substitute each value for y into the first equation to solve for x. Always substitute the value into the linear equation to check for extraneous solutions.

$$x - y = -1$$

$$x - (2) = -1$$

$$x = 1$$

$$x - (1) = -1$$

$$x = 0$$

The solutions are (1, 2) and (0, 1), which can be verified by substituting these (x, y) values into both of the original equations. See **Figure 9.21**.

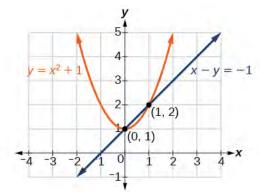


Figure 9.21

Q_{Δ}^{\star}

Could we have substituted values for y into the second equation to solve for x in Example 9.17?

Yes, but because x is squared in the second equation this could give us extraneous solutions for x.

For
$$y = 1$$

$$y = x^{2} + 1$$

$$y = x^{2} + 1$$

$$x^{2} = 0$$

$$x = \pm \sqrt{0} = 0$$

This gives us the same value as in the solution.

For
$$y = 2$$

$$y = x^{2} + 1$$

$$2 = x^{2} + 1$$

$$x^{2} = 1$$

$$x = \pm \sqrt{1} = \pm 1$$

Notice that -1 *is an extraneous solution.*



9.12 Solve the given system of equations by substitution.

$$3x - y = -2$$
$$2x^2 - y = 0$$

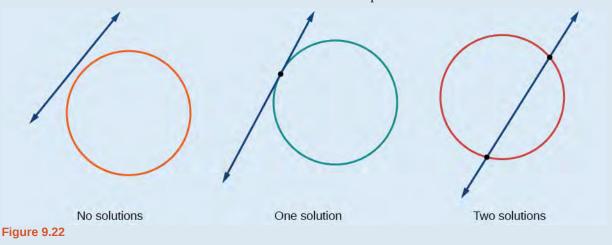
Intersection of a Circle and a Line

Just as with a parabola and a line, there are three possible outcomes when solving a system of equations representing a circle and a line.

Possible Types of Solutions for the Points of Intersection of a Circle and a Line

Figure 9.22 illustrates possible solution sets for a system of equations involving a circle and a line.

- No solution. The line does not intersect the circle.
- One solution. The line is tangent to the circle and intersects the circle at exactly one point.
- Two solutions. The line crosses the circle and intersects it at two points.





Given a system of equations containing a line and a circle, find the solution.

- 1. Solve the linear equation for one of the variables.
- 2. Substitute the expression obtained in step one into the equation for the circle.
- 3. Solve for the remaining variable.
- 4. Check your solutions in both equations.

Example 9.18

Finding the Intersection of a Circle and a Line by Substitution

Find the intersection of the given circle and the given line by substitution.

$$x^2 + y^2 = 5$$
$$y = 3x - 5$$

Solution

One of the equations has already been solved for y. We will substitute y = 3x - 5 into the equation for the circle.

$$x^{2} + (3x-5)^{2} = 5$$
$$x^{2} + 9x^{2} - 30x + 25 = 5$$
$$10x^{2} - 30x + 20 = 0$$

Now, we factor and solve for x.

$$10(x^{2} - 3x + 2) = 0$$
$$10(x - 2)(x - 1) = 0$$
$$x = 2$$
$$x = 1$$

Substitute the two *x*-values into the original linear equation to solve for *y*.

$$y = 3(2) - 5$$
$$= 1$$

$$y = 3(1) - 5$$

= -2

The line intersects the circle at (2, 1) and (1, -2), which can be verified by substituting these (x, y) values into both of the original equations. See **Figure 9.23**.

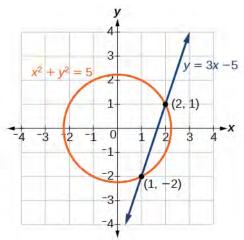


Figure 9.23



9.13 Solve the system of nonlinear equations.

$$x^2 + y^2 = 10$$
$$x - 3y = -10$$

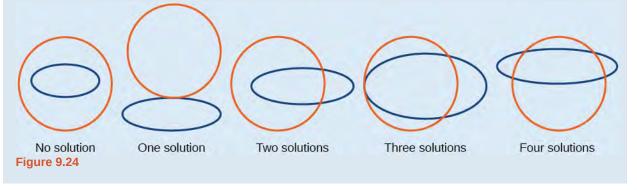
Solving a System of Nonlinear Equations Using Elimination

We have seen that substitution is often the preferred method when a system of equations includes a linear equation and a nonlinear equation. However, when both equations in the system have like variables of the second degree, solving them using elimination by addition is often easier than substitution. Generally, elimination is a far simpler method when the system involves only two equations in two variables (a two-by-two system), rather than a three-by-three system, as there are fewer steps. As an example, we will investigate the possible types of solutions when solving a system of equations representing a circle and an ellipse.

Possible Types of Solutions for the Points of Intersection of a Circle and an Ellipse

Figure 9.24 illustrates possible solution sets for a system of equations involving a circle and an ellipse.

- No solution. The circle and ellipse do not intersect. One shape is inside the other or the circle and the ellipse are a distance away from the other.
- One solution. The circle and ellipse are tangent to each other, and intersect at exactly one point.
- Two solutions. The circle and the ellipse intersect at two points.
- Three solutions. The circle and the ellipse intersect at three points.
- Four solutions. The circle and the ellipse intersect at four points.



Example 9.19

Solving a System of Nonlinear Equations Representing a Circle and an Ellipse

Solve the system of nonlinear equations.

$$x^2 + y^2 = 26$$
 (1)
 $3x^2 + 25y^2 = 100$ (2)

Solution

Let's begin by multiplying equation (1) by -3, and adding it to equation (2).

$$(-3)(x^{2} + y^{2}) = (-3)(26)$$

$$-3x^{2} - 3y^{2} = -78$$

$$3x^{2} + 25y^{2} = 100$$

$$22y^{2} = 22$$

After we add the two equations together, we solve for *y*.

$$y^2 = 1$$
$$y = \pm \sqrt{1} = \pm 1$$

Substitute $y = \pm 1$ into one of the equations and solve for x.

$$x^{2} + (1)^{2} = 26$$

$$x^{2} + 1 = 26$$

$$x^{2} = 25$$

$$x = \pm \sqrt{25} = \pm 5$$

$$x^{2} + (-1)^{2} = 26$$

$$x^{2} + 1 = 26$$

$$x^{2} = 25 = \pm 5$$

There are four solutions: (5, 1), (-5, 1), (5, -1), and (-5, -1). See **Figure 9.25**.

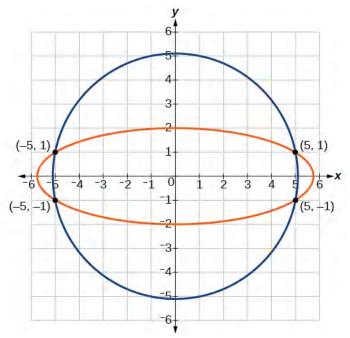


Figure 9.25



9.14 Find the solution set for the given system of nonlinear equations.

$$4x^2 + y^2 = 13$$
$$x^2 + y^2 = 10$$

Graphing a Nonlinear Inequality

All of the equations in the systems that we have encountered so far have involved equalities, but we may also encounter systems that involve inequalities. We have already learned to graph linear inequalities by graphing the corresponding equation, and then shading the region represented by the inequality symbol. Now, we will follow similar steps to graph a nonlinear inequality so that we can learn to solve systems of nonlinear inequalities. A **nonlinear inequality** is an inequality containing a nonlinear expression. Graphing a nonlinear inequality is much like graphing a linear inequality.

Recall that when the inequality is greater than, y > a, or less than, y < a, the graph is drawn with a dashed line. When the inequality is greater than or equal to, $y \ge a$, or less than or equal to, $y \le a$, the graph is drawn with a solid line. The graphs will create regions in the plane, and we will test each region for a solution. If one point in the region works, the whole region works. That is the region we shade. See **Figure 9.26**.

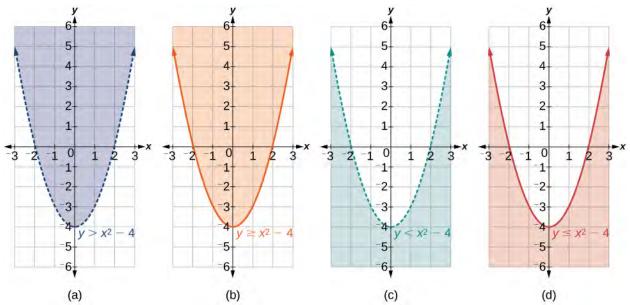


Figure 9.26 (a) an example of y > a; (b) an example of $y \ge a$; (c) an example of y < a; (d) an example of $y \le a$



Given an inequality bounded by a parabola, sketch a graph.

- 1. Graph the parabola as if it were an equation. This is the boundary for the region that is the solution set.
- 2. If the boundary is included in the region (the operator is \leq or \geq), the parabola is graphed as a solid line.
- 3. If the boundary is not included in the region (the operator is < or >), the parabola is graphed as a dashed line
- 4. Test a point in one of the regions to determine whether it satisfies the inequality statement. If the statement is true, the solution set is the region including the point. If the statement is false, the solution set is the region on the other side of the boundary line.
- 5. Shade the region representing the solution set.

Example 9.20

Graphing an Inequality for a Parabola

Graph the inequality $y > x^2 + 1$.

Solution

First, graph the corresponding equation $y = x^2 + 1$. Since $y > x^2 + 1$ has a greater than symbol, we draw the graph with a dashed line. Then we choose points to test both inside and outside the parabola. Let's test the points (0, 2) and (2, 0). One point is clearly inside the parabola and the other point is clearly outside.

$$y > x^{2} + 1$$

 $2 > (0)^{2} + 1$
 $2 > 1$ True
 $0 > (2)^{2} + 1$
 $0 > 5$ False

The graph is shown in **Figure 9.27**. We can see that the solution set consists of all points inside the parabola, but not on the graph itself.

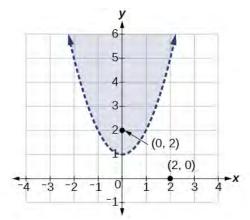


Figure 9.27

Graphing a System of Nonlinear Inequalities

Now that we have learned to graph nonlinear inequalities, we can learn how to graph systems of nonlinear inequalities. A **system of nonlinear inequalities** is a system of two or more inequalities in two or more variables containing at least one inequality that is not linear. Graphing a system of nonlinear inequalities is similar to graphing a system of linear inequalities. The difference is that our graph may result in more shaded regions that represent a solution than we find in a system of linear inequalities. The solution to a nonlinear system of inequalities is the region of the graph where the shaded regions of the graph of each inequality overlap, or where the regions intersect, called the **feasible region**.



Given a system of nonlinear inequalities, sketch a graph.

- 1. Find the intersection points by solving the corresponding system of nonlinear equations.
- 2. Graph the nonlinear equations.
- 3. Find the shaded regions of each inequality.
- 4. Identify the feasible region as the intersection of the shaded regions of each inequality or the set of points common to each inequality.

Example 9.21

Graphing a System of Inequalities

Graph the given system of inequalities.

$$x^2 - y \le 0$$
$$2x^2 + y \le 12$$

Solution

These two equations are clearly parabolas. We can find the points of intersection by the elimination process: Add both equations and the variable y will be eliminated. Then we solve for x.

$$x^{2} - y = 0$$

$$2x^{2} + y = 12$$

$$3x^{2} = 12$$

$$x^{2} = 4$$

$$x = \pm 2$$

Substitute the *x*-values into one of the equations and solve for *y*.

$$x^{2} - y = 0$$

$$(2)^{2} - y = 0$$

$$4 - y = 0$$

$$y = 4$$

$$(-2)^{2} - y = 0$$

$$4 - y = 0$$

$$y = 4$$

The two points of intersection are (2, 4) and (-2, 4). Notice that the equations can be rewritten as follows.

$$x^{2} - y \le 0$$

$$x^{2} \le y$$

$$y \ge x^{2}$$

$$2x^{2} + y \le 12$$

$$y \le -2x^{2} + 12$$

Graph each inequality. See **Figure 9.28**. The feasible region is the region between the two equations bounded by $2x^2 + y \le 12$ on the top and $x^2 - y \le 0$ on the bottom.

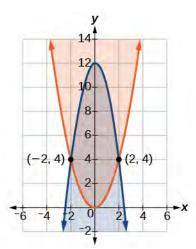


Figure 9.28



9.15 Graph the given system of inequalities.

$$y \ge x^2 - 1$$
$$x - y \ge -1$$



Access these online resources for additional instruction and practice with nonlinear equations.

- Solve a System of Nonlinear Equations Using Substitution (http://openstaxcollege.org/l/nonlinsub)
- Solve a System of Nonlinear Equations Using Elimination (http://openstaxcollege.org/l/nonlinelim)

9.3 EXERCISES

Verbal

148. Explain whether a system of two nonlinear equations can have exactly two solutions. What about exactly three? If not, explain why not. If so, give an example of such a system, in graph form, and explain why your choice gives two or three answers.

149. When graphing an inequality, explain why we only need to test one point to determine whether an entire region is the solution?

150. When you graph a system of inequalities, will there always be a feasible region? If so, explain why. If not, give an example of a graph of inequalities that does not have a feasible region. Why does it not have a feasible region?

151. If you graph a revenue and cost function, explain how to determine in what regions there is profit.

152. If you perform your break-even analysis and there is more than one solution, explain how you would determine which *x*-values are profit and which are not.

Algebraic

For the following exercises, solve the system of nonlinear equations using substitution.

153.
$$x + y = 4$$

 $x^2 + y^2 = 9$

154.
$$y = x-3$$

 $x^2 + y^2 = 9$

155.
$$y = x$$

 $x^2 + y^2 = 9$

156.
$$y = -x$$

 $x^2 + y^2 = 9$

157.
$$x = 2$$

 $x^2 - y^2 = 9$

For the following exercises, solve the system of nonlinear equations using elimination.

158.
$$4x^2 - 9y^2 = 36$$
$$4x^2 + 9y^2 = 36$$

159.
$$x^2 + y^2 = 25$$

 $x^2 - y^2 = 1$

160.

$$2x^2 + 4y^2 = 4$$
$$2x^2 - 4y^2 = 25x - 10$$

161.
$$y^2 - x^2 = 9$$

 $3x^2 + 2y^2 = 8$

162.
$$x^2 + y^2 + \frac{1}{16} = 2500$$

 $y = 2x^2$

For the following exercises, use any method to solve the system of nonlinear equations.

163.
$$-2x^2 + y = -5$$

 $6x - y = 9$

164.
$$-x^2 + y = 2$$

 $-x + y = 2$

165.
$$x^2 + y^2 = 1$$

 $y = 20x^2 - 1$

166.
$$x^2 + y^2 = 1$$

 $y = -x^2$

167.
$$2x^3 - x^2 = y$$

 $y = \frac{1}{2} - x$

168.
$$9x^2 + 25y^2 = 225$$

 $(x-6)^2 + y^2 = 1$

169.
$$x^4 - x^2 = y$$

 $x^2 + y = 0$

170.
$$2x^3 - x^2 = y$$

 $x^2 + y = 0$

For the following exercises, use any method to solve the nonlinear system.

171.
$$x^2 + y^2 = 9$$

 $y = 3 - x^2$

172.
$$x^2 - y^2 = 9$$

 $x = 3$

$$173. \quad x^2 - y^2 = 9$$
$$y = 3$$

$$174. \quad x^2 - y^2 = 9$$
$$x - y = 0$$

175.
$$-x^2 + y = 2$$
$$-4x + y = -1$$

$$176. \quad -x^2 + y = 2$$
$$2y = -x$$

177.
$$x^2 + y^2 = 25$$

 $x^2 - y^2 = 36$

178.
$$x^2 + y^2 = 1$$

 $y^2 = x^2$

179.
$$16x^2 - 9y^2 + 144 = 0$$

 $y^2 + x^2 = 16$

180.
$$3x^2 - y^2 = 12$$

 $(x-1)^2 + y^2 = 1$

181.
$$3x^2 - y^2 = 12$$

 $(x-1)^2 + y^2 = 4$

182.
$$3x^2 - y^2 = 12$$

 $x^2 + y^2 = 16$

183.
$$x^2 - y^2 - 6x - 4y - 11 = 0$$

 $-x^2 + y^2 = 5$

184.
$$x^2 + y^2 - 6y = 7$$

 $x^2 + y = 1$

185.
$$x^2 + y^2 = 6$$

 $xy = 1$

Graphical

For the following exercises, graph the inequality.

186.
$$x^2 + y < 9$$

187.
$$x^2 + y^2 < 4$$

For the following exercises, graph the system of inequalities. Label all points of intersection.

188.
$$x^2 + y < 1$$

 $y > 2x$

189.
$$x^2 + y < -5$$

 $y > 5x + 10$

190.
$$x^2 + y^2 < 25$$

 $3x^2 - y^2 > 12$

191.
$$x^2 - y^2 > -4$$

 $x^2 + y^2 < 12$

192.
$$x^2 + 3y^2 > 16$$

 $3x^2 - y^2 < 1$

Extensions

For the following exercises, graph the inequality.

193.
$$y \ge e^x$$
$$y \le \ln(x) + 5$$

$$194. \quad y \le -\log(x)$$
$$y \le e^x$$

For the following exercises, find the solutions to the nonlinear equations with two variables.

195.
$$\frac{4}{x^2} + \frac{1}{y^2} = 24$$

 $\frac{5}{x^2} - \frac{2}{y^2} + 4 = 0$

196.
$$\frac{6}{x^2} - \frac{1}{y^2} = 8$$

 $\frac{1}{x^2} - \frac{6}{y^2} = \frac{1}{8}$

197.
$$x^2 - xy + y^2 - 2 = 0$$

 $x + 3y = 4$

198.
$$x^2 - xy - 2y^2 - 6 = 0$$

 $x^2 + y^2 = 1$

199.
$$x^2 + 4xy - 2y^2 - 6 = 0$$

 $x = y + 2$

Technology

For the following exercises, solve the system of inequalities. Use a calculator to graph the system to confirm the answer.

$$200. \quad xy < 1$$
$$y > \sqrt{x}$$

201.
$$x^2 + y < 3$$

 $y > 2x$

Real-World Applications

For the following exercises, construct a system of nonlinear equations to describe the given behavior, then solve for the requested solutions.

202. Two numbers add up to 300. One number is twice the square of the other number. What are the numbers?

203. The squares of two numbers add to 360. The second number is half the value of the first number squared. What are the numbers?

204. A laptop company has discovered their cost and revenue functions for each day: $C(x) = 3x^2 - 10x + 200$ and $R(x) = -2x^2 + 100x + 50$. If they want to make a profit, what is the range of laptops per day that they should produce? Round to the nearest number which would generate profit.

205. A cell phone company has the following cost and revenue functions: $C(x) = 8x^2 - 600x + 21,500$ and $R(x) = -3x^2 + 480x$. What is the range of cell phones they should produce each day so there is profit? Round to the nearest number that generates profit.

9.4 | Partial Fractions

Learning Objectives

In this section, you will:

9.4.1 Decompose P(x)Q(x), where Q(x) has only nonrepeated linear factors.

9.4.2 Decompose P(x)Q(x), where Q(x) has repeated linear factors.

9.4.3 Decompose P(x)Q(x), where Q(x) has a nonrepeated irreducible quadratic factor.

9.4.4 Decompose P(x) Q(x), where Q(x) has a repeated irreducible quadratic factor.

Earlier in this chapter, we studied systems of two equations in two variables, systems of three equations in three variables, and nonlinear systems. Here we introduce another way that systems of equations can be utilized—the decomposition of rational expressions.

Fractions can be complicated; adding a variable in the denominator makes them even more so. The methods studied in this section will help simplify the concept of a rational expression.

Decomposing $\frac{P(x)}{O(x)}$ Where Q(x) Has Only Nonrepeated Linear Factors

Recall the algebra regarding adding and subtracting rational expressions. These operations depend on finding a common denominator so that we can write the sum or difference as a single, simplified rational expression. In this section, we will look at partial fraction decomposition, which is the undoing of the procedure to add or subtract rational expressions. In other words, it is a return from the single simplified rational expression to the original expressions, called the **partial fractions**.

For example, suppose we add the following fractions:

$$\frac{2}{x-3} + \frac{-1}{x+2}$$

We would first need to find a common denominator, (x + 2)(x-3).

Next, we would write each expression with this common denominator and find the sum of the terms.

$$\frac{2}{x-3} \left(\frac{x+2}{x+2} \right) + \frac{-1}{x+2} \left(\frac{x-3}{x-3} \right) =$$

$$\frac{2x+4-x+3}{(x+2)(x-3)} = \frac{x+7}{x^2-x-6}$$

Partial fraction decomposition is the reverse of this procedure. We would start with the solution and rewrite (decompose) it as the sum of two fractions.

$$\frac{x+7}{x^2 - x - 6} = \frac{2}{x-3} + \frac{-1}{x+2}$$
Simplifie sum
Partial fraction decomposition

We will investigate rational expressions with linear factors and quadratic factors in the denominator where the degree of the numerator is less than the degree of the denominator. Regardless of the type of expression we are decomposing, the first and most important thing to do is factor the denominator.

When the denominator of the simplified expression contains distinct linear factors, it is likely that each of the original rational expressions, which were added or subtracted, had one of the linear factors as the denominator. In other words, using the example above, the factors of $x^2 - x - 6$ are (x-3)(x+2), the denominators of the decomposed rational expression. So we will rewrite the simplified form as the sum of individual fractions and use a variable for each numerator. Then, we will solve for each numerator using one of several methods available for partial fraction decomposition.

Partial Fraction Decomposition of $\frac{P(x)}{O(x)}$: Q(x) Has Nonrepeated Linear Factors

The partial fraction decomposition of $\frac{P(x)}{Q(x)}$ when Q(x) has nonrepeated linear factors and the degree of P(x) is less than the degree of Q(x) is

$$\frac{P(x)}{Q(x)} = \frac{A_1}{(a_1 x + b_1)} + \frac{A_2}{(a_2 x + b_2)} + \frac{A_3}{(a_3 x + b_3)} + \cdots + \frac{A_n}{(a_n x + b_n)}.$$



Given a rational expression with distinct linear factors in the denominator, decompose it.

1. Use a variable for the original numerators, usually A, B, or C, depending on the number of factors, placing each variable over a single factor. For the purpose of this definition, we use A_n for each numerator

$$\frac{P(x)}{Q(x)} = \frac{A_1}{(a_1 x + b_1)} + \frac{A_2}{(a_2 x + b_2)} + \cdots + \frac{A_n}{(a_n x + b_n)}$$

- 2. Multiply both sides of the equation by the common denominator to eliminate fractions.
- 3. Expand the right side of the equation and collect like terms.
- 4. Set coefficients of like terms from the left side of the equation equal to those on the right side to create a system of equations to solve for the numerators.

Example 9.22

Decomposing a Rational Function with Distinct Linear Factors

Decompose the given rational expression with distinct linear factors.

$$\frac{3x}{(x+2)(x-1)}$$

Solution

We will separate the denominator factors and give each numerator a symbolic label, like *A*, *B*, or *C*.

$$\frac{3x}{(x+2)(x-1)} = \frac{A}{(x+2)} + \frac{B}{(x-1)}$$

Multiply both sides of the equation by the common denominator to eliminate the fractions:

$$(x+2)(x-1)\left[\frac{3x}{(x+2)(x-1)}\right] = (x+2)(x-1)\left[\frac{A}{(x+2)}\right] + (x+2)(x-1)\left[\frac{B}{(x-1)}\right]$$

The resulting equation is

$$3x = A(x-1) + B(x+2)$$

Expand the right side of the equation and collect like terms.

$$3x = Ax - A + Bx + 2B$$
$$3x = (A+B)x - A + 2B$$

Set up a system of equations associating corresponding coefficients.

$$3 = A + B$$
$$0 = -A + 2B$$

Add the two equations and solve for B.

$$3 = A + B$$

$$0 = -A + 2B$$

$$3 = 0 + 3B$$

$$1 = B$$

Substitute B = 1 into one of the original equations in the system.

$$3 = A + 1$$
$$2 = A$$

Thus, the partial fraction decomposition is

$$\frac{3x}{(x+2)(x-1)} = \frac{2}{(x+2)} + \frac{1}{(x-1)}$$

Another method to use to solve for A or B is by considering the equation that resulted from eliminating the fractions and substituting a value for x that will make either the A- or B-term equal 0. If we let x = 1, the

A- term becomes 0 and we can simply solve for B.

$$3x = A(x-1) + B(x+2)$$

$$3(1) = A[(1) - 1] + B[(1) + 2]$$

$$3 = 0 + 3B$$

$$1 = B$$

Next, either substitute B = 1 into the equation and solve for A, or make the B-term 0 by substituting x = -2 into the equation.

$$3x = A(x-1) + B(x+2)$$

$$3(-2) = A[(-2) - 1] + B[(-2) + 2]$$

$$-6 = -3A + 0$$

$$\frac{-6}{-3} = A$$

$$2 = A$$

We obtain the same values for A and B using either method, so the decompositions are the same using either method.

$$\frac{3x}{(x+2)(x-1)} = \frac{2}{(x+2)} + \frac{1}{(x-1)}$$

Although this method is not seen very often in textbooks, we present it here as an alternative that may make some partial fraction decompositions easier. It is known as the Heaviside method, named after Charles Heaviside, a pioneer in the study of electronics.



9.16 Find the partial fraction decomposition of the following expression.

$$\frac{x}{(x-3)(x-2)}$$

Decomposing $\frac{P(x)}{Q(x)}$ Where Q(x) Has Repeated Linear Factors

Some fractions we may come across are special cases that we can decompose into partial fractions with repeated linear factors. We must remember that we account for repeated factors by writing each factor in increasing powers.

Partial Fraction Decomposition of $\frac{P(x)}{O(x)}$: Q(x) Has Repeated Linear Factors

The partial fraction decomposition of $\frac{P(x)}{Q(x)}$, when Q(x) has a repeated linear factor occurring n times and the degree of P(x) is less than the degree of Q(x), is

$$\frac{P(x)}{Q(x)} = \frac{A_1}{(ax+b)} + \frac{A_2}{(ax+b)^2} + \frac{A_3}{(ax+b)^3} + \cdots + \frac{A_n}{(ax+b)^n}$$

Write the denominator powers in increasing order.



Given a rational expression with repeated linear factors, decompose it.

1. Use a variable like *A*, *B*, or *C* for the numerators and account for increasing powers of the denominators.

$$\frac{P(x)}{Q(x)} = \frac{A_1}{(ax+b)} + \frac{A_2}{(ax+b)^2} + \dots + \frac{A_n}{(ax+b)^n}$$

- 2. Multiply both sides of the equation by the common denominator to eliminate fractions.
- 3. Expand the right side of the equation and collect like terms.
- Set coefficients of like terms from the left side of the equation equal to those on the right side to create a system of equations to solve for the numerators.

Example 9.23

Decomposing with Repeated Linear Factors

Decompose the given rational expression with repeated linear factors.

$$\frac{-x^2 + 2x + 4}{x^3 - 4x^2 + 4x}$$

Solution

The denominator factors are $x(x-2)^2$. To allow for the repeated factor of (x-2), the decomposition will include three denominators: x, (x-2), and $(x-2)^2$. Thus,

$$\frac{-x^2 + 2x + 4}{x^3 - 4x^2 + 4x} = \frac{A}{x} + \frac{B}{(x-2)} + \frac{C}{(x-2)^2}$$

Next, we multiply both sides by the common denominator.

$$x(x-2)^{2} \left[\frac{-x^{2} + 2x + 4}{x(x-2)^{2}} \right] = \left[\frac{A}{x} + \frac{B}{(x-2)} + \frac{C}{(x-2)^{2}} \right] x(x-2)^{2}$$
$$-x^{2} + 2x + 4 = A(x-2)^{2} + Bx(x-2) + Cx$$

On the right side of the equation, we expand and collect like terms.

$$-x^{2} + 2x + 4 = A(x^{2} - 4x + 4) + B(x^{2} - 2x) + Cx$$
$$= Ax^{2} - 4Ax + 4A + Bx^{2} - 2Bx + Cx$$
$$= (A + B)x^{2} + (-4A - 2B + C)x + 4A$$

Next, we compare the coefficients of both sides. This will give the system of equations in three variables:

$$-x^{2} + 2x + 4 = (A + B)x^{2} + (-4A - 2B + C)x + 4A$$

$$A + B = -1 (1)$$

$$-4A - 2B + C = 2 (2)$$

$$4A = 4 (3)$$

Solving for A, we have

$$4A = 4$$
$$A = 1$$

Substitute A = 1 into equation (1).

$$A + B = -1$$
$$(1) + B = -1$$
$$B = -2$$

Then, to solve for C, substitute the values for A and B into equation (2).

$$-4A-2B+C = 2$$

$$-4(1)-2(-2)+C = 2$$

$$-4+4+C = 2$$

$$C = 2$$

Thus,

$$\frac{-x^2 + 2x + 4}{x^3 - 4x^2 + 4x} = \frac{1}{x} - \frac{2}{(x-2)} + \frac{2}{(x-2)^2}$$



9.17 Find the partial fraction decomposition of the expression with repeated linear factors.

$$\frac{6x-11}{(x-1)^2}$$

Decomposing $\frac{P(x)}{Q(x)}$. Where Q(x) Has a Nonrepeated Irreducible

Quadratic Factor

So far, we have performed partial fraction decomposition with expressions that have had linear factors in the denominator, and we applied numerators A, B, or C representing constants. Now we will look at an example where one of the factors in the denominator is a quadratic expression that does not factor. This is referred to as an irreducible quadratic factor. In cases like this, we use a linear numerator such as Ax + B, Bx + C, etc.

Decomposition of $\frac{P(x)}{Q(x)}$: Q(x) Has a Nonrepeated Irreducible Quadratic Factor

The partial fraction decomposition of $\frac{P(x)}{Q(x)}$ such that Q(x) has a nonrepeated irreducible quadratic factor and the degree of P(x) is less than the degree of Q(x) is written as

$$\frac{P(x)}{Q(x)} = \frac{A_1 x + B_1}{\left(a_1 x^2 + b_1 x + c_1\right)} + \frac{A_2 x + B_2}{\left(a_2 x^2 + b_2 x + c_2\right)} + \cdots + \frac{A_n x + B_n}{\left(a_n x^2 + b_n x + c_n\right)}$$

The decomposition may contain more rational expressions if there are linear factors. Each linear factor will have a different constant numerator: A, B, C, and so on.



Given a rational expression where the factors of the denominator are distinct, irreducible quadratic factors, decompose it.

1. Use variables such as A, B, or C for the constant numerators over linear factors, and linear expressions such as $A_1 x + B_1$, $A_2 x + B_2$, etc., for the numerators of each quadratic factor in the denominator.

$$\frac{P(x)}{Q(x)} = \frac{A}{ax+b} + \frac{A_1x+B_1}{\left(a_1x^2+b_1x+c_1\right)} + \frac{A_2x+B_2}{\left(a_2x^2+b_2x+c_2\right)} + \cdots + \frac{A_nx+B_n}{\left(a_nx^2+b_nx+c_n\right)}$$

- 2. Multiply both sides of the equation by the common denominator to eliminate fractions.
- 3. Expand the right side of the equation and collect like terms.
- 4. Set coefficients of like terms from the left side of the equation equal to those on the right side to create a system of equations to solve for the numerators.

Example 9.24

Decomposing $\frac{P(x)}{Q(x)}$ When Q(x) Contains a Nonrepeated Irreducible Quadratic

Factor

Find a partial fraction decomposition of the given expression.

$$\frac{8x^2 + 12x - 20}{(x+3)(x^2 + x + 2)}$$

Solution

We have one linear factor and one irreducible quadratic factor in the denominator, so one numerator will be a constant and the other numerator will be a linear expression. Thus,

$$\frac{8x^2 + 12x - 20}{(x+3)(x^2 + x + 2)} = \frac{A}{(x+3)} + \frac{Bx + C}{(x^2 + x + 2)}$$

We follow the same steps as in previous problems. First, clear the fractions by multiplying both sides of the equation by the common denominator.

$$(x+3)(x^2+x+2)\left[\frac{8x^2+12x-20}{(x+3)(x^2+x+2)}\right] = \left[\frac{A}{(x+3)} + \frac{Bx+C}{(x^2+x+2)}\right](x+3)(x^2+x+2)$$
$$8x^2+12x-20 = A(x^2+x+2) + (Bx+C)(x+3)$$

Notice we could easily solve for *A* by choosing a value for *x* that will make the Bx + C term equal 0. Let x = -3 and substitute it into the equation.

$$8x^{2} + 12x - 20 = A(x^{2} + x + 2) + (Bx + C)(x + 3)$$

$$8(-3)^{2} + 12(-3) - 20 = A((-3)^{2} + (-3) + 2) + (B(-3) + C)((-3) + 3)$$

$$16 = 8A$$

$$A = 2$$

Now that we know the value of A, substitute it back into the equation. Then expand the right side and collect like terms.

$$8x^{2} + 12x - 20 = 2(x^{2} + x + 2) + (Bx + C)(x + 3)$$

$$8x^{2} + 12x - 20 = 2x^{2} + 2x + 4 + Bx^{2} + 3B + Cx + 3C$$

$$8x^{2} + 12x - 20 = (2 + B)x^{2} + (2 + 3B + C)x + (4 + 3C)$$

Setting the coefficients of terms on the right side equal to the coefficients of terms on the left side gives the system of equations.

$$2 + B = 8$$
 (1)
 $2 + 3B + C = 12$ (2)
 $4 + 3C = -20$ (3)

Solve for B using equation (1) and solve for C using equation (3).

$$2 + B = 8$$
 (1)
 $B = 6$
 $4 + 3C = -20$ (3)
 $3C = -24$
 $C = -8$

Thus, the partial fraction decomposition of the expression is

$$\frac{8x^2 + 12x - 20}{(x+3)(x^2 + x + 2)} = \frac{2}{(x+3)} + \frac{6x - 8}{(x^2 + x + 2)}$$



Could we have just set up a system of equations to solve Example 9.24?

Yes, we could have solved it by setting up a system of equations without solving for A first. The expansion on the right would be:

$$8x^{2} + 12x - 20 = Ax^{2} + Ax + 2A + Bx^{2} + 3B + Cx + 3C$$
$$8x^{2} + 12x - 20 = (A + B)x^{2} + (A + 3B + C)x + (2A + 3C)$$

So the system of equations would be:

$$A + B = 8$$

 $A + 3B + C = 12$
 $2A + 3C = -20$



9.18 Find the partial fraction decomposition of the expression with a nonrepeating irreducible quadratic factor.

$$\frac{5x^2 - 6x + 7}{(x-1)(x^2 + 1)}$$

Decomposing $\frac{P(x)}{Q(x)}$ When Q(x) Has a Repeated Irreducible Quadratic

Factor

Now that we can decompose a simplified rational expression with an irreducible quadratic factor, we will learn how to do partial fraction decomposition when the simplified rational expression has repeated irreducible quadratic factors. The

decomposition will consist of partial fractions with linear numerators over each irreducible quadratic factor represented in increasing powers.

Decomposition of $\frac{P(x)}{Q(x)}$ When Q(x) Has a Repeated Irreducible Quadratic Factor

The partial fraction decomposition of $\frac{P(x)}{Q(x)}$, when Q(x) has a repeated irreducible quadratic factor and the degree of

P(x) is less than the degree of Q(x), is

$$\frac{P(x)}{\left(ax^2 + bx + c\right)^n} = \frac{A_1 x + B_1}{\left(ax^2 + bx + c\right)} + \frac{A_2 x + B_2}{\left(ax^2 + bx + c\right)^2} + \frac{A_3 x + B_3}{\left(ax^2 + bx + c\right)^3} + \cdots + \frac{A_n x + B_n}{\left(ax^2 + bx + c\right)^n}$$

Write the denominators in increasing powers.



Given a rational expression that has a repeated irreducible factor, decompose it.

1. Use variables like A, B, or C for the constant numerators over linear factors, and linear expressions such as $A_1x + B_1$, $A_2x + B_2$, etc., for the numerators of each quadratic factor in the denominator written in increasing powers, such as

$$\frac{P(x)}{Q(x)} = \frac{A}{ax+b} + \frac{A_1x + B_1}{(ax^2 + bx + c)} + \frac{A_2x + B_2}{(ax^2 + bx + c)^2} + \cdots + \frac{A_n + B_n}{(ax^2 + bx + c)^n}$$

- 2. Multiply both sides of the equation by the common denominator to eliminate fractions.
- 3. Expand the right side of the equation and collect like terms.
- Set coefficients of like terms from the left side of the equation equal to those on the right side to create a system of equations to solve for the numerators.

Example 9.25

Decomposing a Rational Function with a Repeated Irreducible Quadratic Factor in the Denominator

Decompose the given expression that has a repeated irreducible factor in the denominator.

$$\frac{x^4 + x^3 + x^2 - x + 1}{x(x^2 + 1)^2}$$

Solution

The factors of the denominator are x, $(x^2 + 1)$, and $(x^2 + 1)^2$. Recall that, when a factor in the denominator is a quadratic that includes at least two terms, the numerator must be of the linear form Ax + B. So, let's begin the decomposition.

$$\frac{x^4 + x^3 + x^2 - x + 1}{x(x^2 + 1)^2} = \frac{A}{x} + \frac{Bx + C}{(x^2 + 1)} + \frac{Dx + E}{(x^2 + 1)^2}$$

We eliminate the denominators by multiplying each term by $x(x^2 + 1)^2$. Thus,

$$x^4 + x^3 + x^2 - x + 1 = A(x^2 + 1)^2 + (Bx + C)(x)(x^2 + 1) + (Dx + E)(x)$$

Expand the right side.

$$x^{4} + x^{3} + x^{2} - x + 1 = A(x^{4} + 2x^{2} + 1) + Bx^{4} + Bx^{2} + Cx^{3} + Cx + Dx^{2} + Ex$$
$$= Ax^{4} + 2Ax^{2} + A + Bx^{4} + Bx^{2} + Cx^{3} + Cx + Dx^{2} + Ex$$

Now we will collect like terms.

$$x^4 + x^3 + x^2 - x + 1 = (A + B)x^4 + (C)x^3 + (2A + B + D)x^2 + (C + E)x + A$$

Set up the system of equations matching corresponding coefficients on each side of the equal sign.

$$A + B = 1$$

$$C = 1$$

$$2A + B + D = 1$$

$$C + E = -1$$

$$A = 1$$

We can use substitution from this point. Substitute A=1 into the first equation.

$$1 + B = 1$$
$$B = 0$$

Substitute A = 1 and B = 0 into the third equation.

$$2(1) + 0 + D = 1$$
$$D = -1$$

Substitute C = 1 into the fourth equation.

$$1 + E = -1$$
$$E = -2$$

Now we have solved for all of the unknowns on the right side of the equal sign. We have A=1, B=0, C=1, D=-1, and E=-2. We can write the decomposition as follows:

$$\frac{x^4 + x^3 + x^2 - x + 1}{x(x^2 + 1)^2} = \frac{1}{x} + \frac{1}{(x^2 + 1)} - \frac{x + 2}{(x^2 + 1)^2}$$



9.19 Find the partial fraction decomposition of the expression with a repeated irreducible quadratic factor.

$$\frac{x^3 - 4x^2 + 9x - 5}{\left(x^2 - 2x + 3\right)^2}$$



Access these online resources for additional instruction and practice with partial fractions.

- Partial Fraction Decomposition (http://openstaxcollege.org/l/partdecomp)
- Partial Fraction Decomposition With Repeated Linear Factors (http://openstaxcollege.org/l/partdecomprlf)
- Partial Fraction Decomposition With Linear and Quadratic Factors (http://openstaxcollege.org/l/partdecomlqu)

9.4 EXERCISES

Verbal

206. Can any quotient of polynomials be decomposed into at least two partial fractions? If so, explain why, and if not, give an example of such a fraction

207. Can you explain why a partial fraction decomposition is unique? (Hint: Think about it as a system of equations.)

208. Can you explain how to verify a partial fraction decomposition graphically?

209. You are unsure if you correctly decomposed the partial fraction correctly. Explain how you could double-check your answer.

210. Once you have a system of equations generated by the partial fraction decomposition, can you explain another method to solve it? For example if you had 7x + 13

$$\frac{7x+13}{3x^2+8x+15} = \frac{A}{x+1} + \frac{B}{3x+5}, \text{ we}$$
 eventually

simplify to 7x + 13 = A(3x + 5) + B(x + 1). Explain how you could intelligently choose an x-value that will eliminate either A or B and solve for A and B.

Algebraic

For the following exercises, find the decomposition of the partial fraction for the nonrepeating linear factors.

$$211. \quad \frac{5x+16}{x^2+10x+24}$$

212.
$$\frac{3x-79}{x^2-5x-24}$$

213.
$$\frac{-x-24}{x^2-2x-24}$$

214.
$$\frac{10x + 47}{x^2 + 7x + 10}$$

$$215. \ \frac{x}{6x^2 + 25x + 25}$$

$$216. \quad \frac{32x - 11}{20x^2 - 13x + 2}$$

217.
$$\frac{x+1}{x^2 + 7x + 10}$$

218.
$$\frac{5x}{x^2 - 9}$$

219.
$$\frac{10x}{x^2 - 25}$$

220.
$$\frac{6x}{x^2 - 4}$$

221.
$$\frac{2x-3}{x^2-6x+5}$$

222.
$$\frac{4x-1}{x^2-x-6}$$

$$223. \quad \frac{4x+3}{x^2+8x+15}$$

224.
$$\frac{3x-1}{x^2-5x+6}$$

For the following exercises, find the decomposition of the partial fraction for the repeating linear factors.

225.
$$\frac{-5x-19}{(x+4)^2}$$

226.
$$\frac{x}{(x-2)^2}$$

227.
$$\frac{7x+14}{(x+3)^2}$$

228.
$$\frac{-24x-27}{(4x+5)^2}$$

229.
$$\frac{-24x-27}{(6x-7)^2}$$

230.
$$\frac{5-x}{(x-7)^2}$$

231.
$$\frac{5x + 14}{2x^2 + 12x + 18}$$

232.
$$\frac{5x^2 + 20x + 8}{2x(x+1)^2}$$

233.
$$\frac{4x^2 + 55x + 25}{5x(3x+5)^2}$$

234.
$$\frac{54x^3 + 127x^2 + 80x + 16}{2x^2(3x+2)^2}$$

235.
$$\frac{x^3 - 5x^2 + 12x + 144}{x^2(x^2 + 12x + 36)}$$

For the following exercises, find the decomposition of the partial fraction for the irreducible nonrepeating quadratic factor.

236.
$$\frac{4x^2 + 6x + 11}{(x+2)(x^2 + x + 3)}$$

237.
$$\frac{4x^2 + 9x + 23}{(x-1)(x^2 + 6x + 11)}$$

238.
$$\frac{-2x^2 + 10x + 4}{(x-1)(x^2 + 3x + 8)}$$

239.
$$\frac{x^2 + 3x + 1}{(x+1)(x^2 + 5x - 2)}$$

240.
$$\frac{4x^2 + 17x - 1}{(x+3)(x^2 + 6x + 1)}$$

241.
$$\frac{4x^2}{(x+5)(x^2+7x-5)}$$

242.
$$\frac{4x^2 + 5x + 3}{x^3 - 1}$$

$$\frac{-5x^2 + 18x - 4}{x^3 + 8}$$

244.
$$\frac{3x^2 - 7x + 33}{x^3 + 27}$$

245.
$$\frac{x^2 + 2x + 40}{x^3 - 125}$$

$$246. \quad \underline{4x^2 + 4x + 12} \\ 8x^3 - 27$$

$$\frac{247. \quad -50x^2 + 5x - 3}{125x^3 - 1}$$

248.
$$\frac{-2x^3 - 30x^2 + 36x + 216}{x^4 + 216x}$$

For the following exercises, find the decomposition of the partial fraction for the irreducible repeating quadratic factor.

249.
$$\frac{3x^3 + 2x^2 + 14x + 15}{\left(x^2 + 4\right)^2}$$

250.

$$\frac{x^3 + 6x^2 + 5x + 9}{\left(x^2 + 1\right)^2}$$

251.
$$\frac{x^3 - x^2 + x - 1}{\left(x^2 - 3\right)^2}$$

252.
$$\frac{x^2 + 5x + 5}{(x+2)^2}$$

253.
$$\frac{x^3 + 2x^2 + 4x}{\left(x^2 + 2x + 9\right)^2}$$

254.
$$\frac{x^2 + 25}{(x^2 + 3x + 25)^2}$$

255.
$$\frac{2x^3 + 11x + 7x + 70}{(2x^2 + x + 14)^2}$$

256.
$$\frac{5x+2}{x(x^2+4)^2}$$

257.
$$\underline{x^4 + x^3 + 8x^2 + 6x + 36}$$

 $x(x^2 + 6)^2$

258.
$$\frac{2x-9}{(x^2-x)^2}$$

259.
$$\frac{5x^3 - 2x + 1}{\left(x^2 + 2x\right)^2}$$

Extensions

For the following exercises, find the partial fraction expansion.

260.
$$\frac{x^2+4}{(x+1)^3}$$

261.
$$\frac{x^3 - 4x^2 + 5x + 4}{(x-2)^3}$$

For the following exercises, perform the operation and then find the partial fraction decomposition.

262.
$$\frac{7}{x+8} + \frac{5}{x-2} - \frac{x-1}{x^2-6x-16}$$

263.

$$\frac{1}{x-4} - \frac{3}{x+6} - \frac{2x+7}{x^2 + 2x - 24}$$

264.
$$\frac{2x}{x^2 - 16} - \frac{1 - 2x}{x^2 + 6x + 8} - \frac{x - 5}{x^2 - 4x}$$

9.5 | Matrices and Matrix Operations

Learning Objectives

In this section, you will:

- 9.5.1 Find the sum and difference of two matrices.
- 9.5.2 Find scalar multiples of a matrix.
- 9.5.3 Find the product of two matrices.



Figure 9.29 (credit: "SD Dirk," Flickr)

Two club soccer teams, the Wildcats and the Mud Cats, are hoping to obtain new equipment for an upcoming season. **Table 9.1** shows the needs of both teams.

	Wildcats	Mud Cats
Goals	6	10
Balls	30	24
Jerseys	14	20

Table 9.1

A goal costs \$300; a ball costs \$10; and a jersey costs \$30. How can we find the total cost for the equipment needed for each team? In this section, we discover a method in which the data in the soccer equipment table can be displayed and used for calculating other information. Then, we will be able to calculate the cost of the equipment.

Finding the Sum and Difference of Two Matrices

To solve a problem like the one described for the soccer teams, we can use a matrix, which is a rectangular array of numbers. A row in a matrix is a set of numbers that are aligned horizontally. A column in a matrix is a set of numbers that are aligned

vertically. Each number is an entry, sometimes called an element, of the matrix. Matrices (plural) are enclosed in $[\]$ or $(\)$, and are usually named with capital letters. For example, three matrices named $A, B, \$ and C are shown below.

$$A = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}, B = \begin{bmatrix} 1 & 2 & 7 \\ 0 & -5 & 6 \\ 7 & 8 & 2 \end{bmatrix}, C = \begin{bmatrix} -1 & 3 \\ 0 & 2 \\ 3 & 1 \end{bmatrix}$$

Describing Matrices

A matrix is often referred to by its size or dimensions: $m \times n$ indicating m rows and n columns. Matrix entries are defined first by row and then by column. For example, to locate the entry in matrix A identified as a_{ij} , we look for the entry in row i, column j. In matrix A, shown below, the entry in row 2, column 3 is a_{23} .

$$A = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix}$$

A square matrix is a matrix with dimensions $n \times n$, meaning that it has the same number of rows as columns. The 3×3 matrix above is an example of a square matrix.

A row matrix is a matrix consisting of one row with dimensions $1 \times n$.

$$[a_{11} \ a_{12} \ a_{13}]$$

A column matrix is a matrix consisting of one column with dimensions $m \times 1$.

$$\begin{bmatrix} a_{11} \\ a_{21} \\ a_{31} \end{bmatrix}$$

A matrix may be used to represent a system of equations. In these cases, the numbers represent the coefficients of the variables in the system. Matrices often make solving systems of equations easier because they are not encumbered with variables. We will investigate this idea further in the next section, but first we will look at basic matrix operations.

Matrices

A **matrix** is a rectangular array of numbers that is usually named by a capital letter: A, B, C, and so on. Each entry in a matrix is referred to as a_{ij} , such that i represents the row and j represents the column. Matrices are often referred to by their dimensions: $m \times n$ indicating m rows and n columns.

Example 9.26

Finding the Dimensions of the Given Matrix and Locating Entries

Given matrix A:

- a. What are the dimensions of matrix A?
- b. What are the entries at a_{31} and a_{22} ?

$$A = \begin{bmatrix} 2 & 1 & 0 \\ 2 & 4 & 7 \\ 3 & 1 & -2 \end{bmatrix}$$

Solution

a. The dimensions are 3×3 because there are three rows and three columns.

b. Entry a_{31} is the number at row 3, column 1, which is 3. The entry a_{22} is the number at row 2, column 2, which is 4. Remember, the row comes first, then the column.

Adding and Subtracting Matrices

We use matrices to list data or to represent systems. Because the entries are numbers, we can perform operations on matrices. We add or subtract matrices by adding or subtracting corresponding entries.

In order to do this, the entries must correspond. Therefore, *addition and subtraction of matrices is only possible when the matrices have the same dimensions*. We can add or subtract a 3×3 matrix and another 3×3 matrix, but we cannot add or subtract a 2×3 matrix and a 3×3 matrix because some entries in one matrix will not have a corresponding entry in the other matrix.

Adding and Subtracting Matrices

Given matrices A and B of like dimensions, addition and subtraction of A and B will produce matrix C or matrix D of the same dimension.

$$A + B = C$$
 such that $a_{ij} + b_{ij} = c_{ij}$

$$A - B = D$$
 such that $a_{ij} - b_{ij} = d_{ij}$

Matrix addition is commutative.

$$A + B = B + A$$

It is also associative.

$$(A+B) + C = A + (B+C)$$

Example 9.27

Finding the Sum of Matrices

Find the sum of A and B, given

$$A = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$$
 and $B = \begin{bmatrix} e & f \\ g & h \end{bmatrix}$

Solution

Add corresponding entries.

$$A + B = \begin{bmatrix} a & b \\ c & d \end{bmatrix} + \begin{bmatrix} e & f \\ g & h \end{bmatrix}$$
$$= \begin{bmatrix} a + e & b + f \\ c + g & d + h \end{bmatrix}$$

Example 9.28

Adding Matrix A and Matrix B

Find the sum of A and B.

$$A = \begin{bmatrix} 4 & 1 \\ 3 & 2 \end{bmatrix} \text{ and } B = \begin{bmatrix} 5 & 9 \\ 0 & 7 \end{bmatrix}$$

Solution

Add corresponding entries. Add the entry in row 1, column 1, a_{11} , of matrix A to the entry in row 1, column 1, b_{11} , of B. Continue the pattern until all entries have been added.

$$A + B = \begin{bmatrix} 4 & 1 \\ 3 & 2 \end{bmatrix} + \begin{bmatrix} 5 & 9 \\ 0 & 7 \end{bmatrix}$$
$$= \begin{bmatrix} 4 + 5 & 1 + 9 \\ 3 + 0 & 2 + 7 \end{bmatrix}$$
$$= \begin{bmatrix} 9 & 10 \\ 3 & 9 \end{bmatrix}$$

Example 9.29

Finding the Difference of Two Matrices

Find the difference of A and B.

$$A = \begin{bmatrix} -2 & 3 \\ 0 & 1 \end{bmatrix} \text{ and } B = \begin{bmatrix} 8 & 1 \\ 5 & 4 \end{bmatrix}$$

Solution

We subtract the corresponding entries of each matrix.

$$A - B = \begin{bmatrix} -2 & 3 \\ 0 & 1 \end{bmatrix} - \begin{bmatrix} 8 & 1 \\ 5 & 4 \end{bmatrix}$$
$$= \begin{bmatrix} -2 - 8 & 3 - 1 \\ 0 - 5 & 1 - 4 \end{bmatrix}$$
$$= \begin{bmatrix} -10 & 2 \\ -5 & -3 \end{bmatrix}$$

Example 9.30

Finding the Sum and Difference of Two 3 x 3 Matrices

Given A and B:

- a. Find the sum.
- b. Find the difference.

$$A = \begin{bmatrix} 2 & -10 & -2 \\ 14 & 12 & 10 \\ 4 & -2 & 2 \end{bmatrix} \text{ and } B = \begin{bmatrix} 6 & 10 & -2 \\ 0 & -12 & -4 \\ -5 & 2 & -2 \end{bmatrix}$$

Solution

a. Add the corresponding entries.

$$A + B = \begin{bmatrix} 2 & -10 & -2 \\ 14 & 12 & 10 \\ 4 & -2 & 2 \end{bmatrix} + \begin{bmatrix} 6 & 10 & -2 \\ 0 & -12 & -4 \\ -5 & 2 & -2 \end{bmatrix}$$
$$= \begin{bmatrix} 2+6 & -10+10 & -2-2 \\ 14+0 & 12-12 & 10-4 \\ 4-5 & -2+2 & 2-2 \end{bmatrix}$$
$$= \begin{bmatrix} 8 & 0 & -4 \\ 14 & 0 & 6 \\ -1 & 0 & 0 \end{bmatrix}$$

b. Subtract the corresponding entries.

$$A - B = \begin{bmatrix} 2 & -10 & -2 \\ 14 & 12 & 10 \\ 4 & -2 & 2 \end{bmatrix} - \begin{bmatrix} 6 & 10 & -2 \\ 0 & -12 & -4 \\ -5 & 2 & -2 \end{bmatrix}$$
$$= \begin{bmatrix} 2 - 6 & -10 - 10 & -2 + 2 \\ 14 - 0 & 12 + 12 & 10 + 4 \\ 4 + 5 & -2 - 2 & 2 + 2 \end{bmatrix}$$
$$= \begin{bmatrix} -4 & -20 & 0 \\ 14 & 24 & 14 \\ 9 & -4 & 4 \end{bmatrix}$$



9.20 Add matrix *A* and matrix *B*.

$$A = \begin{bmatrix} 2 & 6 \\ 1 & 0 \\ 1 & -3 \end{bmatrix} \text{ and } B = \begin{bmatrix} 3 & -2 \\ 1 & 5 \\ -4 & 3 \end{bmatrix}$$

Finding Scalar Multiples of a Matrix

Besides adding and subtracting whole matrices, there are many situations in which we need to multiply a matrix by a constant called a scalar. Recall that a scalar is a real number quantity that has magnitude, but not direction. For example, time, temperature, and distance are scalar quantities. The process of scalar multiplication involves multiplying each entry in a matrix by a scalar. A **scalar multiple** is any entry of a matrix that results from scalar multiplication.

Consider a real-world scenario in which a university needs to add to its inventory of computers, computer tables, and chairs in two of the campus labs due to increased enrollment. They estimate that 15% more equipment is needed in both labs. The school's current inventory is displayed in **Table 9.2**.

	Lab A	Lab B
Computers	15	27
Computer Tables	16	34
Chairs	16	34

Table 9.2

Converting the data to a matrix, we have

$$C_{2013} = \begin{bmatrix} 15 & 27 \\ 16 & 34 \\ 16 & 34 \end{bmatrix}$$

To calculate how much computer equipment will be needed, we multiply all entries in matrix C by 0.15.

$$(0.15)C_{2013} = \begin{bmatrix} (0.15)15 & (0.15)27 \\ (0.15)16 & (0.15)34 \\ (0.15)16 & (0.15)34 \end{bmatrix} = \begin{bmatrix} 2.25 & 4.05 \\ 2.4 & 5.1 \\ 2.4 & 5.1 \end{bmatrix}$$

We must round up to the next integer, so the amount of new equipment needed is

$$\begin{bmatrix} 3 & 5 \\ 3 & 6 \\ 3 & 6 \end{bmatrix}$$

Adding the two matrices as shown below, we see the new inventory amounts.

$$\begin{bmatrix} 15 & 27 \\ 16 & 34 \\ 16 & 34 \end{bmatrix} + \begin{bmatrix} 3 & 5 \\ 3 & 6 \\ 3 & 6 \end{bmatrix} = \begin{bmatrix} 18 & 32 \\ 19 & 40 \\ 19 & 40 \end{bmatrix}$$

This means

$$C_{2014} = \begin{bmatrix} 18 & 32 \\ 19 & 40 \\ 19 & 40 \end{bmatrix}$$

Thus, Lab A will have 18 computers, 19 computer tables, and 19 chairs; Lab B will have 32 computers, 40 computer tables, and 40 chairs.

Scalar Multiplication

Scalar multiplication involves finding the product of a constant by each entry in the matrix. Given

$$A = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix}$$

the scalar multiple cA is

$$cA = c \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix}$$
$$= \begin{bmatrix} ca_{11} & ca_{12} \\ ca_{21} & ca_{22} \end{bmatrix}$$

Scalar multiplication is distributive. For the matrices A, B, and C with scalars a and b,

$$a(A + B) = aA + aB$$
$$(a + b)A = aA + bA$$

Example 9.31

Multiplying the Matrix by a Scalar

Multiply matrix A by the scalar 3.

$$A = \begin{bmatrix} 8 & 1 \\ 5 & 4 \end{bmatrix}$$

Solution

Multiply each entry in A by the scalar 3.

$$3A = 3\begin{bmatrix} 8 & 1 \\ 5 & 4 \end{bmatrix}$$
$$= \begin{bmatrix} 3 \cdot 8 & 3 \cdot 1 \\ 3 \cdot 5 & 3 \cdot 4 \end{bmatrix}$$
$$= \begin{bmatrix} 24 & 3 \\ 15 & 12 \end{bmatrix}$$



9.21 Given matrix B, find -2B where

$$B = \begin{bmatrix} 4 & 1 \\ 3 & 2 \end{bmatrix}$$

Example 9.32

Finding the Sum of Scalar Multiples

Find the sum 3A + 2B.

$$A = \begin{bmatrix} 1 & -2 & 0 \\ 0 & -1 & 2 \\ 4 & 3 & -6 \end{bmatrix}$$
 and
$$B = \begin{bmatrix} -1 & 2 & 1 \\ 0 & -3 & 2 \\ 0 & 1 & -4 \end{bmatrix}$$

Solution

First, find 3A, then 2B.

$$3A = \begin{bmatrix} 3 \cdot 1 & 3(-2) & 3 \cdot 0 \\ 3 \cdot 0 & 3(-1) & 3 \cdot 2 \\ 3 \cdot 4 & 3 \cdot 3 & 3(-6) \end{bmatrix}$$
$$= \begin{bmatrix} 3 & -6 & 0 \\ 0 & -3 & 6 \\ 12 & 9 & -18 \end{bmatrix}$$

$$2B = \begin{bmatrix} 2(-1) & 2 \cdot 2 & 2 \cdot 1 \\ 2 \cdot 0 & 2(-3) & 2 \cdot 2 \\ 2 \cdot 0 & 2 \cdot 1 & 2(-4) \end{bmatrix}$$
$$= \begin{bmatrix} -2 & 4 & 2 \\ 0 & -6 & 4 \\ 0 & 2 & -8 \end{bmatrix}$$

Now, add 3A + 2B.

$$3A + 2B = \begin{bmatrix} 3 & -6 & 0 \\ 0 & -3 & 6 \\ 12 & 9 & -18 \end{bmatrix} + \begin{bmatrix} -2 & 4 & 2 \\ 0 & -6 & 4 \\ 0 & 2 & -8 \end{bmatrix}$$
$$= \begin{bmatrix} 3 - 2 & -6 + 4 & 0 + 2 \\ 0 + 0 & -3 - 6 & 6 + 4 \\ 12 + 0 & 9 + 2 & -18 - 8 \end{bmatrix}$$
$$= \begin{bmatrix} 1 & -2 & 2 \\ 0 & -9 & 10 \\ 12 & 11 & -26 \end{bmatrix}$$

Finding the Product of Two Matrices

In addition to multiplying a matrix by a scalar, we can multiply two matrices. Finding the product of two matrices is only possible when the inner dimensions are the same, meaning that the number of columns of the first matrix is equal to the number of rows of the second matrix. If A is an $m \times r$ matrix and B is an $r \times n$ matrix, then the product matrix AB is an $m \times n$ matrix. For example, the product AB is possible because the number of columns in A is the same as the number of rows in B. If the inner dimensions do not match, the product is not defined.

$$A \cdot B$$
 $2 \times 3 \qquad 3 \times 3$

We multiply entries of *A* with entries of *B* according to a specific pattern as outlined below. The process of matrix multiplication becomes clearer when working a problem with real numbers.

To obtain the entries in row i of AB, we multiply the entries in row i of A by column j in B and add. For example, given matrices A and B, where the dimensions of A are 2×3 and the dimensions of B are 3×3 , the product of AB will be a 2×3 matrix.

$$A = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \end{bmatrix} \text{ and } B = \begin{bmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & b_{22} & b_{23} \\ b_{31} & b_{32} & b_{33} \end{bmatrix}$$

Multiply and add as follows to obtain the first entry of the product matrix AB.

1. To obtain the entry in row 1, column 1 of *AB*, multiply the first row in *A* by the first column in *B*, and add.

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} \end{bmatrix} \cdot \begin{bmatrix} b_{11} \\ b_{21} \\ b_{31} \end{bmatrix} = a_{11} \cdot b_{11} + a_{12} \cdot b_{21} + a_{13} \cdot b_{31}$$

2. To obtain the entry in row 1, column 2 of *AB*, multiply the first row of *A* by the second column in *B*, and add.

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} \end{bmatrix} \cdot \begin{bmatrix} b_{12} \\ b_{22} \\ b_{32} \end{bmatrix} = a_{11} \cdot b_{12} + a_{12} \cdot b_{22} + a_{13} \cdot b_{32}$$

3. To obtain the entry in row 1, column 3 of *AB*, multiply the first row of *A* by the third column in *B*, and add.

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} \end{bmatrix} \cdot \begin{bmatrix} b_{13} \\ b_{23} \\ b_{33} \end{bmatrix} = a_{11} \cdot b_{13} + a_{12} \cdot b_{23} + a_{13} \cdot b_{33}$$

We proceed the same way to obtain the second row of *AB*. In other words, row 2 of *A* times column 1 of *B*; row 2 of *A* times column 3 of *B*. When complete, the product matrix will be

$$AB = \begin{bmatrix} a_{11} \cdot b_{11} + a_{12} \cdot b_{21} + a_{13} \cdot b_{31} & a_{11} \cdot b_{12} + a_{12} \cdot b_{22} + a_{13} \cdot b_{32} & a_{11} \cdot b_{13} + a_{12} \cdot b_{23} + a_{13} \cdot b_{33} \\ a_{21} \cdot b_{11} + a_{22} \cdot b_{21} + a_{23} \cdot b_{31} & a_{21} \cdot b_{12} + a_{22} \cdot b_{22} + a_{23} \cdot b_{32} & a_{21} \cdot b_{13} + a_{22} \cdot b_{23} + a_{23} \cdot b_{33} \end{bmatrix}$$

Properties of Matrix Multiplication

For the matrices A, B, and C the following properties hold.

• Matrix multiplication is associative: (AB)C = A(BC).

$$C(A+B) = CA + CB,$$

• Matrix multiplication is distributive:

$$(A+B)C = AC + BC.$$

Note that matrix multiplication is not commutative.

Example 9.33

Multiplying Two Matrices

Multiply matrix A and matrix B.

$$A = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} \text{ and } B = \begin{bmatrix} 5 & 6 \\ 7 & 8 \end{bmatrix}$$

Solution

First, we check the dimensions of the matrices. Matrix A has dimensions 2×2 and matrix B has dimensions 2×2 . The inner dimensions are the same so we can perform the multiplication. The product will have the dimensions 2×2 .

We perform the operations outlined previously.

$$AB = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} \cdot \begin{bmatrix} 5 & 6 \\ 7 & 8 \end{bmatrix}$$
$$= \begin{bmatrix} 1(5) + 2(7) & 1(6) + 2(8) \\ 3(5) + 4(7) & 3(6) + 4(8) \end{bmatrix}$$
$$= \begin{bmatrix} 19 & 22 \\ 43 & 50 \end{bmatrix}$$

Example 9.34

Multiplying Two Matrices

Given A and B:

- a. Find AB.
- b. Find BA.

$$A = \begin{bmatrix} -1 & 2 & 3 \\ 4 & 0 & 5 \end{bmatrix} \text{ and } B = \begin{bmatrix} 5 & -1 \\ -4 & 0 \\ 2 & 3 \end{bmatrix}$$

Solution

a. As the dimensions of A are 2×3 and the dimensions of B are 3×2 , these matrices can be multiplied together because the number of columns in A matches the number of rows in B. The resulting product will be a 2×2 matrix, the number of rows in A by the number of columns in B.

$$AB = \begin{bmatrix} -1 & 2 & 3 \\ 4 & 0 & 5 \end{bmatrix} \begin{bmatrix} 5 & -1 \\ -4 & 0 \\ 2 & 3 \end{bmatrix}$$
$$= \begin{bmatrix} -1(5) + 2(-4) + 3(2) & -1(-1) + 2(0) + 3(3) \\ 4(5) + 0(-4) + 5(2) & 4(-1) + 0(0) + 5(3) \end{bmatrix}$$
$$= \begin{bmatrix} -7 & 10 \\ 30 & 11 \end{bmatrix}$$

b. The dimensions of B are 3×2 and the dimensions of A are 2×3 . The inner dimensions match so the product is defined and will be a 3×3 matrix.

$$BA = \begin{bmatrix} 5 & -1 \\ -4 & 0 \\ 2 & 3 \end{bmatrix} \begin{bmatrix} -1 & 2 & 3 \\ 4 & 0 & 5 \end{bmatrix}$$

$$= \begin{bmatrix} 5(-1) + -1(4) & 5(2) + -1(0) & 5(3) + -1(5) \\ -4(-1) + 0(4) & -4(2) + 0(0) & -4(3) + 0(5) \\ 2(-1) + 3(4) & 2(2) + 3(0) & 2(3) + 3(5) \end{bmatrix}$$

$$= \begin{bmatrix} -9 & 10 & 10 \\ 4 & -8 & -12 \\ 10 & 4 & 21 \end{bmatrix}$$

Analysis

Notice that the products *AB* and *BA* are not equal.

$$AB = \begin{bmatrix} -7 & 10 \\ 30 & 11 \end{bmatrix} \neq \begin{bmatrix} -9 & 10 & 10 \\ 4 & -8 & -12 \\ 10 & 4 & 21 \end{bmatrix} = BA$$

This illustrates the fact that matrix multiplication is not commutative.



Is it possible for *AB* to be defined but not *BA*?

Yes, consider a matrix A with dimension 3×4 and matrix B with dimension 4×2 . For the product AB the inner dimensions are 4 and the product is defined, but for the product BA the inner dimensions are 2 and 3 so the product is undefined.

Example 9.35

Using Matrices in Real-World Problems

Let's return to the problem presented at the opening of this section. We have **Table 9.3**, representing the equipment needs of two soccer teams.

	Wildcats	Mud Cats
Goals	6	10
Balls	30	24
Jerseys	14	20

Table 9.3

We are also given the prices of the equipment, as shown in Table 9.4.

Goal	\$300
Ball	\$10
Jersey	\$30

Table 9.4

We will convert the data to matrices. Thus, the equipment need matrix is written as

$$E = \begin{bmatrix} 6 & 10 \\ 30 & 24 \\ 14 & 20 \end{bmatrix}$$

The cost matrix is written as

$$C = [300 \ 10 \ 30]$$

We perform matrix multiplication to obtain costs for the equipment.

$$CE = \begin{bmatrix} 300 & 10 & 30 \end{bmatrix} \cdot \begin{bmatrix} 6 & 10 \\ 30 & 24 \\ 14 & 20 \end{bmatrix}$$
$$= \begin{bmatrix} 300(6) + 10(30) + 30(14) & 300(10) + 10(24) + 30(20) \end{bmatrix}$$
$$= \begin{bmatrix} 2,520 & 3,840 \end{bmatrix}$$

The total cost for equipment for the Wildcats is \$2,520, and the total cost for equipment for the Mud Cats is \$3,840.



Given a matrix operation, evaluate using a calculator.

- 1. Save each matrix as a matrix variable [A], [B], [C], ...
- 2. Enter the operation into the calculator, calling up each matrix variable as needed.
- 3. If the operation is defined, the calculator will present the solution matrix; if the operation is undefined, it will display an error message.

Example 9.36

Using a Calculator to Perform Matrix Operations

Find AB - C given

$$A = \begin{bmatrix} -15 & 25 & 32 \\ 41 & -7 & -28 \\ 10 & 34 & -2 \end{bmatrix}, B = \begin{bmatrix} 45 & 21 & -37 \\ -24 & 52 & 19 \\ 6 & -48 & -31 \end{bmatrix}, \text{ and } C = \begin{bmatrix} -100 & -89 & -98 \\ 25 & -56 & 74 \\ -67 & 42 & -75 \end{bmatrix}.$$

Solution

On the matrix page of the calculator, we enter matrix A above as the matrix variable [A], matrix B above as the matrix variable [B], and matrix C above as the matrix variable [C].

On the home screen of the calculator, we type in the problem and call up each matrix variable as needed.

$$[A] \times [B] - [C]$$

The calculator gives us the following matrix.

$$\begin{bmatrix} -983 & -462 & 136 \\ 1,820 & 1,897 & -856 \\ -311 & 2,032 & 413 \end{bmatrix}$$



Access these online resources for additional instruction and practice with matrices and matrix operations.

- Dimensions of a Matrix (http://openstaxcollege.org/l/matrixdimen)
- Matrix Addition and Subtraction (http://openstaxcollege.org/l/matrixaddsub)
- · Matrix Operations (http://openstaxcollege.org/l/matrixoper)
- · Matrix Multiplication (http://openstaxcollege.org/l/matrixmult)

9.5 EXERCISES

Verbal

265. Can we add any two matrices together? If so, explain why; if not, explain why not and give an example of two matrices that cannot be added together.

266. Can we multiply any column matrix by any row matrix? Explain why or why not.

267. Can both the products AB and BA be defined? If so, explain how; if not, explain why.

268. Can any two matrices of the same size be multiplied? If so, explain why, and if not, explain why not and give an example of two matrices of the same size that cannot be multiplied together.

269. Does matrix multiplication commute? That is, does AB = BA? If so, prove why it does. If not, explain why it does not.

Algebraic

For the following exercises, use the matrices below and perform the matrix addition or subtraction. Indicate if the operation is undefined.

$$A = \begin{bmatrix} 1 & 3 \\ 0 & 7 \end{bmatrix}, B = \begin{bmatrix} 2 & 14 \\ 22 & 6 \end{bmatrix}, C = \begin{bmatrix} 1 & 5 \\ 8 & 92 \\ 12 & 6 \end{bmatrix}, D = \begin{bmatrix} 10 & 14 \\ 7 & 2 \\ 5 & 61 \end{bmatrix}, E = \begin{bmatrix} 6 & 12 \\ 14 & 5 \end{bmatrix}, F = \begin{bmatrix} 0 & 9 \\ 78 & 17 \\ 15 & 4 \end{bmatrix}$$

270. A + B

271. C + D

272. A + C

273. B - E

274. C + F

275. D - B

For the following exercises, use the matrices below to perform scalar multiplication.

$$A = \begin{bmatrix} 4 & 6 \\ 13 & 12 \end{bmatrix}, B = \begin{bmatrix} 3 & 9 \\ 21 & 12 \\ 0 & 64 \end{bmatrix}, C = \begin{bmatrix} 16 & 3 & 7 & 18 \\ 90 & 5 & 3 & 29 \end{bmatrix}, D = \begin{bmatrix} 18 & 12 & 13 \\ 8 & 14 & 6 \\ 7 & 4 & 21 \end{bmatrix}$$

276. 5A

277. 3*B*

278. -2B

279. −4*C*

280. $\frac{1}{2}C$

281. 100*D*

For the following exercises, use the matrices below to perform matrix multiplication.

$$A = \begin{bmatrix} -1 & 5 \\ 3 & 2 \end{bmatrix}, B = \begin{bmatrix} 3 & 6 & 4 \\ -8 & 0 & 12 \end{bmatrix}, C = \begin{bmatrix} 4 & 10 \\ -2 & 6 \\ 5 & 9 \end{bmatrix}, D = \begin{bmatrix} 2 & -3 & 12 \\ 9 & 3 & 1 \\ 0 & 8 & -10 \end{bmatrix}$$

282. AB

283. *BC*

284. *CA*

285. BD

286. *DC*

287. *CB*

For the following exercises, use the matrices below to perform the indicated operation if possible. If not possible, explain why the operation cannot be performed.

$$A = \begin{bmatrix} 2 & -5 \\ 6 & 7 \end{bmatrix}, B = \begin{bmatrix} -9 & 6 \\ -4 & 2 \end{bmatrix}, C = \begin{bmatrix} 0 & 9 \\ 7 & 1 \end{bmatrix}, D = \begin{bmatrix} -8 & 7 & -5 \\ 4 & 3 & 2 \\ 0 & 9 & 2 \end{bmatrix}, E = \begin{bmatrix} 4 & 5 & 3 \\ 7 & -6 & -5 \\ 1 & 0 & 9 \end{bmatrix}$$

288. A + B - C

289. 4A + 5D

290. 2C + B

291. 3D + 4E

292. C-0.5D

293. 100D-10E

For the following exercises, use the matrices below to perform the indicated operation if possible. If not possible, explain why the operation cannot be performed. (Hint:

$$A^2 = A \cdot A$$

$$A = \begin{bmatrix} -10 & 20 \\ 5 & 25 \end{bmatrix}, B = \begin{bmatrix} 40 & 10 \\ -20 & 30 \end{bmatrix}, C = \begin{bmatrix} -1 & 0 \\ 0 & -1 \\ 1 & 0 \end{bmatrix}$$

294. AB

295. BA

296. CA

297. BC

298.
$$A^2$$

299.
$$R^2$$

300.
$$C^2$$

301.
$$B^2 A^2$$

302.
$$A^2B^2$$

303.
$$(AB)^2$$

304.
$$(BA)^2$$

For the following exercises, use the matrices below to perform the indicated operation if possible. If not possible, explain why the operation cannot be performed. (Hint: $A^2 = A \cdot A$)

$$A = \begin{bmatrix} 1 & 0 \\ 2 & 3 \end{bmatrix}, B = \begin{bmatrix} -2 & 3 & 4 \\ -1 & 1 & -5 \end{bmatrix}, C = \begin{bmatrix} 0.5 & 0.1 \\ 1 & 0.2 \\ -0.5 & 0.3 \end{bmatrix}, D = \begin{bmatrix} 1 & 0 & -1 \\ -6 & 7 & 5 \\ 4 & 2 & 1 \end{bmatrix}$$

- 305. AB
- 306. BA
- 307. BD
- 308. DC
- 309. D^2
- 310. A^2
- 311. D^3
- 312. (AB)C
- 313. A(BC)

Technology

For the following exercises, use the matrices below to perform the indicated operation if possible. If not possible, explain why the operation cannot be performed. Use a calculator to verify your solution.

$$A = \begin{bmatrix} -2 & 0 & 9 \\ 1 & 8 & -3 \\ 0.5 & 4 & 5 \end{bmatrix}, B = \begin{bmatrix} 0.5 & 3 & 0 \\ -4 & 1 & 6 \\ 8 & 7 & 2 \end{bmatrix}, C = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix}$$

- 314. *AB*
- 315. BA
- 316. *CA*

- 317. *BC*
- 318. ABC

Extensions

For the following exercises, use the matrix below to perform the indicated operation on the given matrix.

$$B = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

- 319. R^2
- 320. B^3
- 321. B^4
- 322. R^5

323. Using the above questions, find a formula for B^n . Test the formula for B^{201} and B^{202} , using a calculator.

9.6 | Solving Systems with Gaussian Elimination

Learning Objectives

In this section, you will:

- **9.6.1** Write the augmented matrix of a system of equations.
- **9.6.2** Write the system of equations from an augmented matrix.
- **9.6.3** Perform row operations on a matrix.
- 9.6.4 Solve a system of linear equations using matrices.



Figure 9.30 German mathematician Carl Friedrich Gauss (1777–1855).

Carl Friedrich Gauss lived during the late 18th century and early 19th century, but he is still considered one of the most prolific mathematicians in history. His contributions to the science of mathematics and physics span fields such as algebra, number theory, analysis, differential geometry, astronomy, and optics, among others. His discoveries regarding matrix theory changed the way mathematicians have worked for the last two centuries.

We first encountered Gaussian elimination in **Systems of Linear Equations: Two Variables**. In this section, we will revisit this technique for solving systems, this time using matrices.

Writing the Augmented Matrix of a System of Equations

A matrix can serve as a device for representing and solving a system of equations. To express a system in matrix form, we extract the coefficients of the variables and the constants, and these become the entries of the matrix. We use a vertical line to separate the coefficient entries from the constants, essentially replacing the equal signs. When a system is written in this form, we call it an **augmented matrix**.

For example, consider the following 2×2 system of equations.

$$3x + 4y = 7$$
$$4x - 2y = 5$$

We can write this system as an augmented matrix:

$$\begin{bmatrix} 3 & 4 & 7 \\ 4 & -2 & 5 \end{bmatrix}$$

We can also write a matrix containing just the coefficients. This is called the **coefficient matrix**.

$$\begin{bmatrix} 3 & 4 \\ 4 & -2 \end{bmatrix}$$

A three-by-three system of equations such as

$$3x - y - z = 0$$
$$x + y = 5$$
$$2x - 3z = 2$$

has a coefficient matrix

$$\begin{bmatrix} 3 & -1 & -1 \\ 1 & 1 & 0 \\ 2 & 0 & -3 \end{bmatrix}$$

and is represented by the augmented matrix

$$\begin{bmatrix} 3 & -1 & -1 & 0 \\ 1 & 1 & 0 & 5 \\ 2 & 0 & -3 & 2 \end{bmatrix}$$

Notice that the matrix is written so that the variables line up in their own columns: x-terms go in the first column, y-terms in the second column, and z-terms in the third column. It is very important that each equation is written in standard form ax + by + cz = d so that the variables line up. When there is a missing variable term in an equation, the coefficient is 0.



Given a system of equations, write an augmented matrix.

- 1. Write the coefficients of the *x*-terms as the numbers down the first column.
- 2. Write the coefficients of the *y*-terms as the numbers down the second column.
- 3. If there are *z*-terms, write the coefficients as the numbers down the third column.
- 4. Draw a vertical line and write the constants to the right of the line.

Example 9.37

Writing the Augmented Matrix for a System of Equations

Write the augmented matrix for the given system of equations.

$$x + 2y - z = 3$$
$$2x - y + 2z = 6$$
$$x - 3y + 3z = 4$$

Solution

The augmented matrix displays the coefficients of the variables, and an additional column for the constants.

$$\begin{bmatrix} 1 & 2 & -1 & 3 \\ 2 & -1 & 2 & 6 \\ 1 & -3 & 3 & 4 \end{bmatrix}$$



9.22 Write the augmented matrix of the given system of equations.

$$4x-3y = 11$$
$$3x + 2y = 4$$

Writing a System of Equations from an Augmented Matrix

We can use augmented matrices to help us solve systems of equations because they simplify operations when the systems are not encumbered by the variables. However, it is important to understand how to move back and forth between formats in order to make finding solutions smoother and more intuitive. Here, we will use the information in an augmented matrix to write the system of equations in standard form.

Example 9.38

Writing a System of Equations from an Augmented Matrix Form

Find the system of equations from the augmented matrix.

$$\begin{bmatrix} 1 & -3 & -5 & | & -2 \\ 2 & -5 & -4 & | & 5 \\ -3 & 5 & 4 & | & 6 \end{bmatrix}$$

Solution

When the columns represent the variables x, y, and z,

$$\begin{bmatrix} 1 & -3 & -5 \\ 2 & -5 & -4 \\ -3 & 5 & 4 \end{bmatrix} \xrightarrow{-2} \begin{bmatrix} x - 3y - 5z = -2 \\ 5 \\ -3x + 5y + 4z = 6 \end{bmatrix}$$



9.23 Write the system of equations from the augmented matrix.

$$\begin{bmatrix} 1 & -1 & 1 & 5 \\ 2 & -1 & 3 & 1 \\ 0 & 1 & 1 & -9 \end{bmatrix}$$

Performing Row Operations on a Matrix

Now that we can write systems of equations in augmented matrix form, we will examine the various **row operations** that can be performed on a matrix, such as addition, multiplication by a constant, and interchanging rows.

Performing row operations on a matrix is the method we use for solving a system of equations. In order to solve the system of equations, we want to convert the matrix to **row-echelon form**, in which there are ones down the **main diagonal** from the upper left corner to the lower right corner, and zeros in every position below the main diagonal as shown.

Row-echelon form

$$\begin{bmatrix} 1 & a & b \\ 0 & 1 & d \\ 0 & 0 & 1 \end{bmatrix}$$

We use row operations corresponding to equation operations to obtain a new matrix that is **row-equivalent** in a simpler form. Here are the guidelines to obtaining row-echelon form.

- 1. In any nonzero row, the first nonzero number is a 1. It is called a *leading* 1.
- 2. Any all-zero rows are placed at the bottom on the matrix.
- 3. Any leading 1 is below and to the right of a previous leading 1.
- 4. Any column containing a leading 1 has zeros in all other positions in the column.

To solve a system of equations we can perform the following row operations to convert the coefficient matrix to row-echelon form and do back-substitution to find the solution.

- 1. Interchange rows. (Notation: $R_i \leftrightarrow R_i$)
- 2. Multiply a row by a constant. (Notation: cR_i)
- 3. Add the product of a row multiplied by a constant to another row. (Notation: $R_i + cR_j$)

Each of the row operations corresponds to the operations we have already learned to solve systems of equations in three variables. With these operations, there are some key moves that will quickly achieve the goal of writing a matrix in row-echelon form. To obtain a matrix in row-echelon form for finding solutions, we use Gaussian elimination, a method that uses row operations to obtain a 1 as the first entry so that row 1 can be used to convert the remaining rows.

Gaussian Elimination

The **Gaussian elimination** method refers to a strategy used to obtain the row-echelon form of a matrix. The goal is to write matrix A with the number 1 as the entry down the main diagonal and have all zeros below.

$$A = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} \xrightarrow{\text{After Gaussian elimination}} A = \begin{bmatrix} 1 & b_{12} & b_{13} \\ 0 & 1 & b_{23} \\ 0 & 0 & 1 \end{bmatrix}$$

The first step of the Gaussian strategy includes obtaining a 1 as the first entry, so that row 1 may be used to alter the rows below.



Given an augmented matrix, perform row operations to achieve row-echelon form.

- **1**. The first equation should have a leading coefficient of 1. Interchange rows or multiply by a constant, if necessary.
- 2. Use row operations to obtain zeros down the first column below the first entry of 1.
- 3. Use row operations to obtain a 1 in row 2, column 2.
- 4. Use row operations to obtain zeros down column 2, below the entry of 1.
- 5. Use row operations to obtain a 1 in row 3, column 3.
- 6. Continue this process for all rows until there is a 1 in every entry down the main diagonal and there are only zeros below.
- 7. If any rows contain all zeros, place them at the bottom.

Example 9.39

Solving a 2×2 System by Gaussian Elimination

Solve the given system by Gaussian elimination.

$$2x + 3y = 6$$
$$x - y = \frac{1}{2}$$

Solution

First, we write this as an augmented matrix.

$$\begin{bmatrix} 2 & 3 & 6 \\ 1 & -1 & \frac{1}{2} \end{bmatrix}$$

We want a 1 in row 1, column 1. This can be accomplished by interchanging row 1 and row 2.

$$R_1 \leftrightarrow R_2 \rightarrow \begin{bmatrix} 1 & -1 & | & \frac{1}{2} \\ 2 & 3 & | & 6 \end{bmatrix}$$

We now have a 1 as the first entry in row 1, column 1. Now let's obtain a 0 in row 2, column 1. This can be accomplished by multiplying row 1 by -2, and then adding the result to row 2.

$$-2R_1 + R_2 = R_2 \rightarrow \begin{bmatrix} 1 & -1 & \frac{1}{2} \\ 0 & 5 & 5 \end{bmatrix}$$

We only have one more step, to multiply row 2 by $\frac{1}{5}$.

$$\frac{1}{5}R_2 = R_2 \rightarrow \begin{bmatrix} 1 & -1 & | & \frac{1}{2} \\ 0 & 1 & | & 1 \end{bmatrix}$$

Use back-substitution. The second row of the matrix represents y = 1. Back-substitute y = 1 into the first equation.

$$x - (1) = \frac{1}{2}$$
$$x = \frac{3}{2}$$

The solution is the point $(\frac{3}{2}, 1)$.



9.24 Solve the given system by Gaussian elimination.

$$4x + 3y = 11$$
$$x - 3y = -1$$

Example 9.40

Using Gaussian Elimination to Solve a System of Equations

Use Gaussian elimination to solve the given 2×2 system of equations.

$$2x + y = 1$$
$$4x + 2y = 6$$

Solution

Write the system as an augmented matrix.

$$\begin{bmatrix} 2 & 1 & | & 1 \\ 4 & 2 & | & 6 \end{bmatrix}$$

Obtain a 1 in row 1, column 1. This can be accomplished by multiplying the first row by $\frac{1}{2}$.

$$\frac{1}{2}R_1 = R_1 \rightarrow \begin{bmatrix} 1 & \frac{1}{2} & \frac{1}{2} \\ 4 & 2 & 6 \end{bmatrix}$$

Next, we want a 0 in row 2, column 1. Multiply row 1 by -4 and add row 1 to row 2.

$$-4R_1 + R_2 = R_2 \rightarrow \begin{bmatrix} 1 & \frac{1}{2} & \frac{1}{2} \\ 0 & 0 & 4 \end{bmatrix}$$

The second row represents the equation 0 = 4. Therefore, the system is inconsistent and has no solution.

Example 9.41

Solving a Dependent System

Solve the system of equations.

$$3x + 4y = 12$$

$$6x + 8y = 24$$

Solution

Perform row operations on the augmented matrix to try and achieve row-echelon form.

$$A = \begin{bmatrix} 3 & 4 & 12 \\ 6 & 8 & 24 \end{bmatrix}$$
$$-\frac{1}{2}R_2 + R_1 = R_1 \rightarrow \begin{bmatrix} 0 & 0 & 0 \\ 6 & 8 & 24 \end{bmatrix}$$
$$R_1 \leftrightarrow R_2 \rightarrow \begin{bmatrix} 6 & 8 & 24 \\ 0 & 0 & 0 \end{bmatrix}$$

The matrix ends up with all zeros in the last row: 0y = 0. Thus, there are an infinite number of solutions and the system is classified as dependent. To find the generic solution, return to one of the original equations and solve for y.

$$3x + 4y = 12$$
$$4y = 12-3x$$
$$y = 3 - \frac{3}{4}x$$

So the solution to this system is $\left(x, 3 - \frac{3}{4}x\right)$.

Example 9.42

Performing Row Operations on a 3×3 Augmented Matrix to Obtain Row-Echelon Form

Perform row operations on the given matrix to obtain row-echelon form.

$$\begin{bmatrix} 1 & -3 & 4 & | & 3 \\ 2 & -5 & 6 & | & 6 \\ -3 & 3 & 4 & | & 6 \end{bmatrix}$$

Solution

The first row already has a 1 in row 1, column 1. The next step is to multiply row 1 by -2 and add it to row 2. Then replace row 2 with the result.

$$-2R_1 + R_2 = R_2 \rightarrow \begin{bmatrix} 1 & -3 & 4 & 3 \\ 0 & 1 & -2 & 0 \\ -3 & 3 & 4 & 6 \end{bmatrix}$$

Next, obtain a zero in row 3, column 1.

$$3R_1 + R_3 = R_3 \rightarrow \begin{bmatrix} 1 & -3 & 4 & 3 \\ 0 & 1 & -2 & 0 \\ 0 & -6 & 16 & 15 \end{bmatrix}$$

Next, obtain a zero in row 3, column 2.

$$6R_2 + R_3 = R_3 \rightarrow \begin{bmatrix} 1 & -3 & 4 & 3 \\ 0 & 1 & -2 & 0 \\ 0 & 0 & 4 & 15 \end{bmatrix}$$

The last step is to obtain a 1 in row 3, column 3.

$$\frac{1}{2}R_3 = R_3 \to \begin{bmatrix} 1 & -3 & 4 & 3 \\ 0 & 1 & -2 & -6 \\ 0 & 0 & 1 & \frac{21}{2} \end{bmatrix}$$



9.25 Write the system of equations in row-echelon form.

$$x-2y+3z = 9$$
$$-x+3y = -4$$
$$2x-5y+5z = 17$$

Solving a System of Linear Equations Using Matrices

We have seen how to write a system of equations with an augmented matrix, and then how to use row operations and back-substitution to obtain row-echelon form. Now, we will take row-echelon form a step farther to solve a 3 by 3 system of linear equations. The general idea is to eliminate all but one variable using row operations and then back-substitute to solve for the other variables.

Example 9.43

Solving a System of Linear Equations Using Matrices

Solve the system of linear equations using matrices.

$$x - y + z = 8$$

 $2x + 3y - z = -2$
 $3x - 2y - 9z = 9$

Solution

First, we write the augmented matrix.

$$\begin{bmatrix} 1 & -1 & 1 & 8 \\ 2 & 3 & -1 & -2 \\ 3 & -2 & -9 & 9 \end{bmatrix}$$

Next, we perform row operations to obtain row-echelon form.

$$-2R_1 + R_2 = R_2 \rightarrow \begin{bmatrix} 1 & -1 & 1 & 8 \\ 0 & 5 & -3 & -18 \\ 3 & -2 & -9 & 9 \end{bmatrix} \qquad -3R_1 + R_3 = R_3 \rightarrow \begin{bmatrix} 1 & -1 & 1 & 8 \\ 0 & 5 & -3 & -18 \\ 0 & 1 & -12 & -15 \end{bmatrix}$$

The easiest way to obtain a 1 in row 2 of column 1 is to interchange R_2 and R_3 .

Interchange
$$R_2$$
 and $R_3 \rightarrow \begin{bmatrix} 1 & -1 & 1 & 8 \\ 0 & 1 & -12 & -15 \\ 0 & 5 & -3 & -18 \end{bmatrix}$

Then

$$-5R_2 + R_3 = R_3 \rightarrow \begin{bmatrix} 1 & -1 & 1 & | & 8 \\ 0 & 1 & -12 & | & -15 \\ 0 & 0 & 57 & | & 57 \end{bmatrix} \qquad -\frac{1}{57}R_3 = R_3 \rightarrow \begin{bmatrix} 1 & -1 & 1 & | & 8 \\ 0 & 1 & -12 & | & -15 \\ 0 & 0 & 1 & | & 1 \end{bmatrix}$$

The last matrix represents the equivalent system.

$$x - y + z = 8$$
$$y - 12z = -15$$
$$z = 1$$

Using back-substitution, we obtain the solution as (4, -3, 1).

Example 9.44

Solving a Dependent System of Linear Equations Using Matrices

Solve the following system of linear equations using matrices.

$$-x-2y + z = -1$$
$$2x + 3y = 2$$
$$y-2z = 0$$

Solution

Write the augmented matrix.

$$\begin{bmatrix} -1 & -2 & 1 & | & -1 \\ 2 & 3 & 0 & | & 2 \\ 0 & 1 & -2 & | & 0 \end{bmatrix}$$

First, multiply row 1 by -1 to get a 1 in row 1, column 1. Then, perform row operations to obtain row-echelon form.

$$-R_{1} \rightarrow \begin{bmatrix} 1 & 2 & -1 & 1 \\ 2 & 3 & 0 & 2 \\ 0 & 1 & -2 & 0 \end{bmatrix}$$

$$R_{2} \leftrightarrow R_{3} \rightarrow \begin{bmatrix} 1 & 2 & -1 & 1 \\ 0 & 1 & -2 & 0 \\ 2 & 3 & 0 & 2 \end{bmatrix}$$

$$-2R_{1} + R_{3} = R_{3} \rightarrow \begin{bmatrix} 1 & 2 & -1 & 1 \\ 0 & 1 & -2 & 0 \\ 0 & -1 & 2 & 0 \end{bmatrix}$$

$$R_{2} + R_{3} = R_{3} \rightarrow \begin{bmatrix} 1 & 2 & -1 & 1 \\ 0 & 1 & -2 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

The last matrix represents the following system.

$$x + 2y - z = 1$$
$$y - 2z = 0$$
$$0 = 0$$

We see by the identity 0 = 0 that this is a dependent system with an infinite number of solutions. We then find the generic solution. By solving the second equation for y and substituting it into the first equation we can solve for z in terms of x.

$$x + 2y - z = 1$$

$$y = 2z$$

$$x + 2(2z) - z = 1$$

$$x + 3z = 1$$

$$z = \frac{1 - x}{3}$$

Now we substitute the expression for z into the second equation to solve for y in terms of x.

$$y - 2z = 0$$

$$z = \frac{1 - x}{3}$$

$$y - 2\left(\frac{1 - x}{3}\right) = 0$$

$$y = \frac{2 - 2x}{3}$$

The generic solution is $\left(x, \frac{2-2x}{3}, \frac{1-x}{3}\right)$.



9.26 Solve the system using matrices.

$$x + 4y - z = 4$$
$$2x + 5y + 8z = 15$$
$$x + 3y - 3z = 1$$



Can any system of linear equations be solved by Gaussian elimination?

Yes, a system of linear equations of any size can be solved by Gaussian elimination.



Given a system of equations, solve with matrices using a calculator.

- 1. Save the augmented matrix as a matrix variable [A], [B], [C], ...
- 2. Use the **ref**(function in the calculator, calling up each matrix variable as needed.

Example 9.45

Solving Systems of Equations with Matrices Using a Calculator

Solve the system of equations.

$$5x + 3y + 9z = -1$$
$$-2x + 3y - z = -2$$
$$-x-4y + 5z = 1$$

Solution

Write the augmented matrix for the system of equations.

$$\begin{bmatrix} 5 & 3 & 9 & 5 \\ -2 & 3 & -1 & -2 \\ -1 & -4 & 5 & -1 \end{bmatrix}$$

On the matrix page of the calculator, enter the augmented matrix above as the matrix variable [A].

$$[A] = \begin{bmatrix} 5 & 3 & 9 & -1 \\ -2 & 3 & -1 & -2 \\ -1 & -4 & 5 & 1 \end{bmatrix}$$

Use the **ref(** function in the calculator, calling up the matrix variable [*A*].

Evaluate.

$$\begin{bmatrix} 1 & \frac{3}{5} & \frac{9}{5} & \frac{1}{5} \\ 0 & 1 & \frac{13}{21} & -\frac{4}{7} \\ 0 & 0 & 1 & -\frac{24}{187} \end{bmatrix} \rightarrow \begin{aligned} x + \frac{3}{5}y + \frac{9}{5}z &= -\frac{1}{5} \\ y + \frac{13}{21}z &= -\frac{4}{7} \\ z &= -\frac{24}{187} \end{aligned}$$

Using back-substitution, the solution is $\left(\frac{61}{187}, -\frac{92}{187}, -\frac{24}{187}\right)$.

Example 9.46

Applying 2 × 2 Matrices to Finance

Carolyn invests a total of \$12,000 in two municipal bonds, one paying 10.5% interest and the other paying 12% interest. The annual interest earned on the two investments last year was \$1,335. How much was invested at each rate?

Solution

We have a system of two equations in two variables. Let x = the amount invested at 10.5% interest, and y = the amount invested at 12% interest.

$$x + y = 12,000$$
$$0.105x + 0.12y = 1,335$$

As a matrix, we have

$$\begin{bmatrix} 1 & 1 & | & 12,000 \\ 0.105 & 0.12 & | & 1,335 \end{bmatrix}$$

Multiply row 1 by -0.105 and add the result to row 2.

$$\begin{bmatrix} 1 & 1 & | & 12,000 \\ 0 & 0.015 & | & 75 \end{bmatrix}$$

Then,

$$0.015y = 75$$

 $y = 5,000$

So 12,000-5,000 = 7,000.

Thus, \$5,000 was invested at 12% interest and \$7,000 at 10.5% interest.

Example 9.47

Applying 3 × 3 Matrices to Finance

Ava invests a total of \$10,000 in three accounts, one paying 5% interest, another paying 8% interest, and the third paying 9% interest. The annual interest earned on the three investments last year was \$770. The amount invested at 9% was twice the amount invested at 5%. How much was invested at each rate?

Solution

We have a system of three equations in three variables. Let x be the amount invested at 5% interest, let y be the amount invested at 8% interest, and let z be the amount invested at 9% interest. Thus,

$$x + y + z = 10,000$$
$$0.05x + 0.08y + 0.09z = 770$$
$$2x - z = 0$$

As a matrix, we have

$$\begin{bmatrix} 1 & 1 & 1 & | & 10,000 \\ 0.05 & 0.08 & 0.09 & | & 770 \\ 2 & 0 & -1 & | & 0 \end{bmatrix}$$

Now, we perform Gaussian elimination to achieve row-echelon form.

$$\begin{aligned} -0.05R_1 + R_2 &= R_2 \rightarrow \begin{bmatrix} 1 & 1 & 1 & | & 10,000 \\ 0 & 0.03 & 0.04 & | & 270 \\ 2 & 0 & -1 & | & 0 \end{bmatrix} \\ -2R_1 + R_3 &= R_3 \rightarrow \begin{bmatrix} 1 & 1 & 1 & | & 10,000 \\ 0 & 0.03 & 0.04 & | & 270 \\ 0 & -2 & -3 & | & -20,000 \end{bmatrix} \\ \frac{1}{0.03}R_2 &= R_2 \rightarrow \begin{bmatrix} 0 & 1 & 1 & | & 10,000 \\ 0 & 1 & \frac{4}{3} & | & 9,000 \\ 0 & -2 & -3 & | & -20,000 \end{bmatrix} \\ 2R_2 + R_3 &= R_3 \rightarrow \begin{bmatrix} 1 & 1 & 1 & | & 10,000 \\ 0 & 1 & \frac{4}{3} & | & 10,000 \\ 0 & 0 & -\frac{1}{3} & | & -2,000 \end{bmatrix} \end{aligned}$$

The third row tells us $-\frac{1}{3}z = -2,000$; thus z = 6,000.

The second row tells us $y + \frac{4}{3}z = 9,000$. Substituting z = 6,000, we get

$$y + \frac{4}{3}(6,000) = 9,000$$
$$y + 8,000 = 9,000$$
$$y = 1,000$$

The first row tells us x + y + z = 10, 000. Substituting y = 1, 000 and z = 6, 000, we get

$$x + 1,000 + 6,000 = 10,000$$

 $x = 3,000$

The answer is \$3,000 invested at 5% interest, \$1,000 invested at 8%, and \$6,000 invested at 9% interest.



9.27 A small shoe company took out a loan of \$1,500,000 to expand their inventory. Part of the money was borrowed at 7%, part was borrowed at 8%, and part was borrowed at 10%. The amount borrowed at 10% was four times the amount borrowed at 7%, and the annual interest on all three loans was \$130,500. Use matrices to find the amount borrowed at each rate.



Access these online resources for additional instruction and practice with solving systems of linear equations using Gaussian elimination.

- Solve a System of Two Equations Using an Augmented Matrix (http://openstaxcollege.org/l/system2augmat)
- Solve a System of Three Equations Using an Augmented Matrix (http://openstaxcollege.org/l/system3augmat)
- Augmented Matrices on the Calculator (http://openstaxcollege.org/l/augmatcalc)

9.6 EXERCISES

Verbal

- 324. Can any system of linear equations be written as an augmented matrix? Explain why or why not. Explain how to write that augmented matrix.
- 325. Can any matrix be written as a system of linear equations? Explain why or why not. Explain how to write that system of equations.
- 326. Is there only one correct method of using row operations on a matrix? Try to explain two different row operations possible to solve the augmented matrix $\begin{bmatrix} 9 & 3 & | & 0 \\ 1 & -2 & | & 6 \end{bmatrix}$.
- 327. Can a matrix whose entry is 0 on the diagonal be solved? Explain why or why not. What would you do to remedy the situation?
- 328. Can a matrix that has 0 entries for an entire row have one solution? Explain why or why not.

Algebraic

For the following exercises, write the augmented matrix for the linear system.

329.
$$8x-37y = 8$$

 $2x + 12y = 3$

$$16y = 4$$
$$9x - y = 2$$

331.
$$3x + 2y + 10z = 3$$

 $-6x + 2y + 5z = 13$
 $4x + z = 18$

332.
$$x + 5y + 8z = 19$$

 $12x + 3y = 4$
 $3x + 4y + 9z = -7$

333.
$$6x + 12y + 16z = 4$$

 $19x-5y+3z = -9$
 $x + 2y = -8$

For the following exercises, write the linear system from the augmented matrix.

334.
$$\begin{bmatrix} -2 & 5 & 5 \\ 6 & -18 & 26 \end{bmatrix}$$

335.
$$\begin{bmatrix} 3 & 4 & 10 \\ 10 & 17 & 439 \end{bmatrix}$$

336.
$$\begin{bmatrix} 3 & 2 & 0 & | & 3 \\ -1 & -9 & 4 & | & -1 \\ 8 & 5 & 7 & | & 8 \end{bmatrix}$$

337.
$$\begin{bmatrix} 8 & 29 & 1 & | & 43 \\ -1 & 7 & 5 & | & 38 \\ 0 & 0 & 3 & | & 10 \end{bmatrix}$$

338.
$$\begin{bmatrix} 4 & 5 & -2 & | & 12 \\ 0 & 1 & 58 & | & 2 \\ 8 & 7 & -3 & | & -5 \end{bmatrix}$$

For the following exercises, solve the system by Gaussian elimination.

339.
$$\begin{bmatrix} 1 & 0 & | & 3 \\ 0 & 0 & | & 0 \end{bmatrix}$$

340.
$$\begin{bmatrix} 1 & 0 & | & 1 \\ 1 & 0 & | & 2 \end{bmatrix}$$

341.
$$\begin{bmatrix} 1 & 2 & | & 3 \\ 4 & 5 & | & 6 \end{bmatrix}$$

342.
$$\begin{bmatrix} -1 & 2 & | & -3 \\ 4 & -5 & | & 6 \end{bmatrix}$$

343.
$$\begin{bmatrix} -2 & 0 & | & 1 \\ 0 & 2 & | & -1 \end{bmatrix}$$

$$344. \quad 2x - 3y = -9$$
$$5x + 4y = 58$$

$$345. \ 6x + 2y = -4$$
$$3x + 4y = -17$$

$$346. \ \ 2x + 3y = 12$$
$$4x + y = 14$$

$$347. \quad -4x - 3y = -2$$
$$3x - 5y = -13$$

$$348. \quad -5x + 8y = 3$$
$$10x + 6y = 5$$

$$349. \quad 3x + 4y = 12$$
$$-6x - 8y = -24$$

$$350. \quad -60x + 45y = 12$$
$$20x - 15y = -4$$

351.
$$11x + 10y = 43$$

 $15x + 20y = 65$

352.
$$2x - y = 2$$

 $3x + 2y = 17$

353.
$$-1.06x-2.25y = 5.51$$

 $-5.03x-1.08y = 5.40$

354.
$$\frac{3}{4}x - \frac{3}{5}y = 4$$

 $\frac{1}{4}x + \frac{2}{3}y = 1$

355.
$$\frac{1}{4}x - \frac{2}{3}y = -1$$

 $\frac{1}{2}x + \frac{1}{3}y = 3$

356.
$$\begin{bmatrix} 1 & 0 & 0 & | & 31 \\ 0 & 1 & 1 & | & 45 \\ 0 & 0 & 1 & | & 87 \end{bmatrix}$$

357.
$$\begin{bmatrix} 1 & 0 & 1 & | & 50 \\ 1 & 1 & 0 & | & 20 \\ 0 & 1 & 1 & | & -90 \end{bmatrix}$$

358.
$$\begin{bmatrix} 1 & 2 & 3 & | & 4 \\ 0 & 5 & 6 & | & 7 \\ 0 & 0 & 8 & | & 9 \end{bmatrix}$$

360.
$$-2x + 3y - 2z = 3$$

 $4x + 2y - z = 9$
 $4x - 8y + 2z = -6$

361.
$$x + y - 4z = -4$$
$$5x - 3y - 2z = 0$$
$$2x + 6y + 7z = 30$$

362.
$$2x + 3y + 2z = 1$$
$$-4x - 6y - 4z = -2$$
$$10x + 15y + 10z = 5$$

363.
$$x + 2y - z = 1$$

 $-x - 2y + 2z = -2$
 $3x + 6y - 3z = 5$

364.
$$x + 2y - z = 1$$

 $-x-2y + 2z = -2$
 $3x + 6y-3z = 3$

$$x + y = 2$$
$$x + z = 1$$
$$-y - z = -3$$

366.
$$x + y + z = 100$$

 $x + 2z = 125$
 $-y + 2z = 25$

367.
$$\frac{1}{4}x - \frac{2}{3}z = -\frac{1}{2}$$
$$\frac{1}{5}x + \frac{1}{3}y = \frac{4}{7}$$
$$\frac{1}{5}y - \frac{1}{3}z = \frac{2}{9}$$

368.
$$-\frac{1}{2}x + \frac{1}{2}y + \frac{1}{7}z = -\frac{53}{14}$$
$$\frac{1}{2}x - \frac{1}{2}y + \frac{1}{4}z = 3$$
$$\frac{1}{4}x + \frac{1}{5}y + \frac{1}{3}z = \frac{23}{15}$$

369.
$$-\frac{1}{2}x - \frac{1}{3}y + \frac{1}{4}z = -\frac{29}{6}$$
$$\frac{1}{5}x + \frac{1}{6}y - \frac{1}{7}z = \frac{431}{210}$$
$$-\frac{1}{8}x + \frac{1}{9}y + \frac{1}{10}z = -\frac{49}{45}$$

Extensions

For the following exercises, use Gaussian elimination to solve the system.

370.
$$\frac{x-1}{7} + \frac{y-2}{8} + \frac{z-3}{4} = 0$$
$$x + y + z = 6$$
$$\frac{x+2}{3} + 2y + \frac{z-3}{3} = 5$$

371.
$$\frac{x-1}{4} - \frac{y+1}{4} + 3z = -1$$
$$\frac{x+5}{2} + \frac{y+7}{4} - z = 4$$
$$x + y - \frac{z-2}{2} = 1$$

372.
$$\frac{x-3}{4} - \frac{y-1}{3} + 2z = -1$$
$$\frac{x+5}{2} + \frac{y+5}{2} + \frac{z+5}{2} = 8$$
$$x+y+z=1$$

373.
$$\frac{x-3}{10} + \frac{y+3}{2} - 2z = 3$$
$$\frac{x+5}{4} - \frac{y-1}{8} + z = \frac{3}{2}$$
$$\frac{x-1}{4} + \frac{y+4}{2} + 3z = \frac{3}{2}$$

374.
$$\frac{x-3}{4} - \frac{y-1}{3} + 2z = -1$$
$$\frac{x+5}{2} + \frac{y+5}{2} + \frac{z+5}{2} = 7$$
$$x+y+z=1$$

Real-World Applications

For the following exercises, set up the augmented matrix that describes the situation, and solve for the desired solution.

375. Every day, a cupcake store sells 5,000 cupcakes in chocolate and vanilla flavors. If the chocolate flavor is 3 times as popular as the vanilla flavor, how many of each cupcake sell per day?

376. At a competing cupcake store, \$4,520 worth of cupcakes are sold daily. The chocolate cupcakes cost \$2.25 and the red velvet cupcakes cost \$1.75. If the total number of cupcakes sold per day is 2,200, how many of each flavor are sold each day?

377. You invested \$10,000 into two accounts: one that has simple 3% interest, the other with 2.5% interest. If your total interest payment after one year was \$283.50, how much was in each account after the year passed?

378. You invested \$2,300 into account 1, and \$2,700 into account 2. If the total amount of interest after one year is \$254, and account 2 has 1.5 times the interest rate of account 1, what are the interest rates? Assume simple interest rates.

379. Bikes'R'Us manufactures bikes, which sell for \$250. It costs the manufacturer \$180 per bike, plus a startup fee of \$3,500. After how many bikes sold will the manufacturer break even?

380. A major appliance store is considering purchasing vacuums from a small manufacturer. The store would be able to purchase the vacuums for \$86 each, with a delivery fee of \$9,200, regardless of how many vacuums are sold. If the store needs to start seeing a profit after 230 units are sold, how much should they charge for the vacuums?

381. The three most popular ice cream flavors are chocolate, strawberry, and vanilla, comprising 83% of the flavors sold at an ice cream shop. If vanilla sells 1% more than twice strawberry, and chocolate sells 11% more than vanilla, how much of the total ice cream consumption are the vanilla, chocolate, and strawberry flavors?

382. At an ice cream shop, three flavors are increasing in demand. Last year, banana, pumpkin, and rocky road ice cream made up 12% of total ice cream sales. This year, the same three ice creams made up 16.9% of ice cream sales. The rocky road sales doubled, the banana sales increased by 50%, and the pumpkin sales increased by 20%. If the rocky road ice cream had one less percent of sales than the banana

ice cream, find out the percentage of ice cream sales each individual ice cream made last year.

383. A bag of mixed nuts contains cashews, pistachios, and almonds. There are 1,000 total nuts in the bag, and there are 100 less almonds than pistachios. The cashews weigh 3 g, pistachios weigh 4 g, and almonds weigh 5 g. If the bag weighs 3.7 kg, find out how many of each type of nut is in the bag.

384. A bag of mixed nuts contains cashews, pistachios, and almonds. Originally there were 900 nuts in the bag. 30% of the almonds, 20% of the cashews, and 10% of the pistachios were eaten, and now there are 770 nuts left in the bag. Originally, there were 100 more cashews than almonds. Figure out how many of each type of nut was in the bag to begin with.

9.7 | Solving Systems with Inverses

Learning Objectives

In this section, you will:

- 9.7.1 Find the inverse of a matrix.
- **9.7.2** Solve a system of linear equations using an inverse matrix.

Nancy plans to invest \$10,500 into two different bonds to spread out her risk. The first bond has an annual return of 10%, and the second bond has an annual return of 6%. In order to receive an 8.5% return from the two bonds, how much should Nancy invest in each bond? What is the best method to solve this problem?

There are several ways we can solve this problem. As we have seen in previous sections, systems of equations and matrices are useful in solving real-world problems involving finance. After studying this section, we will have the tools to solve the bond problem using the inverse of a matrix.

Finding the Inverse of a Matrix

We know that the multiplicative inverse of a real number a is a^{-1} , and $aa^{-1} = a^{-1}a = \left(\frac{1}{a}\right)a = 1$. For example,

$$2^{-1} = \frac{1}{2}$$
 and $(\frac{1}{2})^2 = 1$. The multiplicative inverse of a matrix is similar in concept, except that the product of matrix

A and its inverse A^{-1} equals the identity matrix. The identity matrix is a square matrix containing ones down the main diagonal and zeros everywhere else. We identify identity matrices by I_n where n represents the dimension of the matrix.

Equation 9.1 and **Equation 9.2** are the identity matrices for a 2×2 matrix and a 3×3 matrix, respectively.

$$I_2 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \tag{9.1}$$

$$I_3 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \tag{9.2}$$

The identity matrix acts as a 1 in matrix algebra. For example, AI = IA = A.

A matrix that has a multiplicative inverse has the properties

$$AA^{-1} = I$$

$$A^{-1}A = I$$

A matrix that has a multiplicative inverse is called an invertible matrix. Only a square matrix may have a multiplicative inverse, as the reversibility, $AA^{-1} = A^{-1}A = I$, is a requirement. Not all square matrices have an inverse, but if A is invertible, then A^{-1} is unique. We will look at two methods for finding the inverse of a 2×2 matrix and a third method that can be used on both 2×2 and 3×3 matrices.

The Identity Matrix and Multiplicative Inverse

The **identity matrix**, I_n , is a square matrix containing ones down the main diagonal and zeros everywhere else.

$$I_{2} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \qquad I_{3} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
$$2 \times 2 \qquad 3 \times 3$$

If *A* is an $n \times n$ matrix and *B* is an $n \times n$ matrix such that $AB = BA = I_n$, then $B = A^{-1}$, the **multiplicative inverse of a matrix** *A*.

Example 9.48

Showing That the Identity Matrix Acts as a 1

Given matrix A, show that AI = IA = A.

$$A = \begin{bmatrix} 3 & 4 \\ -2 & 5 \end{bmatrix}$$

Solution

Use matrix multiplication to show that the product of A and the identity is equal to the product of the identity and A.

$$AI = \begin{bmatrix} 3 & 4 \\ -2 & 5 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 3 \cdot 1 + 4 \cdot 0 & 3 \cdot 0 + 4 \cdot 1 \\ -2 \cdot 1 + 5 \cdot 0 & -2 \cdot 0 + 5 \cdot 1 \end{bmatrix} = \begin{bmatrix} 3 & 4 \\ -2 & 5 \end{bmatrix}$$
$$AI = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 3 & 4 \\ -2 & 5 \end{bmatrix} = \begin{bmatrix} 1 \cdot 3 + 0 \cdot (-2) & 1 \cdot 4 + 0 \cdot 5 \\ 0 \cdot 3 + 1 \cdot (-2) & 0 \cdot 4 + 1 \cdot 5 \end{bmatrix} = \begin{bmatrix} 3 & 4 \\ -2 & 5 \end{bmatrix}$$



Given two matrices, show that one is the multiplicative inverse of the other.

- 1. Given matrix *A* of order $n \times n$ and matrix *B* of order $n \times n$ multiply *AB*.
- 2. If AB = I, then find the product BA. If BA = I, then $B = A^{-1}$ and $A = B^{-1}$.

Example 9.49

Showing That Matrix A Is the Multiplicative Inverse of Matrix B

Show that the given matrices are multiplicative inverses of each other.

$$A = \begin{bmatrix} 1 & 5 \\ -2 & -9 \end{bmatrix}, B = \begin{bmatrix} -9 & -5 \\ 2 & 1 \end{bmatrix}$$

Solution

Multiply AB and BA. If both products equal the identity, then the two matrices are inverses of each other.

$$AB = \begin{bmatrix} 1 & 5 \\ -2 & -9 \end{bmatrix} \cdot \begin{bmatrix} -9 & -5 \\ 2 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} 1(-9) + 5(2) & 1(-5) + 5(1) \\ -2(-9) - 9(2) & -2(-5) - 9(1) \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$BA = \begin{bmatrix} -9 & -5 \\ 2 & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 5 \\ -2 & -9 \end{bmatrix}$$

$$= \begin{bmatrix} -9(1) - 5(-2) & -9(5) - 5(-9) \\ 2(1) + 1(-2) & 2(-5) + 1(-9) \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

A and *B* are inverses of each other.



9.28 Show that the following two matrices are inverses of each other.

$$A = \begin{bmatrix} 1 & 4 \\ -1 & -3 \end{bmatrix}, B = \begin{bmatrix} -3 & -4 \\ 1 & 1 \end{bmatrix}$$

Finding the Multiplicative Inverse Using Matrix Multiplication

We can now determine whether two matrices are inverses, but how would we find the inverse of a given matrix? Since we know that the product of a matrix and its inverse is the identity matrix, we can find the inverse of a matrix by setting up an equation using matrix multiplication.

Example 9.50

Finding the Multiplicative Inverse Using Matrix Multiplication

Use matrix multiplication to find the inverse of the given matrix.

$$A = \begin{bmatrix} 1 & -2 \\ 2 & -3 \end{bmatrix}$$

Solution

For this method, we multiply *A* by a matrix containing unknown constants and set it equal to the identity.

$$\begin{bmatrix} 1 & -2 \\ 2 & -3 \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

Find the product of the two matrices on the left side of the equal sign.

$$\begin{bmatrix} 1 & -2 \\ 2 & -3 \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} 1a - 2c & 1b - 2d \\ 2a - 3c & 2b - 3d \end{bmatrix}$$

Next, set up a system of equations with the entry in row 1, column 1 of the new matrix equal to the first entry of the identity, 1. Set the entry in row 2, column 1 of the new matrix equal to the corresponding entry of the identity, which is 0.

$$1a-2c = 1 R_1$$
$$2a-3c = 0 R_2$$

Using row operations, multiply and add as follows: $(-2)R_1 + R_2 \rightarrow R_2$. Add the equations, and solve for c.

$$1a - 2c = 1$$
$$0 + 1c = -2$$
$$c = -2$$

Back-substitute to solve for a.

$$a-2(-2) = 1$$
$$a+4=1$$
$$a=-3$$

Write another system of equations setting the entry in row 1, column 2 of the new matrix equal to the corresponding entry of the identity, 0. Set the entry in row 2, column 2 equal to the corresponding entry of the identity.

$$1b-2d = 0 R_1$$

 $2b-3d = 1 R_2$

Using row operations, multiply and add as follows: $(-2)R_1 + R_2 = R_2$. Add the two equations and solve for d.

$$1b-2d = 0$$
$$0+1d = 1$$
$$d = 1$$

Once more, back-substitute and solve for b.

$$b-2(1) = 0$$

$$b-2 = 0$$

$$b = 2$$

$$A^{-1} = \begin{bmatrix} -3 & 2 \\ -2 & 1 \end{bmatrix}$$

Finding the Multiplicative Inverse by Augmenting with the Identity

Another way to find the multiplicative inverse is by augmenting with the identity. When matrix A is transformed into I, the augmented matrix I transforms into A^{-1} .

For example, given

$$A = \begin{bmatrix} 2 & 1 \\ 5 & 3 \end{bmatrix}$$

augment A with the identity

$$\begin{bmatrix} 2 & 1 & 1 & 0 \\ 5 & 3 & 0 & 1 \end{bmatrix}$$

Perform row operations with the goal of turning *A* into the identity.

1. Switch row 1 and row 2.

$$\begin{bmatrix} 5 & 3 & 0 & 1 \\ 2 & 1 & 1 & 0 \end{bmatrix}$$

2. Multiply row 2 by -2 and add to row 1.

$$\begin{bmatrix} 1 & 1 & | & -2 & 1 \\ 2 & 1 & | & 1 & 0 \end{bmatrix}$$

3. Multiply row 1 by -2 and add to row 2.

$$\begin{bmatrix} 1 & 1 & -2 & 1 \\ 0 & -1 & 5 & -2 \end{bmatrix}$$

4. Add row 2 to row 1.

$$\begin{bmatrix} 1 & 0 & 3 & -1 \\ 0 & -1 & 5 & -2 \end{bmatrix}$$

5. Multiply row 2 by -1.

$$\begin{bmatrix} 1 & 0 & 3 & -1 \\ 0 & 1 & -5 & 2 \end{bmatrix}$$

The matrix we have found is A^{-1} .

$$A^{-1} = \begin{bmatrix} 3 & -1 \\ -5 & 2 \end{bmatrix}$$

Finding the Multiplicative Inverse of 2×2 Matrices Using a Formula

When we need to find the multiplicative inverse of a 2×2 matrix, we can use a special formula instead of using matrix multiplication or augmenting with the identity.

If A is a 2×2 matrix, such as

$$A = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$$

the multiplicative inverse of A is given by the formula

$$A^{-1} = \frac{1}{ad - bc} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$$
 (9.3)

where $ad - bc \neq 0$. If ad - bc = 0, then *A* has no inverse.

Example 9.51

Using the Formula to Find the Multiplicative Inverse of Matrix A

Use the formula to find the multiplicative inverse of

$$A = \begin{bmatrix} 1 & -2 \\ 2 & -3 \end{bmatrix}$$

Solution

Using the formula, we have

$$A^{-1} = \frac{1}{(1)(-3) - (-2)(2)} \begin{bmatrix} -3 & 2 \\ -2 & 1 \end{bmatrix}$$
$$= \frac{1}{-3 + 4} \begin{bmatrix} -3 & 2 \\ -2 & 1 \end{bmatrix}$$
$$= \begin{bmatrix} -3 & 2 \\ -2 & 1 \end{bmatrix}$$

Analysis

We can check that our formula works by using one of the other methods to calculate the inverse. Let's augment A with the identity.

$$\begin{bmatrix} 1 & -2 & | & 1 & 0 \\ 2 & -3 & | & 0 & 1 \end{bmatrix}$$

Perform row operations with the goal of turning A into the identity.

1. Multiply row 1 by -2 and add to row 2.

$$\begin{bmatrix} 1 & -2 & 1 & 0 \\ 0 & 1 & -2 & 1 \end{bmatrix}$$

2. Multiply row 1 by 2 and add to row 1.

$$\begin{bmatrix} 1 & 0 & | & -3 & 2 \\ 0 & 1 & | & -2 & 1 \end{bmatrix}$$

So, we have verified our original solution.

$$A^{-1} = \begin{bmatrix} -3 & 2 \\ -2 & 1 \end{bmatrix}$$



9.29 Use the formula to find the inverse of matrix *A*. Verify your answer by augmenting with the identity matrix.

$$A = \begin{bmatrix} 1 & -1 \\ 2 & 3 \end{bmatrix}$$

Example 9.52

Finding the Inverse of the Matrix, If It Exists

Find the inverse, if it exists, of the given matrix.

$$A = \begin{bmatrix} 3 & 6 \\ 1 & 2 \end{bmatrix}$$

Solution

We will use the method of augmenting with the identity.

$$\begin{bmatrix} 3 & 6 & | & 1 & 0 \\ 1 & 3 & | & 0 & 1 \end{bmatrix}$$

1. Switch row 1 and row 2.

$$\begin{bmatrix} 1 & 3 & 0 & 1 \\ 3 & 6 & 1 & 0 \end{bmatrix}$$

2. Multiply row 1 by -3 and add it to row 2.

$$\begin{bmatrix} 1 & 2 & 1 & 0 \\ 0 & 0 & -3 & 1 \end{bmatrix}$$

3. There is nothing further we can do. The zeros in row 2 indicate that this matrix has no inverse.

Finding the Multiplicative Inverse of 3×3 Matrices

Unfortunately, we do not have a formula similar to the one for a 2×2 matrix to find the inverse of a 3×3 matrix. Instead, we will augment the original matrix with the identity matrix and use row operations to obtain the inverse.

Given a 3×3 matrix

$$A = \begin{bmatrix} 2 & 3 & 1 \\ 3 & 3 & 1 \\ 2 & 4 & 1 \end{bmatrix}$$

augment *A* with the identity matrix

$$A | I = \begin{bmatrix} 2 & 3 & 1 & 1 & 0 & 0 \\ 3 & 3 & 1 & 0 & 1 & 0 \\ 2 & 4 & 1 & 0 & 0 & 1 \end{bmatrix}$$

To begin, we write the augmented matrix with the identity on the right and A on the left. Performing elementary row operations so that the identity matrix appears on the left, we will obtain the inverse matrix on the right. We will find the inverse of this matrix in the next example.



Given a 3×3 matrix, find the inverse

- 1. Write the original matrix augmented with the identity matrix on the right.
- 2. Use elementary row operations so that the identity appears on the left.
- 3. What is obtained on the right is the inverse of the original matrix.
- 4. Use matrix multiplication to show that $AA^{-1} = I$ and $A^{-1}A = I$.

Example 9.53

Finding the Inverse of a 3 × 3 Matrix

Given the 3×3 matrix A, find the inverse.

$$A = \begin{bmatrix} 2 & 3 & 1 \\ 3 & 3 & 1 \\ 2 & 4 & 1 \end{bmatrix}$$

Solution

Augment A with the identity matrix, and then begin row operations until the identity matrix replaces A. The matrix on the right will be the inverse of A.

$$\begin{bmatrix} 2 & 3 & 1 & | & 1 & 0 & 0 \\ 3 & 3 & 1 & | & 0 & 1 & 0 \\ 2 & 4 & 1 & | & 0 & 0 & 1 \end{bmatrix} \xrightarrow{\text{Interchange } R_2 \text{ and } R_1} \begin{bmatrix} 3 & 3 & 1 & | & 0 & 1 & 0 \\ 2 & 3 & 1 & | & 1 & 0 & 0 \\ 2 & 4 & 1 & | & 0 & 0 & 1 \end{bmatrix}$$

$$-R_2 + R_1 = R_1 \rightarrow \begin{bmatrix} 1 & 0 & 0 & | & -1 & 1 & 0 \\ 2 & 3 & 1 & | & 1 & 0 & 0 \\ 2 & 3 & 1 & | & 1 & 0 & 0 \\ 2 & 4 & 1 & | & 0 & 0 & 1 \end{bmatrix}$$

$$-R_2 + R_3 = R_3 \rightarrow \begin{bmatrix} 1 & 0 & 0 & | & -1 & 1 & 0 \\ 0 & 1 & 0 & | & -1 & 1 & 0 \\ 0 & 1 & 0 & | & -1 & 0 & 1 \\ 2 & 3 & 1 & | & 1 & 0 & 0 \end{bmatrix}$$

$$R_3 \leftrightarrow R_2 \rightarrow \begin{bmatrix} 1 & 0 & 0 & | & -1 & 1 & 0 \\ 0 & 1 & 0 & | & -1 & 0 & 1 \\ 0 & 3 & 1 & | & 3 & -2 & 0 \end{bmatrix}$$

$$-2R_1 + R_3 = R_3 \rightarrow \begin{bmatrix} 1 & 0 & 0 & | & -1 & 1 & 0 \\ 0 & 1 & 0 & | & -1 & 0 & 1 \\ 0 & 3 & 1 & | & 3 & -2 & 0 \end{bmatrix}$$

$$-3R_2 + R_3 = R_3 \rightarrow \begin{bmatrix} 1 & 0 & 0 & | & -1 & 1 & 0 \\ 0 & 1 & 0 & | & -1 & 0 & 1 \\ 0 & 0 & 1 & | & 6 & -2 & -3 \end{bmatrix}$$

Thus,

$$A^{-1} = B = \begin{bmatrix} -1 & 1 & 0 \\ -1 & 0 & 1 \\ 6 & -2 & -3 \end{bmatrix}$$

Analysis

To prove that $B = A^{-1}$, let's multiply the two matrices together to see if the product equals the identity, if $AA^{-1} = I$ and $A^{-1}A = I$.

$$AA^{-1} = \begin{bmatrix} 2 & 3 & 1 \\ 3 & 3 & 1 \\ 2 & 4 & 1 \end{bmatrix} \begin{bmatrix} -1 & 1 & 0 \\ -1 & 0 & 1 \\ 6 & -2 & -3 \end{bmatrix}$$

$$= \begin{bmatrix} 2(-1) + 3(-1) + 1(6) & 2(1) + 3(0) + 1(-2) & 2(0) + 3(1) + 1(-3) \\ 3(-1) + 3(-1) + 1(6) & 3(1) + 3(0) + 1(-2) & 3(0) + 3(1) + 1(-3) \\ 2(-1) + 4(-1) + 1(6) & 2(1) + 4(0) + 1(-2) & 2(0) + 4(1) + 1(-3) \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$A^{-1}A = \begin{bmatrix} -1 & 1 & 0 \\ -1 & 0 & 1 \\ 6 & -2 & -3 \end{bmatrix} \begin{bmatrix} 2 & 3 & 1 \\ 3 & 3 & 1 \\ 2 & 4 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} -1(2) + 1(3) + 0(2) & -1(3) + 1(3) + 0(4) & -1(1) + 1(1) + 0(1) \\ -1(2) + 0(3) + 1(2) & -1(3) + 0(3) + 1(4) & -1(1) + 0(1) + 1(1) \\ 6(2) + -2(3) + -3(2) & 6(3) + -2(3) + -3(4) & 6(1) + -2(1) + -3(1) \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Try

9.30 Find the inverse of the 3×3 matrix.

$$A = \begin{bmatrix} 2 & -17 & 11 \\ -1 & 11 & -7 \\ 0 & 3 & -2 \end{bmatrix}$$

Solving a System of Linear Equations Using the Inverse of a Matrix

Solving a system of linear equations using the inverse of a matrix requires the definition of two new matrices: X is the matrix representing the variables of the system, and B is the matrix representing the constants. Using matrix multiplication, we may define a system of equations with the same number of equations as variables as

$$AX = B$$

To solve a system of linear equations using an inverse matrix, let A be the coefficient matrix, let X be the variable matrix, and let B be the constant matrix. Thus, we want to solve a system AX = B. For example, look at the following system of equations.

$$a_1x + b_1y = c_1$$
$$a_2x + b_2y = c_2$$

From this system, the coefficient matrix is

$$A = \begin{bmatrix} a_1 & b_1 \\ a_2 & b_2 \end{bmatrix}$$

The variable matrix is

$$X = \begin{bmatrix} x \\ y \end{bmatrix}$$

And the constant matrix is

$$B = \begin{bmatrix} c_1 \\ c_2 \end{bmatrix}$$

Then AX = B looks like

$$\begin{bmatrix} a_1 & b_1 \\ a_2 & b_2 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} c_1 \\ c_2 \end{bmatrix}$$

Recall the discussion earlier in this section regarding multiplying a real number by its inverse, $(2^{-1})2 = (\frac{1}{2})2 = 1$. To solve a single linear equation ax = b for x, we would simply multiply both sides of the equation by the multiplicative inverse (reciprocal) of a. Thus,

$$ax = b$$

$$\left(\frac{1}{a}\right)ax = \left(\frac{1}{a}\right)b$$

$$(a^{-1})ax = (a^{-1})b$$

$$[(a^{-1})a]x = (a^{-1})b$$

$$1x = (a^{-1})b$$

$$x = (a^{-1})b$$

The only difference between a solving a linear equation and a system of equations written in matrix form is that finding the inverse of a matrix is more complicated, and matrix multiplication is a longer process. However, the goal is the same—to isolate the variable.

We will investigate this idea in detail, but it is helpful to begin with a 2×2 system and then move on to a 3×3 system.

Solving a System of Equations Using the Inverse of a Matrix

Given a system of equations, write the coefficient matrix A, the variable matrix X, and the constant matrix B. Then

$$AX = B$$

Multiply both sides by the inverse of A to obtain the solution.

$$(A^{-1})AX = (A^{-1})B$$
$$[(A^{-1})A]X = (A^{-1})B$$
$$IX = (A^{-1})B$$
$$X = (A^{-1})B$$



If the coefficient matrix does not have an inverse, does that mean the system has no solution?

No, if the coefficient matrix is not invertible, the system could be inconsistent and have no solution, or be dependent and have infinitely many solutions.

Example 9.54

Solving a 2 × 2 System Using the Inverse of a Matrix

Solve the given system of equations using the inverse of a matrix.

$$3x + 8y = 5$$
$$4x + 11y = 7$$

Write the system in terms of a coefficient matrix, a variable matrix, and a constant matrix.

$$A = \begin{bmatrix} 3 & 8 \\ 4 & 11 \end{bmatrix}, X = \begin{bmatrix} x \\ y \end{bmatrix}, B = \begin{bmatrix} 5 \\ 7 \end{bmatrix}$$

Then

Solution

$$\begin{bmatrix} 3 & 8 \\ 4 & 11 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 5 \\ 7 \end{bmatrix}$$

First, we need to calculate A^{-1} . Using the formula to calculate the inverse of a 2 by 2 matrix, we have:

$$A^{-1} = \frac{1}{ad - bc} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$$
$$= \frac{1}{3(11) - 8(4)} \begin{bmatrix} 11 & -8 \\ -4 & 3 \end{bmatrix}$$
$$= \frac{1}{1} \begin{bmatrix} 11 & -8 \\ -4 & 3 \end{bmatrix}$$

So,

$$A^{-1} = \begin{bmatrix} 11 & -8 \\ -4 & 3 \end{bmatrix}$$

Now we are ready to solve. Multiply both sides of the equation by A^{-1} .

$$(A^{-1})AX = (A^{-1})B$$

$$\begin{bmatrix} 11 & -8 \\ -4 & 3 \end{bmatrix} \begin{bmatrix} 3 & 8 \\ 4 & 11 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 11 & -8 \\ -4 & 3 \end{bmatrix} \begin{bmatrix} 5 \\ 7 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 11(5) + (-8)7 \\ -4(5) + 3(7) \end{bmatrix}$$

$$\begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} -1 \\ 1 \end{bmatrix}$$

The solution is (-1, 1).



Can we solve for X by finding the product BA^{-1} ?

No, recall that matrix multiplication is not commutative, so $A^{-1}B \neq BA^{-1}$. Consider our steps for solving the matrix equation.

$$(A^{-1})AX = (A^{-1})B$$
$$[(A^{-1})A]X = (A^{-1})B$$
$$IX = (A^{-1})B$$
$$X = (A^{-1})B$$

Notice in the first step we multiplied both sides of the equation by A^{-1} , but the A^{-1} was to the left of A on the left side and to the left of B on the right side. Because matrix multiplication is not commutative, order matters.

Example 9.55

Solving a 3 × 3 System Using the Inverse of a Matrix

Solve the following system using the inverse of a matrix.

$$5x + 15y + 56z = 35$$
$$-4x-11y-41z = -26$$
$$-x-3y-11z = -7$$

Solution

Write the equation AX = B.

$$\begin{bmatrix} 5 & 15 & 56 \\ -4 & -11 & -41 \\ -1 & -3 & -11 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 35 \\ -26 \\ -7 \end{bmatrix}$$

First, we will find the inverse of *A* by augmenting with the identity.

$$\begin{bmatrix} 5 & 15 & 56 & 1 & 0 & 0 \\ -4 & -11 & -41 & 0 & 1 & 0 \\ -1 & -3 & -11 & 0 & 0 & 1 \end{bmatrix}$$

Multiply row 1 by $\frac{1}{5}$.

$$\begin{bmatrix} 1 & 3 & \frac{56}{5} & \left| \frac{1}{5} & 0 & 0 \right| \\ -4 & -11 & -41 & 0 & 1 & 0 \\ -1 & -3 & -11 & 0 & 0 & 1 \end{bmatrix}$$

Multiply row 1 by 4 and add to row 2.

$$\begin{bmatrix} 1 & 3 & \frac{56}{5} & \frac{1}{5} & 0 & 0 \\ 0 & 1 & \frac{19}{5} & \frac{4}{5} & 1 & 0 \\ -1 & -3 & -11 & 0 & 0 & 1 \end{bmatrix}$$

Add row 1 to row 3.

$$\begin{bmatrix} 1 & 3 & \frac{56}{5} & \frac{1}{5} & 0 & 0 \\ 0 & 1 & \frac{19}{5} & \frac{4}{5} & 1 & 0 \\ 0 & 0 & \frac{1}{5} & \frac{1}{5} & 0 & 1 \end{bmatrix}$$

Multiply row 2 by -3 and add to row 1.

$$\begin{bmatrix} 1 & 0 & -\frac{1}{5} & | & -\frac{11}{5} & -3 & 0 \\ 0 & 1 & \frac{19}{5} & | & \frac{4}{5} & 1 & 0 \\ 0 & 0 & \frac{1}{5} & | & \frac{1}{5} & 0 & 1 \end{bmatrix}$$

Multiply row 3 by 5.

$$\begin{bmatrix} 1 & 0 & -\frac{1}{5} & | & -\frac{11}{5} & -3 & 0 \\ 0 & 1 & \frac{19}{5} & | & \frac{4}{5} & 1 & 0 \\ 0 & 0 & 1 & | & 1 & 0 & 5 \end{bmatrix}$$

Multiply row 3 by $\frac{1}{5}$ and add to row 1.

$$\begin{bmatrix} 1 & 0 & 0 & | & -2 & -3 & 1 \\ 0 & 1 & \frac{19}{5} & | & \frac{4}{5} & 1 & 0 \\ 0 & 0 & 1 & | & 1 & 0 & 5 \end{bmatrix}$$

Multiply row 3 by $-\frac{19}{5}$ and add to row 2.

$$\begin{bmatrix} 1 & 0 & 0 & | & -2 & -3 & 1 \\ 0 & 1 & 0 & | & -3 & 1 & -19 \\ 0 & 0 & 1 & | & 1 & 0 & 5 \end{bmatrix}$$

So,

$$A^{-1} = \begin{bmatrix} -2 & -3 & 1 \\ -3 & 1 & -19 \\ 1 & 0 & 5 \end{bmatrix}$$

Multiply both sides of the equation by A^{-1} . We want $A^{-1}AX = A^{-1}B$:

$$\begin{bmatrix} -2 & -3 & 1 \\ -3 & 1 & -19 \\ 1 & 0 & 5 \end{bmatrix} \begin{bmatrix} 5 & 15 & 56 \\ -4 & -11 & -41 \\ -1 & -3 & -11 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} -2 & -3 & 1 \\ -3 & 1 & -19 \\ 1 & 0 & 5 \end{bmatrix} \begin{bmatrix} 35 \\ -26 \\ -7 \end{bmatrix}$$

Thus,

$$A^{-1}B = \begin{bmatrix} -70 + 78 - 7 \\ -105 - 26 + 133 \\ 35 + 0 - 35 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \\ 0 \end{bmatrix}$$

The solution is (1, 2, 0).



9.31 Solve the system using the inverse of the coefficient matrix.

$$2x - 17y + 11z = 0$$
$$-x + 11y - 7z = 8$$
$$3y - 2z = -2$$



Given a system of equations, solve with matrix inverses using a calculator.

- 1. Save the coefficient matrix and the constant matrix as matrix variables [*A*] and [*B*].
- 2. Enter the multiplication into the calculator, calling up each matrix variable as needed.
- 3. If the coefficient matrix is invertible, the calculator will present the solution matrix; if the coefficient matrix is not invertible, the calculator will present an error message.

Example 9.56

Using a Calculator to Solve a System of Equations with Matrix Inverses

Solve the system of equations with matrix inverses using a calculator

$$2x + 3y + z = 32$$
$$3x + 3y + z = -27$$
$$2x + 4y + z = -2$$

Solution

On the matrix page of the calculator, enter the coefficient matrix as the matrix variable [A], and enter the constant matrix as the matrix variable [B].

$$[A] = \begin{bmatrix} 2 & 3 & 1 \\ 3 & 3 & 1 \\ 2 & 4 & 1 \end{bmatrix}, \quad [B] = \begin{bmatrix} 32 \\ -27 \\ -2 \end{bmatrix}$$

On the home screen of the calculator, type in the multiplication to solve for X, calling up each matrix variable as needed.

$$[A]^{-1}\times[B]$$

Evaluate the expression.



Access these online resources for additional instruction and practice with solving systems with inverses.

- The Identity Matrix (http://openstaxcollege.org/l/identmatrix)
- Determining Inverse Matrices (http://openstaxcollege.org/l/inversematrix)
- Using a Matrix Equation to Solve a System of Equations (http://openstaxcollege.org/l/matrixsystem)

9.7 EXERCISES

Verbal

385. In a previous section, we showed that matrix multiplication is not commutative, that is, $AB \neq BA$ in most cases. Can you explain why matrix multiplication is commutative for matrix inverses, that is, $A^{-1}A = AA^{-1}$?

386. Does every 2×2 matrix have an inverse? Explain why or why not. Explain what condition is necessary for an inverse to exist.

387. Can you explain whether a 2×2 matrix with an entire row of zeros can have an inverse?

388. Can a matrix with an entire column of zeros have an inverse? Explain why or why not.

389. Can a matrix with zeros on the diagonal have an inverse? If so, find an example. If not, prove why not. For simplicity, assume a 2×2 matrix.

Algebraic

In the following exercises, show that matrix A is the inverse of matrix B.

390.
$$A = \begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix}, B = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$$

391.
$$A = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}, B = \begin{bmatrix} -2 & 1 \\ \frac{3}{2} & -\frac{1}{2} \end{bmatrix}$$

392.
$$A = \begin{bmatrix} 4 & 5 \\ 7 & 0 \end{bmatrix}, B = \begin{bmatrix} 0 & \frac{1}{7} \\ \frac{1}{5} & -\frac{4}{35} \end{bmatrix}$$

393.
$$A = \begin{bmatrix} -2 & \frac{1}{2} \\ 3 & -1 \end{bmatrix}, B = \begin{bmatrix} -2 & -1 \\ -6 & -4 \end{bmatrix}$$

394.
$$A = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & -1 \\ 0 & 1 & 1 \end{bmatrix}, B = \frac{1}{2} \begin{bmatrix} 2 & 1 & -1 \\ 0 & 1 & 1 \\ 0 & -1 & 1 \end{bmatrix}$$

395.
$$A = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 0 & 2 \\ 1 & 6 & 9 \end{bmatrix}, B = \frac{1}{4} \begin{bmatrix} 6 & 0 & -2 \\ 17 & -3 & -5 \\ -12 & 2 & 4 \end{bmatrix}$$

396.
$$A = \begin{bmatrix} 3 & 8 & 2 \\ 1 & 1 & 1 \\ 5 & 6 & 12 \end{bmatrix}, B = \frac{1}{36} \begin{bmatrix} -6 & 84 & -6 \\ 7 & -26 & 1 \\ -1 & -22 & 5 \end{bmatrix}$$

For the following exercises, find the multiplicative inverse of each matrix, if it exists.

$$397. \begin{bmatrix} 3 & -2 \\ 1 & 9 \end{bmatrix}$$

398.
$$\begin{bmatrix} -2 & 2 \\ 3 & 1 \end{bmatrix}$$

399.
$$\begin{bmatrix} -3 & 7 \\ 9 & 2 \end{bmatrix}$$

400.
$$\begin{bmatrix} -4 & -3 \\ -5 & 8 \end{bmatrix}$$

401.
$$\begin{bmatrix} 1 & 1 \\ 2 & 2 \end{bmatrix}$$

402.
$$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

403.
$$\begin{bmatrix} 0.5 & 1.5 \\ 1 & -0.5 \end{bmatrix}$$

404.
$$\begin{bmatrix} 1 & 0 & 6 \\ -2 & 1 & 7 \\ 3 & 0 & 2 \end{bmatrix}$$

405.
$$\begin{bmatrix} 0 & 1 & -3 \\ 4 & 1 & 0 \\ 1 & 0 & 5 \end{bmatrix}$$

406.
$$\begin{bmatrix} 1 & 2 & -1 \\ -3 & 4 & 1 \\ -2 & -4 & -5 \end{bmatrix}$$

407.
$$\begin{bmatrix} 1 & 9 & -3 \\ 2 & 5 & 6 \\ 4 & -2 & 7 \end{bmatrix}$$

408.
$$\begin{bmatrix} 1 & -2 & 3 \\ -4 & 8 & -12 \\ 1 & 4 & 2 \end{bmatrix}$$

409.
$$\begin{bmatrix} \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ \frac{1}{3} & \frac{1}{4} & \frac{1}{5} \\ \frac{1}{6} & \frac{1}{7} & \frac{1}{8} \end{bmatrix}$$

410.
$$\begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix}$$

For the following exercises, solve the system using the inverse of a 2×2 matrix.

411.

$$5x - 6y = -61$$
$$4x + 3y = -2$$

$$412. \ 8x + 4y = -100$$
$$3x - 4y = 1$$

413.
$$3x-2y = 6$$

 $-x + 5y = -2$

414.
$$5x-4y = -5$$

 $4x + y = 2.3$

$$415. \quad -3x - 4y = 9$$
$$12x + 4y = -6$$

416.
$$-2x + 3y = \frac{3}{10}$$
$$-x + 5y = \frac{1}{2}$$

417.
$$\frac{8}{5}x - \frac{4}{5}y = \frac{2}{5}$$
$$-\frac{8}{5}x + \frac{1}{5}y = \frac{7}{10}$$

418.
$$\frac{1}{2}x + \frac{1}{5}y = -\frac{1}{4}$$

 $\frac{1}{2}x - \frac{3}{5}y = -\frac{9}{4}$

For the following exercises, solve a system using the inverse of a 3×3 matrix.

419.
$$3x-2y+5z = 21$$

 $5x + 4y = 37$
 $x-2y-5z = 5$

420.
$$4x + 4y + 4z = 40$$

 $2x - 3y + 4z = -12$
 $-x + 3y + 4z = 9$

421.
$$6x - 5y - z = 31$$

 $-x + 2y + z = -6$
 $3x + 3y + 2z = 13$

422.
$$6x-5y+2z = -4$$

 $2x + 5y - z = 12$
 $2x + 5y + z = 12$

423.
$$4x-2y+3z = -12$$

 $2x + 2y-9z = 33$
 $6y-4z = 1$

$$\frac{1}{10}x - \frac{1}{5}y + 4z = \frac{-41}{2}$$
$$\frac{1}{5}x - 20y + \frac{2}{5}z = -101$$
$$\frac{3}{10}x + 4y - \frac{3}{10}z = 23$$

425.
$$\frac{1}{2}x - \frac{1}{5}y + \frac{1}{5}z = \frac{31}{100}$$
$$-\frac{3}{4}x - \frac{1}{4}y + \frac{1}{2}z = \frac{7}{40}$$
$$-\frac{4}{5}x - \frac{1}{2}y + \frac{3}{2}z = \frac{1}{4}$$

426.
$$0.1x + 0.2y + 0.3z = -1.4$$

 $0.1x - 0.2y + 0.3z = 0.6$
 $0.4y + 0.9z = -2$

Technology

For the following exercises, use a calculator to solve the system of equations with matrix inverses.

427.
$$2x - y = -3$$

 $-x + 2y = 2.3$

428.
$$-\frac{1}{2}x - \frac{3}{2}y = -\frac{43}{20}$$
$$\frac{5}{2}x + \frac{11}{5}y = \frac{31}{4}$$

429.
$$12.3x-2y-2.5z = 2$$

 $36.9x + 7y-7.5z = -7$
 $8y-5z = -10$

430.
$$0.5x-3y+6z = -0.8$$

 $0.7x-2y = -0.06$
 $0.5x+4y+5z = 0$

Extensions

For the following exercises, find the inverse of the given matrix.

431.
$$\begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

432.
$$\begin{bmatrix} -1 & 0 & 2 & 5 \\ 0 & 0 & 0 & 2 \\ 0 & 2 & -1 & 0 \\ 1 & -3 & 0 & 1 \end{bmatrix}$$

433.
$$\begin{bmatrix} 1 & -2 & 3 & 0 \\ 0 & 1 & 0 & 2 \\ 1 & 4 & -2 & 3 \\ -5 & 0 & 1 & 1 \end{bmatrix}$$

434.	Γ1	2	0	2	3
	0	2	1	0	0
	0	0	3	0	1
	$\begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$	2	0	0	1
	Lo	0	1	2	0

Real-World Applications

For the following exercises, write a system of equations that represents the situation. Then, solve the system using the inverse of a matrix.

- 436. 2,400 tickets were sold for a basketball game. If the prices for floor 1 and floor 2 were different, and the total amount of money brought in is \$64,000, how much was the price of each ticket?
- 437. In the previous exercise, if you were told there were 400 more tickets sold for floor 2 than floor 1, how much was the price of each ticket?
- 438. A food drive collected two different types of canned goods, green beans and kidney beans. The total number of collected cans was 350 and the total weight of all donated food was 348 lb, 12 oz. If the green bean cans weigh 2 oz less than the kidney bean cans, how many of each can was donated?
- 439. Students were asked to bring their favorite fruit to class. 95% of the fruits consisted of banana, apple, and oranges. If oranges were twice as popular as bananas, and apples were 5% less popular than bananas, what are the percentages of each individual fruit?
- 440. A sorority held a bake sale to raise money and sold brownies and chocolate chip cookies. They priced the brownies at \$1 and the chocolate chip cookies at \$0.75. They raised \$700 and sold 850 items. How many brownies and how many cookies were sold?
- 441. A clothing store needs to order new inventory. It has three different types of hats for sale: straw hats, beanies, and cowboy hats. The straw hat is priced at \$13.99, the beanie at \$7.99, and the cowboy hat at \$14.49. If 100 hats were sold this past quarter, \$1,119 was taken in by sales, and the amount of beanies sold was 10 more than cowboy hats, how many of each should the clothing store order to replace those already sold?
- 442. Anna, Ashley, and Andrea weigh a combined 370 lb. If Andrea weighs 20 lb more than Ashley, and Anna weighs 1.5 times as much as Ashley, how much does each girl weigh?

- 443. Three roommates shared a package of 12 ice cream bars, but no one remembers who ate how many. If Tom ate twice as many ice cream bars as Joe, and Albert ate three less than Tom, how many ice cream bars did each roommate eat?
- 444. A farmer constructed a chicken coop out of chicken wire, wood, and plywood. The chicken wire cost \$2 per square foot, the wood \$10 per square foot, and the plywood \$5 per square foot. The farmer spent a total of \$51, and the total amount of materials used was 14 ft². He used 3 ft² more chicken wire than plywood. How much of each material in did the farmer use?
- 445. Jay has lemon, orange, and pomegranate trees in his backyard. An orange weighs 8 oz, a lemon 5 oz, and a pomegranate 11 oz. Jay picked 142 pieces of fruit weighing a total of 70 lb, 10 oz. He picked 15.5 times more oranges than pomegranates. How many of each fruit did Jay pick?

9.8 | Solving Systems with Cramer's Rule

Learning Objectives

In this section, you will:

- 9.8.1 Evaluate 2 × 2 determinants.
- **9.8.2** Use Cramer's Rule to solve a system of equations in two variables.
- 9.8.3 Evaluate 3 × 3 determinants.
- 9.8.4 Use Cramer's Rule to solve a system of three equations in three variables.
- **9.8.5** Know the properties of determinants.

We have learned how to solve systems of equations in two variables and three variables, and by multiple methods: substitution, addition, Gaussian elimination, using the inverse of a matrix, and graphing. Some of these methods are easier to apply than others and are more appropriate in certain situations. In this section, we will study two more strategies for solving systems of equations.

Evaluating the Determinant of a 2×2 Matrix

A determinant is a real number that can be very useful in mathematics because it has multiple applications, such as calculating area, volume, and other quantities. Here, we will use determinants to reveal whether a matrix is invertible by using the entries of a square matrix to determine whether there is a solution to the system of equations. Perhaps one of the more interesting applications, however, is their use in cryptography. Secure signals or messages are sometimes sent encoded in a matrix. The data can only be decrypted with an invertible matrix and the determinant. For our purposes, we focus on the determinant as an indication of the invertibility of the matrix. Calculating the determinant of a matrix involves following the specific patterns that are outlined in this section.

Find the Determinant of a 2 × 2 Matrix

The **determinant** of a 2×2 matrix, given

$$A = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$$

is defined as

$$\det(A) = \begin{vmatrix} a \\ c \end{vmatrix} = ad - cb$$

Notice the change in notation. There are several ways to indicate the determinant, including det(A) and replacing the brackets in a matrix with straight lines, |A|.

Example 9.57

Finding the Determinant of a 2 × 2 Matrix

Find the determinant of the given matrix.

$$A = \begin{bmatrix} 5 & 2 \\ -6 & 3 \end{bmatrix}$$

Solution

$$det(A) = \begin{vmatrix} 5 & 2 \\ -6 & 3 \end{vmatrix}$$
= 5(3) - (-6)(2)
= 27

Using Cramer's Rule to Solve a System of Two Equations in Two Variables

We will now introduce a final method for solving systems of equations that uses determinants. Known as Cramer's Rule, this technique dates back to the middle of the 18th century and is named for its innovator, the Swiss mathematician Gabriel Cramer (1704-1752), who introduced it in 1750 in *Introduction à l'Analyse des lignes Courbes algébriques*. Cramer's Rule is a viable and efficient method for finding solutions to systems with an arbitrary number of unknowns, provided that we have the same number of equations as unknowns.

Cramer's Rule will give us the unique solution to a system of equations, if it exists. However, if the system has no solution or an infinite number of solutions, this will be indicated by a determinant of zero. To find out if the system is inconsistent or dependent, another method, such as elimination, will have to be used.

To understand Cramer's Rule, let's look closely at how we solve systems of linear equations using basic row operations. Consider a system of two equations in two variables.

$$a_1 x + b_1 y = c_1$$
 (1)
 $a_2 x + b_2 y = c_2$ (2)

We eliminate one variable using row operations and solve for the other. Say that we wish to solve for x. If equation (2) is multiplied by the opposite of the coefficient of y in equation (1), equation (1) is multiplied by the coefficient of y in equation (2), and we add the two equations, the variable y will be eliminated.

$$b_2 a_1 x + b_2 b_1 y = b_2 c_1 \qquad \text{Multiply } R_1 \text{ by } b_2$$

$$-b_1 a_2 x - b_1 b_2 y = -b_1 c_2 \qquad \text{Multiply } R_2 \text{ by } -b_1$$

$$b_2 a_1 x - b_1 a_2 x = b_2 c_1 - b_1 c_2$$

Now, solve for x.

$$b_{2}a_{1}x - b_{1}a_{2}x = b_{2}c_{1} - b_{1}c_{2}$$

$$x(b_{2}a_{1} - b_{1}a_{2}) = b_{2}c_{1} - b_{1}c_{2}$$

$$x = \frac{b_{2}c_{1} - b_{1}c_{2}}{b_{2}a_{1} - b_{1}a_{2}} = \frac{\begin{bmatrix} c_{1} & b_{1} \\ c_{2} & b_{2} \end{bmatrix}}{\begin{bmatrix} a_{1} & b_{1} \\ a_{2} & b_{2} \end{bmatrix}}$$

$$\begin{bmatrix} a_{1} & b_{1} \\ a_{2} & b_{2} \end{bmatrix}$$
(9.4)

Similarly, to solve for y, we will eliminate x.

$$a_2 a_1 x + a_2 b_1 y = a_2 c_1$$
 Multiply R_1 by a_2
 $-a_1 a_2 x - a_1 b_2 y = -a_1 c_2$ Multiply R_2 by $-a_1$
 $a_2 b_1 y - a_1 b_2 y = a_2 c_1 - a_1 c_2$

Solving for y gives

$$a_{2}b_{1}y - a_{1}b_{2}y = a_{2}c_{1} - a_{1}c_{2}$$

$$y(a_{2}b_{1} - a_{1}b_{2}) = a_{2}c_{1} - a_{1}c_{2}$$

$$y = \frac{a_{2}c_{1} - a_{1}c_{2}}{a_{2}b_{1} - a_{1}b_{2}} = \frac{a_{1}c_{2} - a_{2}c_{1}}{a_{1}b_{2} - a_{2}b_{1}} = \frac{\begin{vmatrix} a_{1} & c_{1} \\ a_{2} & c_{2} \end{vmatrix}}{\begin{vmatrix} a_{1} & b_{1} \\ a_{2} & b_{2} \end{vmatrix}}$$

Notice that the denominator for both x and y is the determinant of the coefficient matrix.

We can use these formulas to solve for x and y, but Cramer's Rule also introduces new notation:

- *D* : determinant of the coefficient matrix
- D_x : determinant of the numerator in the solution of x

$$x = \frac{D_x}{D}$$

• D_y : determinant of the numerator in the solution of y

$$y = \frac{D_y}{D}$$

The key to Cramer's Rule is replacing the variable column of interest with the constant column and calculating the determinants. We can then express x and y as a quotient of two determinants.

Cramer's Rule for 2×2 Systems

Cramer's Rule is a method that uses determinants to solve systems of equations that have the same number of equations as variables.

Consider a system of two linear equations in two variables.

$$a_1 x + b_1 y = c_1$$
$$a_2 x + b_2 y = c_2$$

The solution using Cramer's Rule is given as

$$x = \frac{D_x}{D} = \frac{\begin{vmatrix} c_1 & b_1 \\ c_2 & b_2 \end{vmatrix}}{\begin{vmatrix} a_1 & b_1 \\ a_2 & b_2 \end{vmatrix}}, \quad D \neq 0; \quad y = \frac{D_y}{D} = \frac{\begin{vmatrix} a_1 & c_1 \\ a_2 & c_2 \end{vmatrix}}{\begin{vmatrix} a_1 & b_1 \\ a_2 & b_2 \end{vmatrix}}, \quad D \neq 0.$$

$$(9.5)$$

If we are solving for x, the x column is replaced with the constant column. If we are solving for y, the y column is replaced with the constant column.

Example 9.58

Using Cramer's Rule to Solve a 2 × 2 System

Solve the following 2×2 system using Cramer's Rule.

$$12x + 3y = 15$$
$$2x - 3y = 13$$

Solution

Solve for x.

$$x = \frac{D_x}{D} = \frac{\begin{vmatrix} 15 & 3 \\ 13 & -3 \end{vmatrix}}{\begin{vmatrix} 12 & 3 \\ 2 & -3 \end{vmatrix}} = \frac{-45 - 39}{-36 - 6} = \frac{-84}{-42} = 2$$

Solve for *y*.

$$y = \frac{D_y}{D} = \frac{\begin{vmatrix} 12 & 15 \\ 2 & 13 \end{vmatrix}}{\begin{vmatrix} 12 & 3 \\ 2 & -3 \end{vmatrix}} = \frac{156 - 30}{-36 - 6} = -\frac{126}{42} = -3$$

The solution is (2, -3).



9.32 Use Cramer's Rule to solve the 2×2 system of equations.

$$x + 2y = -11$$
$$-2x + y = -13$$

Evaluating the Determinant of a 3 × 3 Matrix

Finding the determinant of a 2×2 matrix is straightforward, but finding the determinant of a 3×3 matrix is more complicated. One method is to augment the 3×3 matrix with a repetition of the first two columns, giving a 3×5 matrix. Then we calculate the sum of the products of entries *down* each of the three diagonals (upper left to lower right), and subtract the products of entries *up* each of the three diagonals (lower left to upper right). This is more easily understood with a visual and an example.

Find the determinant of the 3×3 matrix.

$$A = \begin{bmatrix} a_1 & b_1 & c_1 \\ a_2 & b_2 & c_2 \\ a_3 & b_3 & c_3 \end{bmatrix}$$

1. Augment *A* with the first two columns.

$$\det(A) = \begin{vmatrix} a_1 & b_1 & c_1 \\ a_2 & b_2 & c_2 \\ a_3 & b_3 & c_3 \end{vmatrix} \begin{vmatrix} a_1 & b_1 \\ a_2 & b_2 \\ a_3 & b_3 \end{vmatrix}$$

- 2. From upper left to lower right: Multiply the entries down the first diagonal. Add the result to the product of entries down the second diagonal. Add this result to the product of the entries down the third diagonal.
- 3. From lower left to upper right: Subtract the product of entries up the first diagonal. From this result subtract the product of entries up the second diagonal. From this result, subtract the product of entries up the third diagonal.

$$\det(A) = \begin{vmatrix} a_1 & b_1 & c_1 & a_1 & b_1 \\ a_2 & b_2 & c_2 & b_2 \\ a_3 & b_3 & c_3 & a_3 & b_3 \end{vmatrix}$$

The algebra is as follows:

$$|A| = a_1b_2c_3 + b_1c_2a_3 + c_1a_2b_3 - a_3b_2c_1 - b_3c_2a_1 - c_3a_2b_1$$

Example 9.59

Finding the Determinant of a 3 × 3 Matrix

Find the determinant of the 3×3 matrix given

$$A = \begin{bmatrix} 0 & 2 & 1 \\ 3 & -1 & 1 \\ 4 & 0 & 1 \end{bmatrix}$$

Solution

Augment the matrix with the first two columns and then follow the formula. Thus,

$$|A| = \begin{vmatrix} 0 & 2 & 1 & 0 & 2 \\ 3 & -1 & 1 & 3 & -1 \\ 4 & 0 & 1 & 4 & 0 \end{vmatrix}$$

= 0(-1)(1) + 2(1)(4) + 1(3)(0) - 4(-1)(1) - 0(1)(0) - 1(3)(2)
= 0 + 8 + 0 + 4 - 0 - 6
= 6



9.33 Find the determinant of the 3×3 matrix.

$$\det(A) = \begin{vmatrix} 1 & -3 & 7 \\ 1 & 1 & 1 \\ 1 & -2 & 3 \end{vmatrix}$$



Can we use the same method to find the determinant of a larger matrix?

No, this method only works for 2×2 and 3×3 matrices. For larger matrices it is best to use a graphing utility or computer software.

Using Cramer's Rule to Solve a System of Three Equations in Three Variables

Now that we can find the determinant of a 3×3 matrix, we can apply Cramer's Rule to solve a system of three equations in three variables. Cramer's Rule is straightforward, following a pattern consistent with Cramer's Rule for 2×2 matrices. As the order of the matrix increases to 3×3 , however, there are many more calculations required.

When we calculate the determinant to be zero, Cramer's Rule gives no indication as to whether the system has no solution or an infinite number of solutions. To find out, we have to perform elimination on the system.

Consider a 3×3 system of equations.

$$a_1x + b_1y + c_1z = d_1$$

$$a_2x + b_2y + c_2z = d_2$$

$$a_3x + b_3y + c_3z = d_3$$

$$x = \frac{D_x}{D}, y = \frac{D_y}{D}, z = \frac{D_z}{D}, D \neq 0$$

where

$$D = \begin{vmatrix} a_1 & b_1 & c_1 \\ a_2 & b_2 & c_2 \\ a_3 & b_3 & c_3 \end{vmatrix}, D_x = \begin{vmatrix} d_1 & b_1 & c_1 \\ d_2 & b_2 & c_2 \\ d_3 & b_3 & c_3 \end{vmatrix}, D_y = \begin{vmatrix} a_1 & d_1 & c_1 \\ a_2 & d_2 & c_2 \\ a_3 & d_3 & c_3 \end{vmatrix}, D_z = \begin{vmatrix} a_1 & b_1 & d_1 \\ a_2 & b_2 & d_2 \\ a_3 & b_3 & d_3 \end{vmatrix}$$

If we are writing the determinant D_x , we replace the x column with the constant column. If we are writing the determinant D_y , we replace the y column with the constant column. If we are writing the determinant D_z , we replace the y column with the constant column. Always check the answer.

Example 9.60

Solving a 3 × 3 System Using Cramer's Rule

Find the solution to the given 3×3 system using Cramer's Rule.

$$x + y - z = 6$$
$$3x - 2y + z = -5$$
$$x + 3y - 2z = 14$$

Solution

Use Cramer's Rule.

$$D = \begin{vmatrix} 1 & 1 & -1 \\ 3 & -2 & 1 \\ 1 & 3 & -2 \end{vmatrix}, D_x = \begin{vmatrix} 6 & 1 & -1 \\ -5 & -2 & 1 \\ 14 & 3 & -2 \end{vmatrix}, D_y = \begin{vmatrix} 1 & 6 & -1 \\ 3 & -5 & 1 \\ 1 & 14 & -2 \end{vmatrix}, D_z = \begin{vmatrix} 1 & 1 & 6 \\ 3 & -2 & -5 \\ 1 & 3 & 14 \end{vmatrix}$$

Then,

$$x = \frac{D_x}{D} = \frac{-3}{-3} = 1$$
$$y = \frac{D_y}{D} = \frac{-9}{-3} = 3$$
$$z = \frac{D_z}{D} = \frac{6}{-3} = -2$$

The solution is (1, 3, -2).



9.34 Use Cramer's Rule to solve the 3×3 matrix.

$$x - 3y + 7z = 13$$

 $x + y + z = 1$
 $x - 2y + 3z = 4$
(9.6)

Example 9.61

Using Cramer's Rule to Solve an Inconsistent System

Solve the system of equations using Cramer's Rule.

$$3x - 2y = 4$$
 (1)

$$6x - 4y = 0$$
 (2)

Solution

We begin by finding the determinants D, D_x , and D_y .

$$D = \begin{vmatrix} 3 & -2 \\ 6 & -4 \end{vmatrix} = 3(-4) - 6(-2) = 0$$

We know that a determinant of zero means that either the system has no solution or it has an infinite number of solutions. To see which one, we use the process of elimination. Our goal is to eliminate one of the variables.

- 1. Multiply equation (1) by -2.
- 2. Add the result to equation (2).

$$\begin{array}{rcl}
-6x + 4y & = -8 \\
\underline{-6x - 4y} & = 0 \\
0 & = -8
\end{array}$$
(9.7)

We obtain the equation 0 = -8, which is false. Therefore, the system has no solution. Graphing the system reveals two parallel lines. See **Figure 9.31**.

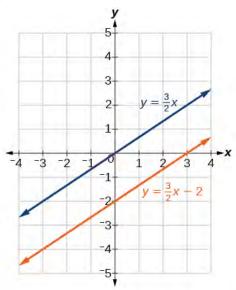


Figure 9.31

Example 9.62

Use Cramer's Rule to Solve a Dependent System

Solve the system with an infinite number of solutions.

$$x - 2y + 3z = 0$$
 (1)

$$3x + y - 2z = 0 (2)$$

$$2x - 4y + 6z = 0$$
 (3)

Solution

Let's find the determinant first. Set up a matrix augmented by the first two columns.

$$\begin{vmatrix} 1 & -2 & 3 & | & 1 & -2 \\ 3 & 1 & -2 & | & 3 & 1 \\ 2 & -4 & 6 & | & 2 & -4 \end{vmatrix}$$

Then,

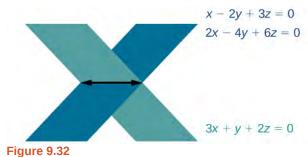
$$1(1)(6) + (-2)(-2)(2) + 3(3)(-4) - 2(1)(3) - (-4)(-2)(1) - 6(3)(-2) = 0$$

As the determinant equals zero, there is either no solution or an infinite number of solutions. We have to perform elimination to find out.

1. Multiply equation (1) by -2 and add the result to equation (3):

$$-2x + 4y - 6x = 0$$
$$2x - 4y + 6z = 0$$
$$0 - 0$$

2. Obtaining an answer of 0 = 0, a statement that is always true, means that the system has an infinite number of solutions. Graphing the system, we can see that two of the planes are the same and they both intersect the third plane on a line. See **Figure 9.32**.



Understanding Properties of Determinants

There are many properties of determinants. Listed here are some properties that may be helpful in calculating the determinant of a matrix.

Properties of Determinants

- 1. If the matrix is in upper triangular form, the determinant equals the product of entries down the main diagonal.
- 2. When two rows are interchanged, the determinant changes sign.
- 3. If either two rows or two columns are identical, the determinant equals zero.
- 4. If a matrix contains either a row of zeros or a column of zeros, the determinant equals zero.
- 5. The determinant of an inverse matrix A^{-1} is the reciprocal of the determinant of the matrix A.
- 6. If any row or column is multiplied by a constant, the determinant is multiplied by the same factor.

Example 9.63

Illustrating Properties of Determinants

Illustrate each of the properties of determinants.

Solution

Property 1 states that if the matrix is in upper triangular form, the determinant is the product of the entries down the main diagonal.

$$A = \begin{bmatrix} 1 & 2 & 3 \\ 0 & 2 & 1 \\ 0 & 0 & -1 \end{bmatrix}$$

Augment *A* with the first two columns.

$$A = \begin{bmatrix} 1 & 2 & 3 & 1 & 2 \\ 0 & 2 & 1 & 0 & 2 \\ 0 & 0 & -1 & 0 & 0 \end{bmatrix}$$

Then

$$det(A) = 1(2)(-1) + 2(1)(0) + 3(0)(0) - 0(2)(3) - 0(1)(1) + 1(0)(2)$$

$$= -2$$

Property 2 states that interchanging rows changes the sign. Given

$$A = \begin{bmatrix} -1 & 5\\ 4 & -3 \end{bmatrix}, \ \det(A) = (-1)(-3) - (4)(5) = 3 - 20 = -17$$

$$B = \begin{bmatrix} 4 & -3 \\ -1 & 5 \end{bmatrix}$$
, $\det(B) = (4)(5) - (-1)(-3) = 20 - 3 = 17$

Property 3 states that if two rows or two columns are identical, the determinant equals zero.

$$A = \begin{bmatrix} 1 & 2 & 2 & | & 1 & 2 \\ 2 & 2 & 2 & | & 2 & 2 \\ -1 & 2 & 2 & | & -1 & 2 \end{bmatrix}$$

$$det(A) = 1(2)(2) + 2(2)(-1) + 2(2)(2) + 1(2)(2) - 2(2)(1) - 2(2)(2)$$

= 4 - 4 + 8 + 4 - 4 - 8 = 0

Property 4 states that if a row or column equals zero, the determinant equals zero. Thus,

$$A = \begin{bmatrix} 1 & 2 \\ 0 & 0 \end{bmatrix}, \quad \det(A) = 1(0) - 2(0) = 0$$

Property 5 states that the determinant of an inverse matrix A^{-1} is the reciprocal of the determinant A. Thus,

$$A = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}$$
, $det(A) = 1(4) - 3(2) = -2$

$$A^{-1} = \begin{bmatrix} -2 & 1 \\ \frac{3}{2} & -\frac{1}{2} \end{bmatrix}, \det(A^{-1}) = -2(-\frac{1}{2}) - (\frac{3}{2})(1) = -\frac{1}{2}$$

Property 6 states that if any row or column of a matrix is multiplied by a constant, the determinant is multiplied by the same factor. Thus,

$$A = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}$$
, $det(A) = 1(4) - 2(3) = -2$

$$B = \begin{bmatrix} 2(1) & 2(2) \\ 3 & 4 \end{bmatrix}, \det(B) = 2(4) - 3(4) = -4$$

Example 9.64

Using Cramer's Rule and Determinant Properties to Solve a System

Find the solution to the given 3×3 system.

$$2x + 4y + 4z = 2$$
 (1)

$$3x + 7y + 7z = -5$$
 (2)

$$x + 2y + 2z = 4$$
 (3)

Solution

Using Cramer's Rule, we have

$$D = \begin{vmatrix} 2 & 4 & 4 \\ 3 & 7 & 7 \\ 1 & 2 & 2 \end{vmatrix}$$

Notice that the second and third columns are identical. According to Property 3, the determinant will be zero, so there is either no solution or an infinite number of solutions. We have to perform elimination to find out.

1. Multiply equation (3) by -2 and add the result to equation (1).

$$-2x - 4y - 4x = -8$$
$$2x + 4y + 4z = 2$$
$$0 = -6$$

Obtaining a statement that is a contradiction means that the system has no solution.



Access these online resources for additional instruction and practice with Cramer's Rule.

- Solve a System of Two Equations Using Cramer's Rule (http://openstaxcollege.org/l/system2cramer)
- Solve a Systems of Three Equations using Cramer's Rule (http://openstaxcollege.org/l/system3cramer)

9.8 EXERCISES

Verbal

446. Explain why we can always evaluate the determinant of a square matrix.

447. Examining Cramer's Rule, explain why there is no unique solution to the system when the determinant of your matrix is 0. For simplicity, use a 2×2 matrix.

448. Explain what it means in terms of an inverse for a matrix to have a 0 determinant.

449. The determinant of 2×2 matrix A is 3. If you switch the rows and multiply the first row by 6 and the second row by 2, explain how to find the determinant and provide the answer.

Algebraic

For the following exercises, find the determinant.

451.
$$\begin{vmatrix} -1 & 2 \\ 3 & -4 \end{vmatrix}$$

452.
$$\begin{vmatrix} 2 & -5 \\ -1 & 6 \end{vmatrix}$$

454.
$$\begin{vmatrix} 1 & 0 \\ 3 & -4 \end{vmatrix}$$

455.
$$\begin{vmatrix} 10 & 20 \\ 0 & -10 \end{vmatrix}$$

457.
$$\begin{vmatrix} 6 & -3 \\ 8 & 4 \end{vmatrix}$$

459.
$$\begin{vmatrix} -1.1 & 0.6 \\ 7.2 & -0.5 \end{vmatrix}$$

$$\begin{array}{c|cccc}
460. & -1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & -3
\end{array}$$

461.

$$\begin{vmatrix}
-1 & 4 & 0 \\
0 & 2 & 3 \\
0 & 0 & -3
\end{vmatrix}$$

463.
$$\begin{vmatrix} 2 & -3 & 1 \\ 3 & -4 & 1 \\ -5 & 6 & 1 \end{vmatrix}$$

$$\begin{array}{c|cccc}
464. & |-2 & 1 & 4 \\
-4 & 2 & -8 \\
2 & -8 & -3
\end{array}$$

465.
$$\begin{vmatrix} 6 & -1 & 2 \\ -4 & -3 & 5 \\ 1 & 9 & -1 \end{vmatrix}$$

467.
$$\begin{vmatrix} 1.1 & 2 & -1 \\ -4 & 0 & 0 \\ 4.1 & -0.4 & 2.5 \end{vmatrix}$$

469.
$$\begin{vmatrix} -\frac{1}{2} & \frac{1}{3} & \frac{1}{4} \\ \frac{1}{5} & -\frac{1}{6} & \frac{1}{7} \\ 0 & 0 & \frac{1}{8} \end{vmatrix}$$

For the following exercises, solve the system of linear equations using Cramer's Rule.

$$470. \ \ 2x - 3y = -1$$
$$4x + 5y = 9$$

$$471. \quad 5x - 4y = 2$$
$$-4x + 7y = 6$$

$$472. \quad 6x - 3y = 2$$
$$-8x + 9y = -1$$

473.
$$2x + 6y = 12$$

 $5x - 2y = 13$

474.

$$4x + 3y = 23$$
$$2x - y = -1$$

$$475. \quad 10x - 6y = 2$$
$$-5x + 8y = -1$$

$$476. \ 4x - 3y = -3$$
$$2x + 6y = -4$$

$$477. \quad 4x - 5y = 7 \\ -3x + 9y = 0$$

$$478. \ 4x + 10y = 180$$
$$-3x - 5y = -105$$

$$479. \quad 8x - 2y = -3$$
$$-4x + 6y = 4$$

For the following exercises, solve the system of linear equations using Cramer's Rule.

480.
$$x + 2y - 4z = -1$$

 $7x + 3y + 5z = 26$
 $-2x - 6y + 7z = -6$

481.
$$-5x + 2y - 4z = -47$$

 $4x - 3y - z = -94$
 $3x - 3y + 2z = 94$

482.
$$4x + 5y - z = -7$$

 $-2x - 9y + 2z = 8$
 $5y + 7z = 21$

483.
$$4x - 3y + 4z = 10$$

 $5x - 2z = -2$
 $3x + 2y - 5z = -9$

484.
$$4x - 2y + 3z = 6$$

 $-6x + y = -2$
 $2x + 7y + 8z = 24$

485.
$$5x + 2y - z = 1$$

 $-7x - 8y + 3z = 1.5$
 $6x - 12y + z = 7$

486.
$$13x - 17y + 16z = 73$$

 $-11x + 15y + 17z = 61$
 $46x + 10y - 30z = -18$

487.

$$-4x - 3y - 8z = -7$$
$$2x - 9y + 5z = 0.5$$
$$5x - 6y - 5z = -2$$

488.
$$4x - 6y + 8z = 10$$

 $-2x + 3y - 4z = -5$
 $x + y + z = 1$

489.
$$4x - 6y + 8z = 10$$
$$-2x + 3y - 4z = -5$$
$$12x + 18y - 24z = -30$$

Technology

For the following exercises, use the determinant function on a graphing utility.

492.
$$\begin{vmatrix} \frac{1}{2} & 1 & 7 & 4 \\ 0 & \frac{1}{2} & 100 & 5 \\ 0 & 0 & 2 & 2,000 \\ 0 & 0 & 0 & 2 \end{vmatrix}$$

Real-World Applications

For the following exercises, create a system of linear equations to describe the behavior. Then, calculate the determinant. Will there be a unique solution? If so, find the unique solution.

494. Two numbers add up to 56. One number is 20 less than the other.

495. Two numbers add up to 104. If you add two times the first number plus two times the second number, your total is 208

496. Three numbers add up to 106. The first number is 3 less than the second number. The third number is 4 more than the first number.

497.

Three numbers add to 216. The sum of the first two numbers is 112. The third number is 8 less than the first two numbers combined.

For the following exercises, create a system of linear equations to describe the behavior. Then, solve the system for all solutions using Cramer's Rule.

- 498. You invest \$10,000 into two accounts, which receive 8% interest and 5% interest. At the end of a year, you had \$10,710 in your combined accounts. How much was invested in each account?
- 499. You invest \$80,000 into two accounts, \$22,000 in one account, and \$58,000 in the other account. At the end of one year, assuming simple interest, you have earned \$2,470 in interest. The second account receives half a percent less than twice the interest on the first account. What are the interest rates for your accounts?
- 500. A movie theater needs to know how many adult tickets and children tickets were sold out of the 1,200 total tickets. If children's tickets are \$5.95, adult tickets are \$11.15, and the total amount of revenue was \$12,756, how many children's tickets and adult tickets were sold?
- 501. A concert venue sells single tickets for \$40 each and couple's tickets for \$65. If the total revenue was \$18,090 and the 321 tickets were sold, how many single tickets and how many couple's tickets were sold?
- 502. You decide to paint your kitchen green. You create the color of paint by mixing yellow and blue paints. You cannot remember how many gallons of each color went into your mix, but you know there were 10 gal total. Additionally, you kept your receipt, and know the total amount spent was \$29.50. If each gallon of yellow costs \$2.59, and each gallon of blue costs \$3.19, how many gallons of each color go into your green mix?
- 503. You sold two types of scarves at a farmers' market and would like to know which one was more popular. The total number of scarves sold was 56, the yellow scarf cost \$10, and the purple scarf cost \$11. If you had total revenue of \$583, how many yellow scarves and how many purple scarves were sold?
- 504. Your garden produced two types of tomatoes, one green and one red. The red weigh 10 oz, and the green weigh 4 oz. You have 30 tomatoes, and a total weight of 13 lb, 14 oz. How many of each type of tomato do you have?
- 505. At a market, the three most popular vegetables make up 53% of vegetable sales. Corn has 4% higher sales than broccoli, which has 5% more sales than onions. What percentage does each vegetable have in the market share?
- 506. At the same market, the three most popular fruits make up 37% of the total fruit sold. Strawberries sell twice as much as oranges, and kiwis sell one more percentage

point than oranges. For each fruit, find the percentage of total fruit sold.

- 507. Three bands performed at a concert venue. The first band charged \$15 per ticket, the second band charged \$45 per ticket, and the final band charged \$22 per ticket. There were 510 tickets sold, for a total of \$12,700. If the first band had 40 more audience members than the second band, how many tickets were sold for each band?
- 508. A movie theatre sold tickets to three movies. The tickets to the first movie were \$5, the tickets to the second movie were \$11, and the third movie was \$12. 100 tickets were sold to the first movie. The total number of tickets sold was 642, for a total revenue of \$6,774. How many tickets for each movie were sold?
- 509. Men aged 20–29, 30–39, and 40–49 made up 78% of the population at a prison last year. This year, the same age groups made up 82.08% of the population. The 20–29 age group increased by 20%, the 30–39 age group increased by 2%, and the 40–49 age group decreased to $\frac{3}{4}$ of their

previous population. Originally, the 30–39 age group had 2% more prisoners than the 20–29 age group. Determine the prison population percentage for each age group last year.

510. At a women's prison down the road, the total number of inmates aged 20–49 totaled 5,525. This year, the 20–29 age group increased by 10%, the 30–39 age group decreased by 20%, and the 40–49 age group doubled. There are now 6,040 prisoners. Originally, there were 500 more in the 30–39 age group than the 20–29 age group. Determine the prison population for each age group last year.

For the following exercises, use this scenario: A health-conscious company decides to make a trail mix out of almonds, dried cranberries, and chocolate-covered cashews. The nutritional information for these items is shown in **Table 9.5**.

	Fat (g)	Protein (g)	Carbohydrates (g)
Almonds (10)	6	2	3
Cranberries (10)	0.02	0	8
Cashews (10)	7	3.5	5.5

Table 9.5

For the special "low-carb" trail mix, there are 1,000 pieces of mix. The total number of carbohydrates is 425 g, and the total amount of fat is 570.2 g. If there are 200 more pieces of cashews than cranberries, how many of each item is in the trail mix?

- 512. For the "hiking" mix, there are 1,000 pieces in the mix, containing 390.8 g of fat, and 165 g of protein. If there is the same amount of almonds as cashews, how many of each item is in the trail mix?
- 513. For the "energy-booster" mix, there are 1,000 pieces in the mix, containing 145 g of protein and 625 g of carbohydrates. If the number of almonds and cashews summed together is equivalent to the amount of cranberries, how many of each item is in the trail mix?

CHAPTER 9 REVIEW

KEY TERMS

addition method an algebraic technique used to solve systems of linear equations in which the equations are added in a way that eliminates one variable, allowing the resulting equation to be solved for the remaining variable; substitution is then used to solve for the first variable

augmented matrix a coefficient matrix adjoined with the constant column separated by a vertical line within the matrix brackets

break-even point the point at which a cost function intersects a revenue function; where profit is zero

coefficient matrix a matrix that contains only the coefficients from a system of equations

column a set of numbers aligned vertically in a matrix

consistent system a system for which there is a single solution to all equations in the system and it is an independent system, or if there are an infinite number of solutions and it is a dependent system

cost function the function used to calculate the costs of doing business; it usually has two parts, fixed costs and variable costs

Cramer's Rule a method for solving systems of equations that have the same number of equations as variables using determinants

dependent system a system of linear equations in which the two equations represent the same line; there are an infinite number of solutions to a dependent system

determinant a number calculated using the entries of a square matrix that determines such information as whether there is a solution to a system of equations

entry an element, coefficient, or constant in a matrix

feasible region the solution to a system of nonlinear inequalities that is the region of the graph where the shaded regions of each inequality intersect

Gaussian elimination using elementary row operations to obtain a matrix in row-echelon form

identity matrix a square matrix containing ones down the main diagonal and zeros everywhere else; it acts as a 1 in matrix algebra

inconsistent system a system of linear equations with no common solution because they represent parallel lines, which have no point or line in common

independent system a system of linear equations with exactly one solution pair (x, y)

main diagonal entries from the upper left corner diagonally to the lower right corner of a square matrix

matrix a rectangular array of numbers

multiplicative inverse of a matrix a matrix that, when multiplied by the original, equals the identity matrix

nonlinear inequality an inequality containing a nonlinear expression

partial fraction decomposition the process of returning a simplified rational expression to its original form, a sum or difference of simpler rational expressions

partial fractions the individual fractions that make up the sum or difference of a rational expression before combining them into a simplified rational expression

profit function the profit function is written as P(x) = R(x) - C(x), revenue minus cost

revenue function the function that is used to calculate revenue, simply written as R = xp, where x = quantity and p = price

row a set of numbers aligned horizontally in a matrix

row operations adding one row to another row, multiplying a row by a constant, interchanging rows, and so on, with the goal of achieving row-echelon form

row-echelon form after performing row operations, the matrix form that contains ones down the main diagonal and zeros at every space below the diagonal

row-equivalent two matrices *A* and *B* are row-equivalent if one can be obtained from the other by performing basic row operations

scalar multiple an entry of a matrix that has been multiplied by a scalar

solution set the set of all ordered pairs or triples that satisfy all equations in a system of equations

substitution method an algebraic technique used to solve systems of linear equations in which one of the two equations is solved for one variable and then substituted into the second equation to solve for the second variable

system of linear equations a set of two or more equations in two or more variables that must be considered simultaneously.

system of nonlinear equations a system of equations containing at least one equation that is of degree larger than onesystem of nonlinear inequalities a system of two or more inequalities in two or more variables containing at least one inequality that is not linear

KEY EQUATIONS

Identity matrix for a 2×2 matrix
$$I_2 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

Identity matrix for a 3×3 matrix
$$I_3 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Multiplicative inverse of a 2×2 matrix
$$A^{-1} = \frac{1}{ad - bc} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$$
, where $ad - bc \neq 0$

KEY CONCEPTS

9.1 Systems of Linear Equations: Two Variables

- A system of linear equations consists of two or more equations made up of two or more variables such that all
 equations in the system are considered simultaneously.
- The solution to a system of linear equations in two variables is any ordered pair that satisfies each equation independently. See **Example 9.1**.
- Systems of equations are classified as independent with one solution, dependent with an infinite number of solutions, or inconsistent with no solution.
- One method of solving a system of linear equations in two variables is by graphing. In this method, we graph the equations on the same set of axes. See **Example 9.2**.
- Another method of solving a system of linear equations is by substitution. In this method, we solve for one variable in one equation and substitute the result into the second equation. See **Example 9.3**.
- A third method of solving a system of linear equations is by addition, in which we can eliminate a variable by adding opposite coefficients of corresponding variables. See **Example 9.4**.
- It is often necessary to multiply one or both equations by a constant to facilitate elimination of a variable when adding the two equations together. See **Example 9.5**, **Example 9.6**, and **Example 9.7**.
- Either method of solving a system of equations results in a false statement for inconsistent systems because they are made up of parallel lines that never intersect. See **Example 9.8**.

- The solution to a system of dependent equations will always be true because both equations describe the same line.
 See Example 9.9.
- Systems of equations can be used to solve real-world problems that involve more than one variable, such as those relating to revenue, cost, and profit. See **Example 9.10** and **Example 9.11**.

9.2 Systems of Linear Equations: Three Variables

- A solution set is an ordered triple {(x, y, z)} that represents the intersection of three planes in space. See
 Example 9.12.
- A system of three equations in three variables can be solved by using a series of steps that forces a variable to be eliminated. The steps include interchanging the order of equations, multiplying both sides of an equation by a nonzero constant, and adding a nonzero multiple of one equation to another equation. See **Example 9.13**.
- Systems of three equations in three variables are useful for solving many different types of real-world problems. See **Example 9.14**.
- A system of equations in three variables is inconsistent if no solution exists. After performing elimination
 operations, the result is a contradiction. See Example 9.15.
- Systems of equations in three variables that are inconsistent could result from three parallel planes, two parallel planes and one intersecting plane, or three planes that intersect the other two but not at the same location.
- A system of equations in three variables is dependent if it has an infinite number of solutions. After performing elimination operations, the result is an identity. See Example 9.16.
- Systems of equations in three variables that are dependent could result from three identical planes, three planes intersecting at a line, or two identical planes that intersect the third on a line.

9.3 Systems of Nonlinear Equations and Inequalities: Two Variables

- There are three possible types of solutions to a system of equations representing a line and a parabola: (1) no solution, the line does not intersect the parabola; (2) one solution, the line is tangent to the parabola; and (3) two solutions, the line intersects the parabola in two points. See **Example 9.17**.
- There are three possible types of solutions to a system of equations representing a circle and a line: (1) no solution, the line does not intersect the circle; (2) one solution, the line is tangent to the parabola; (3) two solutions, the line intersects the circle in two points. See **Example 9.18**.
- There are five possible types of solutions to the system of nonlinear equations representing an ellipse and a circle: (1) no solution, the circle and the ellipse do not intersect; (2) one solution, the circle and the ellipse are tangent to each other; (3) two solutions, the circle and the ellipse intersect in two points; (4) three solutions, the circle and ellipse intersect in three places; (5) four solutions, the circle and the ellipse intersect in four points. See **Example 9.19**.
- An inequality is graphed in much the same way as an equation, except for > or <, we draw a dashed line and shade the region containing the solution set. See **Example 9.20**.
- Inequalities are solved the same way as equalities, but solutions to systems of inequalities must satisfy both inequalities. See Example 9.21.

9.4 Partial Fractions

- Decompose $\frac{P(x)}{Q(x)}$ by writing the partial fractions as $\frac{A}{a_1x+b_1}+\frac{B}{a_2x+b_2}$. Solve by clearing the fractions, expanding the right side, collecting like terms, and setting corresponding coefficients equal to each other, then setting up and solving a system of equations. See **Example 9.22**.
- The decomposition of $\frac{P(x)}{Q(x)}$ with repeated linear factors must account for the factors of the denominator in increasing powers. See **Example 9.23**.

- The decomposition of $\frac{P(x)}{Q(x)}$ with a nonrepeated irreducible quadratic factor needs a linear numerator over the quadratic factor, as in $\frac{A}{x} + \frac{Bx + C}{\left(ax^2 + bx + c\right)}$. See **Example 9.24**.
- In the decomposition of $\frac{P(x)}{Q(x)}$, where Q(x) has a repeated irreducible quadratic factor, when the irreducible quadratic factors are repeated, powers of the denominator factors must be represented in increasing powers as

$$\frac{Ax+B}{(ax^2+bx+c)} + \frac{A_2x+B_2}{(ax^2+bx+c)^2} + \dots + \frac{A_nx+B_n}{(ax^2+bx+c)^n}.$$

See Example 9.25.

9.5 Matrices and Matrix Operations

- A matrix is a rectangular array of numbers. Entries are arranged in rows and columns.
- The dimensions of a matrix refer to the number of rows and the number of columns. A 3×2 matrix has three rows and two columns. See **Example 9.26**.
- We add and subtract matrices of equal dimensions by adding and subtracting corresponding entries of each matrix.
 See Example 9.27, Example 9.28, Example 9.29, and Example 9.30.
- Scalar multiplication involves multiplying each entry in a matrix by a constant. See Example 9.31.
- Scalar multiplication is often required before addition or subtraction can occur. See Example 9.32.
- Multiplying matrices is possible when inner dimensions are the same—the number of columns in the first matrix must match the number of rows in the second.
- The product of two matrices, *A* and *B*, is obtained by multiplying each entry in row 1 of *A* by each entry in column 1 of *B*; then multiply each entry of row 1 of *A* by each entry in columns 2 of *B*, and so on. See **Example 9.33** and **Example 9.34**.
- Many real-world problems can often be solved using matrices. See Example 9.35.
- We can use a calculator to perform matrix operations after saving each matrix as a matrix variable. See Example 9.36.

9.6 Solving Systems with Gaussian Elimination

- An augmented matrix is one that contains the coefficients and constants of a system of equations. See Example 9.37.
- A matrix augmented with the constant column can be represented as the original system of equations. See Example 9.38.
- · Row operations include multiplying a row by a constant, adding one row to another row, and interchanging rows.
- We can use Gaussian elimination to solve a system of equations. See **Example 9.39**, **Example 9.40**, and **Example 9.41**.
- Row operations are performed on matrices to obtain row-echelon form. See Example 9.42.
- To solve a system of equations, write it in augmented matrix form. Perform row operations to obtain row-echelon form. Back-substitute to find the solutions. See Example 9.43 and Example 9.44.
- A calculator can be used to solve systems of equations using matrices. See **Example 9.45**.
- Many real-world problems can be solved using augmented matrices. See Example 9.46 and Example 9.47.

9.7 Solving Systems with Inverses

• An identity matrix has the property AI = IA = A. See **Example 9.48**.

- An invertible matrix has the property $AA^{-1} = A^{-1}A = I$. See **Example 9.49**.
- Use matrix multiplication and the identity to find the inverse of a 2×2 matrix. See **Example 9.50**.
- The multiplicative inverse can be found using a formula. See **Example 9.51**.
- Another method of finding the inverse is by augmenting with the identity. See **Example 9.52**.
- We can augment a 3×3 matrix with the identity on the right and use row operations to turn the original matrix into the identity, and the matrix on the right becomes the inverse. See **Example 9.53**.
- Write the system of equations as AX = B, and multiply both sides by the inverse of $A : A^{-1}AX = A^{-1}B$. See **Example 9.54** and **Example 9.55**.
- We can also use a calculator to solve a system of equations with matrix inverses. See **Example 9.56**.

9.8 Solving Systems with Cramer's Rule

- The determinant for $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ is ad bc. See **Example 9.57**.
- Cramer's Rule replaces a variable column with the constant column. Solutions are $x = \frac{D_x}{D}$, $y = \frac{D_y}{D}$. See **Example 9.58**.
- To find the determinant of a 3×3 matrix, augment with the first two columns. Add the three diagonal entries (upper left to lower right) and subtract the three diagonal entries (lower left to upper right). See **Example 9.59**.
- To solve a system of three equations in three variables using Cramer's Rule, replace a variable column with the constant column for each desired solution: $x = \frac{D_x}{D}$, $y = \frac{D_y}{D}$, $z = \frac{D_z}{D}$. See **Example 9.60**.
- Cramer's Rule is also useful for finding the solution of a system of equations with no solution or infinite solutions.
 See Example 9.61 and Example 9.62.
- Certain properties of determinants are useful for solving problems. For example:
 - If the matrix is in upper triangular form, the determinant equals the product of entries down the main diagonal.
 - When two rows are interchanged, the determinant changes sign.
 - If either two rows or two columns are identical, the determinant equals zero.
 - If a matrix contains either a row of zeros or a column of zeros, the determinant equals zero.
 - The determinant of an inverse matrix A^{-1} is the reciprocal of the determinant of the matrix A.
 - If any row or column is multiplied by a constant, the determinant is multiplied by the same factor. See **Example 9.63** and **Example 9.64**.

CHAPTER 9 REVIEW EXERCISES

Systems of Linear Equations: Two Variables

For the following exercises, determine whether the ordered pair is a solution to the system of equations.

514.
$$3x - y = 4$$

 $x + 4y = -3$ and $(-1, 1)$

515.
$$6x - 2y = 24$$

-3x + 3y = 18 and (9, 15)

For the following exercises, use substitution to solve the system of equations.

516.
$$10x + 5y = -5$$
$$3x - 2y = -12$$

517.
$$\frac{4}{7}x + \frac{1}{5}y = \frac{43}{70}$$
$$\frac{5}{6}x - \frac{1}{3}y = -\frac{2}{3}$$

518.
$$5x + 6y = 14$$
$$4x + 8y = 8$$

For the following exercises, use addition to solve the system of equations.

519.
$$3x + 2y = -7$$
$$2x + 4y = 6$$

$$520. \quad 3x + 4y = 2 \\ 9x + 12y = 3$$

521.
$$8x + 4y = 2$$
$$6x - 5y = 0.7$$

For the following exercises, write a system of equations to solve each problem. Solve the system of equations.

- **522.** A factory has a cost of production C(x) = 150x + 15,000 and a revenue function R(x) = 200x. What is the break-even point?
- **523.** A performer charges C(x) = 50x + 10,000, where x is the total number of attendees at a show. The venue charges \$75 per ticket. After how many people buy tickets does the venue break even, and what is the value of the total tickets sold at that point?

Systems of Linear Equations: Three Variables

For the following exercises, solve the system of three equations using substitution or addition.

$$0.5x - 0.5y = 10$$
524.
$$-0.2y + 0.2x = 4$$

$$0.1x + 0.1z = 2$$

$$5x + 3y - z = 5$$

525. $3x - 2y + 4z = 13$
 $4x + 3y + 5z = 22$

$$x + y + z = 1$$

526. $2x + 2y + 2z = 1$
 $3x + 3y = 2$

527.
$$2x - 3y + z = -1$$
$$x + y + z = -4$$
$$4x + 2y - 3z = 33$$

$$3x + 2y - z = -10$$
528.
$$x - y + 2z = 7$$

$$-x + 3y + z = -2$$

$$3x + 4z = -11$$
529.
$$x - 2y = 5$$

$$4y - z = -10$$

$$2x - 3y + z = 0$$
530.
$$2x + 4y - 3z = 0$$

$$6x - 2y - z = 0$$

$$6x - 4y - 2z = 2$$
531.
$$3x + 2y - 5z = 4$$

$$6y - 7z = 5$$

For the following exercises, write a system of equations to solve each problem. Solve the system of equations.

- **532.** Three odd numbers sum up to 61. The smaller is one-third the larger and the middle number is 16 less than the larger. What are the three numbers?
- **533.** A local theatre sells out for their show. They sell all 500 tickets for a total purse of \$8,070.00. The tickets were priced at \$15 for students, \$12 for children, and \$18 for adults. If the band sold three times as many adult tickets as children's tickets, how many of each type was sold?

Systems of Nonlinear Equations and Inequalities: Two Variables

For the following exercises, solve the system of nonlinear equations.

534.
$$y = x^2 - 7$$

 $y = 5x - 13$

535.
$$y = x^2 - 4$$

 $y = 5x + 10$

536.
$$x^2 + y^2 = 16$$
$$y = x - 8$$

537.
$$x^2 + y^2 = 25$$

 $y = x^2 + 5$

538.
$$x^2 + y^2 = 4$$
$$y - x^2 = 3$$

For the following exercises, graph the inequality.

539.
$$y > x^2 - 1$$

540.
$$\frac{1}{4}x^2 + y^2 < 4$$

For the following exercises, graph the system of inequalities.

541.
$$x^2 + y^2 + 2x < 3$$
$$y > -x^2 - 3$$

542.
$$x^2 - 2x + y^2 - 4x < 4$$

 $y < -x + 4$

543.
$$x^2 + y^2 < 1$$
$$y^2 < x$$

Partial Fractions

For the following exercises, decompose into partial fractions.

544.
$$\frac{-2x+6}{x^2+3x+2}$$

545.
$$\frac{10x+2}{4x^2+4x+1}$$

$$546. \quad \frac{7x + 20}{x^2 + 10x + 25}$$

$$547. \quad \frac{x-18}{x^2-12x+36}$$

548.
$$\frac{-x^2 + 36x + 70}{x^3 - 125}$$

$$549. \quad \frac{-5x^2 + 6x - 2}{x^3 + 27}$$

552. -4A

550.
$$\frac{x^3 - 4x^2 + 3x + 11}{(x^2 - 2)^2}$$

551.
$$\frac{4x^4 - 2x^3 + 22x^2 - 6x + 48}{x(x^2 + 4)^2}$$

Matrices and Matrix Operations

For the following exercises, perform the requested operations on the given matrices.

$$A = \begin{bmatrix} 4 & -2 \\ 1 & 3 \end{bmatrix}, B = \begin{bmatrix} 6 & 7 & -3 \\ 11 & -2 & 4 \end{bmatrix}, C = \begin{bmatrix} 6 & 7 \\ 11 & -2 \\ 14 & 0 \end{bmatrix}, D = \begin{bmatrix} 1 & -4 & 9 \\ 10 & 5 & -7 \\ 2 & 8 & 5 \end{bmatrix}, E = \begin{bmatrix} 7 & -14 & 3 \\ 2 & -1 & 3 \\ 0 & 1 & 9 \end{bmatrix}$$

553.
$$10D - 6E$$

554.
$$B + C$$

563.
$$A^3$$

Solving Systems with Gaussian Elimination

For the following exercises, write the system of linear equations from the augmented matrix. Indicate whether there will be a unique solution.

564.
$$\begin{bmatrix} 1 & 0 & -3 & | & 7 \\ 0 & 1 & 2 & | & -5 \\ 0 & 0 & 0 & | & 0 \end{bmatrix}$$

565.
$$\begin{bmatrix} 1 & 0 & 5 & | & -9 \\ 0 & 1 & -2 & | & 4 \\ 0 & 0 & 0 & | & 3 \end{bmatrix}$$

For the following exercises, write the augmented matrix from the system of linear equations.

$$-2x + 2y + z = 7$$
566.
$$2x - 8y + 5z = 0$$

$$19x - 10y + 22z = 3$$

$$4x + 2y - 3z = 14$$
567.
$$-12x + 3y + z = 100$$

$$9x - 6y + 2z = 31$$

$$x + 3z = 12$$
568. $-x + 4y = 0$

$$y + 2z = -7$$

For the following exercises, solve the system of linear equations using Gaussian elimination.

569.
$$3x - 4y = -7 \\ -6x + 8y = 14$$

$$3x - 4y = 1 \\
-6x + 8y = 6$$

571.
$$-1.1x - 2.3y = 6.2$$
$$-5.2x - 4.1y = 4.3$$

$$2x + 3y + 2z = 1$$
572.
$$-4x - 6y - 4z = -2$$

$$10x + 15y + 10z = 0$$

$$-x + 2y - 4z = 8$$
573.
$$3y + 8z = -4$$

$$-7x + y + 2z = 1$$

Solving Systems with Inverses

For the following exercises, find the inverse of the matrix.

574.
$$\begin{bmatrix} -0.2 & 1.4 \\ 1.2 & -0.4 \end{bmatrix}$$

575.
$$\begin{bmatrix} \frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{4} & \frac{3}{4} \end{bmatrix}$$

576.
$$\begin{bmatrix} 12 & 9 & -6 \\ -1 & 3 & 2 \\ -4 & -3 & 2 \end{bmatrix}$$

577.
$$\begin{bmatrix} 2 & 1 & 3 \\ 1 & 2 & 3 \\ 3 & 2 & 1 \end{bmatrix}$$

For the following exercises, find the solutions by computing the inverse of the matrix.

578.
$$0.3x - 0.1y = -10$$
$$-0.1x + 0.3y = 14$$

579.
$$0.4x - 0.2y = -0.6$$
$$-0.1x + 0.05y = 0.3$$

$$4x + 3y - 3z = -4.3$$
580.
$$5x - 4y - z = -6.1$$

$$x + z = -0.7$$

$$-2x - 3y + 2z = 3$$
581.
$$-x + 2y + 4z = -5$$

$$-2y + 5z = -3$$

For the following exercises, write a system of equations to solve each problem. Solve the system of equations.

- **582.** Students were asked to bring their favorite fruit to class. 90% of the fruits consisted of banana, apple, and oranges. If oranges were half as popular as bananas and apples were 5% more popular than bananas, what are the percentages of each individual fruit?
- **583.** A sorority held a bake sale to raise money and sold brownies and chocolate chip cookies. They priced the brownies at \$2 and the chocolate chip cookies at \$1. They raised \$250 and sold 175 items. How many brownies and how many cookies were sold?

Solving Systems with Cramer's Rule

For the following exercises, find the determinant.

584.
$$\begin{bmatrix} 100 & 0 \\ 0 & 0 \end{bmatrix}$$

585.
$$\begin{vmatrix} 0.2 & -0.6 \\ 0.7 & -1.1 \end{vmatrix}$$

586.
$$\begin{vmatrix} -1 & 4 & 3 \\ 0 & 2 & 3 \\ 0 & 0 & -3 \end{vmatrix}$$

$$\begin{array}{c|cccc}
587. & \sqrt{2} & 0 & 0 \\
0 & \sqrt{2} & 0 \\
0 & 0 & \sqrt{2}
\end{array}$$

For the following exercises, use Cramer's Rule to solve the linear systems of equations.

$$4x - 2y = 23
-5x - 10y = -35$$

589.
$$0.2x - 0.1y = 0$$

 $-0.3x + 0.3y = 2.5$

590.
$$-0.5x + 0.1y = 0.3$$
$$-0.25x + 0.05y = 0.15$$

$$x + 6y + 3z = 4$$

591.
$$2x + y + 2z = 3$$

 $3x - 2y + z = 0$

$$4x - 3y + 5z = -\frac{5}{2}$$
592.
$$7x - 9y - 3z = \frac{3}{2}$$

$$x - 5y - 5z = \frac{5}{2}$$

$$\frac{3}{10}x - \frac{1}{5}y - \frac{3}{10}z = -\frac{1}{50}$$
593.
$$\frac{1}{10}x - \frac{1}{10}y - \frac{1}{2}z = -\frac{9}{50}$$

$$\frac{2}{5}x - \frac{1}{2}y - \frac{3}{5}z = -\frac{1}{5}$$

CHAPTER 9 PRACTICE TEST

Is the following ordered pair a solution to the system of equations?

594.
$$-5x - y = 12$$
 with $(-3, 3)$ $x + 4y = 9$

For the following exercises, solve the systems of linear and nonlinear equations using substitution or elimination. Indicate if no solution exists.

595.
$$\frac{\frac{1}{2}x - \frac{1}{3}y = 4}{\frac{3}{2}x - y = 0}$$

$$-\frac{1}{2}x - 4y = 4$$
596.
$$2x + 16y = 2$$

$$5y - y = 1$$

$$-10x + 2y = -2$$

$$4x - 6y - 2z = \frac{1}{10}$$
598.
$$x - 7y + 5z = -\frac{1}{4}$$

$$3x + 6y - 9z = \frac{6}{5}$$

$$x + z = 20$$
599.
$$x + y + z = 20$$

$$x + 2y + z = 10$$

$$5x - 4y - 3z = 0$$
600.
$$2x + y + 2z = 0$$

$$x - 6y - 7z = 0$$

601.
$$y = x^2 + 2x - 3$$

 $y = x - 1$

602.
$$y^2 + x^2 = 25$$
$$y^2 - 2x^2 = 1$$

For the following exercises, graph the following inequalities.

603.
$$y < x^2 + 9$$

604.
$$x^2 + y^2 > 4$$
$$y < x^2 + 1$$

For the following exercises, write the partial fraction decomposition.

605.
$$\frac{-8x - 30}{x^2 + 10x + 25}$$

606.
$$\frac{13x+2}{(3x+1)^2}$$

607.
$$\frac{x^4 - x^3 + 2x - 1}{x(x^2 + 1)^2}$$

For the following exercises, perform the given matrix operations.

608.
$$5\begin{bmatrix} 4 & 9 \\ -2 & 3 \end{bmatrix} + \frac{1}{2}\begin{bmatrix} -6 & 12 \\ 4 & -8 \end{bmatrix}$$

609.
$$\begin{bmatrix} 1 & 4 & -7 \\ -2 & 9 & 5 \\ 12 & 0 & -4 \end{bmatrix} \begin{bmatrix} 3 & -4 \\ 1 & 3 \\ 5 & 10 \end{bmatrix}$$

610.
$$\begin{bmatrix} \frac{1}{2} & \frac{1}{3} \\ \frac{1}{4} & \frac{1}{5} \end{bmatrix}^{-1}$$

611.
$$\det \begin{vmatrix} 0 & 0 \\ 400 & 4,000 \end{vmatrix}$$

612.
$$\det \begin{vmatrix} \frac{1}{2} & -\frac{1}{2} & 0 \\ -\frac{1}{2} & 0 & \frac{1}{2} \\ 0 & \frac{1}{2} & 0 \end{vmatrix}$$

- **613.** If det(A) = -6, what would be the determinant if you switched rows 1 and 3, multiplied the second row by 12, and took the inverse?
- **614.** Rewrite the system of linear equations as an 14x 2y + 13z = 140

augmented matrix.
$$-2x + 3y - 6z = -1$$

 $x - 5y + 12z = 11$

615. Rewrite the augmented matrix as a system of linear

equations.
$$\begin{bmatrix} 1 & 0 & 3 & 12 \\ -2 & 4 & 9 & -5 \\ -6 & 1 & 2 & 8 \end{bmatrix}$$

For the following exercises, use Gaussian elimination to solve the systems of equations.

$$616. x - 6y = 4$$
$$2x - 12y = 0$$

$$2x + y + z = -3$$
617.
$$x - 2y + 3z = 6$$

$$x - y - z = 6$$

For the following exercises, use the inverse of a matrix to solve the systems of equations.

618.
$$4x - 5y = -50$$
$$-x + 2y = 80$$

$$\frac{1}{100}x - \frac{3}{100}y + \frac{1}{20}z = -49$$
619.
$$\frac{3}{100}x - \frac{7}{100}y - \frac{1}{100}z = 13$$

$$\frac{9}{100}x - \frac{9}{100}y - \frac{9}{100}z = 99$$

For the following exercises, use Cramer's Rule to solve the systems of equations.

620.
$$200x - 300y = 2$$
$$400x + 715y = 4$$

$$0.1x + 0.1y - 0.1z = -1.2$$
621.
$$0.1x - 0.2y + 0.4z = -1.2$$

$$0.5x - 0.3y + 0.8z = -5.9$$

For the following exercises, solve using a system of linear equations.

- **622.** A factory producing cell phones has the following cost and revenue functions: $C(x) = x^2 + 75x + 2,688$ and $R(x) = x^2 + 160x$. What is the range of cell phones they should produce each day so there is profit? Round to the nearest number that generates profit.
- **623.** A small fair charges \$1.50 for students, \$1 for children, and \$2 for adults. In one day, three times as many children as adults attended. A total of 800 tickets were sold for a total revenue of \$1,050. How many of each type of ticket was sold?

10 ANALYTIC GEOMETRY

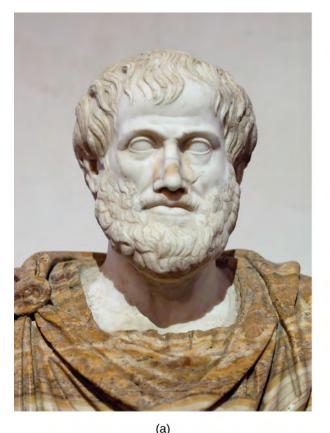




Figure 10.1 (a) Greek philosopher Aristotle (384–322 BCE) (b) German mathematician and astronomer Johannes Kepler (1571–1630)

Chapter Outline

10.1 The Ellipse

10.2 The Hyperbola

10.3 The Parabola

10.4 Rotation of Axes

10.5 Conic Sections in Polar Coordinates

Introduction

The Greek mathematician Menaechmus (c. 380–c. 320 BCE) is generally credited with discovering the shapes formed by the intersection of a plane and a right circular cone. Depending on how he tilted the plane when it intersected the cone, he formed different shapes at the intersection–beautiful shapes with near-perfect symmetry.

It was also said that Aristotle may have had an intuitive understanding of these shapes, as he observed the orbit of the planet to be circular. He presumed that the planets moved in circular orbits around Earth, and for nearly 2000 years this was the commonly held belief.

It was not until the Renaissance movement that Johannes Kepler noticed that the orbits of the planet were not circular in nature. His published law of planetary motion in the 1600s changed our view of the solar system forever. He claimed that the sun was at one end of the orbits, and the planets revolved around the sun in an oval-shaped path.

In this chapter, we will investigate the two-dimensional figures that are formed when a right circular cone is intersected by a plane. We will begin by studying each of three figures created in this manner. We will develop defining equations for each figure and then learn how to use these equations to solve a variety of problems.

10.1 | The Ellipse

Learning Objectives

In this section, you will:

- **10.1.1** Write equations of ellipses in standard form.
- 10.1.2 Graph ellipses centered at the origin.
- 10.1.3 Graph ellipses not centered at the origin.
- 10.1.4 Solve applied problems involving ellipses.



Figure 10.2 The National Statuary Hall in Washington, D.C. (credit: Greg Palmer, Flickr)

Can you imagine standing at one end of a large room and still being able to hear a whisper from a person standing at the other end? The National Statuary Hall in Washington, D.C., shown in **Figure 10.2**, is such a room. [1] It is an oval-shaped room called a *whispering chamber* because the shape makes it possible for sound to travel along the walls. In this section, we will investigate the shape of this room and its real-world applications, including how far apart two people in Statuary Hall can stand and still hear each other whisper.

Writing Equations of Ellipses in Standard Form

A conic section, or **conic**, is a shape resulting from intersecting a right circular cone with a plane. The angle at which the plane intersects the cone determines the shape, as shown in **Figure 10.3**.

^{1.} Architect of the Capitol. http://www.aoc.gov. Accessed April 15, 2014.

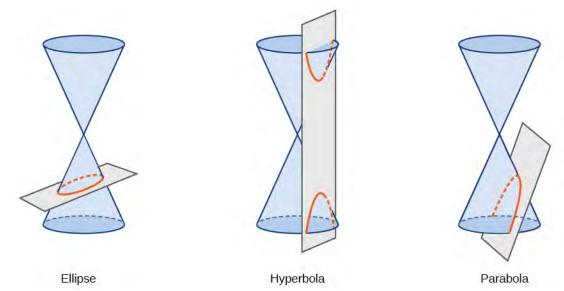


Figure 10.3

Conic sections can also be described by a set of points in the coordinate plane. Later in this chapter, we will see that the graph of any quadratic equation in two variables is a conic section. The signs of the equations and the coefficients of the variable terms determine the shape. This section focuses on the four variations of the standard form of the equation for the ellipse. An **ellipse** is the set of all points (x, y) in a plane such that the sum of their distances from two fixed points is a constant. Each fixed point is called a **focus** (plural: **foci**).

We can draw an ellipse using a piece of cardboard, two thumbtacks, a pencil, and string. Place the thumbtacks in the cardboard to form the foci of the ellipse. Cut a piece of string longer than the distance between the two thumbtacks (the length of the string represents the constant in the definition). Tack each end of the string to the cardboard, and trace a curve with a pencil held taut against the string. The result is an ellipse. See **Figure 10.4**.

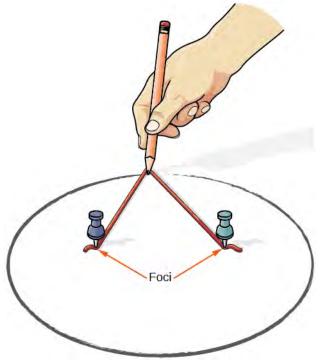


Figure 10.4

Every ellipse has two axes of symmetry. The longer axis is called the **major axis**, and the shorter axis is called the **minor axis**. Each endpoint of the major axis is the **vertex** of the ellipse (plural: **vertices**), and each endpoint of the minor axis is a **co-vertex** of the ellipse. The **center of an ellipse** is the midpoint of both the major and minor axes. The axes are perpendicular at the center. The foci always lie on the major axis, and the sum of the distances from the foci to any point on the ellipse (the constant sum) is greater than the distance between the foci. See **Figure 10.5**.

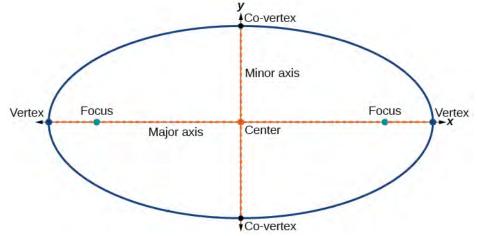


Figure 10.5

In this section, we restrict ellipses to those that are positioned vertically or horizontally in the coordinate plane. That is, the axes will either lie on or be parallel to the x- and y-axes. Later in the chapter, we will see ellipses that are rotated in the coordinate plane.

To work with horizontal and vertical ellipses in the coordinate plane, we consider two cases: those that are centered at the origin and those that are centered at a point other than the origin. First we will learn to derive the equations of ellipses, and then we will learn how to write the equations of ellipses in standard form. Later we will use what we learn to draw the graphs.

Deriving the Equation of an Ellipse Centered at the Origin

To derive the equation of an ellipse centered at the origin, we begin with the foci (-c, 0) and (c, 0). The ellipse is the set of all points (x, y) such that the sum of the distances from (x, y) to the foci is constant, as shown in **Figure 10.6**.

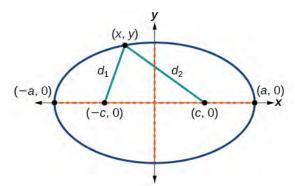


Figure 10.6

If (a, 0) is a vertex of the ellipse, the distance from (-c, 0) to (a, 0) is a - (-c) = a + c. The distance from (c, 0) to (a, 0) is a - c. The sum of the distances from the foci to the vertex is

$$(a+c) + (a-c) = 2a$$

If (x, y) is a point on the ellipse, then we can define the following variables:

$$d_1$$
 = the distance from $(-c, 0)$ to (x, y)
 d_2 = the distance from $(c, 0)$ to (x, y)

By the definition of an ellipse, $d_1 + d_2$ is constant for any point (x, y) on the ellipse. We know that the sum of these distances is 2a for the vertex (a, 0). It follows that $d_1 + d_2 = 2a$ for any point on the ellipse. We will begin the derivation by applying the distance formula. The rest of the derivation is algebraic.

$$d_1 + d_2 = \sqrt{(x - (-c))^2 + (y - 0)^2} + \sqrt{(x - c)^2 + (y - 0)^2} = 2a \quad \text{Distance formula}$$

$$\sqrt{(x + c)^2 + y^2} + \sqrt{(x - c)^2 + y^2} = 2a \quad \text{Simplify expressions.}$$

$$\sqrt{(x + c)^2 + y^2} = 2a - \sqrt{(x - c)^2 + y^2} \quad \text{Move radical to opposite side.}$$

$$(x + c)^2 + y^2 = \left[2a - \sqrt{(x - c)^2 + y^2}\right]^2 \quad \text{Square both sides.}$$

$$x^2 + 2cx + c^2 + y^2 = 4a^2 - 4a\sqrt{(x - c)^2 + y^2} + (x - c)^2 + y^2 \quad \text{Expand the squares.}$$

$$x^2 + 2cx + c^2 + y^2 = 4a^2 - 4a\sqrt{(x - c)^2 + y^2} + x^2 - 2cx + c^2 + y^2 \quad \text{Expand remaining squares.}$$

$$2cx = 4a^2 - 4a\sqrt{(x - c)^2 + y^2} - 2cx \quad \text{Combine like terms.}$$

$$4cx - 4a^2 = -4a\sqrt{(x - c)^2 + y^2} \quad \text{Isolate the radical.}$$

$$cx - a^2 = -a\sqrt{(x - c)^2 + y^2} \quad \text{Divide by 4.}$$

$$\left[cx - a^2\right]^2 = a^2\left[\sqrt{(x - c)^2 + y^2}\right]^2 \quad \text{Square both sides.}$$

$$c^2x^2 - 2a^2cx + a^4 = a^2(x^2 - 2cx + c^2 + y^2) \quad \text{Expand the squares.}$$

$$c^2x^2 - 2a^2cx + a^4 = a^2x^2 - 2a^2cx + a^2c^2 + a^2y^2 \quad \text{Distribute } a^2.$$

$$a^2x^2 - c^2x^2 + a^2y^2 = a^4 - a^2c^2 \quad \text{Rewrite.}$$

$$x^2b^2 + a^2y^2 = a^2b^2 \quad \text{Set } b^2 = a^2 - c^2.$$

$$\frac{x^2b^2}{a^2b^2} + \frac{a^2y^2}{a^2b^2} = \frac{a^2b^2}{a^2b^2} \quad \text{Divide both sides by } a^2b^2.$$

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1 \quad \text{Simplify.}$$

Thus, the standard equation of an ellipse is $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$. This equation defines an ellipse centered at the origin. If a > b,

the ellipse is stretched further in the horizontal direction, and if b > a, the ellipse is stretched further in the vertical direction.

Writing Equations of Ellipses Centered at the Origin in Standard Form

Standard forms of equations tell us about key features of graphs. Take a moment to recall some of the standard forms of equations we've worked with in the past: linear, quadratic, cubic, exponential, logarithmic, and so on. By learning to interpret standard forms of equations, we are bridging the relationship between algebraic and geometric representations of mathematical phenomena.

The key features of the ellipse are its center, vertices, co-vertices, foci, and lengths and positions of the major and minor axes. Just as with other equations, we can identify all of these features just by looking at the standard form of the equation. There are four variations of the standard form of the ellipse. These variations are categorized first by the location of the center (the origin or not the origin), and then by the position (horizontal or vertical). Each is presented along with a description of how the parts of the equation relate to the graph. Interpreting these parts allows us to form a mental picture of the ellipse.

Standard Forms of the Equation of an Ellipse with Center (0,0)

The standard form of the equation of an ellipse with center (0, 0) and major axis on the *x-axis* is

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$$
 (10.1)

where

- *a* > *b*
- the length of the major axis is 2*a*
- the coordinates of the vertices are $(\pm a, 0)$
- the length of the minor axis is 2*b*
- the coordinates of the co-vertices are $(0, \pm b)$
- the coordinates of the foci are $(\pm c, 0)$, where $c^2 = a^2 b^2$. See **Figure 10.7a**

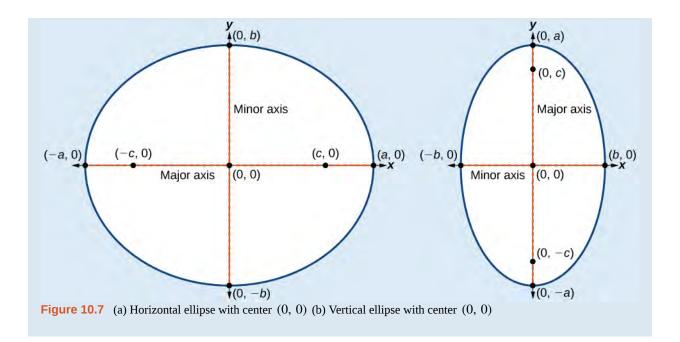
The standard form of the equation of an ellipse with center (0, 0) and major axis on the *y-axis* is

$$\frac{x^2}{b^2} + \frac{y^2}{a^2} = 1$$
 (10.2)

where

- *a* > *b*
- the length of the major axis is 2a
- the coordinates of the vertices are $(0, \pm a)$
- the length of the minor axis is 2*b*
- the coordinates of the co-vertices are $(\pm b, 0)$
- the coordinates of the foci are $(0, \pm c)$, where $c^2 = a^2 b^2$. See **Figure 10.7b**

Note that the vertices, co-vertices, and foci are related by the equation $c^2 = a^2 - b^2$. When we are given the coordinates of the foci and vertices of an ellipse, we can use this relationship to find the equation of the ellipse in standard form.



How To:

Given the vertices and foci of an ellipse centered at the origin, write its equation in standard form.

- 1. Determine whether the major axis lies on the *x* or *y*-axis.
 - a. If the given coordinates of the vertices and foci have the form $(\pm a, 0)$ and $(\pm c, 0)$ respectively, then the major axis is the *x*-axis. Use the standard form $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$.
 - b. If the given coordinates of the vertices and foci have the form $(0, \pm a)$ and $(\pm c, 0)$, respectively, then the major axis is the *y*-axis. Use the standard form $\frac{x^2}{b^2} + \frac{y^2}{a^2} = 1$.
- 2. Use the equation $c^2 = a^2 b^2$, along with the given coordinates of the vertices and foci, to solve for b^2 .
- 3. Substitute the values for a^2 and b^2 into the standard form of the equation determined in Step 1.

Example 10.1

Writing the Equation of an Ellipse Centered at the Origin in Standard Form

What is the standard form equation of the ellipse that has vertices $(\pm 8, 0)$ and foci $(\pm 5, 0)$?

Solution

The foci are on the *x*-axis, so the major axis is the *x*-axis. Thus, the equation will have the form

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$$

The vertices are $(\pm 8, 0)$, so a = 8 and $a^2 = 64$.

The foci are $(\pm 5, 0)$, so c = 5 and $c^2 = 25$.

We know that the vertices and foci are related by the equation $c^2 = a^2 - b^2$. Solving for b^2 , we have:

$$c^2 = a^2 - b^2$$

 $25 = 64 - b^2$ Substitute for c^2 and a^2 .
 $b^2 = 39$ Solve for b^2 .

Now we need only substitute $a^2 = 64$ and $b^2 = 39$ into the standard form of the equation. The equation of the ellipse is $\frac{x^2}{64} + \frac{y^2}{39} = 1$.



10.1 What is the standard form equation of the ellipse that has vertices $(0, \pm 4)$ and foci $(0, \pm \sqrt{15})$?



Can we write the equation of an ellipse centered at the origin given coordinates of just one focus and vertex?

Yes. Ellipses are symmetrical, so the coordinates of the vertices of an ellipse centered around the origin will always have the form $(\pm a, 0)$ or $(0, \pm a)$. Similarly, the coordinates of the foci will always have the form $(\pm c, 0)$ or $(0, \pm c)$. Knowing this, we can use a and c from the given points, along with the equation $c^2 = a^2 - b^2$, to find b^2 .

Writing Equations of Ellipses Not Centered at the Origin

Like the graphs of other equations, the graph of an ellipse can be translated. If an ellipse is translated h units horizontally and k units vertically, the center of the ellipse will be (h, k). This translation results in the standard form of the equation we saw previously, with x replaced by (x - h) and y replaced by (y - k).

Standard Forms of the Equation of an Ellipse with Center (h, k)

The standard form of the equation of an ellipse with center (h, k) and major axis parallel to the *x*-axis is

$$\frac{(x-h)^2}{a^2} + \frac{(y-k)^2}{b^2} = 1$$
 (10.3)

where

- *a* > *b*
- the length of the major axis is 2*a*
- the coordinates of the vertices are $(h \pm a, k)$
- the length of the minor axis is 2*b*
- the coordinates of the co-vertices are $(h, k \pm b)$
- the coordinates of the foci are $(h \pm c, k)$, where $c^2 = a^2 b^2$. See **Figure 10.8a**

The standard form of the equation of an ellipse with center (h, k) and major axis parallel to the *y*-axis is

$$\frac{(x-h)^2}{h^2} + \frac{(y-k)^2}{a^2} = 1$$
 (10.4)

where

- *a* > *b*
- the length of the major axis is 2*a*
- the coordinates of the vertices are $(h, k \pm a)$
- the length of the minor axis is 2*b*
- the coordinates of the co-vertices are $(h \pm b, k)$
- the coordinates of the foci are $(h, k \pm c)$, where $c^2 = a^2 b^2$. See **Figure 10.8b**

Just as with ellipses centered at the origin, ellipses that are centered at a point (h, k) have vertices, co-vertices, and foci that are related by the equation $c^2 = a^2 - b^2$. We can use this relationship along with the midpoint and distance formulas to find the equation of the ellipse in standard form when the vertices and foci are given.

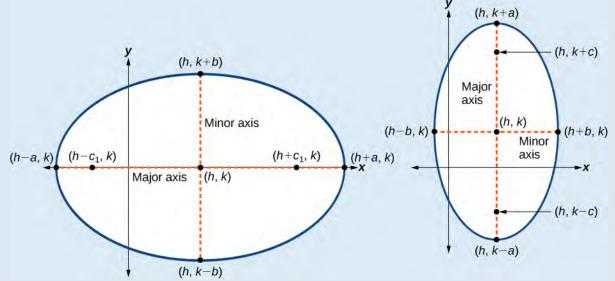


Figure 10.8 (a) Horizontal ellipse with center (h, k) (b) Vertical ellipse with center (h, k)



Given the vertices and foci of an ellipse not centered at the origin, write its equation in standard form.

- 1. Determine whether the major axis is parallel to the *x* or *y*-axis.
 - a. If the *y*-coordinates of the given vertices and foci are the same, then the major axis is parallel to the *x*-axis. Use the standard form $\frac{(x-h)^2}{a^2} + \frac{(y-k)^2}{b^2} = 1$.
 - b. If the *x*-coordinates of the given vertices and foci are the same, then the major axis is parallel to the *y*-axis. Use the standard form $\frac{(x-h)^2}{h^2} + \frac{(y-k)^2}{a^2} = 1$.
- 2. Identify the center of the ellipse (h, k) using the midpoint formula and the given coordinates for the vertices.
- 3. Find a^2 by solving for the length of the major axis, 2a, which is the distance between the given vertices.
- 4. Find c^2 using h and k, found in Step 2, along with the given coordinates for the foci.
- 5. Solve for b^2 using the equation $c^2 = a^2 b^2$.
- 6. Substitute the values for h, k, a^2 , and b^2 into the standard form of the equation determined in Step 1.

Example 10.2

Writing the Equation of an Ellipse Centered at a Point Other Than the Origin

What is the standard form equation of the ellipse that has vertices (-2, -8) and (-2, 2)

and foci
$$(-2, -7)$$
 and $(-2, 1)$?

Solution

The *x*-coordinates of the vertices and foci are the same, so the major axis is parallel to the *y*-axis. Thus, the equation of the ellipse will have the form

$$\frac{(x-h)^2}{h^2} + \frac{(y-k)^2}{a^2} = 1$$

First, we identify the center, (h, k). The center is halfway between the vertices, (-2, -8) and (-2, 2). Applying the midpoint formula, we have:

$$(h, k) = \left(\frac{-2 + (-2)}{2}, \frac{-8 + 2}{2}\right)$$
$$= (-2, -3)$$

Next, we find a^2 . The length of the major axis, 2a, is bounded by the vertices. We solve for a by finding the distance between the y-coordinates of the vertices.

$$2a = 2 - (-8)$$
$$2a = 10$$
$$a = 5$$

So
$$a^2 = 25$$
.

Now we find c^2 . The foci are given by $(h, k \pm c)$. So, (h, k - c) = (-2, -7) and (h, k + c) = (-2, 1). We substitute k = -3 using either of these points to solve for c.

$$k + c = 1$$
$$-3 + c = 1$$
$$c = 4$$

So $c^2 = 16$.

Next, we solve for b^2 using the equation $c^2 = a^2 - b^2$.

$$c^2 = a^2 - b^2$$
$$16 = 25 - b^2$$
$$b^2 = 9$$

Finally, we substitute the values found for h, k, a^2 , and b^2 into the standard form equation for an ellipse:

$$\frac{(x+2)^2}{9} + \frac{(y+3)^2}{25} = 1$$



10.2 What is the standard form equation of the ellipse that has vertices (-3, 3) and (5, 3) and $(1 - 2\sqrt{3}, 3)$ and $(1 + 2\sqrt{3}, 3)$?

Graphing Ellipses Centered at the Origin

Just as we can write the equation for an ellipse given its graph, we can graph an ellipse given its equation. To graph ellipses centered at the origin, we use the standard form $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$, a > b for horizontal ellipses and $\frac{x^2}{b^2} + \frac{y^2}{a^2} = 1$, a > b for vertical ellipses.



Given the standard form of an equation for an ellipse centered at (0, 0), sketch the graph.

- Use the standard forms of the equations of an ellipse to determine the major axis, vertices, co-vertices, and foci.
 - a. If the equation is in the form $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$, where a > b, then
 - the major axis is the *x*-axis
 - the coordinates of the vertices are $(\pm a, 0)$
 - the coordinates of the co-vertices are $(0, \pm b)$
 - the coordinates of the foci are $(\pm c, 0)$
 - b. If the equation is in the form $\frac{x^2}{b^2} + \frac{y^2}{a^2} = 1$, where a > b, then
 - the major axis is the *y*-axis
 - the coordinates of the vertices are $(0, \pm a)$
 - the coordinates of the co-vertices are $(\pm b, 0)$
 - the coordinates of the foci are $(0, \pm c)$
- 2. Solve for *c* using the equation $c^2 = a^2 b^2$.
- 3. Plot the center, vertices, co-vertices, and foci in the coordinate plane, and draw a smooth curve to form the ellipse.

Example 10.3

Graphing an Ellipse Centered at the Origin

Graph the ellipse given by the equation, $\frac{x^2}{9} + \frac{y^2}{25} = 1$. Identify and label the center, vertices, co-vertices, and foci.

Solution

First, we determine the position of the major axis. Because 25 > 9, the major axis is on the *y*-axis. Therefore, the equation is in the form $\frac{x^2}{b^2} + \frac{y^2}{a^2} = 1$, where $b^2 = 9$ and $a^2 = 25$. It follows that:

- the center of the ellipse is (0, 0)
- the coordinates of the vertices are $(0, \pm a) = (0, \pm \sqrt{25}) = (0, \pm 5)$
- the coordinates of the co-vertices are $(\pm b, 0) = (\pm \sqrt{9}, 0) = (\pm 3, 0)$
- the coordinates of the foci are $(0, \pm c)$, where $c^2 = a^2 b^2$ Solving for c, we have:

$$c = \pm \sqrt{a^2 - b^2}$$
$$= \pm \sqrt{25 - 9}$$
$$= \pm \sqrt{16}$$
$$= \pm 4$$

Therefore, the coordinates of the foci are $(0, \pm 4)$.

Next, we plot and label the center, vertices, co-vertices, and foci, and draw a smooth curve to form the ellipse. See Figure 10.9.

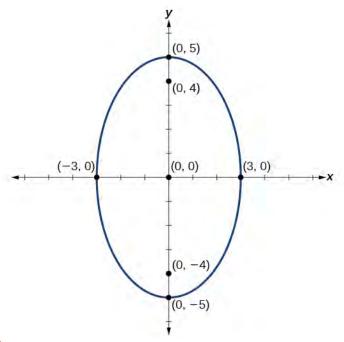


Figure 10.9



Graph the ellipse given by the equation $\frac{x^2}{36} + \frac{y^2}{4} = 1$. Identify and label the center, vertices, co-vertices, and foci.

Example 10.4

Graphing an Ellipse Centered at the Origin from an Equation Not in Standard Form

Graph the ellipse given by the equation $4x^2 + 25y^2 = 100$. Rewrite the equation in standard form. Then identify and label the center, vertices, co-vertices, and foci.

Solution

First, use algebra to rewrite the equation in standard form.

$$4x^{2} + 25y^{2} = 100$$
$$\frac{4x^{2}}{100} + \frac{25y^{2}}{100} = \frac{100}{100}$$
$$\frac{x^{2}}{25} + \frac{y^{2}}{4} = 1$$

Next, we determine the position of the major axis. Because 25 > 4, the major axis is on the *x*-axis. Therefore, the equation is in the form $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$, where $a^2 = 25$ and $b^2 = 4$. It follows that:

- the center of the ellipse is (0, 0)
- the coordinates of the vertices are $(\pm a, 0) = (\pm \sqrt{25}, 0) = (\pm 5, 0)$
- the coordinates of the co-vertices are $(0, \pm b) = (0, \pm \sqrt{4}) = (0, \pm 2)$
- the coordinates of the foci are $(\pm c, 0)$, where $c^2 = a^2 b^2$. Solving for c, we have:

$$c = \pm \sqrt{a^2 - b^2}$$
$$= \pm \sqrt{25 - 4}$$
$$= \pm \sqrt{21}$$

Therefore the coordinates of the foci are $(\pm \sqrt{21}, 0)$.

Next, we plot and label the center, vertices, co-vertices, and foci, and draw a smooth curve to form the ellipse.

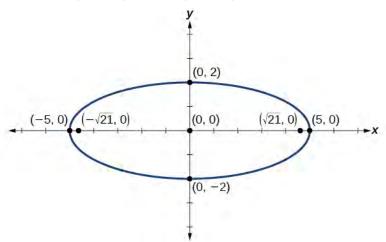


Figure 10.10



10.4 Graph the ellipse given by the equation $49x^2 + 16y^2 = 784$. Rewrite the equation in standard form. Then identify and label the center, vertices, co-vertices, and foci.

Graphing Ellipses Not Centered at the Origin

When an ellipse is not centered at the origin, we can still use the standard forms to find the key features of the graph. When the ellipse is centered at some point, (h, k), we use the standard forms $\frac{(x-h)^2}{a^2} + \frac{(y-k)^2}{b^2} = 1$, a > b for horizontal

ellipses and $\frac{(x-h)^2}{b^2} + \frac{(y-k)^2}{a^2} = 1$, a > b for vertical ellipses. From these standard equations, we can easily determine the center, vertices, co-vertices, foci, and positions of the major and minor axes.



Given the standard form of an equation for an ellipse centered at (h, k), sketch the graph.

- 1. Use the standard forms of the equations of an ellipse to determine the center, position of the major axis, vertices, co-vertices, and foci.
 - a. If the equation is in the form $\frac{(x-h)^2}{a^2} + \frac{(y-k)^2}{b^2} = 1$, where a > b, then
 - the center is (h, k)
 - the major axis is parallel to the *x*-axis
 - the coordinates of the vertices are $(h \pm a, k)$
 - the coordinates of the co-vertices are $(h, k \pm b)$
 - the coordinates of the foci are $(h \pm c, k)$
 - b. If the equation is in the form $\frac{(x-h)^2}{h^2} + \frac{(y-k)^2}{a^2} = 1$, where a > b, then
 - the center is (h, k)
 - the major axis is parallel to the *y*-axis
 - the coordinates of the vertices are $(h, k \pm a)$
 - the coordinates of the co-vertices are $(h \pm b, k)$
 - the coordinates of the foci are $(h, k \pm c)$
- 2. Solve for *c* using the equation $c^2 = a^2 b^2$.
- 3. Plot the center, vertices, co-vertices, and foci in the coordinate plane, and draw a smooth curve to form the ellipse.

Example 10.5

Graphing an Ellipse Centered at (h, k)

Graph the ellipse given by the equation, $\frac{(x+2)^2}{4} + \frac{(y-5)^2}{9} = 1$. Identify and label the center, vertices, covertices, and foci.

Solution

First, we determine the position of the major axis. Because 9 > 4, the major axis is parallel to the *y*-axis.

Therefore, the equation is in the form $\frac{(x-h)^2}{b^2} + \frac{(y-k)^2}{a^2} = 1$, where $b^2 = 4$ and $a^2 = 9$. It follows that:

• the center of the ellipse is (h, k) = (-2, 5)

- the coordinates of the vertices are $(h, k \pm a) = (-2, 5 \pm \sqrt{9}) = (-2, 5 \pm 3)$, or (-2, 2) and (-2, 8)
- the coordinates of the co-vertices are $(h \pm b, k) = (-2 \pm \sqrt{4}, 5) = (-2 \pm 2, 5)$, or (-4, 5) and (0, 5)
- the coordinates of the foci are $(h, k \pm c)$, where $c^2 = a^2 b^2$. Solving for c, we have:

$$c = \pm \sqrt{a^2 - b^2}$$
$$= \pm \sqrt{9 - 4}$$
$$= \pm \sqrt{5}$$

Therefore, the coordinates of the foci are $(-2, 5 - \sqrt{5})$ and $(-2, 5 + \sqrt{5})$.

Next, we plot and label the center, vertices, co-vertices, and foci, and draw a smooth curve to form the ellipse.

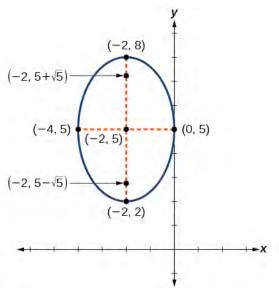


Figure 10.11

Try

Graph the ellipse given by the equation $\frac{(x-4)^2}{36} + \frac{(y-2)^2}{20} = 1$. Identify and label the center, vertices, co-vertices, and foci.



Given the general form of an equation for an ellipse centered at (h, k), express the equation in standard form.

- 1. Recognize that an ellipse described by an equation in the form $ax^2 + by^2 + cx + dy + e = 0$ is in general form.
- 2. Rearrange the equation by grouping terms that contain the same variable. Move the constant term to the opposite side of the equation.
- 3. Factor out the coefficients of the x^2 and y^2 terms in preparation for completing the square.
- 4. Complete the square for each variable to rewrite the equation in the form of the sum of multiples of two binomials squared set equal to a constant, $m_1(x-h)^2 + m_2(y-k)^2 = m_3$, where m_1 , m_2 , and m_3 are constants.
- 5. Divide both sides of the equation by the constant term to express the equation in standard form.

Example 10.6

Graphing an Ellipse Centered at (h, k) by First Writing It in Standard Form

Graph the ellipse given by the equation $4x^2 + 9y^2 - 40x + 36y + 100 = 0$. Identify and label the center, vertices, co-vertices, and foci.

Solution

We must begin by rewriting the equation in standard form.

$$4x^2 + 9y^2 - 40x + 36y + 100 = 0$$

Group terms that contain the same variable, and move the constant to the opposite side of the equation.

$$(4x^2 - 40x) + (9y^2 + 36y) = -100$$

Factor out the coefficients of the squared terms.

$$4(x^2 - 10x) + 9(y^2 + 4y) = -100$$

Complete the square twice. Remember to balance the equation by adding the same constants to each side.

$$4(x^2 - 10x + 25) + 9(y^2 + 4y + 4) = -100 + 100 + 36$$

Rewrite as perfect squares.

$$4(x-5)^2 + 9(y+2)^2 = 36$$

Divide both sides by the constant term to place the equation in standard form.

$$\frac{(x-5)^2}{9} + \frac{(y+2)^2}{4} = 1$$

Now that the equation is in standard form, we can determine the position of the major axis. Because 9 > 4, the major axis is parallel to the *x*-axis. Therefore, the equation is in the form $\frac{(x-h)^2}{a^2} + \frac{(y-k)^2}{b^2} = 1$, where $a^2 = 9$ and $b^2 = 4$. It follows that:

• the center of the ellipse is (h, k) = (5, -2)

- the coordinates of the vertices are $(h \pm a, k) = (5 \pm \sqrt{9}, -2) = (5 \pm 3, -2)$, or (2, -2) and (8, -2)
- the coordinates of the co-vertices are $(h, k \pm b) = (5, -2 \pm \sqrt{4}) = (5, -2 \pm 2)$, or (5, -4) and (5, 0)
- the coordinates of the foci are $(h \pm c, k)$, where $c^2 = a^2 b^2$. Solving for c, we have:

$$c = \pm \sqrt{a^2 - b^2}$$
$$= \pm \sqrt{9 - 4}$$
$$= \pm \sqrt{5}$$

Therefore, the coordinates of the foci are $(5 - \sqrt{5}, -2)$ and $(5+\sqrt{5}, -2)$.

Next we plot and label the center, vertices, co-vertices, and foci, and draw a smooth curve to form the ellipse as shown in **Figure 10.12**.

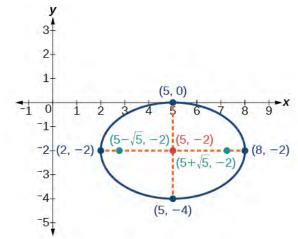


Figure 10.12

Try

10.6 Express the equation of the ellipse given in standard form. Identify the center, vertices, co-vertices, and foci of the ellipse.

$$4x^2 + y^2 - 24x + 2y + 21 = 0$$

Solving Applied Problems Involving Ellipses

Many real-world situations can be represented by ellipses, including orbits of planets, satellites, moons and comets, and shapes of boat keels, rudders, and some airplane wings. A medical device called a lithotripter uses elliptical reflectors to break up kidney stones by generating sound waves. Some buildings, called whispering chambers, are designed with elliptical domes so that a person whispering at one focus can easily be heard by someone standing at the other focus. This occurs because of the acoustic properties of an ellipse. When a sound wave originates at one focus of a whispering chamber, the sound wave will be reflected off the elliptical dome and back to the other focus. See **Figure 10.13**. In the whisper chamber at the Museum of Science and Industry in Chicago, two people standing at the foci—about 43 feet apart—can hear each other whisper.

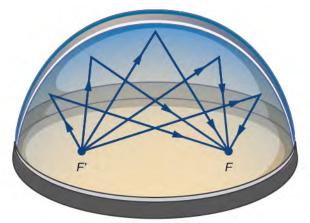


Figure 10.13 Sound waves are reflected between foci in an elliptical room, called a whispering chamber.

Example 10.7

Locating the Foci of a Whispering Chamber

The Statuary Hall in the Capitol Building in Washington, D.C. is a whispering chamber. Its dimensions are 46 feet wide by 96 feet long as shown in **Figure 10.14**.

- a. What is the standard form of the equation of the ellipse representing the outline of the room? Hint: assume a horizontal ellipse, and let the center of the room be the point (0, 0).
- b. If two senators standing at the foci of this room can hear each other whisper, how far apart are the senators? Round to the nearest foot.

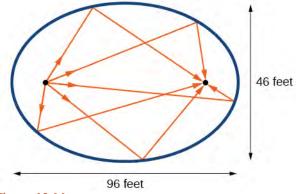


Figure 10.14

Solution

- a. We are assuming a horizontal ellipse with center (0, 0), so we need to find an equation of the form $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$, where a > b. We know that the length of the major axis, 2a, is longer than the length of the minor axis, 2b. So the length of the room, 96, is represented by the major axis, and the width of the room, 46, is represented by the minor axis.
 - Solving for a, we have 2a = 96, so a = 48, and $a^2 = 2304$.
 - Solving for b, we have 2b = 46, so b = 23, and $b^2 = 529$.

Therefore, the equation of the ellipse is $\frac{x^2}{2304} + \frac{y^2}{529} = 1$.

b. To find the distance between the senators, we must find the distance between the foci, $(\pm c, 0)$, where

$$c^2 = a^2 - b^2$$
. Solving for c , we have:

$$c^2 = a^2 - b^2$$

$$c^2 = 2304 - 529$$
 Substitute using the values found in part (a).

$$c = \pm \sqrt{2304 - 529}$$
 Take the square root of both sides.

$$c = \pm \sqrt{1775}$$
 Subtract.

$$c \approx \pm 42$$
 Round to the nearest foot.

The points $(\pm 42, 0)$ represent the foci. Thus, the distance between the senators is 2(42) = 84 feet.



- **10.7** Suppose a whispering chamber is 480 feet long and 320 feet wide.
 - a. What is the standard form of the equation of the ellipse representing the room? Hint: assume a horizontal ellipse, and let the center of the room be the point (0, 0).
 - b. If two people are standing at the foci of this room and can hear each other whisper, how far apart are the people? Round to the nearest foot.



Access these online resources for additional instruction and practice with ellipses.

- Conic Sections: The Ellipse (http://openstaxcollege.org/l/conicellipse)
- Graph an Ellipse with Center at the Origin (http://openstaxcollege.org/l/grphellorigin)
- · Graph an Ellipse with Center Not at the Origin (http://openstaxcollege.org/l/grphellnot)

10.1 EXERCISES

Verbal

1. Define an ellipse in terms of its foci.

2. Where must the foci of an ellipse lie?

3. What special case of the ellipse do we have when the major and minor axis are of the same length?

4. For the special case mentioned above, what would be true about the foci of that ellipse?

5. What can be said about the symmetry of the graph of an ellipse with center at the origin and foci along the *y*-axis?

Algebraic

For the following exercises, determine whether the given equations represent ellipses. If yes, write in standard form.

6.
$$2x^2 + y = 4$$

7.
$$4x^2 + 9y^2 = 36$$

8.
$$4x^2 - v^2 = 4$$

9.
$$4x^2 + 9y^2 = 1$$

10.
$$4x^2 - 8x + 9y^2 - 72y + 112 = 0$$

For the following exercises, write the equation of an ellipse in standard form, and identify the end points of the major and minor axes as well as the foci.

11.
$$\frac{x^2}{4} + \frac{y^2}{49} = 1$$

12.
$$\frac{x^2}{100} + \frac{y^2}{64} = 1$$

13.
$$x^2 + 9y^2 = 1$$

14.
$$4x^2 + 16y^2 = 1$$

15.
$$\frac{(x-2)^2}{49} + \frac{(y-4)^2}{25} = 1$$

16.
$$\frac{(x-2)^2}{81} + \frac{(y+1)^2}{16} = 1$$

17.
$$\frac{(x+5)^2}{4} + \frac{(y-7)^2}{9} = 1$$

$$\frac{(x-7)^2}{49} + \frac{(y-7)^2}{49} = 1$$

19.
$$4x^2 - 8x + 9y^2 - 72y + 112 = 0$$

20.
$$9x^2 - 54x + 9y^2 - 54y + 81 = 0$$

21.
$$4x^2 - 24x + 36y^2 - 360y + 864 = 0$$

22.
$$4x^2 + 24x + 16y^2 - 128y + 228 = 0$$

23.
$$4x^2 + 40x + 25y^2 - 100y + 100 = 0$$

24.
$$x^2 + 2x + 100y^2 - 1000y + 2401 = 0$$

25.
$$4x^2 + 24x + 25y^2 + 200y + 336 = 0$$

26.
$$9x^2 + 72x + 16y^2 + 16y + 4 = 0$$

For the following exercises, find the foci for the given ellipses.

$$\frac{27.}{25} \cdot \frac{(x+3)^2}{25} + \frac{(y+1)^2}{36} = 1$$

28.
$$\frac{(x+1)^2}{100} + \frac{(y-2)^2}{4} = 1$$

29.
$$x^2 + y^2 = 1$$

30.
$$x^2 + 4y^2 + 4x + 8y = 1$$

31.
$$10x^2 + y^2 + 200x = 0$$

Graphical

For the following exercises, graph the given ellipses, noting center, vertices, and foci.

$$32. \quad \frac{x^2}{25} + \frac{y^2}{36} = 1$$

33.
$$\frac{x^2}{16} + \frac{y^2}{9} = 1$$

34.
$$4x^2 + 9y^2 = 1$$

35.
$$81x^2 + 49y^2 = 1$$

36.

$$\frac{(x-2)^2}{64} + \frac{(y-4)^2}{16} = 1$$

37.
$$\frac{(x+3)^2}{9} + \frac{(y-3)^2}{9} = 1$$

38.
$$\frac{x^2}{2} + \frac{(y+1)^2}{5} = 1$$

39.
$$4x^2 - 8x + 16y^2 - 32y - 44 = 0$$

40.
$$x^2 - 8x + 25y^2 - 100y + 91 = 0$$

41.
$$x^2 + 8x + 4y^2 - 40y + 112 = 0$$

42.
$$64x^2 + 128x + 9y^2 - 72y - 368 = 0$$

43.
$$16x^2 + 64x + 4y^2 - 8y + 4 = 0$$

44.
$$100x^2 + 1000x + y^2 - 10y + 2425 = 0$$

45.
$$4x^2 + 16x + 4y^2 + 16y + 16 = 0$$

For the following exercises, use the given information about the graph of each ellipse to determine its equation.

46. Center at the origin, symmetric with respect to the x- and y-axes, focus at (4, 0), and point on graph (0, 3).

47. Center at the origin, symmetric with respect to the x- and y-axes, focus at (0, -2), and point on graph (5, 0).

48. Center at the origin, symmetric with respect to the x- and y-axes, focus at (3, 0), and major axis is twice as long as minor axis.

49. Center (4, 2); vertex (9, 2); one focus: $(4 + 2\sqrt{6}, 2)$

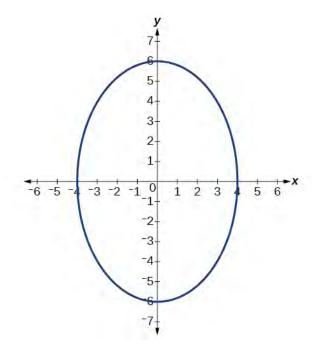
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50. Center (3, 5); vertex (3, 11); one focus: $(3, 5+4\sqrt{2})$

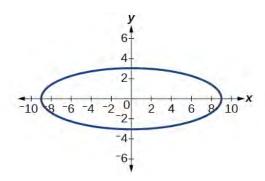
51. Center (-3, 4); vertex (1, 4); one focus: $(-3 + 2\sqrt{3}, 4)$

For the following exercises, given the graph of the ellipse, determine its equation.

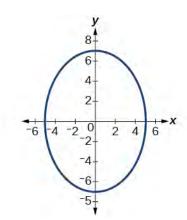
52.



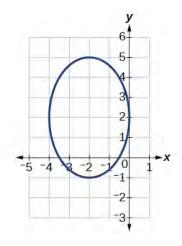
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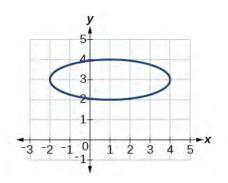
54.



55.



56.



Extensions

For the following exercises, find the area of the ellipse. The area of an ellipse is given by the formula Area $= a \cdot b \cdot \pi$.

57.
$$\frac{(x-3)^2}{9} + \frac{(y-3)^2}{16} = 1$$

58.
$$\frac{(x+6)^2}{16} + \frac{(y-6)^2}{36} = 1$$

59.
$$\frac{(x+1)^2}{4} + \frac{(y-2)^2}{5} = 1$$

60.
$$4x^2 - 8x + 9y^2 - 72y + 112 = 0$$

61.
$$9x^2 - 54x + 9y^2 - 54y + 81 = 0$$

Real-World Applications

- 62. Find the equation of the ellipse that will just fit inside a box that is 8 units wide and 4 units high.
- 63. Find the equation of the ellipse that will just fit inside a box that is four times as wide as it is high. Express in terms of h, the height.
- 64. An arch has the shape of a semi-ellipse (the top half of an ellipse). The arch has a height of 8 feet and a span of 20 feet. Find an equation for the ellipse, and use that to find the

height to the nearest 0.01 foot of the arch at a distance of 4 feet from the center.

- 65. An arch has the shape of a semi-ellipse. The arch has a height of 12 feet and a span of 40 feet. Find an equation for the ellipse, and use that to find the distance from the center to a point at which the height is 6 feet. Round to the nearest hundredth.
- 66. A bridge is to be built in the shape of a semi-elliptical arch and is to have a span of 120 feet. The height of the arch at a distance of 40 feet from the center is to be 8 feet. Find the height of the arch at its center.
- 67. A person in a whispering gallery standing at one focus of the ellipse can whisper and be heard by a person standing at the other focus because all the sound waves that reach the ceiling are reflected to the other person. If a whispering gallery has a length of 120 feet, and the foci are located 30 feet from the center, find the height of the ceiling at the center.
- 68. A person is standing 8 feet from the nearest wall in a whispering gallery. If that person is at one focus, and the other focus is 80 feet away, what is the length and height at the center of the gallery?

10.2 | The Hyperbola

Learning Objectives

In this section, you will:

- **10.2.1** Locate a hyperbola's vertices and foci.
- **10.2.2** Write equations of hyperbolas in standard form.
- 10.2.3 Graph hyperbolas centered at the origin.
- 10.2.4 Graph hyperbolas not centered at the origin.
- 10.2.5 Solve applied problems involving hyperbolas.

What do paths of comets, supersonic booms, ancient Grecian pillars, and natural draft cooling towers have in common? They can all be modeled by the same type of conic. For instance, when something moves faster than the speed of sound, a shock wave in the form of a cone is created. A portion of a conic is formed when the wave intersects the ground, resulting in a sonic boom. See **Figure 10.15**.

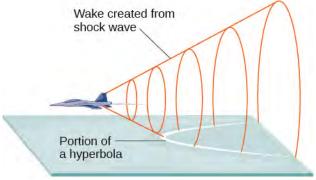


Figure 10.15 A shock wave intersecting the ground forms a portion of a conic and results in a sonic boom.

Most people are familiar with the sonic boom created by supersonic aircraft, but humans were breaking the sound barrier long before the first supersonic flight. The crack of a whip occurs because the tip is exceeding the speed of sound. The bullets shot from many firearms also break the sound barrier, although the bang of the gun usually supersedes the sound of the sonic boom.

Locating the Vertices and Foci of a Hyperbola

In analytic geometry, a **hyperbola** is a conic section formed by intersecting a right circular cone with a plane at an angle such that both halves of the cone are intersected. This intersection produces two separate unbounded curves that are mirror images of each other. See **Figure 10.16**.

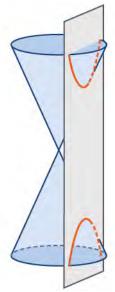


Figure 10.16 A hyperbola

Like the ellipse, the hyperbola can also be defined as a set of points in the coordinate plane. A hyperbola is the set of all points (x, y) in a plane such that the difference of the distances between (x, y) and the foci is a positive constant.

Notice that the definition of a hyperbola is very similar to that of an ellipse. The distinction is that the hyperbola is defined in terms of the *difference* of two distances, whereas the ellipse is defined in terms of the *sum* of two distances.

As with the ellipse, every hyperbola has two axes of symmetry. The **transverse axis** is a line segment that passes through the center of the hyperbola and has vertices as its endpoints. The foci lie on the line that contains the transverse axis. The **conjugate axis** is perpendicular to the transverse axis and has the co-vertices as its endpoints. The **center of a hyperbola** is the midpoint of both the transverse and conjugate axes, where they intersect. Every hyperbola also has two **asymptotes** that pass through its center. As a hyperbola recedes from the center, its branches approach these asymptotes. The **central rectangle** of the hyperbola is centered at the origin with sides that pass through each vertex and co-vertex; it is a useful tool for graphing the hyperbola and its asymptotes. To sketch the asymptotes of the hyperbola, simply sketch and extend the diagonals of the central rectangle. See **Figure 10.17**.

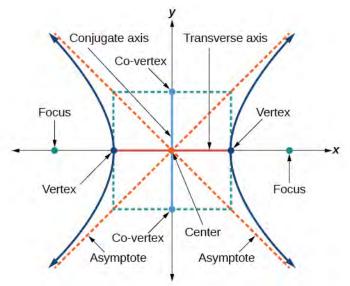


Figure 10.17 Key features of the hyperbola

In this section, we will limit our discussion to hyperbolas that are positioned vertically or horizontally in the coordinate plane; the axes will either lie on or be parallel to the *x*- and *y*-axes. We will consider two cases: those that are centered at the origin, and those that are centered at a point other than the origin.

Deriving the Equation of an Ellipse Centered at the Origin

Let (-c, 0) and (c, 0) be the foci of a hyperbola centered at the origin. The hyperbola is the set of all points (x, y) such that the difference of the distances from (x, y) to the foci is constant. See **Figure 10.18**.

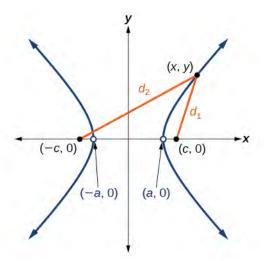


Figure 10.18

If (a, 0) is a vertex of the hyperbola, the distance from (-c, 0) to (a, 0) is a - (-c) = a + c. The distance from (c, 0) to (a, 0) is c - a. The sum of the distances from the foci to the vertex is

$$(a+c) - (c-a) = 2a$$

If (x, y) is a point on the hyperbola, we can define the following variables:

$$d_2$$
 = the distance from $(-c, 0)$ to (x, y)
 d_1 = the distance from $(c, 0)$ to (x, y)

By definition of a hyperbola, $d_2 - d_1$ is constant for any point (x, y) on the hyperbola. We know that the difference of these distances is 2a for the vertex (a, 0). It follows that $d_2 - d_1 = 2a$ for any point on the hyperbola. As with the derivation of the equation of an ellipse, we will begin by applying the distance formula. The rest of the derivation is algebraic. Compare this derivation with the one from the previous section for ellipses.

$$d_2 - d_1 = \sqrt{(x - (-c))^2 + (y - 0)^2} - \sqrt{(x - c)^2 + (y - 0)^2} = 2a \quad \text{Distance Formula}$$

$$\sqrt{(x + c)^2 + y^2} - \sqrt{(x - c)^2 + y^2} = 2a \quad \text{Simplify expressions.}$$

$$\sqrt{(x + c)^2 + y^2} = 2a + \sqrt{(x - c)^2 + y^2} \quad \text{Move radical to opposite side.}$$

$$(x + c)^2 + y^2 = (2a + \sqrt{(x - c)^2 + y^2})^2 \quad \text{Square both sides.}$$

$$x^2 + 2cx + c^2 + y^2 = 4a^2 + 4a\sqrt{(x - c)^2 + y^2} + (x - c)^2 + y^2 \quad \text{Expand the squares.}$$

$$x^2 + 2cx + c^2 + y^2 = 4a^2 + 4a\sqrt{(x - c)^2 + y^2} + x^2 - 2cx + c^2 + y^2 \quad \text{Expand remaining square.}$$

$$2cx = 4a^2 + 4a\sqrt{(x - c)^2 + y^2} - 2cx \quad \text{Combine like terms.}$$

$$4cx - 4a^2 = 4a\sqrt{(x - c)^2 + y^2} \quad \text{Isolate the radical.}$$

$$cx - a^2 = a\sqrt{(x - c)^2 + y^2} \quad \text{Divide by 4.}$$

$$(cx - a^2)^2 = a^2 \left[\sqrt{(x - c)^2 + y^2}\right]^2 \quad \text{Square both sides.}$$

$$c^2x^2 - 2a^2cx + a^4 = a^2(x^2 - 2cx + c^2 + y^2) \quad \text{Expand the squares.}$$

$$c^2x^2 - 2a^2cx + a^4 = a^2x^2 - 2a^2cx + a^2c^2 + a^2y^2 \quad \text{Distribute } a^2.$$

$$c^2x^2 - a^2x^2 - a^2y^2 = a^2c^2 - a^4 \quad \text{Rearrange terms.}$$

$$c^2x^2 - a^2x^2 - a^2y^2 = a^2(c^2 - a^2) \quad \text{Factor common terms.}$$

$$x^2(c^2 - a^2) - a^2y^2 = a^2b^2 \quad \text{Set } b^2 = c^2 - a^2.$$

$$\frac{x^2b^2 - a^2y^2}{a^2b^2} = \frac{a^2b^2}{a^2b^2} \quad \text{Divide both sides by } a^2b^2$$

$$\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$$

This equation defines a hyperbola centered at the origin with vertices $(\pm a, 0)$ and co-vertices $(0 \pm b)$.

Standard Forms of the Equation of a Hyperbola with Center (0,0)

The standard form of the equation of a hyperbola with center (0, 0) and transverse axis on the x-axis is

$$\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$$
 (10.5)

where

- the length of the transverse axis is 2*a*
- the coordinates of the vertices are $(\pm a, 0)$
- the length of the conjugate axis is 2*b*
- the coordinates of the co-vertices are $(0, \pm b)$
- the distance between the foci is 2c, where $c^2 = a^2 + b^2$
- the coordinates of the foci are $(\pm c, 0)$
- the equations of the asymptotes are $y = \pm \frac{b}{a}x$

See Figure 10.19a.

The standard form of the equation of a hyperbola with center (0, 0) and transverse axis on the *y*-axis is

$$\frac{y^2}{a^2} - \frac{x^2}{b^2} = 1$$
 (10.6)

where

- the length of the transverse axis is 2*a*
- the coordinates of the vertices are $(0, \pm a)$
- the length of the conjugate axis is 2b
- the coordinates of the co-vertices are $(\pm b, 0)$
- the distance between the foci is 2c, where $c^2 = a^2 + b^2$
- the coordinates of the foci are $(0, \pm c)$
- the equations of the asymptotes are $y = \pm \frac{a}{b}x$

See Figure 10.19b.

Note that the vertices, co-vertices, and foci are related by the equation $c^2 = a^2 + b^2$. When we are given the equation of a hyperbola, we can use this relationship to identify its vertices and foci.

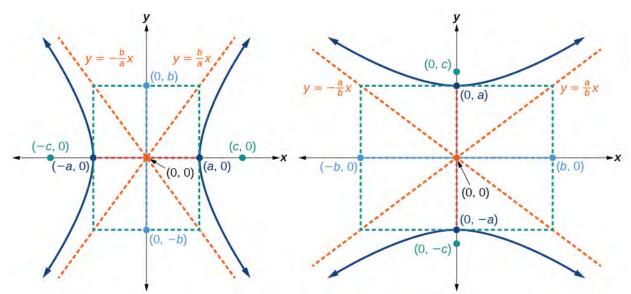


Figure 10.19 (a) Horizontal hyperbola with center (0, 0) (b) Vertical hyperbola with center (0, 0)



Given the equation of a hyperbola in standard form, locate its vertices and foci.

- 1. Determine whether the transverse axis lies on the x- or y-axis. Notice that a^2 is always under the variable with the positive coefficient. So, if you set the other variable equal to zero, you can easily find the intercepts. In the case where the hyperbola is centered at the origin, the intercepts coincide with the vertices
 - a. If the equation has the form $\frac{x^2}{a^2} \frac{y^2}{b^2} = 1$, then the transverse axis lies on the *x*-axis. The vertices are located at $(\pm a, 0)$, and the foci are located at $(\pm c, 0)$.
 - b. If the equation has the form $\frac{y^2}{a^2} \frac{x^2}{b^2} = 1$, then the transverse axis lies on the *y*-axis. The vertices are located at $(0, \pm a)$, and the foci are located at $(0, \pm c)$.
- 2. Solve for *a* using the equation $a = \sqrt{a^2}$.
- 3. Solve for *c* using the equation $c = \sqrt{a^2 + b^2}$.

Example 10.8

Locating a Hyperbola's Vertices and Foci

Identify the vertices and foci of the hyperbola with equation $\frac{y^2}{49} - \frac{x^2}{32} = 1$.

Solution

The equation has the form $\frac{y^2}{a^2} - \frac{x^2}{b^2} = 1$, so the transverse axis lies on the *y*-axis. The hyperbola is centered at the origin, so the vertices serve as the *y*-intercepts of the graph. To find the vertices, set x = 0, and solve for *y*.

$$1 = \frac{y^2}{49} - \frac{x^2}{32}$$

$$1 = \frac{y^2}{49} - \frac{0^2}{32}$$

$$1 = \frac{y^2}{49}$$

$$y^2 = 49$$

$$y = \pm \sqrt{49} = \pm 7$$

The foci are located at $(0, \pm c)$. Solving for c,

$$c = \sqrt{a^2 + b^2} = \sqrt{49 + 32} = \sqrt{81} = 9$$

Therefore, the vertices are located at $(0, \pm 7)$, and the foci are located at (0, 9).



Identify the vertices and foci of the hyperbola with equation $\frac{x^2}{9} - \frac{y^2}{25} = 1$.

Writing Equations of Hyperbolas in Standard Form

Just as with ellipses, writing the equation for a hyperbola in standard form allows us to calculate the key features: its center, vertices, co-vertices, foci, asymptotes, and the lengths and positions of the transverse and conjugate axes. Conversely, an equation for a hyperbola can be found given its key features. We begin by finding standard equations for hyperbolas centered at the origin. Then we will turn our attention to finding standard equations for hyperbolas centered at some point other than the origin.

Hyperbolas Centered at the Origin

Reviewing the standard forms given for hyperbolas centered at (0, 0), we see that the vertices, co-vertices, and foci are related by the equation $c^2 = a^2 + b^2$. Note that this equation can also be rewritten as $b^2 = c^2 - a^2$. This relationship is used to write the equation for a hyperbola when given the coordinates of its foci and vertices.



Given the vertices and foci of a hyperbola centered at (0, 0), write its equation in standard form.

- 1. Determine whether the transverse axis lies on the *x* or *y*-axis.
 - a. If the given coordinates of the vertices and foci have the form $(\pm a, 0)$ and $(\pm c, 0)$, respectively, then the transverse axis is the *x*-axis. Use the standard form $\frac{x^2}{a^2} \frac{y^2}{b^2} = 1$.
 - b. If the given coordinates of the vertices and foci have the form $(0, \pm a)$ and $(0, \pm c)$, respectively, then the transverse axis is the *y*-axis. Use the standard form $\frac{y^2}{a^2} \frac{x^2}{b^2} = 1$.
- 2. Find b^2 using the equation $b^2 = c^2 a^2$.
- 3. Substitute the values for a^2 and b^2 into the standard form of the equation determined in Step 1.

Example 10.9

Finding the Equation of a Hyperbola Centered at (0,0) Given its Foci and Vertices

What is the standard form equation of the hyperbola that has vertices $(\pm 6, 0)$ and foci $(\pm 2\sqrt{10}, 0)$?

Solution

The vertices and foci are on the *x*-axis. Thus, the equation for the hyperbola will have the form $\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$.

The vertices are $(\pm 6, 0)$, so a = 6 and $a^2 = 36$.

The foci are $(\pm 2\sqrt{10}, 0)$, so $c = 2\sqrt{10}$ and $c^2 = 40$.

Solving for b^2 , we have

$$b^2 = c^2 - a^2$$

 $b^2 = 40 - 36$ Substitute for c^2 and a^2 .
 $b^2 = 4$ Subtract.

Finally, we substitute $a^2 = 36$ and $b^2 = 4$ into the standard form of the equation, $\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$. The equation of the hyperbola is $\frac{x^2}{36} - \frac{y^2}{4} = 1$, as shown in **Figure 10.20**.

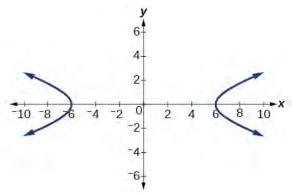


Figure 10.20



10.9 What is the standard form equation of the hyperbola that has vertices $(0, \pm 2)$ and foci $(0, \pm 2\sqrt{5})$?

Hyperbolas Not Centered at the Origin

Like the graphs for other equations, the graph of a hyperbola can be translated. If a hyperbola is translated h units horizontally and k units vertically, the center of the hyperbola will be (h, k). This translation results in the standard form of the equation we saw previously, with x replaced by (x - h) and y replaced by (y - k).

Standard Forms of the Equation of a Hyperbola with Center (h, k)

The standard form of the equation of a hyperbola with center (h, k) and transverse axis parallel to the *x*-axis is

$$\frac{(x-h)^2}{a^2} - \frac{(y-k)^2}{b^2} = 1$$
 (10.7)

where

- the length of the transverse axis is 2*a*
- the coordinates of the vertices are $(h \pm a, k)$
- the length of the conjugate axis is 2b
- the coordinates of the co-vertices are $(h, k \pm b)$
- the distance between the foci is 2c, where $c^2 = a^2 + b^2$
- the coordinates of the foci are $(h \pm c, k)$

The asymptotes of the hyperbola coincide with the diagonals of the central rectangle. The length of the rectangle is 2a and its width is 2b. The slopes of the diagonals are $\pm \frac{b}{a}$, and each diagonal passes through the center (h, k).

Using the **point-slope formula**, it is simple to show that the equations of the asymptotes are $y = \pm \frac{b}{a}(x - h) + k$. See **Figure 10.21a**

The standard form of the equation of a hyperbola with center (h, k) and transverse axis parallel to the *y*-axis is

$$\frac{(y-k)^2}{a^2} - \frac{(x-h)^2}{b^2} = 1$$
(10.8)

where

- the length of the transverse axis is 2*a*
- the coordinates of the vertices are $(h, k \pm a)$
- the length of the conjugate axis is 2b
- the coordinates of the co-vertices are $(h \pm b, k)$
- the distance between the foci is 2c, where $c^2 = a^2 + b^2$
- the coordinates of the foci are $(h, k \pm c)$

Using the reasoning above, the equations of the asymptotes are $y = \pm \frac{a}{h}(x - h) + k$. See **Figure 10.21b**.

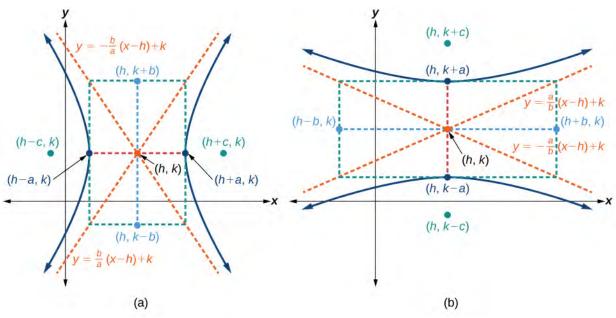


Figure 10.21 (a) Horizontal hyperbola with center (h, k) (b) Vertical hyperbola with center (h, k)

Like hyperbolas centered at the origin, hyperbolas centered at a point (h, k) have vertices, co-vertices, and foci that are related by the equation $c^2 = a^2 + b^2$. We can use this relationship along with the midpoint and distance formulas to find the standard equation of a hyperbola when the vertices and foci are given.



Given the vertices and foci of a hyperbola centered at (h, k), write its equation in standard form.

- 1. Determine whether the transverse axis is parallel to the *x* or *y*-axis.
 - a. If the *y*-coordinates of the given vertices and foci are the same, then the transverse axis is parallel to the *x*-axis. Use the standard form $\frac{(x-h)^2}{a^2} \frac{(y-k)^2}{b^2} = 1$.
 - b. If the *x*-coordinates of the given vertices and foci are the same, then the transverse axis is parallel to the *y*-axis. Use the standard form $\frac{(y-k)^2}{a^2} \frac{(x-h)^2}{b^2} = 1$.
- 2. Identify the center of the hyperbola, (h, k), using the midpoint formula and the given coordinates for the vertices
- 3. Find a^2 by solving for the length of the transverse axis, 2a, which is the distance between the given vertices.
- 4. Find c^2 using h and k found in Step 2 along with the given coordinates for the foci.
- 5. Solve for b^2 using the equation $b^2 = c^2 a^2$.
- 6. Substitute the values for h, k, a^2 , and b^2 into the standard form of the equation determined in Step 1.

Example 10.10

Finding the Equation of a Hyperbola Centered at (h, k) Given its Foci and Vertices

What is the standard form equation of the hyperbola that has vertices at (0, -2) and (6, -2) and foci at (-2, -2) and (8, -2)?

Solution

The y-coordinates of the vertices and foci are the same, so the transverse axis is parallel to the x-axis. Thus, the equation of the hyperbola will have the form

$$\frac{(x-h)^2}{a^2} - \frac{(y-k)^2}{h^2} = 1$$

First, we identify the center, (h, k). The center is halfway between the vertices (0, -2) and (6, -2). Applying the midpoint formula, we have

$$(h, k) = \left(\frac{0+6}{2}, \frac{-2+(-2)}{2}\right) = (3, -2)$$

Next, we find a^2 . The length of the transverse axis, 2a, is bounded by the vertices. So, we can find a^2 by finding the distance between the *x*-coordinates of the vertices.

$$2a = |0 - 6|$$

$$2a = 6$$

$$a = 3$$

$$a^{2} = 9$$

Now we need to find c^2 . The coordinates of the foci are $(h \pm c, k)$. So (h - c, k) = (-2, -2) and (h + c, k) = (8, -2). We can use the *x*-coordinate from either of these points to solve for *c*. Using the point (8, -2), and substituting h = 3,

$$h + c = 8$$
$$3 + c = 8$$
$$c = 5$$
$$c^{2} = 25$$

Next, solve for b^2 using the equation $b^2 = c^2 - a^2$:

$$b^2 = c^2 - a^2$$
$$= 25 - 9$$
$$= 16$$

Finally, substitute the values found for h, k, a^2 , and b^2 into the standard form of the equation.

$$\frac{(x-3)^2}{9} - \frac{(y+2)^2}{16} = 1$$



10.10 What is the standard form equation of the hyperbola that has vertices (1, -2) and (1, 8) and foci (1, -10) and (1, 16)?

Graphing Hyperbolas Centered at the Origin

When we have an equation in standard form for a hyperbola centered at the origin, we can interpret its parts to identify the key features of its graph: the center, vertices, co-vertices, asymptotes, foci, and lengths and positions of the transverse and conjugate axes. To graph hyperbolas centered at the origin, we use the standard form $\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$ for horizontal hyperbolas and the standard form $\frac{y^2}{a^2} - \frac{x^2}{b^2} = 1$ for vertical hyperbolas.

How To:

Given a standard form equation for a hyperbola centered at (0, 0), sketch the graph.

- 1. Determine which of the standard forms applies to the given equation.
- 2. Use the standard form identified in Step 1 to determine the position of the transverse axis; coordinates for the vertices, co-vertices, and foci; and the equations for the asymptotes.
 - a. If the equation is in the form $\frac{x^2}{a^2} \frac{y^2}{b^2} = 1$, then
 - the transverse axis is on the *x*-axis
 - the coordinates of the vertices are $(\pm a, 0)$
 - the coordinates of the co-vertices are $(0, \pm b)$
 - the coordinates of the foci are $(\pm c, 0)$
 - the equations of the asymptotes are $y = \pm \frac{b}{a}x$
 - b. If the equation is in the form $\frac{y^2}{a^2} \frac{x^2}{b^2} = 1$, then
 - the transverse axis is on the *y*-axis
 - the coordinates of the vertices are $(0, \pm a)$
 - the coordinates of the co-vertices are $(\pm b, 0)$
 - the coordinates of the foci are $(0, \pm c)$
 - the equations of the asymptotes are $y = \pm \frac{a}{b}x$
- 3. Solve for the coordinates of the foci using the equation $c=\pm\sqrt{a^2+b^2}$.
- 4. Plot the vertices, co-vertices, foci, and asymptotes in the coordinate plane, and draw a smooth curve to form the hyperbola.

Example 10.11

Graphing a Hyperbola Centered at (0, 0) Given an Equation in Standard Form

Graph the hyperbola given by the equation $\frac{y^2}{64} - \frac{x^2}{36} = 1$. Identify and label the vertices, co-vertices, foci, and asymptotes.

Solution

The standard form that applies to the given equation is $\frac{y^2}{a^2} - \frac{x^2}{b^2} = 1$. Thus, the transverse axis is on the *y*-axis

The coordinates of the vertices are $(0, \pm a) = (0, \pm \sqrt{64}) = (0, \pm 8)$

The coordinates of the co-vertices are $(\pm b, 0) = (\pm \sqrt{36}, 0) = (\pm 6, 0)$

The coordinates of the foci are $(0, \pm c)$, where $c = \pm \sqrt{a^2 + b^2}$. Solving for c, we have

$$c = \pm \sqrt{a^2 + b^2} = \pm \sqrt{64 + 36} = \pm \sqrt{100} = \pm 10$$

Therefore, the coordinates of the foci are $(0, \pm 10)$

The equations of the asymptotes are $y = \pm \frac{a}{b}x = \pm \frac{8}{6}x = \pm \frac{4}{3}x$

Plot and label the vertices and co-vertices, and then sketch the central rectangle. Sides of the rectangle are parallel to the axes and pass through the vertices and co-vertices. Sketch and extend the diagonals of the central rectangle to show the asymptotes. The central rectangle and asymptotes provide the framework needed to sketch an accurate graph of the hyperbola. Label the foci and asymptotes, and draw a smooth curve to form the hyperbola, as shown in **Figure 10.22**.

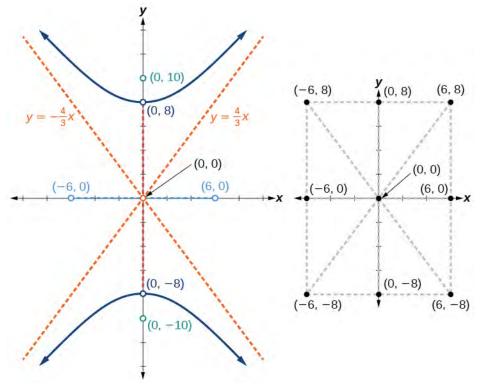


Figure 10.22



Graph the hyperbola given by the equation $\frac{x^2}{144} - \frac{y^2}{81} = 1$. Identify and label the vertices, co-vertices, foci, and asymptotes.

Graphing Hyperbolas Not Centered at the Origin

Graphing hyperbolas centered at a point (h, k) other than the origin is similar to graphing ellipses centered at a point other than the origin. We use the standard forms $\frac{(x-h)^2}{a^2} - \frac{(y-k)^2}{b^2} = 1$ for horizontal hyperbolas, and $\frac{(y-k)^2}{a^2} - \frac{(x-h)^2}{b^2} = 1$ for vertical hyperbolas. From these standard form equations we can easily calculate and plot key

features of the graph: the coordinates of its center, vertices, co-vertices, and foci; the equations of its asymptotes; and the positions of the transverse and conjugate axes.



Given a general form for a hyperbola centered at (h, k), sketch the graph.

- Convert the general form to that standard form. Determine which of the standard forms applies to the given
 equation.
- 2. Use the standard form identified in Step 1 to determine the position of the transverse axis; coordinates for the center, vertices, co-vertices, foci; and equations for the asymptotes.
 - a. If the equation is in the form $\frac{(x-h)^2}{a^2} \frac{(y-k)^2}{h^2} = 1$, then
 - the transverse axis is parallel to the *x*-axis
 - the center is (h, k)
 - the coordinates of the vertices are $(h \pm a, k)$
 - the coordinates of the co-vertices are $(h, k \pm b)$
 - the coordinates of the foci are $(h \pm c, k)$
 - the equations of the asymptotes are $y = \pm \frac{b}{a}(x h) + k$
 - b. If the equation is in the form $\frac{(y-k)^2}{a^2} \frac{(x-h)^2}{b^2} = 1$, then
 - the transverse axis is parallel to the *y*-axis
 - the center is (h, k)
 - the coordinates of the vertices are $(h, k \pm a)$
 - the coordinates of the co-vertices are $(h \pm b, k)$
 - the coordinates of the foci are $(h, k \pm c)$
 - the equations of the asymptotes are $y = \pm \frac{a}{b}(x h) + k$
- 3. Solve for the coordinates of the foci using the equation $c = \pm \sqrt{a^2 + b^2}$.
- 4. Plot the center, vertices, co-vertices, foci, and asymptotes in the coordinate plane and draw a smooth curve to form the hyperbola.

Example 10.12

Graphing a Hyperbola Centered at (h, k) Given an Equation in General Form

Graph the hyperbola given by the equation $9x^2 - 4y^2 - 36x - 40y - 388 = 0$. Identify and label the center, vertices, co-vertices, foci, and asymptotes.

Solution

Start by expressing the equation in standard form. Group terms that contain the same variable, and move the constant to the opposite side of the equation.

$$(9x^2 - 36x) - (4y^2 + 40y) = 388$$

Factor the leading coefficient of each expression.

$$9(x^2 - 4x) - 4(y^2 + 10y) = 388$$

Complete the square twice. Remember to balance the equation by adding the same constants to each side.

$$9(x^2 - 4x + 4) - 4(y^2 + 10y + 25) = 388 + 36 - 100$$

Rewrite as perfect squares.

$$9(x-2)^2 - 4(y+5)^2 = 324$$

Divide both sides by the constant term to place the equation in standard form.

$$\frac{(x-2)^2}{36} - \frac{(y+5)^2}{81} = 1$$

The standard form that applies to the given equation is $\frac{(x-h)^2}{a^2} - \frac{(y-k)^2}{b^2} = 1$, where $a^2 = 36$ and

 $b^2 = 81$, or a = 6 and b = 9. Thus, the transverse axis is parallel to the *x*-axis. It follows that:

- the center of the ellipse is (h, k) = (2, -5)
- the coordinates of the vertices are $(h \pm a, k) = (2 \pm 6, -5)$, or (-4, -5) and (8, -5)
- the coordinates of the co-vertices are $(h, k \pm b) = (2, -5 \pm 9)$, or (2, -14) and (2, 4)
- the coordinates of the foci are $(h \pm c, k)$, where $c = \pm \sqrt{a^2 + b^2}$. Solving for c, we have

$$c = \pm \sqrt{36 + 81} = \pm \sqrt{117} = \pm 3\sqrt{13}$$

Therefore, the coordinates of the foci are $(2-3\sqrt{13},-5)$ and $(2+3\sqrt{13},-5)$.

The equations of the asymptotes are $y = \pm \frac{b}{a}(x-h) + k = \pm \frac{3}{2}(x-2) - 5$.

Next, we plot and label the center, vertices, co-vertices, foci, and asymptotes and draw smooth curves to form the hyperbola, as shown in **Figure 10.23**.

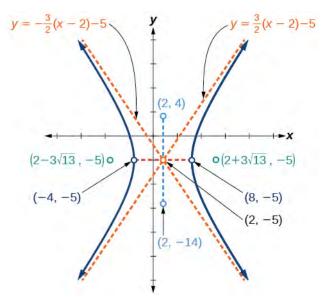


Figure 10.23

Try lt Graph the hyperbola given by the standard form of an equation $\frac{(y+4)^2}{100} - \frac{(x-3)^2}{64} = 1$. Identify and

label the center, vertices, co-vertices, foci, and asymptotes.

Solving Applied Problems Involving Hyperbolas

As we discussed at the beginning of this section, hyperbolas have real-world applications in many fields, such as astronomy, physics, engineering, and architecture. The design efficiency of hyperbolic cooling towers is particularly interesting. Cooling towers are used to transfer waste heat to the atmosphere and are often touted for their ability to generate power efficiently. Because of their hyperbolic form, these structures are able to withstand extreme winds while requiring less material than any other forms of their size and strength. See **Figure 10.24**. For example, a 500-foot tower can be made of a reinforced concrete shell only 6 or 8 inches wide!



Figure 10.24 Cooling towers at the Drax power station in North Yorkshire, United Kingdom (credit: Les Haines, Flickr)

The first hyperbolic towers were designed in 1914 and were 35 meters high. Today, the tallest cooling towers are in France, standing a remarkable 170 meters tall. In **Example 10.13** we will use the design layout of a cooling tower to find a hyperbolic equation that models its sides.

Example 10.13

Solving Applied Problems Involving Hyperbolas

The design layout of a cooling tower is shown in **Figure 10.25**. The tower stands 179.6 meters tall. The diameter of the top is 72 meters. At their closest, the sides of the tower are 60 meters apart.

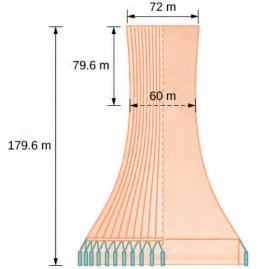


Figure 10.25 Project design for a natural draft cooling tower

Find the equation of the hyperbola that models the sides of the cooling tower. Assume that the center of the hyperbola—indicated by the intersection of dashed perpendicular lines in the figure—is the origin of the coordinate plane. Round final values to four decimal places.

Solution

We are assuming the center of the tower is at the origin, so we can use the standard form of a horizontal hyperbola centered at the origin: $\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$, where the branches of the hyperbola form the sides of the cooling tower.

We must find the values of a^2 and b^2 to complete the model.

First, we find a^2 . Recall that the length of the transverse axis of a hyperbola is 2a. This length is represented by the distance where the sides are closest, which is given as 65.3 meters. So, 2a = 60. Therefore, a = 30 and $a^2 = 900$.

To solve for b^2 , we need to substitute for x and y in our equation using a known point. To do this, we can use the dimensions of the tower to find some point (x, y) that lies on the hyperbola. We will use the top right corner of the tower to represent that point. Since the y-axis bisects the tower, our x-value can be represented by the radius of the top, or 36 meters. The y-value is represented by the distance from the origin to the top, which is given as 79.6 meters. Therefore,

$$\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$$
Standard form of horizontal hyperbola.
$$b^2 = \frac{y^2}{\frac{x^2}{a^2} - 1}$$
Isolate b^2

$$= \frac{(79.6)^2}{\frac{(36)^2}{900} - 1}$$
Substitute for a^2 , x , and y

$$\approx 14400.3636$$
Round to four decimal places

The sides of the tower can be modeled by the hyperbolic equation

$$\frac{x^2}{900} - \frac{y^2}{14400.3636} = 1$$
, or $\frac{x^2}{30^2} - \frac{y^2}{120.0015^2} = 1$

Try

10.13 A design for a cooling tower project is shown in **Figure 10.26**. Find the equation of the hyperbola that models the sides of the cooling tower. Assume that the center of the hyperbola—indicated by the intersection of dashed perpendicular lines in the figure—is the origin of the coordinate plane. Round final values to four decimal places.

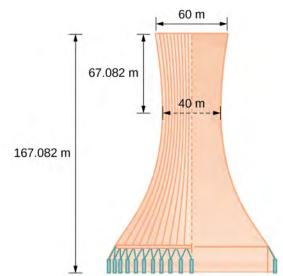


Figure 10.26



Access these online resources for additional instruction and practice with hyperbolas.

- · Conic Sections: The Hyperbola Part 1 of 2 (http://openstaxcollege.org/l/hyperbola1)
- Conic Sections: The Hyperbola Part 2 of 2 (http://openstaxcollege.org/l/hyperbola2)
- Graph a Hyperbola with Center at Origin (http://openstaxcollege.org/l/hyperbolaorigin)
- Graph a Hyperbola with Center not at Origin (http://openstaxcollege.org/l/hbnotorigin)

10.2 EXERCISES

Verbal

69. Define a hyperbola in terms of its foci.

70. What can we conclude about a hyperbola if its asymptotes intersect at the origin?

71. What must be true of the foci of a hyperbola?

72. If the transverse axis of a hyperbola is vertical, what do we know about the graph?

73. Where must the center of hyperbola be relative to its foci?

Algebraic

For the following exercises, determine whether the following equations represent hyperbolas. If so, write in standard form.

74.
$$3y^2 + 2x = 6$$

75.
$$\frac{x^2}{36} - \frac{y^2}{9} = 1$$

76.
$$5v^2 + 4x^2 = 6x$$

77.
$$25x^2 - 16y^2 = 400$$

78.
$$-9x^2 + 18x + y^2 + 4y - 14 = 0$$

For the following exercises, write the equation for the hyperbola in standard form if it is not already, and identify the vertices and foci, and write equations of asymptotes.

79.
$$\frac{x^2}{25} - \frac{y^2}{36} = 1$$

80.
$$\frac{x^2}{100} - \frac{y^2}{9} = 1$$

81.
$$\frac{y^2}{4} - \frac{x^2}{81} = 1$$

82.
$$9v^2 - 4x^2 = 1$$

83.
$$\frac{(x-1)^2}{9} - \frac{(y-2)^2}{16} = 1$$

84.
$$\frac{(y-6)^2}{36} - \frac{(x+1)^2}{16} = 1$$

85.

$$\frac{(x-2)^2}{49} - \frac{(y+7)^2}{49} = 1$$

86.
$$4x^2 - 8x - 9y^2 - 72y + 112 = 0$$

87.
$$-9x^2 - 54x + 9y^2 - 54y + 81 = 0$$

88.
$$4x^2 - 24x - 36y^2 - 360y + 864 = 0$$

89.
$$-4x^2 + 24x + 16y^2 - 128y + 156 = 0$$

90.
$$-4x^2 + 40x + 25y^2 - 100y + 100 = 0$$

91.
$$x^2 + 2x - 100y^2 - 1000y + 2401 = 0$$

92.
$$-9x^2 + 72x + 16y^2 + 16y + 4 = 0$$

93.
$$4x^2 + 24x - 25y^2 + 200y - 464 = 0$$

For the following exercises, find the equations of the asymptotes for each hyperbola.

$$94. \quad \frac{y^2}{3^2} - \frac{x^2}{3^2} = 1$$

95.
$$\frac{(x-3)^2}{5^2} - \frac{(y+4)^2}{2^2} = 1$$

96.
$$\frac{(y-3)^2}{3^2} - \frac{(x+5)^2}{6^2} = 1$$

97.
$$9x^2 - 18x - 16y^2 + 32y - 151 = 0$$

98.
$$16y^2 + 96y - 4x^2 + 16x + 112 = 0$$

Graphical

For the following exercises, sketch a graph of the hyperbola, labeling vertices and foci.

99.
$$\frac{x^2}{49} - \frac{y^2}{16} = 1$$

100.
$$\frac{x^2}{64} - \frac{y^2}{4} = 1$$

101.
$$\frac{y^2}{9} - \frac{x^2}{25} = 1$$

102.
$$81x^2 - 9y^2 = 1$$

103.
$$\frac{(y+5)^2}{9} - \frac{(x-4)^2}{25} = 1$$

104.
$$\frac{(x-2)^2}{8} - \frac{(y+3)^2}{27} = 1$$

105.
$$\frac{(y-3)^2}{9} - \frac{(x-3)^2}{9} = 1$$

106.
$$-4x^2 - 8x + 16y^2 - 32y - 52 = 0$$

107.
$$x^2 - 8x - 25y^2 - 100y - 109 = 0$$

108.
$$-x^2 + 8x + 4y^2 - 40y + 88 = 0$$

109.
$$64x^2 + 128x - 9y^2 - 72y - 656 = 0$$

110.
$$16x^2 + 64x - 4y^2 - 8y - 4 = 0$$

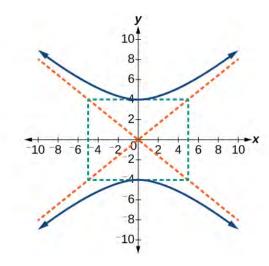
111.
$$-100x^2 + 1000x + y^2 - 10y - 2575 = 0$$

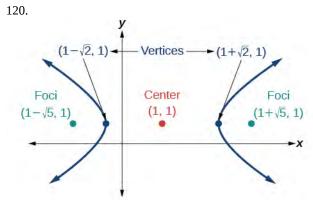
112.
$$4x^2 + 16x - 4y^2 + 16y + 16 = 0$$

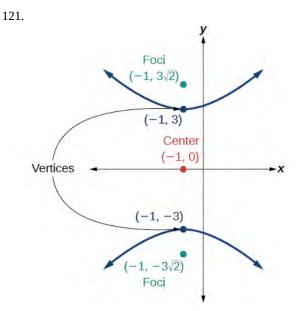
For the following exercises, given information about the graph of the hyperbola, find its equation.

- 113. Vertices at (3, 0) and (-3, 0) and one focus at (5, 0).
- 114. Vertices at (0, 6) and (0, -6) and one focus at (0, -8).
- 115. Vertices at (1, 1) and (11, 1) and one focus at (12, 1).
- 116. Center: (0, 0); vertex: (0, -13); one focus: $(0, \sqrt{313})$.
- 117. Center: (4, 2); vertex: (9, 2); one focus: $(4 + \sqrt{26}, 2)$.
- 118. Center: (3, 5); vertex: (3, 11); one focus: $(3, 5 + 2\sqrt{10})$.

For the following exercises, given the graph of the hyperbola, find its equation.



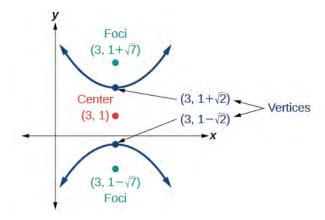


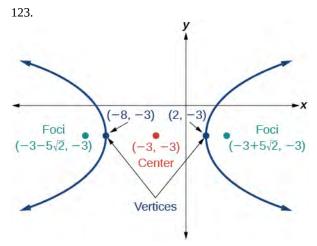


122.

119.







Extensions

For the following exercises, express the equation for the hyperbola as two functions, with y as a function of x.

Express as simply as possible. Use a graphing calculator to sketch the graph of the two functions on the same axes.

$$\frac{124.}{4} - \frac{y^2}{9} = 1$$

125.
$$\frac{y^2}{9} - \frac{x^2}{1} = 1$$

$$\frac{126.}{16} \frac{(x-2)^2}{16} - \frac{(y+3)^2}{25} = 1$$

127.
$$-4x^2 - 16x + y^2 - 2y - 19 = 0$$

128.
$$4x^2 - 24x - y^2 - 4y + 16 = 0$$

Real-World Applications

For the following exercises, a hedge is to be constructed in the shape of a hyperbola near a fountain at the center of the yard. Find the equation of the hyperbola and sketch the graph.

129.

The hedge will follow the asymptotes y = x and y = -x, and its closest distance to the center fountain is 5 yards.

130. The hedge will follow the asymptotes y = 2x and y = -2x, and its closest distance to the center fountain is 6 yards.

131. The hedge will follow the asymptotes $y = \frac{1}{2}x$ and $y = -\frac{1}{2}x$, and its closest distance to the center fountain is 10 yards.

132. The hedge will follow the asymptotes $y = \frac{2}{3}x$ and $y = -\frac{2}{3}x$, and its closest distance to the center fountain is 12 yards.

133. The hedge will follow the asymptotes $y = \frac{3}{4}x$ and $y = -\frac{3}{4}x$, and its closest distance to the center fountain is 20 yards.

For the following exercises, assume an object enters our solar system and we want to graph its path on a coordinate system with the sun at the origin and the x-axis as the axis of symmetry for the object's path. Give the equation of the flight path of each object using the given information.

134. The object enters along a path approximated by the line y = x - 2 and passes within 1 au (astronomical unit) of the sun at its closest approach, so that the sun is one focus of the hyperbola. It then departs the solar system along a path approximated by the line y = -x + 2.

135. The object enters along a path approximated by the line y = 2x - 2 and passes within 0.5 au of the sun at its closest approach, so the sun is one focus of the hyperbola. It then departs the solar system along a path approximated by the line y = -2x + 2.

136. The object enters along a path approximated by the line y = 0.5x + 2 and passes within 1 au of the sun at its closest approach, so the sun is one focus of the hyperbola. It then departs the solar system along a path approximated by the line y = -0.5x - 2.

137. The object enters along a path approximated by the line $y = \frac{1}{3}x - 1$ and passes within 1 au of the sun at its closest approach, so the sun is one focus of the hyperbola. It then departs the solar system along a path approximated by the line $y = -\frac{1}{3}x + 1$.

138.

The object It enters along a path approximated by the line y = 3x - 9 and passes within 1 au of the sun at its closest approach, so the sun is one focus of the hyperbola. It then departs the solar system along a path approximated by the line y = -3x + 9.

10.3 | The Parabola

Learning Objectives

In this section, you will:

- **10.3.1** Graph parabolas with vertices at the origin.
- **10.3.2** Write equations of parabolas in standard form.
- 10.3.3 Graph parabolas with vertices not at the origin.
- **10.3.4** Solve applied problems involving parabolas.



Figure 10.27 The Olympic torch concludes its journey around the world when it is used to light the Olympic cauldron during the opening ceremony. (credit: Ken Hackman, U.S. Air Force)

Did you know that the Olympic torch is lit several months before the start of the games? The ceremonial method for lighting the flame is the same as in ancient times. The ceremony takes place at the Temple of Hera in Olympia, Greece, and is rooted in Greek mythology, paying tribute to Prometheus, who stole fire from Zeus to give to all humans. One of eleven acting priestesses places the torch at the focus of a parabolic mirror (see **Figure 10.27**), which focuses light rays from the sun to ignite the flame.

Parabolic mirrors (or reflectors) are able to capture energy and focus it to a single point. The advantages of this property are evidenced by the vast list of parabolic objects we use every day: satellite dishes, suspension bridges, telescopes, microphones, spotlights, and car headlights, to name a few. Parabolic reflectors are also used in alternative energy devices, such as solar cookers and water heaters, because they are inexpensive to manufacture and need little maintenance. In this section we will explore the parabola and its uses, including low-cost, energy-efficient solar designs.

Graphing Parabolas with Vertices at the Origin

In **The Ellipse**, we saw that an ellipse is formed when a plane cuts through a right circular cone. If the plane is parallel to the edge of the cone, an unbounded curve is formed. This curve is a **parabola**. See **Figure 10.28**.

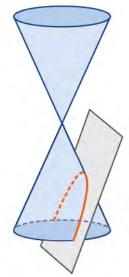


Figure 10.28 Parabola

Like the ellipse and hyperbola, the parabola can also be defined by a set of points in the coordinate plane. A parabola is the set of all points (x, y) in a plane that are the same distance from a fixed line, called the **directrix**, and a fixed point (the **focus**) not on the directrix.

In **Quadratic Functions**, we learned about a parabola's vertex and axis of symmetry. Now we extend the discussion to include other key features of the parabola. See **Figure 10.29**. Notice that the axis of symmetry passes through the focus and vertex and is perpendicular to the directrix. The vertex is the midpoint between the directrix and the focus.

The line segment that passes through the focus and is parallel to the directrix is called the **latus rectum**. The endpoints of the latus rectum lie on the curve. By definition, the distance d from the focus to any point P on the parabola is equal to the distance from P to the directrix.

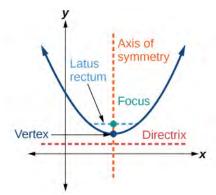


Figure 10.29 Key features of the parabola

To work with parabolas in the coordinate plane, we consider two cases: those with a vertex at the origin and those with a vertex at a point other than the origin. We begin with the former.

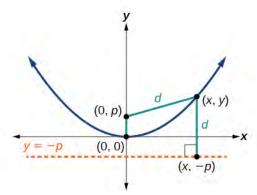


Figure 10.30

Let (x, y) be a point on the parabola with vertex (0, 0), focus (0, p), and directrix y = -p as shown in **Figure 10.30**. The distance d from point (x, y) to point (x, -p) on the directrix is the difference of the y-values: d = y + p. The distance from the focus (0, p) to the point (x, y) is also equal to d and can be expressed using the distance formula.

$$d = \sqrt{(x-0)^2 + (y-p)^2}$$
$$= \sqrt{x^2 + (y-p)^2}$$

Set the two expressions for d equal to each other and solve for y to derive the equation of the parabola. We do this because the distance from (x, y) to (0, p) equals the distance from (x, y) to (x, -p).

$$\sqrt{x^2 + (y - p)^2} = y + p$$

We then square both sides of the equation, expand the squared terms, and simplify by combining like terms.

$$x^{2} + (y - p)^{2} = (y + p)^{2}$$

$$x^{2} + y^{2} - 2py + p^{2} = y^{2} + 2py + p^{2}$$

$$x^{2} - 2py = 2py$$

$$x^{2} = 4py$$

The equations of parabolas with vertex (0, 0) are $y^2 = 4px$ when the *x*-axis is the axis of symmetry and $x^2 = 4py$ when the *y*-axis is the axis of symmetry. These standard forms are given below, along with their general graphs and key features.

Standard Forms of Parabolas with Vertex (0, 0)

Table 10.1 and **Figure 10.31** summarize the standard features of parabolas with a vertex at the origin.

Axis of Symmetry	Equation	Focus	Directrix	Endpoints of Latus Rectum
x-axis	$y^2 = 4px$	(p, 0)	x = -p	$(p, \pm 2p)$
<i>y</i> -axis	$x^2 = 4py$	(0, p)	y = -p	(±2p, p)

Table 10.1

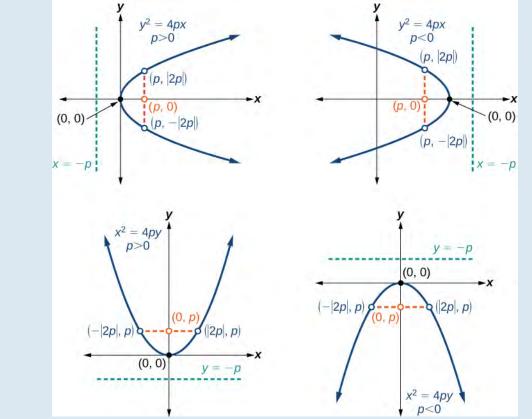


Figure 10.31 (a) When p > 0 and the axis of symmetry is the *x*-axis, the parabola opens right. (b) When p < 0 and the axis of symmetry is the *x*-axis, the parabola opens left. (c) When p < 0 and the axis of symmetry is the *y*-axis, the parabola opens up. (d) When p < 0 and the axis of symmetry is the *y*-axis, the parabola opens down.

The key features of a parabola are its vertex, axis of symmetry, focus, directrix, and latus rectum. See **Figure 10.31**. When given a standard equation for a parabola centered at the origin, we can easily identify the key features to graph the parabola.

A line is said to be tangent to a curve if it intersects the curve at exactly one point. If we sketch lines tangent to the parabola at the endpoints of the latus rectum, these lines intersect on the axis of symmetry, as shown in **Figure 10.32**.

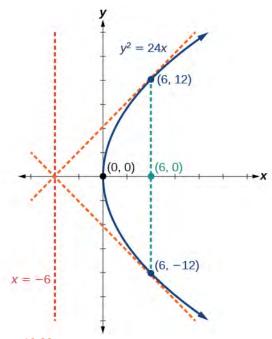


Figure 10.32

How To:

Given a standard form equation for a parabola centered at (0, 0), sketch the graph.

- 1. Determine which of the standard forms applies to the given equation: $y^2 = 4px$ or $x^2 = 4py$.
- 2. Use the standard form identified in Step 1 to determine the axis of symmetry, focus, equation of the directrix, and endpoints of the latus rectum.
 - a. If the equation is in the form $y^2 = 4px$, then
 - the axis of symmetry is the *x*-axis, y = 0
 - set 4p equal to the coefficient of x in the given equation to solve for p. If p > 0, the parabola opens right. If p < 0, the parabola opens left.
 - use p to find the coordinates of the focus, (p, 0)
 - use *p* to find the equation of the directrix, x = -p
 - use p to find the endpoints of the latus rectum, $(p, \pm 2p)$. Alternately, substitute x = p into the original equation.
 - b. If the equation is in the form $x^2 = 4py$, then
 - the axis of symmetry is the *y*-axis, x = 0
 - set 4p equal to the coefficient of y in the given equation to solve for p. If p > 0, the parabola opens up. If p < 0, the parabola opens down.
 - use *p* to find the coordinates of the focus, (0, *p*)
 - use *p* to find equation of the directrix, y = -p
 - use p to find the endpoints of the latus rectum, $(\pm 2p, p)$
- 3. Plot the focus, directrix, and latus rectum, and draw a smooth curve to form the parabola.

Example 10.14

Graphing a Parabola with Vertex (0, 0) and the x-axis as the Axis of Symmetry

Graph $y^2 = 24x$. Identify and label the focus, directrix, and endpoints of the latus rectum.

Solution

The standard form that applies to the given equation is $y^2 = 4px$. Thus, the axis of symmetry is the *x*-axis. It follows that:

- 24 = 4p, so p = 6. Since p > 0, the parabola opens right
- the coordinates of the focus are (p, 0) = (6, 0)
- the equation of the directrix is x = -p = -6
- the endpoints of the latus rectum have the same *x*-coordinate at the focus. To find the endpoints, substitute x = 6 into the original equation: $(6, \pm 12)$

Next we plot the focus, directrix, and latus rectum, and draw a smooth curve to form the parabola. Figure 10.33

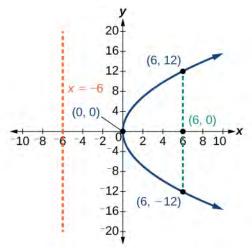


Figure 10.33



10.14 Graph $y^2 = -16x$. Identify and label the focus, directrix, and endpoints of the latus rectum.

Example 10.15

Graphing a Parabola with Vertex (0, 0) and the y-axis as the Axis of Symmetry

Graph $x^2 = -6y$. Identify and label the focus, directrix, and endpoints of the latus rectum.

Solution

The standard form that applies to the given equation is $x^2 = 4py$. Thus, the axis of symmetry is the *y*-axis. It follows that:

- -6 = 4p, so $p = -\frac{3}{2}$. Since p < 0, the parabola opens down.
- the coordinates of the focus are $(0, p) = (0, -\frac{3}{2})$
- the equation of the directrix is $y = -p = \frac{3}{2}$
- the endpoints of the latus rectum can be found by substituting $y = \frac{3}{2}$ into the original equation, $\left(\pm 3, -\frac{3}{2}\right)$

Next we plot the focus, directrix, and latus rectum, and draw a smooth curve to form the parabola.

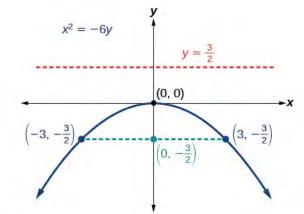


Figure 10.34



10.15 Graph $x^2 = 8y$. Identify and label the focus, directrix, and endpoints of the latus rectum.

Writing Equations of Parabolas in Standard Form

In the previous examples, we used the standard form equation of a parabola to calculate the locations of its key features. We can also use the calculations in reverse to write an equation for a parabola when given its key features.



Given its focus and directrix, write the equation for a parabola in standard form.

- 1. Determine whether the axis of symmetry is the *x* or *y*-axis.
 - a. If the given coordinates of the focus have the form (p, 0), then the axis of symmetry is the *x*-axis. Use the standard form $y^2 = 4px$.
 - b. If the given coordinates of the focus have the form (0, p), then the axis of symmetry is the *y*-axis. Use the standard form $x^2 = 4py$.
- 2. Multiply 4p.
- 3. Substitute the value from Step 2 into the equation determined in Step 1.

Example 10.16

Writing the Equation of a Parabola in Standard Form Given its Focus and Directrix

What is the equation for the parabola with focus $\left(-\frac{1}{2}, 0\right)$ and directrix $x = \frac{1}{2}$?

Solution

The focus has the form (p, 0), so the equation will have the form $y^2 = 4px$.

- Multiplying 4p, we have $4p = 4\left(-\frac{1}{2}\right) = -2$.
- Substituting for 4p, we have $y^2 = 4px = -2x$.

Therefore, the equation for the parabola is $y^2 = -2x$.



10.16 What is the equation for the parabola with focus $\left(0, \frac{7}{2}\right)$ and directrix $y = -\frac{7}{2}$?

Graphing Parabolas with Vertices Not at the Origin

Like other graphs we've worked with, the graph of a parabola can be translated. If a parabola is translated h units horizontally and k units vertically, the vertex will be (h, k). This translation results in the standard form of the equation we saw previously with x replaced by (x - h) and y replaced by (y - k).

To graph parabolas with a vertex (h, k) other than the origin, we use the standard form $(y - k)^2 = 4p(x - h)$ for parabolas that have an axis of symmetry parallel to the *x*-axis, and $(x - h)^2 = 4p(y - k)$ for parabolas that have an axis of symmetry parallel to the *y*-axis. These standard forms are given below, along with their general graphs and key features.

Standard Forms of Parabolas with Vertex (h, k)

Table 10.2 and **Figure 10.35** summarize the standard features of parabolas with a vertex at a point (h, k).

Axis of Symmetry	Equation	Focus	Directrix	Endpoints of Latus Rectum
y = k	$(y-k)^2 = 4p(x-h)$	(h+p, k)	x = h - p	$(h+p,\ k\pm 2p)$
x = h	$(x-h)^2 = 4p(y-k)$	(h, k+p)	y = k - p	$(h \pm 2p, \ k+p)$

Table 10.2

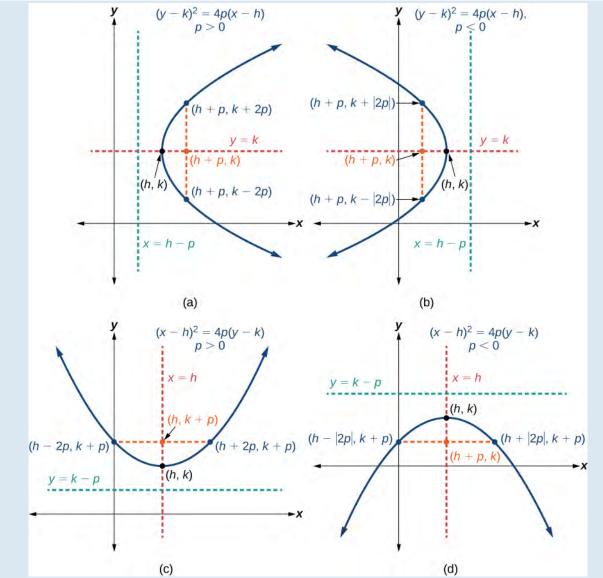


Figure 10.35 (a) When p > 0, the parabola opens right. (b) When p < 0, the parabola opens left. (c) When p > 0, the parabola opens up. (d) When p < 0, the parabola opens down.



Given a standard form equation for a parabola centered at (h, k), sketch the graph.

- 1. Determine which of the standard forms applies to the given equation: $(y k)^2 = 4p(x h)$ or $(x h)^2 = 4p(y k)$.
- 2. Use the standard form identified in Step 1 to determine the vertex, axis of symmetry, focus, equation of the directrix, and endpoints of the latus rectum.
 - a. If the equation is in the form $(y k)^2 = 4p(x h)$, then:
 - use the given equation to identify h and k for the vertex, (h, k)
 - use the value of k to determine the axis of symmetry, y = k
 - set 4p equal to the coefficient of (x h) in the given equation to solve for p. If p > 0, the parabola opens right. If p < 0, the parabola opens left.
 - use h, k, and p to find the coordinates of the focus, (h + p, k)
 - use *h* and *p* to find the equation of the directrix, x = h p
 - use h, k, and p to find the endpoints of the latus rectum, $(h + p, k \pm 2p)$
 - b. If the equation is in the form $(x h)^2 = 4p(y k)$, then:
 - use the given equation to identify h and k for the vertex, (h, k)
 - use the value of h to determine the axis of symmetry, x = h
 - set 4p equal to the coefficient of (y k) in the given equation to solve for p. If p > 0, the parabola opens up. If p < 0, the parabola opens down.
 - use h, k, and p to find the coordinates of the focus, (h, k+p)
 - use *k* and *p* to find the equation of the directrix, y = k p
 - use h, k, and p to find the endpoints of the latus rectum, $(h \pm 2p, k+p)$
- 3. Plot the vertex, axis of symmetry, focus, directrix, and latus rectum, and draw a smooth curve to form the parabola.

Example 10.17

Graphing a Parabola with Vertex (h, k) and Axis of Symmetry Parallel to the x-axis

Graph $(y-1)^2 = -16(x+3)$. Identify and label the vertex, axis of symmetry, focus, directrix, and endpoints of the latus rectum.

Solution

The standard form that applies to the given equation is $(y - k)^2 = 4p(x - h)$. Thus, the axis of symmetry is parallel to the *x*-axis. It follows that:

- the vertex is (h, k) = (-3, 1)
- the axis of symmetry is y = k = 1

- -16 = 4p, so p = -4. Since p < 0, the parabola opens left.
- the coordinates of the focus are (h + p, k) = (-3 + (-4), 1) = (-7, 1)
- the equation of the directrix is x = h p = -3 (-4) = 1
- the endpoints of the latus rectum are $(h + p, k \pm 2p) = (-3 + (-4), 1 \pm 2(-4))$, or (-7, -7) and (-7, 9)

Next we plot the vertex, axis of symmetry, focus, directrix, and latus rectum, and draw a smooth curve to form the parabola. See **Figure 10.36**.

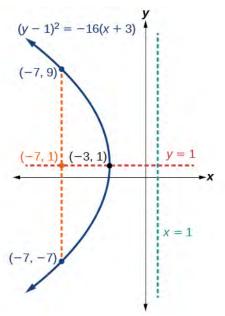


Figure 10.36



10.17 Graph $(y + 1)^2 = 4(x - 8)$. Identify and label the vertex, axis of symmetry, focus, directrix, and endpoints of the latus rectum.

Example 10.18

Graphing a Parabola from an Equation Given in General Form

Graph $x^2 - 8x - 28y - 208 = 0$. Identify and label the vertex, axis of symmetry, focus, directrix, and endpoints of the latus rectum.

Solution

Start by writing the equation of the parabola in standard form. The standard form that applies to the given equation is $(x - h)^2 = 4p(y - k)$. Thus, the axis of symmetry is parallel to the *y*-axis. To express the equation of the parabola in this form, we begin by isolating the terms that contain the variable *x* in order to complete the square.

$$x^{2} - 8x - 28y - 208 = 0$$

$$x^{2} - 8x = 28y + 208$$

$$x^{2} - 8x + 16 = 28y + 208 + 16$$

$$(x - 4)^{2} = 28y + 224$$

$$(x - 4)^{2} = 28(y + 8)$$

$$(x - 4)^{2} = 4 \cdot 7 \cdot (y + 8)$$

It follows that:

- the vertex is (h, k) = (4, -8)
- the axis of symmetry is x = h = 4
- since p = 7, p > 0 and so the parabola opens up
- the coordinates of the focus are (h, k + p) = (4, -8 + 7) = (4, -1)
- the equation of the directrix is y = k p = -8 7 = -15
- the endpoints of the latus rectum are $(h \pm 2p, k + p) = (4 \pm 2(7), -8 + 7)$, or (-10, -1) and (18, -1)

Next we plot the vertex, axis of symmetry, focus, directrix, and latus rectum, and draw a smooth curve to form the parabola. See **Figure 10.37**.

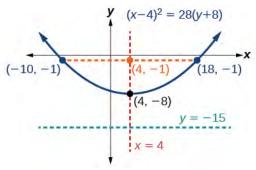


Figure 10.37



10.18 Graph $(x + 2)^2 = -20(y - 3)$. Identify and label the vertex, axis of symmetry, focus, directrix, and endpoints of the latus rectum.

Solving Applied Problems Involving Parabolas

As we mentioned at the beginning of the section, parabolas are used to design many objects we use every day, such as telescopes, suspension bridges, microphones, and radar equipment. Parabolic mirrors, such as the one used to light the Olympic torch, have a very unique reflecting property. When rays of light parallel to the parabola's axis of symmetry are directed toward any surface of the mirror, the light is reflected directly to the focus. See **Figure 10.38**. This is why the Olympic torch is ignited when it is held at the focus of the parabolic mirror.

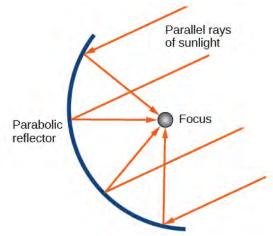


Figure 10.38 Reflecting property of parabolas

Parabolic mirrors have the ability to focus the sun's energy to a single point, raising the temperature hundreds of degrees in a matter of seconds. Thus, parabolic mirrors are featured in many low-cost, energy efficient solar products, such as solar cookers, solar heaters, and even travel-sized fire starters.

Example 10.19

Solving Applied Problems Involving Parabolas

A cross-section of a design for a travel-sized solar fire starter is shown in **Figure 10.39**. The sun's rays reflect off the parabolic mirror toward an object attached to the igniter. Because the igniter is located at the focus of the parabola, the reflected rays cause the object to burn in just seconds.

- a. Find the equation of the parabola that models the fire starter. Assume that the vertex of the parabolic mirror is the origin of the coordinate plane.
- b. Use the equation found in part (a) to find the depth of the fire starter.

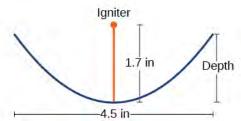


Figure 10.39 Cross-section of a travel-sized solar fire starter

Solution

a. The vertex of the dish is the origin of the coordinate plane, so the parabola will take the standard form $x^2 = 4py$, where p > 0. The igniter, which is the focus, is 1.7 inches above the vertex of the dish. Thus we have p = 1.7.

$$x^2 = 4py$$
 Standard form of upward-facing parabola with vertex (0,0)
 $x^2 = 4(1.7)y$ Substitute 1.7 for p .
 $x^2 = 6.8y$ Multiply.

b. The dish extends $\frac{4.5}{2} = 2.25$ inches on either side of the origin. We can substitute 2.25 for x in the equation from part (a) to find the depth of the dish.

$$x^2 = 6.8y$$
 Equation found in part (a).
 $(2.25)^2 = 6.8y$ Substitute 2.25 for x.
 $y \approx 0.74$ Solve for y.

The dish is about 0.74 inches deep.



10.19 Balcony-sized solar cookers have been designed for families living in India. The top of a dish has a diameter of 1600 mm. The sun's rays reflect off the parabolic mirror toward the "cooker," which is placed 320 mm from the base.

- a. Find an equation that models a cross-section of the solar cooker. Assume that the vertex of the parabolic mirror is the origin of the coordinate plane, and that the parabola opens to the right (i.e., has the *x*-axis as its axis of symmetry).
- b. Use the equation found in part (a) to find the depth of the cooker.



Access these online resources for additional instruction and practice with parabolas.

- · Conic Sections: The Parabola Part 1 of 2 (http://openstaxcollege.org/l/parabola1)
- Conic Sections: The Parabola Part 2 of 2 (http://openstaxcollege.org/l/parabola2)
- Parabola with Vertical Axis (http://openstaxcollege.org/l/parabolavertcal)
- · Parabola with Horizontal Axis (http://openstaxcollege.org/l/parabolahoriz)

10.3 EXERCISES

Verbal

139. Define a parabola in terms of its focus and directrix.

140. If the equation of a parabola is written in standard form and p is positive and the directrix is a vertical line, then what can we conclude about its graph?

141. If the equation of a parabola is written in standard form and p is negative and the directrix is a horizontal line, then what can we conclude about its graph?

142. What is the effect on the graph of a parabola if its equation in standard form has increasing values of p?

143. As the graph of a parabola becomes wider, what will happen to the distance between the focus and directrix?

Algebraic

For the following exercises, determine whether the given equation is a parabola. If so, rewrite the equation in standard form.

144.
$$v^2 = 4 - x^2$$

145.
$$y = 4x^2$$

146.
$$3x^2 - 6y^2 = 12$$

147.
$$(y-3)^2 = 8(x-2)$$

148.
$$v^2 + 12x - 6y - 51 = 0$$

For the following exercises, rewrite the given equation in standard form, and then determine the vertex (V), focus (F), and directrix (d) of the parabola.

149.
$$x = 8v^2$$

150.
$$y = \frac{1}{4}x^2$$

151.
$$y = -4x^2$$

152.
$$x = \frac{1}{8}y^2$$

153.
$$x = 36y^2$$

154.
$$x = \frac{1}{36}y^2$$

155.

$$(x-1)^2 = 4(y-1)$$

156.
$$(y-2)^2 = \frac{4}{5}(x+4)$$

157.
$$(y-4)^2 = 2(x+3)$$

158.
$$(x+1)^2 = 2(y+4)$$

159.
$$(x+4)^2 = 24(y+1)$$

160.
$$(y+4)^2 = 16(x+4)$$

161.
$$y^2 + 12x - 6y + 21 = 0$$

162.
$$x^2 - 4x - 24y + 28 = 0$$

163.
$$5x^2 - 50x - 4y + 113 = 0$$

164.
$$y^2 - 24x + 4y - 68 = 0$$

165.
$$x^2 - 4x + 2y - 6 = 0$$

166.
$$v^2 - 6v + 12x - 3 = 0$$

167.
$$3y^2 - 4x - 6y + 23 = 0$$

168.
$$x^2 + 4x + 8y - 4 = 0$$

Graphical

For the following exercises, graph the parabola, labeling the focus and the directrix.

169.
$$x = \frac{1}{8}y^2$$

170.
$$y = 36x^2$$

171.
$$y = \frac{1}{36}x^2$$

172.
$$y = -9x^2$$

173.
$$(y-2)^2 = -\frac{4}{3}(x+2)$$

174.
$$-5(x+5)^2 = 4(y+5)$$

175.
$$-6(y+5)^2 = 4(x-4)$$

176.
$$y^2 - 6y - 8x + 1 = 0$$

177.
$$x^2 + 8x + 4y + 20 = 0$$

178.
$$3x^2 + 30x - 4y + 95 = 0$$

179.
$$y^2 - 8x + 10y + 9 = 0$$

180.
$$x^2 + 4x + 2y + 2 = 0$$

181.
$$y^2 + 2y - 12x + 61 = 0$$

182.
$$-2x^2 + 8x - 4y - 24 = 0$$

For the following exercises, find the equation of the parabola given information about its graph.

183. Vertex is
$$(0, 0)$$
; directrix is $y = 4$, focus is $(0, -4)$.

184. Vertex is
$$(0, 0)$$
; directrix is $x = 4$, focus is $(-4, 0)$.

185. Vertex is (2, 2); directrix is
$$x = 2 - \sqrt{2}$$
, focus is $(2 + \sqrt{2}, 2)$.

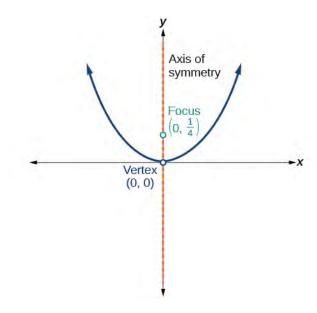
186. Vertex is
$$(-2, 3)$$
; directrix is $x = -\frac{7}{2}$, focus is $\left(-\frac{1}{2}, 3\right)$.

187. Vertex is
$$(\sqrt{2}, -\sqrt{3})$$
; directrix is $x = 2\sqrt{2}$, focus is $(0, -\sqrt{3})$.

188. Vertex is (1, 2); directrix is
$$y = \frac{11}{3}$$
, focus is $\left(1, \frac{1}{3}\right)$.

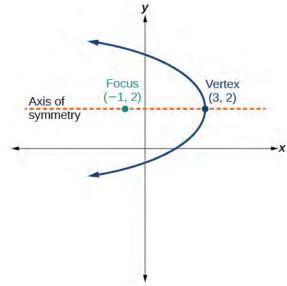
For the following exercises, determine the equation for the parabola from its graph.

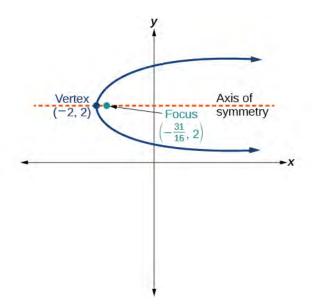
189.

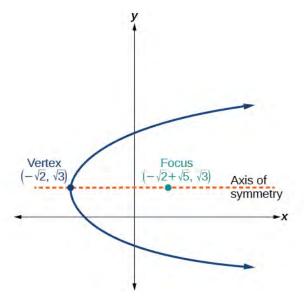


190.

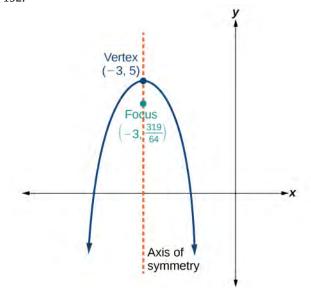
191.







192.



193.

Extensions

For the following exercises, the vertex and endpoints of the latus rectum of a parabola are given. Find the equation.

194. V(0, 0), Endpoints (2, 1), (-2, 1)

195. V(0, 0), Endpoints (-2, 4), (-2, -4)

196. V(1, 2), Endpoints (-5, 5), (7, 5)

197. V(-3, -1), Endpoints (0, 5), (0, -7)

198. V(4, -3), Endpoints $\left(5, -\frac{7}{2}\right)$, $\left(3, -\frac{7}{2}\right)$

Real-World Applications

199. The mirror in an automobile headlight has a parabolic cross-section with the light bulb at the focus. On a schematic, the equation of the parabola is given as $x^2 = 4y$. At what coordinates should you place the light bulb?

200. If we want to construct the mirror from the previous exercise such that the focus is located at (0, 0.25), what should the equation of the parabola be?

201. A satellite dish is shaped like a paraboloid of revolution. This means that it can be formed by rotating a parabola around its axis of symmetry. The receiver is to be located at the focus. If the dish is 12 feet across at its opening and 4 feet deep at its center, where should the receiver be placed?

202. Consider the satellite dish from the previous exercise. If the dish is 8 feet across at the opening and 2 feet deep, where should we place the receiver?

203. A searchlight is shaped like a paraboloid of revolution. A light source is located 1 foot from the base along the axis of symmetry. If the opening of the searchlight is 3 feet across, find the depth.

- 204. If the searchlight from the previous exercise has the light source located 6 inches from the base along the axis of symmetry and the opening is 4 feet, find the depth.
- 205. An arch is in the shape of a parabola. It has a span of 100 feet and a maximum height of 20 feet. Find the equation of the parabola, and determine the height of the arch 40 feet from the center.
- 206. If the arch from the previous exercise has a span of 160 feet and a maximum height of 40 feet, find the equation of the parabola, and determine the distance from the center at which the height is 20 feet.
- 207. An object is projected so as to follow a parabolic path given by $y = -x^2 + 96x$, where x is the horizontal distance traveled in feet and y is the height. Determine the maximum height the object reaches.
- 208. For the object from the previous exercise, assume the path followed is given by $y = -0.5x^2 + 80x$. Determine how far along the horizontal the object traveled to reach maximum height.

10.4 | Rotation of Axes

Learning Objectives

In this section, you will:

- **10.4.1** Identify nondegenerate conic sections given their general form equations.
- 10.4.2 Use rotation of axes formulas.
- **10.4.3** Write equations of rotated conics in standard form.
- 10.4.4 Identify conics without rotating axes.

As we have seen, conic sections are formed when a plane intersects two right circular cones aligned tip to tip and extending infinitely far in opposite directions, which we also call a *cone*. The way in which we slice the cone will determine the type of conic section formed at the intersection. A circle is formed by slicing a cone with a plane perpendicular to the axis of symmetry of the cone. An ellipse is formed by slicing a single cone with a slanted plane not perpendicular to the axis of symmetry. A parabola is formed by slicing the plane through the top or bottom of the double-cone, whereas a hyperbola is formed when the plane slices both the top and bottom of the cone. See **Figure 10.40**.

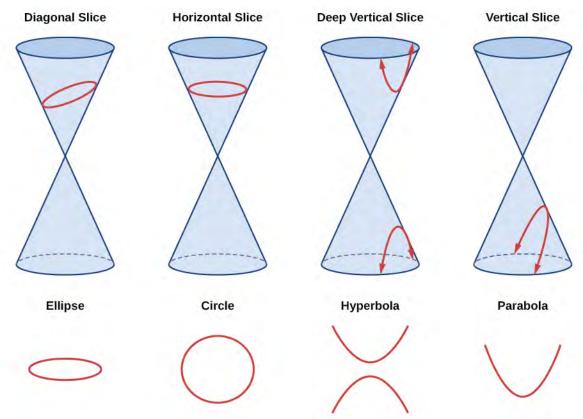


Figure 10.40 The nondegenerate conic sections

Ellipses, circles, hyperbolas, and parabolas are sometimes called the **nondegenerate conic sections**, in contrast to the **degenerate conic sections**, which are shown in **Figure 10.41**. A degenerate conic results when a plane intersects the double cone and passes through the apex. Depending on the angle of the plane, three types of degenerate conic sections are possible: a point, a line, or two intersecting lines.

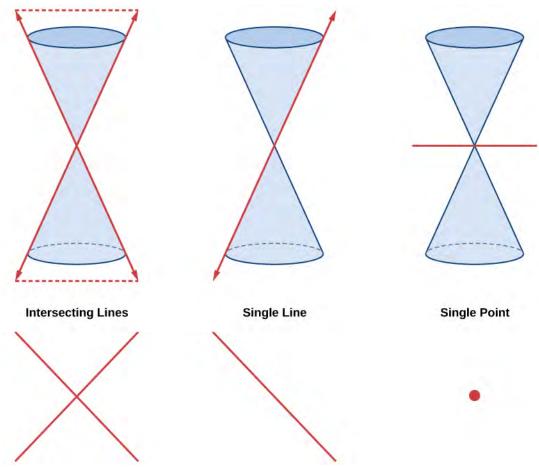


Figure 10.41 Degenerate conic sections

Identifying Nondegenerate Conics in General Form

In previous sections of this chapter, we have focused on the standard form equations for nondegenerate conic sections. In this section, we will shift our focus to the general form equation, which can be used for any conic. The general form is set equal to zero, and the terms and coefficients are given in a particular order, as shown below.

$$Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$$

where A, B, and C are not all zero. We can use the values of the coefficients to identify which type conic is represented by a given equation.

You may notice that the general form equation has an xy term that we have not seen in any of the standard form equations. As we will discuss later, the xy term rotates the conic whenever B is not equal to zero.

Conic Sections	Example		
ellipse	$4x^2 + 9y^2 = 1$		
circle	$4x^2 + 4y^2 = 1$		
hyperbola	$4x^2 - 9y^2 = 1$		
parabola	$4x^2 = 9y \text{ or } 4y^2 = 9x$		
one line	4x + 9y = 1		
intersecting lines	(x-4)(y+4) = 0		
parallel lines	(x-4)(x-9) = 0		
a point	$4x^2 + 4y^2 = 0$		
no graph	$4x^2 + 4y^2 = -1$		

Table 10.3

General Form of Conic Sections

A **nondegenerate conic section** has the general form

$$Ax^{2} + Bxy + Cy^{2} + Dx + Ey + F = 0$$
(10.9)

where A, B, and C are not all zero.

Table 10.4 summarizes the different conic sections where B = 0, and A and C are nonzero real numbers. This indicates that the conic has not been rotated.

ellipse	$Ax^{2} + Cy^{2} + Dx + Ey + F = 0, \ A \neq C \text{ and } AC > 0$
circle	$Ax^2 + Cy^2 + Dx + Ey + F = 0, A = C$
hyperbola	$Ax^2 - Cy^2 + Dx + Ey + F = 0$ or $-Ax^2 + Cy^2 + Dx + Ey + F = 0$, where A and C are positive
parabola	$Ax^{2} + Dx + Ey + F = 0$ or $Cy^{2} + Dx + Ey + F = 0$

Table 10.4



Given the equation of a conic, identify the type of conic.

- 1. Rewrite the equation in the general form, $Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$.
- 2. Identify the values of *A* and *C* from the general form.
 - a. If *A* and *C* are nonzero, have the same sign, and are not equal to each other, then the graph is an ellipse.
 - b. If *A* and *C* are equal and nonzero and have the same sign, then the graph is a circle.
 - c. If *A* and *C* are nonzero and have opposite signs, then the graph is a hyperbola.
 - d. If either A or C is zero, then the graph is a parabola.

Example 10.20

Identifying a Conic from Its General Form

Identify the graph of each of the following nondegenerate conic sections.

a.
$$4x^2 - 9y^2 + 36x + 36y - 125 = 0$$

b.
$$9y^2 + 16x + 36y - 10 = 0$$

c.
$$3x^2 + 3y^2 - 2x - 6y - 4 = 0$$

d.
$$-25x^2 - 4y^2 + 100x + 16y + 20 = 0$$

Solution

a. Rewriting the general form, we have

$$Ax^{2} + Bxy + Cy^{2} + Dx + Ey + F = 0$$

$$4x^{2} + 0xy + (-9)y^{2} + 36x + 36y + (-125) = 0$$

A=4 and C=-9, so we observe that A and C have opposite signs. The graph of this equation is a hyperbola.

b. Rewriting the general form, we have

$$Ax^{2} + Bxy + Cy^{2} + Dx + Ey + F = 0$$

$$0x^{2} + 0xy + 9y^{2} + 16x + 36y + (-10) = 0$$

A = 0 and C = 9. We can determine that the equation is a parabola, since A is zero.

c. Rewriting the general form, we have

$$Ax^{2} + Bxy + Cy^{2} + Dx + Ey + F = 0$$
$$3x^{2} + 0xy + 3y^{2} + (-2)x + (-6)y + (-4) = 0$$

A = 3 and C = 3. Because A = C, the graph of this equation is a circle.

d. Rewriting the general form, we have

$$Ax^{2} + Bxy + Cy^{2} + Dx + Ey + F = 0$$

$$(-25)x^{2} + 0xy + (-4)y^{2} + 100x + 16y + 20 = 0$$

A = -25 and C = -4. Because AC > 0 and $A \neq C$, the graph of this equation is an ellipse.



10.20 Identify the graph of each of the following nondegenerate conic sections.

a.
$$16y^2 - x^2 + x - 4y - 9 = 0$$

b.
$$16x^2 + 4y^2 + 16x + 49y - 81 = 0$$

Finding a New Representation of the Given Equation after Rotating through a Given Angle

Until now, we have looked at equations of conic sections without an xy term, which aligns the graphs with the x- and y-axes. When we add an xy term, we are rotating the conic about the origin. If the x- and y-axes are rotated through an angle, say θ , then every point on the plane may be thought of as having two representations: (x, y) on the Cartesian plane with the original x-axis and y-axis, and (x', y') on the new plane defined by the new, rotated axes, called the x'-axis and y'-axis. See **Figure 10.42**.

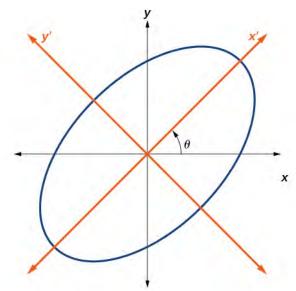


Figure 10.42 The graph of the rotated ellipse $x^2 + y^2 - xy - 15 = 0$

We will find the relationships between x and y on the Cartesian plane with x' and y' on the new rotated plane. See **Figure 10.43**.

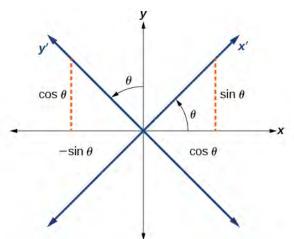


Figure 10.43 The Cartesian plane with x- and y-axes and the resulting x'- and y'-axes formed by a rotation by an angle θ .

The original coordinate x- and y-axes have unit vectors i and j. The rotated coordinate axes have unit vectors i' and j'. The angle θ is known as the **angle of rotation**. See **Figure 10.44**. We may write the new unit vectors in terms of the original ones.

$$i' = \cos \theta i + \sin \theta j$$

$$j' = -\sin \theta i + \cos \theta j$$

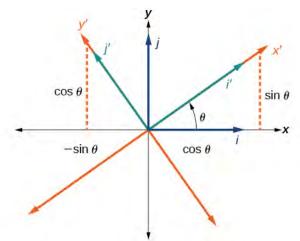


Figure 10.44 Relationship between the old and new coordinate planes.

Consider a vector u in the new coordinate plane. It may be represented in terms of its coordinate axes.

$$\begin{split} u &= x'\,i' + y'\,j' \\ u &= x'(i\cos\theta + j\sin\theta) + y'(-i\sin\theta + j\cos\theta) & \text{Substitute.} \\ u &= ix'\cos\theta + jx'\sin\theta - iy'\sin\theta + jy'\cos\theta & \text{Distribute.} \\ u &= ix'\cos\theta - iy'\sin\theta + jx'\sin\theta + jy'\cos\theta & \text{Apply commutative property.} \\ u &= (x'\cos\theta - y'\sin\theta)i + (x'\sin\theta + y'\cos\theta)j & \text{Factor by grouping.} \end{split}$$

Because u = x'i' + y'j', we have representations of x and y in terms of the new coordinate system.

$$x = x' \cos \theta - y' \sin \theta$$
and
$$y = x' \sin \theta + y' \cos \theta$$

Equations of Rotation

If a point (x, y) on the Cartesian plane is represented on a new coordinate plane where the axes of rotation are formed by rotating an angle θ from the positive x-axis, then the coordinates of the point with respect to the new axes are (x', y'). We can use the following equations of rotation to define the relationship between (x, y) and (x', y'):

$$x = x' \cos \theta - y' \sin \theta \tag{10.10}$$

and

$$y = x' \sin \theta + y' \cos \theta \tag{10.11}$$



Given the equation of a conic, find a new representation after rotating through an angle.

- 1. Find *x* and *y* where $x = x' \cos \theta y' \sin \theta$ and $y = x' \sin \theta + y' \cos \theta$.
- 2. Substitute the expression for *x* and *y* into in the given equation, then simplify.
- **3**. Write the equations with x' and y' in standard form.

Example 10.21

Finding a New Representation of an Equation after Rotating through a Given Angle

Find a new representation of the equation $2x^2 - xy + 2y^2 - 30 = 0$ after rotating through an angle of $\theta = 45^\circ$.

Solution

Find *x* and *y*, where $x = x' \cos \theta - y' \sin \theta$ and $y = x' \sin \theta + y' \cos \theta$.

Because $\theta = 45^{\circ}$,

$$x = x' \cos(45^\circ) - y' \sin(45^\circ)$$

$$x = x' \left(\frac{1}{\sqrt{2}}\right) - y' \left(\frac{1}{\sqrt{2}}\right)$$

$$x = \frac{x' - y'}{\sqrt{2}}$$

and

$$y = x' \sin(45^\circ) + y' \cos(45^\circ)$$
$$y = x' \left(\frac{1}{\sqrt{2}}\right) + y' \left(\frac{1}{\sqrt{2}}\right)$$
$$y = \frac{x' + y'}{\sqrt{2}}$$

Substitute $x = x' \cos \theta - y' \sin \theta$ and $y = x' \sin \theta + y' \cos \theta$ into $2x^2 - xy + 2y^2 - 30 = 0$.

$$2\left(\frac{x'-y'}{\sqrt{2}}\right)^2 - \left(\frac{x'-y'}{\sqrt{2}}\right)\left(\frac{x'+y'}{\sqrt{2}}\right) + 2\left(\frac{x'+y'}{\sqrt{2}}\right)^2 - 30 = 0$$

Simplify.

$$Z\frac{(x'-y')(x'-y')}{Z} - \frac{(x'-y')(x'+y')}{2} + Z\frac{(x'+y')(x'+y')}{Z} - 30 = 0$$
 FOIL method
$$x'^{2} = 2x'y' + y'^{2} - \frac{(x'^{2}-y'^{2})}{2} + x'^{2} + 2x'y' + y'^{2} - 30 = 0$$
 Combine like terms.
$$2x'^{2} + 2y'^{2} - \frac{(x'^{2}-y'^{2})}{2} = 30$$
 Combine like terms.
$$2\left(2x'^{2} + 2y'^{2} - \frac{(x'^{2}-y'^{2})}{2}\right) = 2(30)$$
 Multiply both sides by 2.
$$4x'^{2} + 4y'^{2} - (x'^{2} - y'^{2}) = 60$$
 Simplify.
$$4x'^{2} + 4y'^{2} - x'^{2} + y'^{2} = 60$$
 Distribute.
$$\frac{3x'^{2}}{60} + \frac{5y'^{2}}{60} = \frac{60}{60}$$
 Set equal to 1.

Write the equations with x' and y' in the standard form.

$$\frac{{x'}^2}{20} + \frac{{y'}^2}{12} = 1$$

This equation is an ellipse. **Figure 10.45** shows the graph.

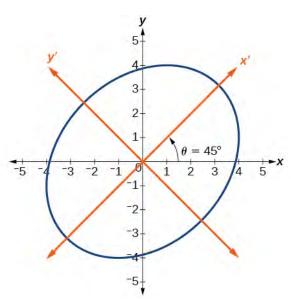


Figure 10.45

Writing Equations of Rotated Conics in Standard Form

Now that we can find the standard form of a conic when we are given an angle of rotation, we will learn how to transform the equation of a conic given in the form $Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$ into standard form by rotating the axes. To do so, we will rewrite the general form as an equation in the x' and y' coordinate system without the x'y' term, by rotating the axes by a measure of θ that satisfies

$$\cot(2\theta) = \frac{A - C}{B} \tag{10.12}$$

We have learned already that any conic may be represented by the second degree equation

$$Ax^{2} + Bxy + Cy^{2} + Dx + Ey + F = 0$$

where A, B, and C are not all zero. However, if $B \neq 0$, then we have an xy term that prevents us from rewriting the equation in standard form. To eliminate it, we can rotate the axes by an acute angle θ where $\cot(2\theta) = \frac{A-C}{B}$.

- If $\cot(2\theta) > 0$, then 2θ is in the first quadrant, and θ is between $(0^{\circ}, 45^{\circ})$.
- If $\cot(2\theta) < 0$, then 2θ is in the second quadrant, and θ is between $(45^{\circ}, 90^{\circ})$.
- If A = C, then $\theta = 45^{\circ}$.



Given an equation for a conic in the x'y' system, rewrite the equation without the x'y' term in terms of x' and y', where the x' and y' axes are rotations of the standard axes by θ degrees.

- 1. Find $\cot(2\theta)$.
- 2. Find $\sin \theta$ and $\cos \theta$.
- 3. Substitute $\sin \theta$ and $\cos \theta$ into $x = x' \cos \theta y' \sin \theta$ and $y = x' \sin \theta + y' \cos \theta$.
- 4. Substitute the expression for *x* and *y* into in the given equation, and then simplify.
- 5. Write the equations with x' and y' in the standard form with respect to the rotated axes.

Example 10.22

Rewriting an Equation with respect to the x' and y' axes without the x'y' Term

Rewrite the equation $8x^2 - 12xy + 17y^2 = 20$ in the x'y' system without an x'y' term.

Solution

First, we find $cot(2\theta)$. See **Figure 10.46**.

$$8x^{2} - 12xy + 17y^{2} = 20 \Rightarrow A = 8, B = -12 \text{ and } C = 17$$

$$\cot(2\theta) = \frac{A - C}{B} = \frac{8 - 17}{-12}$$

$$\cot(2\theta) = \frac{-9}{-12} = \frac{3}{4}$$

Figure 10.46

$$\cot(2\theta) = \frac{3}{4} = \frac{\text{adjacent}}{\text{opposite}}$$

So the hypotenuse is

$$32 + 42 = h2$$
$$9 + 16 = h2$$
$$25 = h2$$
$$h = 5$$

Next, we find $\sin \theta$ and $\cos \theta$.

$$\sin \theta = \sqrt{\frac{1 - \cos(2\theta)}{2}} = \sqrt{\frac{1 - \frac{3}{5}}{2}} = \sqrt{\frac{\frac{5}{5} - \frac{3}{5}}{2}} = \sqrt{\frac{5 - 3}{5} \cdot \frac{1}{2}} = \sqrt{\frac{2}{10}} = \sqrt{\frac{1}{5}}$$

$$\sin \theta = \frac{1}{\sqrt{5}}$$

$$\cos \theta = \sqrt{\frac{1 + \cos(2\theta)}{2}} = \sqrt{\frac{1 + \frac{3}{5}}{2}} = \sqrt{\frac{\frac{5}{5} + \frac{3}{5}}{2}} = \sqrt{\frac{5 + 3}{5} \cdot \frac{1}{2}} = \sqrt{\frac{8}{10}} = \sqrt{\frac{4}{5}}$$

$$\cos \theta = \frac{2}{\sqrt{5}}$$

Substitute the values of $\sin \theta$ and $\cos \theta$ into $x = x' \cos \theta - y' \sin \theta$ and $y = x' \sin \theta + y' \cos \theta$.

$$x = x' \cos \theta - y' \sin \theta$$
$$x = x' \left(\frac{2}{\sqrt{5}}\right) - y' \left(\frac{1}{\sqrt{5}}\right)$$
$$x = \frac{2x' - y'}{\sqrt{5}}$$

and

$$y = x' \sin \theta + y' \cos \theta$$
$$y = x' \left(\frac{1}{\sqrt{5}}\right) + y' \left(\frac{2}{\sqrt{5}}\right)$$
$$y = \frac{x' + 2y'}{\sqrt{5}}$$

Substitute the expressions for x and y into in the given equation, and then simplify.

$$8\left(\frac{2x'-y'}{\sqrt{5}}\right)^2 - 12\left(\frac{2x'-y'}{\sqrt{5}}\right)\left(\frac{x'+2y'}{\sqrt{5}}\right) + 17\left(\frac{x'+2y'}{\sqrt{5}}\right)^2 = 20$$

$$8\left(\frac{(2x'-y')(2x'-y')}{5}\right) - 12\left(\frac{(2x'-y')(x'+2y')}{5}\right) + 17\left(\frac{(x'+2y')(x'+2y')}{5}\right) = 20$$

$$8\left(4x'^2 - 4x'y' + y'^2\right) - 12\left(2x'^2 + 3x'y' - 2y'^2\right) + 17\left(x'^2 + 4x'y' + 4y'^2\right) = 100$$

$$32x'^2 - 32x'y' + 8y'^2 - 24x'^2 - 36x'y' + 24y'^2 + 17x'^2 + 68x'y' + 68y'^2 = 100$$

$$25x'^2 + 100y'^2 = 100$$

$$\frac{25}{100}x'^2 + \frac{100}{100}y'^2 = \frac{100}{100}$$

Write the equations with x' and y' in the standard form with respect to the new coordinate system.

$$\frac{{x'}^2}{4} + \frac{{y'}^2}{1} = 1$$

Figure 10.47 shows the graph of the ellipse.

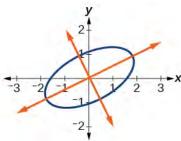


Figure 10.47



10.21 Rewrite the $13x^2 - 6\sqrt{3}xy + 7y^2 = 16$ in the x'y' system without the x'y' term.

Example 10.23

Graphing an Equation That Has No x'y' Terms

Graph the following equation relative to the x'y' system:

$$x^2 + 12xy - 4y^2 = 30$$

Solution

First, we find $\cot(2\theta)$.

$$x^{2} + 12xy - 4y^{2} = 20 \Rightarrow A = 1, B = 12, \text{ and } C = -4$$

$$\cot(2\theta) = \frac{A - C}{B}$$

$$\cot(2\theta) = \frac{1 - (-4)}{12}$$

$$\cot(2\theta) = \frac{5}{12}$$

Because $cot(2\theta) = \frac{5}{12}$, we can draw a reference triangle as in **Figure 10.48**.

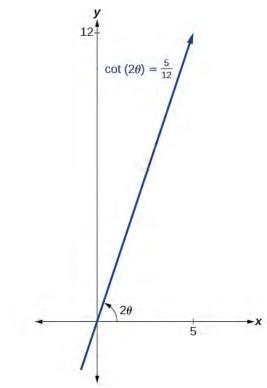


Figure 10.48

$$\cot(2\theta) = \frac{5}{12} = \frac{\text{adjacent}}{\text{opposite}}$$

Thus, the hypotenuse is

$$5^{2} + 12^{2} = h^{2}$$

$$25 + 144 = h^{2}$$

$$169 = h^{2}$$

$$h = 13$$

Next, we find $\sin \theta$ and $\cos \theta$. We will use half-angle identities.

$$\sin \theta = \sqrt{\frac{1 - \cos(2\theta)}{2}} = \sqrt{\frac{1 - \frac{5}{13}}{2}} = \sqrt{\frac{\frac{13}{13} - \frac{5}{13}}{2}} = \sqrt{\frac{8}{13} \cdot \frac{1}{2}} = \frac{2}{\sqrt{13}}$$

$$\cos \theta = \sqrt{\frac{1 + \cos(2\theta)}{2}} = \sqrt{\frac{1 + \frac{5}{13}}{2}} = \sqrt{\frac{\frac{13}{13} + \frac{5}{13}}{2}} = \sqrt{\frac{18}{13} \cdot \frac{1}{2}} = \frac{3}{\sqrt{13}}$$

Now we find x and y.

$$x = x' \cos \theta - y' \sin \theta$$
$$x = x' \left(\frac{3}{\sqrt{13}}\right) - y' \left(\frac{2}{\sqrt{13}}\right)$$
$$x = \frac{3x' - 2y'}{\sqrt{13}}$$

and

$$y = x' \sin \theta + y' \cos \theta$$
$$y = x' \left(\frac{2}{\sqrt{13}}\right) + y' \left(\frac{3}{\sqrt{13}}\right)$$
$$y = \frac{2x' + 3y'}{\sqrt{13}}$$

Now we substitute $x = \frac{3x' - 2y'}{\sqrt{13}}$ and $y = \frac{2x' + 3y'}{\sqrt{13}}$ into $x^2 + 12xy - 4y^2 = 30$.

$$\left(\frac{3x'-2y'}{\sqrt{13}}\right)^2 + 12\left(\frac{3x'-2y'}{\sqrt{13}}\right)\left(\frac{2x'+3y'}{\sqrt{13}}\right) - 4\left(\frac{2x'+3y'}{\sqrt{13}}\right)^2 = 30$$

$$\left(\frac{1}{13}\right)\left[(3x'-2y')^2 + 12(3x'-2y')(2x'+3y') - 4(2x'+3y')^2\right] = 30$$
 Factor.
$$\left(\frac{1}{13}\right)\left[9x'^2 - 12x'y' + 4y'^2 + 12\left(6x'^2 + 5x'y' - 6y'^2\right) - 4\left(4x'^2 + 12x'y' + 9y'^2\right)\right] = 30$$
 Multiply.
$$\left(\frac{1}{13}\right)\left[9x'^2 - 12x'y' + 4y'^2 + 72x'^2 + 60x'y' - 72y'^2 - 16x'^2 - 48x'y' - 36y'^2\right] = 30$$
 Distribute.
$$\left(\frac{1}{13}\right)\left[65x'^2 - 104y'^2\right] = 30$$
 Combine like terms.
$$65x'^2 - 104y'^2 = 390$$
 Multiply.
$$\frac{x'^2}{6} - \frac{4y'^2}{15} = 1$$
 Divide by 390.

Figure 10.49 shows the graph of the hyperbola $\frac{{x'}^2}{6} - \frac{4{y'}^2}{15} = 1$.

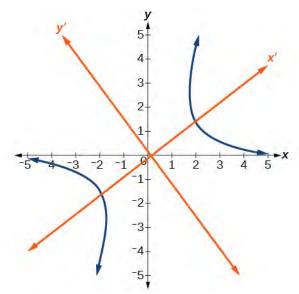


Figure 10.49

Identifying Conics without Rotating Axes

Now we have come full circle. How do we identify the type of conic described by an equation? What happens when the axes are rotated? Recall, the general form of a conic is

$$Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$$

If we apply the rotation formulas to this equation we get the form

$$A'x'^2 + B'x'y' + C'y'^2 + D'x' + E'y' + F' = 0$$

It may be shown that $B^2 - 4AC = B'^2 - 4A'C'$. The expression does not vary after rotation, so we call the expression invariant. The discriminant, $B^2 - 4AC$, is invariant and remains unchanged after rotation. Because the discriminant remains unchanged, observing the discriminant enables us to identify the conic section.

Using the Discriminant to Identify a Conic

If the equation $Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$ is transformed by rotating axes into the equation $A'x'^2 + B'x'y' + C'y'^2 + D'x' + E'y' + F' = 0$, then $B^2 - 4AC = B'^2 - 4A'C'$.

The equation $Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$ is an ellipse, a parabola, or a hyperbola, or a degenerate case of one of these.

If the discriminant, $B^2 - 4AC$, is

- < 0, the conic section is an ellipse
- = 0, the conic section is a parabola
- > 0, the conic section is a hyperbola

Example 10.24

Identifying the Conic without Rotating Axes

Identify the conic for each of the following without rotating axes.

a.
$$5x^2 + 2\sqrt{3}xy + 2y^2 - 5 = 0$$

b.
$$5x^2 + 2\sqrt{3}xy + 12y^2 - 5 = 0$$

Solution

a. Let's begin by determining A, B, and C.

$$5x^{2} + 2\sqrt{3}xy + 2y^{2} - 5 = 0$$
A
B
C

Now, we find the discriminant.

$$B^{2} - 4AC = (2\sqrt{3})^{2} - 4(5)(2)$$

$$= 4(3) - 40$$

$$= 12 - 40$$

$$= -28 < 0$$

Therefore, $5x^2 + 2\sqrt{3}xy + 2y^2 - 5 = 0$ represents an ellipse.

b. Again, let's begin by determining A, B, and C.

$$5x^{2} + 2\sqrt{3}xy + 12y^{2} - 5 = 0$$
A
B
C

Now, we find the discriminant.

$$B^{2} - 4AC = (2\sqrt{3})^{2} - 4(5)(12)$$

$$= 4(3) - 240$$

$$= 12 - 240$$

$$= -228 < 0$$

Therefore, $5x^2 + 2\sqrt{3}xy + 12y^2 - 5 = 0$ represents an ellipse.



10.22 Identify the conic for each of the following without rotating axes.

a.
$$x^2 - 9xy + 3y^2 - 12 = 0$$

b.
$$10x^2 - 9xy + 4y^2 - 4 = 0$$



Access this online resource for additional instruction and practice with conic sections and rotation of axes.

• Introduction to Conic Sections (http://openstaxcollege.org/l/introconic)

10.4 EXERCISES

Verbal

209. What effect does the *xy* term have on the graph of a conic section?

210. If the equation of a conic section is written in the form $Ax^2 + By^2 + Cx + Dy + E = 0$ and AB = 0, what can we conclude?

211. If the equation of a conic section is written in the form $Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$, and $B^2 - 4AC > 0$, what can we conclude?

2 2

212. Given the equation $ax^2 + 4x + 3y^2 - 12 = 0$, what can we conclude if a > 0?

213. For the equation $Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$, the value of θ that satisfies $\cot(2\theta) = \frac{A - C}{B}$ gives us what information?

Algebraic

For the following exercises, determine which conic section is represented based on the given equation.

214.
$$9x^2 + 4y^2 + 72x + 36y - 500 = 0$$

$$215. \quad x^2 - 10x + 4y - 10 = 0$$

216.
$$2x^2 - 2y^2 + 4x - 6y - 2 = 0$$

217.
$$4x^2 - y^2 + 8x - 1 = 0$$

$$218. \ 4y^2 - 5x + 9y + 1 = 0$$

219.
$$2x^2 + 3y^2 - 8x - 12y + 2 = 0$$

220.
$$4x^2 + 9xy + 4y^2 - 36y - 125 = 0$$

221.
$$3x^2 + 6xy + 3y^2 - 36y - 125 = 0$$

$$222. \quad -3x^2 + 3\sqrt{3}xy - 4y^2 + 9 = 0$$

223.
$$2x^2 + 4\sqrt{3}xy + 6y^2 - 6x - 3 = 0$$

$$224. \quad -x^2 + 4\sqrt{2}xy + 2y^2 - 2y + 1 = 0$$

225.
$$8x^2 + 4\sqrt{2}xy + 4y^2 - 10x + 1 = 0$$

For the following exercises, find a new representation of the given equation after rotating through the given angle.

226.
$$3x^2 + xy + 3y^2 - 5 = 0$$
, $\theta = 45^\circ$

227.
$$4x^2 - xy + 4y^2 - 2 = 0$$
, $\theta = 45^\circ$

228.
$$2x^2 + 8xy - 1 = 0$$
, $\theta = 30^\circ$

229.
$$-2x^2 + 8xy + 1 = 0, \theta = 45^\circ$$

230.
$$4x^2 + \sqrt{2}xy + 4y^2 + y + 2 = 0$$
, $\theta = 45^\circ$

For the following exercises, determine the angle θ that will eliminate the xy term and write the corresponding equation without the xy term.

231.
$$x^2 + 3\sqrt{3}xy + 4y^2 + y - 2 = 0$$

232.
$$4x^2 + 2\sqrt{3}xy + 6y^2 + y - 2 = 0$$

233.
$$9x^2 - 3\sqrt{3}xy + 6y^2 + 4y - 3 = 0$$

234.
$$-3x^2 - \sqrt{3}xy - 2y^2 - x = 0$$

235.
$$16x^2 + 24xy + 9y^2 + 6x - 6y + 2 = 0$$

236.
$$x^2 + 4xy + 4y^2 + 3x - 2 = 0$$

237.
$$x^2 + 4xy + y^2 - 2x + 1 = 0$$

238.
$$4x^2 - 2\sqrt{3}xy + 6y^2 - 1 = 0$$

Graphical

For the following exercises, rotate through the given angle based on the given equation. Give the new equation and graph the original and rotated equation.

239.
$$y = -x^2$$
, $\theta = -45^\circ$

240.
$$x = v^2$$
, $\theta = 45^\circ$

241.
$$\frac{x^2}{4} + \frac{y^2}{1} = 1$$
, $\theta = 45^\circ$

242.
$$\frac{y^2}{16} + \frac{x^2}{9} = 1$$
, $\theta = 45^\circ$

243.

$$v^2 - x^2 = 1$$
, $\theta = 45^\circ$

244.
$$y = \frac{x^2}{2}$$
, $\theta = 30^\circ$

245.
$$x = (y - 1)^2$$
, $\theta = 30^\circ$

246.
$$\frac{x^2}{9} + \frac{y^2}{4} = 1$$
, $\theta = 30^\circ$

For the following exercises, graph the equation relative to the x'y' system in which the equation has no x'y' term.

247.
$$xy = 9$$

248.
$$x^2 + 10xy + y^2 - 6 = 0$$

249.
$$x^2 - 10xy + y^2 - 24 = 0$$

250.
$$4x^2 - 3\sqrt{3}xy + y^2 - 22 = 0$$

251.
$$6x^2 + 2\sqrt{3}xy + 4y^2 - 21 = 0$$

252.
$$11x^2 + 10\sqrt{3}xy + y^2 - 64 = 0$$

253.
$$21x^2 + 2\sqrt{3}xy + 19y^2 - 18 = 0$$

254.
$$16x^2 + 24xy + 9y^2 - 130x + 90y = 0$$

255.
$$16x^2 + 24xy + 9y^2 - 60x + 80y = 0$$

256.
$$13x^2 - 6\sqrt{3}xy + 7y^2 - 16 = 0$$

257.
$$4x^2 - 4xy + y^2 - 8\sqrt{5}x - 16\sqrt{5}y = 0$$

For the following exercises, determine the angle of rotation in order to eliminate the xy term. Then graph the new set of axes.

258.
$$6x^2 - 5\sqrt{3}xy + y^2 + 10x - 12y = 0$$

259.
$$6x^2 - 5xy + 6y^2 + 20x - y = 0$$

260.
$$6x^2 - 8\sqrt{3}xy + 14y^2 + 10x - 3y = 0$$

261.
$$4x^2 + 6\sqrt{3}xy + 10y^2 + 20x - 40y = 0$$

262.
$$8x^2 + 3xy + 4y^2 + 2x - 4 = 0$$

263.
$$16x^2 + 24xy + 9y^2 + 20x - 44y = 0$$

For the following exercises, determine the value of k based on the given equation.

264. Given $4x^2 + kxy + 16y^2 + 8x + 24y - 48 = 0$, find *k* for the graph to be a parabola.

265. Given $2x^2 + kxy + 12y^2 + 10x - 16y + 28 = 0$, find *k* for the graph to be an ellipse.

266. Given $3x^2 + kxy + 4y^2 - 6x + 20y + 128 = 0$, find *k* for the graph to be a hyperbola.

267. Given $kx^2 + 8xy + 8y^2 - 12x + 16y + 18 = 0$, find *k* for the graph to be a parabola.

268. Given $6x^2 + 12xy + ky^2 + 16x + 10y + 4 = 0$, find *k* for the graph to be an ellipse.

10.5 | Conic Sections in Polar Coordinates

Learning Objectives

In this section, you will:

- 10.5.1 Identify a conic in polar form.
- 10.5.2 Graph the polar equations of conics.
- 10.5.3 Define conics in terms of a focus and a directrix.

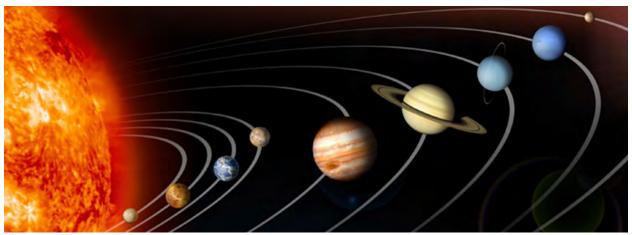


Figure 10.50 Planets orbiting the sun follow elliptical paths. (credit: NASA Blueshift, Flickr)

Most of us are familiar with orbital motion, such as the motion of a planet around the sun or an electron around an atomic nucleus. Within the planetary system, orbits of planets, asteroids, and comets around a larger celestial body are often elliptical. Comets, however, may take on a parabolic or hyperbolic orbit instead. And, in reality, the characteristics of the planets' orbits may vary over time. Each orbit is tied to the location of the celestial body being orbited and the distance and direction of the planet or other object from that body. As a result, we tend to use polar coordinates to represent these orbits.

In an elliptical orbit, the periapsis is the point at which the two objects are closest, and the apoapsis is the point at which they are farthest apart. Generally, the velocity of the orbiting body tends to increase as it approaches the periapsis and decrease as it approaches the apoapsis. Some objects reach an escape velocity, which results in an infinite orbit. These bodies exhibit either a parabolic or a hyperbolic orbit about a body; the orbiting body breaks free of the celestial body's gravitational pull and fires off into space. Each of these orbits can be modeled by a conic section in the polar coordinate system.

Identifying a Conic in Polar Form

Any conic may be determined by three characteristics: a single focus, a fixed line called the directrix, and the ratio of the distances of each to a point on the graph. Consider the parabola $x = 2 + y^2$ shown in **Figure 10.51**.

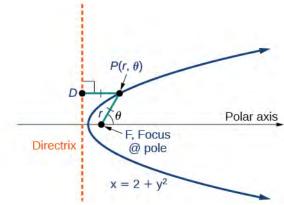


Figure 10.51

In **The Parabola**, we learned how a parabola is defined by the focus (a fixed point) and the directrix (a fixed line). In this section, we will learn how to define any conic in the polar coordinate system in terms of a fixed point, the focus $P(r, \theta)$ at the pole, and a line, the directrix, which is perpendicular to the polar axis.

If F is a fixed point, the focus, and D is a fixed line, the directrix, then we can let e be a fixed positive number, called the **eccentricity**, which we can define as the ratio of the distances from a point on the graph to the focus and the point on the graph to the directrix. Then the set of all points P such that $e = \frac{PF}{PD}$ is a conic. In other words, we can define a conic as the set of all points P with the property that the ratio of the distance from P to P to the distance from P to P to the constant P to P to the constant P to P to the distance from P to P to

For a conic with eccentricity e,

- if $0 \le e < 1$, the conic is an ellipse
- if e = 1, the conic is a parabola
- if e > 1, the conic is an hyperbola

With this definition, we may now define a conic in terms of the directrix, $x = \pm p$, the eccentricity e, and the angle θ . Thus, each conic may be written as a **polar equation**, an equation written in terms of r and θ .

The Polar Equation for a Conic

For a conic with a focus at the origin, if the directrix is $x = \pm p$, where p is a positive real number, and the **eccentricity** is a positive real number e, the conic has a **polar equation**

$$r = \frac{ep}{1 \pm e \cos \theta}$$

For a conic with a focus at the origin, if the directrix is $y = \pm p$, where p is a positive real number, and the eccentricity is a positive real number e, the conic has a polar equation

$$r = \frac{ep}{1 \pm e \sin \theta}$$



Given the polar equation for a conic, identify the type of conic, the directrix, and the eccentricity.

- 1. Multiply the numerator and denominator by the reciprocal of the constant in the denominator to rewrite the equation in standard form.
- 2. Identify the eccentricity e as the coefficient of the trigonometric function in the denominator.
- 3. Compare e with 1 to determine the shape of the conic.
- 4. Determine the directrix as x = p if cosine is in the denominator and y = p if sine is in the denominator. Set ep equal to the numerator in standard form to solve for x or y.

Example 10.25

Identifying a Conic Given the Polar Form

For each of the following equations, identify the conic with focus at the origin, the directrix, and the eccentricity.

a.
$$r = \frac{6}{3 + 2\sin\theta}$$

$$b. \quad r = \frac{12}{4 + 5\cos\theta}$$

$$c. \quad r = \frac{7}{2 - 2\sin\theta}$$

Solution

For each of the three conics, we will rewrite the equation in standard form. Standard form has a 1 as the constant in the denominator. Therefore, in all three parts, the first step will be to multiply the numerator and denominator by the reciprocal of the constant of the original equation, $\frac{1}{c}$, where c is that constant.

a. Multiply the numerator and denominator by $\frac{1}{3}$.

$$r = \frac{6}{3 + 2\sin\theta} \cdot \frac{\left(\frac{1}{3}\right)}{\left(\frac{1}{3}\right)} = \frac{6\left(\frac{1}{3}\right)}{3\left(\frac{1}{3}\right) + 2\left(\frac{1}{3}\right)\sin\theta} = \frac{2}{1 + \frac{2}{3}\sin\theta}$$

Because $\sin \theta$ is in the denominator, the directrix is y = p. Comparing to standard form, note that $e = \frac{2}{3}$. Therefore, from the numerator,

$$2 = ep$$

$$2 = \frac{2}{3}p$$

$$\left(\frac{3}{2}\right)2 = \left(\frac{3}{2}\right)\frac{2}{3}p$$

$$3 = p$$

Since e < 1, the conic is an ellipse. The eccentricity is $e = \frac{2}{3}$ and the directrix is y = 3.

b. Multiply the numerator and denominator by $\frac{1}{4}$.

$$r = \frac{12}{4 + 5\cos\theta} \cdot \frac{\left(\frac{1}{4}\right)}{\left(\frac{1}{4}\right)}$$
$$r = \frac{12\left(\frac{1}{4}\right)}{4\left(\frac{1}{4}\right) + 5\left(\frac{1}{4}\right)\cos\theta}$$
$$r = \frac{3}{1 + \frac{5}{4}\cos\theta}$$

Because $\cos \theta$ is in the denominator, the directrix is x = p. Comparing to standard form, $e = \frac{5}{4}$. Therefore, from the numerator,

$$3 = ep$$

$$3 = \frac{5}{4}p$$

$$\left(\frac{4}{5}\right)3 = \left(\frac{4}{5}\right)\frac{5}{4}p$$

$$\frac{12}{5} = p$$

Since e > 1, the conic is a hyperbola. The eccentricity is $e = \frac{5}{4}$ and the directrix is $x = \frac{12}{5} = 2.4$.

c. Multiply the numerator and denominator by $\frac{1}{2}$.

$$r = \frac{7}{2 - 2\sin\theta} \cdot \frac{\left(\frac{1}{2}\right)}{\left(\frac{1}{2}\right)}$$
$$r = \frac{7\left(\frac{1}{2}\right)}{2\left(\frac{1}{2}\right) - 2\left(\frac{1}{2}\right)\sin\theta}$$
$$r = \frac{\frac{7}{2}}{1 - \sin\theta}$$

Because sine is in the denominator, the directrix is y = -p. Comparing to standard form, e = 1. Therefore, from the numerator,

$$\frac{7}{2} = ep$$

$$\frac{7}{2} = (1)p$$

$$\frac{7}{2} = p$$

Because e = 1, the conic is a parabola. The eccentricity is e = 1 and the directrix is $y = -\frac{7}{2} = -3.5$.



10.23 Identify the conic with focus at the origin, the directrix, and the eccentricity for $r = \frac{2}{3 - \cos \theta}$.

Graphing the Polar Equations of Conics

When graphing in Cartesian coordinates, each conic section has a unique equation. This is not the case when graphing in polar coordinates. We must use the eccentricity of a conic section to determine which type of curve to graph, and then

determine its specific characteristics. The first step is to rewrite the conic in standard form as we have done in the previous example. In other words, we need to rewrite the equation so that the denominator begins with 1. This enables us to determine e and, therefore, the shape of the curve. The next step is to substitute values for θ and solve for r to plot a few key points. Setting θ equal to 0, $\frac{\pi}{2}$, π , and $\frac{3\pi}{2}$ provides the vertices so we can create a rough sketch of the graph.

Example 10.26

Graphing a Parabola in Polar Form

Graph
$$r = \frac{5}{3 + 3\cos\theta}$$
.

Solution

First, we rewrite the conic in standard form by multiplying the numerator and denominator by the reciprocal of 3, which is $\frac{1}{3}$.

$$r = \frac{5}{3+3\cos\theta} = \frac{5\left(\frac{1}{3}\right)}{3\left(\frac{1}{3}\right)+3\left(\frac{1}{3}\right)\cos\theta}$$
$$r = \frac{\frac{5}{3}}{1+\cos\theta}$$

Because e = 1, we will graph a parabola with a focus at the origin. The function has a $\cos \theta$, and there is an addition sign in the denominator, so the directrix is x = p.

$$\frac{5}{3} = ep$$

$$\frac{5}{3} = (1)p$$

$$\frac{5}{3} = p$$

The directrix is $x = \frac{5}{3}$.

Plotting a few key points as in **Table 10.5** will enable us to see the vertices. See **Figure 10.52**.

	A	В	С	D
θ	0	$\frac{\pi}{2}$	π	$\frac{3\pi}{2}$
$r = \frac{5}{3 + 3\cos\theta}$	$\frac{5}{6} \approx 0.83$	$\frac{5}{3} \approx 1.67$	undefined	$\frac{5}{3} \approx 1.67$

Table 10.5

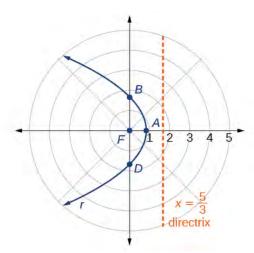


Figure 10.52

Analysis

We can check our result with a graphing utility. See **Figure 10.53**.

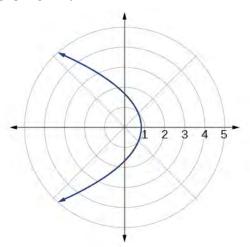


Figure 10.53

Example 10.27

Graphing a Hyperbola in Polar Form

Graph
$$r = \frac{8}{2 - 3\sin\theta}$$
.

Solution

First, we rewrite the conic in standard form by multiplying the numerator and denominator by the reciprocal of 2, which is $\frac{1}{2}$.

$$r = \frac{8}{2 - 3\sin\theta} = \frac{8(\frac{1}{2})}{2(\frac{1}{2}) - 3(\frac{1}{2})\sin\theta}$$
$$r = \frac{4}{1 - \frac{3}{2}\sin\theta}$$

Because $e = \frac{3}{2}$, e > 1, so we will graph a hyperbola with a focus at the origin. The function has a $\sin \theta$ term and there is a subtraction sign in the denominator, so the directrix is y = -p.

$$4 = ep$$

$$4 = \left(\frac{3}{2}\right)p$$

$$4\left(\frac{2}{3}\right) = p$$

$$\frac{8}{3} = p$$

The directrix is $y = -\frac{8}{3}$.

Plotting a few key points as in Table 10.6 will enable us to see the vertices. See Figure 10.54.

	A	В	С	D
θ	0	<u>π</u> 2	π	$\frac{3\pi}{2}$
$r = \frac{8}{2 - 3\sin\theta}$	4	-8	4	$\frac{8}{5} = 1.6$

Table 10.6

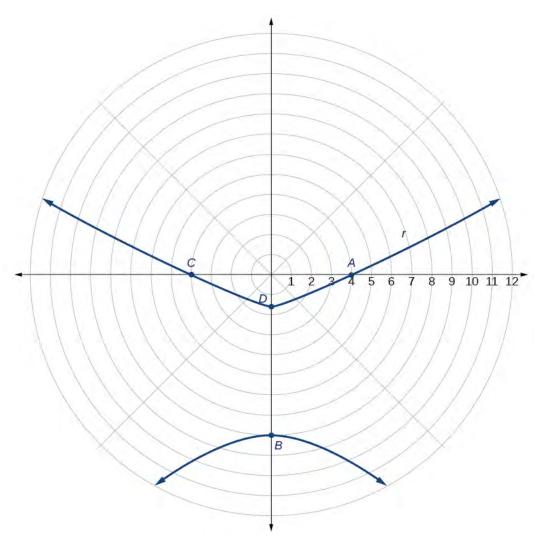


Figure 10.54

Example 10.28

Graphing an Ellipse in Polar Form

Graph
$$r = \frac{10}{5 - 4\cos\theta}$$
.

Solution

First, we rewrite the conic in standard form by multiplying the numerator and denominator by the reciprocal of 5, which is $\frac{1}{5}$.

$$r = \frac{10}{5 - 4\cos\theta} = \frac{10(\frac{1}{5})}{5(\frac{1}{5}) - 4(\frac{1}{5})\cos\theta}$$
$$r = \frac{2}{1 - \frac{4}{5}\cos\theta}$$

Because $e = \frac{4}{5}$, e < 1, so we will graph an ellipse with a focus at the origin. The function has a $\cos \theta$, and there is a subtraction sign in the denominator, so the directrix is x = -p.

$$2 = ep$$

$$2 = \left(\frac{4}{5}\right)p$$

$$2\left(\frac{5}{4}\right) = p$$

$$\frac{5}{2} = p$$

The directrix is $x = -\frac{5}{2}$.

Plotting a few key points as in **Table 10.7** will enable us to see the vertices. See **Figure 10.55**.

	A	В	С	D
θ	0	$\frac{\pi}{2}$	π	$\frac{3\pi}{2}$
$r = \frac{10}{5 - 4\cos\theta}$	10	2	$\frac{10}{9} \approx 1.1$	2

Table 10.7

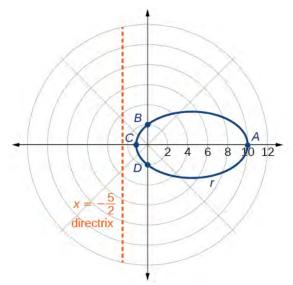


Figure 10.55

Analysis

We can check our result using a graphing utility. See **Figure 10.56**.

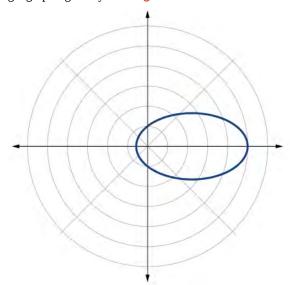


Figure 10.56 $r = \frac{10}{5 - 4 \cos \theta}$ graphed on a viewing window of [-3, 12, 1] by [-4, 4, 1], $\theta \min = 0$ and $\theta \max = 2\pi$.



10.24 Graph $r = \frac{2}{4 - \cos \theta}$.

Defining Conics in Terms of a Focus and a Directrix

So far we have been using polar equations of conics to describe and graph the curve. Now we will work in reverse; we will use information about the origin, eccentricity, and directrix to determine the polar equation.



Given the focus, eccentricity, and directrix of a conic, determine the polar equation.

- 1. Determine whether the directrix is horizontal or vertical. If the directrix is given in terms of y, we use the general polar form in terms of sine. If the directrix is given in terms of x, we use the general polar form in terms of cosine.
- 2. Determine the sign in the denominator. If p < 0, use subtraction. If p > 0, use addition.
- 3. Write the coefficient of the trigonometric function as the given eccentricity.
- 4. Write the absolute value of p in the numerator, and simplify the equation.

Example 10.29

Finding the Polar Form of a Vertical Conic Given a Focus at the Origin and the Eccentricity and Directrix

Find the polar form of the conic given a focus at the origin, e = 3 and directrix y = -2.

Solution

The directrix is y = -p, so we know the trigonometric function in the denominator is sine.

Because y = -2, -2 < 0, so we know there is a subtraction sign in the denominator. We use the standard form of

$$r = \frac{ep}{1 - e\sin\theta}$$

and e = 3 and |-2| = 2 = p.

Therefore,

$$r = \frac{(3)(2)}{1 - 3\sin\theta}$$
$$r = \frac{6}{1 - 3\sin\theta}$$

Example 10.30

Finding the Polar Form of a Horizontal Conic Given a Focus at the Origin and the Eccentricity and Directrix

Find the polar form of a conic given a focus at the origin, $e = \frac{3}{5}$, and directrix x = 4.

Solution

Because the directrix is x = p, we know the function in the denominator is cosine. Because x = 4, 4 > 0, so we know there is an addition sign in the denominator. We use the standard form of

$$r = \frac{ep}{1 + e \cos \theta}$$

and $e = \frac{3}{5}$ and |4| = 4 = p.

Therefore,

$$r = \frac{\left(\frac{3}{5}\right)(4)}{1 + \frac{3}{5}\cos\theta}$$

$$r = \frac{\frac{12}{5}}{1 + \frac{3}{5}\cos\theta}$$

$$r = \frac{\frac{12}{5}}{1\left(\frac{5}{5}\right) + \frac{3}{5}\cos\theta}$$

$$r = \frac{\frac{12}{5}}{\frac{5}{5} + \frac{3}{5}\cos\theta}$$

$$r = \frac{12}{5} \cdot \frac{5}{5 + 3\cos\theta}$$

$$r = \frac{12}{5 + 3\cos\theta}$$



10.25 Find the polar form of the conic given a focus at the origin, e = 1, and directrix x = -1.

Example 10.31

Converting a Conic in Polar Form to Rectangular Form

Convert the conic $r = \frac{1}{5 - 5\sin\theta}$ to rectangular form.

Solution

We will rearrange the formula to use the identities $r = \sqrt{x^2 + y^2}$, $x = r \cos \theta$, and $y = r \sin \theta$.

$$r = \frac{1}{5 - 5\sin\theta}$$

$$r \cdot (5 - 5\sin\theta) = \frac{1}{5 - 5\sin\theta} \cdot (5 - 5\sin\theta)$$
 Eliminate the fraction.
$$5r - 5r\sin\theta = 1$$
 Distribute.
$$5r = 1 + 5r\sin\theta$$
 Isolate $5r$.
$$25r^2 = (1 + 5r\sin\theta)^2$$
 Square both sides.
$$25(x^2 + y^2) = (1 + 5y)^2$$
 Substitute $r = \sqrt{x^2 + y^2}$ and $y = r\sin\theta$.
$$25x^2 + 25y^2 = 1 + 10y + 25y^2$$
 Distribute and use FOIL.
$$25x^2 - 10y = 1$$
 Rearrange terms and set equal to 1.



10.26 Convert the conic $r = \frac{2}{1 + 2\cos\theta}$ to rectangular form.



Access these online resources for additional instruction and practice with conics in polar coordinates.

- Polar Equations of Conic Sections (http://openstaxcollege.org/l/determineconic)
- Graphing Polar Equations of Conics 1 (http://openstaxcollege.org/l/graphconic1)
- Graphing Polar Equations of Conics 2 (http://openstaxcollege.org/l/graphconic2)

Visit **this website (http://openstaxcollege.org/l/PreCalcLPC10)** for additional practice questions from Learningpod.

10.5 EXERCISES

Verbal

269. Explain how eccentricity determines which conic section is given.

270. If a conic section is written as a polar equation, what must be true of the denominator?

271. If a conic section is written as a polar equation, and the denominator involves $\sin \theta$, what conclusion can be drawn about the directrix?

272. If the directrix of a conic section is perpendicular to the polar axis, what do we know about the equation of the graph?

273. What do we know about the focus/foci of a conic section if it is written as a polar equation?

Algebraic

For the following exercises, identify the conic with a focus at the origin, and then give the directrix and eccentricity.

274.
$$r = \frac{6}{1 - 2\cos\theta}$$

275.
$$r = \frac{3}{4 - 4 \sin \theta}$$

276.
$$r = \frac{8}{4 - 3\cos\theta}$$

277.
$$r = \frac{5}{1 + 2\sin\theta}$$

278.
$$r = \frac{16}{4 + 3\cos\theta}$$

279.
$$r = \frac{3}{10 + 10\cos\theta}$$

280.
$$r = \frac{2}{1 - \cos \theta}$$

281.
$$r = \frac{4}{7 + 2\cos\theta}$$

282.
$$r(1 - \cos \theta) = 3$$

283.
$$r(3 + 5\sin \theta) = 11$$

284.
$$r(4 - 5\sin \theta) = 1$$

285.
$$r(7 + 8\cos\theta) = 7$$

For the following exercises, convert the polar equation of a conic section to a rectangular equation.

286.
$$r = \frac{4}{1 + 3 \sin \theta}$$

287.
$$r = \frac{2}{5 - 3\sin\theta}$$

288.
$$r = \frac{8}{3 - 2\cos\theta}$$

289.
$$r = \frac{3}{2 + 5\cos\theta}$$

290.
$$r = \frac{4}{2 + 2\sin\theta}$$

291.
$$r = \frac{3}{8 - 8\cos\theta}$$

292.
$$r = \frac{2}{6 + 7\cos\theta}$$

293.
$$r = \frac{5}{5 - 11 \sin \theta}$$

294.
$$r(5 + 2\cos\theta) = 6$$

295.
$$r(2 - \cos \theta) = 1$$

296.
$$r(2.5 - 2.5 \sin \theta) = 5$$

297.
$$r = \frac{6\sec\theta}{-2 + 3\sec\theta}$$

$$298. \quad r = \frac{6\csc\theta}{3 + 2\csc\theta}$$

For the following exercises, graph the given conic section. If it is a parabola, label the vertex, focus, and directrix. If it is an ellipse, label the vertices and foci. If it is a hyperbola, label the vertices and foci.

299.
$$r = \frac{5}{2 + \cos \theta}$$

300.
$$r = \frac{2}{3 + 3\sin\theta}$$

301.
$$r = \frac{10}{5 - 4\sin\theta}$$

302.
$$r = \frac{3}{1 + 2\cos\theta}$$

303.
$$r = \frac{8}{4 - 5\cos\theta}$$

304.
$$r = \frac{3}{4 - 4\cos\theta}$$

$$305. \quad r = \frac{2}{1 - \sin \theta}$$

306.
$$r = \frac{6}{3 + 2\sin\theta}$$

307.
$$r(1 + \cos \theta) = 5$$

308.
$$r(3 - 4\sin \theta) = 9$$

309.
$$r(3 - 2\sin \theta) = 6$$

310.
$$r(6 - 4\cos\theta) = 5$$

For the following exercises, find the polar equation of the conic with focus at the origin and the given eccentricity and directrix.

311. Directrix:
$$x = 4$$
; $e = \frac{1}{5}$

312. Directrix:
$$x = -4$$
; $e = 5$

313. Directrix:
$$y = 2$$
; $e = 2$

314. Directrix:
$$y = -2$$
; $e = \frac{1}{2}$

315. Directrix:
$$x = 1$$
; $e = 1$

316. Directrix:
$$x = -1$$
; $e = 1$

317. Directrix:
$$x = -\frac{1}{4}$$
; $e = \frac{7}{2}$

318. Directrix:
$$y = \frac{2}{5}$$
; $e = \frac{7}{2}$

319. Directrix:
$$y = 4$$
; $e = \frac{3}{2}$

320. Directrix:
$$x = -2$$
; $e = \frac{8}{3}$

321. Directrix:
$$x = -5$$
; $e = \frac{3}{4}$

322. Directrix:
$$y = 2$$
; $e = 2.5$

323. Directrix:
$$x = -3$$
; $e = \frac{1}{3}$

Extensions

Recall from **Rotation of Axes** that equations of conics with an xy term have rotated graphs. For the following exercises, express each equation in polar form with r as a function of θ .

324.
$$xy = 2$$

325.
$$x^2 + xy + y^2 = 4$$

326.
$$2x^2 + 4xy + 2y^2 = 9$$

327.
$$16x^2 + 24xy + 9y^2 = 4$$

328.
$$2xy + y = 1$$

CHAPTER 10 REVIEW

KEY TERMS

angle of rotation an acute angle formed by a set of axes rotated from the Cartesian plane where, if $\cot(2\theta) > 0$, then θ is between $(0^{\circ}, 45^{\circ})$; if $\cot(2\theta) < 0$, then θ is between $(45^{\circ}, 90^{\circ})$; and if $\cot(2\theta) = 0$, then $\theta = 45^{\circ}$

center of a hyperbola the midpoint of both the transverse and conjugate axes of a hyperbola

center of an ellipse the midpoint of both the major and minor axes

conic section any shape resulting from the intersection of a right circular cone with a plane

conjugate axis the axis of a hyperbola that is perpendicular to the transverse axis and has the co-vertices as its endpoints

degenerate conic sections any of the possible shapes formed when a plane intersects a double cone through the apex. Types of degenerate conic sections include a point, a line, and intersecting lines.

directrix a line perpendicular to the axis of symmetry of a parabola; a line such that the ratio of the distance between the points on the conic and the focus to the distance to the directrix is constant

eccentricity the ratio of the distances from a point P on the graph to the focus F and to the directrix D represented by $e = \frac{PF}{PD}$, where e is a positive real number

ellipse the set of all points (x, y) in a plane such that the sum of their distances from two fixed points is a constant

foci plural of focus

focus (of a parabola) a fixed point in the interior of a parabola that lies on the axis of symmetry

focus (of an ellipse) one of the two fixed points on the major axis of an ellipse such that the sum of the distances from these points to any point (x, y) on the ellipse is a constant

hyperbola the set of all points (x, y) in a plane such that the difference of the distances between (x, y) and the foci is a positive constant

latus rectum the line segment that passes through the focus of a parabola parallel to the directrix, with endpoints on the parabola

major axis the longer of the two axes of an ellipse

minor axis the shorter of the two axes of an ellipse

nondegenerate conic section a shape formed by the intersection of a plane with a double right cone such that the plane does not pass through the apex; nondegenerate conics include circles, ellipses, hyperbolas, and parabolas

parabola the set of all points (x, y) in a plane that are the same distance from a fixed line, called the directrix, and a fixed point (the focus) not on the directrix

polar equation an equation of a curve in polar coordinates r and θ

transverse axis the axis of a hyperbola that includes the foci and has the vertices as its endpoints

KEY EQUATIONS

Horizontal ellipse, center at origin	$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1, \ a > b$
--------------------------------------	--

Vertical ellipse, center at origin
$$\frac{x^2}{b^2} + \frac{y^2}{a^2} = 1, \ a > b$$

Horizontal ellipse, center
$$(h, k)$$

$$\frac{(x-h)^2}{a^2} + \frac{(y-k)^2}{b^2} = 1, \ a > b$$

Vertical ellipse, center
$$(h, k)$$

$$\frac{(x-h)^2}{b^2} + \frac{(y-k)^2}{a^2} = 1, \ a > b$$

Hyperbola, center at origin, transverse axis on *x*-axis
$$\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$$

Hyperbola, center at origin, transverse axis on *y*-axis
$$\frac{y^2}{a^2} - \frac{x^2}{b^2} = 1$$

Hyperbola, center at
$$(h, k)$$
, transverse axis parallel to *x*-axis
$$\frac{(x-h)^2}{a^2} - \frac{(y-k)^2}{b^2} = 1$$

Hyperbola, center at
$$(h, k)$$
, transverse axis parallel to *y*-axis
$$\frac{(y-k)^2}{a^2} - \frac{(x-h)^2}{b^2} = 1$$

Parabola, vertex at origin, axis of symmetry on *x*-axis $y^2 = 4px$

Parabola, vertex at origin, axis of symmetry on *y*-axis $x^2 = 4py$

Parabola, vertex at (h, k), axis of symmetry on x-axis $(y - k)^2 = 4p(x - h)$

Parabola, vertex at (h, k), axis of symmetry on y-axis $(x - h)^2 = 4p(y - k)$

General Form equation of a conic section $Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$

Rotation of a conic section $x = x' \cos \theta - y' \sin \theta$ $y = x' \sin \theta + y' \cos \theta$

Angle of rotation θ , where $\cot(2\theta) = \frac{A-C}{B}$

KEY CONCEPTS

10.1 The Ellipse

• An ellipse is the set of all points (*x*, *y*) in a plane such that the sum of their distances from two fixed points is a constant. Each fixed point is called a focus (plural: foci).

- When given the coordinates of the foci and vertices of an ellipse, we can write the equation of the ellipse in standard form. See **Example 10.1** and **Example 10.2**.
- When given an equation for an ellipse centered at the origin in standard form, we can identify its vertices, covertices, foci, and the lengths and positions of the major and minor axes in order to graph the ellipse. See Example 10.3 and Example 10.4.
- When given the equation for an ellipse centered at some point other than the origin, we can identify its key features
 and graph the ellipse. See Example 10.5 and Example 10.6.
- Real-world situations can be modeled using the standard equations of ellipses and then evaluated to find key features, such as lengths of axes and distance between foci. See Example 10.7.

10.2 The Hyperbola

- A hyperbola is the set of all points (*x*, *y*) in a plane such that the difference of the distances between (*x*, *y*) and the foci is a positive constant.
- The standard form of a hyperbola can be used to locate its vertices and foci. See Example 10.8.
- When given the coordinates of the foci and vertices of a hyperbola, we can write the equation of the hyperbola in standard form. See Example 10.9 and Example 10.10.
- When given an equation for a hyperbola, we can identify its vertices, co-vertices, foci, asymptotes, and lengths and
 positions of the transverse and conjugate axes in order to graph the hyperbola. See Example 10.11 and Example
 10.12.
- Real-world situations can be modeled using the standard equations of hyperbolas. For instance, given the
 dimensions of a natural draft cooling tower, we can find a hyperbolic equation that models its sides. See Example
 10.13.

10.3 The Parabola

- A parabola is the set of all points (*x*, *y*) in a plane that are the same distance from a fixed line, called the directrix, and a fixed point (the focus) not on the directrix.
- The standard form of a parabola with vertex (0, 0) and the *x*-axis as its axis of symmetry can be used to graph the parabola. If p > 0, the parabola opens right. If p < 0, the parabola opens left. See **Example 10.14**.
- The standard form of a parabola with vertex (0, 0) and the *y*-axis as its axis of symmetry can be used to graph the parabola. If p > 0, the parabola opens up. If p < 0, the parabola opens down. See **Example 10.15**.
- When given the focus and directrix of a parabola, we can write its equation in standard form. See **Example 10.16**.
- The standard form of a parabola with vertex (h, k) and axis of symmetry parallel to the *x*-axis can be used to graph the parabola. If p > 0, the parabola opens right. If p < 0, the parabola opens left. See **Example 10.17**.
- The standard form of a parabola with vertex (h, k) and axis of symmetry parallel to the *y*-axis can be used to graph the parabola. If p > 0, the parabola opens up. If p < 0, the parabola opens down. See **Example 10.18**.
- Real-world situations can be modeled using the standard equations of parabolas. For instance, given the diameter
 and focus of a cross-section of a parabolic reflector, we can find an equation that models its sides. See Example
 10.19.

10.4 Rotation of Axes

• Four basic shapes can result from the intersection of a plane with a pair of right circular cones connected tail to tail. They include an ellipse, a circle, a hyperbola, and a parabola.

- A nondegenerate conic section has the general form $Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$ where A, B and C are not all zero. The values of A, B, and C determine the type of conic. See **Example 10.20**.
- Equations of conic sections with an xy term have been rotated about the origin. See **Example 10.21**.
- The general form can be transformed into an equation in the x' and y' coordinate system without the x'y' term. See **Example 10.22** and **Example 10.23**.
- An expression is described as invariant if it remains unchanged after rotating. Because the discriminant is invariant, observing it enables us to identify the conic section. See Example 10.24.

10.5 Conic Sections in Polar Coordinates

- Any conic may be determined by a single focus, the corresponding eccentricity, and the directrix. We can also define a conic in terms of a fixed point, the focus $P(r, \theta)$ at the pole, and a line, the directrix, which is perpendicular to the polar axis.
- A conic is the set of all points $e = \frac{PF}{PD}$, where eccentricity e is a positive real number. Each conic may be written in terms of its polar equation. See **Example 10.25**.
- The polar equations of conics can be graphed. See Example 10.26, Example 10.27, and Example 10.28.
- Conics can be defined in terms of a focus, a directrix, and eccentricity. See **Example 10.29** and **Example 10.30**.
- We can use the identities $r = \sqrt{x^2 + y^2}$, $x = r \cos \theta$, and $y = r \sin \theta$ to convert the equation for a conic from polar to rectangular form. See **Example 10.31**.

CHAPTER 10 REVIEW EXERCISES

The Ellipse

For the following exercises, write the equation of the ellipse in standard form. Then identify the center, vertices, and foci.

$$329. \quad \frac{x^2}{25} + \frac{y^2}{64} = 1$$

330.
$$\frac{(x-2)^2}{100} + \frac{(y+3)^2}{36} = 1$$

331.
$$9x^2 + y^2 + 54x - 4y + 76 = 0$$

332.
$$9x^2 + 36y^2 - 36x + 72y + 36 = 0$$

For the following exercises, graph the ellipse, noting center, vertices, and foci.

$$333. \quad \frac{x^2}{36} + \frac{y^2}{9} = 1$$

334.
$$\frac{(x-4)^2}{25} + \frac{(y+3)^2}{49} = 1$$

335.
$$4x^2 + y^2 + 16x + 4y - 44 = 0$$

336.
$$2x^2 + 3y^2 - 20x + 12y + 38 = 0$$

For the following exercises, use the given information to find the equation for the ellipse.

337. Center at (0, 0), focus at (3, 0), vertex at (-5, 0)

338. Center at (2, -2), vertex at (7, -2), focus at (4, -2)

339. A whispering gallery is to be constructed such that the foci are located 35 feet from the center. If the length of the gallery is to be 100 feet, what should the height of the ceiling be?

The Hyperbola

For the following exercises, write the equation of the hyperbola in standard form. Then give the center, vertices, and foci.

340.
$$\frac{x^2}{81} - \frac{y^2}{9} = 1$$

341.
$$\frac{(y+1)^2}{16} - \frac{(x-4)^2}{36} = 1$$

342.
$$9y^2 - 4x^2 + 54y - 16x + 29 = 0$$

343.
$$3x^2 - y^2 - 12x - 6y - 9 = 0$$

For the following exercises, graph the hyperbola, labeling vertices and foci.

344.
$$\frac{x^2}{9} - \frac{y^2}{16} = 1$$

345.
$$\frac{(y-1)^2}{49} - \frac{(x+1)^2}{4} = 1$$

346.
$$x^2 - 4y^2 + 6x + 32y - 91 = 0$$

347.
$$2y^2 - x^2 - 12y - 6 = 0$$

For the following exercises, find the equation of the hyperbola.

348. Center at (0, 0), vertex at (0, 4), focus at (0, -6)

349. Foci at (3, 7) and (7, 7), vertex at (6, 7)

The Parabola

For the following exercises, write the equation of the parabola in standard form. Then give the vertex, focus, and directrix.

350.
$$v^2 = 12x$$

351.
$$(x+2)^2 = \frac{1}{2}(y-1)$$

352.
$$y^2 - 6y - 6x - 3 = 0$$

353.
$$x^2 + 10x - y + 23 = 0$$

For the following exercises, graph the parabola, labeling vertex, focus, and directrix.

354.
$$x^2 + 4y = 0$$

355.
$$(y-1)^2 = \frac{1}{2}(x+3)$$

356.
$$x^2 - 8x - 10y + 46 = 0$$

357.
$$2y^2 + 12y + 6x + 15 = 0$$

For the following exercises, write the equation of the parabola using the given information.

358. Focus at
$$(-4, 0)$$
; directrix is $x = 4$

359. Focus at
$$\left(2, \frac{9}{8}\right)$$
; directrix is $y = \frac{7}{8}$

360. A cable TV receiving dish is the shape of a paraboloid of revolution. Find the location of the receiver, which is placed at the focus, if the dish is 5 feet across at its opening and 1.5 feet deep.

Rotation of Axes

For the following exercises, determine which of the conic sections is represented.

361.
$$16x^2 + 24xy + 9y^2 + 24x - 60y - 60 = 0$$

362.
$$4x^2 + 14xy + 5y^2 + 18x - 6y + 30 = 0$$

363.
$$4x^2 + xy + 2y^2 + 8x - 26y + 9 = 0$$

For the following exercises, determine the angle θ that will eliminate the xy term, and write the corresponding equation without the xy term.

364.
$$x^2 + 4xy - 2y^2 - 6 = 0$$

365.
$$x^2 - xy + y^2 - 6 = 0$$

For the following exercises, graph the equation relative to the x'y' system in which the equation has no x'y' term.

366.
$$9x^2 - 24xy + 16y^2 - 80x - 60y + 100 = 0$$

367.
$$x^2 - xy + y^2 - 2 = 0$$

368.
$$6x^2 + 24xy - y^2 - 12x + 26y + 11 = 0$$

Conic Sections in Polar Coordinates

For the following exercises, given the polar equation of the conic with focus at the origin, identify the eccentricity and directrix.

369.
$$r = \frac{10}{1 - 5\cos\theta}$$

370.
$$r = \frac{6}{3 + 2\cos\theta}$$

371.
$$r = \frac{1}{4+3\sin\theta}$$

372.
$$r = \frac{3}{5 - 5\sin\theta}$$

For the following exercises, graph the conic given in polar form. If it is a parabola, label the vertex, focus, and directrix. If it is an ellipse or a hyperbola, label the vertices and foci.

373.
$$r = \frac{3}{1 - \sin \theta}$$

374.
$$r = \frac{8}{4 + 3\sin\theta}$$

375.
$$r = \frac{10}{4 + 5\cos\theta}$$

376.
$$r = \frac{9}{3 - 6\cos\theta}$$

For the following exercises, given information about the graph of a conic with focus at the origin, find the equation in polar form.

377. Directrix is x = 3 and eccentricity e = 1

378. Directrix is y = -2 and eccentricity e = 4

CHAPTER 10 PRACTICE TEST

For the following exercises, write the equation in standard form and state the center, vertices, and foci.

379.
$$\frac{x^2}{9} + \frac{y^2}{4} = 1$$

380.
$$9y^2 + 16x^2 - 36y + 32x - 92 = 0$$

For the following exercises, sketch the graph, identifying the center, vertices, and foci.

381.
$$\frac{(x-3)^2}{64} + \frac{(y-2)^2}{36} = 1$$

382.
$$2x^2 + y^2 + 8x - 6y - 7 = 0$$

383. Write the standard form equation of an ellipse with a center at (1, 2), vertex at (7, 2), and focus at (4, 2).

384. A whispering gallery is to be constructed with a length of 150 feet. If the foci are to be located 20 feet away from the wall, how high should the ceiling be?

For the following exercises, write the equation of the hyperbola in standard form, and give the center, vertices, foci, and asymptotes.

385.
$$\frac{x^2}{49} - \frac{y^2}{81} = 1$$

386.
$$16y^2 - 9x^2 + 128y + 112 = 0$$

For the following exercises, graph the hyperbola, noting its center, vertices, and foci. State the equations of the asymptotes.

387.
$$\frac{(x-3)^2}{25} - \frac{(y+3)^2}{1} = 1$$

388.
$$y^2 - x^2 + 4y - 4x - 18 = 0$$

389. Write the standard form equation of a hyperbola with foci at (1, 0) and (1, 6), and a vertex at (1, 2).

For the following exercises, write the equation of the parabola in standard form, and give the vertex, focus, and equation of the directrix.

390.
$$y^2 + 10x = 0$$

391.
$$3x^2 - 12x - y + 11 = 0$$

For the following exercises, graph the parabola, labeling the vertex, focus, and directrix.

392.
$$(x-1)^2 = -4(y+3)$$

393.
$$v^2 + 8x - 8v + 40 = 0$$

394. Write the equation of a parabola with a focus at (2, 3) and directrix y = -1.

395. A searchlight is shaped like a paraboloid of revolution. If the light source is located 1.5 feet from the base along the axis of symmetry, and the depth of the searchlight is 3 feet, what should the width of the opening be?

For the following exercises, determine which conic section is represented by the given equation, and then determine the angle θ that will eliminate the xy term.

396.
$$3x^2 - 2xy + 3y^2 = 4$$

397.
$$x^2 + 4xy + 4y^2 + 6x - 8y = 0$$

For the following exercises, rewrite in the x'y' system without the x'y' term, and graph the rotated graph.

398.
$$11x^2 + 10\sqrt{3}xy + y^2 = 4$$

399.
$$16x^2 + 24xy + 9y^2 - 125x = 0$$

For the following exercises, identify the conic with focus at the origin, and then give the directrix and eccentricity.

400.
$$r = \frac{3}{2 - \sin \theta}$$

401.
$$r = \frac{5}{4 + 6\cos\theta}$$

For the following exercises, graph the given conic section. If it is a parabola, label vertex, focus, and directrix. If it is an ellipse or a hyperbola, label vertices and foci.

402.
$$r = \frac{12}{4 - 8\sin\theta}$$

403.
$$r = \frac{2}{4 + 4 \sin \theta}$$

404. Find a polar equation of the conic with focus at the origin, eccentricity of e = 2, and directrix: x = 3.

11 | SEQUENCES, PROBABILITY AND COUNTING THEORY



Figure 11.1 (credit: Robert S. Donovan, Flickr.)

Chapter Outline

- 11.1 Sequences and Their Notations
- 11.2 Arithmetic Sequences
- 11.3 Geometric Sequences
- 11.4 Series and Their Notations
- 11.5 Counting Principles
- 11.6 Binomial Theorem
- 11.7 Probability

Introduction

A lottery winner has some big decisions to make regarding what to do with the winnings. Buy a villa in Saint Barthélemy? A luxury convertible? A cruise around the world?

The likelihood of winning the lottery is slim, but we all love to fantasize about what we could buy with the winnings. One of the first things a lottery winner has to decide is whether to take the winnings in the form of a lump sum or as a series of regular payments, called an annuity, over the next 30 years or so.

This decision is often based on many factors, such as tax implications, interest rates, and investment strategies. There are also personal reasons to consider when making the choice, and one can make many arguments for either decision. However, most lottery winners opt for the lump sum.

In this chapter, we will explore the mathematics behind situations such as these. We will take an in-depth look at annuities. We will also look at the branch of mathematics that would allow us to calculate the number of ways to choose lottery numbers and the probability of winning.

11.1 | Sequences and Their Notations

Learning Objectives

In this section, you will:

11.1.1 Write the terms of a sequence defined by an explicit formula.

11.1.2 Write the terms of a sequence defined by a recursive formula.

11.1.3 Use factorial notation.

A video game company launches an exciting new advertising campaign. They predict the number of online visits to their website, or hits, will double each day. The model they are using shows 2 hits the first day, 4 hits the second day, 8 hits the third day, and so on. See **Table 11.1**.

Day	1	2	3	4	5	
Hits	2	4	8	16	32	

Table 11.1

If their model continues, how many hits will there be at the end of the month? To answer this question, we'll first need to know how to determine a list of numbers written in a specific order. In this section, we will explore these kinds of ordered lists.

Writing the Terms of a Sequence Defined by an Explicit Formula

One way to describe an ordered list of numbers is as a **sequence**. A sequence is a function whose domain is a subset of the counting numbers. The sequence established by the number of hits on the website is

$$\{2, 4, 8, 16, 32, \dots\}.$$

The ellipsis (...) indicates that the sequence continues indefinitely. Each number in the sequence is called a **term**. The first five terms of this sequence are 2, 4, 8, 16, and 32.

Listing all of the terms for a sequence can be cumbersome. For example, finding the number of hits on the website at the end of the month would require listing out as many as 31 terms. A more efficient way to determine a specific term is by writing a formula to define the sequence.

One type of formula is an explicit formula, which defines the terms of a sequence using their position in the sequence. Explicit formulas are helpful if we want to find a specific term of a sequence without finding all of the previous terms. We can use the formula to find the nth term of the sequence, where n is any positive number. In our example, each number in the sequence is double the previous number, so we can use powers of 2 to write a formula for the nth term.

$$\{2, 4, 8, 16, 32, \dots, ?, \dots\}$$

$$\downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \{2^1, 2^2, 2^3, 2^4, 2^5, \dots, 2^n \dots\}$$

The first term of the sequence is $2^1 = 2$, the second term is $2^2 = 4$, the third term is $2^3 = 8$, and so on. The *n*th term of the sequence can be found by raising 2 to the *n*th power. An explicit formula for a sequence is named by a lower case letter a, b, c... with the subscript n. The explicit formula for this sequence is

$$a_n = 2^n$$
.

Now that we have a formula for the nth term of the sequence, we can answer the question posed at the beginning of this section. We were asked to find the number of hits at the end of the month, which we will take to be 31 days. To find the number of hits on the last day of the month, we need to find the 31^{st} term of the sequence. We will substitute 31 for n in the formula.

$$a_{31} = 2^{31}$$

= 2,147,483,648

If the doubling trend continues, the company will get 2,147,483,648 hits on the last day of the month. That is over 2.1 billion hits! The huge number is probably a little unrealistic because it does not take consumer interest and competition into account. It does, however, give the company a starting point from which to consider business decisions.

Another way to represent the sequence is by using a table. The first five terms of the sequence and the *n*th term of the sequence are shown in **Table 11.2**.

n	1	2	3	4	5	n
n th term of the sequence, a_n	2	4	8	16	32	2 ⁿ

Table 11.2

Graphing provides a visual representation of the sequence as a set of distinct points. We can see from the graph in **Figure 11.2** that the number of hits is rising at an exponential rate. This particular sequence forms an exponential function.

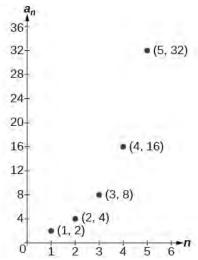


Figure 11.2

Lastly, we can write this particular sequence as

$$\{2, 4, 8, 16, 32, \dots, 2^n, \dots\}.$$

A sequence that continues indefinitely is called an **infinite sequence**. The domain of an infinite sequence is the set of counting numbers. If we consider only the first 10 terms of the sequence, we could write

$$\{2, 4, 8, 16, 32, \dots, 2^n, \dots, 1024\}.$$

This sequence is called a **finite sequence** because it does not continue indefinitely.

Sequence

A **sequence** is a function whose domain is the set of positive integers. A **finite sequence** is a sequence whose domain consists of only the first n positive integers. The numbers in a sequence are called **terms**. The variable a with a number subscript is used to represent the terms in a sequence and to indicate the position of the term in the sequence.

$$a_1, a_2, a_3, \ldots, a_n, \ldots$$

We call a_1 the first term of the sequence, a_2 the second term of the sequence, a_3 the third term of the sequence, and so on. The term a_n is called the **nth term of the sequence**, or the general term of the sequence. An **explicit formula** defines the **nth** term of a sequence using the position of the term. A sequence that continues indefinitely is an **infinite sequence**.



Does a sequence always have to begin with a_1 ?

No. In certain problems, it may be useful to define the initial term as a_0 instead of a_1 . In these problems, the domain of the function includes 0.



Given an explicit formula, write the first n terms of a sequence.

- 1. Substitute each value of n into the formula. Begin with n = 1 to find the first term, a_1 .
- 2. To find the second term, a_2 , use n = 2.
- **3**. Continue in the same manner until you have identified all *n* terms.

Example 11.1

Writing the Terms of a Sequence Defined by an Explicit Formula

Write the first five terms of the sequence defined by the explicit formula $a_n = -3n + 8$.

Solution

Substitute n = 1 into the formula. Repeat with values 2 through 5 for n.

$$n = 1$$

$$a_1 = -3(1) + 8 = 5$$

$$n = 2$$

$$a_2 = -3(2) + 8 = 2$$

$$n = 3$$

$$a_3 = -3(3) + 8 = -1$$

$$n = 4$$

$$a_4 = -3(4) + 8 = -4$$

$$n = 5$$

$$a_5 = -3(5) + 8 = -7$$

The first five terms are $\{5, 2, -1, -4, -7\}$.

Analysis

The sequence values can be listed in a table. A table, such as **Table 11.2**, is a convenient way to input the function into a graphing utility.

n	1	2	3	4	5
a_n	5	2	-1	-4	-7

Table 11.2

A graph can be made from this table of values. From the graph in **Figure 11.3**, we can see that this sequence represents a linear function, but notice the graph is not continuous because the domain is over the positive integers only.

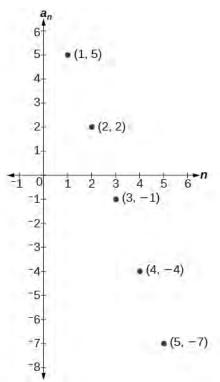


Figure 11.3



11.1 Write the first five terms of the sequence defined by the explicit formula $t_n = 5n - 4$.

Investigating Alternating Sequences

Sometimes sequences have terms that are alternate. In fact, the terms may actually alternate in sign. The steps to finding terms of the sequence are the same as if the signs did not alternate. However, the resulting terms will not show increase or decrease as n increases. Let's take a look at the following sequence.

$$\{2, -4, 6, -8\}$$

Notice the first term is greater than the second term, the second term is less than the third term, and the third term is greater than the fourth term. This trend continues forever. Do not rearrange the terms in numerical order to interpret the sequence.



Given an explicit formula with alternating terms, write the first n terms of a sequence.

- 1. Substitute each value of n into the formula. Begin with n = 1 to find the first term, a_1 . The sign of the term is given by the $(-1)^n$ in the explicit formula.
- 2. To find the second term, a_2 , use n = 2.
- 3. Continue in the same manner until you have identified all n terms.

Example 11.2

Writing the Terms of an Alternating Sequence Defined by an Explicit Formula

Write the first five terms of the sequence.

$$a_n = \frac{(-1)^n n^2}{n+1}$$

Solution

Substitute n = 1, n = 2, and so on in the formula.

$$n = 1 a_1 = \frac{(-1)^1 2^2}{1+1} = -\frac{1}{2}$$

$$n = 2 a_2 = \frac{(-1)^2 2^2}{2+1} = \frac{4}{3}$$

$$n = 3 a_3 = \frac{(-1)^3 3^2}{3+1} = -\frac{9}{4}$$

$$n = 4 a_4 = \frac{(-1)^4 4^2}{4+1} = \frac{16}{5}$$

$$n = 5 a_5 = \frac{(-1)^5 5^2}{5+1} = -\frac{25}{6}$$

The first five terms are $\left\{-\frac{1}{2}, \frac{4}{3}, -\frac{9}{4}, \frac{16}{5}, -\frac{25}{6}\right\}$.

Analysis

The graph of this function, shown in **Figure 11.4**, looks different from the ones we have seen previously in this section because the terms of the sequence alternate between positive and negative values.

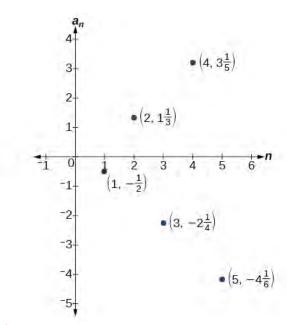


Figure 11.4



In Example 11.2, does the (-1) to the power of n account for the oscillations of signs?

Yes, the power might be n, n + 1, n - 1, and so on, but any odd powers will result in a negative term, and any even power will result in a positive term.



11.2 Write the first five terms of the sequence:

$$a_n = \frac{4n}{(-2)^n}$$

Investigating Piecewise Explicit Formulas

We've learned that sequences are functions whose domain is over the positive integers. This is true for other types of functions, including some piecewise functions. Recall that a piecewise function is a function defined by multiple subsections. A different formula might represent each individual subsection.



Given an explicit formula for a piecewise function, write the first n terms of a sequence

- 1. Identify the formula to which n = 1 applies.
- 2. To find the first term, a_1 , use n = 1 in the appropriate formula.
- 3. Identify the formula to which n = 2 applies.
- 4. To find the second term, a_2 , use n = 2 in the appropriate formula.
- 5. Continue in the same manner until you have identified all *n* terms.

Example 11.3

Writing the Terms of a Sequence Defined by a Piecewise Explicit Formula

Write the first six terms of the sequence.

$$a_n = \begin{cases} n^2 & \text{if } n \text{ is not divisible by 3} \\ \frac{n}{3} & \text{if } n \text{ is divisible by 3} \end{cases}$$

Solution

Substitute n = 1, n = 2, and so on in the appropriate formula. Use n^2 when n is not a multiple of 3. Use $\frac{n}{3}$ when n is a multiple of 3.

 $a_1 = 1^2 = 1$ 1 is not a multiple of 3. Use n^2 . $a_2 = 2^2 = 4$ 2 is not a multiple of 3. Use n^2 . $a_3 = \frac{3}{3} = 1$ 3 is a multiple of 3. Use $\frac{n}{3}$. $a_4 = 4^2 = 16$ 4 is not a multiple of 3. Use n^2 . $a_5 = 5^2 = 25$ 5 is not a multiple of 3. Use n^2 . $a_6 = \frac{6}{3} = 2$ 6 is a multiple of 3. Use $\frac{n}{3}$.

The first six terms are $\{1, 4, 1, 16, 25, 2\}$.

Analysis

Every third point on the graph shown in **Figure 11.5** stands out from the two nearby points. This occurs because the sequence was defined by a piecewise function.

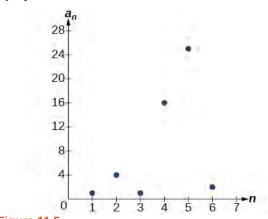


Figure 11.5



11.3 Write the first six terms of the sequence.

$$a_n = \begin{cases} 2n^3 & \text{if } n \text{ is odd} \\ \frac{5n}{2} & \text{if } n \text{ is even} \end{cases}$$

Finding an Explicit Formula

Thus far, we have been given the explicit formula and asked to find a number of terms of the sequence. Sometimes, the explicit formula for the *n*th term of a sequence is not given. Instead, we are given several terms from the sequence. When this happens, we can work in reverse to find an explicit formula from the first few terms of a sequence. The key to finding an explicit formula is to look for a pattern in the terms. Keep in mind that the pattern may involve alternating terms, formulas for numerators, formulas for denominators, exponents, or bases.



Given the first few terms of a sequence, find an explicit formula for the sequence.

- 1. Look for a pattern among the terms.
- 2. If the terms are fractions, look for a separate pattern among the numerators and denominators.
- 3. Look for a pattern among the signs of the terms.
- 4. Write a formula for a_n in terms of n. Test your formula for n = 1, n = 2, and n = 3.

Example 11.4

Writing an Explicit Formula for the *n*th Term of a Sequence

Write an explicit formula for the *n*th term of each sequence.

a.
$$\left\{-\frac{2}{11}, \frac{3}{13}, -\frac{4}{15}, \frac{5}{17}, -\frac{6}{19}, \dots\right\}$$

b.
$$\left\{-\frac{2}{25}, -\frac{2}{125}, -\frac{2}{625}, -\frac{2}{3,125}, -\frac{2}{15,625}, \dots\right\}$$

c.
$$\{e^4, e^5, e^6, e^7, e^8, \dots\}$$

Solution

Look for the pattern in each sequence.

a. The terms alternate between positive and negative. We can use $(-1)^n$ to make the terms alternate. The numerator can be represented by n + 1. The denominator can be represented by 2n + 9.

$$a_n = \frac{(-1)^n (n+1)}{2n+9}$$

b. The terms are all negative.

$$\left\{-\frac{2}{25}, -\frac{2}{125}, -\frac{2}{625}, -\frac{2}{3,125}, -\frac{2}{15,625}, \cdots\right\}$$
 The numerator is 2.
$$\int_{-2}^{2} \frac{2}{2} \frac{2}{$$

$$\left\{-\frac{2}{5^2}, -\frac{2}{5^3}, -\frac{2}{5^4}, -\frac{2}{5^6}, -\frac{2}{5^7}, \dots, -\frac{2}{5^{"}}\right\}$$

The denominators are increasing powers of 5.

So we know that the fraction is negative, the numerator is 2, and the denominator can be represented by

$$a_n = -\frac{2}{5^{n+1}}$$

c. The terms are powers of e. For n = 1, the first term is e^4 so the exponent must be n + 3.

$$a_n = e^{n+3}$$



Write an explicit formula for the nth term of the sequence.

$$\{9, -81, 729, -6, 561, 59, 049, \ldots\}$$



Write an explicit formula for the *n*th term of the sequence.

$$\left\{-\frac{3}{4}, -\frac{9}{8}, -\frac{27}{12}, -\frac{81}{16}, -\frac{243}{20}, \ldots\right\}$$



11.6 Write an explicit formula for the *n*th term of the sequence.

$$\left\{\frac{1}{e^2}, \quad \frac{1}{e}, \quad 1, \quad e, \quad e^2, \dots\right\}$$

Writing the Terms of a Sequence Defined by a Recursive Formula

Sequences occur naturally in the growth patterns of nautilus shells, pinecones, tree branches, and many other natural structures. We may see the sequence in the leaf or branch arrangement, the number of petals of a flower, or the pattern of the chambers in a nautilus shell. Their growth follows the Fibonacci sequence, a famous sequence in which each term can be found by adding the preceding two terms. The numbers in the sequence are 1, 1, 2, 3, 5, 8, 13, 21, 34,.... Other examples from the natural world that exhibit the Fibonacci sequence are the Calla Lily, which has just one petal, the Black-Eyed Susan with 13 petals, and different varieties of daisies that may have 21 or 34 petals.

Each term of the Fibonacci sequence depends on the terms that come before it. The Fibonacci sequence cannot easily be written using an explicit formula. Instead, we describe the sequence using a **recursive formula**, a formula that defines the terms of a sequence using previous terms.

A recursive formula always has two parts: the value of an initial term (or terms), and an equation defining a_n in terms of preceding terms. For example, suppose we know the following:

$$a_1 = 3$$

 $a_n = 2a_{n-1} - 1$, for $n \ge 2$

We can find the subsequent terms of the sequence using the first term.

$$a_1 = 3$$

 $a_2 = 2a_1 - 1 = 2(3) - 1 = 5$
 $a_3 = 2a_2 - 1 = 2(5) - 1 = 9$
 $a_4 = 2a_3 - 1 = 2(9) - 1 = 17$

So the first four terms of the sequence are $\{3, 5, 9, 17\}$.

The recursive formula for the Fibonacci sequence states the first two terms and defines each successive term as the sum of the preceding two terms.

$$a_1 = 1$$

 $a_2 = 1$
 $a_n = a_{n-1} + a_{n-2}$, for $n \ge 3$

To find the tenth term of the sequence, for example, we would need to add the eighth and ninth terms. We were told previously that the eighth and ninth terms are 21 and 34, so

$$a_{10} = a_9 + a_8 = 34 + 21 = 55$$

Recursive Formula

A **recursive formula** is a formula that defines each term of a sequence using preceding term(s). Recursive formulas must always state the initial term, or terms, of the sequence.



Must the first two terms always be given in a recursive formula?

No. The Fibonacci sequence defines each term using the two preceding terms, but many recursive formulas define each term using only one preceding term. These sequences need only the first term to be defined.



Given a recursive formula with only the first term provided, write the first n terms of a sequence.

- 1. Identify the initial term, a_1 , which is given as part of the formula. This is the first term.
- 2. To find the second term, a_2 , substitute the initial term into the formula for a_{n-1} . Solve.
- 3. To find the third term, a_3 , substitute the second term into the formula. Solve.
- 4. Repeat until you have solved for the *n*th term.

Example 11.5

Writing the Terms of a Sequence Defined by a Recursive Formula

Write the first five terms of the sequence defined by the recursive formula.

$$a_1 = 9$$

 $a_n = 3a_{n-1} - 20$, for $n \ge 2$

Solution

The first term is given in the formula. For each subsequent term, we replace a_{n-1} with the value of the preceding term.

$$\begin{array}{lll} n=1 & a_1=9 \\ n=2 & a_2=3a_1-20=3(9)-20=27-20=7 \\ n=3 & a_3=3a_2-20=3(7)-20=21-20=1 \\ n=4 & a_4=3a_3-20=3(1)-20=3-20=-17 \\ n=5 & a_5=3a_4-20=3(-17)-20=-51-20=-71 \end{array}$$

The first five terms are $\{9, 7, 1, -17, -71\}$. See **Figure 11.6**.

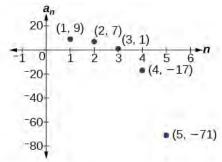


Figure 11.6



11.7 Write the first five terms of the sequence defined by the recursive formula.

$$a_1 = 2$$

 $a_n = 2a_{n-1} + 1$, for $n \ge 2$



Given a recursive formula with two initial terms, write the first n terms of a sequence.

- 1. Identify the initial term, a_1 , which is given as part of the formula.
- 2. Identify the second term, a_2 , which is given as part of the formula.
- 3. To find the third term, substitute the initial term and the second term into the formula. Evaluate.
- 4. Repeat until you have evaluated the *n*th term.

Example 11.6

Writing the Terms of a Sequence Defined by a Recursive Formula

Write the first six terms of the sequence defined by the recursive formula.

$$a_1 = 1$$

 $a_2 = 2$
 $a_n = 3a_{n-1} + 4a_{n-2}$, for $n \ge 3$

Solution

The first two terms are given. For each subsequent term, we replace a_{n-1} and a_{n-2} with the values of the two preceding terms.

$$n = 3$$

$$a_3 = 3a_2 + 4a_1 = 3(2) + 4(1) = 10$$

$$n = 4$$

$$a_4 = 3a_3 + 4a_2 = 3(10) + 4(2) = 38$$

$$n = 5$$

$$a_5 = 3a_4 + 4a_3 = 3(38) + 4(10) = 154$$

$$n = 6$$

$$a_6 = 3a_5 + 4a_4 = 3(154) + 4(38) = 614$$

The first six terms are $\{1,2,10,38,154,614\}$. See **Figure 11.7**.

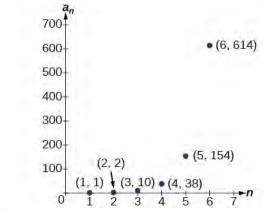


Figure 11.7



11.8 Write the first 8 terms of the sequence defined by the recursive formula.

$$a_1 = 0$$

 $a_2 = 1$
 $a_3 = 1$
 $a_n = \frac{a_{n-1}}{a_{n-2}} + a_{n-3}$, for $n \ge 4$

Using Factorial Notation

The formulas for some sequences include products of consecutive positive integers. n factorial, written as n!, is the product of the positive integers from 1 to n. For example,

$$4! = 4 \cdot 3 \cdot 2 \cdot 1 = 24$$

 $5! = 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 120$

An example of formula containing a factorial is $a_n = (n + 1)!$. The sixth term of the sequence can be found by substituting 6 for n.

$$a_6 = (6+1)! = 7! = 7 \cdot 6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 5040$$

The factorial of any whole number n is n(n-1)! We can therefore also think of 5! as $5 \cdot 4$!.

Factorial

n **factorial** is a mathematical operation that can be defined using a recursive formula. The factorial of n, denoted n!, is defined for a positive integer n as:

$$0! = 1$$

 $1! = 1$
 $n! = n(n-1)(n-2) \cdots (2)(1)$, for $n \ge 2$

The special case 0! is defined as 0! = 1.



Can factorials always be found using a calculator?

No. Factorials get large very quickly—faster than even exponential functions! When the output gets too large for the calculator, it will not be able to calculate the factorial.

Example 11.7

Writing the Terms of a Sequence Using Factorials

Write the first five terms of the sequence defined by the explicit formula $a_n = \frac{5n}{(n+2)!}$

Solution

Substitute n = 1, n = 2, and so on in the formula.

$$n = 1 a_1 = \frac{5(1)}{(1+2)!} = \frac{5}{3!} = \frac{5}{3 \cdot 2 \cdot 1} = \frac{5}{6}$$

$$n = 2 a_2 = \frac{5(2)}{(2+2)!} = \frac{10}{4!} = \frac{10}{4 \cdot 3 \cdot 2 \cdot 1} = \frac{5}{12}$$

$$n = 3 a_3 = \frac{5(3)}{(3+2)!} = \frac{15}{5!} = \frac{15}{5 \cdot 4 \cdot 3 \cdot 2 \cdot 1} = \frac{1}{8}$$

$$n = 4 a_4 = \frac{5(4)}{(4+2)!} = \frac{20}{6!} = \frac{20}{6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1} = \frac{1}{36}$$

$$n = 5 a_5 = \frac{5(5)}{(5+2)!} = \frac{25}{7!} = \frac{25}{7 \cdot 6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1} = \frac{5}{1,008}$$

The first five terms are $\left\{\frac{5}{6}, \frac{5}{12}, \frac{1}{8}, \frac{1}{36}, \frac{5}{1,008}\right\}$.

Analysis

Figure 11.8 shows the graph of the sequence. Notice that, since factorials grow very quickly, the presence of the factorial term in the denominator results in the denominator becoming much larger than the numerator as *n* increases. This means the quotient gets smaller and, as the plot of the terms shows, the terms are decreasing and nearing zero.

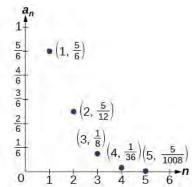


Figure 11.8



11.9 Write the first five terms of the sequence defined by the explicit formula $a_n = \frac{(n+1)!}{2n}$.



Access this online resource for additional instruction and practice with sequences.

• Finding Terms in a Sequence (http://openstaxcollege.org/l/findingterms)

11.1 EXERCISES

Verbal

- 1. Discuss the meaning of a sequence. If a finite sequence is defined by a formula, what is its domain? What about an infinite sequence?
- 2. Describe three ways that a sequence can be defined.
- 3. Is the ordered set of even numbers an infinite sequence? What about the ordered set of odd numbers? Explain why or why not.
- 4. What happens to the terms a_n of a sequence when there is a negative factor in the formula that is raised to a power that includes n? What is the term used to describe this phenomenon?
- 5. What is a factorial, and how is it denoted? Use an example to illustrate how factorial notation can be beneficial.

Algebraic

For the following exercises, write the first four terms of the sequence.

6.
$$a_n = 2^n - 2$$

7.
$$a_n = -\frac{16}{n+1}$$

8.
$$a_n = -(-5)^{n-1}$$

9.
$$a_n = \frac{2^n}{n^3}$$

10.
$$a_n = \frac{2n+1}{n^3}$$

11.
$$a_n = 1.25 \cdot (-4)^{n-1}$$

12.
$$a_n = -4 \cdot (-6)^{n-1}$$

13.
$$a_n = \frac{n^2}{2n+1}$$

14.
$$a_n = (-10)^n + 1$$

15.
$$a_n = -\left(\frac{4 \cdot (-5)^{n-1}}{5}\right)$$

For the following exercises, write the first eight terms of the piecewise sequence.

$$a_n = \begin{cases} (-2)^n - 2 & \text{if } n \text{ is even} \\ (3)^{n-1} & \text{if } n \text{ is odd} \end{cases}$$

17.
$$a_n = \begin{cases} \frac{n^2}{2n+1} & \text{if } n \le 5\\ n^2 - 5 & \text{if } n > 5 \end{cases}$$

18.
$$a_n = \begin{cases} (2n+1)^2 & \text{if } n \text{ is divisible by 4} \\ \frac{2}{n} & \text{if } n \text{ is not divisible by 4} \end{cases}$$

19.
$$a_n = \begin{cases} -0.6 \cdot 5^{n-1} & \text{if } n \text{ is prime or 1} \\ 2.5 \cdot (-2)^{n-1} & \text{if } n \text{ is composite} \end{cases}$$

20.
$$a_n = \begin{cases} 4(n^2 - 2) & \text{if } n \le 3 \text{ or } n > 6 \\ \frac{n^2 - 2}{4} & \text{if } 3 < n \le 6 \end{cases}$$

For the following exercises, write an explicit formula for each sequence.

$$22. -4, 2, -10, 14, -34, \dots$$

23.
$$1, 1, \frac{4}{3}, 2, \frac{16}{5}, \dots$$

^{24.}
$$0, \frac{1-e^1}{1+e^2}, \frac{1-e^2}{1+e^3}, \frac{1-e^3}{1+e^4}, \frac{1-e^4}{1+e^5}, \dots$$

25.
$$1, -\frac{1}{2}, \frac{1}{4}, -\frac{1}{8}, \frac{1}{16}, \dots$$

For the following exercises, write the first five terms of the sequence.

26.
$$a_1 = 9$$
, $a_n = a_{n-1} + n$

27.
$$a_1 = 3$$
, $a_n = (-3)a_{n-1}$

28.
$$a_1 = -4$$
, $a_n = \frac{a_{n-1} + 2n}{a_{n-1} - 1}$

29.
$$a_1 = -1$$
, $a_n = \frac{(-3)^{n-1}}{a_{n-1} - 2}$

30.
$$a_1 = -30, \ a_n = (2 + a_{n-1}) \left(\frac{1}{2}\right)^n$$

For the following exercises, write the first eight terms of the sequence.

31.
$$a_1 = \frac{1}{24}$$
, $a_2 = 1$, $a_n = (2a_{n-2})(3a_{n-1})$

32.
$$a_1 = -1$$
, $a_2 = 5$, $a_n = a_{n-2}(3 - a_{n-1})$

33.
$$a_1 = 2$$
, $a_2 = 10$, $a_n = \frac{2(a_{n-1} + 2)}{a_{n-2}}$

For the following exercises, write a recursive formula for each sequence.

$$34. -2.5, -5, -10, -20, -40, \dots$$

35.
$$-8$$
, -6 , -3 , 1 , 6 , ...

38. 15, 3,
$$\frac{3}{5}$$
, $\frac{3}{25}$, $\frac{3}{125}$, ...

For the following exercises, evaluate the factorial.

40.
$$\left(\frac{12}{6}\right)!$$

For the following exercises, write the first four terms of the sequence.

43.
$$a_n = \frac{n!}{n^2}$$

44.
$$a_n = \frac{3 \cdot n!}{4 \cdot n!}$$

45.
$$a_n = \frac{n!}{n^2 - n - 1}$$

46.
$$a_n = \frac{100 \cdot n}{n(n-1)!}$$

Graphical

For the following exercises, graph the first five terms of the indicated sequence

47.
$$a_n = \frac{(-1)^n}{n} + n$$

48.

$$a_n = \begin{cases} \frac{4+n}{2n} & \text{if } n \text{ is even} \\ 3+n & \text{if } n \text{ is odd} \end{cases}$$

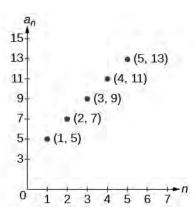
49.
$$a_1 = 2$$
, $a_n = (-a_{n-1} + 1)^2$

50.
$$a_n = 1$$
, $a_n = a_{n-1} + 8$

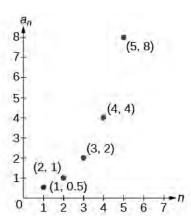
51.
$$a_n = \frac{(n+1)!}{(n-1)!}$$

For the following exercises, write an explicit formula for the sequence using the first five points shown on the graph.

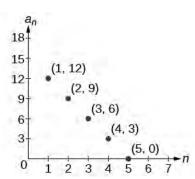
52.



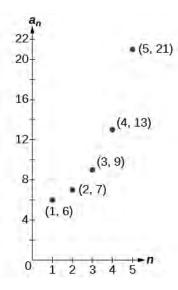
53.



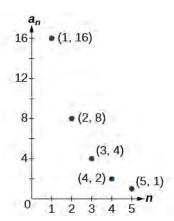
54.



For the following exercises, write a recursive formula for the sequence using the first five points shown on the graph. 55.



56.



Technology

Follow these steps to evaluate a sequence defined recursively using a graphing calculator:

- On the home screen, key in the value for the initial term a₁ and press [ENTER].
- Enter the recursive formula by keying in all numerical values given in the formula, along with the key strokes **[2ND] ANS** for the previous term a_{n-1} . Press **[ENTER]**.
- Continue pressing [ENTER] to calculate the values for each successive term.

For the following exercises, use the steps above to find the indicated term or terms for the sequence.

57. Find the first five terms of the sequence $a_1 = \frac{87}{111}$, $a_n = \frac{4}{3}a_{n-1} + \frac{12}{37}$. Use the >**Frac** feature to give fractional results.

58. Find the 15th term of the sequence $a_1 = 625$, $a_n = 0.8a_{n-1} + 18$.

59. Find the first five terms of the sequence $a_1 = 2$, $a_n = 2^{\lfloor (a_n - 1) - 1 \rfloor} + 1$.

60. Find the first ten terms of the sequence $a_1 = 8$, $a_n = \frac{(a_{n-1} + 1)!}{a_{n-1}!}$.

61. Find the tenth term of the sequence $a_1 = 2$, $a_n = na_{n-1}$

Follow these steps to evaluate a finite sequence defined by an explicit formula. Using a TI-84, do the following.

- In the home screen, press [2ND] LIST.
- Scroll over to **OPS** and choose "**seq(**" from the dropdown list. Press [ENTER].
- In the line headed "Expr:" type in the explicit formula, using the [X,T, θ, n] button for n
- In the line headed **"Variable:"** type in the variable used on the previous step.
- In the line headed "start:" key in the value of *n* that begins the sequence.
- In the line headed **"end:"** key in the value of *n* that ends the sequence.
- Press **[ENTER]** 3 times to return to the home screen. You will see the sequence syntax on the screen. Press **[ENTER]** to see the list of terms for the finite sequence defined. Use the right arrow key to scroll through the list of terms.

Using a TI-83, do the following.

- In the home screen, press [2ND] LIST.
- Scroll over to **OPS** and choose **"seq("** from the dropdown list. Press **[ENTER]**.
- Enter the items in the order "Expr", "Variable", "start", "end" separated by commas. See the instructions above for the description of each item.
- Press **[ENTER]** to see the list of terms for the finite sequence defined. Use the right arrow key to scroll through the list of terms.

For the following exercises, use the steps above to find the indicated terms for the sequence. Round to the nearest thousandth when necessary.

62. List the first five terms of the sequence $a_n = -\frac{28}{9}n + \frac{5}{3}$.

63. List the first six terms of the sequence $a_n = \frac{n^3 - 3.5n^2 + 4.1n - 1.5}{2.4n}.$

64.

List the first five terms of the sequence $a_n = \frac{15n \cdot (-2)^{n-1}}{47}$

65. List the first four terms of the sequence $a_n = 5.7^n + 0.275(n-1)!$

66. List the first six terms of the sequence $a_n = \frac{n!}{n}$.

Extensions

- 67. Consider the sequence defined by $a_n = -6 8n$. Is $a_n = -421$ a term in the sequence? Verify the result.
- 68. What term in the sequence $a_n = \frac{n^2 + 4n + 4}{2(n+2)}$ has the value 41? Verify the result.
- 69. Find a recursive formula for the sequence 1, 0, -1, -1, 0, 1, 1, 0, -1, -1, 0, 1, 1, ... (*Hint*: find a pattern for a_n based on the first two terms.)
- 70. Calculate the first eight terms of the sequences $a_n = \frac{(n+2)!}{(n-1)!}$ and $b_n = n^3 + 3n^2 + 2n$, and then make a conjecture about the relationship between these two sequences.
- 71. Prove the conjecture made in the preceding exercise.

11.2 | Arithmetic Sequences

Learning Objectives

In this section, you will:

- **11.2.1** Find the common difference for an arithmetic sequence.
- 11.2.2 Write terms of an arithmetic sequence.
- 11.2.3 Use a recursive formula for an arithmetic sequence.
- 11.2.4 Use an explicit formula for an arithmetic sequence.

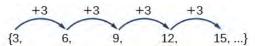
Companies often make large purchases, such as computers and vehicles, for business use. The book-value of these supplies decreases each year for tax purposes. This decrease in value is called depreciation. One method of calculating depreciation is straight-line depreciation, in which the value of the asset decreases by the same amount each year.

As an example, consider a woman who starts a small contracting business. She purchases a new truck for \$25,000. After five years, she estimates that she will be able to sell the truck for \$8,000. The loss in value of the truck will therefore be \$17,000, which is \$3,400 per year for five years. The truck will be worth \$21,600 after the first year; \$18,200 after two years; \$14,800 after three years; \$11,400 after four years; and \$8,000 at the end of five years. In this section, we will consider specific kinds of sequences that will allow us to calculate depreciation, such as the truck's value.

Finding Common Differences

The values of the truck in the example are said to form an **arithmetic sequence** because they change by a constant amount each year. Each term increases or decreases by the same constant value called the **common difference** of the sequence. For this sequence, the common difference is -3,400.

The sequence below is another example of an arithmetic sequence. In this case, the constant difference is 3. You can choose any term of the sequence, and add 3 to find the subsequent term.



Arithmetic Sequence

An **arithmetic sequence** is a sequence that has the property that the difference between any two consecutive terms is a constant. This constant is called the **common difference**. If a_1 is the first term of an arithmetic sequence and d is the common difference, the sequence will be:

$${a_n} = {a_1, a_1 + d, a_1 + 2d, a_1 + 3d, ...}$$

Example 11.8

Finding Common Differences

Is each sequence arithmetic? If so, find the common difference.

b.
$$\{-3, 1, 5, 9, 13, ...\}$$

Solution

Subtract each term from the subsequent term to determine whether a common difference exists.

a. The sequence is not arithmetic because there is no common difference.

$$2-1=1$$

$$4-2=2$$

$$8 - 4 = 4$$

$$16 - 8 = 8$$

b. The sequence is arithmetic because there is a common difference. The common difference is 4.

$$1-(-3)=4$$
 $5-1=4$ $9-5=4$ $13-9=4$

$$5 - 1 = 4$$

$$9 - 5 = 4$$

$$13 - 9 = 4$$

Analysis

The graph of each of these sequences is shown in Figure 11.9. We can see from the graphs that, although both sequences show growth, *a* is not linear whereas *b* is linear. Arithmetic sequences have a constant rate of change so their graphs will always be points on a line.

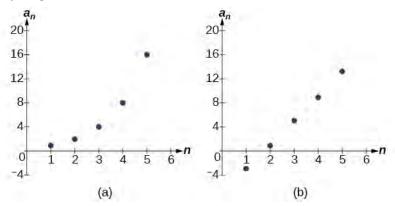


Figure 11.9



If we are told that a sequence is arithmetic, do we have to subtract every term from the following term to find the common difference?

No. If we know that the sequence is arithmetic, we can choose any one term in the sequence, and subtract it from the subsequent term to find the common difference.



11.10 Is the given sequence arithmetic? If so, find the common difference.



11.11 Is the given sequence arithmetic? If so, find the common difference.

$$\{1, 3, 6, 10, 15, \dots\}$$

Writing Terms of Arithmetic Sequences

Now that we can recognize an arithmetic sequence, we will find the terms if we are given the first term and the common difference. The terms can be found by beginning with the first term and adding the common difference repeatedly. In addition, any term can also be found by plugging in the values of n and d into formula below.

$$a_n = a_1 + (n-1)d$$



Given the first term and the common difference of an arithmetic sequence, find the first several terms.

- 1. Add the common difference to the first term to find the second term.
- 2. Add the common difference to the second term to find the third term.
- 3. Continue until all of the desired terms are identified.
- 4. Write the terms separated by commas within brackets.

Example 11.9

Writing Terms of Arithmetic Sequences

Write the first five terms of the arithmetic sequence with $a_1 = 17$ and d = -3.

Solution

Adding -3 is the same as subtracting 3. Beginning with the first term, subtract 3 from each term to find the next term.

The first five terms are $\{17, 14, 11, 8, 5\}$

Analysis

As expected, the graph of the sequence consists of points on a line as shown in **Figure 11.10**.

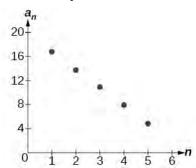


Figure 11.10



11.12 List the first five terms of the arithmetic sequence with $a_1 = 1$ and d = 5.



Given any the first term and any other term in an arithmetic sequence, find a given term.

- **1**. Substitute the values given for a_1 , a_n , n into the formula $a_n = a_1 + (n-1)d$ to solve for d.
- 2. Find a given term by substituting the appropriate values for a_1 , n, and d into the formula $a_n = a_1 + (n-1)d$.

Example 11.10

Writing Terms of Arithmetic Sequences

Given $a_1 = 8$ and $a_4 = 14$, find a_5 .

Solution

The sequence can be written in terms of the initial term 8 and the common difference d.

$$\{8, 8+d, 8+2d, 8+3d\}$$

We know the fourth term equals 14; we know the fourth term has the form $a_1 + 3d = 8 + 3d$.

We can find the common difference d.

$$a_n = a_1 + (n-1)d$$

 $a_4 = a_1 + 3d$
 $a_4 = 8 + 3d$ Write the fourth term of the sequence in terms of a_1 and d .
 $14 = 8 + 3d$ Substitute 14 for a_4 .
 $d = 2$ Solve for the common difference.

Find the fifth term by adding the common difference to the fourth term.

$$a_5 = a_4 + 2 = 16$$

Analysis

Notice that the common difference is added to the first term once to find the second term, twice to find the third term, three times to find the fourth term, and so on. The tenth term could be found by adding the common difference to the first term nine times or by using the equation $a_n = a_1 + (n-1)d$.



11.13 Given $a_3 = 7$ and $a_5 = 17$, find a_2 .

Using Recursive Formulas for Arithmetic Sequences

Some arithmetic sequences are defined in terms of the previous term using a recursive formula. The formula provides an algebraic rule for determining the terms of the sequence. A recursive formula allows us to find any term of an arithmetic sequence using a function of the preceding term. Each term is the sum of the previous term and the common difference. For example, if the common difference is 5, then each term is the previous term plus 5. As with any recursive formula, the first term must be given.

$$a_n = a_{n-1} + d$$
 $n \ge 2$

Recursive Formula for an Arithmetic Sequence

The recursive formula for an arithmetic sequence with common difference d is:

$$a_n = a_{n-1} + d$$
 $n \ge 2$ (11.2)



Given an arithmetic sequence, write its recursive formula.

- 1. Subtract any term from the subsequent term to find the common difference.
- State the initial term and substitute the common difference into the recursive formula for arithmetic sequences.

Example 11.11

Writing a Recursive Formula for an Arithmetic Sequence

Write a recursive formula for the arithmetic sequence.

$$\{-18, -7, 4, 15, 26, \ldots\}$$

Solution

The first term is given as -18. The common difference can be found by subtracting the first term from the second term.

$$d = -7 - (-18) = 11$$

Substitute the initial term and the common difference into the recursive formula for arithmetic sequences.

$$a_1 = -18$$

 $a_n = a_{n-1} + 11$, for $n \ge 2$

Analysis

We see that the common difference is the slope of the line formed when we graph the terms of the sequence, as shown in **Figure 11.11**. The growth pattern of the sequence shows the constant difference of 11 units.

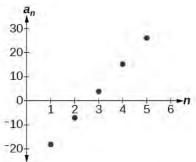


Figure 11.11



Do we have to subtract the first term from the second term to find the common difference?

No. We can subtract any term in the sequence from the subsequent term. It is, however, most common to subtract the first term from the second term because it is often the easiest method of finding the common difference.



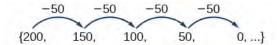
11.14 Write a recursive formula for the arithmetic sequence.

Using Explicit Formulas for Arithmetic Sequences

We can think of an arithmetic sequence as a function on the domain of the natural numbers; it is a linear function because it has a constant rate of change. The common difference is the constant rate of change, or the slope of the function. We can construct the linear function if we know the slope and the vertical intercept.

$$a_n = a_1 + d(n-1)$$

To find the *y*-intercept of the function, we can subtract the common difference from the first term of the sequence. Consider the following sequence.



The common difference is -50, so the sequence represents a linear function with a slope of -50. To find the y-intercept, we subtract -50 from 200 : 200 - (-50) = 200 + 50 = 250. You can also find the y-intercept by graphing the function and determining where a line that connects the points would intersect the vertical axis. The graph is shown in **Figure 11.12**.

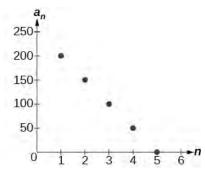


Figure 11.12

Recall the slope-intercept form of a line is y = mx + b. When dealing with sequences, we use a_n in place of y and n in place of x. If we know the slope and vertical intercept of the function, we can substitute them for m and b in the slope-intercept form of a line. Substituting -50 for the slope and 250 for the vertical intercept, we get the following equation:

$$a_n = -50n + 250$$

We do not need to find the vertical intercept to write an explicit formula for an arithmetic sequence. Another explicit formula for this sequence is $a_n = 200 - 50(n - 1)$, which simplifies to $a_n = -50n + 250$.

Explicit Formula for an Arithmetic Sequence

An explicit formula for the nth term of an arithmetic sequence is given by

$$a_n = a_1 + d(n-1) (11.3)$$



Given the first several terms for an arithmetic sequence, write an explicit formula.

- 1. Find the common difference, $a_2 a_1$.
- 2. Substitute the common difference and the first term into $a_n = a_1 + d(n-1)$.

Example 11.12

Writing the nth Term Explicit Formula for an Arithmetic Sequence

Write an explicit formula for the arithmetic sequence.

$$\{2, 12, 22, 32, 42, \ldots\}$$

Solution

The common difference can be found by subtracting the first term from the second term.

$$d = a_2 - a_1 \\ = 12 - 2 \\ = 10$$

The common difference is 10. Substitute the common difference and the first term of the sequence into the formula and simplify.

$$a_n = 2 + 10(n - 1)$$
$$a_n = 10n - 8$$

Analysis

The graph of this sequence, represented in **Figure 11.13**, shows a slope of 10 and a vertical intercept of -8.

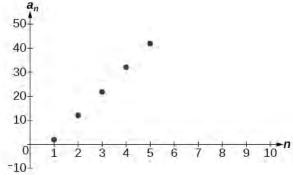


Figure 11.13



11.15 Write an explicit formula for the following arithmetic sequence.

Finding the Number of Terms in a Finite Arithmetic Sequence

Explicit formulas can be used to determine the number of terms in a finite arithmetic sequence. We need to find the common difference, and then determine how many times the common difference must be added to the first term to obtain the final term of the sequence.



Given the first three terms and the last term of a finite arithmetic sequence, find the total number of terms.

- 1. Find the common difference d.
- 2. Substitute the common difference and the first term into $a_n = a_1 + d(n-1)$.
- 3. Substitute the last term for a_n and solve for n.

Example 11.13

Finding the Number of Terms in a Finite Arithmetic Sequence

Find the number of terms in the finite arithmetic sequence.

$$\{8, 1, -6, ..., -41\}$$

Solution

The common difference can be found by subtracting the first term from the second term.

$$1 - 8 = -7$$

The common difference is -7. Substitute the common difference and the initial term of the sequence into the nth term formula and simplify.

$$a_n = a_1 + d(n-1)$$

 $a_n = 8 + -7(n-1)$
 $a_n = 15 - 7n$

Substitute -41 for a_n and solve for n

$$-41 = 15 - 7n$$

8 - n

There are eight terms in the sequence.



11.16 Find the number of terms in the finite arithmetic sequence.

Solving Application Problems with Arithmetic Sequences

In many application problems, it often makes sense to use an initial term of a_0 instead of a_1 . In these problems, we alter the explicit formula slightly to account for the difference in initial terms. We use the following formula:

$$a_n = a_0 + dn$$

Example 11.14

Solving Application Problems with Arithmetic Sequences

A five-year old child receives an allowance of \$1 each week. His parents promise him an annual increase of \$2 per week.

- a. Write a formula for the child's weekly allowance in a given year.
- b. What will the child's allowance be when he is 16 years old?

Solution

a. The situation can be modeled by an arithmetic sequence with an initial term of 1 and a common difference of 2.

Let A be the amount of the allowance and n be the number of years after age 5. Using the altered explicit formula for an arithmetic sequence we get:

$$A_n = 1 + 2n$$

b. We can find the number of years since age 5 by subtracting.

$$16 - 5 = 11$$

We are looking for the child's allowance after 11 years. Substitute 11 into the formula to find the child's allowance at age 16.

$$A_{11} = 1 + 2(11) = 23$$

The child's allowance at age 16 will be \$23 per week.



11.17 A woman decides to go for a 10-minute run every day this week and plans to increase the time of her daily run by 4 minutes each week. Write a formula for the time of her run after n weeks. How long will her daily run be 8 weeks from today?



Access this online resource for additional instruction and practice with arithmetic sequences.

· Arithmetic Sequences (http://openstaxcollege.org/l/arithmeticseq)

11.2 EXERCISES

Verbal

- 72. What is an arithmetic sequence?
- 73. How is the common difference of an arithmetic sequence found?
- 74. How do we determine whether a sequence is arithmetic?
- 75. What are the main differences between using a recursive formula and using an explicit formula to describe an arithmetic sequence?
- 76. Describe how linear functions and arithmetic sequences are similar. How are they different?

Algebraic

For the following exercises, find the common difference for the arithmetic sequence provided.

78.
$$\left\{0, \frac{1}{2}, 1, \frac{3}{2}, 2, ...\right\}$$

For the following exercises, determine whether the sequence is arithmetic. If so find the common difference.

For the following exercises, write the first five terms of the arithmetic sequence given the first term and common difference.

81.
$$a_1 = -25$$
, $d = -9$

82.
$$a_1 = 0$$
, $d = \frac{2}{3}$

For the following exercises, write the first five terms of the arithmetic series given two terms.

83.
$$a_1 = 17$$
, $a_7 = -31$

84.
$$a_{13} = -60$$
, $a_{33} = -160$

For the following exercises, find the specified term for the arithmetic sequence given the first term and common difference.

85. First term is 3, common difference is 4, find the 5th term.

86.

First term is 4, common difference is 5, find the 4th term.

- 87. First term is 5, common difference is 6, find the $8^{\rm th}$
- 88. First term is 6, common difference is 7, find the 6^{th}
- 89. First term is 7, common difference is 8, find the 7th term.

For the following exercises, find the first term given two terms from an arithmetic sequence.

- 90. Find the first term or a_1 of an arithmetic sequence if $a_6=12$ and $a_{14}=28$.
- 91. Find the first term or a_1 of an arithmetic sequence if $a_7 = 21$ and $a_{15} = 42$.
- 92. Find the first term or a_1 of an arithmetic sequence if $a_8 = 40$ and $a_{23} = 115$.
- 93. Find the first term or a_1 of an arithmetic sequence if $a_9 = 54$ and $a_{17} = 102$.
- 94. Find the first term or a_1 of an arithmetic sequence if $a_{11} = 11$ and $a_{21} = 16$.

For the following exercises, find the specified term given two terms from an arithmetic sequence.

95.
$$a_1 = 33$$
 and $a_7 = -15$. Find a_4 .

96.
$$a_3 = -17.1$$
 and $a_{10} = -15.7$. Find a_{21} .

For the following exercises, use the recursive formula to write the first five terms of the arithmetic sequence.

97.
$$a_1 = 39$$
; $a_n = a_{n-1} - 3$

98.
$$a_1 = -19$$
; $a_n = a_{n-1} - 1.4$

For the following exercises, write a recursive formula for each arithmetic sequence.

99.
$$a_n = \{40, 60, 80, ...\}$$

100.
$$a_n = \{17, 26, 35, ...\}$$

101.
$$a_n = \{-1, 2, 5, ...\}$$

102.
$$a_n = \{12, 17, 22, ...\}$$

103.
$$a_n = \{-15, -7, 1, ...\}$$

104.
$$a_n = \{8.9, 10.3, 11.7, ...\}$$

105.
$$a_n = \{-0.52, -1.02, -1.52, ...\}$$

106.
$$a_n = \left\{ \frac{1}{5}, \frac{9}{20}, \frac{7}{10}, \dots \right\}$$

107.
$$a_n = \left\{ -\frac{1}{2}, -\frac{5}{4}, -2, \ldots \right\}$$

108.
$$a_n = \left\{ \frac{1}{6}, -\frac{11}{12}, -2, \ldots \right\}$$

For the following exercises, write a recursive formula for the given arithmetic sequence, and then find the specified term.

109.
$$a_n = \{7, 4, 1, ...\}$$
; Find the 17^{th} term.

110.
$$a_n = \{4, 11, 18, ...\}$$
; Find the 14th term.

111.
$$a_n = \{2, 6, 10, ...\}$$
; Find the 12th term.

For the following exercises, use the explicit formula to write the first five terms of the arithmetic sequence.

112.
$$a_n = 24 - 4n$$

113.
$$a_n = \frac{1}{2}n - \frac{1}{2}$$

For the following exercises, write an explicit formula for each arithmetic sequence.

114.
$$a_n = \{3, 5, 7, ...\}$$

115.
$$a_n = \{32, 24, 16, ...\}$$

116.
$$a_n = \{-5, 95, 195, ...\}$$

117.
$$a_n = \{-17, -217, -417, \ldots\}$$

118.
$$a_n = \{1.8, 3.6, 5.4, ...\}$$

119.
$$a_n = \{-18.1, -16.2, -14.3, ...\}$$

120.
$$a_n = \{15.8, 18.5, 21.2, ...\}$$

121.
$$a_n = \left\{ \frac{1}{3}, -\frac{4}{3}, -3, \ldots \right\}$$

122.
$$a_n = \left\{0, \frac{1}{3}, \frac{2}{3}, \ldots\right\}$$

123.
$$a_n = \left\{ -5, -\frac{10}{3}, -\frac{5}{3}, \dots \right\}$$

For the following exercises, find the number of terms in the given finite arithmetic sequence.

124.
$$a_n = \{3, -4, -11, ..., -60\}$$

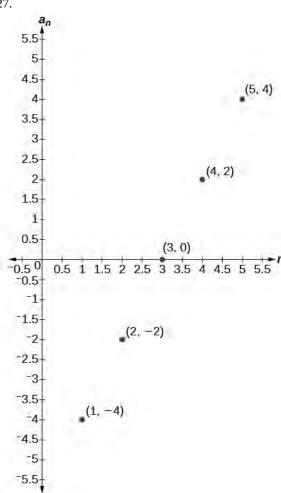
125.
$$a_n = \{1.2, 1.4, 1.6, ..., 3.8\}$$

126.
$$a_n = \left\{ \frac{1}{2}, 2, \frac{7}{2}, ..., 8 \right\}$$

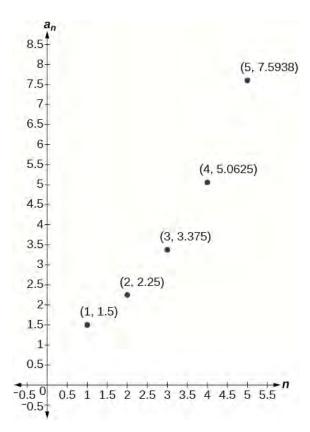
Graphical

For the following exercises, determine whether the graph shown represents an arithmetic sequence.

127.



128.



For the following exercises, use the information provided to graph the first 5 terms of the arithmetic sequence.

129.
$$a_1 = 0$$
, $d = 4$

130.
$$a_1 = 9$$
; $a_n = a_{n-1} - 10$

131.
$$a_n = -12 + 5n$$

Technology

For the following exercises, follow the steps to work with the arithmetic sequence $a_n = 3n - 2$ using a graphing calculator:

- Press [MODE]
 - Select SEQ in the fourth line
 - Select DOT in the fifth line
 - Press [ENTER]
- Press [Y=]
 - *n*Min is the first counting number for the sequence. Set *n*Min = 1
 - u(n) is the pattern for the sequence. Set u(n) = 3n 2
 - u(nMin) is the first number in the sequence. Set u(nMin) = 1
- Press [2ND] then [WINDOW] to go to TBLSET

- Set TblStart = 1
- Set Δ Tbl = 1
- Set Indpnt: Auto and Depend: Auto
- Press [2ND] then [GRAPH] to go to the TABLE
- 132. What are the first seven terms shown in the column with the heading u(n)?
- 133. Use the scroll-down arrow to scroll to n = 50. What value is given for u(n)?
- 134. Press **[WINDOW]**. Set nMin = 1, nMax = 5, xMin = 0, xMax = 6, yMin = -1, and yMax = 14. Then press **[GRAPH]**. Graph the sequence as it appears on the graphing calculator.

For the following exercises, follow the steps given above to work with the arithmetic sequence $a_n = \frac{1}{2}n + 5$ using a graphing calculator.

- 135. What are the first seven terms shown in the column with the heading u(n) in the TABLE feature?
- 136. Graph the sequence as it appears on the graphing calculator. Be sure to adjust the WINDOW settings as needed.

Extensions

- 137. Give two examples of arithmetic sequences whose 4th terms are 9.
- 138. Give two examples of arithmetic sequences whose 10th terms are 206.
- 139. Find the 5th term of the arithmetic sequence $\{9b, 5b, b, \dots\}$.
- 140. Find the 11th term of the arithmetic sequence $\{3a-2b, a+2b, -a+6b \dots \}$.
- 141. At which term does the sequence {5.4, 14.5, 23.6, ...} exceed 151?
- 142. At which term does the sequence $\left\{\frac{17}{3}, \frac{31}{6}, \frac{14}{3}, \ldots\right\}$ begin to have negative values?
- 143. For which terms does the finite arithmetic sequence $\left\{\frac{5}{2}, \frac{19}{8}, \frac{9}{4}, ..., \frac{1}{8}\right\}$ have integer values?
- 144. Write an arithmetic sequence using a recursive formula. Show the first 4 terms, and then find the 31st term.

145. Write an arithmetic sequence using an explicit formula. Show the first 4 terms, and then find the $28^{\rm th}$ term.

11.3 | Geometric Sequences

Learning Objectives

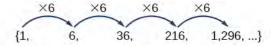
In this section, you will:

- 11.3.1 Find the common ratio for a geometric sequence.
- **11.3.2** List the terms of a geometric sequence.
- 11.3.3 Use a recursive formula for a geometric sequence.
- 11.3.4 Use an explicit formula for a geometric sequence.

Many jobs offer an annual cost-of-living increase to keep salaries consistent with inflation. Suppose, for example, a recent college graduate finds a position as a sales manager earning an annual salary of \$26,000. He is promised a 2% cost of living increase each year. His annual salary in any given year can be found by multiplying his salary from the previous year by 102%. His salary will be \$26,520 after one year; \$27,050.40 after two years; \$27,591.41 after three years; and so on. When a salary increases by a constant rate each year, the salary grows by a constant factor. In this section, we will review sequences that grow in this way.

Finding Common Ratios

The yearly salary values described form a **geometric sequence** because they change by a constant factor each year. Each term of a geometric sequence increases or decreases by a constant factor called the **common ratio**. The sequence below is an example of a geometric sequence because each term increases by a constant factor of 6. Multiplying any term of the sequence by the common ratio 6 generates the subsequent term.



Definition of a Geometric Sequence

A **geometric sequence** is one in which any term divided by the previous term is a constant. This constant is called the **common ratio** of the sequence. The common ratio can be found by dividing any term in the sequence by the previous term. If a_1 is the initial term of a geometric sequence and r is the common ratio, the sequence will be

$${a_1, a_1r, a_1r^2, a_1r^3, ...}$$



Given a set of numbers, determine if they represent a geometric sequence.

- 1. Divide each term by the previous term.
- 2. Compare the quotients. If they are the same, a common ratio exists and the sequence is geometric.

Example 11.15

Finding Common Ratios

Is the sequence geometric? If so, find the common ratio.

- a. 1, 2, 4, 8, 16, ...
- b. 48, 12, 4, 2, ...

Solution

Divide each term by the previous term to determine whether a common ratio exists.

a.
$$\frac{2}{1} = 2$$
 $\frac{4}{2} = 2$ $\frac{8}{4} = 2$ $\frac{16}{8} = 2$

The sequence is geometric because there is a common ratio. The common ratio is 2.

b.
$$\frac{12}{48} = \frac{1}{4}$$
 $\frac{4}{12} = \frac{1}{3}$ $\frac{2}{4} = \frac{1}{2}$

The sequence is not geometric because there is not a common ratio.

Analysis

The graph of each sequence is shown in **Figure 11.14**. It seems from the graphs that both (a) and (b) appear have the form of the graph of an exponential function in this viewing window. However, we know that (a) is geometric and so this interpretation holds, but (b) is not.

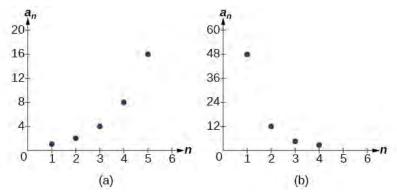


Figure 11.14



If you are told that a sequence is geometric, do you have to divide every term by the previous term to find the common ratio?

No. If you know that the sequence is geometric, you can choose any one term in the sequence and divide it by the previous term to find the common ratio.



11.18 Is the sequence geometric? If so, find the common ratio.



11.19 Is the sequence geometric? If so, find the common ratio.

100, 20, 4,
$$\frac{4}{5}$$
, ...

Writing Terms of Geometric Sequences

Now that we can identify a geometric sequence, we will learn how to find the terms of a geometric sequence if we are given the first term and the common ratio. The terms of a geometric sequence can be found by beginning with the first term and multiplying by the common ratio repeatedly. For instance, if the first term of a geometric sequence is $a_1 = -2$ and the common ratio is r = 4, we can find subsequent terms by multiplying $-2 \cdot 4$ to get -8 then multiplying the result $-8 \cdot 4$ to get -32 and so on.

$$a_1 = -2$$

 $a_2 = (-2 \cdot 4) = -8$
 $a_3 = (-8 \cdot 4) = -32$
 $a_4 = (-32 \cdot 4) - 128$

The first four terms are $\{-2, -8, -32, -128\}$.



Given the first term and the common factor, find the first four terms of a geometric sequence.

- **1**. Multiply the initial term, a_1 , by the common ratio to find the next term, a_2 .
- 2. Repeat the process, using $a_n = a_2$ to find a_3 and then a_3 to find a_4 , until all four terms have been identified.
- 3. Write the terms separated by commons within brackets.

Example 11.16

Writing the Terms of a Geometric Sequence

List the first four terms of the geometric sequence with $a_1 = 5$ and r = -2.

Solution

Multiply a_1 by -2 to find a_2 . Repeat the process, using a_2 to find a_3 , and so on.

$$a_1 = 5$$

 $a_2 = -2a_1 = -10$
 $a_3 = -2a_2 = 20$
 $a_4 = -2a_3 = -40$

The first four terms are $\{5, -10, 20, -40\}$.



11.20 List the first five terms of the geometric sequence with $a_1 = 18$ and $r = \frac{1}{3}$.

Using Recursive Formulas for Geometric Sequences

A recursive formula allows us to find any term of a geometric sequence by using the previous term. Each term is the product of the common ratio and the previous term. For example, suppose the common ratio is 9. Then each term is nine times the previous term. As with any recursive formula, the initial term must be given.

Recursive Formula for a Geometric Sequence

The recursive formula for a geometric sequence with common ratio r and first term a_1 is

$$a_n = ra_{n-1}, \ n \ge 2$$
 (11.4)



Given the first several terms of a geometric sequence, write its recursive formula.

- 1. State the initial term.
- 2. Find the common ratio by dividing any term by the preceding term.
- 3. Substitute the common ratio into the recursive formula for a geometric sequence.

Example 11.17

Using Recursive Formulas for Geometric Sequences

Write a recursive formula for the following geometric sequence.

Solution

The first term is given as 6. The common ratio can be found by dividing the second term by the first term.

$$r = \frac{9}{6} = 1.5$$

Substitute the common ratio into the recursive formula for geometric sequences and define a_1 .

$$a_n = ra_{n-1}$$

 $a_n = 1.5a_{n-1}$ for $n \ge 2$
 $a_1 = 6$

Analysis

The sequence of data points follows an exponential pattern. The common ratio is also the base of an exponential function as shown in **Figure 11.15**

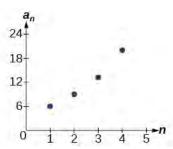


Figure 11.15



Do we have to divide the second term by the first term to find the common ratio?

No. We can divide any term in the sequence by the previous term. It is, however, most common to divide the second term by the first term because it is often the easiest method of finding the common ratio.



11.21 Write a recursive formula for the following geometric sequence.

$$\left\{2, \frac{4}{3}, \frac{8}{9}, \frac{16}{27}, \ldots\right\}$$

Using Explicit Formulas for Geometric Sequences

Because a geometric sequence is an exponential function whose domain is the set of positive integers, and the common ratio is the base of the function, we can write explicit formulas that allow us to find particular terms.

$$a_n = a_1 r^{n-1}$$

Let's take a look at the sequence {18, 36, 72, 144, 288, ...}. This is a geometric sequence with a common ratio of 2 and an exponential function with a base of 2. An explicit formula for this sequence is

$$a_n = 18 \cdot 2^{n-1}$$

The graph of the sequence is shown in **Figure 11.16**.

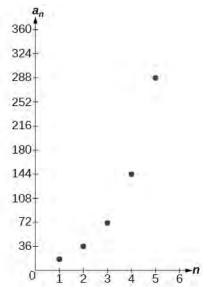


Figure 11.16

Explicit Formula for a Geometric Sequence

The *n*th term of a geometric sequence is given by the explicit formula:

$$a_n = a_1 r^{n-1} (11.5)$$

Example 11.18

Writing Terms of Geometric Sequences Using the Explicit Formula

Given a geometric sequence with $a_1 = 3$ and $a_4 = 24$, find a_2 .

Solution

The sequence can be written in terms of the initial term and the common ratio r.

$$3, 3r, 3r^2, 3r^3, \dots$$

Find the common ratio using the given fourth term.

$$a_n = a_1 r^{n-1}$$
 $a_4 = 3r^3$ Write the fourth term of sequence in terms of α_1 and r
 $24 = 3r^3$ Substitute 24 for a_4
 $8 = r^3$ Divide
 $r = 2$ Solve for the common ratio

Find the second term by multiplying the first term by the common ratio.

$$a_2 = 2a_1$$

= 2(3)
= 6

Analysis

The common ratio is multiplied by the first term once to find the second term, twice to find the third term, three times to find the fourth term, and so on. The tenth term could be found by multiplying the first term by the common ratio nine times or by multiplying by the common ratio raised to the ninth power.



11.22 Given a geometric sequence with $a_2 = 4$ and $a_3 = 32$, find a_6 .

Example 11.19

Writing an Explicit Formula for the nth Term of a Geometric Sequence

Write an explicit formula for the nth term of the following geometric sequence.

Solution

The first term is 2. The common ratio can be found by dividing the second term by the first term.

$$\frac{10}{2} = 5$$

The common ratio is 5. Substitute the common ratio and the first term of the sequence into the formula.

$$a_n = a_1 r^{(n-1)}$$
$$a_n = 2 \cdot 5^{n-1}$$

The graph of this sequence in **Figure 11.17** shows an exponential pattern.

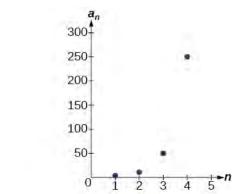


Figure 11.17



11.23 Write an explicit formula for the following geometric sequence.

$$\{-1, 3, -9, 27, \dots\}$$

Solving Application Problems with Geometric Sequences

In real-world scenarios involving arithmetic sequences, we may need to use an initial term of a_0 instead of a_1 . In these problems, we can alter the explicit formula slightly by using the following formula:

$$a_n = a_0 r^n$$

Example 11.20

Solving Application Problems with Geometric Sequences

In 2013, the number of students in a small school is 284. It is estimated that the student population will increase by 4% each year.

- a. Write a formula for the student population.
- b. Estimate the student population in 2020.

Solution

a. The situation can be modeled by a geometric sequence with an initial term of 284. The student population will be 104% of the prior year, so the common ratio is 1.04.

Let P be the student population and n be the number of years after 2013. Using the explicit formula for a geometric sequence we get

$$P_n = 284 \cdot 1.04^n$$

b. We can find the number of years since 2013 by subtracting.

$$2020 - 2013 = 7$$

We are looking for the population after 7 years. We can substitute 7 for n to estimate the population in 2020.

$$P_7 = 284 \cdot 1.04^7 \approx 374$$

The student population will be about 374 in 2020.



- **11.24** A business starts a new website. Initially the number of hits is 293 due to the curiosity factor. The business estimates the number of hits will increase by 2.6% per week.
 - a. Write a formula for the number of hits.
 - b. Estimate the number of hits in 5 weeks.



Access these online resources for additional instruction and practice with geometric sequences.

- Geometric Sequences (http://openstaxcollege.org/l/geometricseq)
- Determine the Type of Sequence (http://openstaxcollege.org/l/sequencetype)
- Find the Formula for a Sequence (http://openstaxcollege.org/l/sequenceformula)

11.3 EXERCISES

Verbal

146. What is a geometric sequence?

147. How is the common ratio of a geometric sequence found?

148. What is the procedure for determining whether a sequence is geometric?

149. What is the difference between an arithmetic sequence and a geometric sequence?

150. Describe how exponential functions and geometric sequences are similar. How are they different?

Algebraic

For the following exercises, find the common ratio for the geometric sequence.

151. 1, 3, 9, 27, 81, ...

152.
$$-0.125$$
, 0.25, -0.5 , 1, -2 , ...

153.
$$-2$$
, $-\frac{1}{2}$, $-\frac{1}{8}$, $-\frac{1}{32}$, $-\frac{1}{128}$, ...

For the following exercises, determine whether the sequence is geometric. If so, find the common ratio.

154.
$$-6$$
, -12 , -24 , -48 , -96 , ...

155. 5, 5.2, 5.4, 5.6, 5.8, ...

156.
$$-1, \frac{1}{2}, -\frac{1}{4}, \frac{1}{8}, -\frac{1}{16}, \dots$$

157. 6, 8, 11, 15, 20, ...

158. 0.8, 4, 20, 100, 500, ...

For the following exercises, write the first five terms of the geometric sequence, given the first term and common ratio.

159.
$$a_1 = 8$$
, $r = 0.3$

160.
$$a_1 = 5$$
, $r = \frac{1}{5}$

For the following exercises, write the first five terms of the geometric sequence, given any two terms.

161.
$$a_7 = 64$$
, $a_{10} = 512$

162.
$$a_6 = 25$$
, $a_8 = 6.25$

For the following exercises, find the specified term for the geometric sequence, given the first term and common ratio.

163. The first term is 2, and the common ratio is 3. Find the 5^{th} term.

164. The first term is 16 and the common ratio is $-\frac{1}{3}$.

Find the 4th term.

For the following exercises, find the specified term for the geometric sequence, given the first four terms.

165.
$$a_n = \{-1, 2, -4, 8, ...\}$$
. Find a_{12} .

166.
$$a_n = \left\{-2, \frac{2}{3}, -\frac{2}{9}, \frac{2}{27}, \ldots\right\}$$
. Find a_7 .

For the following exercises, write the first five terms of the geometric sequence.

167.
$$a_1 = -486$$
, $a_n = -\frac{1}{3}a_{n-1}$

168.
$$a_1 = 7$$
, $a_n = 0.2a_{n-1}$

For the following exercises, write a recursive formula for each geometric sequence.

169.
$$a_n = \{-1, 5, -25, 125, ...\}$$

170.
$$a_n = \{-32, -16, -8, -4, ...\}$$

171.
$$a_n = \{14, 56, 224, 896, ...\}$$

172.
$$a_n = \{10, -3, 0.9, -0.27, ...\}$$

173.
$$a_n = \{0.61, 1.83, 5.49, 16.47, ...\}$$

174.
$$a_n = \left\{ \frac{3}{5}, \frac{1}{10}, \frac{1}{60}, \frac{1}{360}, \dots \right\}$$

175.
$$a_n = \left\{-2, \frac{4}{3}, -\frac{8}{9}, \frac{16}{27}, \ldots\right\}$$

176.
$$a_n = \left\{ \frac{1}{512}, -\frac{1}{128}, \frac{1}{32}, -\frac{1}{8}, \ldots \right\}$$

For the following exercises, write the first five terms of the geometric sequence.

177.
$$a_n = -4 \cdot 5^{n-1}$$

178.
$$a_n = 12 \cdot \left(-\frac{1}{2}\right)^{n-1}$$

For the following exercises, write an explicit formula for each geometric sequence.

179.
$$a_n = \{-2, -4, -8, -16, ...\}$$

180.
$$a_n = \{1, 3, 9, 27, ...\}$$

181.
$$a_n = \{-4, -12, -36, -108, ...\}$$

182.
$$a_n = \{0.8, -4, 20, -100, ...\}$$

183.
$$a_n = \{-1.25, -5, -20, -80, ...\}$$

184.
$$a_n = \left\{-1, -\frac{4}{5}, -\frac{16}{25}, -\frac{64}{125}, ...\right\}$$

185.
$$a_n = \left\{2, \frac{1}{3}, \frac{1}{18}, \frac{1}{108}, \ldots\right\}$$

186.
$$a_n = \left\{3, -1, \frac{1}{3}, -\frac{1}{9}, \ldots\right\}$$

For the following exercises, find the specified term for the geometric sequence given.

187. Let
$$a_1 = 4$$
, $a_n = -3a_{n-1}$. Find a_8 .

188. Let
$$a_n = -\left(-\frac{1}{3}\right)^{n-1}$$
. Find a_{12} .

For the following exercises, find the number of terms in the given finite geometric sequence.

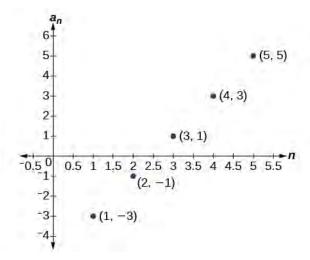
189.
$$a_n = \{-1, 3, -9, ..., 2187\}$$

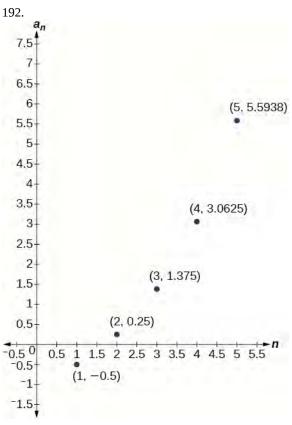
190.
$$a_n = \left\{2, 1, \frac{1}{2}, ..., \frac{1}{1024}\right\}$$

Graphical

For the following exercises, determine whether the graph shown represents a geometric sequence.

191.





For the following exercises, use the information provided to graph the first five terms of the geometric sequence.

193.
$$a_1 = 1$$
, $r = \frac{1}{2}$

194.
$$a_1 = 3$$
, $a_n = 2a_{n-1}$

195.
$$a_n = 27 \cdot 0.3^{n-1}$$

Extensions

196.

Use recursive formulas to give two examples of geometric sequences whose 3rd terms are 200.

- 197. Use explicit formulas to give two examples of geometric sequences whose 7th terms are 1024.
- 198. Find the 5^{th} term of the geometric sequence $\{b, 4b, 16b, ...\}$.
- 199. Find the 7th term of the geometric sequence $\{64a(-b), 32a(-3b), 16a(-9b), ...\}$.
- 200. At which term does the sequence {10, 12, 14.4, 17.28, ...} exceed 100?
- 201. At which term does the sequence $\left\{\frac{1}{2187}, \frac{1}{729}, \frac{1}{243}, \frac{1}{81} \dots\right\}$ begin to have integer values?
- 202. For which term does the geometric sequence $a_n = -36\left(\frac{2}{3}\right)^{n-1}$ first have a non-integer value?
- 203. Use the recursive formula to write a geometric sequence whose common ratio is an integer. Show the first four terms, and then find the 10^{th} term.
- 204. Use the explicit formula to write a geometric sequence whose common ratio is a decimal number between 0 and 1. Show the first 4 terms, and then find the 8^{th} term.
- 205. Is it possible for a sequence to be both arithmetic and geometric? If so, give an example.

11.4 | Series and Their Notations

Learning Objectives

- 11.4.1 Use summation notation.
- 11.4.2 Use the formula for the sum of the first n terms of an arithmetic series.
- 11.4.3 Use the formula for the sum of the first n terms of a geometric series.
- 11.4.4 Use the formula for the sum of an infinite geometric series.
- 11.4.5 Solve annuity problems.

A couple decides to start a college fund for their daughter. They plan to invest \$50 in the fund each month. The fund pays 6% annual interest, compounded monthly. How much money will they have saved when their daughter is ready to start college in 6 years? In this section, we will learn how to answer this question. To do so, we need to consider the amount of money invested and the amount of interest earned.

Using Summation Notation

To find the total amount of money in the college fund and the sum of the amounts deposited, we need to add the amounts deposited each month and the amounts earned monthly. The sum of the terms of a sequence is called a **series**. Consider, for example, the following series.

$$3 + 7 + 11 + 15 + 19 + \dots$$

The *n*th partial sum of a series is the sum of a finite number of consecutive terms beginning with the first term. The notation S_n represents the partial sum.

$$S_1 = 3$$

 $S_2 = 3 + 7 = 10$
 $S_3 = 3 + 7 + 11 = 21$
 $S_4 = 3 + 7 + 11 + 15 = 36$

Summation notation is used to represent series. Summation notation is often known as sigma notation because it uses the Greek capital letter sigma, Σ , to represent the sum. Summation notation includes an explicit formula and specifies the first and last terms in the series. An explicit formula for each term of the series is given to the right of the sigma. A variable called the **index of summation** is written below the sigma. The index of summation is set equal to the **lower limit of summation**, which is the number used to generate the first term in the series. The number above the sigma, called the **upper limit of summation**, is the number used to generate the last term in a series.

Upper limit of summation
$$\sum_{k=1}^{5} 2k$$
 Explicit formula for k th term of series Index of summation $k=1$ Lower limit of summation

If we interpret the given notation, we see that it asks us to find the sum of the terms in the series $a_k = 2k$ for k = 1 through k = 5. We can begin by substituting the terms for k and listing out the terms of this series.

$$a_1 = 2(1) = 2$$

 $a_2 = 2(2) = 4$
 $a_3 = 2(3) = 6$
 $a_4 = 2(4) = 8$
 $a_5 = 2(5) = 10$

We can find the sum of the series by adding the terms:

$$\sum_{k=1}^{5} 2k = 2 + 4 + 6 + 8 + 10 = 30$$

Summation Notation

The sum of the first *n* terms of a **series** can be expressed in **summation notation** as follows:

$$\sum_{k=1}^{n} a_k$$

This notation tells us to find the sum of a_k from k = 1 to k = n.

k is called the **index of summation**, 1 is the **lower limit of summation**, and n is the **upper limit of summation**.



Does the lower limit of summation have to be 1?

No. The lower limit of summation can be any number, but 1 is frequently used. We will look at examples with lower limits of summation other than 1.



Given summation notation for a series, evaluate the value.

- 1. Identify the lower limit of summation.
- 2. Identify the upper limit of summation.
- 3. Substitute each value of *k* from the lower limit to the upper limit into the formula.
- 4. Add to find the sum.

Example 11.21

Using Summation Notation

Evaluate
$$\sum_{k=3}^{7} k^2$$
.

Solution

According to the notation, the lower limit of summation is 3 and the upper limit is 7. So we need to find the sum of k^2 from k = 3 to k = 7. We find the terms of the series by substituting k = 3,4,5,6, and 7 into the function k^2 . We add the terms to find the sum.

$$\sum_{k=3}^{7} k^2 = 3^2 + 4^2 + 5^2 + 6^2 + 7^2$$
$$= 9 + 16 + 25 + 36 + 49$$
$$= 135$$



11.25 Evaluate
$$\sum_{k=2}^{5} (3k-1)$$
.

Using the Formula for Arithmetic Series

Just as we studied special types of sequences, we will look at special types of series. Recall that an arithmetic sequence is a sequence in which the difference between any two consecutive terms is the common difference, d. The sum of the terms of an arithmetic sequence is called an **arithmetic series**. We can write the sum of the first n terms of an arithmetic series as:

$$S_n = a_1 + (a_1 + d) + (a_1 + 2d) + \dots + (a_n - d) + a_n.$$

We can also reverse the order of the terms and write the sum as

$$S_n = a_n + (a_n - d) + (a_n - 2d) + \dots + (a_1 + d) + a_1.$$

If we add these two expressions for the sum of the first n terms of an arithmetic series, we can derive a formula for the sum of the first n terms of any arithmetic series.

$$S_n = a_1 + (a_1 + d) + (a_1 + 2d) + \dots + (a_n - d) + a_n$$

$$+ S_n = a_n + (a_n - d) + (a_n - 2d) + \dots + (a_1 + d) + a_1$$

$$2S_n = (a_1 + a_n) + (a_1 + a_n) + \dots + (a_1 + a_n)$$

Because there are n terms in the series, we can simplify this sum to

$$2S_n = n(a_1 + a_n).$$

We divide by 2 to find the formula for the sum of the first n terms of an arithmetic series.

$$S_n = \frac{n(a_1 + a_n)}{2}$$

Formula for the Sum of the First n Terms of an Arithmetic Series

An **arithmetic series** is the sum of the terms of an arithmetic sequence. The formula for the sum of the first n terms of an arithmetic sequence is

$$S_n = \frac{n(a_1 + a_n)}{2} \tag{11.6}$$



Given terms of an arithmetic series, find the sum of the first n terms.

- **1**. Identify a_1 and a_n .
- 2. Determine n.
- 3. Substitute values for a_1 , a_n , and n into the formula $S_n = \frac{n(a_1 + a_n)}{2}$.
- 4. Simplify to find S_n .

Example 11.22

Finding the First *n* Terms of an Arithmetic Series

Find the sum of each arithmetic series.

a.
$$5 + 8 + 11 + 14 + 17 + 20 + 23 + 26 + 29 + 32$$

b.
$$20 + 15 + 10 + ... + -50$$

c.
$$\sum_{k=1}^{12} 3k - 8$$

Solution

a. We are given $a_1 = 5$ and $a_n = 32$.

Count the number of terms in the sequence to find n = 10.

Substitute values for a_1 , a_n , and n into the formula and simplify.

$$S_n = \frac{n(a_1 + a_n)}{2}$$

$$S_{10} = \frac{10(5 + 32)}{2} = 185$$

b. We are given $a_1 = 20$ and $a_n = -50$.

Use the formula for the general term of an arithmetic sequence to find n.

$$a_n = a_1 + (n-1)d$$

$$-50 = 20 + (n-1)(-5)$$

$$-70 = (n-1)(-5)$$

$$14 = n - 1$$

$$15 = n$$

Substitute values for a_1 , a_n , n into the formula and simplify.

$$S_n = \frac{n(a_1 + a_n)}{2}$$

$$S_{15} = \frac{15(20 - 50)}{2} = -225$$

c. To find a_1 , substitute k = 1 into the given explicit formula.

$$a_k = 3k - 8$$

 $a_1 = 3(1) - 8 = -5$

We are given that n = 12. To find a_{12} , substitute k = 12 into the given explicit formula.

$$a_k = 3k - 8$$

 $a_{12} = 3(12) - 8 = 28$

Substitute values for a_1 , a_n , and n into the formula and simplify.

$$S_n = \frac{n(a_1 + a_n)}{2}$$
$$S_{12} = \frac{12(-5 + 28)}{2} = 138$$

Use the formula to find the sum of each arithmetic series.







11.28
$$\sum_{k=1}^{10} 5 - 6k$$

Example 11.23

Solving Application Problems with Arithmetic Series

On the Sunday after a minor surgery, a woman is able to walk a half-mile. Each Sunday, she walks an additional quarter-mile. After 8 weeks, what will be the total number of miles she has walked?

Solution

This problem can be modeled by an arithmetic series with $a_1 = \frac{1}{2}$ and $d = \frac{1}{4}$. We are looking for the total number of miles walked after 8 weeks, so we know that n = 8, and we are looking for S_8 . To find a_8 , we can use the explicit formula for an arithmetic sequence.

$$a_n = a_1 + d(n-1)$$

 $a_8 = \frac{1}{2} + \frac{1}{4}(8-1) = \frac{9}{4}$

We can now use the formula for arithmetic series.

$$S_n = \frac{n(a_1 + a_n)}{2}$$
$$S_8 = \frac{8(\frac{1}{2} + \frac{9}{4})}{2} = 11$$

She will have walked a total of 11 miles.



11.29 A man earns \$100 in the first week of June. Each week, he earns \$12.50 more than the previous week. After 12 weeks, how much has he earned?

Using the Formula for Geometric Series

Just as the sum of the terms of an arithmetic sequence is called an arithmetic series, the sum of the terms in a geometric sequence is called a **geometric series**. Recall that a geometric sequence is a sequence in which the ratio of any two consecutive terms is the common ratio, r. We can write the sum of the first n terms of a geometric series as

$$S_n = a_1 + ra_1 + r^2 a_1 + \dots + r^{n-1} a_1.$$

Just as with arithmetic series, we can do some algebraic manipulation to derive a formula for the sum of the first n terms of a geometric series. We will begin by multiplying both sides of the equation by r.

$$rS_n = ra_1 + r^2 a_1 + r^3 a_1 + \dots + r^n a_1$$

Next, we subtract this equation from the original equation.

$$S_n = a_1 + ra_1 + r^2 a_1 + \dots + r^{n-1} a_1$$

$$\frac{-rS_n = -(ra_1 + r^2 a_1 + r^3 a_1 + \dots + r^n a_1)}{(1 - r)S_n = a_1 - r^n a_1}$$

Notice that when we subtract, all but the first term of the top equation and the last term of the bottom equation cancel out. To obtain a formula for S_n , divide both sides by (1 - r).

$$S_n = \frac{a_1(1 - r^n)}{1 - r} r \neq 1$$

Formula for the Sum of the First n Terms of a Geometric Series

A **geometric series** is the sum of the terms in a geometric sequence. The formula for the sum of the first n terms of a geometric sequence is represented as

$$S_n = \frac{a_1(1-r^n)}{1-r}$$
r $\neq 1$ (11.7)



Given a geometric series, find the sum of the first n terms.

- 1. Identify a_1 , r, and n.
- 2. Substitute values for a_1 , r, and n into the formula $S_n = \frac{a_1(1-r^n)}{1-r}$.
- 3. Simplify to find S_n .

Example 11.24

Finding the First *n* Terms of a Geometric Series

Use the formula to find the indicated partial sum of each geometric series.

- a. S_{11} for the series 8 + -4 + 2 + ...
- b. $\sum_{k=1}^{k=1} 3 \cdot 2^k$

Solution

a. $a_1 = 8$, and we are given that n = 11.

We can find r by dividing the second term of the series by the first.

$$r = \frac{-4}{8} = -\frac{1}{2}$$

Substitute values for a_1 , r, and n into the formula and simplify.

$$S_n = \frac{a_1(1 - r^n)}{1 - r}$$

$$S_{11} = \frac{8\left(1 - \left(-\frac{1}{2}\right)^{11}\right)}{1 - \left(-\frac{1}{2}\right)} \approx 5.336$$

b. Find a_1 by substituting k = 1 into the given explicit formula.

$$a_1 = 3 \cdot 2^1 = 6$$

We can see from the given explicit formula that r = 2. The upper limit of summation is 6, so n = 6.

Substitute values for a_1 , r, and n into the formula, and simplify.

$$S_n = \frac{a_1(1 - r^n)}{1 - r}$$
$$S_6 = \frac{6(1 - 2^6)}{1 - 2} = 378$$

Use the formula to find the indicated partial sum of each geometric series.



 S_{20} for the series 1,000 + 500 + 250 + ...



11.31
$$\sum_{k=1}^{8} 3^k$$

Example 11.25

Solving an Application Problem with a Geometric Series

At a new job, an employee's starting salary is \$26,750. He receives a 1.6% annual raise. Find his total earnings at the end of 5 years.

Solution

The problem can be represented by a geometric series with $a_1 = 26,750; n = 5;$ and r = 1.016. Substitute values for a_1 , r, and n into the formula and simplify to find the total amount earned at the end of 5 years.

$$S_n = \frac{a_1(1 - r^n)}{1 - r}$$

$$S_5 = \frac{26,750(1 - 1.016^5)}{1 - 1.016} \approx 138,099.03$$

He will have earned a total of \$138,099.03 by the end of 5 years.



11.32 At a new job, an employee's starting salary is \$32,100. She receives a 2% annual raise. How much will she have earned by the end of 8 years?

Using the Formula for the Sum of an Infinite Geometric Series

Thus far, we have looked only at finite series. Sometimes, however, we are interested in the sum of the terms of an infinite sequence rather than the sum of only the first *n* terms. An **infinite series** is the sum of the terms of an infinite sequence. An example of an infinite series is 2 + 4 + 6 + 8 + ...

This series can also be written in summation notation as $\sum_{k=1}^{\infty} 2k$, where the upper limit of summation is infinity. Because

the terms are not tending to zero, the sum of the series increases without bound as we add more terms. Therefore, the sum of this infinite series is not defined. When the sum is not a real number, we say the series **diverges**.

Determining Whether the Sum of an Infinite Geometric Series is Defined

If the terms of an infinite geometric series approach 0, the sum of an infinite geometric series can be defined. The terms in this series approach 0:

$$1 + 0.2 + 0.04 + 0.008 + 0.0016 + ...$$

The common ratio r = 0.2. As n gets very large, the values of r^n get very small and approach 0. Each successive term affects the sum less than the preceding term. As each succeeding term gets closer to 0, the sum of the terms approaches a finite value. The terms of any infinite geometric series with -1 < r < 1 approach 0; the sum of a geometric series is defined when -1 < r < 1.

Determining Whether the Sum of an Infinite Geometric Series is Defined

The sum of an infinite series is defined if the series is geometric and -1 < r < 1.



Given the first several terms of an infinite series, determine if the sum of the series exists.

- 1. Find the ratio of the second term to the first term.
- 2. Find the ratio of the third term to the second term.
- 3. Continue this process to ensure the ratio of a term to the preceding term is constant throughout. If so, the series is geometric.
- 4. If a common ratio, r, was found in step 3, check to see if -1 < r < 1. If so, the sum is defined. If not, the sum is not defined.

Example 11.26

Determining Whether the Sum of an Infinite Series is Defined

Determine whether the sum of each infinite series is defined.

a.
$$12 + 8 + 4 + \dots$$

b.
$$\frac{3}{4} + \frac{1}{2} + \frac{1}{3} + \dots$$

c.
$$\sum_{k=1}^{\infty} 27 \cdot (\frac{1}{3})^k$$

d.
$$\sum_{k=1}^{\infty} 5k$$

Solution

- a. The ratio of the second term to the first is $\frac{2}{3}$, which is not the same as the ratio of the third term to the second, $\frac{1}{2}$. The series is not geometric.
- b. The ratio of the second term to the first is the same as the ratio of the third term to the second. The series is geometric with a common ratio of $\frac{2}{3}$. The sum of the infinite series is defined.
- c. The given formula is exponential with a base of $\frac{1}{3}$; the series is geometric with a common ratio of $\frac{1}{3}$. The sum of the infinite series is defined.
- d. The given formula is not exponential; the series is not geometric because the terms are increasing, and so cannot yield a finite sum.

Determine whether the sum of the infinite series is defined.



11.33
$$\frac{1}{3} + \frac{1}{2} + \frac{3}{4} + \frac{9}{8} + \dots$$

11.34
$$24 + (-12) + 6 + (-3) + \dots$$

11.35
$$\sum_{k=1}^{\infty} 15 \cdot (-0.3)^k$$

Finding Sums of Infinite Series

When the sum of an infinite geometric series exists, we can calculate the sum. The formula for the sum of an infinite series is related to the formula for the sum of the first *n* terms of a geometric series.

$$S_n = \frac{a_1(1 - r^n)}{1 - r}$$

We will examine an infinite series with $r = \frac{1}{2}$. What happens to r^n as n increases?

$$\left(\frac{1}{2}\right)^2 = \frac{1}{4}$$

$$\left(\frac{1}{2}\right)^3 = \frac{1}{8}$$

$$\left(\frac{1}{2}\right)^4 = \frac{1}{16}$$

The value of r^n decreases rapidly. What happens for greater values of n?

$$\left(\frac{1}{2}\right)^{10} = \frac{1}{1,024}$$

$$\left(\frac{1}{2}\right)^{20} = \frac{1}{1,048,576}$$

$$\left(\frac{1}{2}\right)^{30} = \frac{1}{1,073,741,824}$$

As n gets very large, r^n gets very small. We say that, as n increases without bound, r^n approaches 0. As r^n approaches $0, 1 - r^n$ approaches 1. When this happens, the numerator approaches a_1 . This give us a formula for the sum of an infinite geometric series.

Formula for the Sum of an Infinite Geometric Series

The formula for the sum of an infinite geometric series with -1 < r < 1 is

$$S = \frac{a_1}{1 - r} \tag{11.8}$$



Given an infinite geometric series, find its sum.

- 1. Identify a_1 and r.
- 2. Confirm that -1 < r < 1.
- 3. Substitute values for a_1 and r into the formula, $S = \frac{a_1}{1 r}$.
- 4. Simplify to find *S*.

Example 11.27

Finding the Sum of an Infinite Geometric Series

Find the sum, if it exists, for the following:

- a. $10 + 9 + 8 + 7 + \dots$
- b. $248.6 + 99.44 + 39.776 + \dots$
- c. $\sum_{k=1}^{\infty} 4{,}374 \cdot \left(-\frac{1}{3}\right)^{k-1}$
- d. $\sum_{k=1}^{\infty} \frac{1}{9} \cdot (\frac{4}{3})^k$

Solution

- a. There is not a constant ratio; the series is not geometric.
- b. There is a constant ratio; the series is geometric. $a_1 = 248.6$ and $r = \frac{99.44}{248.6} = 0.4$, so the sum exists. Substitute $a_1 = 248.6$ and r = 0.4 into the formula and simplify to find the sum:

$$S = \frac{a_1}{1 - r}$$

$$S = \frac{248.6}{1 - 0.4} = 414.3$$

c. The formula is exponential, so the series is geometric with $r = -\frac{1}{3}$. Find a_1 by substituting k = 1 into the given explicit formula:

$$a_1 = 4,374 \cdot \left(-\frac{1}{3}\right)^{1-1} = 4,374$$

Substitute $a_1 = 4{,}374$ and $r = -\frac{1}{3}$ into the formula, and simplify to find the sum:

$$S = \frac{a_1}{1 - r}$$

$$S = \frac{4,374}{1 - (-\frac{1}{3})} = 3,280.5$$

d. The formula is exponential, so the series is geometric, but r > 1. The sum does not exist.

Example 11.28

Finding an Equivalent Fraction for a Repeating Decimal

Find an equivalent fraction for the repeating decimal 0.3

Solution

We notice the repeating decimal 0.3 = 0.333... so we can rewrite the repeating decimal as a sum of terms.

$$0.3 = 0.3 + 0.03 + 0.003 + \dots$$

Looking for a pattern, we rewrite the sum, noticing that we see the first term multiplied to 0.1 in the second term, and the second term multiplied to 0.1 in the third term.

$$0.\bar{3} = 0.3 + (0.1)$$
 (0.3) + (0.1) (0.1)(0.3) First Term Second Term

Notice the pattern; we multiply each consecutive term by a common ratio of 0.1 starting with the first term of 0.3. So, substituting into our formula for an infinite geometric sum, we have

$$S_n = \frac{a_1}{1 - r} = \frac{0.3}{1 - 0.1} = \frac{0.3}{0.9} = \frac{1}{3}.$$

Find the sum, if it exists.



11.36
$$2 + \frac{2}{3} + \frac{2}{9} + \dots$$



11.37
$$\sum_{k=1}^{\infty} 0.76k + 1$$



11.38
$$\sum_{k=1}^{\infty} \left(-\frac{3}{8}\right)^k$$

Solving Annuity Problems

At the beginning of the section, we looked at a problem in which a couple invested a set amount of money each month into a college fund for six years. An annuity is an investment in which the purchaser makes a sequence of periodic, equal payments. To find the amount of an annuity, we need to find the sum of all the payments and the interest earned. In the example, the couple invests \$50 each month. This is the value of the initial deposit. The account paid 6% annual interest, compounded monthly. To find the interest rate per payment period, we need to divide the 6% annual percentage interest (APR) rate by 12. So the monthly interest rate is 0.5%. We can multiply the amount in the account each month by 100.5% to find the value of the account after interest has been added.

We can find the value of the annuity right after the last deposit by using a geometric series with $a_1 = 50$ and r = 100.5% = 1.005. After the first deposit, the value of the annuity will be \$50. Let us see if we can determine the amount in the college fund and the interest earned.

We can find the value of the annuity after *n* deposits using the formula for the sum of the first *n* terms of a geometric series. In 6 years, there are 72 months, so n = 72. We can substitute $a_1 = 50$, r = 1.005, and n = 72 into the formula, and simplify to find the value of the annuity after 6 years.

$$S_{72} = \frac{50(1 - 1.005^{72})}{1 - 1.005} \approx 4,320.44$$

After the last deposit, the couple will have a total of \$4,320.44 in the account. Notice, the couple made 72 payments of \$50 each for a total of 72(50) = \$3,600. This means that because of the annuity, the couple earned \$720.44 interest in their college fund.



Given an initial deposit and an interest rate, find the value of an annuity.

- 1. Determine a_1 , the value of the initial deposit.
- 2. Determine n, the number of deposits.
- 3. Determine r.
 - a. Divide the annual interest rate by the number of times per year that interest is compounded.
 - b. Add 1 to this amount to find r.
- 4. Substitute values for a_1 , r, and n into the formula for the sum of the first n terms of a geometric series, $S_n = \frac{a_1(1-r^n)}{1-r}.$
- 5. Simplify to find S_n , the value of the annuity after n deposits.

Example 11.29

Solving an Annuity Problem

A deposit of \$100 is placed into a college fund at the beginning of every month for 10 years. The fund earns 9% annual interest, compounded monthly, and paid at the end of the month. How much is in the account right after the last deposit?

Solution

The value of the initial deposit is \$100, so $a_1 = 100$. A total of 120 monthly deposits are made in the 10 years, so n = 120. To find r, divide the annual interest rate by 12 to find the monthly interest rate and add 1 to represent the new monthly deposit.

$$r = 1 + \frac{0.09}{12} = 1.0075$$

Substitute $a_1 = 100$, r = 1.0075, and n = 120 into the formula for the sum of the first n terms of a geometric series, and simplify to find the value of the annuity.

$$S_{120} = \frac{100(1 - 1.0075^{120})}{1 - 1.0075} \approx 19,351.43$$

So the account has \$19,351.43 after the last deposit is made.



11.39 At the beginning of each month, \$200 is deposited into a retirement fund. The fund earns 6% annual interest, compounded monthly, and paid into the account at the end of the month. How much is in the account if deposits are made for 10 years?



Access these online resources for additional instruction and practice with series.

- Arithmetic Series (http://openstaxcollege.org/l/arithmeticser)
- Geometric Series (http://openstaxcollege.org/l/geometricser)
- Summation Notation (http://openstaxcollege.org/l/sumnotation)

11.4 EXERCISES

Verbal

206. What is an *n*th partial sum?

207. What is the difference between an arithmetic sequence and an arithmetic series?

208. What is a geometric series?

209. How is finding the sum of an infinite geometric series different from finding the *n*th partial sum?

210. What is an annuity?

Algebraic

For the following exercises, express each description of a sum using summation notation.

211. The sum of terms $m^2 + 3m$ from m = 1 to m = 5

212. The sum from of n = 0 to n = 4 of 5n

213. The sum of 6k - 5 from k = -2 to k = 1

214. The sum that results from adding the number 4 five times

For the following exercises, express each arithmetic sum using summation notation.

$$215. 5 + 10 + 15 + 20 + 25 + 30 + 35 + 40 + 45 + 50$$

216.
$$10 + 18 + 26 + \dots + 162$$

217.
$$\frac{1}{2} + 1 + \frac{3}{2} + 2 + \dots + 4$$

For the following exercises, use the formula for the sum of the first n terms of each arithmetic sequence.

218.
$$\frac{3}{2} + 2 + \frac{5}{2} + 3 + \frac{7}{2}$$

219.
$$19 + 25 + 31 + \dots + 73$$

220.
$$3.2 + 3.4 + 3.6 + \dots + 5.6$$

For the following exercises, express each geometric sum using summation notation.

221.
$$1 + 3 + 9 + 27 + 81 + 243 + 729 + 2187$$

222.
$$8 + 4 + 2 + \dots + 0.125$$

223.
$$-\frac{1}{6} + \frac{1}{12} - \frac{1}{24} + \dots + \frac{1}{768}$$

For the following exercises, use the formula for the sum of the first n terms of each geometric sequence, and then state the indicated sum.

224.
$$9+3+1+\frac{1}{3}+\frac{1}{9}$$

$$\sum_{n=1}^{9} 5 \cdot 2^{n-1}$$

226.
$$\sum_{a=1}^{11} 64 \cdot 0.2^{a-1}$$

For the following exercises, determine whether the infinite series has a sum. If so, write the formula for the sum. If not, state the reason.

227.
$$12 + 18 + 24 + 30 + ...$$

228.
$$2 + 1.6 + 1.28 + 1.024 + ...$$

229.
$$\sum_{m=1}^{\infty} 4^{m-1}$$

230.
$$\sum_{\infty}^{k=1} - \left(-\frac{1}{2}\right)^{k-1}$$

Graphical

For the following exercises, use the following scenario. Javier makes monthly deposits into a savings account. He opened the account with an initial deposit of \$50. Each month thereafter he increased the previous deposit amount by \$20.

231. Graph the arithmetic sequence showing one year of Javier's deposits.

232. Graph the arithmetic series showing the monthly sums of one year of Javier's deposits.

For the following exercises, use the geometric series

$$\sum_{k=1}^{\infty} \left(\frac{1}{2}\right)^{k}.$$

233. Graph the first 7 partial sums of the series.

234. What number does S_n seem to be approaching in the graph? Find the sum to explain why this makes sense.

Numeric

For the following exercises, find the indicated sum.

235.

$$\sum_{a=1}^{14} a$$

236.
$$\sum_{n=1}^{6} n(n-2)$$

237.
$$\sum_{k=1}^{17} k^2$$

238.
$$\sum_{k=1}^{7} 2^k$$

For the following exercises, use the formula for the sum of the first n terms of an arithmetic series to find the sum.

239.
$$-1.7 + -0.4 + 0.9 + 2.2 + 3.5 + 4.8$$

240.
$$6 + \frac{15}{2} + 9 + \frac{21}{2} + 12 + \frac{27}{2} + 15$$

241.
$$-1 + 3 + 7 + ... + 31$$

242.
$$\sum_{k=1}^{11} \left(\frac{k}{2} - \frac{1}{2} \right)$$

For the following exercises, use the formula for the sum of the first n terms of a geometric series to find the partial sum.

- 243. S_6 for the series -2 10 50 250...
- 244. S_7 for the series 0.4 2 + 10 50...

245.
$$\sum_{k=1}^{9} 2^{k-1}$$

246.
$$\sum_{n=1}^{10} -2 \cdot \left(\frac{1}{2}\right)^{n-1}$$

For the following exercises, find the sum of the infinite geometric series.

247.
$$4+2+1+\frac{1}{2}$$
...

248.
$$-1 - \frac{1}{4} - \frac{1}{16} - \frac{1}{64}$$
...

249.
$$\sum_{n=1}^{k=1} 3 \cdot \left(\frac{1}{4}\right)^{k-1}$$

250.

$$\sum_{n=1}^{\infty} 4.6 \cdot 0.5^{n-1}$$

For the following exercises, determine the value of the annuity for the indicated monthly deposit amount, the number of deposits, and the interest rate.

251. Deposit amount: \$50; total deposits: 60; interest rate: 5%, compounded monthly

252. Deposit amount: \$150; total deposits: 24; interest rate: 3%, compounded monthly

253. Deposit amount: \$450; total deposits: 60; interest rate: 4.5%, compounded quarterly

254. Deposit amount: \$100; total deposits: 120; interest rate: 10%, compounded semi-annually

Extensions

255. The sum of terms $50 - k^2$ from k = x through 7 is 115. What is x?

256. Write an explicit formula for a_k such that $\sum_{k=0}^{6} a_k = 189$. Assume this is an arithmetic series.

257. Find the smallest value of n such that $\sum_{k=1}^{n} (3k-5) > 100.$

258. How many terms must be added before the series -1 - 3 - 5 - 7... has a sum less than -75?

259. Write $0.\overline{65}$ as an infinite geometric series using summation notation. Then use the formula for finding the sum of an infinite geometric series to convert $0.\overline{65}$ to a fraction.

260. The sum of an infinite geometric series is five times the value of the first term. What is the common ratio of the series?

261. To get the best loan rates available, the Riches want to save enough money to place 20% down on a \$160,000 home. They plan to make monthly deposits of \$125 in an investment account that offers 8.5% annual interest compounded semi-annually. Will the Riches have enough for a 20% down payment after five years of saving? How much money will they have saved?

262.

Karl has two years to save \$10,000 to buy a used car when he graduates. To the nearest dollar, what would his monthly deposits need to be if he invests in an account offering a 4.2% annual interest rate that compounds monthly?

Real-World Applications

263. Keisha devised a week-long study plan to prepare for finals. On the first day, she plans to study for 1 hour, and each successive day she will increase her study time by 30 minutes. How many hours will Keisha have studied after one week?

264. A boulder rolled down a mountain, traveling 6 feet in the first second. Each successive second, its distance increased by 8 feet. How far did the boulder travel after 10 seconds?

265. A scientist places 50 cells in a petri dish. Every hour, the population increases by 1.5%. What will the cell count be after 1 day?

266. A pendulum travels a distance of 3 feet on its first swing. On each successive swing, it travels $\frac{3}{4}$ the distance of the previous swing. What is the total distance traveled by the pendulum when it stops swinging?

267. Rachael deposits \$1,500 into a retirement fund each year. The fund earns 8.2% annual interest, compounded monthly. If she opened her account when she was 19 years old, how much will she have by the time she is 55? How much of that amount will be interest earned?

11.5 | Counting Principles

Learning Objectives

In this section, you will:

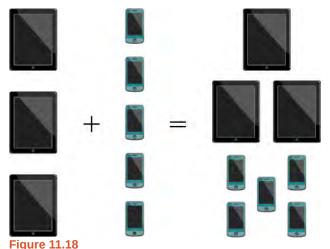
- **11.5.1** Solve counting problems using the Addition Principle.
- **11.5.2** Solve counting problems using the Multiplication Principle.
- **11.5.3** Solve counting problems using permutations involving n distinct objects.
- **11.5.4** Solve counting problems using combinations.
- 11.5.5 Find the number of subsets of a given set.
- 11.5.6 Solve counting problems using permutations involving n non-distinct objects.

A new company sells customizable cases for tablets and smartphones. Each case comes in a variety of colors and can be personalized for an additional fee with images or a monogram. A customer can choose not to personalize or could choose to have one, two, or three images or a monogram. The customer can choose the order of the images and the letters in the monogram. The company is working with an agency to develop a marketing campaign with a focus on the huge number of options they offer. Counting the possibilities is challenging!

We encounter a wide variety of counting problems every day. There is a branch of mathematics devoted to the study of counting problems such as this one. Other applications of counting include secure passwords, horse racing outcomes, and college scheduling choices. We will examine this type of mathematics in this section.

Using the Addition Principle

The company that sells customizable cases offers cases for tablets and smartphones. There are 3 supported tablet models and 5 supported smartphone models. The **Addition Principle** tells us that we can add the number of tablet options to the number of smartphone options to find the total number of options. By the Addition Principle, there are 8 total options, as we can see in **Figure 11.18**.



The Addition Principle

According to the **Addition Principle**, if one event can occur in m ways and a second event with no common outcomes can occur in n ways, then the first or second event can occur in m + n ways.

Example 11.30

Using the Addition Principle

There are 2 vegetarian entrée options and 5 meat entrée options on a dinner menu. What is the total number of entrée options?

Solution

We can add the number of vegetarian options to the number of meat options to find the total number of entrée options.

There are 7 total options.



11.40 A student is shopping for a new computer. He is deciding among 3 desktop computers and 4 laptop computers. What is the total number of computer options?

Using the Multiplication Principle

The **Multiplication Principle** applies when we are making more than one selection. Suppose we are choosing an appetizer, an entrée, and a dessert. If there are 2 appetizer options, 3 entrée options, and 2 dessert options on a fixed-price dinner menu, there are a total of 12 possible choices of one each as shown in the tree diagram in **Figure 11.19**.

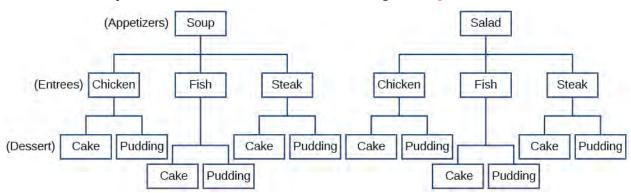


Figure 11.19

The possible choices are:

- 1. soup, chicken, cake
- 2. soup, chicken, pudding
- 3. soup, fish, cake
- 4. soup, fish, pudding
- 5. soup, steak, cake
- 6. soup, steak, pudding
- 7. salad, chicken, cake
- 8. salad, chicken, pudding
- 9. salad, fish, cake
- 10. salad, fish, pudding

- 11. salad, steak, cake
- 12. salad, steak, pudding We can also find the total number of possible dinners by multiplying.

We could also conclude that there are 12 possible dinner choices simply by applying the Multiplication Principle.

of appetizer options
$$\times$$
 # of entree options \times # of dessert options
2 \times 3 \times 2 = 12

The Multiplication Principle

According to the **Multiplication Principle**, if one event can occur in m ways and a second event can occur in n ways after the first event has occurred, then the two events can occur in $m \times n$ ways. This is also known as the **Fundamental Counting Principle**.

Example 11.31

Using the Multiplication Principle

Diane packed 2 skirts, 4 blouses, and a sweater for her business trip. She will need to choose a skirt and a blouse for each outfit and decide whether to wear the sweater. Use the Multiplication Principle to find the total number of possible outfits.

Solution

To find the total number of outfits, find the product of the number of skirt options, the number of blouse options, and the number of sweater options.

of skirt
$$\times$$
 # of blouse \times # of sweater options options 2 \times 4 \times 2 = 16

There are 16 possible outfits.



11.41 A restaurant offers a breakfast special that includes a breakfast sandwich, a side dish, and a beverage. There are 3 types of breakfast sandwiches, 4 side dish options, and 5 beverage choices. Find the total number of possible breakfast specials.

Finding the Number of Permutations of *n* Distinct Objects

The Multiplication Principle can be used to solve a variety of problem types. One type of problem involves placing objects in order. We arrange letters into words and digits into numbers, line up for photographs, decorate rooms, and more. An ordering of objects is called a **permutation**.

Finding the Number of Permutations of *n* Distinct Objects Using the Multiplication Principle

To solve permutation problems, it is often helpful to draw line segments for each option. That enables us to determine the number of each option so we can multiply. For instance, suppose we have four paintings, and we want to find the number of ways we can hang three of the paintings in order on the wall. We can draw three lines to represent the three places on the wall.



There are four options for the first place, so we write a 4 on the first line.

After the first place has been filled, there are three options for the second place so we write a 3 on the second line.

After the second place has been filled, there are two options for the third place so we write a 2 on the third line. Finally, we find the product.

There are 24 possible permutations of the paintings.



Given n distinct options, determine how many permutations there are.

- 1. Determine how many options there are for the first situation.
- 2. Determine how many options are left for the second situation.
- 3. Continue until all of the spots are filled.
- 4. Multiply the numbers together.

Example 11.32

Finding the Number of Permutations Using the Multiplication Principle

At a swimming competition, nine swimmers compete in a race.

- a. How many ways can they place first, second, and third?
- b. How many ways can they place first, second, and third if a swimmer named Ariel wins first place? (Assume there is only one contestant named Ariel.)
- c. How many ways can all nine swimmers line up for a photo?

Solution

a. Draw lines for each place.

There are 9 options for first place. Once someone has won first place, there are 8 remaining options for second place. Once first and second place have been won, there are 7 remaining options for third place.

$$9 \times 8 \times 7 = 504$$

Multiply to find that there are 504 ways for the swimmers to place.

b. Draw lines for describing each place.

We know Ariel must win first place, so there is only 1 option for first place. There are 8 remaining options for second place, and then 7 remaining options for third place.

Multiply to find that there are 56 ways for the swimmers to place if Ariel wins first.

c. Draw lines for describing each place in the photo.

There are 9 choices for the first spot, then 8 for the second, 7 for the third, 6 for the fourth, and so on until only 1 person remains for the last spot.

There are 362,880 possible permutations for the swimmers to line up.

Analysis

Note that in part c, we found there were 9! ways for 9 people to line up. The number of permutations of n distinct objects can always be found by n!.

A family of five is having portraits taken. Use the Multiplication Principle to find the following.



11.42 How many ways can the family line up for the portrait?



11.43 How many ways can the photographer line up 3 family members?



11.44 How many ways can the family line up for the portrait if the parents are required to stand on each end?

Finding the Number of Permutations of *n* Distinct Objects Using a Formula

For some permutation problems, it is inconvenient to use the Multiplication Principle because there are so many numbers to multiply. Fortunately, we can solve these problems using a formula. Before we learn the formula, let's look at two common notations for permutations. If we have a set of n objects and we want to choose r objects from the set in order, we write P(n, r). Another way to write this is nP_r , a notation commonly seen on computers and calculators. To calculate P(n, r), we begin by finding n!, the number of ways to line up all n objects. We then divide by (n - r)! to cancel out the (n - r) items that we do not wish to line up.

Let's see how this works with a simple example. Imagine a club of six people. They need to elect a president, a vice president, and a treasurer. Six people can be elected president, any one of the five remaining people can be elected vice president, and any of the remaining four people could be elected treasurer. The number of ways this may be done is $6\times5\times4=120$. Using factorials, we get the same result.

$$\frac{6!}{3!} = \frac{6 \cdot 5 \cdot 4 \cdot 3!}{3!} = 6 \cdot 5 \cdot 4 = 120$$

There are 120 ways to select 3 officers in order from a club with 6 members. We refer to this as a permutation of 6 taken 3 at a time. The general formula is as follows.

$$P(n, r) = \frac{n!}{(n-r)!}$$

Note that the formula stills works if we are choosing $\underline{all}\ n$ objects and placing them in order. In that case we would be dividing by (n-n)! or 0!, which we said earlier is equal to 1. So the number of permutations of n objects taken n at a time is $\frac{n!}{1}$ or just n!.

Formula for Permutations of *n* Distinct Objects

Given n distinct objects, the number of ways to select r objects from the set in order is

$$P(n, r) = \frac{n!}{(n-r)!}$$
 (11.9)



Given a word problem, evaluate the possible permutations.

- 1. Identify n from the given information.
- 2. Identify r from the given information.
- 3. Replace n and r in the formula with the given values.
- 4. Evaluate.

Example 11.33

Finding the Number of Permutations Using the Formula

A professor is creating an exam of 9 questions from a test bank of 12 questions. How many ways can she select and arrange the questions?

Solution

Substitute n = 12 and r = 9 into the permutation formula and simplify.

$$P(n, r) = \frac{n!}{(n-r)!}$$

$$P(12, 9) = \frac{12!}{(12-9)!} = \frac{12!}{3!} = 79,833,600$$

There are 79,833,600 possible permutations of exam questions!

Analysis

We can also use a calculator to find permutations. For this problem, we would enter 15, press the ${}_{n}P_{r}$ function, enter 12, and then press the equal sign. The ${}_{n}P_{r}$ function may be located under the MATH menu with probability commands.



Could we have solved **Example 11.33** using the Multiplication Principle?

Yes. We could have multiplied $15 \cdot 14 \cdot 13 \cdot 12 \cdot 11 \cdot 10 \cdot 9 \cdot 8 \cdot 7 \cdot 6 \cdot 5 \cdot 4$ to find the same answer.

A play has a cast of 7 actors preparing to make their curtain call. Use the permutation formula to find the following.



11.45 How many ways can the 7 actors line up?



11.46 How many ways can 5 of the 7 actors be chosen to line up?

Find the Number of Combinations Using the Formula

So far, we have looked at problems asking us to put objects in order. There are many problems in which we want to select a few objects from a group of objects, but we do not care about the order. When we are selecting objects and the order does not matter, we are dealing with **combinations**. A selection of r objects from a set of n objects where the order does not matter can be written as C(n, r). Just as with permutations, C(n, r) can also be written as C(n, r). In this case, the general formula is as follows.

$$C(n, r) = \frac{n!}{r!(n-r)!}$$

An earlier problem considered choosing 3 of 4 possible paintings to hang on a wall. We found that there were 24 ways to select 3 of the 4 paintings in order. But what if we did not care about the order? We would expect a smaller number because selecting paintings 1, 2, 3 would be the same as selecting paintings 2, 3, 1. To find the number of ways to select 3 of the 4 paintings, disregarding the order of the paintings, divide the number of permutations by the number of ways to order 3 paintings. There are $3! = 3 \cdot 2 \cdot 1 = 6$ ways to order 3 paintings. There are $\frac{24}{6}$, or 4 ways to select 3 of the 4 paintings.

This number makes sense because every time we are selecting 3 paintings, we are *not* selecting 1 painting. There are 4 paintings we could choose *not* to select, so there are 4 ways to select 3 of the 4 paintings.

Formula for Combinations of *n* Distinct Objects

Given n distinct objects, the number of ways to select r objects from the set is

$$C(n, r) = \frac{n!}{r!(n-r)!}$$
 (11.10)



Given a number of options, determine the possible number of combinations.

- 1. Identify n from the given information.
- 2. Identify r from the given information.
- 3. Replace *n* and *r* in the formula with the given values.
- 4. Evaluate.

Example 11.34

Finding the Number of Combinations Using the Formula

A fast food restaurant offers five side dish options. Your meal comes with two side dishes.

- a. How many ways can you select your side dishes?
- b. How many ways can you select 3 side dishes?

Solution

a. We want to choose 2 side dishes from 5 options.

$$C(5, 2) = \frac{5!}{2!(5-2)!} = 10$$

b. We want to choose 3 side dishes from 5 options.

$$C(5, 3) = \frac{5!}{3!(5-3)!} = 10$$

Analysis

We can also use a graphing calculator to find combinations. Enter 5, then press ${}_{n}C_{r}$, enter 3, and then press the equal sign. The ${}_{n}C_{r}$, function may be located under the MATH menu with probability commands.



Is it a coincidence that parts (a) and (b) in **Example 11.34** have the same answers?

No. When we choose r objects from n objects, we are **not** choosing (n-r) objects. Therefore, C(n, r) = C(n, n-r).



11.47 An ice cream shop offers 10 flavors of ice cream. How many ways are there to choose 3 flavors for a banana split?

Finding the Number of Subsets of a Set

We have looked only at combination problems in which we chose exactly r objects. In some problems, we want to consider choosing every possible number of objects. Consider, for example, a pizza restaurant that offers 5 toppings. Any number of toppings can be ordered. How many different pizzas are possible?

To answer this question, we need to consider pizzas with any number of toppings. There is C(5, 0) = 1 way to order a pizza with no toppings. There are C(5, 1) = 5 ways to order a pizza with exactly one topping. If we continue this process, we get

$$C(5, 0) + C(5, 1) + C(5, 2) + C(5, 3) + C(5, 4) + C(5, 5) = 32$$

There are 32 possible pizzas. This result is equal to 2^5 .

We are presented with a sequence of choices. For each of the n objects we have two choices: include it in the subset or not. So for the whole subset we have made n choices, each with two options. So there are a total of $2 \cdot 2 \cdot 2 \cdot \ldots \cdot 2$ possible resulting subsets, all the way from the empty subset, which we obtain when we say "no" each time, to the original set itself, which we obtain when we say "yes" each time.

Formula for the Number of Subsets of a Set

A set containing n distinct objects has 2^n subsets.

Example 11.35

Finding the Number of Subsets of a Set

A restaurant offers butter, cheese, chives, and sour cream as toppings for a baked potato. How many different ways are there to order a potato?

Solution

We are looking for the number of subsets of a set with 4 objects. Substitute n = 4 into the formula.

$$2^n = 2^4$$
$$= 16$$

There are 16 possible ways to order a potato.



11.48 A sundae bar at a wedding has 6 toppings to choose from. Any number of toppings can be chosen. How many different sundaes are possible?

Finding the Number of Permutations of *n* Non-Distinct Objects

We have studied permutations where all of the objects involved were distinct. What happens if some of the objects are indistinguishable? For example, suppose there is a sheet of 12 stickers. If all of the stickers were distinct, there would be 12! ways to order the stickers. However, 4 of the stickers are identical stars, and 3 are identical moons. Because all of the objects are not distinct, many of the 12! permutations we counted are duplicates. The general formula for this situation is as follows.

$$\frac{n!}{r_1!r_2!\dots r_k!}$$

In this example, we need to divide by the number of ways to order the 4 stars and the ways to order the 3 moons to find the number of unique permutations of the stickers. There are 4! ways to order the stars and 3! ways to order the moon.

$$\frac{12!}{4!3!}$$
 = 3,326,400

There are 3,326,400 ways to order the sheet of stickers.

Formula for Finding the Number of Permutations of *n* Non-Distinct Objects

If there are n elements in a set and r_1 are alike, r_2 are alike, r_3 are alike, and so on through r_k , the number of permutations can be found by

$$\frac{n!}{r_1!r_2!\dots r_k!}$$
 (11.11)

Example 11.36

Finding the Number of Permutations of *n* Non-Distinct Objects

Find the number of rearrangements of the letters in the word DISTINCT.

Solution

There are 8 letters. Both I and T are repeated 2 times. Substitute n = 8, $r_1 = 2$, and $r_2 = 2$ into the formula.

$$\frac{8!}{2!2!}$$
 = 10,080

There are 10,080 arrangements.



11.49 Find the number of rearrangements of the letters in the word CARRIER.



Access these online resources for additional instruction and practice with combinations and permutations.

- Combinations (http://openstaxcollege.org/l/combinations)
- Permutations (http://openstaxcollege.org/l/permutations)

11.5 EXERCISES

Verbal

For the following exercises, assume that there are n ways an event A can happen, m ways an event B can happen, and that A and B are non-overlapping.

268. Use the Addition Principle of counting to explain how many ways event *A* or *B* can occur.

269. Use the Multiplication Principle of counting to explain how many ways event *A* and *B* can occur.

Answer the following questions.

270. When given two separate events, how do we know whether to apply the Addition Principle or the Multiplication Principle when calculating possible outcomes? What conjunctions may help to determine which operations to use?

271. Describe how the permutation of n objects differs from the permutation of choosing r objects from a set of n objects. Include how each is calculated.

272. What is the term for the arrangement that selects r objects from a set of n objects when the order of the r objects is not important? What is the formula for calculating the number of possible outcomes for this type of arrangement?

Numeric

For the following exercises, determine whether to use the Addition Principle or the Multiplication Principle. Then perform the calculations.

273. Let the set $A = \{-5, -3, -1, 2, 3, 4, 5, 6\}$. How many ways are there to choose a negative or an even number from A?

274. Let the set $B = \{-23, -16, -7, -2, 20, 36, 48, 72\}$. How many ways are there to choose a positive or an odd number from A?

275. How many ways are there to pick a red ace or a club from a standard card playing deck?

276. How many ways are there to pick a paint color from 5 shades of green, 4 shades of blue, or 7 shades of yellow?

277. How many outcomes are possible from tossing a pair of coins?

278. How many outcomes are possible from tossing a coin and rolling a 6-sided die?

279. How many two-letter strings—the first letter from A and the second letter from B — can be formed from the sets $A = \{b, c, d\}$ and $B = \{a, e, i, o, u\}$?

280. How many ways are there to construct a string of 3 digits if numbers can be repeated?

281. How many ways are there to construct a string of 3 digits if numbers cannot be repeated?

For the following exercises, compute the value of the expression.

282. P(5, 2)

283. P(8, 4)

284. *P*(3, 3)

285. P(9, 6)

286. *P*(11, 5)

287. *C*(8, 5)

288. C(12, 4)

289. C(26, 3)

290. *C*(7, 6)

291. *C*(10, 3)

For the following exercises, find the number of subsets in each given set.

292. {1, 2, 3, 4, 5, 6, 7, 8, 9, 10}

293. $\{a, b, c, \dots, z\}$

294. A set containing 5 distinct numbers, 4 distinct letters, and 3 distinct symbols

295. The set of even numbers from 2 to 28

296. The set of two-digit numbers between 1 and 100 containing the digit $\boldsymbol{0}$

For the following exercises, find the distinct number of arrangements.

297. The letters in the word "juggernaut"

298. The letters in the word "academia"

299.

- The letters in the word "academia" that begin and end in "a"
- 300. The symbols in the string #,#,#,@,@,\$,\$,\$,%,%,%,%
- 301. The symbols in the string #,#,#,@,@,\$,\$,%,%,%,% that begin and end with "%"

Extensions

- 302. The set, *S* consists of 900,000,000 whole numbers, each being the same number of digits long. How many digits long is a number from *S*? (*Hint*: use the fact that a whole number cannot start with the digit 0.)
- 303. The number of 5-element subsets from a set containing n elements is equal to the number of 6-element subsets from the same set. What is the value of n? (*Hint:* the order in which the elements for the subsets are chosen is not important.)
- 304. Can C(n, r) ever equal P(n, r)? Explain.
- 305. Suppose a set A has 2,048 subsets. How many distinct objects are contained in A?
- 306. How many arrangements can be made from the letters of the word "mountains" if all the vowels must form a string?

Real-World Applications

- 307. A family consisting of 2 parents and 3 children is to pose for a picture with 2 family members in the front and 3 in the back.
 - a. How many arrangements are possible with no restrictions?
 - b. How many arrangements are possible if the parents must sit in the front?
 - c. How many arrangements are possible if the parents must be next to each other?
- 308. A cell phone company offers 6 different voice packages and 8 different data packages. Of those, 3 packages include both voice and data. How many ways are there to choose either voice or data, but not both?
- 309. In horse racing, a "trifecta" occurs when a bettor wins by selecting the first three finishers in the exact order (1st place, 2nd place, and 3rd place). How many different trifectas are possible if there are 14 horses in a race?
- 310. A wholesale T-shirt company offers sizes small, medium, large, and extra-large in organic or non-organic cotton and colors white, black, gray, blue, and red. How many different T-shirts are there to choose from?

- Hector wants to place billboard advertisements throughout the county for his new business. How many ways can Hector choose 15 neighborhoods to advertise in if there are 30 neighborhoods in the county?
- 312. An art store has 4 brands of paint pens in 12 different colors and 3 types of ink. How many paint pens are there to choose from?
- 313. How many ways can a committee of 3 freshmen and 4 juniors be formed from a group of 8 freshmen and 11 juniors?
- 314. How many ways can a baseball coach arrange the order of 9 batters if there are 15 players on the team?
- 315. A conductor needs 5 cellists and 5 violinists to play at a diplomatic event. To do this, he ranks the orchestra's 10 cellists and 16 violinists in order of musical proficiency. What is the ratio of the total cellist rankings possible to the total violinist rankings possible?
- 316. A motorcycle shop has 10 choppers, 6 bobbers, and 5 café racers—different types of vintage motorcycles. How many ways can the shop choose 3 choppers, 5 bobbers, and 2 café racers for a weekend showcase?
- 317. A skateboard shop stocks 10 types of board decks, 3 types of trucks, and 4 types of wheels. How many different skateboards can be constructed?
- 318. Just-For-Kicks Sneaker Company offers an online customizing service. How many ways are there to design a custom pair of Just-For-Kicks sneakers if a customer can choose from a basic shoe up to 11 customizable options?
- 319. A car wash offers the following optional services to the basic wash: clear coat wax, triple foam polish, undercarriage wash, rust inhibitor, wheel brightener, air freshener, and interior shampoo. How many washes are possible if any number of options can be added to the basic wash?
- 320. Susan bought 20 plants to arrange along the border of her garden. How many distinct arrangements can she make if the plants are comprised of 6 tulips, 6 roses, and 8 daisies?
- 321. How many unique ways can a string of Christmas lights be arranged from 9 red, 10 green, 6 white, and 12 gold color bulbs?

11.6 | Binomial Theorem

Learning Objectives

In this section, you will:

11.6.1 Apply the Binomial Theorem.

A polynomial with two terms is called a binomial. We have already learned to multiply binomials and to raise binomials to powers, but raising a binomial to a high power can be tedious and time-consuming. In this section, we will discuss a shortcut that will allow us to find $(x + y)^n$ without multiplying the binomial by itself n times.

Identifying Binomial Coefficients

In **Counting Principles**, we studied combinations. In the shortcut to finding $(x + y)^n$, we will need to use combinations to find the coefficients that will appear in the expansion of the binomial. In this case, we use the notation $\binom{n}{r}$ instead of C(n, r), but it can be calculated in the same way. So

()
$$\binom{n}{r} = C(n, r) = \frac{n!}{r!(n-r)!}$$

The combination $\binom{n}{r}$ is called a **binomial coefficient**. An example of a binomial coefficient is $\binom{5}{2} = C(5, 2) = 10$.

Binomial Coefficients

If *n* and *r* are integers greater than or equal to 0 with $n \ge r$, then the **binomial coefficient** is

$$\binom{n}{r} = C(n, r) = \frac{n!}{r!(n-r)!}$$



Is a binomial coefficient always a whole number?

Yes. Just as the number of combinations must always be a whole number, a binomial coefficient will always be a whole number.

Example 11.37

Finding Binomial Coefficients

Find each binomial coefficient.

- a. $\binom{5}{3}$
- b. $\binom{9}{2}$
- c. $\binom{9}{7}$

Solution

Use the formula to calculate each binomial coefficient. You can also use the nC_r function on your calculator.

$$\binom{n}{r} = C(n, r) = \frac{n!}{r!(n-r)!}$$

a.
$$\binom{5}{3} = \frac{5!}{3!(5-3)!} = \frac{5 \cdot 4 \cdot 3!}{3!2!} = 10$$

b.
$$\binom{9}{2} = \frac{9!}{2!(9-2)!} = \frac{9 \cdot 8 \cdot 7!}{2!7!} = 36$$

c.
$$\binom{9}{7} = \frac{9!}{7!(9-7)!} = \frac{9 \cdot 8 \cdot 7!}{7!2!} = 36$$

Analysis

Notice that we obtained the same result for parts (b) and (c). If you look closely at the solution for these two parts, you will see that you end up with the same two factorials in the denominator, but the order is reversed, just as with combinations.

$$O\binom{n}{r} = \binom{n}{n-r}$$



11.50 Find each binomial coefficient.

- a. $\binom{7}{3}$
- b. $\binom{11}{4}$

Using the Binomial Theorem

When we expand $(x + y)^n$ by multiplying, the result is called a **binomial expansion**, and it includes binomial coefficients. If we wanted to expand $(x + y)^{52}$, we might multiply (x + y) by itself fifty-two times. This could take hours! If we examine some simple binomial expansions, we can find patterns that will lead us to a shortcut for finding more complicated binomial expansions.

$$(x + y)^{2} = x^{2} + 2xy + y^{2}$$

$$(x + y)^{3} = x^{3} + 3x^{2}y + 3xy^{2} + y^{3}$$

$$(x + y)^{4} = x^{4} + 4x^{3}y + 6x^{2}y^{2} + 4xy^{3} + y^{4}$$

First, let's examine the exponents. With each successive term, the exponent for x decreases and the exponent for y increases. The sum of the two exponents is n for each term.

Next, let's examine the coefficients. Notice that the coefficients increase and then decrease in a symmetrical pattern. The coefficients follow a pattern:

$$\binom{n}{0}$$
, $\binom{n}{1}$, $\binom{n}{2}$, ..., $\binom{n}{n}$.

These patterns lead us to the Binomial Theorem, which can be used to expand any binomial.

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

= $x^n + \binom{n}{1} x^{n-1} y + \binom{n}{2} x^{n-2} y^2 + \dots + \binom{n}{n-1} x y^{n-1} + y^n$

Another way to see the coefficients is to examine the expansion of a binomial in general form, x + y, to successive powers 1, 2, 3, and 4.

$$(x+y)^{1} = x + y$$

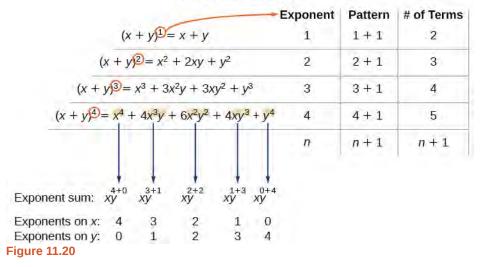
$$(x+y)^{2} = x^{2} + 2xy + y^{2}$$

$$(x+y)^{3} = x^{3} + 3x^{2}y + 3xy^{2} + y^{3}$$

$$(x+y)^{4} = x^{4} + 4x^{3}y + 6x^{2}y^{2} + 4xy^{3} + y^{4}$$

Can you guess the next expansion for the binomial $(x + y)^5$?

Pascal's Triangle



See **Figure 11.20**, which illustrates the following:

- There are n + 1 terms in the expansion of $(x + y)^n$.
- The degree (or sum of the exponents) for each term is n.
- The powers on x begin with n and decrease to 0.
- The powers on y begin with 0 and increase to n.
- The coefficients are symmetric.

To determine the expansion on $(x + y)^5$, we see n = 5, thus, there will be 5+1 = 6 terms. Each term has a combined degree of 5. In descending order for powers of x, the pattern is as follows:

- Introduce x^5 , and then for each successive term reduce the exponent on x by 1 until $x^0 = 1$ is reached.
- Introduce $y^0 = 1$, and then increase the exponent on y by 1 until y^5 is reached.

$$x^5$$
, x^4y , x^3y^2 , x^2y^3 , xy^4 , y^5

The next expansion would be

$$(x + y)^5 = x^5 + 5x^4y + 10x^3y^2 + 10x^2y^3 + 5xy^4 + y^5.$$

But where do those coefficients come from? The binomial coefficients are symmetric. We can see these coefficients in an array known as Pascal's Triangle, shown in **Figure 11.21**.

Pascal's Triangle

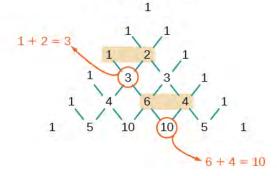


Figure 11.21

To generate Pascal's Triangle, we start by writing a 1. In the row below, row 2, we write two 1's. In the 3^{rd} row, flank the ends of the rows with 1's, and add 1 + 1 to find the middle number, 2. In the nth row, flank the ends of the row with 1's. Each element in the triangle is the sum of the two elements immediately above it.

To see the connection between Pascal's Triangle and binomial coefficients, let us revisit the expansion of the binomials in general form.

$$1 \longrightarrow (x+y)^{0} = 1$$

$$1 \longrightarrow (x+y)^{1} = x + y$$

$$1 \longrightarrow (x+y)^{2} = x^{2} + 2xy + y^{2}$$

$$1 \longrightarrow (x+y)^{3} = x^{3} + 3x^{2}y + 3xy^{2} + y^{3}$$

$$1 \longrightarrow (x+y)^{4} = x^{4} + 4x^{3}y + 6x^{2}y^{2} + 4xy^{3} + y^{4}$$

$$1 \longrightarrow (x+y)^{4} = x^{4} + 4x^{3}y + 6x^{2}y^{2} + 4xy^{3} + y^{4}$$

$$1 \longrightarrow (x+y)^{5} = x^{5} + 5x^{4}y + 10x^{3}y^{2} + 10x^{2}y^{3} + 5xy^{4} + y^{5}$$

The Binomial Theorem

The **Binomial Theorem** is a formula that can be used to expand any binomial.

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

$$= x^n + \binom{n}{1} x^{n-1} y + \binom{n}{2} x^{n-2} y^2 + \dots + \binom{n}{n-1} x y^{n-1} + y^n$$
(11.12)



Given a binomial, write it in expanded form.

- 1. Determine the value of *n* according to the exponent.
- 2. Evaluate the k = 0 through k = n using the Binomial Theorem formula.
- 3. Simplify.

Example 11.38

Expanding a Binomial

Write in expanded form.

a.
$$(x + y)^5$$

b.
$$(3x - y)^4$$

Solution

a. Substitute n = 5 into the formula. Evaluate the k = 0 through k = 5 terms. Simplify.

$$(x+y)^5 = {5 \choose 0} x^5 y^0 + {5 \choose 1} x^4 y^1 + {5 \choose 2} x^3 y^2 + {5 \choose 3} x^2 y^3 + {5 \choose 4} x^1 y^4 + {5 \choose 5} x^0 y^5$$

$$(x+y)^5 = x^5 + 5x^4 y + 10x^3 y^2 + 10x^2 y^3 + 5xy^4 + y^5$$

b. Substitute n = 4 into the formula. Evaluate the k = 0 through k = 4 terms. Notice that 3x is in the place that was occupied by x and that -y is in the place that was occupied by y. So we substitute them. Simplify.

$$(3x - y)^4 = {4 \choose 0}(3x)^4(-y)^0 + {4 \choose 1}(3x)^3(-y)^1 + {4 \choose 2}(3x)^2(-y)^2 + {4 \choose 3}(3x)^1(-y)^3 + {4 \choose 4}(3x)^0(-y)^4$$

$$(3x - y)^4 = 81x^4 - 108x^3y + 54x^2y^2 - 12xy^3 + y^4$$

Analysis

Notice the alternating signs in part b. This happens because (-y) raised to odd powers is negative, but (-y) raised to even powers is positive. This will occur whenever the binomial contains a subtraction sign.



11.51 Write in expanded form.

- a. $(x y)^5$
- b. $(2x + 5y)^3$

Using the Binomial Theorem to Find a Single Term

Expanding a binomial with a high exponent such as $(x + 2y)^{16}$ can be a lengthy process.

Sometimes we are interested only in a certain term of a binomial expansion. We do not need to fully expand a binomial to find a single specific term.

Note the pattern of coefficients in the expansion of $(x + y)^5$.

$$(x+y)^5 = x^5 + {5 \choose 1}x^4y + {5 \choose 2}x^3y^2 + {5 \choose 3}x^2y^3 + {5 \choose 4}xy^4 + y^5$$

The second term is $\binom{5}{1}x^4y$. The third term is $\binom{5}{2}x^3y^2$. We can generalize this result.

$$\binom{n}{r} x^{n-r} y^r$$

The (r+1)th Term of a Binomial Expansion

The (r + 1)th term of the binomial expansion of $(x + y)^n$ is:

$$\binom{n}{r}x^{n-r}y^r \tag{11.13}$$



Given a binomial, write a specific term without fully expanding.

- 1. Determine the value of n according to the exponent.
- 2. Determine (r + 1).
- 3. Determine r.
- 4. Replace r in the formula for the (r + 1)th term of the binomial expansion.

Example 11.39

Writing a Given Term of a Binomial Expansion

Find the tenth term of $(x + 2y)^{16}$ without fully expanding the binomial.

Solution

Because we are looking for the tenth term, r + 1 = 10, we will use r = 9 in our calculations.

$$\binom{n}{r}x^{n-r}y^{r}$$
$$\binom{16}{9}x^{16-9}(2y)^{9} = 5,857,280x^{7}y^{9}$$



11.52 Find the sixth term of $(3x - y)^9$ without fully expanding the binomial.



Access these online resources for additional instruction and practice with binomial expansion.

- The Binomial Theorem (http://openstaxcollege.org/l/binomialtheorem)
- Binomial Theorem Example (http://openstaxcollege.org/l/btexample)

11.6 EXERCISES

Verbal

322. What is a binomial coefficient, and how it is calculated?

323. What role do binomial coefficients play in a binomial expansion? Are they restricted to any type of number?

324. What is the Binomial Theorem and what is its use?

325. When is it an advantage to use the Binomial Theorem? Explain.

Algebraic

For the following exercises, evaluate the binomial coefficient.

- 326. $\binom{6}{2}$
- 327. $\binom{5}{3}$
- 328. $\binom{7}{4}$
- 329. $\binom{9}{7}$
- 330. $\binom{10}{9}$
- 331. $\binom{25}{11}$
- 332. $\binom{17}{6}$
- 333. $\binom{200}{199}$

For the following exercises, use the Binomial Theorem to expand each binomial.

- 334. $(4a b)^3$
- 335. $(5a+2)^3$
- 336. $(3a+2b)^3$
- 337. $(2x + 3y)^4$
- 338. $(4x + 2y)^5$

339.

$$(3x - 2y)^4$$

340.
$$(4x - 3y)^5$$

341.
$$\left(\frac{1}{x} + 3y\right)^5$$

342.
$$(x^{-1} + 2y^{-1})^4$$

343.
$$(\sqrt{x} - \sqrt{y})^5$$

For the following exercises, use the Binomial Theorem to write the first three terms of each binomial.

344.
$$(a+b)^{17}$$

345.
$$(x-1)^{18}$$

346.
$$(a-2b)^{15}$$

347.
$$(x-2y)^8$$

348.
$$(3a+b)^{20}$$

349.
$$(2a+4b)^7$$

350.
$$(x^3 - \sqrt{y})^8$$

For the following exercises, find the indicated term of each binomial without fully expanding the binomial.

- 351. The fourth term of $(2x 3y)^4$
- 352. The fourth term of $(3x 2y)^5$
- 353. The third term of $(6x 3y)^7$
- 354. The eighth term of $(7 + 5y)^{14}$
- 355. The seventh term of $(a + b)^{11}$
- 356. The fifth term of $(x y)^7$
- 357. The tenth term of $(x-1)^{12}$
- 358. The ninth term of $(a 3b^2)^{11}$
- 359.

The fourth term of $\left(x^3 - \frac{1}{2}\right)^{10}$

360. The eighth term of $\left(\frac{y}{2} + \frac{2}{x}\right)^9$

Graphical

For the following exercises, use the Binomial Theorem to expand the binomial $f(x) = (x + 3)^4$. Then find and graph each indicated sum on one set of axes.

361. Find and graph $f_1(x)$, such that $f_1(x)$ is the first term of the expansion.

362. Find and graph $f_2(x)$, such that $f_2(x)$ is the sum of the first two terms of the expansion.

363. Find and graph $f_3(x)$, such that $f_3(x)$ is the sum of the first three terms of the expansion.

364. Find and graph $f_4(x)$, such that $f_4(x)$ is the sum of the first four terms of the expansion.

365. Find and graph $f_5(x)$, such that $f_5(x)$ is the sum of the first five terms of the expansion.

Extensions

366. In the expansion of $(5x + 3y)^n$, each term has the form $\binom{n}{k}a^{n-k}b^k$, where k successively takes on the value 0, 1, 2, ..., n. If $\binom{n}{k} = \binom{7}{2}$, what is the corresponding term?

367. In the expansion of $(a+b)^n$, the coefficient of $a^{n-k}b^k$ is the same as the coefficient of which other term?

368. Consider the expansion of $(x + b)^{40}$. What is the exponent of b in the kth term?

369. Find $\binom{n}{k-1} + \binom{n}{k}$ and write the answer as a binomial coefficient in the form $\binom{n}{k}$. Prove it. *Hint:* Use the fact that, for any integer p, such that $p \ge 1$, p! = p(p-1)!.

370. Which expression cannot be expanded using the Binomial Theorem? Explain.

•
$$(x^2 - 2x + 1)$$

• $(\sqrt{a} + 4\sqrt{a} - 5)^8$

• $(x^3 + 2y^2 - z)^5$

• $(3x^2 - \sqrt{2y^3})^{12}$

11.7 | Probability

Learning Objectives

In this section, you will:

- 11.7.1 Construct probability models.
- 11.7.2 Compute probabilities of equally likely outcomes.
- 11.7.3 Compute probabilities of the union of two events.
- 11.7.4 Use the complement rule to find probabilities.
- 11.7.5 Compute probability using counting theory.

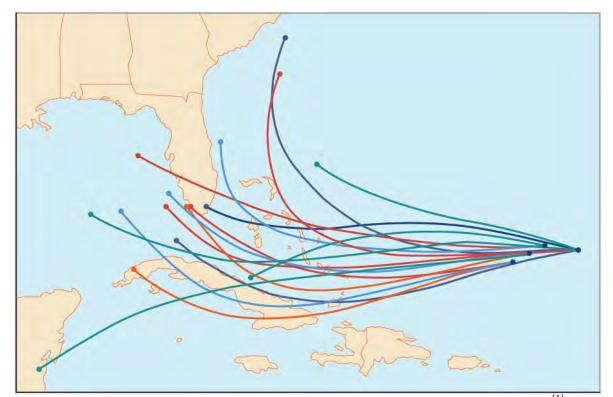


Figure 11.22 An example of a "spaghetti model," which can be used to predict possible paths of a tropical storm.^[1]

Residents of the Southeastern United States are all too familiar with charts, known as spaghetti models, such as the one in **Figure 11.22**. They combine a collection of weather data to predict the most likely path of a hurricane. Each colored line represents one possible path. The group of squiggly lines can begin to resemble strands of spaghetti, hence the name. In this section, we will investigate methods for making these types of predictions.

Constructing Probability Models

Suppose we roll a six-sided number cube. Rolling a number cube is an example of an **experiment**, or an activity with an observable result. The numbers on the cube are possible results, or **outcomes**, of this experiment. The set of all possible outcomes of an experiment is called the **sample space** of the experiment. The sample space for this experiment is $\{1, 2, 3, 4, 5, 6\}$. An **event** is any subset of a sample space.

The likelihood of an event is known as **probability**. The probability of an event p is a number that always satisfies $0 \le p \le 1$, where 0 indicates an impossible event and 1 indicates a certain event. A **probability model** is a mathematical

^{1.} The figure is for illustrative purposes only and does not model any particular storm.

description of an experiment listing all possible outcomes and their associated probabilities. For instance, if there is a 1% chance of winning a raffle and a 99% chance of losing the raffle, a probability model would look much like **Table 11.3**.

Outcome	Probability
Winning the raffle	1%
Losing the raffle	99%

Table 11.3

The sum of the probabilities listed in a probability model must equal 1, or 100%.



Given a probability event where each event is equally likely, construct a probability model.

- 1. Identify every outcome.
- 2. Determine the total number of possible outcomes.
- 3. Compare each outcome to the total number of possible outcomes.

Example 11.40

Constructing a Probability Model

Construct a probability model for rolling a single, fair die, with the event being the number shown on the die.

Solution

Begin by making a list of all possible outcomes for the experiment. The possible outcomes are the numbers that can be rolled: 1, 2, 3, 4, 5, and 6. There are six possible outcomes that make up the sample space.

Assign probabilities to each outcome in the sample space by determining a ratio of the outcome to the number of possible outcomes. There is one of each of the six numbers on the cube, and there is no reason to think that any particular face is more likely to show up than any other one, so the probability of rolling any number is $\frac{1}{6}$.

Outcome	Roll of 1	Roll of 2	Roll of 3	Roll of 4	Roll of 5	Roll of 6
Probability	$\frac{1}{6}$	<u>1</u>	<u>1</u> 6	<u>1</u>	<u>1</u>	<u>1</u> 6

Table 11.4



Do probabilities always have to be expressed as fractions?

No. Probabilities can be expressed as fractions, decimals, or percents. Probability must always be a number between 0 and 1, inclusive of 0 and 1.



11.53 Construct a probability model for tossing a fair coin.

Computing Probabilities of Equally Likely Outcomes

Let S be a sample space for an experiment. When investigating probability, an event is any subset of S. When the outcomes of an experiment are all equally likely, we can find the probability of an event by dividing the number of outcomes in the event by the total number of outcomes in S. Suppose a number cube is rolled, and we are interested in finding the probability of the event "rolling a number less than or equal to 4." There are 4 possible outcomes in the event and 6 possible outcomes in S, so the probability of the event is $\frac{4}{6} = \frac{2}{3}$.

Computing the Probability of an Event with Equally Likely Outcomes

The probability of an event E in an experiment with sample space S with equally likely outcomes is given by

$$P(E) = \frac{\text{number of elements in } E}{\text{number of elements in } S} = \frac{n(E)}{n(S)}$$
(11.14)

E is a subset of *S*, so it is always true that $0 \le P(E) \le 1$.

Example 11.41

Computing the Probability of an Event with Equally Likely Outcomes

A number cube is rolled. Find the probability of rolling an odd number.

Solution

The event "rolling an odd number" contains three outcomes. There are 6 equally likely outcomes in the sample space. Divide to find the probability of the event.

$$P(E) = \frac{3}{6} = \frac{1}{2}$$



11.54 A number cube is rolled. Find the probability of rolling a number greater than 2.

Computing the Probability of the Union of Two Events

We are often interested in finding the probability that one of multiple events occurs. Suppose we are playing a card game, and we will win if the next card drawn is either a heart or a king. We would be interested in finding the probability of the next card being a heart or a king. The **union of two events** E and F, written $E \cup F$, is the event that occurs if either or both events occur.

$$P(E \cup F) = P(E) + P(F) - P(E \cap F)$$

Suppose the spinner in **Figure 11.23** is spun. We want to find the probability of spinning orange or spinning a *b*.

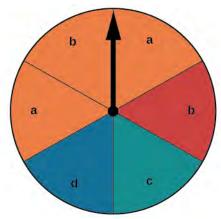


Figure 11.23

There are a total of 6 sections, and 3 of them are orange. So the probability of spinning orange is $\frac{3}{6} = \frac{1}{2}$. There are a total of 6 sections, and 2 of them have a b. So the probability of spinning a b is $\frac{2}{6} = \frac{1}{3}$. If we added these two probabilities, we would be counting the sector that is both orange and a b twice. To find the probability of spinning an orange or a b, we need to subtract the probability that the sector is both orange and has a b.

$$\frac{1}{2} + \frac{1}{3} - \frac{1}{6} = \frac{2}{3}$$

The probability of spinning orange or a b is $\frac{2}{3}$.

Probability of the Union of Two Events

The probability of the union of two events E and F (written $E \cup F$) equals the sum of the probability of E and the probability of E and E0 occurring together (which is called the intersection of E1 and E2 and E3 and is written as $E \cap F$ 3.

$$P(E \cup F) = P(E) + P(F) - P(E \cap F)$$
 (11.15)

Example 11.42

Computing the Probability of the Union of Two Events

A card is drawn from a standard deck. Find the probability of drawing a heart or a 7.

Solution

A standard deck contains an equal number of hearts, diamonds, clubs, and spades. So the probability of drawing a heart is $\frac{1}{4}$. There are four 7s in a standard deck, and there are a total of 52 cards. So the probability of drawing a 7 is $\frac{1}{13}$.

The only card in the deck that is both a heart and a 7 is the 7 of hearts, so the probability of drawing both a heart and a 7 is $\frac{1}{52}$. Substitute $P(H) = \frac{1}{4}$, $P(7) = \frac{1}{13}$, and $P(H \cap 7) = \frac{1}{52}$ into the formula.

$$P(E \cup F) = P(E) + P(F) - P(E \cap F)$$

$$= \frac{1}{4} + \frac{1}{13} - \frac{1}{52}$$

$$= \frac{4}{13}$$

The probability of drawing a heart or a 7 is $\frac{4}{13}$.



11.55 A card is drawn from a standard deck. Find the probability of drawing a red card or an ace.

Computing the Probability of Mutually Exclusive Events

Suppose the spinner in **Figure 11.23** is spun again, but this time we are interested in the probability of spinning an orange or a d. There are no sectors that are both orange and contain a d, so these two events have no outcomes in common. Events are said to be **mutually exclusive events** when they have no outcomes in common. Because there is no overlap, there is nothing to subtract, so the general formula is

$$P(E \cup F) = P(E) + P(F)$$

Notice that with mutually exclusive events, the intersection of E and F is the empty set. The probability of spinning an orange is $\frac{3}{6} = \frac{1}{2}$ and the probability of spinning a d is $\frac{1}{6}$. We can find the probability of spinning an orange or a d simply by adding the two probabilities.

$$P(E \cup F) = P(E) + P(F)$$
$$= \frac{1}{2} + \frac{1}{6}$$
$$= \frac{2}{3}$$

The probability of spinning an orange or a d is $\frac{2}{3}$.

Probability of the Union of Mutually Exclusive Events

The probability of the union of two *mutually exclusive* events *E* and *F* is given by

$$P(E \cup F) = P(E) + P(F)$$
 (11.16)



Given a set of events, compute the probability of the union of mutually exclusive events.

- 1. Determine the total number of outcomes for the first event.
- 2. Find the probability of the first event.
- 3. Determine the total number of outcomes for the second event.
- 4. Find the probability of the second event.
- 5. Add the probabilities.

Example 11.43

Computing the Probability of the Union of Mutually Exclusive Events

A card is drawn from a standard deck. Find the probability of drawing a heart or a spade.

Solution

The events "drawing a heart" and "drawing a spade" are mutually exclusive because they cannot occur at the same time. The probability of drawing a heart is $\frac{1}{4}$, and the probability of drawing a spade is also $\frac{1}{4}$, so the probability of drawing a heart or a spade is

$$\frac{1}{4} + \frac{1}{4} = \frac{1}{2}$$



11.56 A card is drawn from a standard deck. Find the probability of drawing an ace or a king.

Using the Complement Rule to Compute Probabilities

We have discussed how to calculate the probability that an event will happen. Sometimes, we are interested in finding the probability that an event will *not* happen. The **complement of an event** E, denoted E', is the set of outcomes in the sample space that are not in E. For example, suppose we are interested in the probability that a horse will lose a race. If event E is the horse winning the race, then the complement of event E is the horse losing the race.

To find the probability that the horse loses the race, we need to use the fact that the sum of all probabilities in a probability model must be 1.

$$P(E') = 1 - P(E)$$

The probability of the horse winning added to the probability of the horse losing must be equal to 1. Therefore, if the probability of the horse winning the race is $\frac{1}{9}$, the probability of the horse losing the race is simply

$$1 - \frac{1}{9} = \frac{8}{9}$$

The Complement Rule

The probability that the **complement of an event** will occur is given by

$$P(E') = 1 - P(E) {(11.17)}$$

Example 11.44

Using the Complement Rule to Calculate Probabilities

Two six-sided number cubes are rolled.

- a. Find the probability that the sum of the numbers rolled is less than or equal to 3.
- b. Find the probability that the sum of the numbers rolled is greater than 3.

Solution

The first step is to identify the sample space, which consists of all the possible outcomes. There are two number cubes, and each number cube has six possible outcomes. Using the Multiplication Principle, we find that there are 6×6 , or 36 total possible outcomes. So, for example, 1-1 represents a 1 rolled on each number cube.

1-1	1-2	1-3	1-4	1-5	1-6
2-1	2-2	2-3	2-4	2-5	2-6
3-1	3-2	3-3	3-4	3-5	3-6
4-1	4-2	4-3	4-4	4-5	4-6
5-1	5-2	5-3	5-4	5-5	5-6
6-1	6-2	6-3	6-4	6-5	6-6

Table 11.5

a. We need to count the number of ways to roll a sum of 3 or less. These would include the following outcomes: 1-1, 1-2, and 2-1. So there are only three ways to roll a sum of 3 or less. The probability is

$$\frac{3}{36} = \frac{1}{12}$$

b. Rather than listing all the possibilities, we can use the Complement Rule. Because we have already found the probability of the complement of this event, we can simply subtract that probability from 1 to find the probability that the sum of the numbers rolled is greater than 3.

$$P(E') = 1 - P(E)$$

= $1 - \frac{1}{12}$
= $\frac{11}{12}$



11.57 Two number cubes are rolled. Use the Complement Rule to find the probability that the sum is less than 10.

Computing Probability Using Counting Theory

Many interesting probability problems involve counting principles, permutations, and combinations. In these problems, we will use permutations and combinations to find the number of elements in events and sample spaces. These problems can be complicated, but they can be made easier by breaking them down into smaller counting problems.

Assume, for example, that a store has 8 cellular phones and that 3 of those are defective. We might want to find the probability that a couple purchasing 2 phones receives 2 phones that are not defective. To solve this problem, we need to calculate all of the ways to select 2 phones that are not defective as well as all of the ways to select 2 phones. There are 5 phones that are not defective, so there are C(5, 2) ways to select 2 phones that are not defective. There are 8 phones, so there are C(8, 2) ways to select 2 phones. The probability of selecting 2 phones that are not defective is:

$$\frac{\text{ways to select 2 phones that are not defective}}{\text{ways to select 2 phones}} = \frac{C(5, 2)}{C(8, 2)}$$
$$= \frac{10}{28}$$
$$= \frac{5}{14}$$

Example 11.45

Computing Probability Using Counting Theory

A child randomly selects 5 toys from a bin containing 3 bunnies, 5 dogs, and 6 bears.

- a. Find the probability that only bears are chosen.
- b. Find the probability that 2 bears and 3 dogs are chosen.
- c. Find the probability that at least 2 dogs are chosen.

Solution

a. We need to count the number of ways to choose only bears and the total number of possible ways to select 5 toys. There are 6 bears, so there are C(6, 5) ways to choose 5 bears. There are 14 toys, so there are C(14, 5) ways to choose any 5 toys.

$$\frac{C(6,5)}{C(14,5)} = \frac{6}{2,002} = \frac{3}{1,001}$$

b. We need to count the number of ways to choose 2 bears and 3 dogs and the total number of possible ways to select 5 toys. There are 6 bears, so there are C(6, 2) ways to choose 2 bears. There are 5 dogs, so there are C(5, 3) ways to choose 3 dogs. Since we are choosing both bears and dogs at the same time, we will use the Multiplication Principle. There are $C(6, 2) \cdot C(5, 3)$ ways to choose 2 bears and 3 dogs. We can use this result to find the probability.

$$\frac{C(6,2)C(5,3)}{C(14,5)} = \frac{15 \cdot 10}{2,002} = \frac{75}{1,001}$$

c. It is often easiest to solve "at least" problems using the Complement Rule. We will begin by finding the probability that fewer than 2 dogs are chosen. If less than 2 dogs are chosen, then either no dogs could be chosen, or 1 dog could be chosen.

When no dogs are chosen, all 5 toys come from the 9 toys that are not dogs. There are C(9, 5) ways to choose toys from the 9 toys that are not dogs. Since there are 14 toys, there are C(14, 5) ways to choose the 5 toys from all of the toys.

$$\frac{C(9,5)}{C(14,5)} = \frac{63}{1,001}$$

If there is 1 dog chosen, then 4 toys must come from the 9 toys that are not dogs, and 1 must come from the 5 dogs. Since we are choosing both dogs and other toys at the same time, we will use the Multiplication Principle. There are $C(5, 1) \cdot C(9, 4)$ ways to choose 1 dog and 1 other toy.

$$\frac{C(5,1)C(9,4)}{C(14,5)} = \frac{5 \cdot 126}{2,002} = \frac{315}{1,001}$$

Because these events would not occur together and are therefore mutually exclusive, we add the probabilities to find the probability that fewer than 2 dogs are chosen.

$$\frac{63}{1,001} + \frac{315}{1,001} = \frac{378}{1,001}$$

We then subtract that probability from 1 to find the probability that at least 2 dogs are chosen.

$$1 - \frac{378}{1,001} = \frac{623}{1,001}$$



11.58 A child randomly selects 3 gumballs from a container holding 4 purple gumballs, 8 yellow gumballs, and 2 green gumballs.

- a. Find the probability that all 3 gumballs selected are purple.
- b. Find the probability that no yellow gumballs are selected.
- c. Find the probability that at least 1 yellow gumball is selected.



Access these online resources for additional instruction and practice with probability.

- Introduction to Probability (http://openstaxcollege.org/l/introprob)
- Determining Probability (http://openstaxcollege.org/l/determineprob)

Visit **this website (http://openstaxcollege.org/l/PreCalcLPC11)** for additional practice questions from Learningpod.

11.7 EXERCISES

Verbal

- 371. What term is used to express the likelihood of an event occurring? Are there restrictions on its values? If so, what are they? If not, explain.
- 372. What is a sample space?
- 373. What is an experiment?
- 374. What is the difference between events and outcomes? Give an example of both using the sample space of tossing a coin 50 times.
- 375. The *union of two sets* is defined as a set of elements that are present in at least one of the sets. How is this similar to the definition used for the *union of two events* from a probability model? How is it different?

Numeric

For the following exercises, use the spinner shown in **Figure 11.24** to find the probabilities indicated.

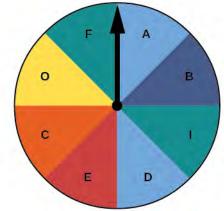


Figure 11.24

- 376. Landing on red
- 377. Landing on a vowel
- 378. Not landing on blue
- 379. Landing on purple or a vowel
- 380. Landing on blue or a vowel
- 381. Landing on green or blue
- 382. Landing on yellow or a consonant
- 383. Not landing on yellow or a consonant

For the following exercises, two coins are tossed.

384. What is the sample space?

- 385. Find the probability of tossing two heads.
- 386. Find the probability of tossing exactly one tail.
- 387. Find the probability of tossing at least one tail.

For the following exercises, four coins are tossed.

- 388. What is the sample space?
- 389. Find the probability of tossing exactly two heads.
- 390. Find the probability of tossing exactly three heads.
- 391. Find the probability of tossing four heads or four tails.
- 392. Find the probability of tossing all tails.
- 393. Find the probability of tossing not all tails.
- 394. Find the probability of tossing exactly two heads or at least two tails.
- 395. Find the probability of tossing either two heads or three heads.

For the following exercises, one card is drawn from a standard deck of 52 cards. Find the probability of drawing the following:

- 396. A club
- 397. A two
- 398. Six or seven
- 399. Red six
- 400. An ace or a diamond
- 401. A non-ace
- 402. A heart or a non-jack

For the following exercises, two dice are rolled, and the results are summed.

- 403. Construct a table showing the sample space of outcomes and sums.
- 404. Find the probability of rolling a sum of 3.
- 405. Find the probability of rolling at least one four or a sum of 8.
- 406. Find the probability of rolling an odd sum less than 9.
- 407. Find the probability of rolling a sum greater than or equal to 15.

- 408. Find the probability of rolling a sum less than 15.
- 409. Find the probability of rolling a sum less than 6 or greater than 9.
- 410. Find the probability of rolling a sum between 6 and 9, inclusive.
- 411. Find the probability of rolling a sum of 5 or 6.
- 412. Find the probability of rolling any sum other than 5 or 6.

For the following exercises, a coin is tossed, and a card is pulled from a standard deck. Find the probability of the following:

- 413. A head on the coin or a club
- 414. A tail on the coin or red ace
- 415. A head on the coin or a face card
- 416. No aces

For the following exercises, use this scenario: a bag of M&Ms contains 12 blue, 6 brown, 10 orange, 8 yellow, 8 red, and 4 green M&Ms. Reaching into the bag, a person grabs 5 M&Ms.

- 417. What is the probability of getting all blue M&Ms?
- 418. What is the probability of getting 4 blue M&Ms?
- 419. What is the probability of getting 3 blue M&Ms?
- 420. What is the probability of getting no brown M&Ms?

Extensions

Use the following scenario for the exercises that follow: In the game of Keno, a player starts by selecting 20 numbers from the numbers 1 to 80. After the player makes his selections, 20 winning numbers are randomly selected from numbers 1 to 80. A win occurs if the player has correctly selected 3, 4, or 5 of the 20 winning numbers. (Round all answers to the nearest hundredth of a percent.)

- 421. What is the percent chance that a player selects exactly 3 winning numbers?
- 422. What is the percent chance that a player selects exactly 4 winning numbers?
- 423. What is the percent chance that a player selects all 5 winning numbers?
- 424. What is the percent chance of winning?
- 2. United States Census Bureau. http://www.census.gov

425. How much less is a player's chance of selecting 3 winning numbers than the chance of selecting either 4 or 5 winning numbers?

Real-World Applications

Use this data for the exercises that follow: In 2013, there were roughly 317 million citizens in the United States, and about 40 million were elderly (aged 65 and over). [2]

- 426. If you meet a U.S. citizen, what is the percent chance that the person is elderly? (Round to the nearest tenth of a percent.)
- 427. If you meet five U.S. citizens, what is the percent chance that exactly one is elderly? (Round to the nearest tenth of a percent.)
- 428. If you meet five U.S. citizens, what is the percent chance that three are elderly? (Round to the nearest tenth of a percent.)
- 429. If you meet five U.S. citizens, what is the percent chance that four are elderly? (Round to the nearest thousandth of a percent.)
- 430. It is predicted that by 2030, one in five U.S. citizens will be elderly. How much greater will the chances of meeting an elderly person be at that time? What policy changes do you foresee if these statistics hold true?

CHAPTER 11 REVIEW

KEY TERMS

Addition Principle if one event can occur in m ways and a second event with no common outcomes can occur in n ways, then the first or second event can occur in m + n ways

annuity an investment in which the purchaser makes a sequence of periodic, equal payments

arithmetic sequence a sequence in which the difference between any two consecutive terms is a constant

arithmetic series the sum of the terms in an arithmetic sequence

binomial coefficient the number of ways to choose r objects from n objects where order does not matter; equivalent to C(n, r), denoted $\binom{n}{r}$

binomial expansion the result of expanding $(x + y)^n$ by multiplying

Binomial Theorem a formula that can be used to expand any binomial

combination a selection of objects in which order does not matter

common difference the difference between any two consecutive terms in an arithmetic sequence

common ratio the ratio between any two consecutive terms in a geometric sequence

complement of an event the set of outcomes in the sample space that are not in the event *E*

diverge a series is said to diverge if the sum is not a real number

event any subset of a sample space

experiment an activity with an observable result

explicit formula a formula that defines each term of a sequence in terms of its position in the sequence

finite sequence a function whose domain consists of a finite subset of the positive integers $\{1, 2, ... n\}$ for some positive integer n

Fundamental Counting Principle if one event can occur in m ways and a second event can occur in n ways after the first event has occurred, then the two events can occur in $m \times n$ ways; also known as the Multiplication Principle

geometric sequence a sequence in which the ratio of a term to a previous term is a constant

geometric series the sum of the terms in a geometric sequence

index of summation in summation notation, the variable used in the explicit formula for the terms of a series and written below the sigma with the lower limit of summation

infinite sequence a function whose domain is the set of positive integers

infinite series the sum of the terms in an infinite sequence

lower limit of summation the number used in the explicit formula to find the first term in a series

Multiplication Principle if one event can occur in m ways and a second event can occur in n ways after the first event has occurred, then the two events can occur in $m \times n$ ways; also known as the Fundamental Counting Principle

mutually exclusive events events that have no outcomes in common

n factorial the product of all the positive integers from 1 to *n*

nth partial sum the sum of the first *n* terms of a sequence

nth term of a sequence a formula for the general term of a sequence

outcomes the possible results of an experiment

permutation a selection of objects in which order matters

probability a number from 0 to 1 indicating the likelihood of an event

probability model a mathematical description of an experiment listing all possible outcomes and their associated probabilities

recursive formula a formula that defines each term of a sequence using previous term(s)

sample space the set of all possible outcomes of an experiment

sequence a function whose domain is a subset of the positive integers

series the sum of the terms in a sequence

summation notation a notation for series using the Greek letter sigma; it includes an explicit formula and specifies the first and last terms in the series

term a number in a sequence

union of two events the event that occurs if either or both events occur

upper limit of summation the number used in the explicit formula to find the last term in a series

KEY EQUATIONS

Formula for a factorial $\begin{array}{c} 0!=1\\ 1!=1\\ n!=n(n-1)(n-2)\cdots(2)(1), \ \text{for} \ n\geq 2 \end{array}$

recursive formula for nth term of an arithmetic sequence $a_n = a_{n-1} + dn \ge 2$

explicit formula for nth term of an arithmetic sequence $a_n = a_1 + d(n-1)$

recursive formula for *nth* term of a geometric sequence $a_n = ra_{n-1}, n \ge 2$

explicit formula for *nth* term of a geometric sequence $a_n = a_1 r^{n-1}$

sum of the first *n* terms of an arithmetic series $S_n = \frac{n(a_1 + a_n)}{2}$

sum of the first n terms of a geometric series $S_n = \frac{a_1(1-r^n)}{1-r} \cdot r \neq 1$

sum of an infinite geometric series with -1 < r < 1 $S_n = \frac{a_1}{1-r} \cdot r \neq 1$

number of permutations of n distinct objects taken r at a time $P(n, r) = \frac{n!}{(n-r)!}$

number of combinations of n distinct objects taken r at a time $C(n, r) = \frac{n!}{r!(n-r)!}$

number of permutations of *n* non-distinct objects $\frac{n!}{r_1!r_2!\dots r_k!}$

Binomial Theorem $(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$

(r+1)th term of a binomial expansion $\binom{n}{r}x^{n-r}y^r$

probability of an event with equally likely outcomes $P(E) = \frac{n(E)}{n(S)}$

probability of the union of two events $P(E \cup F) = P(E) + P(F) - P(E \cap F)$

probability of the union of mutually exclusive events $P(E \cup F) = P(E) + P(F)$

probability of the complement of an event P(E') = 1 - P(E)

KEY CONCEPTS

11.1 Sequences and Their Notations

- A sequence is a list of numbers, called terms, written in a specific order.
- Explicit formulas define each term of a sequence using the position of the term. See **Example 11.1**, **Example 11.2**, and **Example 11.3**.
- An explicit formula for the *n*th term of a sequence can be written by analyzing the pattern of several terms. See **Example 11.4**.
- Recursive formulas define each term of a sequence using previous terms.
- Recursive formulas must state the initial term, or terms, of a sequence.
- A set of terms can be written by using a recursive formula. See Example 11.5 and Example 11.6.
- A factorial is a mathematical operation that can be defined recursively.
- The factorial of *n* is the product of all integers from 1 to *n* See **Example 11.7**.

11.2 Arithmetic Sequences

- An arithmetic sequence is a sequence where the difference between any two consecutive terms is a constant.
- The constant between two consecutive terms is called the common difference.

- The common difference is the number added to any one term of an arithmetic sequence that generates the subsequent term. See **Example 11.8**.
- The terms of an arithmetic sequence can be found by beginning with the initial term and adding the common difference repeatedly. See **Example 11.9** and **Example 11.10**.
- A recursive formula for an arithmetic sequence with common difference d is given by $a_n = a_{n-1} + d$, $n \ge 2$. See **Example 11.11**.
- As with any recursive formula, the initial term of the sequence must be given.
- An explicit formula for an arithmetic sequence with common difference d is given by $a_n = a_1 + d(n-1)$. See **Example 11.12**.
- An explicit formula can be used to find the number of terms in a sequence. See **Example 11.13**.
- In application problems, we sometimes alter the explicit formula slightly to $a_n = a_0 + dn$. See **Example 11.14**.

11.3 Geometric Sequences

- A geometric sequence is a sequence in which the ratio between any two consecutive terms is a constant.
- The constant ratio between two consecutive terms is called the common ratio.
- The common ratio can be found by dividing any term in the sequence by the previous term. See **Example 11.15**.
- The terms of a geometric sequence can be found by beginning with the first term and multiplying by the common ratio repeatedly. See **Example 11.16** and **Example 11.18**.
- A recursive formula for a geometric sequence with common ratio r is given by $a_n = ra_{n-1}$ for $n \ge 2$.
- As with any recursive formula, the initial term of the sequence must be given. See Example 11.17.
- An explicit formula for a geometric sequence with common ratio r is given by $a_n = a_1 r^{n-1}$. See **Example** 11.19.
- In application problems, we sometimes alter the explicit formula slightly to $a_n = a_0 r^n$. See **Example 11.20**.

11.4 Series and Their Notations

- The sum of the terms in a sequence is called a series.
- A common notation for series is called summation notation, which uses the Greek letter sigma to represent the sum. See **Example 11.21**.
- The sum of the terms in an arithmetic sequence is called an arithmetic series.
- The sum of the first *n* terms of an arithmetic series can be found using a formula. See **Example 11.22** and **Example 11.23**.
- The sum of the terms in a geometric sequence is called a geometric series.
- The sum of the first *n* terms of a geometric series can be found using a formula. See **Example 11.24** and **Example 11.25**.
- The sum of an infinite series exists if the series is geometric with -1 < r < 1.
- If the sum of an infinite series exists, it can be found using a formula. See Example 11.26, Example 11.27, and Example 11.28.
- An annuity is an account into which the investor makes a series of regularly scheduled payments. The value of an
 annuity can be found using geometric series. See Example 11.29.

11.5 Counting Principles

- If one event can occur in m ways and a second event with no common outcomes can occur in n ways, then the first or second event can occur in m + n ways. See **Example 11.30**.
- If one event can occur in m ways and a second event can occur in n ways after the first event has occurred, then the two events can occur in $m \times n$ ways. See **Example 11.31**.
- A permutation is an ordering of *n* objects.
- If we have a set of n objects and we want to choose r objects from the set in order, we write P(n, r).
- Permutation problems can be solved using the Multiplication Principle or the formula for P(n, r). See **Example 11.32** and **Example 11.33**.
- A selection of objects where the order does not matter is a combination.
- Given *n* distinct objects, the number of ways to select *r* objects from the set is C(n, r) and can be found using a formula. See **Example 11.34**.
- A set containing n distinct objects has 2^n subsets. See **Example 11.35**.
- For counting problems involving non-distinct objects, we need to divide to avoid counting duplicate permutations. See **Example 11.36**.

11.6 Binomial Theorem

- $\binom{n}{r}$ is called a binomial coefficient and is equal to C(n, r). See **Example 11.37**.
- The Binomial Theorem allows us to expand binomials without multiplying. See Example 11.38.
- We can find a given term of a binomial expansion without fully expanding the binomial. See Example 11.39.

11.7 Probability

- Probability is always a number between 0 and 1, where 0 means an event is impossible and 1 means an event is certain.
- The probabilities in a probability model must sum to 1. See **Example 11.40**.
- When the outcomes of an experiment are all equally likely, we can find the probability of an event by dividing
 the number of outcomes in the event by the total number of outcomes in the sample space for the experiment. See
 Example 11.41.
- To find the probability of the union of two events, we add the probabilities of the two events and subtract the probability that both events occur simultaneously. See **Example 11.42**.
- To find the probability of the union of two mutually exclusive events, we add the probabilities of each of the events. See **Example 11.43**.
- The probability of the complement of an event is the difference between 1 and the probability that the event occurs. See **Example 11.44**.
- In some probability problems, we need to use permutations and combinations to find the number of elements in events and sample spaces. See **Example 11.45**.

CHAPTER 11 REVIEW EXERCISES

Sequences and Their Notation

431. Write the first four terms of the sequence defined by the recursive formula $a_1 = 2$, $a_n = a_{n-1} + n$.

432. Evaluate
$$\frac{6!}{(5-3)!3!}$$
.

433. Write the first four terms of the sequence defined by the explicit formula $a_n = 10^n + 3$.

434. Write the first four terms of the sequence defined by the explicit formula $a_n = \frac{n!}{n(n+1)}$.

Arithmetic Sequences

- **435.** Is the sequence $\frac{4}{7}$, $\frac{47}{21}$, $\frac{82}{21}$, $\frac{39}{7}$, ... arithmetic? If so, find the common difference.
- **436.** Is the sequence 2, 4, 8, 16, ... arithmetic? If so, find the common difference.
- **437.** An arithmetic sequence has the first term $a_1 = 18$ and common difference d = -8. What are the first five terms?
- **438.** An arithmetic sequence has terms $a_3 = 11.7$ and $a_8 = -14.6$. What is the first term?
- **439.** Write a recursive formula for the arithmetic sequence $-20, -10, 0, 10, \dots$
- **440.** Write a recursive formula for the arithmetic sequence 0, $-\frac{1}{2}$, -1, $-\frac{3}{2}$, ..., and then find the 31st term.
- **441.** Write an explicit formula for the arithmetic sequence $\frac{7}{8}$, $\frac{29}{24}$, $\frac{37}{24}$, $\frac{15}{8}$, ...
- **442.** How many terms are in the finite arithmetic sequence 12, 20, 28, ..., 172?

Geometric Sequences

- **443.** Find the common ratio for the geometric sequence 2.5, 5, 10, 20, ...
- **444.** Is the sequence 4, 16, 28, 40, ... geometric? If so find the common ratio. If not, explain why.
- **445.** A geometric sequence has terms $a_7 = 16,384$ and $a_9 = 262,144$. What are the first five terms?
- **446.** A geometric sequence has the first term $a_1 = -3$ and common ratio $r = \frac{1}{2}$. What is the 8th term?
- **447.** What are the first five terms of the geometric sequence $a_1 = 3$, $a_n = 4 \cdot a_{n-1}$?

- **448.** Write a recursive formula for the geometric sequence 1, $\frac{1}{3}$, $\frac{1}{9}$, $\frac{1}{27}$, ...
- **449.** Write an explicit formula for the geometric sequence $-\frac{1}{5}$, $-\frac{1}{15}$, $-\frac{1}{45}$, $-\frac{1}{135}$, ...
- **450.** How many terms are in the finite geometric sequence -5, $-\frac{5}{3}$, $-\frac{5}{9}$, ..., $-\frac{5}{59,049}$?

Series and Their Notation

- **451.** Use summation notation to write the sum of terms $\frac{1}{2}m + 5$ from m = 0 to m = 5.
- **452.** Use summation notation to write the sum that results from adding the number 13 twenty times.
- **453.** Use the formula for the sum of the first n terms of an arithmetic series to find the sum of the first eleven terms of the arithmetic series 2.5, 4, 5.5,
- **454.** A ladder has 15 tapered rungs, the lengths of which increase by a common difference. The first rung is 5 inches long, and the last rung is 20 inches long. What is the sum of the lengths of the rungs?
- **455.** Use the formula for the sum of the first *n* terms of a geometric series to find S_9 for the series 12, 6, 3, $\frac{3}{2}$, ...
- **456.** The fees for the first three years of a hunting club membership are given in **Table 11.6**. If fees continue to rise at the same rate, how much will the total cost be for the first ten years of membership?

Year	Membership Fees
1	\$1500
2	\$1950
3	\$2535

Table 11.6

457. Find the sum of the infinite geometric series $\sum_{k=1}^{\infty} 45 \cdot \left(-\frac{1}{3}\right)^{k-1}.$

458. A ball has a bounce-back ratio of $\frac{3}{5}$ the height

of the previous bounce. Write a series representing the total distance traveled by the ball, assuming it was initially dropped from a height of 5 feet. What is the total distance? (*Hint*: the total distance the ball travels on each bounce is the sum of the heights of the rise and the fall.)

- **459.** Alejandro deposits \$80 of his monthly earnings into an annuity that earns 6.25% annual interest, compounded monthly. How much money will he have saved after 5 years?
- **460.** The twins Sarah and Scott both opened retirement accounts on their 21st birthday. Sarah deposits \$4,800.00 each year, earning 5.5% annual interest, compounded monthly. Scott deposits \$3,600.00 each year, earning 8.5% annual interest, compounded monthly. Which twin will earn the most interest by the time they are 55 years old? How much more?

Counting Principles

- **461.** How many ways are there to choose a number from the set $\{-10, -6,4,10,12,18,24,32\}$ that is divisible by either 4 or 6?
- **462.** In a group of 20 musicians, 12 play piano, 7 play trumpet, and 2 play both piano and trumpet. How many musicians play either piano or trumpet?
- **463.** How many ways are there to construct a 4-digit code if numbers can be repeated?
- **464.** A palette of water color paints has 3 shades of green, 3 shades of blue, 2 shades of red, 2 shades of yellow, and 1 shade of black. How many ways are there to choose one shade of each color?
- **465.** Calculate *P*(18, 4).
- **466.** In a group of 5 freshman, 10 sophomores, 3 juniors, and 2 seniors, how many ways can a president, vice president, and treasurer be elected?
- **467.** Calculate *C*(15, 6).
- **468.** A coffee shop has 7 Guatemalan roasts, 4 Cuban roasts, and 10 Costa Rican roasts. How many ways can the shop choose 2 Guatemalan, 2 Cuban, and 3 Costa Rican roasts for a coffee tasting event?
- **469.** How many subsets does the set {1, 3, 5, ..., 99} have?

- **470.** A day spa charges a basic day rate that includes use of a sauna, pool, and showers. For an extra charge, guests can choose from the following additional services: massage, body scrub, manicure, pedicure, facial, and straight-razor shave. How many ways are there to order additional services at the day spa?
- **471.** How many distinct ways can the word DEADWOOD be arranged?
- **472.** How many distinct rearrangements of the letters of the word DEADWOOD are there if the arrangement must begin and end with the letter D?

Binomial Theorem

- **473.** Evaluate the binomial coefficient $\binom{23}{8}$.
- **474.** Use the Binomial Theorem to expand $\left(3x + \frac{1}{2}y\right)^6$.
- **475.** Use the Binomial Theorem to write the first three terms of $(2a + b)^{17}$.
- **476.** Find the fourth term of $(3a^2 2b)^{11}$ without fully expanding the binomial.

Probability

For the following exercises, assume two die are rolled.

- **477.** Construct a table showing the sample space.
- **478.** What is the probability that a roll includes a 2?
- **479.** What is the probability of rolling a pair?
- **480.** What is the probability that a roll includes a 2 or results in a pair?
- **481.** What is the probability that a roll doesn't include a 2 or result in a pair?
- **482.** What is the probability of rolling a 5 or a 6?
- **483.** What is the probability that a roll includes neither a 5 nor a 6?

For the following exercises, use the following data: An elementary school survey found that 350 of the 500 students preferred soda to milk. Suppose 8 children from the school are attending a birthday party. (Show calculations and round to the nearest tenth of a percent.)

- **484.** What is the percent chance that all the children attending the party prefer soda?
- **485.** What is the percent chance that at least one of the children attending the party prefers milk?
- **486.** What is the percent chance that exactly 3 of the children attending the party prefer soda?
- **487.** What is the percent chance that exactly 3 of the children attending the party prefer milk?

CHAPTER 11 PRACTICE TEST

- **488.** Write the first four terms of the sequence defined by the recursive formula a = -14, $a_n = \frac{2 + a_{n-1}}{2}$.
- **489.** Write the first four terms of the sequence defined by the explicit formula $a_n = \frac{n^2 n 1}{n!}$.
- **490.** Is the sequence 0.3, 1.2, 2.1, 3, ... arithmetic? If so find the common difference.
- **491.** An arithmetic sequence has the first term $a_1 = -4$ and common difference $d = -\frac{4}{3}$. What is the 6th term?
- **492.** Write a recursive formula for the arithmetic sequence -2, $-\frac{7}{2}$, -5, $-\frac{13}{2}$, ... and then find the 22^{nd} term.
- **493.** Write an explicit formula for the arithmetic sequence 15.6, 15, 14.4, 13.8, ... and then find the 32nd term.
- **494.** Is the sequence $-2, -1, -\frac{1}{2}, -\frac{1}{4}, \dots$ geometric? If so find the common ratio. If not, explain why.
- **495.** What is the 11^{th} term of the geometric sequence $-1.5, -3, -6, -12, \dots$?
- **496.** Write a recursive formula for the geometric sequence $1, -\frac{1}{2}, \frac{1}{4}, -\frac{1}{8}, \dots$
- **497.** Write an explicit formula for the geometric sequence 4, $-\frac{4}{3}$, $\frac{4}{9}$, $-\frac{4}{27}$, ...

- **498.** Use summation notation to write the sum of terms $3k^2 \frac{5}{6}k$ from k = -3 to k = 15.
- **499.** A community baseball stadium has 10 seats in the first row, 13 seats in the second row, 16 seats in the third row, and so on. There are 56 rows in all. What is the seating capacity of the stadium?
- **500.** Use the formula for the sum of the first *n* terms of a geometric series to find $\sum_{k=1}^{7} -0.2 \cdot (-5)^{k-1}.$
- **501.** Find the sum of the infinite geometric series $\sum_{k=1}^{\infty} \frac{1}{3} \cdot \left(-\frac{1}{5}\right)^{k-1}.$
- **502.** Rachael deposits \$3,600 into a retirement fund each year. The fund earns 7.5% annual interest, compounded monthly. If she opened her account when she was 20 years old, how much will she have by the time she's 55? How much of that amount was interest earned?
- **503.** In a competition of 50 professional ballroom dancers, 22 compete in the fox-trot competition, 18 compete in the tango competition, and 6 compete in both the fox-trot and tango competitions. How many dancers compete in the fox-trot or tango competitions?
- **504.** A buyer of a new sedan can custom order the car by choosing from 5 different exterior colors, 3 different interior colors, 2 sound systems, 3 motor designs, and either manual or automatic transmission. How many choices does the buyer have?
- **505.** To allocate annual bonuses, a manager must choose his top four employees and rank them first to fourth. In how many ways can he create the "Top-Four" list out of the 32 employees?

506. A rock group needs to choose 3 songs to play at the annual Battle of the Bands. How many ways can they choose their set if have 15 songs to pick from?

chance that exactly 3 are butterscotch? (Show calculations and round to the nearest tenth of a percent.)

- **507.** A self-serve frozen yogurt shop has 8 candy toppings and 4 fruit toppings to choose from. How many ways are there to top a frozen yogurt?
- **508.** How many distinct ways can the word EVANESCENCE be arranged if the anagram must end with the letter E?
- **509.** Use the Binomial Theorem to expand $\left(\frac{3}{2}x \frac{1}{2}y\right)^5$.
- **510.** Find the seventh term of $\left(x^2 \frac{1}{2}\right)^{13}$ without fully expanding the binomial.

For the following exercises, use the spinner in **Figure 11.25**.



Figure 11.25

- **511.** Construct a probability model showing each possible outcome and its associated probability. (Use the first letter for colors.)
- **512.** What is the probability of landing on an odd number?
- **513.** What is the probability of landing on blue?
- **514.** What is the probability of landing on blue or an odd number?
- **515.** What is the probability of landing on anything other than blue or an odd number?
- **516.** A bowl of candy holds 16 peppermint, 14 butterscotch, and 10 strawberry flavored candies. Suppose a person grabs a handful of 7 candies. What is the percent

12 | INTRODUCTION TO CALCULUS



Figure 12.1 Jamaican sprinter Usain Bolt accelerates out of the blocks. (credit: Nick Webb)

Chapter Outline

- 12.1 Finding Limits: Numerical and Graphical Approaches
- 12.2 Finding Limits: Properties of Limits
- 12.3 Continuity
- 12.4 Derivatives

Introduction

The eight-time world champion and winner of six Olympic gold medals in sprinting, Usain Bolt has truly earned his nickname as the "fastest man on Earth." Also known as the "lightning bolt," he set the track on fire by running at a top speed of 27.79 mph—the fastest time ever recorded by a human runner.

Like the fastest land animal, a cheetah, Bolt does not run at his top speed at every instant. How then, do we approximate his speed at any given instant? We will find the answer to this and many related questions in this chapter.

12.1 | Finding Limits: Numerical and Graphical Approaches

Learning Objectives

In this section, you will:

12.1.1 Understand limit notation.

12.1.2 Find a limit using a graph.

12.1.3 Find a limit using a table.

Intuitively, we know what a limit is. A car can go only so fast and no faster. A trash can might hold 33 gallons and no more. It is natural for measured amounts to have limits. What, for instance, is the limit to the height of a woman? The tallest woman on record was Jinlian Zeng from China, who was 8 ft 1 in.^[1] Is this the limit of the height to which women can grow? Perhaps not, but there is likely a limit that we might describe in inches if we were able to determine what it was.

To put it mathematically, the function whose input is a woman and whose output is a measured height in inches has a limit. In this section, we will examine numerical and graphical approaches to identifying limits.

Understanding Limit Notation

We have seen how a sequence can have a limit, a value that the sequence of terms moves toward as the nu mber of terms increases. For example, the terms of the sequence

$$1, \frac{1}{2}, \frac{1}{4}, \frac{1}{8}...$$

gets closer and closer to 0. A sequence is one type of function, but functions that are not sequences can also have limits. We can describe the behavior of the function as the input values get close to a specific value. If the limit of a function f(x) = L, then as the input x gets closer and closer to a, the output y-coordinate gets closer and closer to b. We say that the output "approaches" b.

Figure 12.2 provides a visual representation of the mathematical concept of limit. As the input value x approaches a, the output value f(x) approaches L.

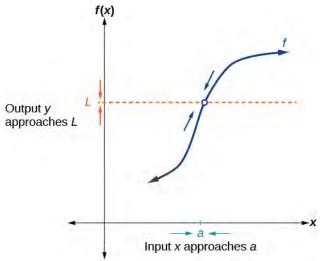


Figure 12.2 The output (y--coordinate) approaches L as the input (x-coordinate) approaches a.

^{1.} https://en.wikipedia.org/wiki/Human height and http://en.wikipedia.org/wiki/List of tallest people

We write the equation of a limit as

$$\lim_{x \to a} f(x) = L.$$

This notation indicates that as x approaches a both from the left of x = a and the right of x = a, the output value approaches L.

Consider the function

$$f(x) = \frac{x^2 - 6x - 7}{x - 7}.$$

We can factor the function as shown.

$$f(x) = \frac{(x-7)(x+1)}{x}$$
 Cancel like factors in numerator and denominator. $f(x) = x + 1, x \neq 7$ Simplify.

Notice that x cannot be 7, or we would be dividing by 0, so 7 is not in the domain of the original function. In order to avoid changing the function when we simplify, we set the same condition, $x \ne 7$, for the simplified function. We can represent the function graphically as shown in **Figure 12.3**.

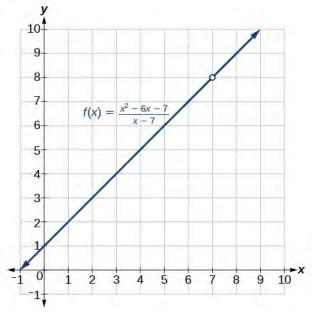


Figure 12.3 Because 7 is not allowed as an input, there is no point at x = 7.

What happens at x = 7 is completely different from what happens at points close to x = 7 on either side. The notation

$$\lim_{x \to 7} f(x) = 8$$

indicates that as the input x approaches 7 from either the left or the right, the output approaches 8. The output can get as close to 8 as we like if the input is sufficiently near 7.

What happens at x = 7? When x = 7, there is no corresponding output. We write this as

$$f(7)$$
 does not exist.

This notation indicates that 7 is not in the domain of the function. We had already indicated this when we wrote the function as

$$f(x) = x + 1, \quad x \neq 7.$$

Notice that the limit of a function can exist even when f(x) is not defined at x = a. Much of our subsequent work will be determining limits of functions as x nears a, even though the output at x = a does not exist.

The Limit of a Function

A quantity L is the **limit** of a function f(x) as x approaches a if, as the input values of x approach a (but do not equal a), the corresponding output values of f(x) get closer to L. Note that the value of the limit is not affected by the output value of f(x) at a. Both a and L must be real numbers. We write it as

$$\lim_{x \to a} f(x) = L$$

Example 12.1

Understanding the Limit of a Function

For the following limit, define a, f(x), and L.

$$\lim_{x \to 2} (3x + 5) = 11$$

Solution

First, we recognize the notation of a limit. If the limit exists, as *x* approaches *a*, we write

$$\lim_{x \to a} f(x) = L.$$

We are given

$$\lim_{x \to 2} (3x + 5) = 11.$$

This means that a = 2, f(x) = 3x + 5, and L = 11.

Analysis

Recall that y = 3x + 5 is a line with no breaks. As the input values approach 2, the output values will get close to 11. This may be phrased with the equation $\lim_{x \to 2} (3x + 5) = 11$, which means that as x nears 2 (but is not exactly 2), the output of the function f(x) = 3x + 5 gets as close as we want to 3(2) + 5, or 11, which is the limit L, as we take values of x sufficiently near 2 but not at x = 2.



12.1 For the following limit, define a, f(x), and L.

$$\lim_{x \to 5} (2x^2 - 4) = 46$$

Understanding Left-Hand Limits and Right-Hand Limits

We can approach the input of a function from either side of a value—from the left or the right. **Figure 12.4** shows the values of

$$f(x) = x + 1, x \neq 7$$

as described earlier and depicted in Figure 12.3.

		es of <i>x</i> appromites a second the left (<i>x</i> ·		x = 7		s of x approathe the right (x			
X	x 6.9		6.9 6.99 6.999		x 6.9 6.99 6.999 7	7	7.001	7.01	7.1
f(x)	7.9	7.99	7.999	Undefined	8.001	8.01	8.1		

Values of output approach the limit, 8

Values of output approach the limit, 8

Figure 12.4

Values described as "from the left" are less than the input value 7 and would therefore appear to the left of the value on a number line. The input values that approach 7 from the left in **Figure 12.4** are 6.9, 6.99, and 6.999. The corresponding outputs are 7.9, 7.99, and 7.999. These values are getting closer to 8. The limit of values of f(x) as x approaches from the left is known as the left-hand limit. For this function, 8 is the left-hand limit of the function f(x) = x + 1, $x \ne 7$ as x approaches 7.

Values described as "from the right" are greater than the input value 7 and would therefore appear to the right of the value on a number line. The input values that approach 7 from the right in **Figure 12.4** are 7.1, 7.01, and 7.001. The corresponding outputs are 8.1, 8.01, and 8.001. These values are getting closer to 8. The limit of values of f(x) as x approaches from the right is known as the right-hand limit. For this function, 8 is also the right-hand limit of the function f(x) = x + 1, $x \ne 7$ as x approaches 7.

Figure 12.4 shows that we can get the output of the function within a distance of 0.1 from 8 by using an input within a distance of 0.1 from 7. In other words, we need an input x within the interval 6.9 < x < 7.1 to produce an output value of f(x) within the interval 7.9 < f(x) < 8.1.

We also see that we can get output values of f(x) successively closer to 8 by selecting input values closer to 7. In fact, we can obtain output values within any specified interval if we choose appropriate input values.

Figure 12.5 provides a visual representation of the left- and right-hand limits of the function. From the graph of f(x), we observe the output can get infinitesimally close to L = 8 as x approaches 7 from the left and as x approaches 7 from the right.

To indicate the left-hand limit, we write

$$\lim_{x \to 7^{-}} f(x) = 8.$$

To indicate the right-hand limit, we write

$$\lim_{x \to 7^+} f(x) = 8.$$

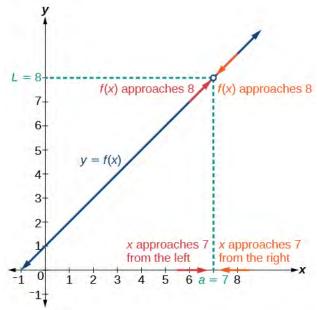


Figure 12.5 The left- and right-hand limits are the same for this function.

Left- and Right-Hand Limits

The **left-hand limit** of a function f(x) as x approaches a from the left is equal to L, denoted by

$$\lim_{x \to a^{-}} f(x) = L.$$

The values of f(x) can get as close to the limit L as we like by taking values of x sufficiently close to a such that x < a and $x \ne a$.

The **right-hand limit** of a function f(x), as x approaches a from the right, is equal to L, denoted by

$$\lim_{x \to a^{+}} f(x) = L.$$

The values of f(x) can get as close to the limit L as we like by taking values of x sufficiently close to a but greater than a. Both a and L are real numbers.

Understanding Two-Sided Limits

In the previous example, the left-hand limit and right-hand limit as x approaches a are equal. If the left- and right-hand limits are equal, we say that the function f(x) has a **two-sided limit** as x approaches a. More commonly, we simply refer to a two-sided limit as a limit. If the left-hand limit does not equal the right-hand limit, or if one of them does not exist, we say the limit does not exist.

The Two-Sided Limit of Function as x Approaches a

The limit of a function f(x), as x approaches a, is equal to L, that is,

$$\lim_{x \to a} f(x) = L$$

if and only if

$$\lim_{x \to a^{-}} f(x) = \lim_{x \to a^{+}} f(x).$$

In other words, the left-hand limit of a function f(x) as x approaches a is equal to the right-hand limit of the same function as x approaches a. If such a limit exists, we refer to the limit as a two-sided limit. Otherwise we say the limit does not exist.

Finding a Limit Using a Graph

To visually determine if a limit exists as x approaches a, we observe the graph of the function when x is very near to x = a. In **Figure 12.6** we observe the behavior of the graph on both sides of a.

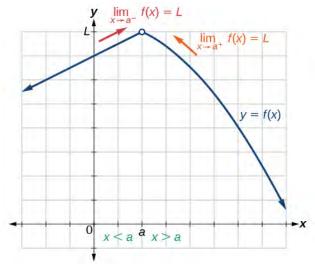


Figure 12.6

To determine if a left-hand limit exists, we observe the branch of the graph to the left of x = a, but near x = a. This is where x < a. We see that the outputs are getting close to some real number L so there is a left-hand limit.

To determine if a right-hand limit exists, observe the branch of the graph to the right of x = a, but near x = a. This is where x > a. We see that the outputs are getting close to some real number L, so there is a right-hand limit.

If the left-hand limit and the right-hand limit are the same, as they are in **Figure 12.6**, then we know that the function has a two-sided limit. Normally, when we refer to a "limit," we mean a two-sided limit, unless we call it a one-sided limit.

Finally, we can look for an output value for the function f(x) when the input value x is equal to a. The coordinate pair of the point would be (a, f(a)). If such a point exists, then f(a) has a value. If the point does not exist, as in **Figure 12.6**, then we say that f(a) does not exist.



Given a function f(x), use a graph to find the limits and a function value as x approaches a.

- 1. Examine the graph to determine whether a left-hand limit exists.
- 2. Examine the graph to determine whether a right-hand limit exists.
- 3. If the two one-sided limits exist and are equal, then there is a two-sided limit—what we normally call a "limit"
- 4. If there is a point at x = a, then f(a) is the corresponding function value.

Example 12.2

Finding a Limit Using a Graph

- a. Determine the following limits and function value for the function f shown in **Figure 12.7**.
 - i. $\lim_{x \to 2^{-}} f(x)$
 - ii. $\lim_{x \to 2^+} f(x)$
 - iii. $\lim_{x \to 2} f(x)$
 - iv. f(2)

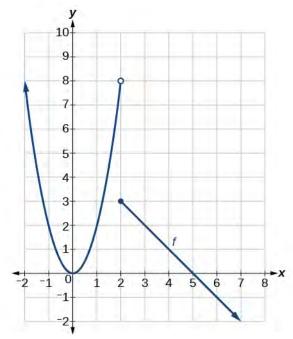


Figure 12.7

- b. Determine the following limits and function value for the function f shown in **Figure 12.8**.
 - i. $\lim_{x \to 2^{-}} f(x)$
 - ii. $\lim_{x \to 2^+} f(x)$
 - iii. $\lim_{x \to 2} f(x)$
 - iv. f(2)

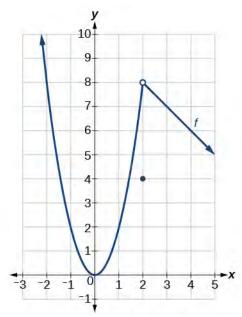


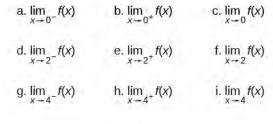
Figure 12.8

Solution

- a. Looking at Figure 12.7:
 - i. $\lim_{x \to 2^{-}} f(x) = 8$; when x < 2, but infinitesimally close to 2, the output values get close to y = 8.
 - ii. $\lim_{x \to 2^+} f(x) = 3$; when x > 2, but infinitesimally close to 2, the output values approach y = 3.
 - iii. $\lim_{x \to 2} f(x)$ does not exist because $\lim_{x \to 2^{-}} f(x) \neq \lim_{x \to 2^{+}} f(x)$; the left and right-hand limits are not equal.
 - iv. f(2) = 3 because the graph of the function f passes through the point (2, f(2)) or (2, 3).
- b. Looking at Figure 12.8:
 - i. $\lim_{x \to 2^-} f(x) = 8$; when x < 2 but infinitesimally close to 2, the output values approach y = 8.
 - ii. $\lim_{x \to 2^+} f(x) = 8$; when x > 2 but infinitesimally close to 2, the output values approach y = 8.
 - iii. $\lim_{x \to 2} f(x) = 8$ because $\lim_{x \to 2^{-}} f(x) = \lim_{x \to 2^{+}} f(x) = 8$; the left and right-hand limits are equal.
 - iv. f(2) = 4 because the graph of the function f passes through the point (2, f(2)) or (2, 4).



12.2 Using the graph of the function y = f(x) shown in **Figure 12.9**, estimate the following limits.



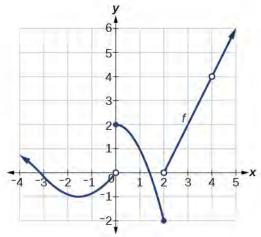


Figure 12.9

Finding a Limit Using a Table

Creating a table is a way to determine limits using numeric information. We create a table of values in which the input values of x approach a from both sides. Then we determine if the output values get closer and closer to some real value, the limit I

Let's consider an example using the following function:

$$\lim_{x \to 5} \left(\frac{x^3 - 125}{x - 5} \right)$$

To create the table, we evaluate the function at values close to x = 5. We use some input values less than 5 and some values greater than 5 as in **Figure 12.10**. The table values show that when x > 5 but nearing 5, the corresponding output gets close to 75. When x > 5 but nearing 5, the corresponding output also gets close to 75.

х	4.9	4.99	4.999	5	5.001	5.01	5.1
f(x)	73.51	74.8501	74.985001	Undefined	75.015001	75.1501	76.51
$\lim_{x \to 5^{-}} f(x) = 75$					▼	$\lim_{x \to 5^+} f(x) = 75$	5

Figure 12.10

Because

$$\lim_{x \to 5^{-}} f(x) = 75 = \lim_{x \to 5^{+}} f(x),$$

then

$$\lim_{x \to 5} f(x) = 75.$$

Remember that f(5) does not exist.



Given a function f, use a table to find the limit as x approaches a and the value of f(a), if it exists.

- 1. Choose several input values that approach a from both the left and right. Record them in a table.
- 2. Evaluate the function at each input value. Record them in the table.
- 3. Determine if the table values indicate a left-hand limit and a right-hand limit.
- 4. If the left-hand and right-hand limits exist and are equal, there is a two-sided limit.
- **5**. Replace x with a to find the value of f(a).

Example 12.3

Finding a Limit Using a Table

Numerically estimate the limit of the following expression by setting up a table of values on both sides of the limit.

$$\lim_{x \to 0} \left(\frac{5\sin(x)}{3x} \right)$$

Solution

We can estimate the value of a limit, if it exists, by evaluating the function at values near x = 0. We cannot find a function value for x = 0 directly because the result would have a denominator equal to 0, and thus would be undefined.

$$f(x) = \frac{5\sin(x)}{3x}$$

We create **Figure 12.11** by choosing several input values close to x = 0, with half of them less than x = 0 and half of them greater than x = 0. Note that we need to be sure we are using radian mode. We evaluate the function at each input value to complete the table.

The table values indicate that when x < 0 but approaching 0, the corresponding output nears $\frac{5}{3}$.

When x > 0 but approaching 0, the corresponding output also nears $\frac{5}{3}$.

Х	-0.1	-0.01	-0.001	0	0.001	0.01	0.1
f(x)	1.66389	1.666639	1.666666	Undefined	1.666666	1.666639	1.66389
		4	$\lim_{x \to 0^+} f(x) =$	<u>5</u> 3			

Figure 12.11

Because

$$\lim_{x \to 0^{-}} f(x) = \frac{5}{3} = \lim_{x \to 0^{+}} f(x),$$

then

$$\lim_{x \to 0} f(x) = \frac{5}{3}.$$



Is it possible to check our answer using a graphing utility?

Yes. We previously used a table to find a limit of 75 for the function $f(x) = \frac{x^3 - 125}{x - 5}$ as x approaches 5. To

check, we graph the function on a viewing window as shown in **Figure 12.12**. A graphical check shows both branches of the graph of the function get close to the output 75 as x nears 5. Furthermore, we can use the 'trace' feature of a graphing calculator. By appraoching x = 5 we may numerically observe the corresponding outputs getting close to 75.

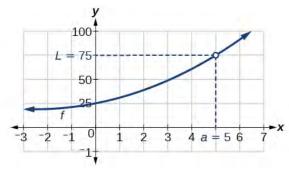


Figure 12.12



12.3 Numerically estimate the limit of the following function by making a table:

$$\lim_{x \to 0} \left(\frac{20\sin(x)}{4x} \right)$$



Is one method for determining a limit better than the other?

No. Both methods have advantages. Graphing allows for quick inspection. Tables can be used when graphical utilities aren't available, and they can be calculated to a higher precision than could be seen with an unaided eye inspecting a graph.

Example 12.4

Using a Graphing Utility to Determine a Limit

With the use of a graphing utility, if possible, determine the left- and right-hand limits of the following function as x approaches 0. If the function has a limit as x approaches 0, state it. If not, discuss why there is no limit.

$$f(x) = 3\sin(\frac{\pi}{x})$$

Solution

We can use a graphing utility to investigate the behavior of the graph close to x = 0. Centering around x = 0, we choose two viewing windows such that the second one is zoomed in closer to x = 0 than the first one. The result would resemble **Figure 12.13** for [-2, 2] by [-3, 3].

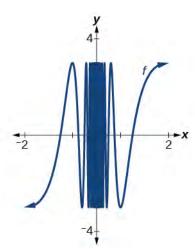


Figure 12.13

The result would resemble **Figure 12.14** for [-0.1, 0.1] by [-3, 3].

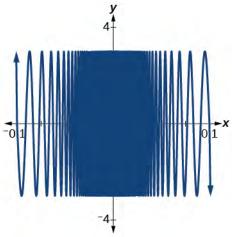


Figure 12.14 Even closer to zero, we are even less able to distinguish any limits.

The closer we get to 0, the greater the swings in the output values are. That is not the behavior of a function with either a left-hand limit or a right-hand limit. And if there is no left-hand limit or right-hand limit, there certainly is no limit to the function f(x) as x approaches 0.

We write

$$\lim_{x \to 0^{-}} \left(3\sin\left(\frac{\pi}{x}\right)\right) \text{ does not exist.}$$

$$\lim_{x \to 0^{+}} \left(3\sin\left(\frac{\pi}{x}\right)\right) \text{ does not exist.}$$

$$\lim_{x \to 0} \left(3\sin\left(\frac{\pi}{x}\right)\right) \text{ does not exist.}$$



 $\label{lem:continuous} Access these online resources for additional instruction and practice with finding limits.$

- Introduction to Limits (http://openstaxcollege.org/l/introtolimits)
- Formal Definition of a Limit (http://openstaxcollege.org/l/formaldeflimit)

12.1 EXERCISES

Verbal

1. Explain the difference between a value at x = a and the limit as x approaches a.

2. Explain why we say a function does not have a limit as *x* approaches *a* if, as *x* approaches *a*, the left-hand limit is not equal to the right-hand limit.

Graphical

For the following exercises, estimate the functional values and the limits from the graph of the function f provided in

Figure 12.15.

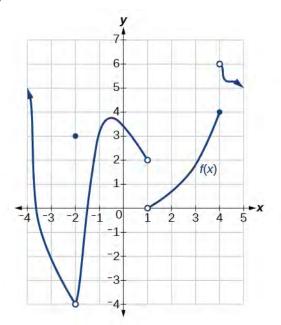


Figure 12.15

3.
$$\lim_{x \to -2^{-}} f(x)$$

4.
$$\lim_{x \to -2^{+}} f(x)$$

$$5. \quad \lim_{x \to -2} f(x)$$

6.
$$f(-2)$$

7.
$$\lim_{x \to -1^{-}} f(x)$$

8.
$$\lim_{x \to 1^+} f(x)$$

9.
$$\lim_{x \to 1} f(x)$$

10.
$$f(1)$$

11.
$$\lim_{x \to 4^{-}} f(x)$$

12.
$$\lim_{x \to 4^{+}} f(x)$$

13.
$$\lim_{x \to 4} f(x)$$

14.
$$f(4)$$

For the following exercises, draw the graph of a function from the functional values and limits provided.

15.

$$\lim_{x \to 0^{-}} f(x) = 2, \lim_{x \to 0^{+}} f(x) = -3, \lim_{x \to 2} f(x) = 2, \ f(0) = 4, \ f(2) = -1, \ f(-3) \text{ does not exist.}$$

$$\lim_{x \to 2^{-}} f(x) = 0, \quad \lim_{x \to 2^{+}} = -2, \quad \lim_{x \to 0} f(x) = 3, \quad f(2) = 5, \quad f(0)$$

17.

16.

$$\lim_{x \to 2^{-}} f(x) = 2, \quad \lim_{x \to 2^{+}} f(x) = -3, \quad \lim_{x \to 0} f(x) = 5, \quad f(0) = 1, \quad f(1) = 0$$

18.

$$\lim_{x \to 3^{-}} f(x) = 0, \lim_{x \to 3^{+}} f(x) = 5, \lim_{x \to 5} f(x) = 0, f(5) = 4, f(3) \text{ does not exist.}$$

19

$$\lim_{x \to 4} f(x) = 6, \quad \lim_{x \to 6^+} f(x) = -1, \quad \lim_{x \to 0} f(x) = 5, \quad f(4) = 6, \quad f(2) = 6$$

20

$$\lim_{x \to -3} f(x) = 2, \quad \lim_{x \to 1^+} f(x) = -2, \quad \lim_{x \to 3} f(x) = -4, \quad f(-3) = 0, \quad f(0) = 0$$

21.

$$\lim_{x \to \pi} f(x) = \pi^2, \ \lim_{x \to -\pi} f(x) = \frac{\pi}{2}, \ \lim_{x \to 1^-} f(x) = 0, \ f(\pi) = \sqrt{2}, \ f(0) \text{ does not exist.}$$

For the following exercises, use a graphing calculator to determine the limit to 5 decimal places as x approaches 0.

22.
$$f(x) = (1+x)^{\frac{1}{x}}$$

23.
$$g(x) = (1+x)^{\frac{2}{x}}$$

24.
$$h(x) = (1+x)^{\frac{3}{x}}$$

25.
$$i(x) = (1+x)^{\frac{4}{x}}$$

26.
$$j(x) = (1+x)^{\frac{5}{x}}$$

27. Based on the pattern you observed in the exercises above, make a conjecture as to the limit of $f(x) = (1+x)^{\frac{6}{x}}$, $g(x) = (1+x)^{\frac{7}{x}}$, and $h(x) = (1+x)^{\frac{n}{x}}$.

For the following exercises, use a graphing utility to find graphical evidence to determine the left- and right-hand limits of the function given as x approaches a. If the function has a limit as x approaches a, state it. If not, discuss why there is no limit.

28.
$$(x) = \begin{cases} |x| - 1, & \text{if } x \neq 1 \\ x^3, & \text{if } x = 1 \end{cases} a = 1$$

29.
$$(x) = \begin{cases} \frac{1}{x+1}, & \text{if } x = -2\\ (x+1)^2, & \text{if } x \neq -2 \end{cases} a = -2$$

Numeric

For the following exercises, use numerical evidence to determine whether the limit exists at x = a. If not, describe the behavior of the graph of the function near x = a. Round answers to two decimal places.

30.
$$f(x) = \frac{x^2 - 4x}{16 - x^2}$$
; $a = 4$

31.
$$f(x) = \frac{x^2 - x - 6}{x^2 - 9}$$
; $a = 3$

32.
$$f(x) = \frac{x^2 - 6x - 7}{x^2 - 7x}$$
; $a = 7$

33.
$$f(x) = \frac{x^2 - 1}{x^2 - 3x + 2}$$
; $a = 1$

34.
$$f(x) = \frac{1 - x^2}{x^2 - 3x + 2}$$
; $a = 1$

35.
$$f(x) = \frac{10 - 10x^2}{x^2 - 3x + 2}$$
; $a = 1$

36.
$$f(x) = \frac{x}{6x^2 - 5x - 6}$$
; $a = \frac{3}{2}$

37.
$$f(x) = \frac{x}{4x^2 + 4x + 1}$$
; $a = -\frac{1}{2}$

38.
$$f(x) = \frac{2}{x-4}$$
; $a = 4$

For the following exercises, use a calculator to estimate the limit by preparing a table of values. If there is no limit, describe the behavior of the function as x approaches the given value.

39.
$$\lim_{x \to 0} \frac{7\tan x}{3x}$$

40.
$$\lim_{x \to 4} \frac{x^2}{x - 4}$$

41.
$$\lim_{x \to 0} \frac{2\sin x}{4\tan x}$$

For the following exercises, use a graphing utility to find numerical or graphical evidence to determine the left and right-hand limits of the function given as x approaches a. If the function has a limit as x approaches a, state it. If not, discuss why there is no limit.

42.
$$\lim_{x \to 0} e^{e^{\frac{1}{x}}}$$

43.
$$\lim_{x \to 0} e^{e}$$

44.
$$\lim_{x \to 0} \frac{|x|}{x}$$

45.
$$\lim_{x \to -1} \frac{|x+1|}{x+1}$$

46.
$$\lim_{x \to 5} \frac{|x - 5|}{5 - x}$$

47.
$$\lim_{x \to -1} \frac{1}{(x+1)^2}$$

48.
$$\lim_{x \to 1} \frac{1}{(x-1)^3}$$

49.
$$\lim_{x \to 0} \frac{5}{1 - e^{\frac{2}{x}}}$$

50. Use numerical and graphical evidence to compare and contrast the limits of two functions whose formulas appear similar: $f(x) = \left| \frac{1-x}{x} \right|$ and $g(x) = \left| \frac{1+x}{x} \right|$ as x

approaches 0. Use a graphing utility, if possible, to determine the left- and right-hand limits of the functions f(x) and g(x) as x approaches 0. If the functions have a limit as x approaches 0, state it. If not, discuss why there is no limit.

Extensions

51. According to the Theory of Relativity, the mass m of a particle depends on its velocity v. That is

$$m = \frac{m_o}{\sqrt{1 - (v^2 / c^2)}}$$

where m_o is the mass when the particle is at rest and c is the speed of light. Find the limit of the mass, m, as v approaches c^- .

52. Allow the speed of light, c, to be equal to 1.0. If the mass, m, is 1, what occurs to m as $v \to c$? Using the values listed in **Table 12.1**, make a conjecture as to what the mass is as v approaches 1.00.

v	m
0.5	1.15
0.9	2.29
0.95	3.20
0.99	7.09
0.999	22.36
0.99999	223.61

Table 12.1

12.2 | Finding Limits: Properties of Limits

Learning Objectives

In this section, you will:

12.2.1 Find the limit of a sum, a difference, and a product.

12.2.2 Find the limit of a polynomial.

12.2.3 Find the limit of a power or a root.

12.2.4 Find the limit of a quotient.

Consider the rational function

$$f(x) = \frac{x^2 - 6x - 7}{x - 7}$$

The function can be factored as follows:

$$f(x) = \frac{(x-7)(x+1)}{x-7}, \text{ which gives us } f(x) = x+1, x \neq 7.$$

Does this mean the function f is the same as the function g(x) = x + 1?

The answer is no. Function f does not have x = 7 in its domain, but g does. Graphically, we observe there is a hole in the graph of f(x) at x = 7, as shown in **Figure 12.16** and no such hole in the graph of g(x), as shown in **Figure 12.17**.

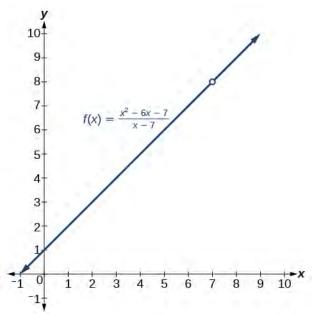


Figure 12.16 The graph of function f contains a break at x = 7 and is therefore not continuous at x = 7.

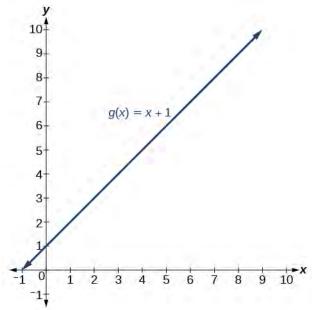


Figure 12.17 The graph of function g is continuous.

So, do these two different functions also have different limits as *x* approaches 7?

Not necessarily. Remember, in determining a limit of a function as x approaches a, what matters is whether the output approaches a real number as we get close to x = a. The existence of a limit does not depend on what happens when x equals a.

Look again at **Figure 12.16** and **Figure 12.17**. Notice that in both graphs, as *x* approaches 7, the output values approach 8. This means

$$\lim_{x \to 7} f(x) = \lim_{x \to 7} g(x).$$

Remember that when determining a limit, the concern is what occurs near x = a, not at x = a. In this section, we will use a variety of methods, such as rewriting functions by factoring, to evaluate the limit. These methods will give us formal verification for what we formerly accomplished by intuition.

Finding the Limit of a Sum, a Difference, and a Product

Graphing a function or exploring a table of values to determine a limit can be cumbersome and time-consuming. When possible, it is more efficient to use the **properties of limits**, which is a collection of theorems for finding limits.

Knowing the properties of limits allows us to compute limits directly. We can add, subtract, multiply, and divide the limits of functions as if we were performing the operations on the functions themselves to find the limit of the result. Similarly, we can find the limit of a function raised to a power by raising the limit to that power. We can also find the limit of the root of a function by taking the root of the limit. Using these operations on limits, we can find the limits of more complex functions by finding the limits of their simpler component functions.

Properties of Limits

Let a, k, A, and B represent real numbers, and f and g be functions, such that $\lim_{x \to a} f(x) = A$ and $\lim_{x \to a} g(x) = B$. For limits that exist and are finite, the properties of limits are summarized in **Table 12.2**

Constant, k	$\lim_{X \to a} k = k$
Constant times a function	$\lim_{x \to a} [k \cdot f(x)] = k \lim_{x \to a} f(x) = kA$
Sum of functions	$\lim_{x \to a} [f(x) + g(x)] = \lim_{x \to a} f(x) + \lim_{x \to a} g(x) = A + B$
Difference of functions	$\lim_{x \to a} [f(x) - g(x)] = \lim_{x \to a} f(x) - \lim_{x \to a} g(x) = A - B$
Product of functions	$\lim_{x \to a} [f(x) \cdot g(x)] = \lim_{x \to a} f(x) \cdot \lim_{x \to a} g(x) = A \cdot B$
Quotient of functions	$\lim_{x \to a} \frac{f(x)}{g(x)} = \frac{\lim_{x \to a} f(x)}{\lim_{x \to a} g(x)} = \frac{A}{B}, B \neq 0$
Function raised to an exponent	$\lim_{x \to a} [f(x)]^n = \left[\lim_{x \to \infty} f(x)\right]^n = A^n, \text{ where } n \text{ is a}$ positive integer
nth root of a function, where n is a positive integer	$\lim_{x \to a} \sqrt[n]{f(x)} = \sqrt[n]{\lim_{x \to a} [f(x)]} = \sqrt[n]{A}$
Polynomial function	$\lim_{x \to a} p(x) = p(a)$

Table 12.2

Example 12.5

Evaluating the Limit of a Function Algebraically

Evaluate $\lim_{x \to 3} (2x + 5)$.

Solution

$$\lim_{x \to 3} (2x+5) = \lim_{x \to 3} (2x) + \lim_{x \to 3} (5)$$
 Sum of functions property
= $2\lim_{x \to 3} (x) + \lim_{x \to 3} (5)$ Constant times a function property
= $2(3) + 5$ Evaluate
= 11



12.5 Evaluate the following limit: $\lim_{x \to -12} (-2x + 2)$.

Finding the Limit of a Polynomial

Not all functions or their limits involve simple addition, subtraction, or multiplication. Some may include polynomials. Recall that a polynomial is an expression consisting of the sum of two or more terms, each of which consists of a constant and a variable raised to a nonnegative integral power. To find the limit of a polynomial function, we can find the limits of the individual terms of the function, and then add them together. Also, the limit of a polynomial function as x approaches a is equivalent to simply evaluating the function for a.



Given a function containing a polynomial, find its limit.

- 1. Use the properties of limits to break up the polynomial into individual terms.
- 2. Find the limits of the individual terms.
- 3. Add the limits together.
- 4. Alternatively, evaluate the function for a.

Example 12.6

Evaluating the Limit of a Function Algebraically

Evaluate
$$\lim_{x \to 3} (5x^2)$$
.

Solution

$$\lim_{x \to 3} (5x^2) = 5 \lim_{x \to 3} (x^2)$$
 Constant times a function property
= 5(3²) Function raised to an exponent property
= 45



12.6 Evaluate $\lim_{x \to 4} (x^3 - 5)$.

Example 12.7

Evaluating the Limit of a Polynomial Algebraically

Evaluate
$$\lim_{x \to 5} (2x^3 - 3x + 1).$$

Solution

$$\lim_{x \to 5} (2x^3 - 3x + 1) = \lim_{x \to 5} (2x^3) - \lim_{x \to 5} (3x) + \lim_{x \to 5} (1)$$
 Sum of functions

$$= 2\lim_{x \to 5} (x^3) - 3\lim_{x \to 5} (x) + \lim_{x \to 5} (1)$$
 Constant times a function

$$= 2(5^3) - 3(5) + 1$$
 Function raised to an exponent

$$= 236$$
 Evaluate



12.7 Evaluate the following limit: $\lim_{x \to -1} (x^4 - 4x^3 + 5)$.

Finding the Limit of a Power or a Root

When a limit includes a power or a root, we need another property to help us evaluate it. The square of the limit of a function equals the limit of the square of the function; the same goes for higher powers. Likewise, the square root of the limit of a function equals the limit of the square root of the function; the same holds true for higher roots.

Example 12.8

Evaluating a Limit of a Power

Evaluate $\lim_{x \to 2} (3x + 1)^5$.

Solution

We will take the limit of the function as x approaches 2 and raise the result to the 5^{th} power.

$$\lim_{x \to 2} (3x+1)^5 = \left(\lim_{x \to 2} (3x+1)\right)^5$$
$$= (3(2)+1)^5$$
$$= 7^5$$
$$= 16.807$$



12.8 Evaluate the following limit: $\lim_{x \to -4} (10x + 36)^3$.



If we can't directly apply the properties of a limit, for example in $\lim_{x\to 2} (\frac{x^2+6x+8}{x-2})$, can we still determine the limit of the function as x approaches a?

Yes. Some functions may be algebraically rearranged so that one can evaluate the limit of a simplified equivalent form of the function.

Finding the Limit of a Quotient

Finding the limit of a function expressed as a quotient can be more complicated. We often need to rewrite the function algebraically before applying the properties of a limit. If the denominator evaluates to 0 when we apply the properties of a limit directly, we must rewrite the quotient in a different form. One approach is to write the quotient in factored form and simplify.



Given the limit of a function in quotient form, use factoring to evaluate it.

- 1. Factor the numerator and denominator completely.
- 2. Simplify by dividing any factors common to the numerator and denominator.
- 3. Evaluate the resulting limit, remembering to use the correct domain.

Example 12.9

Evaluating the Limit of a Quotient by Factoring

Evaluate
$$\lim_{x \to 2} \left(\frac{x^2 - 6x + 8}{x - 2} \right).$$

Solution

Factor where possible, and simplify.

$$\lim_{x \to 2} \left(\frac{x^2 - 6x + 8}{x - 2} \right) = \lim_{x \to 2} \left(\frac{(x - 2)(x - 4)}{x - 2} \right)$$
 Factor the numerator.

$$= \lim_{x \to 2} \left(\frac{(x - 2)(x - 4)}{x - 2} \right)$$
 Cancel the common factors.

$$= \lim_{x \to 2} (x - 4)$$
 Evaluate.

$$= 2 - 4 = -2$$

Analysis

When the limit of a rational function cannot be evaluated directly, factored forms of the numerator and denominator may simplify to a result that can be evaluated.

Notice, the function

$$f(x) = \frac{x^2 - 6x + 8}{x - 2}$$

is equivalent to the function

$$f(x) = x - 4, x \neq 2.$$

Notice that the limit exists even though the function is not defined at x = 2.



12.9 Evaluate the following limit: $\lim_{x \to 7} \left(\frac{x^2 - 11x + 28}{7 - x} \right)$.

Example 12.10

Evaluating the Limit of a Quotient by Finding the LCD

Evaluate
$$\lim_{x \to 5} \left(\frac{\frac{1}{x} - \frac{1}{5}}{x - 5} \right)$$
.

Solution

Find the LCD for the denominators of the two terms in the numerator, and convert both fractions to have the LCD as their denominator.

$$\lim_{x \to 5} \left(\frac{1}{x} - \frac{1}{5} \atop x - 5 \right) = \lim_{x \to 5} \left(\frac{1}{x} - \frac{1}{x} \atop x - 5 \right)$$
Multiply numerator and denominator by LCD.
$$= \lim_{x \to 5} \left(\frac{5x \left(\frac{1}{x} \right) - 5x \frac{1}{5}}{5x(x - 5)} \right)$$
Apply distributive property.
$$= \lim_{x \to 5} \left(\frac{5 - x}{5x(x - 5)} \right)$$
Simplify.
$$= \lim_{x \to 5} \left(\frac{-1(x - 5)}{5x(x - 5)} \right)$$
Factor the numerator.
$$= \lim_{x \to 5} -\frac{1}{5x}$$
Cancel out like fractions.
$$= \frac{1}{5(5)}$$
Evaluate for $x = 5$.
$$= \frac{1}{25}$$

Analysis

When determining the limit of a rational function that has terms added or subtracted in either the numerator or denominator, the first step is to find the common denominator of the added or subtracted terms; then, convert both terms to have that denominator, or simplify the rational function by multiplying numerator and denominator by the least common denominator. Then check to see if the resulting numerator and denominator have any common factors.



12.10

Evaluate
$$\lim_{x \to -5} \left(\frac{\frac{1}{5} + \frac{1}{x}}{10 + 2x} \right)$$
.



Given a limit of a function containing a root, use a conjugate to evaluate.

- 1. If the quotient as given is not in indeterminate $\left(\frac{0}{0}\right)$ form, evaluate directly.
- 2. Otherwise, rewrite the sum (or difference) of two quotients as a single quotient, using the least common denominator (LCD).
- 3. If the numerator includes a root, rationalize the numerator; multiply the numerator and denominator by the conjugate of the numerator. Recall that $a \pm \sqrt{b}$ are conjugates.
- 4. Simplify.
- 5. Evaluate the resulting limit.

Example 12.11

Evaluating a Limit Containing a Root Using a Conjugate

Evaluate
$$\lim_{x \to 0} \left(\frac{\sqrt{25 - x} - 5}{x} \right)$$
.

Solution

$$\lim_{x \to 0} \left(\frac{\sqrt{25 - x} - 5}{x} \right) = \lim_{x \to 0} \left(\frac{(\sqrt{25 - x} - 5)}{x} \cdot \frac{(\sqrt{25 - x} + 5)}{(\sqrt{25 - x} + 5)} \right)$$
 Multiply numerator and denominator by the conjugate.
$$= \lim_{x \to 0} \left(\frac{(25 - x) - 25}{x(\sqrt{25 - x} + 5)} \right)$$
 Multiply: $(\sqrt{25 - x} - 5) \cdot (\sqrt{25 - x} + 5) = (25 - x) - 25$.
$$= \lim_{x \to 0} \left(\frac{-x}{x(\sqrt{25 - x} + 5)} \right)$$
 Combine like terms.
$$= \lim_{x \to 0} \left(\frac{-x}{x(\sqrt{25 - x} + 5)} \right)$$
 Simplify $\frac{-x}{x} = -1$.
$$= \frac{-1}{\sqrt{25 - 0} + 5}$$
 Evaluate.
$$= \frac{-1}{5 + 5} = -\frac{1}{10}$$

Analysis

When determining a **limit** of a function with a root as one of two terms where we cannot evaluate directly, think about multiplying the numerator and denominator by the conjugate of the terms.



12.11

Evaluate the following limit:
$$\lim_{h \to 0} \left(\frac{\sqrt{16-h}-4}{h} \right)$$
.

Example 12.12

Evaluating the Limit of a Quotient of a Function by Factoring

Evaluate
$$\lim_{x \to 4} \left(\frac{4-x}{\sqrt{x}-2} \right)$$
.

Solution

$$\lim_{x \to 4} (\frac{4 - x}{\sqrt{x} - 2}) = \lim_{x \to 4} (\frac{(2 + \sqrt{x})(2 - \sqrt{x})}{\sqrt{x} - 2})$$
 Factor.
$$= \lim_{x \to 4} (\frac{(2 + \sqrt{x})(2 - \sqrt{x})}{-(2 - \sqrt{x})})$$
 Factor -1 out of the denominator. Simplify.
$$= \lim_{x \to 4} -(2 + \sqrt{x})$$
 Evaluate.
$$= -(2 + \sqrt{4})$$

$$= -4$$

Analysis

Multiplying by a conjugate would expand the numerator; look instead for factors in the numerator. Four is a perfect square so that the numerator is in the form

$$a^2 - b^2$$

and may be factored as

$$(a+b)(a-b)$$
.



12.12 Evaluate the following limit: $\lim_{x \to 3} \left(\frac{x-3}{\sqrt{x} - \sqrt{3}} \right)$.



Given a quotient with absolute values, evaluate its limit.

- 1. Try factoring or finding the LCD.
- If the limit cannot be found, choose several values close to and on either side of the input where the function is undefined.
- 3. Use the numeric evidence to estimate the limits on both sides.

Example 12.13

Evaluating the Limit of a Quotient with Absolute Values

Evaluate
$$\lim_{x \to 7} \frac{|x - 7|}{x - 7}$$
.

Solution

The function is undefined at x = 7, so we will try values close to 7 from the left and the right.

Left-hand limit:
$$\frac{|6.9-7|}{6.9-7} = \frac{|6.99-7|}{6.99-7} = \frac{|6.999-7|}{6.999-7} = -1$$

Right-hand limit:
$$\frac{|7.1-7|}{7.1-7} = \frac{|7.01-7|}{7.01-7} = \frac{|7.001-7|}{7.001-7} = 1$$

Since the left- and right-hand limits are not equal, there is no limit.



12.13 Evaluate $\lim_{x \to 6^+} \frac{6-x}{|x-6|}$.



Access the following online resource for additional instruction and practice with properties of limits.

• Determine a Limit Analytically (http://openstaxcollege.org/l/limitanalytic)

12.2 EXERCISES

Verbal

53. Give an example of a type of function f whose limit, as x approaches a, is f(a).

54. When direct substitution is used to evaluate the limit of a rational function as x approaches a and the result is $f(a) = \frac{0}{0}$, does this mean that the limit of f does not exist?

55. What does it mean to say the limit of f(x), as x approaches c, is undefined?

Algebraic

For the following exercises, evaluate the limits algebraically.

56.
$$\lim_{x \to 0} (3)$$

57.
$$\lim_{x \to 2} \left(\frac{-5x}{x^2 - 1} \right)$$

58.
$$\lim_{x \to 2} \left(\frac{x^2 - 5x + 6}{x + 2} \right)$$

59.
$$\lim_{x \to 3} \left(\frac{x^2 - 9}{x - 3} \right)$$

60.
$$\lim_{x \to -1} \left(\frac{x^2 - 2x - 3}{x + 1} \right)$$

61.
$$\lim_{x \to \frac{3}{2}} \left(\frac{6x^2 - 17x + 12}{2x - 3} \right)$$

62.
$$\lim_{x \to -\frac{7}{2}} \left(\frac{8x^2 + 18x - 35}{2x + 7} \right)$$

63.
$$\lim_{x \to 3} \left(\frac{x^2 - 9}{x - 5x + 6} \right)$$

64.
$$\lim_{x \to -3} \left(\frac{-7x^4 - 21x^3}{-12x^4 + 108x^2} \right)$$

65.
$$\lim_{x \to 3} \left(\frac{x^2 + 2x - 3}{x - 3} \right)$$

66.
$$\lim_{h \to 0} \left(\frac{(3+h)^3 - 27}{h} \right)$$

67.
$$\lim_{h \to 0} \left(\frac{(2-h)^3 - 8}{h} \right)$$

68.
$$\lim_{h \to 0} \left(\frac{(h+3)^2 - 9}{h} \right)$$

69.
$$\lim_{h \to 0} \left(\frac{\sqrt{5 - h} - \sqrt{5}}{h} \right)$$

70.
$$\lim_{x \to 0} \left(\frac{\sqrt{3-x} - \sqrt{3}}{x} \right)$$

71.
$$\lim_{x \to 9} \left(\frac{x^2 - 81}{3 - \sqrt{x}} \right)$$

72.
$$\lim_{x \to 1} \left(\frac{\sqrt{x} - x^2}{1 - \sqrt{x}} \right)$$

73.
$$\lim_{x \to 0} \left(\frac{x}{\sqrt{1 + 2x} - 1} \right)$$

74.
$$\lim_{x \to \frac{1}{2}} \left(\frac{x^2 - \frac{1}{4}}{2x - 1} \right)$$

75.
$$\lim_{x \to 4} \left(\frac{x^3 - 64}{x^2 - 16} \right)$$

76.
$$\lim_{x \to 2^{-}} \left(\frac{|x-2|}{x-2} \right)$$

77.
$$\lim_{x \to 2^{+}} \left(\frac{|x-2|}{x-2} \right)$$

78.
$$\lim_{x \to 2} \left(\frac{|x-2|}{x-2} \right)$$

79.
$$\lim_{x \to 4^{-}} \left(\frac{|x-4|}{4-x} \right)$$

80.
$$\lim_{x \to 4^+} \left(\frac{|x-4|}{4-x} \right)$$

81.
$$\lim_{x \to 4} \left(\frac{|x-4|}{4-x} \right)$$

82.
$$\lim_{x \to 2} \left(\frac{-8 + 6x - x^2}{x - 2} \right)$$

For the following exercise, use the given information to evaluate the limits: $\lim_{x \to c} f(x) = 3$, $\lim_{x \to c} g(x) = 5$

$$\lim_{x \to c} \left[2f(x) + \sqrt{g(x)} \right]$$

84.
$$\lim_{x \to c} [3f(x) + \sqrt{g(x)}]$$

85.
$$\lim_{x \to c} \frac{f(x)}{g(x)}$$

For the following exercises, evaluate the following limits.

86.
$$\lim_{x \to 2} \cos(\pi x)$$

87.
$$\lim_{x \to 2} \sin(\pi x)$$

88.
$$\lim_{x \to 2} \sin(\frac{\pi}{x})$$

89.
$$f(x) = \begin{cases} 2x^2 + 2x + 1, & x \le 0, \\ x - 3, & x > 0 \end{cases}, \lim_{x \to 0^+} f(x)$$

90.
$$f(x) = \begin{cases} 2x^2 + 2x + 1, & x \le 0, \\ x - 3, & x > 0 \end{cases}, \lim_{x \to 0^{-}} f(x)$$

91.
$$f(x) = \begin{cases} 2x^2 + 2x + 1, & x \le 0 \\ x - 3, & x > 0 \end{cases}; \lim_{x \to 0} f(x)$$

92.
$$\lim_{x \to 4} \frac{\sqrt{x+5} - 3}{x-4}$$

93.
$$\lim_{x \to 2^{+}} (2x - [x])$$

94.
$$\lim_{x \to 2} \frac{\sqrt{x+7} - 3}{x^2 - x - 2}$$

95.
$$\lim_{x \to 3^{+}} \frac{x^2}{x^2 - 9}$$

For the following exercises, find the average rate of change $\frac{f(x+h)-f(x)}{h}$.

96.
$$f(x) = x + 1$$

97.
$$f(x) = 2x^2 - 1$$

98.
$$f(x) = x^2 + 3x + 4$$

99.
$$f(x) = x^2 + 4x - 100$$

100.
$$f(x) = 3x^2 + 1$$

$$101. \quad f(x) = \cos(x)$$

102.
$$f(x) = 2x^3 - 4x$$

103.
$$f(x) = \frac{1}{x}$$

104.
$$f(x) = \frac{1}{x^2}$$

105.
$$f(x) = \sqrt{x}$$

Graphical

106. Find an equation that could be represented by **Figure 12.18**.

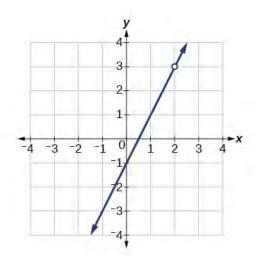


Figure 12.18

107. Find an equation that could be represented by **Figure 12.19**.

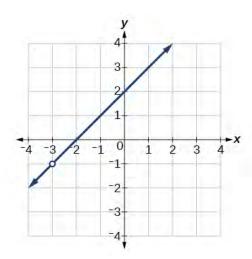


Figure 12.19

For the following exercises, refer to **Figure 12.20**.

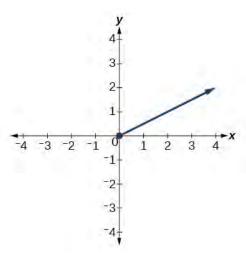


Figure 12.20

108. What is the right-hand limit of the function as x approaches 0?

109. What is the left-hand limit of the function as x approaches 0?

Real-World Applications

¹¹⁰. The position function $s(t) = -16t^2 + 144t$ gives the position of a projectile as a function of time. Find the average velocity (average rate of change) on the interval [1, 2].

111. The height of a projectile is given by $s(t) = -64t^2 + 192t$ Find the average rate of change of the height from t = 1 second to t = 1.5 seconds.

112. The amount of money in an account after t years compounded continuously at 4.25% interest is given by the formula $A = A_0 e^{0.0425t}$, where A_0 is the initial amount invested. Find the average rate of change of the balance of the account from t = 1 year to t = 2 years if the initial amount invested is \$1,000.00.

12.3 | Continuity

Learning Objectives

In this section, you will:

- **12.3.1** Determine whether a function is continuous at a number.
- 12.3.2 Determine the numbers for which a function is discontinuous.
- 12.3.3 Determine whether a function is continuous.

Arizona is known for its dry heat. On a particular day, the temperature might rise as high as 118° F and drop down only to a brisk 95° F. **Figure 12.21** shows the function T, where the output of T(x) is the temperature in Fahrenheit degrees and the input x is the time of day, using a 24-hour clock on a particular summer day.



Figure 12.21 Temperature as a function of time forms a continuous function.

When we analyze this graph, we notice a specific characteristic. There are no breaks in the graph. We could trace the graph without picking up our pencil. This single observation tells us a great deal about the function. In this section, we will investigate functions with and without breaks.

Determining Whether a Function Is Continuous at a Number

Let's consider a specific example of temperature in terms of date and location, such as June 27, 2013, in Phoenix, AZ. The graph in **Figure 12.21** indicates that, at 2 a.m., the temperature was 96° F. By 2 p.m. the temperature had risen to 116° F, and by 4 p.m. it was 118° F. Sometime between 2 a.m. and 4 p.m., the temperature outside must have been exactly 110.5° F. In fact, any temperature between 96° F and 118° F occurred at some point that day. This means all real numbers in the output between 96° F and 118° F are generated at some point by the function according to the intermediate value theorem,

Look again at **Figure 12.21**. There are no breaks in the function's graph for this 24-hour period. At no point did the temperature cease to exist, nor was there a point at which the temperature jumped instantaneously by several degrees. A function that has no holes or breaks in its graph is known as a **continuous function**. Temperature as a function of time is an example of a continuous function.

If temperature represents a continuous function, what kind of function would not be continuous? Consider an example of dollars expressed as a function of hours of parking. Let's create the function D, where D(x) is the output representing cost in dollars for parking x number of hours. See **Figure 12.22**.

Suppose a parking garage charges \$4.00 per hour or fraction of an hour, with a \$25 per day maximum charge. Park for two hours and five minutes and the charge is \$12. Park an additional hour and the charge is \$16. We can never be charged \$13, \$14, or \$15. There are real numbers between 12 and 16 that the function never outputs. There are breaks in the function's graph for this 24-hour period, points at which the price of parking jumps instantaneously by several dollars.

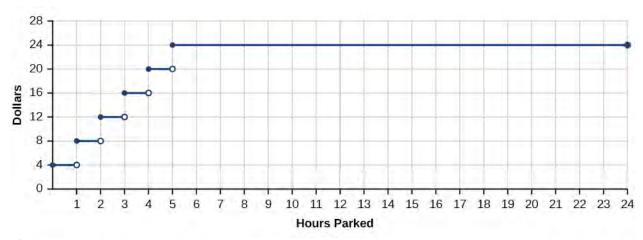


Figure 12.22 Parking-garage charges form a discontinuous function.

A function that remains level for an interval and then jumps instantaneously to a higher value is called a stepwise function. This function is an example.

A function that has any hole or break in its graph is known as a **discontinuous function**. A stepwise function, such as parking-garage charges as a function of hours parked, is an example of a discontinuous function.

So how can we decide if a function is continuous at a particular number? We can check three different conditions. Let's use the function y = f(x) represented in **Figure 12.23** as an example.

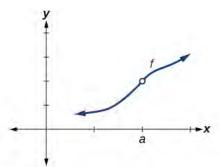


Figure 12.23

Condition 1 According to Condition 1, the function f(a) defined at x = a must exist. In other words, there is a *y*-coordinate at x = a as in **Figure 12.24**.

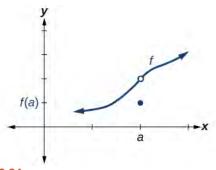


Figure 12.24

Condition 2 According to Condition 2, at x = a the limit, written $\lim_{x \to a} f(x)$, must exist. This means that at x = a the left-hand limit must equal the right-hand limit. Notice as the graph of f in **Figure 12.23** approaches x = a from the left

and right, the same *y*-coordinate is approached. Therefore, Condition 2 is satisfied. However, there could still be a hole in the graph at x = a.

Condition 3 According to Condition 3, the corresponding y coordinate at x = a fills in the hole in the graph of f. This is written $\lim_{x \to a} f(x) = f(a)$.

Satisfying all three conditions means that the function is continuous. All three conditions are satisfied for the function represented in **Figure 12.25** so the function is continuous as x = a.

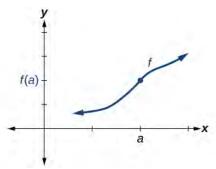


Figure 12.25 All three conditions are satisfied. The function is continuous at x = a.

Figure 12.26 through **Figure 12.29** provide several examples of graphs of functions that are not continuous at x = a and the condition or conditions that fail.

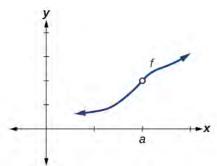


Figure 12.26 Condition 2 is satisfied. Conditions 1 and 3 both fail.

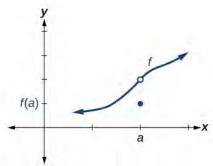


Figure 12.27 Conditions 1 and 2 are both satisfied. Condition 3 fails.

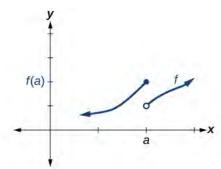


Figure 12.28 Condition 1 is satisfied. Conditions 2 and 3 fail.

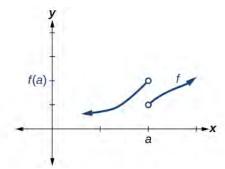


Figure 12.29 Conditions 1, 2, and 3 all fail.

Definition of Continuity

A function f(x) is **continuous** at x = a provided all three of the following conditions hold true:

- Condition 1: f(a) exists.
- Condition 2: $\lim_{x \to a} f(x)$ exists at x = a.
- Condition 3: $\lim_{x \to a} f(x) = f(a)$.

If a function f(x) is not continuous at x = a, the function is **discontinuous** at x = a.

Identifying a Jump Discontinuity

Discontinuity can occur in different ways. We saw in the previous section that a function could have a left-hand limit and a right-hand limit even if they are not equal. If the left- and right-hand limits exist but are different, the graph "jumps" at x = a. The function is said to have a jump discontinuity.

As an example, look at the graph of the function y = f(x) in **Figure 12.30**. Notice as x approaches a how the output approaches different values from the left and from the right.

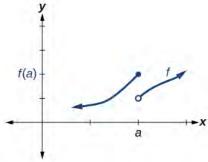


Figure 12.30 Graph of a function with a jump discontinuity.

Jump Discontinuity

A function f(x) has a **jump discontinuity** at x = a if the left- and right-hand limits both exist but are not equal: $\lim_{x \to a^{-}} f(x) \neq \lim_{x \to a^{+}} f(x).$

Identifying Removable Discontinuity

Some functions have a discontinuity, but it is possible to redefine the function at that point to make it continuous. This type of function is said to have a removable discontinuity. Let's look at the function y = f(x) represented by the graph in **Figure 12.31**. The function has a limit. However, there is a hole at x = a. The hole can be filled by extending the domain to include the input x = a and defining the corresponding output of the function at that value as the limit of the function at x = a.

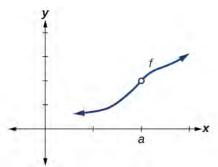


Figure 12.31 Graph of function f with a removable discontinuity at x = a.

Removable Discontinuity

A function f(x) has a **removable discontinuity** at x = a if the limit, $\lim_{x \to a} f(x)$, exists, but either

- 1. f(a) does not exist *or*
- 2. f(a), the value of the function at x = a does not equal the limit, $f(a) \neq \lim_{x \to a} f(x)$.

Example 12.14

Identifying Discontinuities

Identify all discontinuities for the following functions as either a jump or a removable discontinuity.

a.
$$f(x) = \frac{x^2 - 2x - 15}{x - 5}$$

b.
$$g(x) = \begin{cases} x+1, & x < 2 \\ -x, & x \ge 2 \end{cases}$$

Solution

a. Notice that the function is defined everywhere except at x = 5.

Thus, f(5) does not exist, Condition 2 is not satisfied. Since Condition 1 is satisfied, the limit as x approaches 5 is 8, and Condition 2 is not satisfied. This means there is a removable discontinuity at x = 5.

b. Condition 2 is satisfied because g(2) = -2.

Notice that the function is a piecewise function, and for each piece, the function is defined everywhere on its domain. Let's examine Condition 1 by determining the left- and right-hand limits as *x* approaches 2.

Left-hand limit: $\lim_{x \to 2^{-}} (x + 1) = 2 + 1 = 3$. The left-hand limit exists.

Right-hand limit: $\lim_{x \to 2^+} (-x) = -2$. The right-hand limit exists. But

$$\lim_{x \to 2^{-}} f(x) \neq \lim_{x \to 2^{+}} f(x).$$

So, $\lim_{x \to 2} f(x)$ does not exist, and Condition 2 fails: There is no removable discontinuity. However, since both left- and right-hand limits exist but are not equal, the conditions are satisfied for a jump discontinuity at x = 2.



12.14 Identify all discontinuities for the following functions as either a jump or a removable discontinuity.

a.
$$f(x) = \frac{x^2 - 6x}{x - 6}$$

b.
$$g(x) = \begin{cases} \sqrt{x}, & 0 \le x < 4 \\ 2x, & x \ge 4 \end{cases}$$

Recognizing Continuous and Discontinuous Real-Number Functions

Many of the functions we have encountered in earlier chapters are continuous everywhere. They never have a hole in them, and they never jump from one value to the next. For all of these functions, the limit of f(x) as x approaches a is the same as the value of f(x) when x = a. So $\lim_{x \to a} f(x) = f(a)$. There are some functions that are continuous everywhere and some that are only continuous where they are defined on their domain because they are not defined for all real numbers.

Examples of Continuous Functions

The following functions are continuous everywhere:

Polynomial functions	Ex: $f(x) = x^4 - 9x^2$
Exponential functions	Ex: $f(x) = 4^{x+2} - 5$
Sine functions	$Ex:\ f(x) = \sin(2x) - 4$
Cosine functions	Ex: $f(x) = -\cos\left(x + \frac{\pi}{3}\right)$

Table 12.3

The following functions are continuous everywhere they are defined on their domain:

Logarithmic functions	Ex: $f(x) = 2\ln(x)$, $x > 0$
Tangent functions	Ex: $f(x) = \tan(x) + 2$, $x \neq \frac{\pi}{2} + k\pi$, k is an integer
Rational functions	Ex: $f(x) = \frac{x^2 - 25}{x - 7}, x \neq 7$

Table 12.4



Given a function f(x), determine if the function is continuous at x = a.

- 1. Check Condition 1: f(a) exists.
- 2. Check Condition 2: $\lim_{x \to a} f(x)$ exists at x = a.
- 3. Check Condition 3: $\lim_{x \to a} f(x) = f(a)$.
- 4. If all three conditions are satisfied, the function is continuous at x = a. If any one of the conditions is not satisfied, the function is not continuous at x = a.

Example 12.15

Determining Whether a Piecewise Function is Continuous at a Given Number

Determine whether the function $f(x) = \begin{cases} 4x, & x \le 3 \\ 8+x, & x > 3 \end{cases}$ is continuous at

a.
$$x = 3$$

b.
$$x = \frac{8}{3}$$

Solution

To determine if the function f is continuous at x = a, we will determine if the three conditions of continuity are satisfied at x = a.

a. Condition 1: Does f(a) exist?

$$f(3) = 4(3) = 12$$

 \Rightarrow Condition 1 is satisfie.

Condition 2: Does $\lim_{x \to 3} f(x)$ exist?

To the left of x = 3, f(x) = 4x; to the right of x = 3, f(x) = 8 + x. We need to evaluate the left- and right-hand limits as x approaches 1.

- Left-hand limit: $\lim_{x \to 3^{-}} f(x) = \lim_{x \to 3^{-}} 4(3) = 12$
- Right-hand limit: $\lim_{x \to 3^+} f(x) = \lim_{x \to 3^+} (8 + x) = 8 + 3 = 11$

Because $\lim_{x \to 1^{-}} f(x) \neq \lim_{x \to 1^{+}} f(x)$, $\lim_{x \to 1} f(x)$ does not exist.

 \Rightarrow Condition 2 fails.

There is no need to proceed further. Condition 2 fails at x = 3. If any of the conditions of continuity are not satisfied at x = 3, the function f(x) is not continuous at x = 3.

b. $x = \frac{8}{3}$

Condition 1: Does $f(\frac{8}{3})$ exist?

$$f\left(\frac{8}{3}\right) = 4\left(\frac{8}{3}\right) = \frac{32}{3}$$

 \Rightarrow Condition 1 is satisfie.

Condition 2: Does $\lim_{x \to \frac{8}{3}} f(x)$ exist?

To the left of $x = \frac{8}{3}$, f(x) = 4x; to the right of $x = \frac{8}{3}$, f(x) = 8 + x. We need to evaluate the left-and right-hand limits as x approaches $\frac{8}{3}$.

- Left-hand limit: $\lim_{x \to \frac{8}{3}} f(x) = \lim_{x \to \frac{8}{3}} 4(\frac{8}{3}) = \frac{32}{3}$
- Right-hand limit: $\lim_{x \to \frac{8}{3}^+} f(x) = \lim_{x \to \frac{8}{3}^+} (8+x) = 8 + \frac{8}{3} = \frac{32}{3}$

Because $\lim_{x \to \frac{8}{3}} f(x)$ exists,

 \Rightarrow Condition 2 is satisfie.

Condition 3: Is
$$f\left(\frac{8}{3}\right) = \lim_{x \to \frac{8}{3}} f(x)$$
?

$$f\left(\frac{32}{3}\right) = \frac{32}{3} = \lim_{x \to \frac{8}{3}} f(x)$$

 \Rightarrow Condition 3 is satisfie.

Because all three conditions of continuity are satisfied at $x = \frac{8}{3}$, the function f(x) is continuous at $x = \frac{8}{3}$.



12.15

Determine whether the function $f(x) = \begin{cases} \frac{1}{x}, & x \le 2 \\ 9x - 11.5, & x > 2 \end{cases}$ is continuous at x = 2.

Example 12.16

Determining Whether a Rational Function is Continuous at a Given Number

Determine whether the function $f(x) = \frac{x^2 - 25}{x - 5}$ is continuous at x = 5.

Solution

To determine if the function f is continuous at x = 5, we will determine if the three conditions of continuity are satisfied at x = 5.

Condition 1:

$$f(5)$$
 does not exist.
 \Rightarrow Condition 1 fails.

There is no need to proceed further. Condition 2 fails at x = 5. If any of the conditions of continuity are not satisfied at x = 5, the function f is not continuous at x = 5.

Analysis

See **Figure 12.32**. Notice that for Condition 2 we have

$$\lim_{x \to 5} \frac{x^2 - 25}{x - 5} = \lim_{x \to 3} \frac{(x - 5)(x + 5)}{x - 5}$$

$$= \lim_{x \to 5} (x + 5)$$

$$= 5 + 5 = 10$$

$$\Rightarrow \text{ Condition 2 is satisfie } .$$

At x = 5, there exists a removable discontinuity. See **Figure 12.32**.

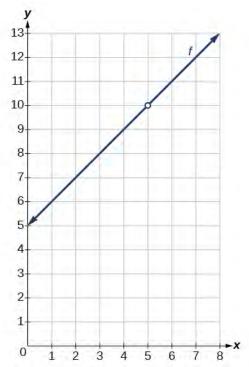


Figure 12.32



12.16 Determine whether the function $f(x) = \frac{9 - x^2}{x^2 - 3x}$ is continuous at x = 3. If not, state the type of discontinuity.

Determining the Input Values for Which a Function Is Discontinuous

Now that we can identify continuous functions, jump discontinuities, and removable discontinuities, we will look at more complex functions to find discontinuities. Here, we will analyze a piecewise function to determine if any real numbers exist where the function is not continuous. A **piecewise function** may have discontinuities at the boundary points of the function as well as within the functions that make it up.

To determine the real numbers for which a piecewise function composed of polynomial functions is not continuous, recall that polynomial functions themselves are continuous on the set of real numbers. Any discontinuity would be at the boundary points. So we need to explore the three conditions of continuity at the boundary points of the piecewise function.



Given a piecewise function, determine whether it is continuous at the boundary points.

- **1**. For each boundary point *a* of the piecewise function, determine the left- and right-hand limits as *x* approaches *a*, as well as the function value at *a*.
- 2. Check each condition for each value to determine if all three conditions are satisfied.
- 3. Determine whether each value satisfies condition 1: f(a) exists.
- 4. Determine whether each value satisfies condition 2: $\lim_{x \to a} f(x)$ exists.
- 5. Determine whether each value satisfies condition 3: $\lim_{x \to a} f(x) = f(a)$.
- 6. If all three conditions are satisfied, the function is continuous at x = a. If any one of the conditions fails, the function is not continuous at x = a.

Example 12.17

Determining the Input Values for Which a Piecewise Function Is Discontinuous

Determine whether the function f is discontinuous for any real numbers.

$$fx = \begin{cases} x+1, & x < 2\\ 3, & 2 \le x < 4\\ x^2 - 11, & x \ge 4 \end{cases}$$

Solution

The piecewise function is defined by three functions, which are all polynomial functions, f(x) = x + 1 on x < 2, f(x) = 3 on $2 \le x < 4$, and $f(x) = x^2 - 5$ on $x \ge 4$. Polynomial functions are continuous everywhere. Any discontinuities would be at the boundary points, x = 2 and x = 4.

At x = 2, let us check the three conditions of continuity.

Condition 1:

$$f(2) = 3$$

 \Rightarrow Condition 1 is satisfie.

Condition 2: Because a different function defines the output left and right of x = 2, does $\lim_{x \to 2^{-}} f(x) = \lim_{x \to 2^{+}} f(x)$?

- Left-hand limit: $\lim_{x \to 2^{-}} f(x) = \lim_{x \to 2^{-}} (x+1) = 2+1 = 3$
- Right-hand limit: $\lim_{x \to 2^+} f(x) = \lim_{x \to 2^+} 3 = 3$

Because 3 = 3, $\lim_{x \to 2^{-}} f(x) = \lim_{x \to 2^{+}} f(x)$

 \Rightarrow Condition 2 is satisfie.

Condition 3:

$$\lim_{x \to 2} f(x) = 3 = f(2)$$

$$\Rightarrow \text{ Condition 3 is satisfie }.$$

Because all three conditions are satisfied at x = 2, the function f(x) is continuous at x = 2.

At x = 4, let us check the three conditions of continuity.

Condition 2: Because a different function defines the output left and right of x = 4, does $\lim_{x \to 4^{-}} f(x) = \lim_{x \to 4^{+}} f(x)$?

- Left-hand limit: $\lim_{x \to 4^-} f(x) = \lim_{x \to 4^-} 3 = 3$
- Right-hand limit: $\lim_{x \to 4^+} f(x) = \lim_{x \to 4^+} (x^2 11) = 4^2 11 = 5$

Because $3 \neq 5$, $\lim_{x \to 4^{-}} f(x) \neq \lim_{x \to 4^{+}} f(x)$, so $\lim_{x \to 4} f(x)$ does not exist.

 \Rightarrow Condition 2 fails.

Because one of the three conditions does not hold at x = 4, the function f(x) is discontinuous at x = 4.

Analysis

See **Figure 12.33**. At x = 4, there exists a jump discontinuity. Notice that the function is continuous at x = 2.

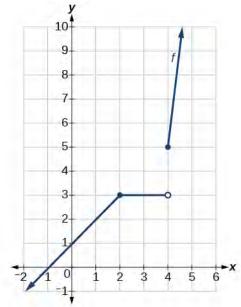


Figure 12.33 Graph is continuous at x = 2 but shows a jump discontinuity at x = 4.



Determine where the function $f(x) = \begin{cases} \frac{\pi x}{4}, & x < 2 \\ \frac{\pi}{x}, & 2 \le x \le 6 \end{cases}$ is discontinuous. $2\pi x, & x > 6$

Determining Whether a Function Is Continuous

To determine whether a piecewise function is continuous or discontinuous, in addition to checking the boundary points, we must also check whether each of the functions that make up the piecewise function is continuous.



Given a piecewise function, determine whether it is continuous.

- **1.** Determine whether each component function of the piecewise function is continuous. If there are discontinuities, do they occur within the domain where that component function is applied?
- 2. For each boundary point x = a of the piecewise function, determine if each of the three conditions hold.

Example 12.18

Determining Whether a Piecewise Function Is Continuous

Determine whether the function below is continuous. If it is not, state the location and type of each discontinuity.

$$fx = \begin{cases} \sin(x), & x < 0 \\ x^3, & x > 0 \end{cases}$$

Solution

The two functions composing this piecewise function are $f(x) = \sin(x)$ on x < 0 and $f(x) = x^3$ on x > 0. The sine function and all polynomial functions are continuous everywhere. Any discontinuities would be at the boundary point,

At x = 0, let us check the three conditions of continuity.

Condition 1:

f(0) does not exist. \Rightarrow Condition 1 fails.

Because all three conditions are not satisfied at x = 0, the function f(x) is discontinuous at x = 0.

Analysis

See **Figure 12.34**. There exists a removable discontinuity at x = 0; $\lim_{x \to 0} f(x) = 0$, thus the limit exists and is finite, but f(a) does not exist.

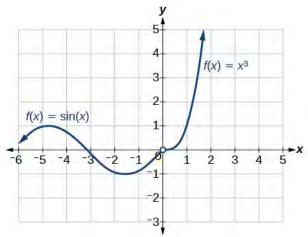


Figure 12.34 Function has removable discontinuity at 0.



Access these online resources for additional instruction and practice with continuity.

- · Continuity at a Point (http://openstaxcollege.org/l/continuitypoint)
- Continuity at a Point: Concept Check (http://openstaxcollege.org/l/contconcept)

12.3 EXERCISES

Verbal

113. State in your own words what it means for a function f to be continuous at x = c.

114. State in your own words what it means for a function to be continuous on the interval (a, b).

Algebraic

For the following exercises, determine why the function f is discontinuous at a given point a on the graph. State which condition fails.

115.
$$f(x) = \ln |x + 3|, a = -3$$

116.
$$f(x) = \ln \left| 5x - 2 \right|, a = \frac{2}{5}$$

117.
$$f(x) = \frac{x^2 - 16}{x + 4}, a = -4$$

118.
$$f(x) = \frac{x^2 - 16x}{x}, a = 0$$

119.
$$f(x) = \begin{cases} x, & x \neq 3 \\ 2x, & x = 3 \end{cases} a = 3$$

120.
$$f(x) = \begin{cases} 5, & x \neq 0 \\ 3, & x = 0 \end{cases} a = 0$$

121.
$$f(x) = \begin{cases} \frac{1}{2-x}, & x \neq 2 \\ 3, & x = 2 \end{cases} a = 2$$

122.
$$f(x) = \begin{cases} \frac{1}{x+6}, & x = -6 \\ x^2, & x \neq -6 \end{cases} a = -6$$

123.
$$f(x) = \begin{cases} 3+x, & x < 1 \\ x, & x = 1 \\ x^2, & x > 1 \end{cases}$$

124.
$$f(x) = \begin{cases} 3 - x, & x < 1 \\ x, & x = 1 \quad a = 1 \\ 2x^2, & x > 1 \end{cases}$$

125.
$$f(x) = \begin{cases} 3 + 2x, & x < 1 \\ x, & x = 1 \\ -x^2, & x > 1 \end{cases}$$

$$f(x) = \begin{cases} x^2, & x < -2\\ 2x + 1, & x = -2\\ x^3, & x > -2 \end{cases}$$

127.
$$f(x) = \begin{cases} \frac{x^2 - 9}{x + 3}, & x < -3\\ x - 9, & x = -3\\ \frac{1}{x}, & x > -3 \end{cases}$$

128.
$$f(x) = \begin{cases} \frac{x^2 - 9}{x + 3}, & x < -3 \\ x - 9, & x = -3 \\ -6, & x > -3 \end{cases} \quad a = 3$$

129.
$$f(x) = \frac{x^2 - 4}{x - 2}$$
, $a = 2$

130.
$$f(x) = \frac{25 - x^2}{x^2 - 10x + 25}$$
, $a = 5$

131.
$$f(x) = \frac{x^3 - 9x}{x^2 + 11x + 24}, \quad a = -3$$

132.
$$f(x) = \frac{x^3 - 27}{x^2 - 3x}, \quad a = 3$$

133.
$$f(x) = \frac{x}{|x|}, \quad a = 0$$

134.
$$f(x) = \frac{2|x+2|}{x+2}$$
, $a = -2$

For the following exercises, determine whether or not the given function f is continuous everywhere. If it is continuous everywhere it is defined, state for what range it is continuous. If it is discontinuous, state where it is discontinuous.

135.
$$f(x) = x^3 - 2x - 15$$

136.
$$f(x) = \frac{x^2 - 2x - 15}{x - 5}$$

137.
$$f(x) = 2 \cdot 3^{x+4}$$

138.
$$f(x) = -\sin(3x)$$

139.
$$f(x) = \frac{|x-2|}{x^2 - 2x}$$

140.
$$f(x) = \tan(x) + 2$$

141.
$$f(x) = 2x + \frac{5}{x}$$

142.
$$f(x) = \log_2(x)$$

143.
$$f(x) = \ln x^2$$

144.
$$f(x) = e^{2x}$$

145.
$$f(x) = \sqrt{x-4}$$

146.
$$f(x) = \sec(x) - 3$$
.

147.
$$f(x) = x^2 + \sin(x)$$

148. Determine the values of b and c such that the following function is continuous on the entire real number line.

$$f(x) = \begin{cases} x+1, & 1 < x < 3 \\ x^2 + bx + c, & |x-2| \ge 1 \end{cases}$$

Graphical

For the following exercises, refer to **Figure 12.35**. Each square represents one square unit. For each value of a, determine which of the three conditions of continuity are satisfied at x = a and which are not.

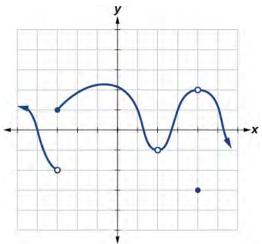


Figure 12.35

149.
$$x = -3$$

150.
$$x = 2$$

151.
$$x = 4$$

For the following exercises, use a graphing utility to graph the function $f(x) = \sin(\frac{12\pi}{x})$ as in **Figure 12.36**. Set the

x-axis a short distance before and after 0 to illustrate the point of discontinuity.

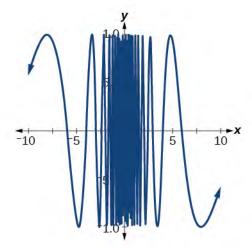


Figure 12.36

- 152. Which conditions for continuity fail at the point of discontinuity?
- 153. Evaluate f(0).
- 154. Solve for *x* if f(x) = 0.
- 155. What is the domain of f(x)?

For the following exercises, consider the function shown in **Figure 12.37**.

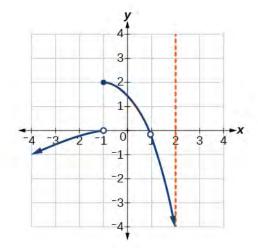


Figure 12.37

- 156. At what *x*-coordinates is the function discontinuous?
- 157. What condition of continuity is violated at these points?
- 158. Consider the function shown in **Figure 12.38**. At what *x*-coordinates is the function discontinuous? What condition(s) of continuity were violated?

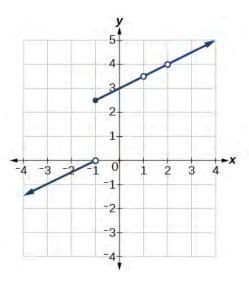


Figure 12.38

159. Construct a function that passes through the origin with a constant slope of 1, with removable discontinuities at x = -7 and x = 1.

160. The function $f(x) = \frac{x^3 - 1}{x - 1}$ is graphed in **Figure**

12.39. It appears to be continuous on the interval [-3, 3], but there is an *x*-value on that interval at which the function is discontinuous. Determine the value of *x* at which the function is discontinuous, and explain the pitfall of utilizing technology when considering continuity of a function by examining its graph.

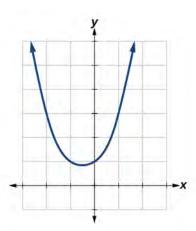


Figure 12.39

161. Find the limit $\lim_{x \to 1} f(x)$ and determine if the following function is continuous at x = 1:

$$fx = \begin{cases} x^2 + 4 & x \neq 1 \\ 2 & x = 1 \end{cases}$$

162. The graph of $f(x) = \frac{\sin(2x)}{x}$ is shown in **Figure 12.40**. Is the function f(x) continuous at x = 0? Why or why not?

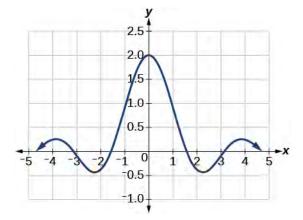


Figure 12.40

12.4 | Derivatives

Learning Objectives

In this section, you will:

- **12.4.1** Find the derivative of a function.
- **12.4.2** Find instantaneous rates of change.
- 12.4.3 Find an equation of the tangent line to the graph of a function at a point.
- **12.4.4** Find the instantaneous velocity of a particle.

The average teen in the United States opens a refrigerator door an estimated 25 times per day. Supposedly, this average is up from 10 years ago when the average teenager opened a refrigerator door 20 times per day ^[2].

It is estimated that a television is on in a home 6.75 hours per day, whereas parents spend an estimated 5.5 minutes per day having a meaningful conversation with their children. These averages, too, are not the same as they were 10 years ago, when the television was on an estimated 6 hours per day in the typical household, and parents spent 12 minutes per day in meaningful conversation with their kids.

What do these scenarios have in common? The functions representing them have changed over time. In this section, we will consider methods of computing such changes over time.

Finding the Average Rate of Change of a Function

The functions describing the examples above involve a change over time. Change divided by time is one example of a rate. The rates of change in the previous examples are each different. In other words, some changed faster than others. If we were to graph the functions, we could compare the rates by determining the slopes of the graphs.

A **tangent line** to a curve is a line that intersects the curve at only a single point but does not cross it there. (The tangent line may intersect the curve at another point away from the point of interest.) If we zoom in on a curve at that point, the curve appears linear, and the slope of the curve at that point is close to the slope of the tangent line at that point.

Figure 12.41 represents the function $f(x) = x^3 - 4x$. We can see the slope at various points along the curve.

- slope at x = -2 is 8
- slope at x = -1 is -1
- slope at x = 2 is 8

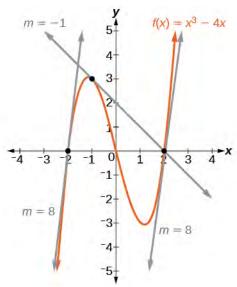


Figure 12.41 Graph showing tangents to curve at –2, –1, and 2.

Let's imagine a point on the curve of function f at x = a as shown in **Figure 12.42**. The coordinates of the point are (a, f(a)). Connect this point with a second point on the curve a little to the right of x = a, with an x-value increased by some small real number h. The coordinates of this second point are (a + h, f(a + h)) for some positive-value h.

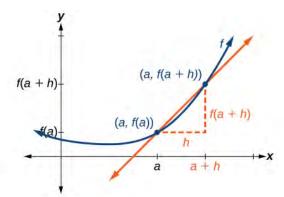


Figure 12.42 Connecting point a with a point just beyond allows us to measure a slope close to that of a tangent line at x = a.

We can calculate the slope of the line connecting the two points (a, f(a)) and (a + h, f(a + h)), called a **secant line**, by applying the slope formula,

slope =
$$\frac{\text{change in } y}{\text{change in } x}$$

slope =
$$\frac{\text{change in } y}{\text{change in } x}$$

We use the notation $m_{
m sec}$ to represent the slope of the secant line connecting two points.

$$m_{\text{sec}} = \frac{f(a+h) - f(a)}{(a+h) - (a)}$$
$$= \frac{f(a+h) - f(a)}{\cancel{\alpha} + h - \cancel{\alpha}}$$

The slope m_{sec} equals the *average rate of change* between two points (a, f(a)) and (a + h, f(a + h)).

$$m_{\text{sec}} = \frac{f(a+h) - f(a)}{h}$$

The Average Rate of Change between Two Points on a Curve

The **average rate of change** (AROC) between two points (a, f(a)) and (a + h, f(a + h)) on the curve of f is the slope of the line connecting the two points and is given by

$$AROC = \frac{f(a+h) - f(a)}{h}$$
 (12.1)

Example 12.19

Finding the Average Rate of Change

Find the average rate of change connecting the points (2, -6) and (-1, 5).

Solution

We know the average rate of change connecting two points may be given by

$$AROC = \frac{f(a+h) - f(a)}{h}.$$

If one point is (2, -6), or (2, f(2)), then f(2) = -6.

The value *h* is the displacement from 2 to -1, which equals -1 - 2 = -3.

For the other point, f(a+h) is the *y*-coordinate at a+h, which is 2+(-3) or -1, so f(a+h)=f(-1)=5.

AROC =
$$\frac{f(a+h) - f(a)}{h}$$
$$= \frac{5 - (-6)}{-3}$$
$$= \frac{11}{-3}$$
$$= -\frac{11}{3}$$



12.18 Find the average rate of change connecting the points (-5, 1.5) and (-2.5, 9).

Understanding the Instantaneous Rate of Change

Now that we can find the average rate of change, suppose we make h in **Figure 12.42** smaller and smaller. Then a+h will approach a as h gets smaller, getting closer and closer to 0. Likewise, the second point (a+h, f(a+h)) will approach the first point, (a, f(a)). As a consequence, the connecting line between the two points, called the secant line, will get closer and closer to being a tangent to the function at x=a, and the slope of the secant line will get closer and closer to the slope of the tangent at x=a. See **Figure 12.43**.

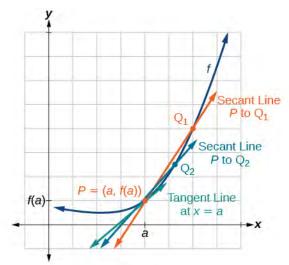


Figure 12.43 The connecting line between two points moves closer to being a tangent line at x = a.

Because we are looking for the slope of the tangent at x = a, we can think of the measure of the slope of the curve of a function f at a given point as the rate of change at a particular instant. We call this slope the **instantaneous rate of change**, or the **derivative** of the function at x = a. Both can be found by finding the limit of the slope of a line connecting the point at x = a with a second point infinitesimally close along the curve. For a function f both the instantaneous rate of change of the function and the derivative of the function at x = a are written as f'(a), and we can define them as a two-sided limit that has the same value whether approached from the left or the right.

$$f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$

The expression by which the limit is found is known as the difference quotient.

Definition of Instantaneous Rate of Change and Derivative

The **derivative**, or **instantaneous rate of change**, of a function f at x = a, is given by

$$f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$
 (12.2)

The expression $\frac{f(a+h)-f(a)}{h}$ is called the difference quotient.

We use the difference quotient to evaluate the limit of the rate of change of the function as h approaches 0.

Derivatives: Interpretations and Notation

The derivative of a function can be interpreted in different ways. It can be observed as the behavior of a graph of the function or calculated as a numerical rate of change of the function.

- The derivative of a function f(x) at a point x = a is the slope of the tangent line to the curve f(x) at x = a. The derivative of f(x) at x = a is written f'(a).
- The derivative f'(a) measures how the curve changes at the point (a, f(a)).
- The derivative f'(a) may be thought of as the instantaneous rate of change of the function f(x) at x = a.
- If a function measures distance as a function of time, then the derivative measures the instantaneous velocity at time t=a.

Notations for the Derivative

The equation of the derivative of a function f(x) is written as y' = f'(x), where y = f(x). The notation f'(x) is read as " f prime of x." Alternate notations for the derivative include the following:

$$f'(x) = y' = \frac{dy}{dx} = \frac{df}{dx} = \frac{d}{dx}f(x) = Df(x)$$

The expression f'(x) is now a function of x; this function gives the slope of the curve y = f(x) at any value of x. The derivative of a function f(x) at a point x = a is denoted f'(a).



Given a function f, find the derivative by applying the definition of the derivative.

- 1. Calculate f(a + h).
- 2. Calculate f(a).
- 3. Substitute and simplify $\frac{f(a+h) f(a)}{h}$.
- **4.** Evaluate the limit if it exists: $f'(a) = \lim_{h \to 0} \frac{f(a+h) f(a)}{h}$.

Example 12.20

Finding the Derivative of a Polynomial Function

Find the derivative of the function $f(x) = x^2 - 3x + 5$ at x = a.

Solution

We have:

$$f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$
 Definition of a de ivative

Substitute $f(a+h) = (a+h)^2 - 3(a+h) + 5$ and $f(a) = a^2 - 3a + 5$.

$$f'(a) = \lim_{h \to 0} \frac{(a+h)(a+h) - 3(a+h) + 5 - (a^2 - 3a + 5)}{h}$$

$$= \lim_{h \to 0} \frac{a^2 + 2ah + h^2 - 3a - 3h + 5 - a^2 + 3a - 5}{h}$$
Evaluate to remove parentheses.
$$= \lim_{h \to 0} \frac{A^2 + 2ah + h^2 - 3a - 3h + 5 - a^2 + 3a - 5}{h}$$
Simplify.
$$= \lim_{h \to 0} \frac{2ah + h^2 - 3h}{h}$$

$$= \lim_{h \to 0} \frac{A(2a + h - 3)}{h}$$
Factor out an h.
$$= 2a + 0 - 3$$
Evaluate the limit.



12.19 Find the derivative of the function $f(x) = 3x^2 + 7x$ at x = a.

Finding Derivatives of Rational Functions

To find the derivative of a rational function, we will sometimes simplify the expression using algebraic techniques we have already learned.

Example 12.21

Finding the Derivative of a Rational Function

Find the derivative of the function $f(x) = \frac{3+x}{2-x}$ at x = a.

Solution

$$f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$

$$= \lim_{h \to 0} \frac{\frac{3 + (a+h)}{2 - (a+h)} - \frac{3 + a}{2 - a}}{h}$$
Substitute $f(a+h)$ and $f(a)$

$$= \lim_{h \to 0} \frac{(2 - (a+h))(2-a) \left[\frac{3 + (a+h)}{2 - (a+h)} - \frac{3 + a}{2 - a}\right]}{(2 - (a+h))(2 - a)(h)}$$
Multiply numerator and denominator by $(2 - (a+h))(2 - a)$

$$= \lim_{h \to 0} \frac{(2 - (a+h))(2-a)(h)}{(2 - (a+h))(2 - a)(h)} - (2 - (a+h))(2 - a)\left(\frac{3 + a}{2 - a}\right)$$
Distribute
$$= \lim_{h \to 0} \frac{6 - 3a + 2a - a^2 + 2h - ah - 6 + 3a + 3h - 2a + a^2 + ah}{(2 - (a+h))(2 - a)(h)}$$
Multiply
$$= \lim_{h \to 0} \frac{5h}{(2 - (a+h))(2 - a)(h)}$$
Combine like terms
$$= \lim_{h \to 0} \frac{5}{(2 - (a+h))(2 - a)}$$
Cancel like factors
$$= \frac{5}{(2 - (a+0))(2 - a)} = \frac{5}{(2 - a)(2 - a)} = \frac{5}{(2 - a)^2}$$
Evaluate the limit



12.20 Find the derivative of the function $f(x) = \frac{10x + 11}{5x + 4}$ at x = a.

Finding Derivatives of Functions with Roots

To find derivatives of functions with roots, we use the methods we have learned to find limits of functions with roots, including multiplying by a conjugate.

Example 12.22

Finding the Derivative of a Function with a Root

Find the derivative of the function $f(x) = 4\sqrt{x}$ at x = 36.

Solution

We have

$$f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$
$$= \lim_{h \to 0} \frac{4\sqrt{a+h} - 4\sqrt{a}}{h}$$
 Substitute $f(a+h)$ and $f(a)$

Multiply the numerator and denominator by the conjugate: $\frac{4\sqrt{a+h}+4\sqrt{a}}{4\sqrt{a+h}+4\sqrt{a}}$

$$f'(a) = \lim_{h \to 0} \left(\frac{4\sqrt{a+h} - 4\sqrt{a}}{h} \right) \cdot \left(\frac{4\sqrt{a+h} + 4\sqrt{a}}{4\sqrt{a+h} + 4\sqrt{a}} \right)$$

$$= \lim_{h \to 0} \left(\frac{16(a+h) - 16a}{h4(\sqrt{a+h} + 4\sqrt{a})} \right)$$
Multiply.
$$= \lim_{h \to 0} \left(\frac{16h - 16a}{h4(\sqrt{a+h} + 4\sqrt{a})} \right)$$
Distribute and combine like terms.
$$= \lim_{h \to 0} \left(\frac{16h}{4\sqrt{a+h} + 4\sqrt{a}} \right)$$
Simplify.
$$= \lim_{h \to 0} \left(\frac{16}{4\sqrt{a+h} + 4\sqrt{a}} \right)$$
Evaluate the limit by letting $h = 0$.
$$= \frac{16}{8\sqrt{a}} = \frac{2}{\sqrt{a}}$$

$$f'(36) = \frac{2}{\sqrt{36}}$$
Evaluate the derivative at $x = 36$.
$$= \frac{2}{6}$$

$$= \frac{1}{3}$$

Try It**12.21** Find the derivative of the function $f(x) = 9\sqrt{x}$ at x = 9.

Finding Instantaneous Rates of Change

Many applications of the derivative involve determining the rate of change at a given instant of a function with the independent variable time—which is why the term *instantaneous* is used. Consider the height of a ball tossed upward with an initial velocity of 64 feet per second, given by $s(t) = -16t^2 + 64t + 6$, where t is measured in seconds and s(t) is measured in feet. We know the path is that of a parabola. The derivative will tell us how the height is changing at any given point in time. The height of the ball is shown in **Figure 12.44** as a function of time. In physics, we call this the "s-t graph."

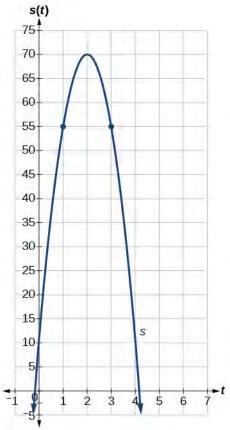


Figure 12.44

Example 12.23

Finding the Instantaneous Rate of Change

Using the function above, $s(t) = -16t^2 + 64t + 6$, what is the instantaneous velocity of the ball at 1 second and 3 seconds into its flight?

Solution

The velocity at t=1 and t=3 is the instantaneous rate of change of distance per time, or velocity. Notice that the initial height is 6 feet. To find the instantaneous velocity, we find the derivative and evaluate it at t=1 and t=3:

$$f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$

$$= \lim_{h \to 0} \frac{-16(t+h)^2 + 64(t+h) + 6 - (-16t^2 + 64t + 6)}{h}$$
 Substitute $s(t+h)$ and $s(t)$.
$$= \lim_{h \to 0} \frac{-16t^2 - 32ht - h^2 + 64t + 64h + 6 + 16t^2 - 64t - 6}{h}$$
 Distribute.
$$= \lim_{h \to 0} \frac{-32ht - h^2 + 64h}{h}$$
 Simplify.
$$= \lim_{h \to 0} \frac{16(t+h)^2 + 64h}{h}$$
 Factor the numerator.
$$= \lim_{h \to 0} \frac{16(t+h)^2 + 64(t+h) + 6 - (-16t^2 + 64t + 6)}{h}$$
 Factor the numerator.
$$= \lim_{h \to 0} \frac{-32ht - h^2 + 64h}{h}$$
 Cancel out the common factor h .
$$s'(t) = -32t + 64$$
 Evaluate the limit by letting $h = 0$.

For any value of t, s'(t) tells us the velocity at that value of t.

Evaluate t = 1 and t = 3.

$$s'(1) = -32(1) + 64 = 32$$

 $s'(3) = -32(3) + 64 = -32$

The velocity of the ball after 1 second is 32 feet per second, as it is on the way up.

The velocity of the ball after 3 seconds is -32 feet per second, as it is on the way down.



12.22 The position of the ball is given by $s(t) = -16t^2 + 64t + 6$. What is its velocity 2 seconds into flight?

Using Graphs to Find Instantaneous Rates of Change

We can estimate an instantaneous rate of change at x = a by observing the slope of the curve of the function f(x) at x = a. We do this by drawing a line tangent to the function at x = a and finding its slope.



Given a graph of a function f(x), find the instantaneous rate of change of the function at x = a.

- 1. Locate x = a on the graph of the function f(x).
- 2. Draw a tangent line, a line that goes through x = a at a and at no other point in that section of the curve. Extend the line far enough to calculate its slope as

$$\frac{\text{change in } y}{\text{change in } x}$$

Example 12.24

Estimating the Derivative at a Point on the Graph of a Function

From the graph of the function y = f(x) presented in **Figure 12.45**, estimate each of the following:

$$f(0)$$
; $f(2)$; $f'(0)$; $f'(2)$

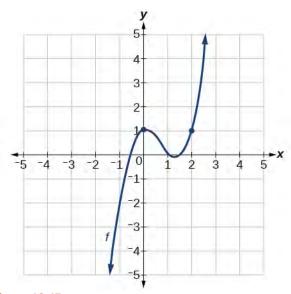


Figure 12.45

Solution

To find the functional value, f(a), find the *y*-coordinate at x = a.

To find the derivative at x = a, f'(a), draw a tangent line at x = a, and estimate the slope of that tangent line. See **Figure 12.46**.

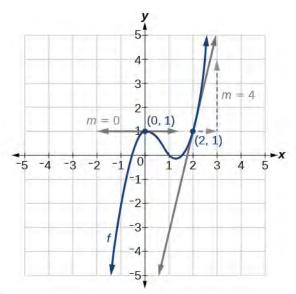


Figure 12.46

- a. f(0) is the *y*-coordinate at x = 0. The point has coordinates (0, 1), thus f(0) = 1.
- b. f(2) is the *y*-coordinate at x = 2. The point has coordinates (2, 1), thus f(2) = 1.
- c. f'(0) is found by estimating the slope of the tangent line to the curve at x = 0. The tangent line to the curve at x = 0 appears horizontal. Horizontal lines have a slope of 0, thus f'(0) = 0.

d. f'(2) is found by estimating the slope of the tangent line to the curve at x = 2. Observe the path of the tangent line to the curve at x = 2. As the x value moves one unit to the right, the y value moves up four units to another point on the line. Thus, the slope is 4, so f'(2) = 4.

Try |t+ **12.23** Using the graph of the function $f(x) = x^3 - 3x$ shown in **Figure 12.47**, estimate: f(1), f'(1), f(0), and f'(0).

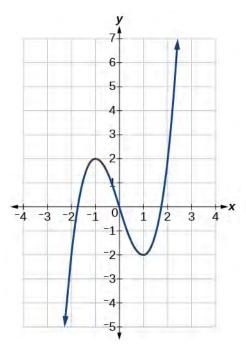


Figure 12.47

Using Instantaneous Rates of Change to Solve Real-World Problems

Another way to interpret an instantaneous rate of change at x = a is to observe the function in a real-world context. The unit for the derivative of a function f(x) is

output units input unit

Such a unit shows by how many units the output changes for each one-unit change of input. The instantaneous rate of change at a given instant shows the same thing: the units of change of output per one-unit change of input.

One example of an instantaneous rate of change is a marginal cost. For example, suppose the production cost for a company to produce x items is given by C(x), in thousands of dollars. The derivative function tells us how the cost is changing for any value of x in the domain of the function. In other words, C'(x) is interpreted as a marginal cost, the additional cost in thousands of dollars of producing one more item when x items have been produced. For example, C'(11) is the approximate additional cost in thousands of dollars of producing the 12^{th} item after 11 items have been produced. C'(11) = 2.50 means that when 11 items have been produced, producing the 12^{th} item would increase the total cost by approximately \$2,500.00.

Example 12.25

Finding a Marginal Cost

The cost in dollars of producing x laptop computers in dollars is $f(x) = x^2 - 100x$. At the point where 200 computers have been produced, what is the approximate cost of producing the 201^{st} unit?

Solution

If $f(x) = x^2 - 100x$ describes the cost of producing x computers, f'(x) will describe the marginal cost. We need to find the derivative. For purposes of calculating the derivative, we can use the following functions:

$$f(a+b) = (x+h)^2 - 100(x+h)$$
$$f(a) = a^2 - 100a$$

$$f'(x) = \frac{f(a+h) - f(a)}{h}$$
 Formula for a derivative
$$= \frac{(x+h)^2 - 100(x+h) - (x^2 - 100x)}{h}$$
 Substitute $f(a+h)$ and $f(a)$.
$$= \frac{x^2 + 2xh + h^2 - 100x - 100h - x^2 + 100x}{h}$$
 Multiply polynomials, distribute.
$$= \frac{2xh + h^2 - 100h}{h}$$
 Collect like terms.
$$= \frac{\cancel{K}(2x+h-100)}{\cancel{K}}$$
 Factor and cancel like terms.
$$= 2x + h - 100$$
 Simplify.
$$= 2x - 100$$
 Evaluate when $h = 0$.
$$f'(x) = 2x - 100$$
 Formula for marginal cost
$$f'(200) = 2(200) - 100 = 300$$
 Evaluate for 200 units.

The marginal cost of producing the 201st unit will be approximately \$300.

Example 12.26

Interpreting a Derivative in Context

A car leaves an intersection. The distance it travels in miles is given by the function f(t), where t represents hours. Explain the following notations:

$$f(0) = 0$$
; $f'(1) = 60$; $f(1) = 70$; $f(2.5) = 150$

Solution

First we need to evaluate the function f(t) and the derivative of the function f'(t), and distinguish between the two. When we evaluate the function f(t), we are finding the distance the car has traveled in t hours. When we evaluate the derivative f'(t), we are finding the speed of the car after t hours.

a. f(0) = 0 means that in zero hours, the car has traveled zero miles.

- b. f'(1) = 60 means that one hour into the trip, the car is traveling 60 miles per hour.
- c. f(1) = 70 means that one hour into the trip, the car has traveled 70 miles. At some point during the first hour, then, the car must have been traveling faster than it was at the 1-hour mark.
- d. f(2.5) = 150 means that two hours and thirty minutes into the trip, the car has traveled 150 miles.



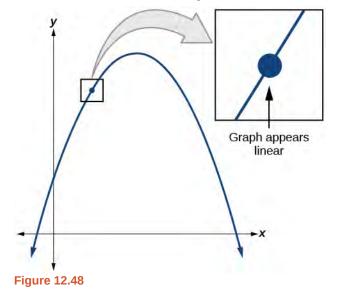
12.24 A runner runs along a straight east-west road. The function f(t) gives how many feet eastward of her starting point she is after t seconds. Interpret each of the following as it relates to the runner.

$$f(0) = 0$$
; $f(10) = 150$; $f'(10) = 15$; $f'(20) = -10$; $f(40) = -100$

Finding Points Where a Function's Derivative Does Not Exist

To understand where a function's derivative does not exist, we need to recall what normally happens when a function f(x) has a derivative at x = a. Suppose we use a graphing utility to zoom in on x = a. If the function f(x) is **differentiable**, that is, if it is a function that can be differentiated, then the closer one zooms in, the more closely the graph approaches a straight line. This characteristic is called *linearity*.

Look at the graph in Figure 12.48. The closer we zoom in on the point, the more linear the curve appears.



We might presume the same thing would happen with any continuous function, but that is not so. The function f(x) = |x|, for example, is continuous at x = 0, but not differentiable at x = 0. As we zoom in close to 0 in **Figure 12.49**, the graph does not approach a straight line. No matter how close we zoom in, the graph maintains its sharp corner.

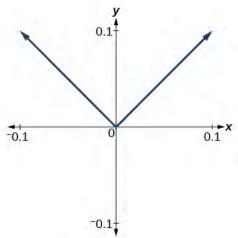


Figure 12.49 Graph of the function f(x) = |x|, with *x*-axis from -0.1 to 0.1 and *y*-axis from -0.1 to 0.1.

We zoom in closer by narrowing the range to produce **Figure 12.50** and continue to observe the same shape. This graph does not appear linear at x = 0.

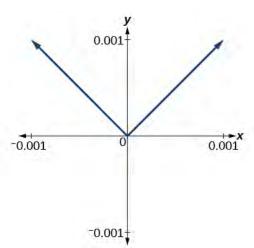


Figure 12.50 Graph of the function f(x) = |x|, with *x*-axis from -0.001 to 0.001 and *y*-axis from -0.001 to 0.001.

What are the characteristics of a graph that is not differentiable at a point? Here are some examples in which function f(x) is not differentiable at x = a.

In Figure 12.51, we see the graph of

$$f(x) = \begin{cases} x^2, & x \le 2\\ 8 - x, & x > 2 \end{cases}.$$

Notice that, as x approaches 2 from the left, the left-hand limit may be observed to be 4, while as x approaches 2 from the right, the right-hand limit may be observed to be 6. We see that it has a discontinuity at x = 2.

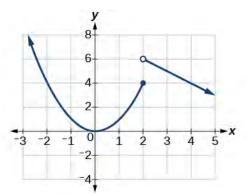


Figure 12.51 The graph of f(x) has a discontinuity at x = 2.

In **Figure 12.52**, we see the graph of f(x) = |x|. We see that the graph has a corner point at x = 0.

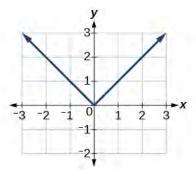


Figure 12.52 The graph of f(x) = |x| has a corner point at x = 0.

In **Figure 12.53**, we see that the graph of $f(x) = x^{\frac{2}{3}}$ has a cusp at x = 0. A cusp has a unique feature. Moving away from the cusp, both the left-hand and right-hand limits approach either infinity or negative infinity. Notice the tangent lines as x approaches 0 from both the left and the right appear to get increasingly steeper, but one has a negative slope, the other has a positive slope.

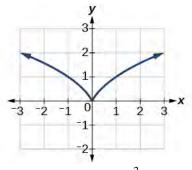


Figure 12.53 The graph of $f(x) = x^{\frac{2}{3}}$ has a cusp at x = 0.

In **Figure 12.54**, we see that the graph of $f(x) = x^{\frac{1}{3}}$ has a vertical tangent at x = 0. Recall that vertical tangents are vertical lines, so where a vertical tangent exists, the slope of the line is undefined. This is why the derivative, which measures the slope, does not exist there.

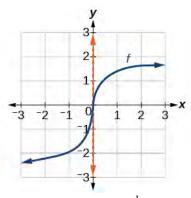


Figure 12.54 The graph of $f(x) = x^{\frac{1}{3}}$ has a vertical tangent at x = 0.

Differentiability

A function f(x) is differentiable at x = a if the derivative exists at x = a, which means that f'(a) exists.

There are four cases for which a function f(x) is not differentiable at a point x = a.

- 1. When there is a discontinuity at x = a.
- 2. When there is a corner point at x = a.
- 3. When there is a cusp at x = a.
- **4**. Any other time when there is a vertical tangent at x = a.

Example 12.27

Determining Where a Function Is Continuous and Differentiable from a Graph

Using **Figure 12.55**, determine where the function is

- a. continuous
- b. discontinuous
- c. differentiable
- d. not differentiable

At the points where the graph is discontinuous or not differentiable, state why.

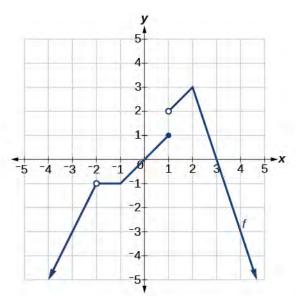


Figure 12.55

Solution

The graph of f(x) is continuous on $(-\infty, -2) \cup (-2, 1) \cup (1, \infty)$. The graph of f(x) has a removable discontinuity at x = -2 and a jump discontinuity at x = 1. See **Figure 12.56**.

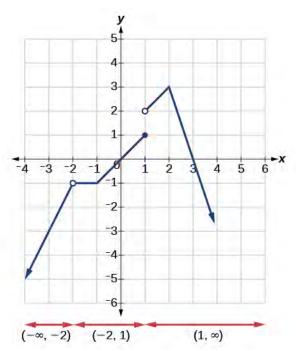


Figure 12.56 Three intervals where the function is continuous

The graph of is differentiable on $(-\infty, -2) \cup (-2, -1) \cup (-1, 1) \cup (1, 2) \cup (2, \infty)$. The graph of f(x) is not differentiable at x = -2 because it is a point of discontinuity, at x = -1 because of a sharp corner, at x = 1 because it is a point of discontinuity, and at x = 2 because of a sharp corner. See **Figure 12.57**.

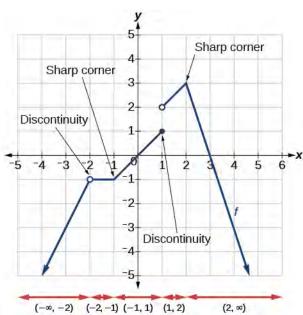


Figure 12.57 Five intervals where the function is differentiable

Try

12.25 Determine where the function y = f(x) shown in **Figure 12.58** is continuous and differentiable from the graph.

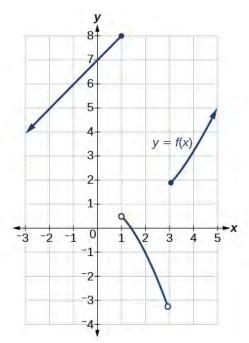


Figure 12.58

Finding an Equation of a Line Tangent to the Graph of a Function

The equation of a tangent line to a curve of the function f(x) at x = a is derived from the point-slope form of a line, $y = m(x - x_1) + y_1$. The slope of the line is the slope of the curve at x = a and is therefore equal to f'(a), the derivative of f(x) at x = a. The coordinate pair of the point on the line at x = a is (a, f(a)).

If we substitute into the point-slope form, we have

$$m = f'(a) x_1 = a y_1 = f(a)$$

$$y = m(x - x_1) + y_1$$

$$f'(a) a f(a)$$

The equation of the tangent line is

$$y = f'(a)(x - a) + f(a)$$

The Equation of a Line Tangent to a Curve of the Function f

The equation of a line tangent to the curve of a function f at a point x = a is

$$y = f'(a)(x - a) + f(a)$$



Given a function f, find the equation of a line tangent to the function at x = a.

- 1. Find the derivative of f(x) at x = a using $f'(a) = \lim_{h \to 0} \frac{f(a+h) f(a)}{h}$.
- 2. Evaluate the function at x = a. This is f(a).
- 3. Substitute (a, f(a)) and f'(a) into y = f'(a)(x a) + f(a).
- 4. Write the equation of the tangent line in the form y = mx + b.

Example 12.28

Finding the Equation of a Line Tangent to a Function at a Point

Find the equation of a line tangent to the curve $f(x) = x^2 - 4x$ at x = 3.

Solution

Using:

$$f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$

Substitute $f(a + h) = (a + h)^2 - 4(a + h)$ and $f(a) = a^2 - 4a$.

$$f'(a) = \lim_{h \to 0} \frac{(a+h)(a+h) - 4(a+h) - (a^2 - 4a)}{h}$$

$$= \lim_{h \to 0} \frac{a^2 + 2ah + h^2 - 4a - 4h - a^2 + 4a}{h}$$
 Remove parentheses.
$$= \lim_{h \to 0} \frac{2ah + h^2 - 4h}{h}$$
 Combine like terms.
$$= \lim_{h \to 0} \frac{2ah + h^2 - 4h}{h}$$

$$= \lim_{h \to 0} \frac{1/(2a + h - 4)}{h}$$
 Factor out h .
$$= 2a + 0 - 4$$
 Evaluate the limit.
$$f'(a) = 2a - 4$$
 Evaluate the limit.

Equation of tangent line at x = 3:

$$y = f'(a)(x - a) + f(a)$$

$$y = f'(3)(x - 3) + f(3)$$

$$y = 2(x - 3) + (-3)$$

$$y = 2x - 9$$

Analysis

We can use a graphing utility to graph the function and the tangent line. In so doing, we can observe the point of tangency at x = 3 as shown in **Figure 12.59**.

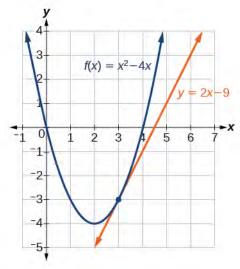


Figure 12.59 Graph confirms the point of tangency at x = 3.



12.26 Find the equation of a tangent line to the curve of the function $f(x) = 5x^2 - x + 4$ at x = 2.

Finding the Instantaneous Speed of a Particle

If a function measures position versus time, the derivative measures displacement versus time, or the speed of the object. A change in speed or direction relative to a change in time is known as *velocity*. The velocity at a given instant is known as **instantaneous velocity**.

In trying to find the speed or velocity of an object at a given instant, we seem to encounter a contradiction. We normally define speed as the distance traveled divided by the elapsed time. But in an instant, no distance is traveled, and no time elapses. How will we divide zero by zero? The use of a derivative solves this problem. A derivative allows us to say that even while the object's velocity is constantly changing, it has a certain velocity at a given instant. That means that if the object traveled at that exact velocity for a unit of time, it would travel the specified distance.

Instantaneous Velocity

Let the function s(t) represent the position of an object at time t. The **instantaneous velocity** or velocity of the object at time t = a is given by

$$s'(a) = \lim_{h \to 0} \frac{s(a+h) - s(a)}{h}$$

Example 12.29

Finding the Instantaneous Velocity

A ball is tossed upward from a height of 200 feet with an initial velocity of 36 ft/sec. If the height of the ball in feet after t seconds is given by $s(t) = -16t^2 + 36t + 200$, find the instantaneous velocity of the ball at t = 2.

Solution

First, we must find the derivative s'(t). Then we evaluate the derivative at t = 2, using $s(a+h) = -16(a+h)^2 + 36(a+h) + 200$ and $s(a) = -16a^2 + 36a + 200$.

$$s'(a) = \lim_{h \to 0} \frac{s(a+h) - s(a)}{h}$$

$$= \lim_{h \to 0} \frac{-16(a+h)^2 + 36(a+h) + 200 - (-16a^2 + 36a + 200)}{h}$$

$$= \lim_{h \to 0} \frac{-16(a^2 + 2ah + h^2) + 36(a+h) + 200 - (-16a^2 + 36a + 200)}{h}$$

$$= \lim_{h \to 0} \frac{-16a^2 - 32ah - 16h^2 + 36a + 36h + 200 + 16a^2 - 36a - 200}{h}$$

$$= \lim_{h \to 0} \frac{-32ah - 16h^2 + 36h}{h}$$

$$= \lim_{h \to 0} \frac{-32ah - 16h^2 + 36h}{h}$$

$$= \lim_{h \to 0} \frac{\cancel{h}(-32a - 16h + 36)}{\cancel{h}}$$

$$= \lim_{h \to 0} (-32a - 16h + 36)$$

$$= -32a - 16 \cdot 0 + 36$$

$$s'(a) = -32a + 36$$

$$s'(2) = -32(2) + 36$$

$$= -28$$

Analysis

This result means that at time t = 2 seconds, the ball is dropping at a rate of 28 ft/sec.



12.27 A fireworks rocket is shot upward out of a pit 12 ft below the ground at a velocity of 60 ft/sec. Its height in feet after t seconds is given by $s = -16t^2 + 60t - 12$. What is its instantaneous velocity after 4 seconds?



Access these online resources for additional instruction and practice with derivatives.

- Estimate the Derivative (http://openstaxcollege.org/l/estimatederiv)
- Estimate the Derivative Ex. 4 (http://openstaxcollege.org/l/estimatederiv4)

Visit **this website (http://openstaxcollege.org/l/PreCalcLPC12)** for additional practice questions from Learningpod.

12.4 EXERCISES

Verbal

163. How is the slope of a linear function similar to the derivative?

164. What is the difference between the average rate of change of a function on the interval [x, x + h] and the derivative of the function at x?

165. A car traveled 110 miles during the time period from 2:00 P.M. to 4:00 P.M. What was the car's average velocity? At exactly 2:30 P.M., the speed of the car registered exactly 62 miles per hour. What is another name for the speed of the car at 2:30 P.M.? Why does this speed differ from the average velocity?

166. Explain the concept of the slope of a curve at point x.

167. Suppose water is flowing into a tank at an average rate of 45 gallons per minute. Translate this statement into the language of mathematics.

Algebraic

For the following exercises, use the definition of derivative $\lim_{h\to 0} \frac{f(x+h)-f(x)}{h}$ to calculate the derivative of each function.

168.
$$f(x) = 3x - 4$$

169.
$$f(x) = -2x + 1$$

170.
$$f(x) = x^2 - 2x + 1$$

171.
$$f(x) = 2x^2 + x - 3$$

172.
$$f(x) = 2x^2 + 5$$

173.
$$f(x) = \frac{-1}{x-2}$$

174.
$$f(x) = \frac{2+x}{1-x}$$

175.
$$f(x) = \frac{5 - 2x}{3 + 2x}$$

176.
$$f(x) = \sqrt{1+3x}$$

177.
$$f(x) = 3x^3 - x^2 + 2x + 5$$

178.
$$f(x) = 5$$

179.
$$f(x) = 5\pi$$

For the following exercises, find the average rate of change between the two points.

180.
$$(-2, 0)$$
 and $(-4, 5)$

181.
$$(4, -3)$$
 and $(-2, -1)$

183.
$$(7, -2)$$
 and $(7, 10)$

For the following polynomial functions, find the derivatives.

184.
$$f(x) = x^3 + 1$$

185.
$$f(x) = -3x^2 - 7x = 6$$

186.
$$f(x) = 7x^2$$

187.
$$f(x) = 3x^3 + 2x^2 + x - 26$$

For the following functions, find the equation of the tangent line to the curve at the given point x on the curve.

188.
$$f(x) = 2x^2 - 3x \ x = 3$$

189.
$$f(x) = x^3 + 1$$
 $x = 2$

190.
$$f(x) = \sqrt{x} \ x = 9$$

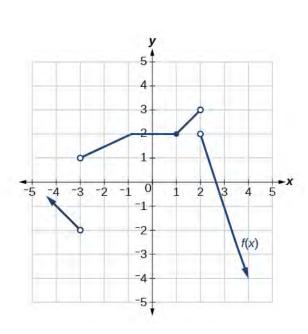
For the following exercise, find k such that the given line is tangent to the graph of the function.

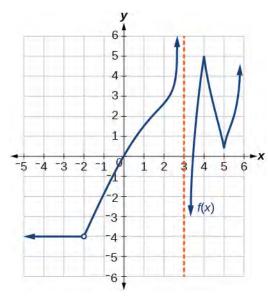
191.
$$f(x) = x^2 - kx$$
, $y = 4x - 9$

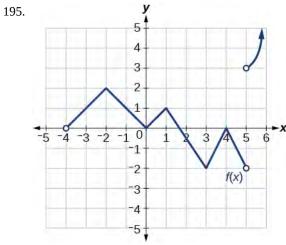
Graphical

For the following exercises, consider the graph of the function f and determine where the function is continuous/discontinuous and differentiable/not differentiable.

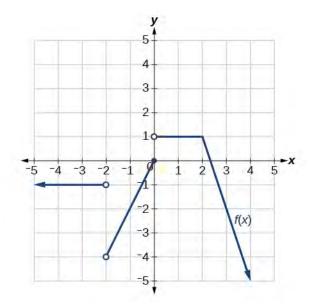
192.







193.



For the following exercises, use **Figure 12.60** to estimate either the function at a given value of x or the derivative at a given value of x, as indicated.

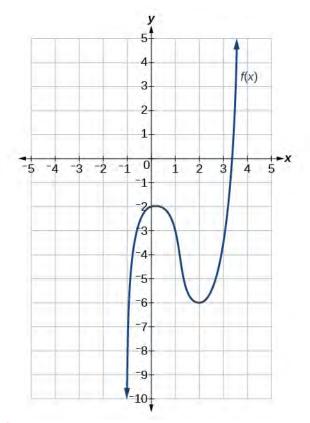


Figure 12.60

- 196. f(-1)
- 197. f(0)
- 198. f(1)
- 199. f(2)
- 200. f(3)
- 201. f'(-1)
- 202. f'(0)
- 203. f'(1)
- 204. f'(2)
- 205. f'(3)

206. Sketch the function based on the information below: f'(x) = 2x, f(2) = 4

Technology

207.

Numerically evaluate the derivative. Explore the behavior of the graph of $f(x) = x^2$ around x = 1 by graphing the function on the following domains: [0.9, 1.1], [0.99, 1.01], [0.999, 1.001], and [0.9999, 1.0001]. We can use the feature on our calculator that automatically sets Ymin and Ymax to the Xmin and Xmax values we preset. (On some of the commonly used graphing calculators, this feature may be called ZOOM FIT or ZOOM AUTO). By examining the corresponding range values for this viewing window, approximate how the curve changes at x = 1, that is, approximate the derivative at x = 1.

Real-World Applications

For the following exercises, explain the notation in words. The volume f(t) of a tank of gasoline, in gallons, t minutes after noon.

208.
$$f(0) = 600$$

209.
$$f'(30) = -20$$

210.
$$f(30) = 0$$

211.
$$f'(200) = 30$$

212.
$$f(240) = 500$$

For the following exercises, explain the functions in words. The height, s, of a projectile after t seconds is given by

$$s(t) = -16t^2 + 80t.$$

213.
$$s(2) = 96$$

214.
$$s'(2) = 16$$

215.
$$s(3) = 96$$

216.
$$s'(3) = -16$$

217.
$$s(0) = 0$$
, $s(5) = 0$.

For the following exercises, the volume V of a sphere with respect to its radius r is given by $V = \frac{4}{3}\pi r^3$.

218. Find the average rate of change of V as r changes from 1 cm to 2 cm.

219. Find the instantaneous rate of change of V when r = 3 cm.

For the following exercises, the revenue generated by selling x items is given by $R(x) = 2x^2 + 10x$.

- 220. Find the average change of the revenue function as x changes from x = 10 to x = 20.
- 221. Find R'(10) and interpret.
- 222. Find R'(15) and interpret. Compare R'(15) to R'(10), and explain the difference.

For the following exercises, the cost of producing x cellphones is described by the function $C(x) = x^2 - 4x + 1000$.

- 223. Find the average rate of change in the total cost as x changes from x = 10 to x = 15.
- 224. Find the approximate marginal cost, when 15 cellphones have been produced, of producing the 16th cellphone.
- 225. Find the approximate marginal cost, when 20 cellphones have been produced, of producing the 21st cellphone.

Extension

For the following exercises, use the definition for the derivative at a point x = a, $\lim_{x \to a} \frac{f(x) - f(a)}{x - a}$, to find the derivative of the functions.

226.
$$f(x) = \frac{1}{x^2}$$

227.
$$f(x) = 5x^2 - x + 4$$

228.
$$f(x) = -x^2 + 4x + 7$$

229.
$$f(x) = \frac{-4}{3 - x^2}$$

CHAPTER 12 REVIEW

KEY TERMS

average rate of change the slope of the line connecting the two points (a, f(a)) and (a + h, f(a + h)) on the curve of f(x); it is given by AROC = $\frac{f(a + h) - f(a)}{h}$.

continuous function a function that has no holes or breaks in its graph

derivative the slope of a function at a given point; denoted f'(a), at a point x = a it is $f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$, providing the limit exists.

differentiable a function f(x) for which the derivative exists at x = a. In other words, if f'(a) exists.

discontinuous function a function that is not continuous at x = a

instantaneous rate of change the slope of a function at a given point; at x = a it is given by $f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}.$

instantaneous velocity the change in speed or direction at a given instant; a function s(t) represents the position of an object at time t, and the instantaneous velocity or velocity of the object at time t = a is given by $s'(a) = \lim_{h \to 0} \frac{s(a+h) - s(a)}{h}.$

jump discontinuity a point of discontinuity in a function f(x) at x = a where both the left and right-hand limits exist, but $\lim_{x \to a^{-}} f(x) \neq \lim_{x \to a^{+}} f(x)$

left-hand limit the limit of values of f(x) as x approaches from a the left, denoted $\lim_{x \to a} f(x) = L$. The values of f(x) can get as close to the limit L as we like by taking values of x sufficiently close to a such that x < a and $x \ne a$. Both a and L are real numbers.

limit when it exists, the value, L, that the output of a function f(x) approaches as the input x gets closer and closer to a but does not equal a. The value of the output, f(x), can get as close to L as we choose to make it by using input values of x sufficiently near to x = a, but not necessarily at x = a. Both a and L are real numbers, and L is denoted $\lim_{x \to a} f(x) = L$.

properties of limits a collection of theorems for finding limits of functions by performing mathematical operations on the limits

removable discontinuity a point of discontinuity in a function f(x) where the function is discontinuous, but can be redefined to make it continuous

right-hand limit the limit of values of f(x) as x approaches a from the right, denoted $\lim_{x \to a^+} f(x) = L$. The values of f(x) can get as close to the limit L as we like by taking values of x sufficiently close to a where x > a, and $x \ne a$. Both a and L are real numbers.

secant line a line that intersects two points on a curve

tangent line a line that intersects a curve at a single point

two-sided limit the limit of a function f(x), as x approaches a, is equal to L, that is, $\lim_{x \to a} f(x) = L$ if and only if

$$\lim_{x \to a^{-}} f(x) = \lim_{x \to a^{+}} f(x).$$

KEY EQUATIONS

average rate of change
$$AROC = \frac{f(a+h) - f(a)}{h}$$

derivative of a function
$$f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$

KEY CONCEPTS

12.1 Finding Limits: Numerical and Graphical Approaches

- A function has a limit if the output values approach some value *L* as the input values approach some quantity *a*. See **Example 12.1**.
- A shorthand notation is used to describe the limit of a function according to the form $\lim_{x \to a} f(x) = L$, which indicates that as x approaches a, both from the left of x = a and the right of x = a, the output value gets close to L.
- A function has a left-hand limit if f(x) approaches L as x approaches a where x < a. A function has a right-hand limit if f(x) approaches L as x approaches a where a where
- A two-sided limit exists if the left-hand limit and the right-hand limit of a function are the same. A function is said to have a limit if it has a two-sided limit.
- A graph provides a visual method of determining the limit of a function.
- If the function has a limit as *x* approaches *a*, the branches of the graph will approach the same *y*-coordinate near x = a from the left and the right. See **Example 12.2**.
- A table can be used to determine if a function has a limit. The table should show input values that approach *a* from both directions so that the resulting output values can be evaluated. If the output values approach some number, the function has a limit. See **Example 12.3**.
- A graphing utility can also be used to find a limit. See Example 12.4.

12.2 Finding Limits: Properties of Limits

- The properties of limits can be used to perform operations on the limits of functions rather than the functions themselves. See Example 12.5.
- The limit of a polynomial function can be found by finding the sum of the limits of the individual terms. See **Example 12.6** and **Example 12.7**.
- The limit of a function that has been raised to a power equals the same power of the limit of the function. Another
 method is direct substitution. See Example 12.8.
- The limit of the root of a function equals the corresponding root of the limit of the function.
- One way to find the limit of a function expressed as a quotient is to write the quotient in factored form and simplify. See **Example 12.9**.
- Another method of finding the limit of a complex fraction is to find the LCD. See Example 12.10.
- A limit containing a function containing a root may be evaluated using a conjugate. See Example 12.11.

- The limits of some functions expressed as quotients can be found by factoring. See **Example 12.12**.
- One way to evaluate the limit of a quotient containing absolute values is by using numeric evidence. Setting it up piecewise can also be useful. See **Example 12.13**.

12.3 Continuity

- A continuous function can be represented by a graph without holes or breaks.
- A function whose graph has holes is a discontinuous function.
- A function is continuous at a particular number if three conditions are met:
 - Condition 1: f(a) exists.
 - Condition 2: $\lim_{x \to a} f(x)$ exists at x = a.
 - Condition 3: $\lim_{x \to a} f(x) = f(a)$.
- A function has a jump discontinuity if the left- and right-hand limits are different, causing the graph to "jump."
- A function has a removable discontinuity if it can be redefined at its discontinuous point to make it continuous. See
 Example 12.14.
- Some functions, such as polynomial functions, are continuous everywhere. Other functions, such as logarithmic functions, are continuous on their domain. See **Example 12.15** and **Example 12.16**.
- For a piecewise function to be continuous each piece must be continuous on its part of the domain and the function
 as a whole must be continuous at the boundaries. See Example 12.17 and Example 12.18.

12.4 Derivatives

- The slope of the secant line connecting two points is the average rate of change of the function between those points.
 See Example 12.19.
- The derivative, or instantaneous rate of change, is a measure of the slope of the curve of a function at a given point, or the slope of the line tangent to the curve at that point. See Example 12.20, Example 12.21, and Example 12.22.
- The difference quotient is the quotient in the formula for the instantaneous rate of change: $\underbrace{f(a+h)-f(a)}_{h}$
- Instantaneous rates of change can be used to find solutions to many real-world problems. See Example 12.23.
- The instantaneous rate of change can be found by observing the slope of a function at a point on a graph by drawing a line tangent to the function at that point. See **Example 12.24**.
- Instantaneous rates of change can be interpreted to describe real-world situations. See Example 12.25 and Example 12.26.
- Some functions are not differentiable at a point or points. See Example 12.27.
- The point-slope form of a line can be used to find the equation of a line tangent to the curve of a function. See
 Example 12.28.
- Velocity is a change in position relative to time. Instantaneous velocity describes the velocity of an object at a given instant. Average velocity describes the velocity maintained over an interval of time.
- Using the derivative makes it possible to calculate instantaneous velocity even though there is no elapsed time. See
 Example 12.29.

CHAPTER 12 REVIEW EXERCISES

Finding Limits: A Numerical and Graphical Approach

For the following exercises, use **Figure 12.61**.

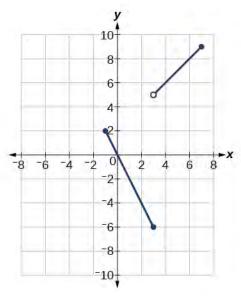


Figure 12.61

230.
$$\lim_{x \to -1^+} f(x)$$

231.
$$\lim_{x \to -1^{-}} f(x)$$

232.
$$\lim_{x \to -1} f(x)$$

233.
$$\lim_{x \to 3} f(x)$$

234. At what values of x is the function discontinuous? What condition of continuity is violated?

235. Using **Table 12.5**, estimate $\lim_{x \to 0} f(x)$.

x	F(x)
-0.1	2.875
-0.01	2.92
-0.001	2.998
0	Undefined
0.001	2.9987
0.01	2.865
0.1	2.78145
0.15	2.678

Table 12.5

For the following exercises, with the use of a graphing utility, use numerical or graphical evidence to determine the left- and right-hand limits of the function given as x approaches a. If the function has limit as x approaches a, state it. If not, discuss why there is no limit.

236.
$$f(x) = \begin{cases} |x| - 1, & if \ x \neq 1 \\ x^3, & if \ x = 1 \end{cases} a = 1$$

237.
$$f(x) = \begin{cases} \frac{1}{x+1}, & \text{if } x = -2\\ (x+1)^2, & \text{if } x \neq -2 \end{cases} a = -2$$

238.
$$f(x) = \begin{cases} \sqrt{x+3}, & \text{if } x < 1 \\ -\sqrt[3]{x}, & \text{if } x > 1 \end{cases} a = 1$$

Finding Limits: Properties of Limits

For the following exercises, find the limits if $\lim_{x \to c} f(x) = -3$ and $\lim_{x \to c} g(x) = 5$.

239.
$$\lim_{x \to c} (f(x) + g(x))$$

240.
$$\lim_{x \to c} \frac{f(x)}{g(x)}$$

241.
$$\lim_{x \to c} (f(x) \cdot g(x))$$

242.
$$\lim_{x \to 0^{+}} f(x), \ f(x) = \begin{cases} 3x^{2} + 2x + 1 & x > 0 \\ 5x + 3 & x < 0 \end{cases}$$

243.
$$\lim_{x \to 0^{-}} f(x), \ f(x) = \begin{cases} 3x^{2} + 2x + 1 & x > 0 \\ 5x + 3 & x < 0 \end{cases}$$

244.
$$\lim_{x \to 3^+} (3x - [x])$$

For the following exercises, evaluate the limits using algebraic techniques.

245.
$$\lim_{h \to 0} \left(\frac{(h+6)^2 - 36}{h} \right)$$

246.
$$\lim_{x \to 25} \left(\frac{x^2 - 625}{\sqrt{x} - 5} \right)$$

247.
$$\lim_{x \to 1} \left(\frac{-x^2 - 9x}{x} \right)$$

248.
$$\lim_{x \to 4} \frac{7 - \sqrt{12x + 1}}{x - 4}$$

249.
$$\lim_{x \to -3} \left(\frac{\frac{1}{3} + \frac{1}{x}}{3 + x} \right)$$

Continuity

For the following exercises, use numerical evidence to determine whether the limit exists at x = a. If not, describe the behavior of the graph of the function at x = a.

250.
$$f(x) = \frac{-2}{x-4}$$
; $a = 4$

251.
$$f(x) = \frac{-2}{(x-4)^2}$$
; $a = 4$

252.
$$f(x) = \frac{-x}{x^2 - x - 6}$$
; $a = 3$

253.
$$f(x) = \frac{6x^2 + 23x + 20}{4x^2 - 25}$$
; $a = -\frac{5}{2}$

254.
$$f(x) = \frac{\sqrt{x} - 3}{9 - x}$$
; $a = 9$

For the following exercises, determine where the given function f(x) is continuous. Where it is not continuous, state which conditions fail, and classify any discontinuities.

255.
$$f(x) = x^2 - 2x - 15$$

256.
$$f(x) = \frac{x^2 - 2x - 15}{x - 5}$$

257.
$$f(x) = \frac{x^2 - 2x}{x^2 - 4x + 4}$$

258.
$$f(x) = \frac{x^3 - 125}{2x^2 - 12x + 10}$$

259.
$$f(x) = \frac{x^2 - \frac{1}{x}}{2 - x}$$

260.
$$f(x) = \frac{x+2}{x^2 - 3x - 10}$$

261.
$$f(x) = \frac{x+2}{x^3+8}$$

Derivatives

For the following exercises, find the average rate of change $\frac{f(x+h)-f(x)}{h}$.

262.
$$f(x) = 3x + 2$$

263.
$$f(x) = 5$$

264.
$$f(x) = \frac{1}{x+1}$$

265.
$$f(x) = \ln(x)$$

266.
$$f(x) = e^{2x}$$

For the following exercises, find the derivative of the function.

267.
$$f(x) = 4x - 6$$

268.
$$f(x) = 5x^2 - 3x$$

269. Find the equation of the tangent line to the graph of f(x) at the indicated x value.

$$f(x) = -x^3 + 4x$$
; $x = 2$.

For the following exercises, with the aid of a graphing utility, explain why the function is not differentiable everywhere on its domain. Specify the points where the function is not differentiable.

270.
$$f(x) = \frac{x}{|x|}$$

271. Given that the volume of a right circular cone is $V = \frac{1}{3}\pi r^2 h$ and that a given cone has a fixed height of 9

cm and variable radius length, find the instantaneous rate of change of volume with respect to radius length when the radius is 2 cm. Give an exact answer in terms of π

CHAPTER 12 PRACTICE TEST

For the following exercises, use the graph of f in **Figure 12.62**.

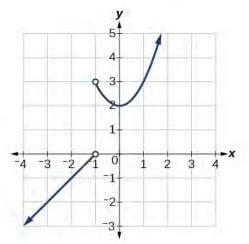


Figure 12.62

272.
$$f(1)$$

273.
$$\lim_{x \to -1^+} f(x)$$

274.
$$\lim_{x \to -1^{-}} f(x)$$

275.
$$\lim_{x \to -1} f(x)$$

276.
$$\lim_{x \to -2} f(x)$$

277. At what values of *x* is *f* discontinuous? What property of continuity is violated?

For the following exercises, with the use of a graphing utility, use numerical or graphical evidence to determine the left- and right-hand limits of the function given as x approaches a. If the function has a limit as x approaches a, state it. If not, discuss why there is no limit

278.
$$f(x) = \begin{cases} \frac{1}{x} - 3, & \text{if } x \le 2\\ x^3 + 1, & \text{if } x > 2 \end{cases}$$
 $a = 2$

$$f(x) = \begin{cases} x^3 + 1, & \text{if } x < 1\\ 3x^2 - 1, & \text{if } x = 1 \ a = 1\\ -\sqrt{x + 3} + 4, & \text{if } x > 1 \end{cases}$$

For the following exercises, evaluate each limit using algebraic techniques.

279.
$$\lim_{x \to -5} \left(\frac{\frac{1}{5} + \frac{1}{x}}{10 + 2x} \right)$$

280.
$$\lim_{h \to 0} \left(\frac{\sqrt{h^2 + 25} - 5}{h^2} \right)$$

281.
$$\lim_{h \to 0} \left(\frac{1}{h} - \frac{1}{h^2 + h} \right)$$

For the following exercises, determine whether or not the given function f is continuous. If it is continuous, show why. If it is not continuous, state which conditions fail.

282.
$$f(x) = \sqrt{x^2 - 4}$$

283.
$$f(x) = \frac{x^3 - 4x^2 - 9x + 36}{x^3 - 3x^2 + 2x - 6}$$

For the following exercises, use the definition of a derivative to find the derivative of the given function at x = a.

284.
$$f(x) = \frac{3}{5 + 2x}$$

285.
$$f(x) = \frac{3}{\sqrt{x}}$$

286.
$$f(x) = 2x^2 + 9x$$

287. For the graph in **Figure 12.63**, determine where the function is continuous/discontinuous and differentiable/not differentiable.

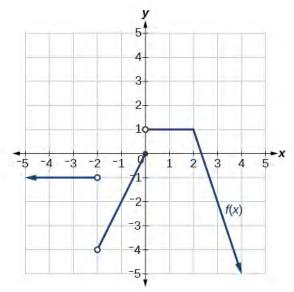


Figure 12.63

For the following exercises, with the aid of a graphing utility, explain why the function is not differentiable everywhere on its domain. Specify the points where the function is not differentiable.

288.
$$f(x) = |x - 2| - |x + 2|$$

289.
$$f(x) = \frac{2}{1 + e^{\frac{2}{x}}}$$

For the following exercises, explain the notation in words when the height of a projectile in feet, s, is a function of time t in seconds after launch and is given by the function s(t).

292.
$$s'(2)$$

293.
$$\frac{s(2) - s(1)}{2 - 1}$$

294.
$$s(t) = 0$$

For the following exercises, use technology to evaluate the limit.

295.
$$\lim_{x \to 0} \frac{\sin(x)}{3x}$$

296.
$$\lim_{x \to 0} \frac{\tan^2(x)}{2x}$$

297.
$$\lim_{x \to 0} \frac{\sin(x)(1 - \cos(x))}{2x^2}$$

298. Evaluate the limit by hand.

$$\lim_{x \to 1} f(x), \text{ where } f(x) = \begin{cases} 4x - 7 & x \neq 1 \\ x^2 - 4 & x = 1 \end{cases}$$

At what value(s) of x is the function below discontinuous?

$$f(x) = \begin{cases} 4x - 7 & x \neq 1 \\ x^2 - 4 & x = 1 \end{cases}$$

For the following exercises, consider the function whose graph appears in **Figure 12.64**.

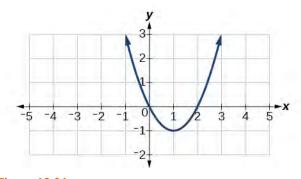


Figure 12.64

299. Find the average rate of change of the function from x = 1 to x = 3.

300. Find all values of x at which f'(x) = 0.

301. Find all values of x at which f'(x) does not exist.

302. Find an equation of the tangent line to the graph of *f* the indicated point: $f(x) = 3x^2 - 2x - 6$, x = -2

For the following exercises, use the function $f(x) = x(1-x)^{\frac{2}{5}}.$

303. Graph the function
$$f(x) = x(1-x)^{\frac{2}{5}}$$
 by entering $f(x) = x((1-x)^2)^{\frac{1}{5}}$ and then by entering

$$f(x) = x \left((1 - x)^{\frac{1}{5}} \right)^2.$$

304. Explore the behavior of the graph of f(x) around x = 1 by graphing the function on the following domains, [0.9, 1.1], [0.99, 1.01], [0.999, 1.001], and [0.9999, 1.0001]. Use this information to determine whether the function appears to be differentiable at x = 1.

For the following exercises, find the derivative of each of the functions using the definition: $\lim_{h\to 0}\frac{f(x+h)-f(x)}{h}$

305.
$$f(x) = 2x - 8$$

306.
$$f(x) = 4x^2 - 7$$

307.
$$f(x) = x - \frac{1}{2}x^2$$

308.
$$f(x) = \frac{1}{x+2}$$

309.
$$f(x) = \frac{3}{x-1}$$

310.
$$f(x) = -x^3 + 1$$

311.
$$f(x) = x^2 + x^3$$

312.
$$f(x) = \sqrt{x-1}$$

A | APPENDIX

A1 Graphs of the Parent Functions

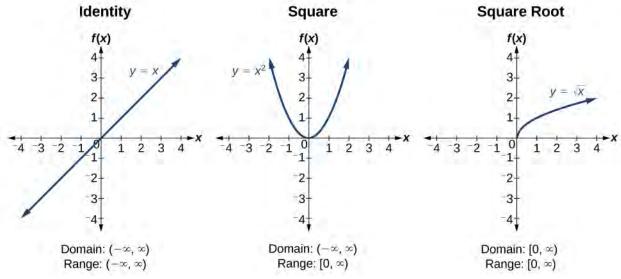


Figure A1

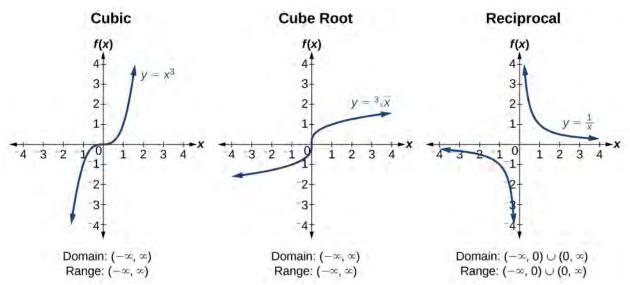


Figure A2

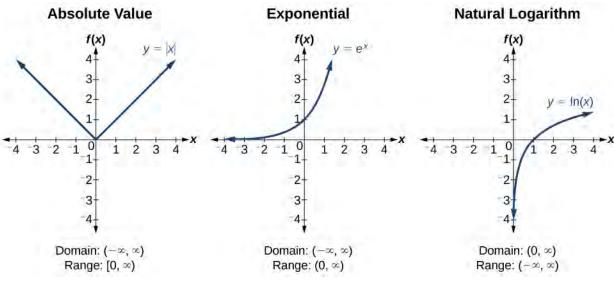


Figure A3

A2 Graphs of the Trigonometric Functions

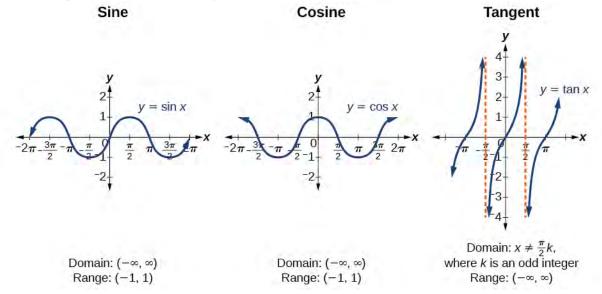


Figure A4

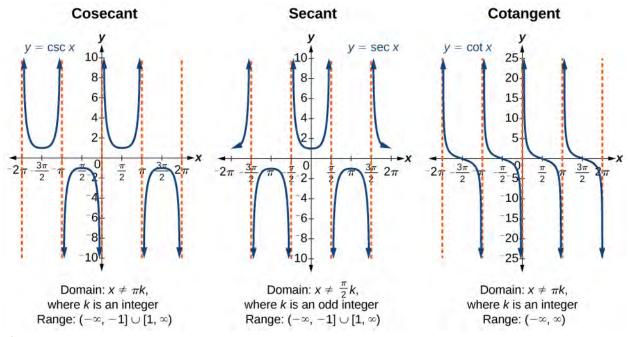


Figure A5

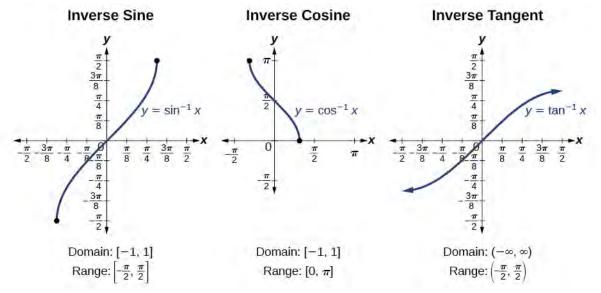


Figure A6

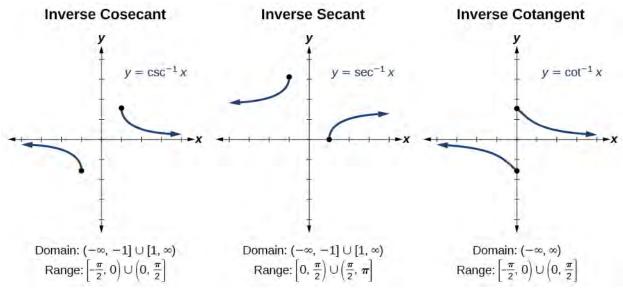


Figure A7

A3 Trigonometric Identities

Pythagorean Identities	$\cos^2 t + \sin^2 t = 1$ $1 + \tan^2 t = \sec^2 t$ $1 + \cot^2 t = \csc^2 t$
Even-Odd Identities	cos(-t) = cos t $sec(-t) = sec t$ $sin(-t) = -sin t$ $tan(-t) = -tan t$ $csc(-t) = -csc t$ $cot(-t) = -cot t$
Cofunction Identities	$\cos t = \sin\left(\frac{\pi}{2} - t\right)$ $\sin t = \cos\left(\frac{\pi}{2} - t\right)$ $\tan t = \cot\left(\frac{\pi}{2} - t\right)$ $\cot t = \tan\left(\frac{\pi}{2} - t\right)$ $\sec t = \csc\left(\frac{\pi}{2} - t\right)$ $\csc t = \sec\left(\frac{\pi}{2} - t\right)$

Table A1

Fundamental Identities	$\tan t = \frac{\sin t}{\cos t}$ $\sec t = \frac{1}{\cos t}$ $\csc t = \frac{1}{\sin t}$ $\cot t = \frac{1}{\tan t} = \frac{\cos t}{\sin t}$
Sum and Difference Identities	$\cos(\alpha + \beta) = \cos \alpha \cos \beta - \sin \alpha \sin \beta$ $\cos(\alpha - \beta) = \cos \alpha \cos \beta + \sin \alpha \sin \beta$ $\sin(\alpha + \beta) = \sin \alpha \cos \beta + \cos \alpha \sin \beta$ $\sin(\alpha - \beta) = \sin \alpha \cos \beta - \cos \alpha \sin \beta$ $\tan(\alpha + \beta) = \frac{\tan \alpha + \tan \beta}{1 - \tan \alpha \tan \beta}$ $\tan(\alpha - \beta) = \frac{\tan \alpha - \tan \beta}{1 + \tan \alpha \tan \beta}$
Double-Angle Formulas	$\sin(2\theta) = 2\sin\theta\cos\theta$ $\cos(2\theta) = \cos^2\theta - \sin^2\theta$ $\cos(2\theta) = 1 - 2\sin^2\theta$ $\cos(2\theta) = 2\cos^2\theta - 1$ $\tan(2\theta) = \frac{2\tan\theta}{1 - \tan^2\theta}$
Half-Angle Formulas	$\sin \frac{\alpha}{2} = \pm \sqrt{\frac{1 - \cos \alpha}{2}}$ $\cos \frac{\alpha}{2} = \pm \sqrt{\frac{1 + \cos \alpha}{2}}$ $\tan \frac{\alpha}{2} = \pm \sqrt{\frac{1 - \cos \alpha}{1 + \cos \alpha}}$ $\tan \frac{\alpha}{2} = \frac{\sin \alpha}{1 + \cos \alpha}$ $\tan \frac{\alpha}{2} = \frac{1 - \cos \alpha}{\sin \alpha}$
Reduction Formulas	$\sin^2 \theta = \frac{1 - \cos(2\theta)}{2}$ $\cos^2 \theta = \frac{1 + \cos(2\theta)}{2}$ $\tan^2 \theta = \frac{1 - \cos(2\theta)}{1 + \cos(2\theta)}$

Table A1

Product-to-Sum Formulas	$\cos \alpha \cos \beta = \frac{1}{2} [\cos(\alpha - \beta) + \cos(\alpha + \beta)]$ $\sin \alpha \cos \beta = \frac{1}{2} [\sin(\alpha + \beta) + \sin(\alpha - \beta)]$ $\sin \alpha \sin \beta = \frac{1}{2} [\cos(\alpha - \beta) - \cos(\alpha + \beta)]$ $\cos \alpha \sin \beta = \frac{1}{2} [\sin(\alpha + \beta) - \sin(\alpha - \beta)]$
Sum-to-Product Formulas	$\sin \alpha + \sin \beta = 2 \sin \left(\frac{\alpha + \beta}{2}\right) \cos \left(\frac{\alpha - \beta}{2}\right)$ $\sin \alpha - \sin \beta = 2 \sin \left(\frac{\alpha - \beta}{2}\right) \cos \left(\frac{\alpha + \beta}{2}\right)$ $\cos \alpha - \cos \beta = -2 \sin \left(\frac{\alpha + \beta}{2}\right) \sin \left(\frac{\alpha - \beta}{2}\right)$ $\cos \alpha + \cos \beta = 2 \cos \left(\frac{\alpha + \beta}{2}\right) \cos \left(\frac{\alpha - \beta}{2}\right)$
Law of Sines	$\frac{\sin \alpha}{a} = \frac{\sin \beta}{b} = \frac{\sin \gamma}{c}$ $\frac{a}{\sin \alpha} = \frac{b}{\sin \beta} = \frac{c}{\sin \gamma}$
Law of Cosines	$a^{2} = b^{2} + c^{2} - 2bc \cos \alpha$ $b^{2} = a^{2} + c^{2} - 2ac \cos \beta$ $c^{2} = a^{2} + b^{2} - 2ab \cos \gamma$

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